Image# 14960464511 PAGE 1 / 11

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

	or Other Than	An Authorized	Committee	;e		Office Use Only
NAME OF COMMITTEE (in full) Novartis Corporation Political Action Committee Check if different than previously reported. (ACC)						
Novartis Corporation P	olitical Action	Committee				
<u> </u>						
ADDRESS (number and street)	701 Pennsylvania	a Ave. NW Suite 725	5			
Chock if different					<u> </u>	
than previously	Washington				DC	20004-2608
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦		5	STATE A	ZIP CODE ▲
C C00033969			\sim			ENDED
	Report			. , ,	H	(Non-Election Year Only)
(a) Quarterly Reports:				, ,	-	(Non-Election Year Only)
	1) (c) 12-Day				.—	
	PRE-E	Election			1	
	,					
	E)	Election on	M = M /	D D /	Y	
Report (Non-election	POST	-Election	General (30G	i)	Runoff (3	0R) Special (30S)
	перип		M = M /	D D /	Y = Y = Y	
			through			
I certify that I have examined thi Type or Print Name of Treasurer	·	he best of my kno	wledge and b	elief it is tru	e, correct and	I complete.
	ı O'Neail		[Electronically	Filed] D	ate 02	/ DDD / YDY Y Y Y 2014
NOTE: Submission of false, errone	eous, or incomplete	information may su	ubject the pers	on signing th	is Report to th	e penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)
Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 01 01 2014 To: 01 31 2014

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2014		198729.54
	(b) Cash on Hand at Beginning of Reporting Period	198729.54	
	(c) Total Receipts (from Line 19)	24152.74	24152.74
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	222882.28	222882.28
7.	Total Disbursements (from Line 31)	83543.62	83543.62
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	139338.66	139338.66
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts Is (other than loans) From: Itals/Persons Other Political Committees Inized (use Schedule A)	COLUMN A Total This Period 1199.96 22952.78 24152.74 0.00	COLUMN B Calendar Year-to-Date 1199.96 22952.78 24152.74
als/Persons Other Political Committees nized (use Schedule A) Itemized TAL (add es 11(a)(i) and (ii) I Party Committees Political Committees	22952.78 24152.74	22952.78
Political Committees Inized (use Schedule A) Itemized TAL (add les 11(a)(i) and (ii)	22952.78 24152.74	22952.78
temized (use Schedule A) TAL (add es 11(a)(i) and (ii)	22952.78 24152.74	22952.78
temized TAL (add es 11(a)(i) and (ii) I Party Committees Political Committees	22952.78 24152.74	22952.78
TAL (add es 11(a)(i) and (ii) I Party Committees Political Committees	24152.74	24152.74
es 11(a)(i) and (ii)▶ I Party Committees Political Committees	, , , , , , , , , , , , , , , , , , , ,	
Political Committees	0.00	0.00
		7
13 1 AO3)	0.00	0.00
ontributions (add Lines		
), (b), and (c)) (Carry		04450.74
	24152.74	24152.74
nittees	0.00	0.00
eceived	0.00	0.00
ments Received	0.00	0.00
debates, etc.)		
s to Line 37, page 5)	0.00	0.00
Contributions Made		
Candidates and Other		
mmittees	0.00	0.00
ral Receipts		
Interest, etc.)	0.00	0.00
om Non-Federal and Levin Funds		
Schedule H3)	0.00	0.00
unds (from Schedule H5)	0.00	0.00
ansfers (add 18(a) and 18(b))	0.00	0.00
	to Line 33, page 5)	to Line 33, page 5)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures: — (a) Allocated Federal/Non-Federal	Total Tillo I office	Calendar Tear-10-Date
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) N 5 1 101	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
(b) Other Federal Operating Expenditures	43.62	43.62
(c) Total Operating Expenditures	7	
(add 21(a)(i), (a)(ii), and (b))▶	43.62	43.62
Transfers to Affiliated/Other Party		
CommitteesContributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	83500.00	83500.00
Independent Expenditures		
(use Schedule E)	0.00	0.00
Coordinated Party Expenditures (2 U.S.C. §441a(d))	222	
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
Loop Denouments Made	0.00	0.00
Loan Repayments Made	3.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To: (a) Individuals/Persons Other		
Than Political Committees	0.00	0.00
	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(0.201.20.7)		
(d) Total Contribution Refunds	0.00	
(add Lines 28(a), (b), and (c))▶	0.00	0.00
Other Disbursements	0.00	0.00
Other disbursements	0.00	0.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)	2.22	0.00
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(ii) Levin Share(b) Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Dishurasments (add Lines 01/s), 00		
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	83543.62	02540.00
20, 27, 20, 20, 21, 20(u), 28 dilu 30(c))	03043.02	83543.62
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	83543.62	83543.62

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	24152.74	24152.74
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24152.74	24152.74
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	43.62	43.62
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	43.62	43.62

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) for each category of the Detailed Summary Page

