Image# 201808149119619498
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FEC

FORM 3X

## **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

					Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If over the lin	typing, type es.	12FE4M5	
Novartis Corporation P	olitical Action Co	mmittee			
ADDRESS (number and street)	801 Pennsylvania Ave	. NW Suite 700			
Check if different than previously reported. (ACC)	Washington				20004-2608
2. FEC IDENTIFICATION NU		CITY <b>▲</b>		STATE 🔺	ZIP CODE
C C00033969		3. IS THIS REPORT	NEW (N) <b>OR</b>	AME (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)	May 20 (M5) Jun 20 (M6)		20 (M8) Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12)
(a) Quarterly Reports:		Mar 20 (M3) Apr 20 (M4)	Jul 20 (M7)		20 (M9) Dec 20 (M12) (Non-Election Year Only) 0 (M10) Jan 31 (YE)
April 15 Quarterly Report (Q	(C) 12-Day	_			
July 15 Quarterly Report (Q	PRE-Electio		tion (12C)	General (* Special (1	
October 15 Quarterly Report (Q January 31			/ D D /	Y Y Y Y Y Y	in the
Year-End Report (Y July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day		(30G)	Runoff (30	
Termination Report (TER)	Report for t		/ D D /	Y Y Y Y Y	in the State of
5. Covering Period 07		018 throu	igh 07	/ D D / 31	2018
I certify that I have examined thi Type or Print Name of Treasurer	O'Neail, Shawn, , ,	est of my knowledge	and belief it is tru	ue, correct and	complete.
O'Ned Signature of Treasurer	uil, Shawn, , ,	[Electron	nically Filed]	Date 08	/ D D / Y Y Y Y 14 2018
NOTE: Submission of false, errone	eous, or incomplete infor	mation may subject the	e person signing th	nis Report to the	-
Office Use Only					FEC FORM 3X Rev. 05/2016

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#### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 05/2016) Page 2 Write or Type Committee Name Novartis Corporation Political Action Committee M D Y М D M T. 07 01 2018 07 31 2018 Report Covering the Period: From: To: COLUMN A COLUMN B This Period Calendar Year-to-Date (a) Cash on Hand 6. Y 115984.18 January 1, 2018 (b) Cash on Hand at 66176.25 Beginning of Reporting Period..... 19281.96 144553.63 Total Receipts (from Line 19) ..... (C) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 260537.81 85458.21 6(a) and 6(c) for Column B)..... 13518.15 188597.75 7. Total Disbursements (from Line 31)..... 8. Cash on Hand at Close of Reporting Period 71940.06 71940.06 (subtract Line 7 from Line 6(d)) ..... Debts and Obligations Owed TO 9. the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Novartis Corporation Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	10646.19	49321.12
(ii) Unitemized	8635.77	90232.51
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)	19281.96	139553.63
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	47. 47. 47.	
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	19281.96	139553.63
2. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
4. Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
5. Refunds of Contributions Made	- Aga, -	
to Federal Candidates and Other		
Political Committees	0.00	5000.00
7. Other Federal Receipts	47. 47. 48.	
(Dividends, Interest, etc.)	0.00	0.00
<ol> <li>Transfers from Non-Federal and Levin Funds</li> </ol>		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
<ol> <li>Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))</li> </ol>	10291.06	144553.63
12, 13, 14, 13, 10, 17, and 10(0))	19281.96	144000.00
. Total Federal Receipts		
	10291.06	444550.00

(subtract Line 18(c) from Line 19) ......

19281.96

1.1	 	 				-	
				14	145	53.63	3
	 100	 	100				

Page 3

I

#### DETAILED SUMMARY PAGE

of Disbursements

	COLUMN A	COLUMN B
II. Disbursements	Total This Period	Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.0
(ii) Non-Federal Share	0.00	0.0
(b) Other Federal Operating Expenditures	18.15	97.7
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b))	18.15	97.7
Committees Contributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	13500.00	188500.00
Independent Expenditures (use Schedule E) Coordinated Party Expenditures	0.00	0.0
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.0
Loan Repayments Made	0.00	0.00
Loans Made Refunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.0
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))		0.00
Other Disbursements (Including		
Non-Federal Donations)	0.00	0.00
Federal Election Activity (52 U.S.C. § 3010 (a) Allocated Federal Election Activity (from Schedule H6)	)1(20))	
(i) Federal Share	0.00	0.00
<ul><li>(ii) "Levin" Share</li><li>(b) Federal Election Activity Paid</li></ul>	0.00	0.00
Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	13518.15	188597.75
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	13518.15	188597.75

#### DETAILED SUMMARY PAGE

of Disbursements

COLUMN A

**Total This Period** 

FEC	Form	3X	(Rev.	05/2016)	)
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#### III. Net Contributions/ Operating Expenditures

33.	Total Contributions (other than loans)
	(from Line 11(d), page 3)
34.	Total Contribution Refunds
	(from Line 28(d))
35.	Net Contributions (other than loans)
	(subtract Line 34 from Line 33)
36.	Total Federal Operating Expenditures
	(add Line 21(a)(i) and Line 21(b))
37.	Offsets to Operating Expenditures
	(from Line 15, page 3)
38.	Net Operating Expenditures

(subtract Line 37 from Line 36) .....

		-7			-	19281.96
						0.00
		-	1		-	0.00
						19281.96
		-		1	-7	
						18.15
	÷	-7	÷	÷	-	
	_	_	_	_	_	0.00
	÷	-7	÷	÷	-	
L.		-7-			-7-	18.15

		-7-			-7-	139553.63
		-			-	0.00
		-			,	139553.63
						97.75
				_		
Γ.	Ť	-	÷	÷	-	0.00

COLUMN B

Calendar Year-to-Date

#### Page 5

Use separate schedule(s)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(checl	(check only one)						
II EIVILED RECEIFIS		for each category of the Detailed Summary Page	X	ŀ		11b	11c	12		
Any information copied from such Reports and or for commercial purposes, other than using			erson for							
NAME OF COMMITTEE (In Full)	_									
Novartis Corporation Political	Action Con	nmittee								
Full Name of Individual (Last, First, Middle Ammon, Brian, S, ,	Initial) or Full C	organization Name	Da	te of	Re	ceipt				
Mailing Address One Health Plaza			N	07	/	D D D D 06	/ Y	2018	Y	
City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th	474072 his Period		
FEC ID number of contributing federal political committee.	С					,		30.0	00	
Name of Employer (for Individual) NBS		upation (for Individual) outy Head NPMR Alcon & SDZ		Me	mo	Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 420.00	]							
Full Name of Individual (Last, First, Middle B. Ammon, Brian, S, ,	Initial) or Full C	organization Name	Da	ite of	Re	ceipt				
Mailing Address One Health Plaza			N	07	/	D D D 20	/ Y	2018	Y	
City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th	603238 nis Period		
FEC ID number of contributing federal political committee.	С					y. 1		30.0	00	
Name of Employer (for Individual) NBS		upation (for Individual) buty Head NPMR Alcon & SDZ		Me	mo	Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00	]							
Full Name of Individual (Last, First, Middle C. Anderson, Kurt, , ,	Initial) or Full C	Organization Name	Da	ite of	Re	ceipt				
Mailing Address One Health Plaza			N	07	/	D D D 20	/ Y	2018	Y	
City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2018-1603239 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С			_		, .	, y	57.6	69	
Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs		Me	mo	ltem				
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 230.76	]							
SUBTOTAL of Receipts This Page (optional)						, .	. ,	117.6	69	
TOTAL This Period (last page this line numb	per only)					,				

#### :97 `A=G79 @@5 B9CIG`H9LH`F9 @5 H98 `HC`5 `F9DCFHžG7<98 I@9 `CF`+H9A=N5 H=CB

Form/Schedule: SA11AI Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

Use separate schedule(s)

FOR LINE NUMBER:

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			Use separate schedule(s)			(check only one)						
			for each category of the Detailed Summary Page		<b>1</b> 1a		11b 14	11c 15	12	17		
	y information copied from such Reports and Sta for commercial purposes, other than using the				for the		pose of	soliciting	contribu	tions		
$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initi Arline, Andrew, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				м м 07	/	D 10 20	) / Y	y y 2018	Ŷ		
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th				
	FEC ID number of contributing federal political committee.	С							13.	85		
	Name of Employer (for Individual) Pharma		upation (for Individual) dio Regional Sales Dir-Great Lak	es	M	emo	ttem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.75	]								
B.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Astley, Glenn, , ,						eceipt					
	Mailing Address One Health Plaza			м м 07	1	06		үүү 2018	Y			
	City	State NJ	Zip Code 07936	_				A2018-1				
	East Hanover FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period								
	Name of Employer (for Individual) Pharma	Occi Sen		M	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	]								
C.	Full Name of Individual (Last, First, Middle Initi Astley, Glenn, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza	Otata	Zin Oode		07 <sup>M</sup>	/	20		2018	Y		
	City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2018-1603017 Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	С			Ľ.		y	. ,	15.	00		
	Name of Employer (for Individual) Pharma		upation (for Individual) ior Area Business Leader		M	emo	o Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00	]								
s	UBTOTAL of Receipts This Page (optional)			•			<b>y</b>	,	43.	85		
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Use separate schedule(s)

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			for each category of the Detailed Summary Page		<b>′</b> 11a 13		11b 14	11c	12	17				
	v information copied from such Reports and Stat or commercial purposes, other than using the n				for the		pose o	f soliciting	, contribu	tions				
$\overline{)}$	NAME OF COMMITTEE (In Full)													
	Novartis Corporation Political Act	ion Corr	nmittee											
	Full Name of Individual (Last, First, Middle Initia Barkhausen, Susana, V, ,	l) or Full Oi	rganization Name		Date of	Re	eceipt							
	Mailing Address One Health Plaza				07 06 2018									
	City East Hanover	State NJ	Zip Code 07936					: A2018-1 Receipt th						
	FEC ID number of contributing rederal political committee.	С						-	15.	00				
	Name of Employer (for Individual) Pharma		upation (for Individual) 2 Sr ABL Miami		Me	emo	o Item							
I	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00											
	Full Name of Individual (Last, First, Middle Initia Barkhausen, Susana, V, ,	l) or Full Oi	rganization Name		Date of	Re	eceipt							
-	Mailing Address One Health Plaza				07 / 20 / Y Y Y Y 2018									
	City	State Zip Code NJ 07936						A2018-1		_				
-						Amount of Each Receipt this Period								
	FEC ID number of contributing rederal political committee.	С		15.00										
	Name of Employer (for Individual) Pharma	Occupation (for Individual) CV2 Sr ABL Miami			Me	emo	tem Item							
I	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00											
	Full Name of Individual (Last, First, Middle Initia Barnett, Allison, , ,	l) or Full Oi	rganization Name		Date of	Re	eceipt							
I	Mailing Address One Health Plaza				<sup>M</sup> 07	/	06		2018	Y				
(	City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2018-1474076 Amount of Each Receipt this Period									
	FEC ID number of contributing ederal political committee.	С			<u> </u>		<b>y</b>	9	57.	69				
	Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs		M	emo	o Item							
Ī	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 587.52											
รเ	JBTOTAL of Receipts This Page (optional)			•					87.	69				
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	y information copied from such Reports and St for commercial purposes, other than using the				for the		pose of	soliciting	contribu	tions			
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Con	nmittee										
Α.	Full Name of Individual (Last, First, Middle Initi Barnett, Allison, , ,	ial) or Full O	rganization Name		Date of Receipt								
	Mailing Address One Health Plaza			07 20 / Y Y Y 2018									
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th					
	FEC ID number of contributing federal political committee.	С					т. т. т. т.	y	57.	69			
	Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs		M	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 645.21										
в.	Full Name of Individual (Last, First, Middle Initi Barninger, Michael, C, ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
	Mailing Address One Health Plaza				м м 07	1	06	) / Y	ү 2018	Y			
	City	State	Zip Code					A2018-1					
	East Hanover FEC ID number of contributing federal political committee.	NJ 07936					Each H	Receipt th	iis Period 17.	00			
	Name of Employer (for Individual) Oncology		upation (for Individual) ector Breast Marketing		M	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 238.00	]									
с.	Full Name of Individual (Last, First, Middle Initi Barninger, Michael, C, ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
	Mailing Address One Health Plaza				07 <sup>M</sup>	1	20		ү ү 2018	Y			
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th					
	FEC ID number of contributing federal political committee.	С			Ľ.		,	. ,	17.	00			
	Name of Employer (for Individual) Oncology		upation (for Individual) ctor Breast Marketing		M	emo	ttem						
	Receipt For: Primary General Other (specify)												
s	UBTOTAL of Receipts This Page (optional)			•			, .	. ,	91.	69			
т	OTAL This Period (last page this line number of	only)		•			-	-					

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TEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17										
Any information copied from such Reports and or for commercial purposes, other than using th			erson for the purpose of soliciting contributions										
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Cor	nmittee											
Full Name of Individual (Last, First, Middle In A. Baron, Neilda, A, ,	nitial) or Full C	Organization Name	Date of Receipt										
Mailing Address One Health Plaza			07 06 / Y Y Y Y 07 06 2018										
City	State NJ	Zip Code	Transaction ID : A2018-1474159										
East Hanover	INJ	07936	Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		50.00										
Name of Employer (for Individual) Oncology		upation (for Individual) Dir Medical Services	Memo Item										
Receipt For:	Aggregate	Year-to-Date V											
Primary General Other (specify) ▼		700.00	]										
Full Name of Individual (Last, First, Middle II B. Baron, Neilda, A, ,	nitial) or Full C	Organization Name	Date of Receipt										
Mailing Address One Health Plaza			07 20 Y Y Y Y 2018										
City	State	Zip Code	Transaction ID : A2018-1603026										
East Hanover	NJ	07936	Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	ů l												
Name of Employer (for Individual) Oncology		upation (for Individual) Dir Medical Services	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 750.00	]										
Full Name of Individual (Last, First, Middle In C. Baroni Allmon, Tracy, L, ,	nitial) or Full C	Organization Name	Date of Receipt										
Mailing Address One Health Plaza			07 06 / Y Y Y Y 07 06 2018										
City	State	Zip Code	Transaction ID : A2018-1474077										
East Hanover	NJ	07936	Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		60.00										
Name of Employer (for Individual) Corporate		upation (for Individual) State Public Policy	Memo Item										
Receipt For:		Year-to-Date ▼	-										
Primary General Other (specify)		]											
SUBTOTAL of Receipts This Page (optional)			160.00										
TOTAL This Period (last page this line numbe													

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	D RECEIPTS	-	Use separate schedule(s)	(ch	eck only	y or	ne)							
			for each category of the Detailed Summary Page		<b>1</b> 1a		11b	11c	12					
	ion copied from such Reports a ercial purposes, other than using													
\	COMMITTEE (In Full) is Corporation Politica	I Action Com	nmittee											
A. Baroni A	e of Individual (Last, First, Middl Allmon, Tracy, L, ,	e Initial) or Full O	rganization Name		Date of Receipt									
Mailing Ac	ddress One Health Plaza				07 20 2018									
City East Han	over	State NJ	Zip Code 07936		Transaction ID : A2018-1603243 Amount of Each Receipt this Period									
	umber of contributing litical committee.	C		60.00										
Corporate			upation (for Individual) State Public Policy		Me	emc	tem							
Receipt F		Aggregate	Year-to-Date ▼ 900.00	]										
B. Billings	e of Individual (Last, First, Middl , <b>Michael, J, ,</b> ddress One Health Plaza	e Initial) or Full O	rganization Name		Date of	f Re	eceipt		YYYY	Y				
City		State	State Zip Code			Ĺ	06	6	2018					
East Hand	over	NJ	07936				-		1474688 this Period	ł				
	umber of contributing litical committee.	C					-			.00				
Name of Oncology	Employer (for Individual)	upation (for Individual) ctor - Global Onc. Portfolio Comi	m.	Me	emc	tem								
Receipt F		Aggregate	Year-to-Date ▼ 280.00	]										
	e of Individual (Last, First, Middl s, Michael, J, ,	e Initial) or Full O	rganization Name		Date of	Re	eceipt							
Mailing Ac	ddress One Health Plaza				м м 07	/	20		2018	Y				
City East Han	over	State NJ	Zip Code 07936						•1603038 this Period	ł				
	umber of contributing litical committee.	C			<u> </u>		y 1	 	20	.00				
Oncology	Employer (for Individual)		upation (for Individual) ctor - Global Onc. Portfolio Comn	n.	Me	emo	o Item							
Receipt F		Aggregate	Aggregate Year-to-Date ▼ 300.00											
	of Receipts This Page (optiona		, ,	<u> </u>	<u> </u>	-	, .	. , ,	100	.00				

