

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5 LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) 75 State Street 24th Floor Boston MA 02109-1827 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER C C00486217 3. IS THIS REPORT NEW (N) OR AMENDED (A) [X] NEW (N) [] AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q1) July 15 Quarterly Report (Q2) October 15 Quarterly Report (Q3) January 31 Year-End Report (YE) July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER) (b) Monthly Report Due On: [X] Feb 20 (M2) [] May 20 (M5) [] Aug 20 (M8) [] Nov 20 (M11) (Non-Election Year Only) [] Mar 20 (M3) [] Jun 20 (M6) [] Sep 20 (M9) [] Dec 20 (M12) (Non-Election Year Only) [] Apr 20 (M4) [] Jul 20 (M7) [] Oct 20 (M10) [] Jan 31 (YE) (c) 12-Day PRE-Election Report for the: [] Primary (12P) [] General (12G) [] Runoff (12R) [] Convention (12C) [] Special (12S) Election on [] / [] / [] in the State of [] (d) 30-Day POST-Election Report for the: [] General (30G) [] Runoff (30R) [] Special (30S) Election on [] / [] / [] in the State of []

5. Covering Period 01 / 01 / 2017 through 01 / 31 / 2017

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Vock, Scott, , , Type or Print Name of Treasurer

Signature of Treasurer Vock, Scott, , , [Electronically Filed] Date 02 / 07 / 2017

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2017"/>		<input type="text" value="147189.75"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="147189.75"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="27646.71"/>	<input type="text" value="27646.71"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="174836.46"/>	<input type="text" value="174836.46"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="30250.00"/>	<input type="text" value="30250.00"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="144586.46"/>	<input type="text" value="144586.46"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

 Federal Election Commission
 999 E Street, NW
 Washington, DC 20463

 Toll Free 800-424-9530
 Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	13498.91	13498.91
(ii) Unitemized	14147.80	14147.80
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	27646.71	27646.71
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	27646.71	27646.71
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	27646.71	27646.71
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	27646.71	27646.71

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	250.00	250.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	250.00	250.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	30000.00	30000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	30250.00	30250.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	30250.00	30250.00

DETAILED SUMMARY PAGE
of Disbursements

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Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	27646.71	27646.71
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	27646.71	27646.71
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	250.00	250.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	250.00	250.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 19
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. BUBB, KERRICK, WALTER, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 1782 ORANGE TREE LANE

City REDLANDS	State CA	Zip Code 92374-2856
------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) KWB WEALTH MANAGERS GROUP	Occupation (for Individual) Wealth Manager
--	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.00

Date of Receipt
MM / DD / YYYY
01 / 26 / 2017

Transaction ID : A0660EF6CBF91431C82B

Amount of Each Receipt this Period
416.00

Memo Item
Payroll Deduction: \$208.00/Bi-Monthly

B. CAMPBELL, KELLY, PETER, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 700 S WASHINGTON ST STE 220

City ALEXANDRIA	State VA	Zip Code 22314-4287
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Campbell Wealth Management	Occupation (for Individual) CEO
---	------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.66

Date of Receipt
MM / DD / YYYY
01 / 26 / 2017

Transaction ID : A9C171816A7F34C6DBC6

Amount of Each Receipt this Period
416.66

Memo Item
Payroll Deduction: \$208.33/Bi-Monthly

C. CARTOLANO, JOHN, MICHAEL, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 9059 E BAHIA DR

City SCOTTSDALE	State AZ	Zip Code 85260-1554
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Lpl Financial Llc	Occupation (for Individual) Financial Advisor
--	--

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
416.66

Date of Receipt
MM / DD / YYYY
01 / 26 / 2017

Transaction ID : A7A23830B9C514B92BE8

Amount of Each Receipt this Period
416.66

Memo Item
Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	1249.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 19
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. HAMM, WILLIAM, E, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3030 N ROCKY POINT DR W #700
 City TAMPA State FL Zip Code 33607-5907
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) IFP Occupation (for Individual) CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 26 / 2017
Transaction ID : AD550A23CC9814128899
 Amount of Each Receipt this Period 416.66
 Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