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	(che	ck only	or	ıe)						
	X	11a		11b		11c		12		
		13		14		15		16		17

or for commercial purposes, other than usi	and Statements may not be sold or used by any pering the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Committee	
Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address One Health Plaza		Date of Receipt
		01 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-142542
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 276.92
Name of Employer Novartis Services Incorporated	Occupation Fed and Legislative Affairs -	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.92	
Full Name (Last, First, Middle Initial) Richard E Knapp Mailing Address One Health Plaza	·	Date of Receipt
——————————————————————————————————————		01 31 2014
City	State Zip Code NJ 07936	Transaction ID : A2014-142969
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 230.76
Name of Employer Novartis Pharmaceuticals	Occupation Government Affairs - Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.76	
Full Name (Last, First, Middle Initial) C. Edward D McGough		Date of Receipt
Mailing Address One Health Plaza		01 31 2014
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2014-142241 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	1
Alcon Laboratories Inc.	PRODUCTION - CEG ROLE	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 230.76	
SUBTOTAL of Receipts This Page (option	nal)	738.44
	<u> </u>	
TOTAL This Period (last page this line nu	mber only)	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

11

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 2014 31 City Zip Code State Transaction ID: A2014-142512 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals CORPORATE AFFAIRS - CEG ROLE Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) Full Name (Last, First, Middle Initial) B. Katherine E Solon Date of Receipt Mailing Address One Health Plaza 01 31 2014 City State Zip Code Transaction ID: A2014-142831 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 230.76 Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.52 SUBTOTAL of Receipts This Page (optional)..... 1199.96 TOTAL This Period (last page this line number only).....