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			for each category of the Detailed Summary Page	2	<b>4</b> 11a		11b	11c	12			
	y information copied from such Reports and St for commercial purposes, other than using the											
	NAME OF COMMITTEE (In Full)	name anu a			UNCIL CON	and		SUCI SUCI	Committe			
$\rangle$	Novartis Corporation Political Ac	tion Con	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initi Booth, Taylor, , ,	al) or Full O	rganization Name		Date of	Re	ceipt					
	Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018								
	City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th	603245 his Period			
	FEC ID number of contributing federal political committee.	С			<u> </u>		7	· ·	96.1	5		
	Name of Employer (for Individual) Corporate		upation (for Individual) ctor Fed Gov't Affairs		Me	emo	Item					
	Receipt For: Primary General Other (specify) ▼	Aggregate										
B.	Full Name of Individual (Last, First, Middle Initi Borill, Troy, L, ,	al) or Full O	rganization Name		Date of	Re	ceipt					
	Mailing Address One Health Plaza				M M 07	1	06	/ Y	2018	Y		
	City East Hanover	State NJ	Zip Code 07936				-	A2018-1				
	FEC ID number of contributing federal political committee.	C				or	Each R	eceipt tr	his Period 21.6	3		
	Name of Employer (for Individual) Oncology		upation (for Individual) Oncology Specialist		Me	emo	Item	,				
	Receipt For:		Year-to-Date ▼									
	Primary General Other (specify) ▼		298.21	]								
С.	Full Name of Individual (Last, First, Middle Initi Borill, Troy, L, ,	al) or Full O	rganization Name		Date of	Re	ceipt					
	Mailing Address One Health Plaza				<sup>M</sup> 07	1	D D 20	/ Y	2018	Y		
	City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th	603051 his Period			
	FEC ID number of contributing federal political committee.	С			<u> </u>		<u>,</u>	, y	21.6	3		
	Name of Employer (for Individual) Oncology	Cupation (for Individual) Memo Item										
	Receipt For: Primary General Other (specify)	Aggregate	]									
s	UBTOTAL of Receipts This Page (optional)			•			9	. ,	139.4	1		
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	EMIZED RECEIPIS	for each cate Detailed Sum		<b>X</b> 11a 11b 11c 12								
	y information copied from such Reports and Statemer for commercial purposes, other than using the name											
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee										
Α.	Full Name of Individual (Last, First, Middle Initial) or Brooks, Michael, D, , Mailing Address One Health Plaza	Full Organization Nam	le	Date of Receipt								
	City Sta	te Zip Code		07 06 2018 Transaction ID : A2018-1474712								
	East Hanover NJ	07936		Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.			20.00								
	Name of Employer (for Individual) Oncology	Occupation (for Indiv Sr Oncol Area Sales	,	Memo Item								
	Receipt For:     Aggr       Primary     General       Other (specify) ▼	egate Year-to-Date V	280.00									
В.	Full Name of Individual (Last, First, Middle Initial) or Brooks, Michael, D, ,	Full Organization Nam	e	Date of Receipt								
	Mailing Address One Health Plaza			07 20 / Y Y Y Y Y 07 20 2018								
	City Sta East Hanover N.			Transaction ID : A2018-1603062 Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.			20.00								
	Name of Employer (for Individual) Oncology	Occupation (for Indiv Sr Oncol Area Sales	,	Memo Item								
	Receipt For:     Aggr       Primary     General       Other (specify) ▼	egate Year-to-Date ▼	300.00									
С.	Full Name of Individual (Last, First, Middle Initial) or Bylancik, Angela, D, ,	Full Organization Nam	e	Date of Receipt								
	Mailing Address One Health Plaza			07 06 / Y Y Y Y 07 06								
	City Sta	'		Transaction ID : A2018-1474725								
	East Hanover N	07936		Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.			50.00								
	Name of Employer (for Individual) Pharma	Occupation (for Indiv Ex Dir BD&L Alliance	,	Memo Item								
		egate Year-to-Date 🔻										
	Primary     General       Other (specify)		700.00									
s	UBTOTAL of Receipts This Page (optional)			90.00								
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NAME OF COMMITTEE (In F	-									
> Novartis Corporatior	Political Action Con	nmittee								
Full Name of Individual (Last, Bylancik, Angela, D, ,	. ,	rganization Name	Date o	f Receipt						
Mailing Address One Health F	ʻlaza		07 20 2018							
City East Hanover	State NJ	Zip Code 07936		saction ID : t of Each F						
FEC ID number of contributin federal political committee.	<sup>g</sup>		50.00							
Name of Employer (for Individ Pharma	,	upation (for Individual) Dir BD&L Alliance Mgmt	M	lemo Item						
Receipt For: Primary Gener Other (specify) ▼		Year-to-Date ▼ 750.00								
Full Name of Individual (Last, B. Calabrese, Ashley, , ,	First, Middle Initial) or Full O	rganization Name	Date o	f Receipt						
Mailing Address One Health F	laza		м м 07	/ D 06		2018	Y			
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East Hanover	NJ	07936	Amoun	t of Each F	Receipt th	is Period				
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Name of Employer (for Individ Corporate	,	upation (for Individual) oc Director State Government Aff		lemo Item						
Receipt For:		Year-to-Date 🔻								
Primary Gener Other (specify) ▼		367.38								
Full Name of Individual (Last, Calabrese, Ashley, , ,		rganization Name	Date o	f Receipt						
Mailing Address One Health F			07	/ D 20		2018	Y			
City East Hanover	State NJ	Zip Code 07936		saction ID : t of Each F						
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Name of Employer (for Individ Corporate	,	upation (for Individual) oc Director State Government Affa		lemo Item						
Receipt For: Primary Gener Other (specify)		Year-to-Date ▼ 425.07								
SUBTOTAL of Receipts This Pa	age (optional)	•		,	,	165.3	8			
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#### SCHEDULE A (FEC Form 3X) - . . . . . . DEAEIDTA

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171			Use separate schedule(s)	(ch								
			for each category of the Detailed Summary Page	>	<b>′</b> 11a 13		11b 14	11c	12	1		
	y information copied from such Reports and for commercial purposes, other than using the				for the		pose of	f soliciting	g contrib	utions		
	NAME OF COMMITTEE (In Full)											
	Novartis Corporation Political A	Action Con	nmittee									
Α.	Full Name of Individual (Last, First, Middle In Caldwell, Julie, D, ,	nitial) or Full O	Organization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza Ste 725			07 / D D / Y Y Y Y 07 06 2018								
	City East Hanover	State NJ	Zip Code 07936					: A2018-1 Receipt th		d		
	FEC ID number of contributing federal political committee.	С			57.69							
	Name of Employer (for Individual) Pharma		upation (for Individual) matology Executive Sales Specialis	st	Me	emo	o Item					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 257.66									
в.	Full Name of Individual (Last, First, Middle In Caldwell, Julie, D, ,	nitial) or Full O	Organization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza Ste 725				м м 07	/	20		y y 2018	Y		
	City East Hanguar	State NJ	Zip Code	_				A2018-1				
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	FEC ID number of contributing federal political committee.	C					-		57	.69		
	Name of Employer (for Individual) Pharma	Employer (for Individual) Occupation (for Individual) Dermatology Executive Sales Specialis					Memo Item					
	Receipt For: Primary General	Aggregate	Year-to-Date ▼									
	Other (specify) ▼		, 315,35									
С.	Full Name of Individual (Last, First, Middle In Campbell, Kimberley, J, ,	nitial) or Full O	Organization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				<sup>M</sup> 07	1	D 06		үүү 2018	Y		
	City East Hanover	State NJ	Zip Code 07936					: A2018-1 Receipt th		d		
	FEC ID number of contributing federal political committee.	С			Ľ.		y .	9	25	5.00		
	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ad Oncology Medical Affairs		M	em	o Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 350.00									
s	UBTOTAL of Receipts This Page (optional)			_			,		140	.38		
т	OTAL This Period (last page this line numbe	r only)					-					

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PAGE 17 OF

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	Detailed Summary Page	$\begin{array}{c c c c c c c c c c c c c c c c c c c $
or for commercial purposes, other than usin		any person for the purpose of soliciting contributions mittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name of Individual (Last, First, Midd <b>A.</b> Campbell, Kimberley, J, ,	lle Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 20 / Y Y Y Y 2018
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-1602982
	143 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) Head Oncology Medical Affairs	Memo Item
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	375.00	
Full Name of Individual (Last, First, Midd B. Casserly, Daniel, P, ,	le Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 06 _2018 _
City	State Zip Code	Transaction ID : A2018-1474081
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.30
Name of Employer (for Individual) Corporate	Occupation (for Individual) Head of Fed Government Affairs	s Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2692.20	
Full Name of Individual (Last, First, Midd C. Casserly, Daniel, P, ,	le Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 20 2018
City	State Zip Code	Transaction ID : A2018-1603247
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	192.30
Name of Employer (for Individual) Corporate	Occupation (for Individual) Head of Fed Government Affairs	Memo Item
Receipt For:	Aggregate Year-to-Date ▼	·
Primary General		
Other (specify)	2884.50	
SUBTOTAL of Receipts This Page (option	al)	409.60
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TEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12								
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or for commercial purposes, other than using			erson for the purpose of soliciting contributions e to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Political	I Action Corr	nmittee									
Full Name of Individual (Last, First, Middle <b>A.</b> Christensen-Boner, Barbara, , ,	e Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza											
City	State NJ	Zip Code	Transaction ID : A2018-1474082								
East Hanover	113	07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		96.15								
Name of Employer (for Individual) Corporate		ipation (for Individual) ctor State Government Affairs	Memo Item								
Receipt For:	Aggregate	Year-to-Date 🔻									
Primary General Other (specify) ▼		730.25	]								
Full Name of Individual (Last, First, Middle <b>3. Christensen-Boner, Barbara</b> , , ,	e Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 20 2018								
City	State	Zip Code	Transaction ID : A2018-1603248								
East Hanover	NJ	07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		96.15								
Name of Employer (for Individual) Corporate		upation (for Individual) ctor State Government Affairs	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 826.40	]								
Full Name of Individual (Last, First, Middle C. Clary, Cathryn, M, ,	e Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 06 2018								
City	State	Zip Code	Transaction ID : A2018-1474659								
East Hanover	NJ	07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		77.00								
Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item								
Global Drug Development	Glob	al Head Patient Affairs and Policy	/								
Receipt For:	Aggregate	Year-to-Date 🔻									
Other (specify)		1078.00	]								
SUBTOTAL of Receipts This Page (optional	)		269.30								
TOTAL This Period (last page this line num			-								

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12								
			13     14     15     16     1       erson for the purpose of soliciting contributions e to solicit contributions from such committee.								
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> Novartis Corporation Politic	cal Action Con	nmittee									
Full Name of Individual (Last, First, Mic Clary, Cathryn, M, ,	Idle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 20 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603097 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		77.00								
Name of Employer (for Individual) Global Drug Development		upation (for Individual) bal Head Patient Affairs and Polic	y Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	]								
Full Name of Individual (Last, First, Mic B. Cofone, Stephen, R, ,	Idle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474086								
FEC ID number of contributing		07930	Amount of Each Receipt this Period								
federal political committee.	C		15.00								
Name of Employer (for Individual) NBS		upation (for Individual) Ifolio Svc Design Excellence Mgr	Memo Item								
Receipt For:	Aggregate	Year-to-Date ▼									
Other (specify) ▼		210.00	]								
Full Name of Individual (Last, First, Mic Cofone, Stephen, R, ,	Idle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 20 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603252 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		15.00								
Name of Employer (for Individual) NBS		upation (for Individual) folio Svc Design Excellence Mgr	Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00	]								
SUBTOTAL of Receipts This Page (option	nal)		107.00								
TOTAL This Period (last page this line nu	umber only)										

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			ny not be sold or used by any ddress of any political commit		for the		oose of	soliciting	contribu	tions						
\	OMMITTEE (In Full) Corporation Politica	I Action Com	nmittee													
Full Name of <b>A.</b> Cohen, Se	<sup>;</sup> Individual (Last, First, Middle th, A, ,	e Initial) or Full O	rganization Name		Date of Receipt											
Mailing Addre	ess One Health Plaza				07 06 2018											
City East Hanove	r	State NJ	Zip Code 07936					A2018-1 Receipt th								
	ber of contributing cal committee.	C							21.	00						
Name of Em NBS	ployer (for Individual)		upation (for Individual) ness Insights - Cardiovascular	M	emo	Item										
Receipt For: Primary Other (	/ General (specify) ▼	Aggregate	Year-to-Date ▼ 294.00													
B. Cohen, S	f Individual (Last, First, Middle eth, A, , PSS One Health Plaza	e Initial) or Full O	rganization Name		Date of	Re	ceipt		ÝÝÝ	V						
City		State	State Zip Code				07 20 2018 Transaction ID : A2018-1603253									
East Hanove	r	NJ	07936					Receipt th								
	ber of contributing cal committee.	С	C Occupation (for Individual) Business Insights - Cardiovascular			21.00										
Name of Em NBS	ployer (for Individual)					emo	Item									
Receipt For: Primary Other (	y General (specify) ▼	Aggregate	Aggregate Year-to-Date ▼ 315.00													
Full Name of <b>C. Collins</b> , J	<sup>i</sup> Individual (Last, First, Middle Julie, A, ,	e Initial) or Full O	rganization Name		Date of	Re	ceipt									
	ess One Health Plaza				07 <sup>M</sup>	1	06		ү ү 2018	Y						
City East Hanove	er	State NJ	Zip Code 07936					A2018-1 Receipt th								
FEC ID numl federal politic	ber of contributing cal committee.	C			<u> </u>		y .	. ,	46.	15						
Alcon	ployer (for Individual)		upation (for Individual) al Head Digital		M	emo	tem									
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Novartis Corporation Politic	al Action Con	nmittee												
Full Name of Individual (Last, First, Mid Collins, Julie, A, ,	dle Initial) or Full O	rganization Name		Date of	f Re	;ceipt								
Mailing Address One Health Plaza				M M / D D / Y Y Y Y 07 20 2018										
City	State	Zip Code		Trans	acti	ion IC	): A	2018-1	603468					
East Hanover	NJ	07936	A	Amount	t of	Each	Re	ceipt th	is Period					
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Name of Employer (for Individual) Alcon		upation (for Individual) pal Head Digital		Μ	emo	b Item	ı							
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Primary General Other (specify) ▼		692.25	]											
Full Name of Individual (Last, First, Mid Colpitts, Scott, G, ,	dle Initial) or Full O	rganization Name		Date of	f Re	ceipt								
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018											
City	State	Zip Code						2018-1						
East Hanover	NJ	07936	A						is Period					
FEC ID number of contributing federal political committee.	С			22.00										
Name of Employer (for Individual) Novartis Technical Operations		Occupation (for Individual) Head of Facilities & Utility Maint. (A					Memo Item							
Receipt For:	Aggregate	Aggregate Year-to-Date ▼												
Other (specify) ▼														
Full Name of Individual (Last, First, Mid C. Colpitts, Scott, G, ,	dle Initial) or Full O	rganization Name		Date of	f Re	;ceipt								
Mailing Address One Health Plaza				м м 07		D	20	/ Y	ү 2018	Y				
City	State	Zip Code		Trans	sacti	ion II	D : A	2018-1	603102					
East Hanover	NJ	07936	A	\moun	t of	Each	Re	ceipt th	is Period					
FEC ID number of contributing federal political committee.	C					y		9	22.	00				
Name of Employer (for Individual)		upation (for Individual)		М	emo	o Item	n							
Novartis Technical Operations	I	d of Facilities & Utility Maint. (A												
Receipt For:	Aggregate	Year-to-Date <b>V</b>												
Other (specify)		264.00												
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116	EMIZED RECEIPTS			or each category of the betailed Summary Page		×	11a 13		-	11b 14		11c 15		12 16	17				
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\	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Com	m	ittee															
	Full Name of Individual (Last, First, Middle Initial) or Conley, Michael, A, ,	<sup>r</sup> Full Org	gan	ization Name	Date of Receipt														
	Mailing Address One Health Plaza					07 / 06 / 2018 Transaction ID : A2018-1474665													
	City St. East Hanover N.	tate IJ		Zip Code 07936								2018-1 ceipt th							
	FEC ID number of contributing federal political committee.						mour		-	acri	net	ceipt ti		23.	08				
	Name of Employer (for Individual) Pharma		•	on (for Individual) sident Trade Ops & Analytics		l	N	lemo	οI	ltem									
	Receipt For:     Agg       Primary     General       Other (specify) ▼	gregate Y	Yea ,	r-to-Date ▼ 323.12															
	Full Name of Individual (Last, First, Middle Initial) or Conley, Michael, A, ,	<sup>r</sup> Full Orç	gan	ization Name		D	ate c	of Re	ece	eipt									
	Mailing Address One Health Plaza					07 / D D / Y Y Y Y 20 2018													
	5	State     Zip Code       NJ     07936								Transaction ID : A2018-1603103 Amount of Each Receipt this Period									
	FEC ID number of contributing federal political committee.	;	23.08									08							
	Name of Employer (for Individual) Pharma	Occupation (for Individual) Vice President Trade Ops & Analytics							οI	ltem									
	Receipt For:     Agg       Primary     General       Other (specify) ▼	gregate Y	Yea	r-to-Date ▼ 346.20															
	Full Name of Individual (Last, First, Middle Initial) or Connors, Elenora, , ,	<sup>r</sup> Full Orç	gan	ization Name		D	ate c	of Re	ece	eipt									
	Mailing Address One Health Plaza					ľ	<sup>™</sup> 07	/	1	D 0		/ Y		)18 <sup>°</sup>	Y				
	5	tate NJ		Zip Code 07936		_						2018-1							
	FEC ID number of contributing federal political committee.					A	mour	it of	, F	ach	Red	ceipt th	IIS P	96.	15				
	Name of Employer (for Individual) Corporate		•	on (for Individual) Fed Gov't Affairs		l	Ν	lemo	0	ltem									
	Receipt For:     Agg       Primary     General       Other (specify)	gregate Y	Yea	r-to-Date ▼ 576.90															
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or for commercial purposes, other than usir		any person for the purpose of soliciting contributions nmittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name of Individual (Last, First, Midc A. Connors, Elenora, , ,	lle Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 / D D / Y Y Y Y 20 2018
City	State Zip Code	Transaction ID : A2018-1603254
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	96.15
Name of Employer (for Individual) Corporate	Occupation (for Individual) Director Fed Gov't Affairs	Memo Item
Receipt For:	Aggregate Year-to-Date ▼	
Primary     General       Other (specify) ▼	673.0	)5
Full Name of Individual (Last, First, Midc B. Conoshenti, Joseph, J, ,	lle Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 06 2018
City	State Zip Code	Transaction ID : A2018-1474667
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	30.00
Name of Employer (for Individual) Oncology	Occupation (for Individual) Director Strat. Market Access F	RD Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 420.0	00
Full Name of Individual (Last, First, Midc C. Conoshenti, Joseph, J, ,	lle Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 20 2018
City	State Zip Code	Transaction ID : A2018-1603105
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer (for Individual) Oncology	Occupation (for Individual) Director Strat. Market Access R	RD Memo Item
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify)	450.0	
SUBTOTAL of Receipts This Page (option	al)	156.15
TOTAL This Period (last page this line nur	nber only)	