B. KAPLAN, SUSAN, CAROL, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2227 WASHINGTON ST STE 300
 2 NEWTON EXECUTIVE PARK
 City NEWTON State MA Zip Code 02462-1417
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial LLC Occupation (for Individual) Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 26 / 2017
Transaction ID : AC5817F362B9F411F9A4
 Amount of Each Receipt this Period 416.66
 Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

C. LA POINTE, DAVID, BLANDIN, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 28544 OLD TOWN FRONT ST #201
 City TEMECULA State CA Zip Code 92590-2756
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) La Pointe Wealth Management Occupation (for Individual) Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 26 / 2017
Transaction ID : A30736617ECE84D75B09
 Amount of Each Receipt this Period 416.66
 Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	1249.98
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 19
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. MARSHALL-PENCE, LAILA, SOBHI, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 5000 BIRCH STREET #8000

City NEWPORT BEACH	State CA	Zip Code 92660-2158
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Pence Wealth Management	Occupation (for Individual) President
--	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.66

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		26		2017

Transaction ID : A078729672D6844D2B14

Amount of Each Receipt this Period
416.66

Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

B. MILLER, EDWARD, BRUCE, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 481 MUNN ROAD SUITE 225

City FORT MILL	State SC	Zip Code 29715-8462
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) LPL Financial LLC	Occupation (for Individual) Advisor
--	--

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
416.66

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		26		2017

Transaction ID : A1232BE5124F54577BB0

Amount of Each Receipt this Period
416.66

Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

C. POWELL CIMA, GREGORY, R., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 5825 FELDSPAR WAY

City BIRMINGHAM	State AL	Zip Code 35244-4503
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) fi-Plan Partners	Occupation (for Individual) Financial Advisor
---	--

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
208.33

Date of Receipt

M M	/	D D	/	Y Y Y Y
01		10		2017

Transaction ID : A9E173331F4B540B3A19

Amount of Each Receipt this Period
208.33

Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	1041.65
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 19
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. VAN HOUTEN, STEVEN, LEE, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 2210 ENCINITAS BLVD. STE. C
 City ENCINITAS State CA Zip Code 92024-4359
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial Occupation (for Individual) Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 26 / 2017
Transaction ID : A05EE0B6850A642B098B
 Amount of Each Receipt this Period 416.66
 Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

B. VON BORSTEL CFP, WAYNE, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 308 E 3RD ST
 City THE DALLES State OR Zip Code 97058-2204
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Lpl Financial LLC Occupation (for Individual) financial planner
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 416.66

Date of Receipt 01 / 26 / 2017
Transaction ID : ACED725FC6F9746CEB67
 Amount of Each Receipt this Period 416.66
 Memo Item
 Payroll Deduction: \$208.33/Bi-Monthly

C. Audette, Matt J, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 8183 Doug HI
 City San Diego State CA Zip Code 92127-2568
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial, LLC Occupation (for Individual) Chief Financial Officer
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 416.68

Date of Receipt 01 / 27 / 2017
Transaction ID : A68A38783BEE2433D9B9
 Amount of Each Receipt this Period 416.68
 Memo Item
 Payroll Deduction: \$208.34/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	1250.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 19
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. BRADY, MICHAEL, S, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 780 PARKWAY BLVD.
 City BROOMALL State PA Zip Code 19008-4212
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial Occupation (for Individual) Financial Consultant
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 01 / 26 / 2017
Transaction ID : A09D4996760694249B43
 Amount of Each Receipt this Period 250.00
 Memo Item
 Payroll Deduction: \$125.00/Bi-Monthly

B. DEPROSPERO, KIMBERLY, JOAN, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 1525 N PARK DR STE 104
 City WESTON State FL Zip Code 33326-3225
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Financial Strategies Group Occupation (for Individual) Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 208.00

Date of Receipt 01 / 26 / 2017
Transaction ID : AFDABDF438A6B46E38B3
 Amount of Each Receipt this Period 208.00
 Memo Item
 Payroll Deduction: \$104.00/Bi-Monthly