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S	CHEDULE B (FEC Form 3X)			FOR LINE N	OR LINE NUMBER: PAGE 8					
IT	EMIZED DISBURSEMENTS	Use separate s		(check only	one)		¬			
		Detailed Summ		21b	22		24	25	26	
				27	28a	28b	28c	29	30b	
	ly information copied from such Reports and Statem for commercial purposes, other than using the nam									
\setminus	NAME OF COMMITTEE (In Full)									
	Novartis Corporation Political Action	n Committee	Э							
_	Full Name (Last, First, Middle Initial)				5					
Α.	Martin Heinrich for Senate				Date of D					
	Mailing Address P.O. Box 25763				01	31		2014	Y	
	City	tate Zip	Code		Transas	tion ID . I	2405402			
		NM 871	25		iransac	tion ID : I	3483492			
	Purpose of Disbursement Contribution			011	Amount o	f Each Di	sburseme	nt this	Period	
	Candidate Name			Category/				1000	00	
	Martin T Heinrich			Туре		7	7	1000	J.00	
	X Senate	nent For: 2018 Primary	General							
	State: NM District:	Other (specify)	7							
_	Full Name (Last, First, Middle Initial)									
В.	The Freedom Project				Date of D					
	Mailing Address 631-B Pennsylvania Ave. SE				01	10		2014	Y	
	· ·	tate Zip	Code 03		Transac	tion ID : I	B485000			
	Purpose of Disbursement Contribution		Тг	244						
	Candidate Name			011	Amount o	f Each Di	sbursemei	nt this	Period	
				Category/ Type		7	,	5000	0.00	
		ent For: 2014	_							
		Primary	General							
	President State: District:	Other (specify) •	t Applicable							
_	Full Name (Last, First, Middle Initial)				_					
C.	Friends of John Boehner				Date of D					
	Mailing Address 631-B Pennsylvania Ave. SE				01	10		2014	Y	
	,		Code		Transac	tion ID : I	B484999			
	Washington Purpose of Disbursement	DC 200	U3 							
	Contribution			011	Amount o	f Fach Di	shursemei	nt this	Period	
	Candidate Name			Category/	7 0	- 20011 21			-	
	John A Boehner			Type		7	-	5000	0.00	
	Senate	nent For: 2014 Primary Other (specify)	General							
	State: OH District: 08									
s	UBTOTAL of Disbursements This Page (optional)			<u> </u>		7		11000	.00	
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S	CHEDULE B (FEC Form 3X)			FOR LINE N	OR LINE NUMBER: PAGE 9				
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	NAME OF COMMITTEE (In Full)	•							
$ \rangle$	Novartis Corporation Political Actio	n Committe	Э						
_	Full Name (Last, First, Middle Initial)								
Α.	Democratic Congressional Campai	gn Committ	ee		Date of Di	isburseme	nt		
	Mailing Address 430 S. Capitol Street SE				01	09		014	Y
	City	State Zip	Code						
	Washington	DC 200			Transact	tion ID : B	484941		
	Purpose of Disbursement Contribution			011	Amount of	Each Dis	bursemen	t this f	Period
	Candidate Name			Category/					
				Type		7	7	15000	.00
		nent For: 2014 Primary	General						
		Other (specify)							
	State: District:		t Applicable						
	Full Name (Last, First, Middle Initial)								
В.	Republican Main Street Partnership	PAC			Date of Di				
	Mailing Address 1220 L St. NW Suite 100-263				01	10		2014	Y
	City	State Zip	Code						
	Washington	DC 200			Transact	tion ID : B	485001		
	Purpose of Disbursement Contribution		Г	011	Amount of	Fach Dis	hursemen	t this l	Period
	Candidate Name			Category/	7 tillourit of	Edon Dio	barocinicii		CHOC
				Type		,	7	5000	0.00
		nent For: 2014							
		Primary Other (specify)	General -						
	State: District:	Other (specify) v	ot Applicable						
_	Full Name (Last, First, Middle Initial)								
C.	NRCC				Date of Di	isburseme	nt		
	Mailing Address 320 1st Street SE				M M /	10		014	Υ
	Walling Address 320 1st Street SE				9.1	10		J.,	
	•		Code		Transact	tion ID : B	485003		
	Washington Purpose of Disbursement	DC 200	03						
	Contribution			011	Amount of	Each Dis	bursemen	t this f	Period
	Candidate Name			Category/				-	-
	0//			Туре		7	7	15000	.00
		nent For: 2014 Primary	General						
		Other (specify)							
	State: District:		t Applicable						
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٣	NAME OF COMMITTEE (In Full)	ic and address of any points	ai coll		ال حادا	<i>,</i> 30	mont CO	i iti IL	,atiOHS	11	JIII SU	O11 CC	J1111111	uce.	
$ \rangle$	Novartis Corporation Political Actio	n Committee													
	·														
^	Full Name (Last, First, Middle Initial)						D - 1	, _C .							
A.	DSCC						Date of	t Dis			ent				
	Mailing Address 120 Maryland Ave. NE						M = M	/	1	0			014	Y	
						L		_							
	•	State Zip Code		_			Trans	acti	ion ID	 : E	348500)4			
	Washington Purpose of Disbursement	DC 20002				-				•	5550				
	Contribution		0	11		,	Amoun	t of	Each	Di	sburse	men	t this	Perio	od
	Candidate Name		Cate	-	\/			-				_			
				ype	y,				7		7		1500	0.00	
		nent For: 2014													
	Senate President	Other (specify) —													
	State: President State:	Other (specify) ▼ Not Applicable	9												
_	Full Name (Last, First, Middle Initial)														
В.	National Republican Senatorial Cm	nte					Date of	f Dis	sburse	eme	ent				
							M = M	/	D	D	7/	Y	Y	Y	
	Mailing Address 425 Second Street NE			_			01			0	J L	_ 2	014		
	City	State Zin Cada													
	City S Washington	State Zip Code DC 20002					Trans	sact	ion ID) : E	B4850	05			
	Purpose of Disbursement		_	-	_	1									
	Contribution		C)11		1	Amoun	t of	Each	Di	sburse	men	t this	Perio	od
	Candidate Name		Cate		y/								1500	0.00	
	Office Sought: House Disbursen	nent For: 2014	- F	ype				-	1		7				
	Senate Sought.	Primary General													
		Other (specify)													
	State: District:	Not Applicable	е												
_	Full Name (Last, First, Middle Initial)														
C.	New Democrat Coalition PAC						Date of	f Dis	sburse	eme	ent				
	Mailing Address 233 Pennsylvania Ave. SE 2nd FI						M = M	/	1	0			014	Y	
	Maning Address 233 Fellisylvalla Ave. SE ZNO FI						ΟI	-		J	4 1		J 17		
	,	State Zip Code					Trans	act	ion IF) - [B48500	16			
		DC 20003						Juot	.011 10		000				
	Purpose of Disbursement Contribution		0	11			A no		F	Ь.	ab			D- ··'	~ d
	Candidate Name			-	24/	1	Amoun	ι O†	∟ach	υi	spurse	men	-	_	οα
			Cate T	egor ype	y/								500	0.00	
	Office Sought: House Disburser	nent For: 2014				1 '			7		7				
	Senate	Primary General													
	President Pictrict	Other (specify) ▼													
	State: District:														
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	FOR LINE	NUMBER: PAGE 11 OI	F 11
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io and address of any points	<u>a. committee to</u>	CONOR CONTRIBUTION WON COUNTRIBUTION	· · · ·
n Committee			
		Date of Disbursement	Y
		01 31 2014	
State Zip Code		Transaction ID : B485491	
20003			
	011	Amount of Each Disbursement this Pe	eriod
	Type	2500.0	00
nent For: 2014 Primary General Other (specify) ▼			
		Date of Disbursement	
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State Zip Code			
	· · · ·	Amount of Each Disbursement this Pe	eriod
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		Date of Disbursement	V
State Zip Code			
	Category/	Amount of Each Disbursement this Pe	erioa
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		83500.0	00
	for each category of the Detailed Summary Page nents may not be sold or usine and address of any political politica	Use separate schedule(s) for each category of the Detailed Summary Page Items may not be sold or used by any persue and address of any political committee to the analysis of the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address of any political committee to the DC 20003 Items may not be sold or used by any persue and address o	Use separate schedule(s) for each category of the Detailed Summary Page