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
II LIVIIZED REGEIFIJ		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17							
			person for the purpose of soliciting contributions to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee								
Full Name of Individual (Last, First, Mid Consier, Kirby, , ,	dle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 / Y Y Y Y Y 07 06 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474089 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		57.69							
Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 696.90	]							
Full Name of Individual (Last, First, Mid Consier, Kirby, , ,	dle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza	Chata	Zin Oode	07 / D D / Y Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603255 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		57.69							
Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 754.59	]							
Full Name of Individual (Last, First, Mid Coombs, Seth, , ,	dle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address 350 Massachusetts Ave	enue		07 06 / Y Y Y Y							
City Cambridge	State MA	Zip Code 02139	Transaction ID : A2018-1474117 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		46.15							
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) Dncology and Injectable Products	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 646.10	]							
SUBTOTAL of Receipts This Page (option	ial)		161.53							
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			for each category of the Detailed Summary Page		<b>1</b> 1a		11b	11c	12				
			ay not be sold or used by any pe ddress of any political committee										
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Novartis Corp	oration Political Ac	ction Con	nmittee										
Full Name of Individ A. Coombs, Seth, ,	ual (Last, First, Middle Init ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
Mailing Address 350	) Massachusetts Avenue				07 20 / Y Y Y Y 2018								
City Cambridge		State MA	Zip Code 02139		Transaction ID : A2018-1602984           Amount of Each Receipt this Period								
FEC ID number of c federal political com	0	С							46.1	15			
Name of Employer ( Sandoz Inc.	(for Individual)		upation (for Individual) Oncology and Injectable Products		Me	emc	tem						
Receipt For: Primary Other (specify)	General ) ▼	Aggregate	Year-to-Date ▼ 692.25										
B. Coraggio, Ryar		ial) or Full O	rganization Name		Date of	Re	eceipt						
Mailing Address One	e Health Plaza				07	/	06	) / Y	2018	Y			
City East Hanover		State NJ	Zip Code 07936	-			-	A2018-1	474671 his Period				
FEC ID number of c federal political com	0	С					1		25.0	00			
Name of Employer ( Oncology	(for Individual)		upation (for Individual) ociate Director		Me	emc	tem						
Receipt For: Primary Other (specify)	General ) ▼	Aggregate	Year-to-Date ▼ 350.00										
Full Name of Individ c. Coraggio, Rya	ual (Last, First, Middle Init	ial) or Full O	rganization Name		Date of	Re	eceipt						
Mailing Address On	e Health Plaza				м м 07	/	D 20		2018	Y			
City East Hanover		State NJ	Zip Code 07936					A2018-1 Receipt th	603109 his Period				
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Name of Employer (for Individual) Oncology			upation (for Individual) ociate Director		Me	emo	tem						
Receipt For: Primary Other (specify)	General	Aggregate											
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	▲         11a         11b         11c         12           13         14         15         16         1									
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee										
Full Name of Individual (Last, First, Middle Corcoran, Mary, E, ,	e Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			07 06 / Y Y Y Y Y 07 06 2018									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474672 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		15.00									
Name of Employer (for Individual) Oncology		upation (for Individual) _ Director	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 204.23										
Full Name of Individual (Last, First, Middle B. Corcoran, Mary, E, , Mailing Address One Health Plaza	e Initial) or Full O	rganization Name	Date of Receipt									
City	State	Zip Code	07 20 2018 Transaction ID : A2018-1603110									
East Hanover	NJ	07936	Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С	15.00										
Name of Employer (for Individual) Oncology		upation (for Individual) L Director	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219,23										
Full Name of Individual (Last, First, Middle C. Couture, Eric, C, ,	e Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474677           Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		20.00									
Name of Employer (for Individual) Global Drug Development		upation (for Individual) d RA GDD Neuroscience	Memo Item									
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 280.00										
SUBTOTAL of Receipts This Page (optiona	,		<b>&gt;</b> 50.00									

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	×	11a 13		11b 14	11c	12	17			
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NAME OF COMMITTEE (In Full)	-											
> Novartis Corporation Politic	al Action Con	nmittee										
Full Name of Individual (Last, First, Mide Couture, Eric, C, ,	lle Initial) or Full O	rganization Name	D	ate of	Re	ceipt						
Mailing Address One Health Plaza				07 / <sup>D</sup> D D / <sup>Y</sup> Y Y Y Y 20 2018								
City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th	603115 his Period				
FEC ID number of contributing federal political committee.	C							20.	00			
Name of Employer (for Individual) Global Drug Development		upation (for Individual) d RA GDD Neuroscience		Me	emo	tem						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 300.00										
Full Name of Individual (Last, First, Mide <b>B.</b> Daugherty, Mark, , ,	lle Initial) or Full O	rganization Name	D	ate of	Re	ceipt						
Mailing Address One Health Plaza				07	/	06		2018	Y			
City East Hanover	State NJ	Zip Code 07936				-	A2018-1					
FEC ID number of contributing federal political committee.	С			Amount of Each Recei				15.0	_			
Name of Employer (for Individual) Oncology		Occupation (for Individual) Sr Oncology Specialist										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	]									
Full Name of Individual (Last, First, Mido C. Daugherty, Mark, , ,	lle Initial) or Full O	rganization Name	D	ate of	Re	ceipt						
Mailing Address One Health Plaza				м м 07	1	D 20		2018 <sup>°</sup>	Y			
City East Hanover	State NJ	Zip Code 07936					: A2018-1 Receipt th	603123 his Period				
FEC ID number of contributing federal political committee.	С		ļ		_	y .	7	15.	00			
Name of Employer (for Individual) Oncology		upation (for Individual) ncology Specialist		Me	emc	ttem						
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00	]									
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ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	
or for commercial purposes, other than using		any person for the purpose of soliciting contributions mittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name of Individual (Last, First, Middle A. Deason, Terry, H, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza	State Zip Code	07 06 2018
East Hanover	NJ 07936	Transaction ID : A2018-1474183 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer (for Individual) Oncology	Occupation (for Individual) MSL Director	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 280.00	
Full Name of Individual (Last, First, Middle B. Deason, Terry, H, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 20 Y Y Y Y
City East Hanover	StateZip CodeNJ07936	Transaction ID : A2018-1603132 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer (for Individual) Oncology	Occupation (for Individual) MSL Director	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
Full Name of Individual (Last, First, Middle C. Dixon, Dwayne, T, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		07 06 2018
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-1474748 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	50.00
Name of Employer (for Individual) Alcon	Occupation (for Individual) Director Market Development HC	A Memo Item
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 700.00	
SUBTOTAL of Receipts This Page (optional)	)	90.00
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	y information copied from such Reports and Star for commercial purposes, other than using the n				or the		pose of	soliciting	g contrib	utions			
$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	ion Corr	nmittee										
Α.	Full Name of Individual (Last, First, Middle Initia Dixon, Dwayne, T, ,	l) or Full Oi	rganization Name		ate o		eceipt						
	Mailing Address One Health Plaza			07 20 2018									
	City East Hanover	State NJ	Zip Code 07936					A2018-1 leceipt th	603471 nis Perio	d			
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	Name of Employer (for Individual) Alcon		upation (for Individual) octor Market Development HQ	1	М	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 750.00										
B	Full Name of Individual (Last, First, Middle Initia Ellis, Fred, , ,	l) or Full Oi	rganization Name		ate o	f Bc	oceint						
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	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474202 Amount of Each Receipt this Period									
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	Name of Employer (for Individual) Pharma		upation (for Individual) cutive Director - Professional Affa	1	М	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00										
<u></u> с.	Full Name of Individual (Last, First, Middle Initia Ellis, Fred, , ,	l) or Full Oi	rganization Name	D	ate o	f Re	eceipt						
	Mailing Address One Health Plaza				<sup>M</sup> 07	/	20	) / Y	2018	Y			
	City East Hanover	State NJ	Zip Code 07936					A2018-1 leceipt th	1603151 nis Perio	d			
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	Name of Employer (for Individual) Pharma		upation (for Individual) cutive Director - Professional Affa		М	lemo	tem						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 315.00										
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$\backslash$	NAME OF COMMITTEE (In Full)	-																
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Α.	Full Name of Individual (Last, First, Middle Ini Esquea, Alison, , ,	ame of Individual (Last, First, Middle Initial) or Full Organization Name ea. Alison								Date of Receipt								
<b>~</b> .	Mailing Address 608 Fifth Avenue				-		_	_	ipi	1	v	V	V					
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	Sandoz Inc.	Dire	ecto	rSandoz Health Policy														
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D.	Mailing Address 608 Fifth Avenue					Date o			•	1	v	v	V					
						07	<b> </b> '	ľ	20	, Υ	20	)18						
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	Name of Employer (for Individual) Sandoz Inc.		•	tion (for Individual) rSandoz Health Policy		M	emo	o Ite	em									
	Receipt For:	Aggregate	Yea	ar-to-Date 🔻														
	Primary General Other (specify) ▼			450.00	1													
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	Mailing Address One Health Plaza					07			06	/ Y		)18	Y					
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	federal political committee.	•						y		y	-		-					
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	y information copied from such Reports and for commercial purposes, other than using th					for the		pos	se of s	solicitin		ntribut	ions		
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Con	nm	ittee											
A.	Full Name of Individual (Last, First, Middle Ir Fairchild, Michael, E, ,	nitial) or Full C	Drgar	nization Name	Date of Receipt										
	Mailing Address One Health Plaza					м м 07	1		D D D 20	/ Y		ү 018	Y		
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C.	Full Name of Individual (Last, First, Middle Ir Farber, Leo, A, ,	hitial) or Full C	Drgar	nization Name		Date o	f Re	ecei	ipt						
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$\square$	NAME OF COMMITTEE (In Full)												
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Α.	Full Name of Individual (Last, First, Middle In Fellers, Thomas, S, ,	itial) or Full O	organization Name	Date of	Date of Receipt								
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	Name of Employer (for Individual) Pharma		upation (for Individual) dical Account Management & FME		lemo Item								
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в.	Full Name of Individual (Last, First, Middle In Fellers, Thomas, S, ,	itial) or Full O	organization Name	Date of	of Receipt								
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	y information copied from such Reports and St for commercial purposes, other than using the												
<u> </u>	NAME OF COMMITTEE (In Full)												
$\rangle$	Novartis Corporation Political Ac	tion Con	nmittee										
Α.	Full Name of Individual (Last, First, Middle Initi Fry, Amy, , ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
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	City East Hanover	State NJ	Zip Code 07936					: A2018-1 Receipt th	603233 nis Period				
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	Name of Employer (for Individual)		upation (for Individual) US Country Head Communication	s	Me	emo	o Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 750.00										
	Full Name of Individual (Last, First, Middle Initi Gaudin, David, E, ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
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	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00										
	Full Name of Individual (Last, First, Middle Initi Gaudin, David, E, ,	ial) or Full O	rganization Name		Date of	Re	eceipt						
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	Name of Employer (for Individual) Oncology Receipt For:		upation (for Individual) ology Sr Specialist		Me	emo	o Item						
	Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00										
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NAME OF COMMITTEE (In Full) Novartis Corporation Pol	itical Action Con	nmittee								
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name <b>A.</b> Gentry, Michael, L, ,			Da	Date of Receipt						
Mailing Address One Health Plaza			IV	07	/	06	D / Y	2018	Υ	
City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th	474095 his Period		
FEC ID number of contributing federal political committee.	C					<b>y</b>		77.	00	
Name of Employer (for Individual) NBS		upation (for Individual) Del & Ops Lead Connectivity		Me	mo	Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1078.00	]							
Full Name of Individual (Last, First, Gentry, Michael, L, , Mailing Address One Health Plaza	Middle Initial) or Full O	rganization Name		te of	Rec	ceipt		YYY	Y	
City	State	Zip Code	- L	07	ŕ	20		2018		
East Hanover	NJ	07936						nis Period		
FEC ID number of contributing federal political committee.	С			_		y		77.	00	
Name of Employer (for Individual) NBS		upation (for Individual) Del & Ops Lead Connectivity		Me	mo	Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	]							
Full Name of Individual (Last, First, Goldfarb, Steven, L, ,	Middle Initial) or Full O	rganization Name	Da	te of	Rec	ceipt				
Mailing Address One Health Plaza			IV	07 <sup>™</sup>	/	D 06		2018	Y	
City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th	1474237 his Period		
FEC ID number of contributing federal political committee.	C			_	,	y	7	77.	00	
Name of Employer (for Individual) Pharma	VP L	upation (for Individual) .egal Section Head		Me	mo	ltem				
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1078.00	1							
SUBTOTAL of Receipts This Page (or TOTAL This Period (last page this line	,			+		5		231.0	00	

Use separate schedule(s)

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	-	Use separate schedule(s)	(check only one)							
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17							
			person for the purpose of soliciting contributions be to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politi	cal Action Con	nmittee								
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name A. Goldfarb, Steven, L, ,			Date of Receipt							
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603309 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		77.00							
Name of Employer (for Individual)Occupation (for Individual)PharmaVP Legal Section Head			Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00								
Full Name of Individual (Last, First, Mi B. Gorcz, Damon, , , Mailing Address One Health Plaza	ddle Initial) or Full O	rganization Name	Date of Receipt							
City	State	Zip Code	07 06 2018 Transaction ID : A2018-1474240							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		15.00							
Name of Employer (for Individual) Pharma		upation (for Individual) cialty Area Business Leader II	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00								
Full Name of Individual (Last, First, Mi C. Gorcz, Damon, , ,	ddle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza	1		07 / D D / Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603311           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		15.00							
Name of Employer (for Individual) Pharma		upation (for Individual) cialty Area Business Leader II	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00								
SUBTOTAL of Receipts This Page (option TOTAL This Period (last page this line n	,		107.00							

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TEMIZED RECEIPTS	>	for each category of the	<b>X</b> 11a 11b 11c 12						
		Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17						
or for commercial purposes, ot	her than using the name and a		erson for the purpose of soliciting contributions e to solicit contributions from such committee.						
NAME OF COMMITTEE (In Novartis Corporatio	Full) on Political Action Cor	nmittee							
Full Name of Individual (Las A. Grande, Nancy, J, ,	Date of Receipt								
Mailing Address One Health	07 06 / Y Y Y Y Y 07 06								
City	State NJ	Zip Code	Transaction ID : A2018-1474242						
East Hanover		07936	Amount of Each Receipt this Period						
FEC ID number of contribut federal political committee.	ing		50.00						
Name of Employer (for Indiv Global Drug Development	,	upation (for Individual) Brand Safety Leader	Memo Item						
Receipt For:		Year-to-Date ▼	—						
Primary   Gen     Other (specify) ▼		700.00	]						
Full Name of Individual (Las B. Grande, Nancy, J, ,	st, First, Middle Initial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health	Plaza	07 20 2018							
City	State	Zip Code	Transaction ID : A2018-1603313						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contribut federal political committee.	ing C	50.00							
Name of Employer (for Indiv Global Drug Development	·	upation (for Individual) Brand Safety Leader	Memo Item						
Receipt For: Primary Gen Other (specify) ▼		Year-to-Date ▼ 750.00	]						
Full Name of Individual (Las C. Grzegorzewski, Kris	st, First, Middle Initial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health			07 06 2018						
City	State	Zip Code	Transaction ID : A2018-1474247						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contribut federal political committee.	ing C		40.00						
Name of Employer (for Indiv Oncology	,	upation (for Individual) CDMA Solid Tumors Franchise	Memo Item						
Receipt For:		Year-to-Date ▼	—						
Other (specify)		560.00	]						
SUBTOTAL of Receipts This	Page (optional)		140.00						
TOTAL This Period (last page	this line number only)	••••••							