C. ERRICO CFP AIF, ROBERT, L, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3991 MACARTHUR BLVD STE 250
 City NEWPORT BEACH State CA Zip Code 92660-3039
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Lpl Financial Llc Occupation (for Individual) Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 01 / 26 / 2017
Transaction ID : AAF8BE9349B2942D3996
 Amount of Each Receipt this Period 250.00
 Memo Item
 Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	708.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 11 OF 19
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. FREEDMAN CFP, MARC, STUART, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 8 ESSEX CENTER DR 3RD FLOOR
 City PEABODY State MA Zip Code 01960-2911
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Freedman Financial President & CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 333.32

Date of Receipt 01 / 26 / 2017
Transaction ID : A7957865A4C23417DAA2
 Amount of Each Receipt this Period 333.32
 Memo Item
 Payroll Deduction: \$166.66/Bi-Monthly

B. HERBST CFP MBA, DAVID, GEORGE, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 15003 SOUTH ROUTE 59
 City PLAINFIELD State IL Zip Code 60544-9539
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Lpl Financial Llc Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 208.32

Date of Receipt 01 / 26 / 2017
Transaction ID : AB7EDDDAFF6574D3BA67
 Amount of Each Receipt this Period 208.32
 Memo Item
 Payroll Deduction: \$104.16/Bi-Monthly

C. MASSARO CHFC, THEODORE, ROCCO, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 57 SOUTH MAPLE AVENUE
 City MARLTON State NJ Zip Code 08053-2031
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 M Financial Planning Services,Inc. Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 250.00

Date of Receipt 01 / 26 / 2017
Transaction ID : AABF34AF73DB547B4B73
 Amount of Each Receipt this Period 250.00
 Memo Item
 Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	791.64
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 12 OF 19
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. MICONE, ROBERT, EDWARD, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 PACIFICA #400

City IRVINE	State CA	Zip Code 92618-7449
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) AFP LPL	Occupation (for Individual) Registered Principal
--	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt

M M	/	D D	/	Y Y Y Y
01	/	26	/	2017

Transaction ID : A79483363504A408BB39

Amount of Each Receipt this Period
250.00

Memo Item
Payroll Deduction: \$125.00/Bi-Monthly

B. SUPKA, KEVIN, PETER, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 100 WITMER ROAD STE 140

City HORSHAM	State PA	Zip Code 19044-2648
-----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) LPL Financial	Occupation (for Individual) Registered Principal
--	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
333.32

Date of Receipt

M M	/	D D	/	Y Y Y Y
01	/	26	/	2017

Transaction ID : A1A98721315C24E10BED

Amount of Each Receipt this Period
333.32

Memo Item
Payroll Deduction: \$166.66/Bi-Monthly

C. WAGNER JR., WAYNE, DAVID, ,
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address 11 MIDDLETON DR

City WILMINGTON	State DE	Zip Code 19808-4320
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Vizionary Wealth Management	Occupation (for Individual) President
--	--

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼
250.00

Date of Receipt

M M	/	D D	/	Y Y Y Y
01	/	26	/	2017

Transaction ID : AB8F3BADA9917483BB93

Amount of Each Receipt this Period
250.00

Memo Item
Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	833.32
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 13 OF 19
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. WILLIAMS CFP, MICHAEL, C. F., ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 224 VALLEY CREEK BLVD STE 330
 City EXTON State PA Zip Code 19341-2386
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 First Financial Networks. Inc President
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 333.32

Date of Receipt
 01 / 26 / 2017
Transaction ID : A68CC0AA5C929485AA8D
 Amount of Each Receipt this Period 333.32
 Memo Item
 Payroll Deduction: \$166.66/Bi-Monthly

B. WISE, WARREN, DAVID, ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 3515 WEST MARKET ST. STE 100
 City GREENSBORO State NC Zip Code 27403-4439
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 Market St. Wealth Management Group Financial Advisor
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 208.32

Date of Receipt
 01 / 26 / 2017
Transaction ID : A4F82D113B8D24EEAB82
 Amount of Each Receipt this Period 208.32
 Memo Item
 Payroll Deduction: \$104.16/Bi-Monthly