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
II LIVIIZED KEVEITIJ		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17							
			person for the purpose of soliciting contributions to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee								
Full Name of Individual (Last, First, Midd Grzegorzewski, Kris, , ,	le Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603318 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		40.00							
Name of Employer (for Individual) Oncology		upation (for Individual) CDMA Solid Tumors Franchise	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 600.00	]							
Full Name of Individual (Last, First, Midd <b>B.</b> Guidi, Joseph, M, ,	le Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza	State	Zip Code	07 06 2018							
East Hanover	NJ	07936	Transaction ID : A2018-1474249 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		20.00							
Name of Employer (for Individual) Oncology		upation (for Individual) Director Commercial Strategy	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 280.00	]							
Full Name of Individual (Last, First, Midd C. Guidi, Joseph, M, ,	le Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603320 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		20.00							
Name of Employer (for Individual) Oncology		upation (for Individual) Director Commercial Strategy	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 300.00	]							
SUBTOTAL of Receipts This Page (optional	al)		80.00							
TOTAL This Period (last page this line nun	nber only)									

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	×	-		11b		1c	12			
Any information copied from such Reports and							of solid					
or for commercial purposes, other than using	the name and a	ddress of any political committee	e to so	licit cor	ntrib	utions	from	such	commit	tee.		
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee										
Full Name of Individual (Last, First, Middle A. Gulick, David, E, ,	Initial) or Full C	rganization Name	Date of Receipt									
Mailing Address One Health Plaza				м м 07	/	06		Y	ү ү 2018	Y		
City	State	Zip Code		Trans	acti	on ID	: A20	18-14	74250			
East Hanover	NJ	07936	/	Amount	t of	Each I	Recei	pt this	s Period			
FEC ID number of contributing federal political committee.	С							-	30.	00		
Name of Employer (for Individual) Pharma		upation (for Individual) ctor New Products		M	emo	Item						
Receipt For:	Aggregate	Year-to-Date ▼										
Primary General Other (specify) ▼		420.00										
Full Name of Individual (Last, First, Middle B. Gulick, David, E, ,	Initial) or Full C	rganization Name		Date of	Re	ceipt						
Mailing Address One Health Plaza				м м 07	/	D 20		Y	y y 2018	Y		
City	State	Zip Code		Trans	acti	on ID :	: A20 <sup>,</sup>	18-16	03321			
East Hanover	NJ	07936	/	Amount	of	Each I	Recei	pt this	s Period			
FEC ID number of contributing federal political committee.			30.00									
Name of Employer (for Individual) Pharma		upation (for Individual) ector New Products		M	emo	Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00										
			-									
C. Habel, Kurt, , ,	Initial) of Full C	rganization Name		Date of	Re	ceipt						
Mailing Address One Health Plaza	Otata	7.0.0.1		07	'	06	6	L	2018	Y		
City East Hanover	State NJ	Zip Code 07936							74100			
FEC ID number of contributing federal political committee.	C		/	Amount	t of	Each I	Recei	pt this	s Period 23.	_		
Name of Employer (for Individual)	Occ	upation (for Individual)	-	M	emo	Item						
NBS	Goa	ling Design and Analytics										
Receipt For:	Aggregate	Year-to-Date ▼										
Other (specify)		323.12										
SUBTOTAL of Receipts This Page (optional).			•						83.	08		
TOTAL This Period (last page this line numb	er only)		-			, , ,		,				

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
II EIVILED RECEIPIO		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1
			person for the purpose of soliciting contributions tee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee	
Full Name of Individual (Last, First, Midd Habel, Kurt, , ,	le Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			07 20 / Y Y Y Y 20 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603266 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		23.08
Name of Employer (for Individual) NBS		upation (for Individual) Iling Design and Analytics	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 346.20	
Full Name of Individual (Last, First, Midd <b>B.</b> Hallen, Paul, R, , Mailing Address One Lingth Disc.	le Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza	State	Zip Code	07 06 2018
East Hanover	NJ	07936	Transaction ID : A2018-1474754            Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.38
Name of Employer (for Individual) Alcon		upation (for Individual) bal Head VITRet & Glaucoma	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 215.32	
Full Name of Individual (Last, First, Midd C. Hallen, Paul, R, ,	le Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603477 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.38
Name of Employer (for Individual) Alcon		upation (for Individual) pal Head VITRet & Glaucoma	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 230.70	
SUBTOTAL of Receipts This Page (optionation)			<b>&gt;</b> 53.84

## SCHEDULE A (FEC Form 3X) - . . . . . . .

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			for each category of the Detailed Summary Page	× 11:		11b 14	11c 15	12	17				
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\	of COMMITTEE (In Full) rtis Corporation Political /	Action Com	nmittee										
	ne of Individual (Last, First, Middle I Sarah, E, ,	nitial) or Full O	rganization Name	Date	of R	eceipt							
Mailing /	Address One Health Plaza			M 0		06 D	/ Y	ү ү 2018	Y				
City East Ha	nover	State NJ	Zip Code 07936			tion ID : A		<b>474101</b> is Period					
	number of contributing political committee.	С				-		77.	00				
Name of Corpora	f Employer (for Individual) te		upation (for Individual) ntl Public Affairs		Mem	o Item							
	For: imary General her (specify) ▼	Aggregate	Year-to-Date ▼ 1078.00	]									
	ne of Individual (Last, First, Middle I , Sarah, E, ,	nitial) or Full O	rganization Name	Date	of R	eceipt							
Mailing /	Address One Health Plaza			0	M	20	/ Y	2018	Y				
City East Ha	nover	State NJ	Zip Code 07936			tion ID : /		603267 is Period					
FEC ID	number of contributing political committee.	C						77.	_				
Name o Corporat	f Employer (for Individual) e		upation (for Individual) Intl Public Affairs		Mem	o Item							
	For: imary General her (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	]									
	ne of Individual (Last, First, Middle I en, Kathy-Jo, B, ,	nitial) or Full O	rganization Name	Date	e of R	eceipt							
Mailing /	Address One Health Plaza			0	<sup>M</sup> 7	/ D D 06	/ Y	y y 2018	Y				
City East Ha	anover	State NJ	Zip Code 07936			tion ID :		474102 is Period					
	number of contributing political committee.	C				y .		115.	_				
NBS	f Employer (for Individual)		upation (for Individual) Federal Public Policy&Reimburse	eme	Mem	o Item							
	For: imary General her (specify)	Aggregate	Year-to-Date ▼ 650.00	]									
SUBTOTA	L of Receipts This Page (optional)					,	9	269.	00				
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			for each category of the Detailed Summary Page		<b>×</b> 11a 13	$\square$	11b 14	11c	12	Г	17	
	y information copied from such Reports and Sta for commercial purposes, other than using the				n for the		oose of	soliciting	contrib		าร	
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Corr	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initia Hayden, Kathy-Jo, B, ,	al) or Full Or	rganization Name		Date of	f Re	ceipt					
	Mailing Address One Health Plaza				м м 07	/	D D 20	/ Y	Y Y 2018	Y	]	
	City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th		d		
	FEC ID number of contributing federal political committee.	С					7		11:	5.00		
	Name of Employer (for Individual) NBS		ipation (for Individual) Federal Public Policy&Reimbu	ırsem	М	emo	Item					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 765.00									
в.	Full Name of Individual (Last, First, Middle Initia Hellberg, Mark, R, , Mailing Address One Health Plaza	al) or Full Or	rganization Name		Date of	f Re	ceipt	/ Y	Y Y	Y		
	City	State	Zip Code		07	acti	06	A2018-1	2018			
	East Hanover	NJ	07936		Amoun	t of	Each R	eceipt th	is Perio	d		
	FEC ID number of contributing federal political committee.	C					7	-	50	0.00		
	Name of Employer (for Individual) NIBR		upation (for Individual) cutive Director Chemical Tech	noloç	M	emo	Item					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 700.00									
C.	Full Name of Individual (Last, First, Middle Initia Hellberg, Mark, R, ,	al) or Full Or	rganization Name		Date of	f Re	ceipt					
	Mailing Address One Health Plaza				м м 07	/	D D D 20	/ Y	2018 <sup>°</sup>	Y	]	
	City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th				
	FEC ID number of contributing federal political committee.	С					y .	, <u>,</u>	50	0.00		
	Name of Employer (for Individual) NIBR		pation (for Individual) utive Director Chemical Tech	nologi	М	emo	Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 750.00									
	UBTOTAL of Receipts This Page (optional)				-	_	9		218	5.00	-	
Т	OTAL This Period (last page this line number o	nly)		🕨			-	-		-		

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ITEIMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12								
Any information copied from such Reports an or for commercial purposes, other than using			13     14     15     16     17       erson for the purpose of soliciting contributions to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee									
Full Name of Individual (Last, First, Middle A. Herpin, Misty, B, ,	Initial) or Full C	organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 06 / 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474273								
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period								
Name of Employer (for Individual) Oncology		upation (for Individual) Dncology Specialist	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00									
Full Name of Individual (Last, First, Middle B. Herpin, Misty, B, ,	Initial) or Full C	organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 20 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603344 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		15.00								
Name of Employer (for Individual) Oncology		upation (for Individual) Dncology Specialist	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00									
Full Name of Individual (Last, First, Middle C. Hilkert, Robert, J, ,	Initial) or Full C	Organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 06 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474276         Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		23.08								
Name of Employer (for Individual) Global Drug Development		upation (for Individual) oal Program Clinical Head	Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 323.12									
SUBTOTAL of Receipts This Page (optional)			53.08								
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
ILEIVIIZED RECEIFIS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17							
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee								
Full Name of Individual (Last, First, Mide Hilkert, Robert, J, ,	dle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603347 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		23.08							
Name of Employer (for Individual) Global Drug Development		upation (for Individual) oal Program Clinical Head	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 346.20								
Full Name of Individual (Last, First, Mide <b>B.</b> Hill, Holli, , , Mailing Address One Health Plaza	dle Initial) or Full O	rganization Name	Date of Receipt							
City	State	Zip Code	07 06 2018 Transaction ID : A2018-1474806							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		21.00							
Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00								
Full Name of Individual (Last, First, Mide C. Hill, Holli, , ,	dle Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 20 Y Y Y Y Y 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603270 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		21.00							
Name of Employer (for Individual) Corporate		upation (for Individual) State Government Affairs	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 315.00								
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			for each category o Detailed Summary		×	11a 13		11b 14	11c	12	Г	17		
	y information copied from such Reports and Sta for commercial purposes, other than using the r					or the		pose of	f soliciting	g contrit	outio	ns		
$\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Corr	nmittee											
Α.	Full Name of Individual (Last, First, Middle Initia Hokanson, William, C, ,	al) or Full O	rganization Name			Date o	f Re	eceipt						
	Mailing Address One Health Plaza					м м 07	/	06		2018		]		
	City East Hanover	State NJ	Zip Code 07936						: A2018-1 Receipt th					
	FEC ID number of contributing federal political committee.	С								1	5.00			
	Name of Employer (for Individual) Oncology		upation (for Individual) ctor Regional Marketing	)		Μ	emo	ttem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2'	10.00										
Β.	Full Name of Individual (Last, First, Middle Initia Hokanson, William, C, , Mailing Address One Health Plaza	al) or Full O	rganization Name			Date o		eceipt		YY	Y			
	City	State	Zip Code			07		20		2018				
	East Hanover	NJ	07936						A2018-1 Receipt th					
	FEC ID number of contributing federal political committee.	С									5.00			
	Name of Employer (for Individual) Oncology		upation (for Individual) ctor Regional Marketing	g		Μ	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2	25.00										
	Full Name of Individual (Last, First, Middle Initia Hughes, Donald, , ,	al) or Full O	rganization Name			Date o	f Re	eceipt						
	Mailing Address One Health Plaza					<sup>M</sup> 07	1	06		2018	Y	]		
	City East Hanover	State NJ	Zip Code 07936						: A2018-1 Receipt th					
	FEC ID number of contributing federal political committee.	С						y	, y	3	0.00			
	Name of Employer (for Individual) Corporate		upation (for Individual) ctor State Public Policy			M	lemo	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 42	20.00										
	JBTOTAL of Receipts This Page (optional)							, .	· ·	6	0.00			

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
II EIVILED RECEIPIO		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12           13         14         15         16         1							
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee								
Full Name of Individual (Last, First, Midd A. Hughes, Donald, , ,	le Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 20 Y Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603273 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		30.00							
Name of Employer (for Individual) Corporate		upation (for Individual) ctor State Public Policy	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00								
Full Name of Individual (Last, First, Midd <b>B.</b> Hughson, Melody, , , Mailing Address One Health Plaza	le Initial) or Full O	rganization Name	Date of Receipt							
City	State	Zip Code	07 06 2018 Transaction ID : A2018-1474810							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		50.00							
Name of Employer (for Individual) NBS		upation (for Individual) Federal Public Policy & Reimbu	rser Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 700.00								
Full Name of Individual (Last, First, Midd C. Hughson, Melody, , ,	le Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza	1		07 / D D / Y Y Y Y 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603274           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		50.00							
Name of Employer (for Individual) NBS		upation (for Individual) Federal Public Policy & Reimbur	rsem							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 750.00								
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line nur			▶ 130.00 ▶							

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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				Detailed Summary Page	×	11a 13		] 11b		11c 15		12 16	17	
An or	y information copied from such Reports and for commercial purposes, other than using th	Statements mane and a	ay r addr	not be sold or used by any p ess of any political committee	erson fo	or the	purp ntrib	pose	e of so	oliciting	) COI 1 CO	ntribut	ions	
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Cor	nm	iittee										
A.	Full Name of Individual (Last, First, Middle I Hyland, Carlos, , ,	nitial) or Full C	Drga	nization Name		Date of	f Re	eceip	pt					
	Mailing Address One Health Plaza			1		07 06 / Y Y Y Y 2018								
	City East Hanover	State NJ		Zip Code 07936						2018-1 ceipt th				
	FEC ID number of contributing federal political committee.	С			[			-			_	15.0	0	
	Name of Employer (for Individual) Sandoz Inc.		•	tion (for Individual) ead - Sandoz LatAm		M	emo	b Ite	em					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Yea	ar-to-Date ▼ 210.00	1									
B.	Full Name of Individual (Last, First, Middle I Hyland, Carlos, , ,	nitial) or Full C	Drga	nization Name		Date of	f Re	eceip	pt					
	Mailing Address One Health Plaza					м м 07	/	D	20	/ Y	20	)18	Y	
	City East Hanover	State NJ		Zip Code 07936						2 <b>018-1</b> 0 ceipt th			_	
	FEC ID number of contributing federal political committee.	С						-			_	15.0	0	
	Name of Employer (for Individual) Sandoz Inc.		•	tion (for Individual) ead - Sandoz LatAm		M	emo	b Ite	em					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Yea	ar-to-Date ▼ 225.00	]									
C.	Full Name of Individual (Last, First, Middle I Jarvis, Edgar, L, ,	nitial) or Full C	Drga	nization Name		Date of	f Re	eceip	pt					
	Mailing Address One Health Plaza					<sup>M</sup> 07	1	D	06	/ Y		)18 )18	Y	
	City East Hanover	State NJ		Zip Code 07936						2018-1 ceipt th				
	FEC ID number of contributing federal political committee.	С	Ì					1 1		J.		16.1	5	
	Name of Employer (for Individual) Pharma			tion (for Individual) ABL - HOUSTON		М	emc	o Ite	em					
	Receipt For: Primary General Other (specify)	Aggregate	Yea	ar-to-Date ▼ 226.10	]									
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т	OTAL This Period (last page this line numbe	r only)			. [			-		-	_			

## SCHEDULE A (FEC Form 3X) \_ \_ \_ .

Use separate schedule(s)

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check	(check only one)							
		for each category of the Detailed Summary Page	<b>X</b> 11		11b 14	11c	12 16	17			
Any information copied from such Reports a or for commercial purposes, other than using			person for t	he pu	rpose of	f soliciting	contribut	tions			
NAME OF COMMITTEE (In Full)		_									
> Novartis Corporation Politica	I Action Con	nmittee									
Full Name of Individual (Last, First, Middl A. Jarvis, Edgar, L, ,	e Initial) or Full O	rganization Name	Date	e of F	eceipt						
Mailing Address One Health Plaza				™ )7	/ D 20		y y 2018	Y			
City East Hanover	State NJ	Zip Code 07936				A2018-1 Receipt th					
FEC ID number of contributing federal political committee.	С				-	-	16.1	15			
Name of Employer (for Individual) Pharma		upation (for Individual) 2 Sr ABL - HOUSTON		Merr	io Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 242.25	]								
Full Name of Individual (Last, First, Middl	e Initial) or Full O	rganization Name	Dut	(							
B. Joines, Mark, A, , Mailing Address One Health Plaza			M	e or ⊢ )7	leceipt		2018	Y			
City	State NJ	Zip Code				A2018-1					
East Hanover FEC ID number of contributing federal political committee.	C	07936		ount o	f Each F	Receipt th	iis Period 15.0	00			
Name of Employer (for Individual) Pharma		upation (for Individual) nflammatory Account Mgr		Merr	io Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	]								
Full Name of Individual (Last, First, Middl C. Joines, Mark, A, ,	e Initial) or Full O	rganization Name	Date	e of F	eceipt						
Mailing Address One Health Plaza				)7	/ 20		2018	Y			
City East Hanover	State NJ	Zip Code 07936				: <b>A2018-1</b> Receipt th					
FEC ID number of contributing federal political committee.	С						15.(	00			
Name of Employer (for Individual) Pharma		upation (for Individual) flammatory Account Mgr		Men	no Item						
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00	]								
SUBTOTAL of Receipts This Page (optiona	l)				, .		46.1	15			
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
ITEINIZED REGEIFIS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1							
			person for the purpose of soliciting contributions tee to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee								
Full Name of Individual (Last, First, Middle Jones, Heather, , ,	Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474306 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		15.00							
Name of Employer (for Individual) Oncology		upation (for Individual) Regional Acct. Management	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00								
Full Name of Individual (Last, First, Middle B. Jones, Heather, , , Mailing Address One Health Plaza	Initial) or Full O	rganization Name	Date of Receipt							
City	State	Zip Code	07 20 2018 Transaction ID : A2018-1603377							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		15.00							
Name of Employer (for Individual) Oncology		upation (for Individual) Regional Acct. Management	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00								
Full Name of Individual (Last, First, Middle C. Kamal, Tawfik, , ,	Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474310           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		50.00							
Name of Employer (for Individual) Oncology		upation (for Individual) Glb Head of Bus. Exc & Oper	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 700.00								
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line numl			▶ 80.00							

Use separate schedule(s)

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II LIVIIZED REGEIFIJ		for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12	17					
			person for the	e purpose o	of soliciting	g contribut	tions					
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee										
A. Kamal, Tawfik, , ,	dle Initial) or Full O	rganization Name	Date of	of Receipt								
Mailing Address One Health Plaza	ormation copied from such Reports and Statements may not be sold or used by any commercial purposes, other than using the name and address of any political committed committed.         Account of the second of		07 D D / Y Y Y Y 20 2018									
City East Hanover				saction ID								
FEC ID number of contributing federal political committee.	С					50.0	00					
Name of Employer (for Individual) Oncology		,	N	lemo Item								
	Aggregate											
Full Name of Individual (Last, First, Mid <b>B.</b> Kameros, Dean, B, ,	Date of	of Receipt										
Mailing Address One Health Plaza					07 / D D / Y Y Y Y 06 / 2018							
City East Hanover				saction ID : nt of Each I								
FEC ID number of contributing federal political committee.	С	Occupation (for Individual)			25.00							
Name of Employer (for Individual) NBS												
	Aggregate		]									
Full Name of Individual (Last, First, Mid C. Kameros, Dean, B, ,	dle Initial) or Full O	rganization Name	Date of	of Receipt								
Mailing Address One Health Plaza			07			2018 <sup>°</sup>	Y					
City East Hanover				<b>saction ID</b> nt of Each I								
FEC ID number of contributing federal political committee.	C			· · · ·	. y	25.0	00					
Name of Employer (for Individual) NBS		1 ( )	N	Memo Item								
Primary General	Aggregate	375.00										
SUBTOTAL of Receipts This Page (option	nal)			,	9	100.0	00					
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17						
			13     14     15     16     17       erson for the purpose of soliciting contributions e to solicit contributions from such committee.						
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Cor	nmittee							
Full Name of Individual (Last, First, Midd A. Kan, Sarah, G, ,	lle Initial) or Full C	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 07 06 2018						
City	State NJ	Zip Code	Transaction ID : A2018-1474813						
East Hanover	INJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		77.00						
Name of Employer (for Individual) Corporate		upation (for Individual) ector State Public Policy	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1078.00	]						
Full Name of Individual (Last, First, Midd B. Kan, Sarah, G, ,	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, ,								
Mailing Address One Health Plaza			07 20 2018						
City	State	Zip Code	Transaction ID : A2018-1603277						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		77.00						
Name of Employer (for Individual) Corporate		upation (for Individual) ector State Public Policy	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	]						
Full Name of Individual (Last, First, Midd C. Karlsons, Erik, L, ,	lle Initial) or Full C	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			07 20 2018						
City	State	Zip Code	Transaction ID : A2018-1603385						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		13.85						
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item						
Pharma		Sr Area Business Leader - Washir	ngt						
Receipt For:	Aggregate	Year-to-Date V							
Primary General Other (specify)		207.75	]						
SUBTOTAL of Receipts This Page (option	al)		167.85						
TOTAL This Period (last page this line nur	mber only)	••••••							

Use separate schedule(s)

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)					
II EIVIIZED REGEIFIS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         □					
			person for the purpose of soliciting contributions to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee						
Full Name of Individual (Last, First, Mid A. Kendrick, Lisa, , ,	dle Initial) or Full C	organization Name	Date of Receipt					
Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing         federal political committee.         Name of Employer (for Individual)         Pharma         Receipt For:         Primary         Other (specify) ▼         Full Name of Individual (Last, First, Middle Init Kendrick, Lisa, , ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing         federal political committee.         Name of Employer (for Individual)			07 06 / Y Y Y Y 2018					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474318 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		15.00					
Name of Employer (for Individual) Pharma		upation (for Individual) cialty Area Business Leader II	Memo Item					
	Aggregate	Year-to-Date ▼ 210.00	]					
B. Kendrick, Lisa, , ,	Date of Receipt							
			07 / D D / Y Y Y Y 20 2018					
•	State NJ	Zip Code 07936	Transaction ID : A2018-1603389 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		15.00					
Name of Employer (for Individual) Pharma		upation (for Individual) ecialty Area Business Leader II	Memo Item					
	Aggregate	Year-to-Date ▼ 225.00	]					
Full Name of Individual (Last, First, Mid C. Kendris, Thomas, N, ,	dle Initial) or Full C	organization Name	Date of Receipt					
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 06 / 2018					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474069           Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		100.00					
Name of Employer (for Individual) Corporate		upation (for Individual) sident Novartis Corp & US Cntry I	Pre Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1400.00	]					
SUBTOTAL of Receipts This Page (option	' nal)		130.00					
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	-	Use separate schedule(s)	(check on	(check only one)					
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Any information copied from such Rep or for commercial purposes, other than			erson for the	purpose of	f soliciting	contribu	tions		
NAME OF COMMITTEE (In Full) Novartis Corporation Pol	itical Action Com	nmittee							
Full Name of Individual (Last, First, Kendris, Thomas, N, ,	Middle Initial) or Full O	rganization Name	Date c	of Receipt					
Mailing Address One Health Plaza			M N 07	/ D 1 20		Y Y 2018	Y		
City East Hanover	State NJ	Zip Code 07936		saction ID : nt of Each F					
FEC ID number of contributing federal political committee.	C					100.	00		
Name of Employer (for Individual) Corporate		upation (for Individual) ident Novartis Corp & US Cntry F		lemo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1500.00	1						
B. Kettler III, Edward, W, ,									
Mailing Address 6201 South Freewa	-	75.0.1	07	/ D 06		ү ү 2018	Y		
City Fort Worth	State TX	Zip Code 76134-2001		saction ID :					
FEC ID number of contributing federal political committee.	C				Amount of Each Receipt this Period				
Name of Employer (for Individual) NBS									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00	]						
Full Name of Individual (Last, First, C. Kettler III, Edward, W, ,	Middle Initial) or Full O	rganization Name	Date c	of Receipt					
Mailing Address 6201 South Freewa	Ŋ		07	/ 20		2018	Y		
City Fort Worth	State TX	Zip Code 76134-2001		saction ID : nt of Each F					
FEC ID number of contributing federal political committee.	C				. ,	21.	00		
Name of Employer (for Individual) NBS		upation (for Individual) ain Architect Generics & Biosimil:		lemo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 315.00	]						
SUBTOTAL of Receipts This Page (o	ptional)			. , .		142.0	00		
TOTAL This Period (last page this line	e number only)	••••••	. <u>L</u>						

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
II EIVILLED KEGEIFIJ		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         1							
			person for the purpose of soliciting contributions tee to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee								
Full Name of Individual (Last, First, Middle A. Kincaid, Michael, C, ,	e Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603392           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		14.04							
Name of Employer (for Individual) Oncology		upation (for Individual) Oncology Sales	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.60								
Full Name of Individual (Last, First, Middle <b>B.</b> Knewtson, Chad, , ,	Date of Receipt									
Mailing Address 4169 58th Street South	01-1-	Zie Och	07 06 2018							
City Fargo	State ND	Zip Code 58104	Transaction ID : A2018-1474327           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С									
Name of Employer (for Individual) Pharma		upation (for Individual) diovascular Area Business L	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00								
Full Name of Individual (Last, First, Middle C. Knewtson, Chad, , ,	e Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address 4169 58th Street South			07 / D D / Y Y Y Y 20 2018							
City Fargo	State ND	Zip Code 58104	Transaction ID : A2018-1603398           Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		15.00							
Name of Employer (for Individual) Pharma		upation (for Individual) diovascular Area Business L	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00								
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line num	,		• 44.04							

Use separate schedule(s)

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗     11a     11b     11c     12       13     14     15     16     17							
or for commercial purposes, other than using			erson for the purpose of soliciting contributions							
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee								
Full Name of Individual (Last, First, Middle Kowalski, Robert, W, ,	Initial) or Full C	organization Name	Date of Receipt							
Mailing Address One Health Plaza	State	Zip Code	07 06 2018							
City East Hanover	State NJ	07936	Transaction ID : A2018-1474333 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		46.15							
Name of Employer (for Individual) Global Drug Development		upation (for Individual) bal Head RA US Head Developme	n Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 646.10								
Full Name of Individual (Last, First, Middle B. Kowalski, Robert, W, ,										
Mailing Address One Health Plaza			07 20 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603404 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		46.15							
Name of Employer (for Individual) Global Drug Development		upation (for Individual) bal Head RA US Head Developme	er Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 692.25								
Full Name of Individual (Last, First, Middle C. Krayacich, John, M, ,	Initial) or Full C	organization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474334							
FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period							
Name of Employer (for Individual) Pharma		upation (for Individual) Head NPC Strategic Plng & BD & I	Memo Item							
Receipt For:	Aggregate	Year-to-Date ▼								
Other (specify)		420.00								
SUBTOTAL of Receipts This Page (optional)		•••••	122.30							
TOTAL This Period (last page this line numb	er only)	•								

Use separate schedule(s)

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ITEMIZED RECEIPTS	·	Use separate schedule(s)	(check only o	(check only one)								
		for each category of the Detailed Summary Page	<b>×</b> 11a	11b 11c	12	17						
			person for the pu	urpose of soliciting	g contributi	ons						
NAME OF COMMITTEE (In Full) Novartis Corporation Polit	ical Action Com	nmittee										
A. Krayacich, John, M, ,	iddle Initial) or Full O	rganization Name	Date of F	leceipt								
Novartis Corporation Political /         Full Name of Individual (Last, First, Middle I         Krayacich, John, M, ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.         Name of Employer (for Individual)         Pharma         Receipt For:         Primary         General         Other (specify) ▼         Full Name of Individual (Last, First, Middle I         Kuenzel, Andrea, , ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.         Name of Employer (for Individual)         Pharma         Receipt For:         Primary       General         Other (specify) ▼         Full Name of Individual (Last, First, Middle I         Kuenzel, Andrea, , ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.         City         East Hanover         FEC ID number of contributing federal political committee.         FEC ID number of contributing federal political committe			07	07 20 2018								
City East Hanover	State NJ	Zip Code 07936		ction ID : A2018-1 of Each Receipt th								
FEC ID number of contributing federal political committee.	C				30.0	0						
Name of Employer (for Individual) Pharma		upation (for Individual) Head NPC Strategic PIng & BD &		no Item								
	Aggregate	Year-to-Date ▼ 450.00										
Full Name of Individual (Last, First, M <b>Kuenzel, Andrea</b> , , , Mailing Address One Health Plaza	Date of F	Receipt	Y Y	Y								
City	State	Zip Code	07	06	2018							
East Hanover	NJ	07936		of Each Receipt th								
FEC ID number of contributing federal political committee.	С			21.00								
Name of Employer (for Individual) Pharma		upation (for Individual) lical Science Liaison Assoc Direc		no Item								
	Aggregate	Year-to-Date ▼ 302.00	]									
Full Name of Individual (Last, First, M C. Kuenzel, Andrea, , ,	iddle Initial) or Full O	rganization Name	Date of F	Receipt								
			M M 07	/ D D / Y 20	2018	Y						
	State NJ	Zip Code 07936		ction ID : A2018-1 of Each Receipt th								
FEC ID number of contributing federal political committee.	C			, ,	21.0	0						
		upation (for Individual) ical Science Liaison Assoc Direc		no Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 323.00	]									
SUBTOTAL of Receipts This Page (opt	,				72.0	0						

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12						
Any information copied from such Reports an or for commercial purposes, other than using			13     14     15     16     17       erson for the purpose of soliciting contributions       to solicit contributions from such committee.						
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee							
Full Name of Individual (Last, First, Middle A. Landrus, Francis, C, ,	Initial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018						
City	State	Zip Code	Transaction ID : A2018-1474345						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		15.00						
Name of Employer (for Individual) Global Drug Development		upation (for Individual) iior Global Program Project Manaç	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00							
Full Name of Individual (Last, First, Middle B. Landrus, Francis, C, ,									
Mailing Address One Health Plaza									
City	State	Zip Code	Transaction ID : A2018-1603416						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		15.00						
Name of Employer (for Individual) Global Drug Development		upation (for Individual) nior Global Program Project Manag	Ge Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00							
Full Name of Individual (Last, First, Middle C. Lawrence, Todd, , ,	Initial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 07 06 2018						
City	State	Zip Code	Transaction ID : A2018-1474351						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		50.00						
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item						
Pharma	Ass	ociate Director Systems of Care							
Receipt For:	Aggregate	Year-to-Date 🔻							
Other (specify)		600.00							
SUBTOTAL of Receipts This Page (optional)		••••••	. 80.00						
TOTAL This Period (last page this line numb	per only)	•							

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$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee								
A.	Full Name of Individual (Last, First, Middle Initia Lawrence, Todd, , ,	al) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza			1	07 / D D / Y Y Y Y 20 2018						
	City East Hanover	State NJ	Zip Code 07936	A				A2018-1 eceipt th			
	FEC ID number of contributing federal political committee.	C						1 11		50.00	)
	Name of Employer (for Individual) Pharma	Occu Asso		M	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 650.00								
B.	Full Name of Individual (Last, First, Middle Initia Leas, Leigh Anne, A, ,	al) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				07 / 06 / Y Y Y Y 2018						
	City East Hanover	State NJ	Zip Code 07936					A2018-1			
	FEC ID number of contributing federal political committee.	C Occupation (for Individual) VP and U.S. Country Head Public Polic			Amount of Each Receipt this Period						)
	Name of Employer (for Individual) NBS				M	emc	tem				
	Receipt For: Primary General Other (specify) ▼	Aggregate	ate Year-to-Date ▼ 1490.00								
с.	Full Name of Individual (Last, First, Middle Initia Leas, Leigh Anne, A, ,	al) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				<sup>M</sup> 07	1	20	/ Y	۲ 2018		
	City East Hanover	State NJ	Zip Code 07936	A				A2018-1 leceipt th			
	FEC ID number of contributing federal political committee.	С					, .	, ,	14	45.00	
	Name of Employer (for Individual) NBS		upation (for Individual) and U.S. Country Head Public Poli	су	М	emo	tem				
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1635.00								
s	UBTOTAL of Receipts This Page (optional)		•	[				. ,	34	40.00	
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or for commercial purposes, other than usin			erson for the purpose of soliciting contributions to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Cor	nmittee								
Full Name of Individual (Last, First, Midd A. Leatherman, Nancy, , ,	le Initial) or Full C	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018							
City	State NJ	Zip Code	Transaction ID : A2018-1474353							
East Hanover	INJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		15.00							
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader - Denve	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00								
Full Name of Individual (Last, First, Midd B. Leatherman, Nancy, , ,	le Initial) or Full C	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 20 2018							
City	State	Zip Code	Transaction ID : A2018-1603424							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		15.00							
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader - Denve	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00								
Full Name of Individual (Last, First, Midd C. Lennon, David, , ,	le Initial) or Full C	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			07 06 / Y Y Y Y Y 07 06 2018							
City	State	Zip Code	Transaction ID : A2018-1474818							
East Hanover	NJ	07936	Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	C		100.00							
Name of Employer (for Individual) Oncology		upation (for Individual) ology Business Franchise Head	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 700.00								
SUBTOTAL of Receipts This Page (optiona	al)		130.00							
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ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the	(check only one)									
			Detailed Summary Page	<b>X</b> 11a		11b	11c	12	17			
	y information copied from such Reports and Sta for commercial purposes, other than using the n			erson for th		pose of	soliciting	g contribu	itions			
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	ion Con	nmittee									
A.	Full Name of Individual (Last, First, Middle Initia Lennon, David, , ,	l) or Full O	rganization Name	Date	of Re	eceipt						
	for commercial purposes, other than using t NAME OF COMMITTEE (In Full) Novartis Corporation Political A Full Name of Individual (Last, First, Middle Lennon, David, , , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle I Lloyd, Richard, , , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify) ▼ Full Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify) ▼			07		D D 20	/ Y	Y Y 2018	Y			
		State NJ	Zip Code 07936			Eion ID : A			1			
	•	С				-y		100	.00			
	1 3 ( )		upation (for Individual) cology Business Franchise Head		Memo	o Item						
	Primary General	Aggregate	Year-to-Date ▼ 800.00									
в.	· · · · · · · · · · · · · · · · · · ·	l) or Full O	rganization Name	Date	of Re	eceipt						
					07 06 / Y Y Y Y Y 2018							
	•	State NJ	Zip Code 07936	Amount of Each Receipt this Period					1			
	•	C Occupation (for Individual) Global Head Marketing Value & Access			46.15							
	Name of Employer (for Individual) Oncology				Memo	o Item						
	Primary General	Aggregate	Year-to-Date ▼ 646.10									
С.	Full Name of Individual (Last, First, Middle Initia	l) or Full O	rganization Name	Date	of Re	eceipt						
	Mailing Address One Health Plaza			M 07		D D 20	/ Y	2018	Y			
	City East Hanover	State NJ	Zip Code 07936			tion ID : A			1			
	FEC ID number of contributing federal political committee.	С				y .	. y	46	15			
Oncology GI			upation (for Individual) pal Head Marketing Value & Acces		Mem	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 692.25									
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	y information copied from such Reports and Sta for commercial purposes, other than using the				n for the		pose of	soliciting	g contribu	utions		
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initi Lockwood, Jeffrey, W, ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
	Mailing Address One Health Plaza				м м 07	/	06	) / Y	ү ү 2018	Y		
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th		ł		
	FEC ID number of contributing federal political committee.	С					-y 1		23	.08		
	Name of Employer (for Individual) NIBR	Оссь Неа		М	lemo	o Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 323.12									
В.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lockwood, Jeffrey, W, , Mailing Address, One Leadth Place						eceipt					
	Mailing Address One Health Plaza	State     Zip Code       NJ     07936       C     Occupation (for Individual)       Head NIBR Communications			07 20 2018 Transaction ID : A2018-1602949							
	East Hanover									4		
	FEC ID number of contributing federal political committee.				Amount of Each Receipt this Period							
	Name of Employer (for Individual) NIBR				М	lemo	o Item					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 346.20									
с.	Full Name of Individual (Last, First, Middle Initi Lolos, Konstantine, G, ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
	Mailing Address One Health Plaza				M M 07	/	20		2018 <sup>°</sup>	Y		
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th		ł		
	FEC ID number of contributing federal political committee.	С					<b>,</b>		14	.04		
	Name of Employer (for Individual) Oncology		Occupation (for Individual) Sr Oncol Area Sales Manager				o Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 210.60									
	UBTOTAL of Receipts This Page (optional)			···· •		-	, . , .	, ,	60	.20		