C. Bock, Mimi, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 6053 Seacrest View Rd
 City San Diego State CA Zip Code 92121-4370
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) Occupation (for Individual)
 LPL Financial LLC EVP, Advisor Readiness
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 208.34

Date of Receipt
 01 / 27 / 2017
Transaction ID : AF4C0CD0DCD4245F1BFF
 Amount of Each Receipt this Period 208.34
 Memo Item
 Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	749.98
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 19
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Casady, Mark, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 7 Exeter St
 City Boston State MA Zip Code 02116-1202
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial LLC Occupation (for Individual) Non-Executive Chair
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 01 / 27 / 2017
Transaction ID : A50E7A421CB244C26B77
 Amount of Each Receipt this Period 5000.00
 Memo Item

B. Kalbaugh, Andy, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 6713 Seton House Ln
 City Charlotte State NC Zip Code 28277-4519
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial LLC Occupation (for Individual) MD, Divisional President NSC
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 208.34

Date of Receipt 01 / 27 / 2017
Transaction ID : AF882AEED3D194C7CA87
 Amount of Each Receipt this Period 208.34
 Memo Item
 Payroll Deduction: \$104.17/Bi-Monthly

C. Reich, David, , ,
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
 Mailing Address 7995 Entrada De Luz W
 City San Diego State CA Zip Code 92127-2512
 FEC ID number of contributing federal political committee. **C**
 Name of Employer (for Individual) LPL Financial LLC Occupation (for Individual) EVP, Retirement Ptnrs, HNW,PTC.
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date ▼ 208.34

Date of Receipt 01 / 27 / 2017
Transaction ID : A323A3593D5134CBAB28
 Amount of Each Receipt this Period 208.34
 Memo Item
 Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	5416.68
TOTAL This Period (last page this line number only).....	

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 19
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wright, David Bryan, , ,

Mailing Address 8509 English Turn Ln

City Waxhaw	State NC	Zip Code 28173-9962
----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) LPL Financial, LLC	Occupation (for Individual) EVP, BTS
---	---

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
208.34

Date of Receipt
MM / DD / YYYY
01 / 27 / 2017

Transaction ID : A3EC2BEBF4F6E43C8B30

Amount of Each Receipt this Period
208.34

Memo Item
Payroll Deduction: \$104.17/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual)	Occupation (for Individual)
-----------------------------------	-----------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt
MM / DD / YYYY

Amount of Each Receipt this Period

Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual)	Occupation (for Individual)
-----------------------------------	-----------------------------

Receipt For:
 Primary General
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt
MM / DD / YYYY

Amount of Each Receipt this Period

Memo Item

SUBTOTAL of Receipts This Page (optional).....	208.34
TOTAL This Period (last page this line number only).....	13498.91

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Aristotle International

Full Name (Last, First, Middle Initial)

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement: 01 / 31 / 2017

FEC Identification Number: C

Transaction ID : B41DB3BA21

Amount of Each Disbursement this Period: 250.00

Memo Item

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement:

FEC Identification Number: C

Amount of Each Disbursement this Period:

Memo Item

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

State: District:

Date of Disbursement:

FEC Identification Number: C

Amount of Each Disbursement this Period:

Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶	250.00
TOTAL This Period (last page this line number only).....▶	250.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ANDY BARR FOR CONGRESS, INC.

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	0		2	0	1	7		

Mailing Address PO BOX 2059

FEC Identification Number

C	C00467571
---	-----------

Transaction ID : B749D8EE2C

Amount of Each Disbursement this Period

2	5	0	0	.	0	0
---	---	---	---	---	---	---

Memo Item

City
Lexington

State
KY

Zip Code
40588-2059

Purpose of Disbursement
Contribution to Committee

--

Candidate Name

Barr, Andy, , Rep., IV

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: KY District: 06

Full Name (Last, First, Middle Initial)