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	y information copied from such Reports and St for commercial purposes, other than using the					for the		pose of	soliciting	contribu	tions			
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmi	ttee										
A.	Full Name of Individual (Last, First, Middle Initi Lusso, Steven, J, ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt						
	Mailing Address One Health Plaza					07 06 / Y Y Y Y 07 06 2018								
	City East Hanover	State NJ		Zip Code 07936		Transaction ID : A2018-1474381 Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С						-y 1		21.	00			
	Name of Employer (for Individual) Pharma		•	on (for Individual) ea Business Leader-Kansas C	>	M	emo	o Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year	to-Date ▼ 294.00										
в.	Full Name of Individual (Last, First, Middle Initi Lusso, Steven, J, ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt						
	Mailing Address One Health Plaza	Chata		Zin Oada	_	07 20 2018 Transaction ID : A2018-1603452								
	City East Hanover	State NJ	Zip Code 07936	_					603452 is Period					
	FEC ID number of contributing federal political committee.	С	21.00											
	Name of Employer (for Individual) Pharma	Occi NS		M	emo	o Item								
	Receipt For:	Aggregate	ggregate Year-to-Date ▼ 315.00											
C.	Full Name of Individual (Last, First, Middle Initi Manolios, Frank, , ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt						
	Mailing Address One Health Plaza					07	1	06		ү 2018	Y			
	City East Hanover	State NJ		Zip Code 07936	_				A2018-1 Receipt th	474391 is Period				
	FEC ID number of contributing federal political committee.	С				<u> </u>		y	. ,	15.	00			
	Name of Employer (for Individual) Oncology		•	on (for Individual) ogy Sales Specialist		М	em	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year	to-Date ▼ 210.00										
s	UBTOTAL of Receipts This Page (optional)						_	7	5	57.	00			
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)										
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.										
NAME OF COMMITTEE (In Full)	A ation Oam												
> Novartis Corporation Political	Action Con	nmittee											
Full Name of Individual (Last, First, Middle A. Manolios, Frank, , ,	Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 07 20 2018										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603462 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		15.00										
Name of Employer (for Individual) Oncology		upation (for Individual) Incology Sales Specialist	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00											
Full Name of Individual (Last, First, Middle <b>B.</b> Marinac, Jacqueline, , ,	Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza	Chata	Zin Oode	07 06 2018 Transaction ID : A2018 1474202										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474393           Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		15.00										
Name of Employer (for Individual) Pharma		upation (for Individual) L Associate Director	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	]										
Full Name of Individual (Last, First, Middle C. Marinac, Jacqueline, , ,	Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			07 20 / Y Y Y Y 07 20 2018										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602694 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		15.00										
Name of Employer (for Individual) Pharma		upation (for Individual) Associate Director	Memo Item										
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 225.00	]										
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			45.00										

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)								
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Con	nmittee									
Full Name of Individual (Last, First, Middle Matthews, William, R, ,	e Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 06 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474400 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		25.59								
Name of Employer (for Individual) Oncology		upation (for Individual) Dncol Area Sales Manager	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 352.45	]								
Full Name of Individual (Last, First, Middle <b>Matthews, William, R, ,</b> Mailing Address One Health Plaza	e Initial) or Full O	rganization Name	Date of Receipt								
City	State	Zip Code	07 20 2018								
East Hanover	NJ	07936	Transaction ID : A2018-1602701 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		25.59								
Name of Employer (for Individual) Oncology		upation (for Individual) Dncol Area Sales Manager	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 378.04	]								
Full Name of Individual (Last, First, Middle C. Mc Leer, Arlene, J, ,	Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474408 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		15.00								
Name of Employer (for Individual) Global Drug Development		upation (for Individual) or Global Regulatory Manager	Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 210.00	]								
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line num	, 		► 66.18								

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		Detailed Summary Page		13	$\vdash$	14		15		6	17	
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Full Name of Individual (Last, First, Middle A. Mc Leer, Arlene, J, ,	e Initial) or Full O	rganization Name	[	Date of	f Re	eceipt	:					
Mailing Address One Health Plaza				<sup>M</sup> 07	/		20	/ Y	y 201	Y 8	Y	
City	State	Zip Code		Transaction ID : A2018-1602709								
East Hanover	NJ	07936	Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	C		15.00 Memo Item									
Name of Employer (for Individual) Global Drug Development		upation (for Individual) or Global Regulatory Manager										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00										
Full Name of Individual (Last, First, Middle B. McGough, Edward, D, ,	Initial) or Full O	rganization Name		Date of	f Re	ceipt	:					
Mailing Address One Health Plaza				м м 07	/	D	D 06	/ Y	201	Y 8	Y	
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East Hanover	NJ	07936						ceipt th		-		
FEC ID number of contributing federal political committee.	ů l								1	15.3	8	
Name of Employer (for Individual) Alcon		upation (for Individual) 9 Global Mfg & Tech Ops		Μ	emo	lten	n					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1615.32										
Full Name of Individual (Last, First, Middle C. McGough, Edward, D, ,	e Initial) or Full O	rganization Name		Date of	f Re	eceipt	:					
Mailing Address One Health Plaza				<sup>M</sup> 07	/		20 <sup>D</sup>	/ Y	ү 201		Y	
City	State	Zip Code		Trans	sact	ion II	D : A	2018-1	60318	82		
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Name of Employer (for Individual) Alcon		upation (for Individual) Global Mfg & Tech Ops		Memo Item								
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Primary General Other (specify)		1730.70										
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line num	, 	•		-		J		,	2	245.7	6	

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	y information copied from such Reports and Sta for commercial purposes, other than using the				for the		pose of	soliciting	g contribu	utions			
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee										
A.	Full Name of Individual (Last, First, Middle Initi McGowan, Joseph, , ,	al) or Full O	rganization Name		Date of	eceipt							
	Mailing Address One Health Plaza				07 06 / Y Y Y Y 2018								
	City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2018-1474822           Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С							96	.15			
	Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs		M	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1069.20										
в.	Full Name of Individual (Last, First, Middle Initi McGowan, Joseph, , , Mailing Address One Health Plaza	al) or Full O	rganization Name		Date of	Re	·		V				
	City	State	Zip Code		07		20	J L	2018	Ŷ			
	East Hanover	NJ	07936					A2018-1 Receipt th		d			
	FEC ID number of contributing federal political committee.	С								.15			
	Name of Employer (for Individual) Corporate	Occi Exe		Memo Item									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1165.35										
с.	Full Name of Individual (Last, First, Middle Initi McKenna, Edward, J, ,	al) or Full O	rganization Name		Date of	Re	eceipt						
	Mailing Address One Health Plaza				07 <sup>M</sup>	1	20		ү ү 2018	Ŷ			
	City East Hanover	State NJ	Zip Code 07936					Receipt th		d			
	FEC ID number of contributing federal political committee.	С			<u> </u>		y		13	.85			
	Name of Employer (for Individual) Oncology		upation (for Individual) Regional Acct. Management		М	emo	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.75										
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	y information copied from such Reports and St for commercial purposes, other than using the				for the		pose of	soliciting	contribu	tions		
$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee									
A.	Full Name of Individual (Last, First, Middle Initi McNulty, Bruce, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				07 06 / Y Y Y Y 07 06 2018							
	City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2018-1474412 Amount of Each Receipt this Period							
	FEC ID number of contributing federal political committee.	С							15.	00		
	Name of Employer (for Individual) Pharma		upation (for Individual) I SR ABL - Boston		M	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00									
B.	Full Name of Individual (Last, First, Middle Initi McNulty, Bruce, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				м м 07	1	20	) / Y	ү 2018	Ŷ		
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	Name of Employer (for Individual) Pharma		upation (for Individual) 1 SR ABL - Boston		M	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00	]								
С.	Full Name of Individual (Last, First, Middle Initi Mennilli, David, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				07 <sup>M</sup>	/	06		ү 2018	Y		
	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th				
	FEC ID number of contributing federal political committee.	С			Ľ.		y 1	. y	30.	00		
	Name of Employer (for Individual) Sandoz Inc.	Occu Dire		M	emo	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 420.00									
s	UBTOTAL of Receipts This Page (optional)			•			, ,	· · ·	60.	00		
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	ny information copied from such Reports and Sta for commercial purposes, other than using the r				for the		pose of	soliciting	contribut	tions				
$\overline{)}$	NAME OF COMMITTEE (In Full)													
$\Big\rangle$	Novartis Corporation Political Ac	tion Corr	nmittee											
A.		al) or Full Oi	rganization Name		Date of Receipt									
	Mailing Address One Health Plaza				07 / D D / Y Y Y Y 20 2018									
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602990 Amount of Each Receipt this Period										
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	federal political committee.	С			<u> </u>	-	-		30.0	00				
	Name of Employer (for Individual)		upation (for Individual)		Me	emc	Item							
	Sandoz Inc.	Dire	ctor Key Customers											
	Receipt For: Primary General	Aggregate	Year-to-Date ▼											
	Other (specify) ▼		450.00											
	Full Name of Individual (Last, First, Middle Initia	al) or Full Oi	rganization Name											
Β.	Meyer, Stephanie, , ,				Date of	Re	eceipt							
	Mailing Address One Health Plaza				07 / 06 / Y Y Y Y 2018									
	City	State	Zip Code				-	A2018-1						
	East Hanover	NJ	07936		Amount	of	Each F	Receipt th	is Period					
	FEC ID number of contributing federal political committee.	С		15.00										
	Name of Employer (for Individual) Pharma		upation (for Individual) 2 ABL I - Pittsburgh		Me	emc	tem							
	Receipt For:	Aggregate	Year-to-Date <b>V</b>											
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<u></u> с.	Full Name of Individual (Last, First, Middle Initia Meyer, Stephanie, , ,	al) or Full Oi	rganization Name		Date of	Re	eceipt							
	Mailing Address One Health Plaza				07	/	20		2018	Y				
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	Name of Employer (for Individual) Pharma		upation (for Individual) ABL I - Pittsburgh		Me	emo	ltem							
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Primary General Other (specify)			, 225.00											
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			y not be sold or used by any ddress of any political commit		for the		oose of	soliciting	contribu	tions					
	MITTEE (In Full) corporation Politica	I Action Com	nmittee												
Full Name of In A. Millard, Susa	ndividual (Last, First, Middl In, J, ,	e Initial) or Full O	rganization Name		Date of	Re	ceipt								
Mailing Address	One Health Plaza				07 06 / Y Y Y Y 2018										
City East Hanover		State NJ	Zip Code 07936		Transaction ID : A2018-1474804 Amount of Each Receipt this Period										
FEC ID number federal political	0	С					-		25.	00					
Name of Emplo Alcon	oyer (for Individual)		upation (for Individual) d HR Alcon R&D		M	emo	Item								
Receipt For: Primary Other (sp	General ecify) ▼	Aggregate	Year-to-Date ▼ 350.00												
B. Millard, Sus		e Initial) or Full O	rganization Name		Date of	Re	ceipt								
	One Health Plaza	Ctata	Zin Code		07 20 2018 Transaction ID : A2018-1603214										
City East Hanover		State NJ	Zip Code 07936	-				A2018-1 Receipt th							
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Name of Emplo	oyer (for Individual)		Occupation (for Individual) Head HR Alcon R&D												
Receipt For: Primary Other (sp	General ecify) ▼	Aggregate	Year-to-Date ▼ 375.00												
Full Name of In <b>c. Moore</b> , Sta	ndividual (Last, First, Middl acey, L, ,	e Initial) or Full O	rganization Name		Date of	Re	ceipt								
	One Health Plaza				07 <sup>M</sup>	/	06		үүү 2018	Y					
City East Hanover		State NJ	Zip Code 07936					A2018-1 Receipt th							
FEC ID number federal political	0	C			<u> </u>		,	, <u>,</u>	23.	68					
Pharma	oyer (for Individual)		pation (for Individual) Integrated Account Spec		M	emo	Item								
Receipt For: Primary Other (sp	General ecify)	Aggregate	Year-to-Date ▼ 327.15												
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17								
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	I Action Cor	nmittee									
Full Name of Individual (Last, First, Middle Moore, Stacey, L, ,	e Initial) or Full C	Organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602729								
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period								
Name of Employer (for Individual) Pharma		upation (for Individual) sp Integrated Account Spec	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 350.83	]								
Full Name of Individual (Last, First, Middle B. Mui-Lipnik, Shelly, , ,	e Initial) or Full C	Organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 / D D / Y Y Y Y Y 06 / 2018								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474826 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		96.15								
Name of Employer (for Individual) Corporate		cupation (for Individual) ec Dir Fed Govt Affairs & Tax Polic	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1161.50	]								
Full Name of Individual (Last, First, Middle C. Mui-Lipnik, Shelly, , ,	e Initial) or Full C	Organization Name	Date of Receipt								
Mailing Address One Health Plaza			07 20 / Y Y Y Y								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1603290								
FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period								
Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs & Tax Polic	Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1257.65	]								
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗     11a     11b     11c     12       13     14     15     16     17										
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee											
Full Name of Individual (Last, First, Middle I Mullins, Anthony, , , Mailing Address One Health Plaza	nitial) or Full C	organization Name	Date of Receipt										
City	State	Zip Code	07 06 2018 Transaction ID : A2018-1474434										
East Hanover	NJ	07936	Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		15.00										
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader - Dallas	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00											
Full Name of Individual (Last, First, Middle I <b>B.</b> Mullins, Anthony, , ,	nitial) or Full C	Organization Name	Date of Receipt										
Mailing Address One Health Plaza			07 / D D / Y Y Y Y 20 2018										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602735 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		15.00										
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader - Dallas	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00											
Full Name of Individual (Last, First, Middle I Murthy, Narashima, , ,	nitial) or Full C	Organization Name	Date of Receipt										
Mailing Address 608 Fifth Avenue			07 06 / Y Y Y Y Y 2018										
City New York	State NY	Zip Code 10020	Transaction ID : A2018-1474827 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С												
Name of Employer (for Individual) US Novartis Services Inc		upation (for Individual) erprise Application Archite	Memo Item										
Receipt For:		Year-to-Date ▼	_										
Other (specify)		210.00											
SUBTOTAL of Receipts This Page (optional)			45.00										
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	y information copied from such Reports and Sta for commercial purposes, other than using the r					rson for the purpose of soliciting contributions													
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	NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	tion Con	nm	ittee															
Α.	Full Name of Individual (Last, First, Middle Initia Murthy, Narashima, , ,	al) or Full O	rgai	nization Name		Date o	f Re	ece	eipt										
	Mailing Address 608 Fifth Avenue					07 / D D / Y Y Y Y 2018													
	City New York	State NY		Zip Code 10020	Transaction ID : A2018-1603291           Amount of Each Receipt this Period														
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	Mailing Address One Health Plaza				07 / D D / Y Y Y Y 07 06 2018														
	City East Hanover	State NJ		Zip Code 07936					<b>n ID : A</b> ach Re										
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	City East Hanover	State NJ		Zip Code 07936					n ID : <i>I</i> ach Re										
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	Receipt For: Primary General Other (specify)	Aggregate	Yea	r-to-Date ▼ 750.00															
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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee										
Full Name of Individual (Last, First, Middl A. O'Neail, Shawn, , ,	e Initial) or Full O	rganization Name	Date o	f Receip	t							
Mailing Address 608 Fifth Avenue			M N 07	07 06 Y Y Y Y Y 2018								
City New York	State NY	Zip Code 10020	Transaction ID : A2018-1474830 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С			-		192.3	30					
Name of Employer (for Individual) Corporate		upation (for Individual) d Federal Government Affairs	N	lemo Iter	n							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2323.00	]									
Full Name of Individual (Last, First, Middl B. O'Neail, Shawn, , ,	e Initial) or Full O	rganization Name	Date o	f Receip	t							
Mailing Address 608 Fifth Avenue			07 / 20 / 2018 Transaction ID : A2018-1602956									
City New York	State NY	Zip Code 10020			<b>D : A2018-1</b> h Receipt th							
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Full Name of Individual (Last, First, Middl C. Olmstead, Sharon, N, ,	e Initial) or Full O	rganization Name	Date o	f Receip	t							
Mailing Address One Health Plaza			07	/ D	06 / Y	2018	Y					
City East Hanover	State NJ	Zip Code 07936			I <b>D : A2018-1</b> h Receipt th							
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Name of Employer (for Individual) Global Drug Development Receipt For:	Hea	upation (for Individual) d RA & Development Policy GDD		lemo Iter	m							
Primary General Other (specify)	Aggregate	Year-to-Date ▼ 323.12	]									
SUBTOTAL of Receipts This Page (optiona	l)			. ,	,	407.6	58					
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee	
Full Name of Individual (Last, First, Mide Olmstead, Sharon, N, ,	dle Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			07 20 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602759 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		23.08
Name of Employer (for Individual) Global Drug Development Receipt For: Primary General Other (specify)	Hea	upation (for Individual) d RA & Development Policy GD Year-to-Date ▼ 346.20	D Memo Item
Full Name of Individual (Last, First, Mide B. Osten, Craig, S, , Mailing Address One Health Plaza	dle Initial) or Full O	rganization Name	Date of Receipt
City East Hanover	State NJ	Zip Code 07936	07 06 2018 Transaction ID : A2018-1474125
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period
Name of Employer (for Individual) Corporate		upation (for Individual) President & Treasurer	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 323.12	]
Full Name of Individual (Last, First, Mide C. Osten, Craig, S, ,	dle Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			07 20 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602992 Amount of Each Receipt this Period
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Name of Employer (for Individual) Corporate Receipt For:	Vice	pation (for Individual) President & Treasurer	Memo Item
Primary General Other (specify)	Aggregate	Year-to-Date ▼ 346.20	
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Full Name of Individual (Last, First, Midd A. Oxner, Serafina, , ,	le Initial) or Full O	rganization Name	Date	of Re	eceipt				
Mailing Address One Health Plaza			M 07		06	) / Y	y y 2018	Y	
City East Hanover	State NJ	Zip Code 07936				A2018-1 Receipt th	474462 is Period		
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Ē	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1580.0	00								
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	City East Hanover	State NJ	Zip Code 07936	_				A2018-1 eceipt th		d	
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	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) Communications		M	emo	Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 750.00								
D	Full Name of Individual (Last, First, Middle Initi Pyle, Jeremiah, , ,	al) or Full O	rganization Name		Data at	. Do	agint				
D.	Mailing Address One Health Plaza				Date of	/		/ Y	y y 2018	Y	1
	City	State	Zip Code					A2018-1			
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	Name of Employer (for Individual) Oncology		upation (for Individual) Manager Regional Breast Mark	ketinç	M	emo	Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00								
С.	Full Name of Individual (Last, First, Middle Initi Pyle, Jeremiah, , ,	al) or Full O	rganization Name		Date of	Re	ceipt				
	Mailing Address One Health Plaza				07	/	D D D 20	/ Y	2018	Y	
	City East Hanover	State NJ	Zip Code 07936					A2018-1 eceipt th		d	
	FEC ID number of contributing federal political committee.	С					y .		21	.00	
	Name of Employer (for Individual) Oncology		upation (for Individual) ⁄Ianager Regional Breast Mark	eting	М	emo	Item				
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 315.00								
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City		State	Zip Code		07	acti	06	6	2018-14	2018 <b>474504</b>	
East Han	over	NJ	07936							is Period	ł
	umber of contributing litical committee.	С					-	_			.00
Name of Pharma Receipt F	Employer (for Individual)	CV2	upation (for Individual) 2 Sr ABL Long Island NY		M	emo	Item				
Prin	nary General er (specify) ▼	Aggregate	Year-to-Date ▼ 210.00								
	e of Individual (Last, First, Middle In , Cynthia, , ,	itial) or Full C	Organization Name		Date of	Re	ceipt				
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$\left. \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee							
Α.	Full Name of Individual (Last, First, Middle Initi Robinson, Melissa, A, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt			
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	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th		1
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	Name of Employer (for Individual) Oncology		upation (for Individual) ad Digital Strategy And Svc		Μ	emo	tem			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 420.00							
	Full Name of Individual (Last, First, Middle Initi Rodgers, Renee, C, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt			
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	Name of Employer (for Individual) Oncology		upation (for Individual) d Digital Strategy And Svc		M	emo	o Item			
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 450.00							
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$\overline{)}$	NAME OF COMMITTEE (In Full)									
$\sum$	Novartis Corporation Political Ac	tion Con	nmittee							
Α.	Full Name of Individual (Last, First, Middle Initi Rouyer, Marc, G, ,	al) or Full O	rganization Name		Date of	Re	eceipt			
	Mailing Address One Health Plaza				<sup>M</sup> 07	1	06		Y Y Y 2018	Y
	City East Hanover	State NJ	Zip Code 07936	_					-1474052 this Perio	d
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	Name of Employer (for Individual)		upation (for Individual) cipal Engineer		M	emo	tem			
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υ.	Mailing Address One Health Plaza				07	/	20		2018	Y
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	East Hanover	NJ	07936		Amount	tof	Each	Receipt	this Perio	d
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	Name of Employer (for Individual) Alcon		upation (for Individual) cipal Engineer		M	emo	tem			
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С.	Full Name of Individual (Last, First, Middle Initi Ryan, Alan, D, ,	al) or Full O	rganization Name		Date of	Re	eceipt			
	Mailing Address One Health Plaza				<sup>M</sup> 07	/	06		2018	Y
	City East Hanover	State NJ	Zip Code 07936	_					<b>-1474130</b> this Perio	d
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	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) US Advocacy		M	emo	o Item			
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	MIZED RECEIPTS Use separate schedule(s) for each category of the	(ch	neck only	/ or	ne)					
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Alcon	mployer (for Individual)		upation (for Individual) d Global Quality Assurance		Me	emo	tem			
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ITEMIZED RECEIPTS	Use separate schedule(s)	(check only one)	
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			person for the purpose of soliciting contributions te to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Con	nmittee	
Full Name of Individual (Last, First, Middle Schweitzer, Mark, G, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 07 06 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474169 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) Novartis Technical Operations Receipt For:	GLH	upation (for Individual) Id AS&T SCI Initiatives Oversigh	t Memo Item
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 700.00	]
Full Name of Individual (Last, First, Middle Schweitzer, Mark, G, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza	Ctata	Zin Code	07 / D D / Y Y Y Y 20 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602963 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) Novartis Technical Operations		upation (for Individual) Id AS&T SCI Initiatives Oversigh	t Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 750.00	]
Full Name of Individual (Last, First, Middle C. Simpson-Hunt, Stephen, , ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			07 06 2018
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474543 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) Global Drug Development		upation (for Individual) elopment QA Senior GCP Audito	r Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 210.00	]
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Any information copied from such Reports and Statements may not be sold or used by a or for commercial purposes, other than using the name and address of any political com											sol	iciting	contrib		
$\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Actic	on Con	nm	ittee											
Α.	Full Name of Individual (Last, First, Middle Initial) Simpson-Hunt, Stephen, , , Mailing Address One Health Plaza	or Full O	rgar	nization Name		Date	of F	lec	ceip	ot			YY	Y	
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	Name of Employer (for Individual)         Global Drug Development         Receipt For:         Primary         General         Other (specify) ▼	Dev	elop	ion (for Individual) oment QA Senior GCP Auditor r-to-Date ▼ 225.00			Men	10	Ite	m					
B.	Full Name of Individual (Last, First, Middle Initial) Smith, Brian, P, ,	or Full O	rgar	nization Name		Date	of F	Rec	ceip	ot					
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	City East Hanover	State NJ		Zip Code 07936									<b>74647</b> s Peric	d	
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	Name of Employer (for Individual) Global Drug Development		•	tion (for Individual) Director Biostatistics			Merr	10	lte	m					
	Receipt For:     Ag       Primary     General       Other (specify) ▼	ggregate	Yea	r-to-Date ▼ 420.00											
С.	Full Name of Individual (Last, First, Middle Initial) Smith, Brian, P, ,	or Full O	rgar	nization Name		Date	of F	Rec	ceip	ot					
	Mailing Address One Health Plaza				] [	<sup>™</sup> 07	M	/	D	20	1	/ Y	y y 2018	Y	1
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Α.	Full Name of Individual (Last, First, Middle Initi Sondhi, Manu, , ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza					07 <sup>M</sup>	/	06	) / Y	ү ү 2018	Y	
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	Name of Employer (for Individual) Global Drug Development		•	on (for Individual) evelopment Medical Director		M	emo	o Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year	to-Date ▼ 280.00								
в.	Full Name of Individual (Last, First, Middle Initi Sondhi, Manu, , ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza					м м 07	/	D 10	) / Y	y y 2018	Y	
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	Name of Employer (for Individual) Global Drug Development			on (for Individual) Development Medical Director		M	emo	o Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year	to-Date ▼ 300.00								
C.	Full Name of Individual (Last, First, Middle Initi Soules, Shane, A, ,	al) or Full O	rgani	zation Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza					<sup>M</sup> 07	1	06		ү ү 2018	Y	
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	Name of Employer (for Individual) Alcon		•	on (for Individual) Field Service Manager		M	em	o Item				
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or for commercial purposes, other than using the		y person for the purpose of soliciting contributions ittee to solicit contributions from such committee.		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee			
Full Name of Individual (Last, First, Middle Initi Soules, Shane, A, , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Alcon	al) or Full Organization Name          State       Zip Code         NJ       07936         C       Occupation (for Individual)         Regional Field Service Manager	Date of Receipt 07 20 2018 Transaction ID : A2018-1603194 Amount of Each Receipt this Period 15.00 Memo Item		
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 225.00			
Full Name of Individual (Last, First, Middle Initi         B.       Spelta, William, S, ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.         Name of Employer (for Individual) Oncology         Receipt For:         Primary       General Other (specify) ▼	al) or Full Organization Name          State       Zip Code         NJ       07936         C       Occupation (for Individual)         Reg Dir Acct Mgmt         Aggregate Year-to-Date ▼	Date of Receipt		
Full Name of Individual (Last, First, Middle Initi C. Spurr, Robert, A, , Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify)	al) or Full Organization Name          State       Zip Code         NY       10020         C       Occupation (for Individual)         Oncology US Mkt Access &Health         Aggregate Year-to-Date ▼         1610.00	Date of Receipt 07 / 06 / 2018 Transaction ID : A2018-1474560 Amount of Each Receipt this Period 115.00 Memo Item		
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	Novartis Corporation Political Ac		nmillee									
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	Mailing Address 608 Fifth Avenue				м м 07	/	20	У / Y	y 201	Y 8		
	City New York	State NY	Zip Code 10020	_				A2018-1 Receipt th			_	
	FEC ID number of contributing federal political committee.	С								15.00	)	
	Name of Employer (for Individual) Oncology		upation (for Individual) cology US Mkt Access &Health Pol	ic	М	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1725.00									
B.	Full Name of Individual (Last, First, Middle Initi Stickley, Lesley, J, ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
	Mailing Address One Health Plaza				07 06 2018							
	City East Hanover	State NJ	Zip Code 07936	-				A2018-1 Receipt th				
	FEC ID number of contributing federal political committee.	С								15.00	)	
	Name of Employer (for Individual) Pharma		upation (for Individual) sociate Director Regional Accounts		М	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00									
с.	Full Name of Individual (Last, First, Middle Initi Stickley, Lesley, J, ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
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	City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th			_	
	FEC ID number of contributing federal political committee.	С			<u> </u>		y			15.00	)	
	Name of Employer (for Individual) Pharma		upation (for Individual) ociate Director Regional Accounts		М	emo	o Item					
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$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Act	ion Con	nmittee										
A.	Full Name of Individual (Last, First, Middle Initia Subasinghe, Nishani, , ,	l) or Full O	Organization Name	C	Date of Receipt								
	Mailing Address 608 Fifth Avenue	1		07 06 / Y Y Y Y 07 06									
	City New York	State NY	Zip Code 10020	A	Transaction ID : A2018-1474103 Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	С								9	6.15		
	Name of Employer (for Individual) Corporate		upation (for Individual) Strategic Alliance Dev.		М	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1081.50										
в.	Full Name of Individual (Last, First, Middle Initia Subasinghe, Nishani, , ,	l) or Full O	Organization Name	С	ate o	f Re	eceipt						
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	Name of Employer (for Individual) Corporate		upation (for Individual) Strategic Alliance Dev.		М	emo	tem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1177.65										
C.	Full Name of Individual (Last, First, Middle Initia Sullivan, Jessica, L, ,	l) or Full O	Organization Name	С	ate o	f Re	eceipt						
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17									
or for commercial purposes, other than usin			erson for the purpose of soliciting contributions to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee										
Full Name of Individual (Last, First, Midd Sullivan, Jessica, L, ,	lle Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza	State	Zip Code	07 20 2018 Transaction ID : A2018-1602883									
East Hanover	NJ	07936	Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period									
Name of Employer (for Individual) Pharma Receipt For:	Sr A	upation (for Individual) .ccount Manager-Academic Devel Year-to-Date ▼	or Memo Item									
Other (specify) ▼		225.00										
Full Name of Individual (Last, First, Midd B. Suter, Thomas, A, ,	lle Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474105 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	C		96.15									
Name of Employer (for Individual) Corporate		upation (for Individual) ctor State Government Affairs	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1000.20										
Full Name of Individual (Last, First, Midd C. Suter, Thomas, A, ,	lle Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			07 20 2018									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602972 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		96.15									
Name of Employer (for Individual) Corporate		upation (for Individual) ctor State Government Affairs	Memo Item									
Receipt For:	Aggregate	Year-to-Date <b>V</b>	_									
Other (specify)		1096.35										
SUBTOTAL of Receipts This Page (option	al)		207.30									
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	ZED RECEIPTS	-	Use separate schedule(s)	(che	ck only	y or	ne)						
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	rmation copied from such Reports and ommercial purposes, other than using				or the		pose of	soliciting	g contrib	utions			
\ \	E OF COMMITTEE (In Full) vartis Corporation Political	Action Con	nmittee										
	Name of Individual (Last, First, Middle si, Brian, L, ,	Initial) or Full O	rganization Name	[	Date of	Re	eceipt						
Mailir	ng Address One Health Plaza				07 06 / Y Y Y Y 07 06 2018								
City East	Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th		d			
	ID number of contributing al political committee.	C						-7	21	.00			
Name NBS	e of Employer (for Individual)		upation (for Individual) <i>r</i> ice Operations Expert Preventic	on	M	emc	ttem						
Rece	ipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00	]									
B. Tro	Name of Individual (Last, First, Middle isi, Brian, L, , ng Address One Health Plaza	Initial) or Full O	rganization Name		Date of	Re	eceipt	) / Y	Y Y	Ý			
City		State	Zip Code		07	acti	20		2018				
East	Hanover	NJ	07936	A				Receipt th		d			
	ID number of contributing al political committee.	C	C						21	.00			
Nam NBS	e of Employer (for Individual)		upation (for Individual) vice Operations Expert Preventio	on	M	emc	tem						
Rece	ipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 315.00										
	Name of Individual (Last, First, Middle Dan, Thomas, A, ,	Initial) or Full O	rganization Name		Date of	Re	eceipt						
	ng Address One Health Plaza				<sup>M</sup> 07	1	06		2018	Y			
City East	Hanover	State NJ	Zip Code 07936					: <b>A2018-1</b> Receipt th		d			
	ID number of contributing al political committee.	C					,	9	21	.40			
Phar	Name of Employer (for Individual) Pharma Receipt For:		upation (for Individual) piratory Executive Sales Special	ist	M	emo	ttem						
	Primary General Other (specify)	Year-to-Date ▼ 295.81											
	<b>DTAL</b> of Receipts This Page (optional) This Period (last page this line numb					_	<b>y</b>	,	63	.40			