B. BRADY FOR CONGRESS

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	0		2	0	1	7		

Mailing Address 104 Hume Ave

FEC Identification Number

C	C00311043
---	-----------

Transaction ID : B8A4ABA6B7

Amount of Each Disbursement this Period

5	0	0	0	.	0	0
---	---	---	---	---	---	---

Memo Item

City
Alexandria

State
VA

Zip Code
22301-1015

Purpose of Disbursement
Contribution to Committee

--

Candidate Name

Brady, Kevin, P., Rep.,

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: TX District: 08

Full Name (Last, First, Middle Initial)

C. Duncan D. Hunter for Congress

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y	Y	Y
0	1		3	0		2	0	1	7		

Mailing Address 499 S. Capitol Street SW
Suite 420

FEC Identification Number

C	C00433524
---	-----------

Transaction ID : B573B70278

Amount of Each Disbursement this Period

2	5	0	0	.	0	0
---	---	---	---	---	---	---

Memo Item

City
Washington

State
DC

Zip Code
20003-4027

Purpose of Disbursement
Contribution to Committee

--

Candidate Name

Hunter, Duncan, D., Rep.,

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: CA District: 50

SUBTOTAL of Disbursements This Page (optional).....▶

1	0	0	0	.	0	0
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TOTAL This Period (last page this line number only).....▶

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. KATHERINE CLARK FOR CONGRESS

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address 185 Devonshire Street, Suite 601
C/O Julia Hoffman, 4C Partners LLC

M M M	/	D D D	/	Y Y Y Y Y
01		30		2017

City Boston State MA Zip Code 02110-1414

FEC Identification Number

Purpose of Disbursement
Contribution to Committee

C	C00541888
---	-----------

Candidate Name
Clark, Katherine, M., Rep.,

Category/
Type

Transaction ID : **BF6A5C2FB6**

Amount of Each Disbursement this Period

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: MA District: 05

5000.00

Memo Item

B. MAKING AMERICA PROSPEROUS PAC

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address 104 Hume Ave

M M M	/	D D D	/	Y Y Y Y Y
01		30		2017

City Alexandria State VA Zip Code 22301-1015

FEC Identification Number

Purpose of Disbursement
Contribution to Committee

C	C00445379
---	-----------

Candidate Name
MAKING AMERICA PROSPEROUS PAC

Category/
Type

Transaction ID : **B26C5B0F34/**

Amount of Each Disbursement this Period

Office Sought: House Senate President
Disbursement For: 2017 Primary General Other (specify) Other
State: District:

5000.00

Memo Item

C. SCOTT PETERS FOR CONGRESS

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address c/o Molly Allen Associates LLC
412 First Street SE, Suite 100

M M M	/	D D D	/	Y Y Y Y Y
01		30		2017

City Washington State DC Zip Code 20003-1804

FEC Identification Number

Purpose of Disbursement
Contribution to Committee

C	C00503110
---	-----------

Candidate Name
Peters, Scott, H., Rep.,

Category/
Type

Transaction ID : **B2A0ACA881**

Amount of Each Disbursement this Period

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: CA District: 52

2500.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

12500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. TIBERI FOR CONGRESS

Mailing Address 2931 E DUBLIN GRANVILLE ROAD
SUITE 190

City COLUMBUS State OH Zip Code 43231

Purpose of Disbursement
Contribution to Committee

Candidate Name
Tiberi, Pat, J., Rep.,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: OH District: 12

Date of Disbursement

M M / D D / Y Y Y Y Y Y
01 / 30 / 2017

FEC Identification Number

C C00347492
Transaction ID : B5D15818F47
Amount of Each Disbursement this Period
5000.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Vargas for Congress

Mailing Address 330 Encinitas Blvd.
Suite 101

City Encinitas State CA Zip Code 92024-8705

Purpose of Disbursement
Contribution to Committee

Candidate Name
Vargas, Juan, C., Rep.,

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: CA District: 51

Date of Disbursement

M M / D D / Y Y Y Y Y Y
01 / 30 / 2017

FEC Identification Number

C C00497321
Transaction ID : B3F85F623F1
Amount of Each Disbursement this Period
2500.00

Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House Senate President
Disbursement For: Primary General Other (specify) ▼
State: District:

Date of Disbursement

M M / D D / Y Y Y Y Y Y

FEC Identification Number

C
Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

7500.00
30000.00