## SCHEDULE A (FEC Form 3X) DEAEIDTA

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ITEMIZED RECEIPTS		Use separate schedule(s)	(check only one)											
		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17											
Any information copied from such Reports and or for commercial purposes, other than using			erson for the purpose of soliciting contributions											
NAME OF COMMITTEE (In Full)														
> Novartis Corporation Political	Action Con	nmittee												
Full Name of Individual (Last, First, Middle A. Urban, Thomas, A, ,	Initial) or Full C	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			M         M         /         D         D         /         Y											
City	State	Zip Code	Transaction ID : A2018-1602913 Amount of Each Receipt this Period											
East Hanover	NJ	07936												
FEC ID number of contributing federal political committee.	С		21.40											
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item											
Pharma	Res	piratory Executive Sales Specialis	it i											
Receipt For:	Aggregate	Year-to-Date ▼												
Primary General Other (specify) ▼		317.21												
Tell Manage of Individual /Lash Theory Middle		New State New States	-											
Full Name of Individual (Last, First, Middle B. Utt, Lisa, R, ,	Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza	07 20 2018													
City	State	Zip Code	Transaction ID : A2018-1602915											
East Hanover	NJ	07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	С		13.85											
Name of Employer (for Individual) Pharma		upation (for Individual) a Leader Patient Services	Memo Item											
Receipt For:	Aggregate	Year-to-Date <b>V</b>												
Primary General Other (specify) ▼		, 207.75												
Full Name of Individual (Last, First, Middle C. Van Meter, Jennifer, , ,	Initial) or Full C	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			07 06 2018											
City	State NJ	Zip Code	Transaction ID : A2018-1474109											
East Hanover	INJ	07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	С		30.00											
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item											
Corporate Receipt For:		ctor Quality Ext Affairs												
Primary General	Aggregate	Year-to-Date ▼												
Other (specify)		420.00												
SUBTOTAL of Receipts This Page (optional)			65.25											
TOTAL This Period (last page this line numb		<b>r</b>												

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PAGE 90 OF

ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	▼ 11a 11b 11c 12										
Any information copied from such Reports ar or for commercial purposes, other than using			13     14     15     16     17       erson for the purpose of soliciting contributions to solicit contributions from such committee										
NAME OF COMMITTEE (In Full)													
> Novartis Corporation Politica	I Action Con	nmittee											
Full Name of Individual (Last, First, Middle A. Van Meter, Jennifer, , ,	e Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			07 / 20 / Y Y Y Y 2018										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602976										
FEC ID number of contributing federal political committee.	С	07930	Amount of Each Receipt this Period 30.00										
Name of Employer (for Individual) Corporate		upation (for Individual) ctor Quality Ext Affairs	Memo Item										
Receipt For:		Year-to-Date ▼	_										
Other (specify) ▼		450.00											
Full Name of Individual (Last, First, Middle B. Vanhaecke, Erwin, , ,	e Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			07 06 2018										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474786 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		23.08										
Name of Employer (for Individual) Alcon		upation (for Individual) H-Head NVS Group Qlty	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72											
Full Name of Individual (Last, First, Middle C. Vanhaecke, Erwin, , ,	e Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			07 20 2018										
City	State NJ	Zip Code	Transaction ID : A2018-1603196										
East Hanover FEC ID number of contributing		07936	Amount of Each Receipt this Period										
federal political committee.	C												
Name of Employer (for Individual) Alcon		upation (for Individual) H-Head NVS Group Qlty	Memo Item										
Receipt For:	Aggregate	Year-to-Date <b>V</b>	_										
Other (specify)		230.80											
SUBTOTAL of Receipts This Page (optional	l)		76.16										
TOTAL This Period (last page this line num	ber only)												

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PAGE 91 OF

ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)				
IILIVIIZED REGEI <b>r 13</b>		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1				
			person for the purpose of soliciting contributions be to solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee					
Full Name of Individual (Last, First, Mic A. Vineis, Mark, , ,	dle Initial) or Full O	rganization Name	Date of Receipt				
Mailing Address One Health Plaza			07 06 / Y Y Y Y 2018				
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1474614           Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С		77.00				
Name of Employer (for Individual) Pharma Receipt For:	VP	upation (for Individual) Access Strategy & Commercializ	atic Memo Item				
Other (specify) ▼	Aggregate	Year-to-Date ▼ 1078.00	]				
Full Name of Individual (Last, First, Mic <b>B.</b> Vineis, Mark, , ,	dle Initial) or Full O	rganization Name	Date of Receipt				
Mailing Address One Health Plaza							
City	State NJ	Zip Code	Transaction ID : A2018-1602920				
East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period				
Name of Employer (for Individual) Pharma		upation (for Individual) Access Strategy & Commercializ	Memo Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	]				
Full Name of Individual (Last, First, Mic C. Voegtli, William, W, ,	dle Initial) or Full O	rganization Name	Date of Receipt				
Mailing Address One Health Plaza			07 / <sup>D</sup> D / Y Y Y Y 20 / 2018				
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-1602921           Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С		14.04				
Name of Employer (for Individual) Oncology		upation (for Individual) ior Reimbursement Manager	Memo Item				
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 210.60	]				
SUBTOTAL of Receipts This Page (optio	nal)		168.04				
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PAGE 92 OF

ITEMIZED RECEIPTS			Use separate schedule(s) for each category of the	(che	eck only	y or	ne)						
		Detailed Summary Page	×	11a 13		11b 14	11c	12 16	17				
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	NAME OF COMMITTEE (In Full)												
$\rangle$	Novartis Corporation Political Act	tion Con	mmittee										
	Full Name of Individual (Last, First, Middle Initia	l) or Full C	Drganization Name			_							
Α.	Walton, Vikki, D, ,			-	Date of	Re	ceipt	_					
	Mailing Address One Health Plaza	1		07       06       2018         Transaction ID : A2018-1474111         Amount of Each Receipt this Period									
	City	State NJ	Zip Code										
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	Name of Employer (for Individual)	Occ	cupation (for Individual)	-	Me	emo	Item						
	Corporate	Dir	State Public Policy & Ext Affairs										
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	Primary General												
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D	Full Name of Individual (Last, First, Middle Initia Walton, Vikki, D, ,	l) or Full C	Drganization Name		Date of	Bo	opint						
ь.	Mailing Address One Health Plaza			-		ne			YY	V			
	Maining Maroos One Health Flaza			07	ľ	20	, , <u>,</u> ,	2018					
	City	State	Zip Code		Trans	acti	on ID :	A2018-1	602978				
	East Hanover	NJ	07936		Amount	of	Each R	leceipt th	nis Period	l			
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	Name of Employer (for Individual) Corporate		cupation (for Individual) State Public Policy & Ext Affairs		Me	emo	Item						
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	Primary General Other (specify)	, iggi oguto	315.00										
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C.	Full Name of Individual (Last, First, Middle Initia Williams, Donna Lee, , ,	l) or Full C	Drganization Name		Date of	Re	ceipt						
	Mailing Address One Health Plaza				м м 07	/	. 06	) / Y	2018	Y			
	City	State	Zip Code		_	act		A2018-1					
	East Hanover	NJ	07936		Amount	of	Each R	leceipt th	nis Period				
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	Name of Employer (for Individual)		cupation (for Individual)			enic	) item						
Descript Fem			ector Federal Public Policy	_									
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			Use separate schedule(s)	(chec	< only	/ on	ie)						
			for each category of the Detailed Summary Page	X	1a  3		11b 14	11c 15	12	17			
Ar or	y information copied from such Reports and Sta for commercial purposes, other than using the r	itements maname and a	ay not be sold or used by any pa address of any political committee	erson for	the	purp ntrib	oose of	soliciting	g contribu	utions			
$\overline{)}$	NAME OF COMMITTEE (In Full)												
$\rangle$	Novartis Corporation Political Act	tion Con	nmittee										
_	Full Name of Individual (Last, First, Middle Initia	al) or Full O	Organization Name	_		_							
Α.	Williams, Donna Lee, , ,			Da	te of	Re	ceipt						
	Mailing Address One Health Plaza				Mom       /       D       /       Y       Y       Y         07       20       2018       2018         Transaction ID : A2018-1602979         Amount of Each Receipt this Period								
	City East Hanover	State NJ	Zip Code 07936										
			07930	An	nount	of	Each R	eceipt th	nis Perioo	d			
	FEC ID number of contributing federal political committee.	С					,		60	.00			
	Name of Employer (for Individual)	Occi	upation (for Individual)	- F	Me	emo	Item						
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	Receipt For:		Year-to-Date ▼										
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	Other (specify) ▼	L	780.00										
	Full Name of Individual (Last, First, Middle Initia	al) or Full O	Organization Name										
Β.	Wojtylak, Melissa, , ,			Da	te of	Re	ceipt						
	Mailing Address 608 Fifth Avenue			N	07	1	06	/ Y	2018	Y			
	City	State	Zip Code	T	ransa	acti	on ID : /	A2018-1	474136				
	New York	NY	10020	An	nount	of	Each R	eceipt th	nis Perioo	b			
	FEC ID number of contributing federal political committee.	С			50.00								
	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ector Legal Ops. & Ass. Gen. Cou		Me	emo	Item						
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	Primary General	Ayyreyale	Year-to-Date ▼										
	Other (specify)		, 700.00										
с.	Full Name of Individual (Last, First, Middle Initia Wojtylak, Melissa, , ,	al) or Full O	Organization Name		ite of	Re	ceint						
	Mailing Address 608 Fifth Avenue							/ •	Y Y	Y			
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	City	State	Zip Code		rans	acti	on ID :	A2018-1	603003				
	New York	NY	10020	An	nount	of	Each R	eceipt th	nis Perioo	b			
	FEC ID number of contributing federal political committee.	С					,	, ,	50	.00			
	Name of Employer (for Individual)	Occi	upation (for Individual)	— Г	Me	emo	Item						
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(che	ck onl	y or	ne)						
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Novartis Corporation Politica	al Action Con	nmittee										
Full Name of Individual (Last, First, Midd A. Zuluaga, Juan, C, ,	le Initial) or Full C	organization Name		Date of	f Re	eceipt						
Mailing Address One Health Plaza				07 06 / Y Y Y Y 07 06								
City East Hanover	State NJ	Zip Code 07936					A2018-1 Receipt th	1474639 his Period				
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Name of Employer (for Individual) Pharma	Occ Car		M	emc	ttem							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 294.00	1									
Full Name of Individual (Last, First, Midd B. Zuluaga, Juan, C, ,	le Initial) or Full C	Organization Name			E Do	agint						
Mailing Address One Health Plaza				Date of	/			2018	Y			
City	State						A2018-1					
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FEC ID number of contributing federal political committee.	C	21.00										
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Receipt For:	Aggregate	Year-to-Date 🔻										
Primary General Other (specify) ▼		315.00										
Full Name of Individual (Last, First, Midd	le Initial) or Full C	Prganization Name		Date of	f Re	eceipt						
Mailing Address				M – M	/	D	D / Y	YY	Y			
City	State	Zip Code		Amount	t of	Each F	Receipt th	nis Period				
FEC ID number of contributing federal political committee.	C					, .	. ,					
Name of Employer (for Individual)	Occ	upation (for Individual)		M	emo	tem						
Receipt For: Primary General Other (specify)	Aggregate											
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SCHEDULE B (FEC Form 3X)						F	OR	LINE	NUI	MBER	:			PAGE	95 (	DF 97					
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Maili	ng Address 49	9 S. Capitol St. SW	Suite 422							07	Ĺ	2									
City				State	Zip Code				F	EC Identification Number											
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	e Sought:	X House Senate	Disburse	ment For: 2 Primary	2018 X General				1500.00												
State	e: TX I	President District: 33		Other (spec	cify) 🔻					Me	emo	Item									
Full	Name (Last, Fi	irst, Middle Initial)																			
	Bluegrass Committee											burse				_					
Maili	ng Address P	.O. Box 1496						07 27 2018													
City				State	Zip Code				F	EC Id	entif	icatior	n Num	ber							
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	ntribution					(	011		Ľ												
Cano	lidate Name					Cat T	ego vpe		Transaction ID : B697649 Amount of Each Disbursement this F												
Offic	e Sought:	House	Disburse	ment For:	2018		700								2500.0	0					
		Senate		Primary	General					_		,		<u></u>							
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Maili	ng Address 41	2 First St. SE Suite	100							07		2	7	L.	2018						
City Was	hington			State DC	Zip Code 20003				F	EC Id	entif	icatior	n Num	iber							
Purp	rpose of Disbursement						_	1	С	C00	44690	06	_								
	ontribution				(	)11			-	ansa	ction	ID : E	69765	0							
	lidate Name					Cat	ego	ry/	Transaction ID : B697650 Amount of Each Disbursement this Period												
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SCHEDULE B (FEC Form 3X)			FOR LINE	NUMBER: PAGE 96 OF 97						
ITEMIZED DISBURSEMENTS	for each Detailed	arate schedule(s) category of the Summary Page	(check only 21b 28a	22         X         23         26         27           28b         28c         29         30b						
Any information copied from such Reports and State or for commercial purposes, other than using the na										
NAME OF COMMITTEE (In Full)										
Novartis Corporation Political Acti	on Comn	nittee								
Full Name (Last, First, Middle Initial) A. Making America Prosperous PAC				Date of Disbursement						
Mailing Address 104 Hume Avenue				07 27 2018						
City Alexandria	State VA	Zip Code 22301		FEC Identification Number						
Purpose of Disbursement Contribution			011	C C00445379						
Candidate Name			Category/	Transaction ID : B697450 Amount of Each Disbursement this Period						
Office Sought: House Disburse Senate	ement For: 2 Primary	2018 General	Туре	1000.00						
State: District:	Other (spe	cify) ▼ Not Applicable		Memo Item						
Full Name (Last, First, Middle Initial) B. Martin Heinrich for Senate Mailing Address 660 Pennsylvania Ave. SE Suite	201			Date of Disbursement						
City Washington		FEC Identification Number								
Purpose of Disbursement Contribution Candidate Name			011	C C00434563 Transaction ID : B697447						
Heinrich, Martin, T, ,			Category/ Type	Amount of Each Disbursement this Period						
Office Sought: House Disburse	ement For:	2018 X General		2500.00						
State: NM District:	Other (spe	cify)		Memo Item						
Full Name (Last, First, Middle Initial) C. Scott Peters for Congress				Date of Disbursement						
Mailing Address 412 First Street SE Suite 100				07 / D D / Y Y Y Y 27 2018						
City Washington	State DC	Zip Code 20003		FEC Identification Number						
Purpose of Disbursement Contribution	1		011	C C00503110 Transaction ID : B697449						
Candidate Name Peters, Scott, , ,			Category/ Type	Amount of Each Disbursement this Period						
Office Sought: X House Disburse	ement For: 2	<b>x</b> General		2500.00						
State: CA District: 52	Other (spe	cify) ▼		Memo Item						
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only				6000.00						

SCHEDULE B (FEC Form			FC	OR L	NE N	NUMBER: PAGE 97 OF 97								
ITEMIZED DISBURSEMENTS		Use separate schedule(s) for each category of the			heck		/ one) □ 22 🗶 23 □ 26 [					27		
	[	Detailed S	Summary Page			28a	28b	-	28c	29	$\vdash$	30b		
Any information copied from such Reports or for commercial purposes, other than us														
		0												
Novartis Corporation Politic	cal Action	Comm	Ittee											
Full Name (Last, First, Middle Initial) A. Chrissy Houlahan for Congress							Date of Disbursement							
Mailing Address PO Box 222							07 / 07 / 2018							
City Devon		State Zip Code PA 19333					FEC Identification Number							
Purpose of Disbursement Contribution						1	C C00637371							
Candidate Name						/	Transaction ID : B697893 Amount of Each Disbursement this Period							
Houlahan, Chrissy, , ,								-	-		-	1000.00	1	
Office Sought:     ★     House     Disbursement For: 2018       Senate     Primary     ★     General       President     Other (specify)     ▼														
State: PA District: 06		ner (speci		Memo Item										
Full Name (Last, First, Middle Initial) B.							Date of Disbursement							
							M M / D D / Y Y Y Y							
Mailing Address														
City	Sta	tate Zip Code					FEC Identification Number							
Purpose of Disbursement						1	C							
Candidate Name						/	Amount of Each Disbursement this Period							
Office Sought: House	ffice Sought: House Disbursement For: Senate Primary General													
State: District:		her (speci		Memo Item										
Full Name (Last, First, Middle Initial)														
C.							Date of Disbursement							
Mailing Address														
City	y State Zip Code						FEC Identification Number							
Purpose of Disbursement							С							
Candidate Name Category/ Type							Amount of Each Disbursement this Period							
Office Sought: House Disbursement For: Senate Primary General							1							
State: District: Centre Other (specify) ▼							Memo Item							
							_		_		-		_	
SUBTOTAL of Disbursements This Page	(optional)										_	1000.00	-	
TOTAL This Period (last page this line n	umber only)						L.					13500.00	)	