

FEC
FORM 3XREPORT OF RECEIPTS
AND DISBURSEMENTS
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines

Action Fund of Lehman Brothers Holdings Inc.

ADDRESS (number and street)

200 Vesey Street 11th Floor

Check if different
than previously
reported. (ACC)

New York

NY

10285

2. **FEC IDENTIFICATION NUMBER** **CITY** **STATE** **ZIP CODE**

C00127670

3. IS THIS REPORT ☒ NEW (N) **OR** AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

April 15 Quarterly Report(Q1)	Feb 20 (M2)	May 20 (M5)	Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
July 15 Quarterly Report(Q2)	Mar 20 (M3)	Jun 20 (M6)	Sep 20 (M9)	☒ Dec 20 (M12) (Non-Election Year Only)
October 15 Quarterly Report(Q3)	Apr 20 (M4)	Jul 20 (M7)	Oct 20 (M10)	Jan 31 (M13)
January 31 Quarterly Report(YE)				

(b) Monthly Report Due On:

(c) 12-Day **PRE**Election Report for the:

Primary (12P)	General (12G)	Runoff (12R)
Convention (12C)	Special (12S)	

(d) 30-Day **Post**-Election Report for the:

General (30G)	Runoff (30R)	Special (30S)
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Termination Report (TER)

Election on _____ in the State of _____

5. Covering Period 11 01 2001 through 11 30 2001

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Edward S. Grieb

Signature of Treasurer Electronically Filed by Edward S. Grieb Date 12 17 2001

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
OnlyFEC FORM 3X
(Revised 1/2001)

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Revised 1/2001)

Page 2

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: ^h1 ^m1 ^d0 ^y2001 To: ^h1 ^m3 ^d0 ^y2001

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 ^m ^y 2001		119194.71
(b) Cash on Hand at Beginning of Reporting Period	136622.11	
(c) Total Receipts (from Line 19)	11045.63	140238.08
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	147667.74	259432.79
7. Total Disbursements (from Line 30)	10123.86	121888.91
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	137543.88	137543.88
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D)	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-426-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

FEC Form 3X (Revised 1/2001)

Page 3

Write or Type Committee Name

Action Fund of Lehman Brothers Holdings Inc.

Report Covering the Period: From: ^K11 ^D01 ^Y2001 To: ^K11 ^D30 ^Y2001

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	10197.46	
(i) Itemized (use Schedule A)	811.00	
(ii) Unitemized		
(III) TOTAL (add Lines 11(a)(i) and (ii) ►	11008.46	138802.11
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(III), (b) and (c)) (Carry Totals to Line 32, page 4) ►	11008.46	138802.11
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	37.17	1435.97
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18) ►	11045.63	140238.08
20. Total Federal Receipts (subtract Line 18 from Line 19) ►	11045.63	140238.08

DETAILED SUMMARY PAGE
of Disbursements

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Page 4

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	123.86	2348.91
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ►	123.86	2348.91
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	10000.00	119540.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ►	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ►	10123.86	121888.91
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ►	10123.86	121888.91
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3).....	11008.46	138802.11
33. Total Contribution Refunds (from Line 28(d)).....	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	11008.46	138802.11
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ►	123.86	2348.91
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ►	123.86	2348.91

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. AMIN KAUSHIK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Transaction ID: A11584

Full Name (Last, First, Middle Initial)

B. AMIN KAUSHIK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Transaction ID: A11584

Full Name (Last, First, Middle Initial)

C. APPEL FREDERICK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11588

SUBTOTAL of Receipts This Page (optional)

150.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. APPEL FREDERICK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A11986

Full Name (Last, First, Middle Initial)

B. ARNOLD WILLIAM G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11588

Full Name (Last, First, Middle Initial)

C. ARNOLD WILLIAM G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A11988

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ASSELSTIN JAMES K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11589

Full Name (Last, First, Middle Initial)

B. ASSELSTIN JAMES K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A11989

Full Name (Last, First, Middle Initial)

C. BARRETT RICHARD M

Mailing Address

203 MAC INTYRE LANE

City

ALLENDALE

State

NJ

Zip Code

07401

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11590

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BARRETT RICHARD M

Mailing Address

203 MAC INTYRE LANE

City

State

Zip Code

ALLEDALE

NJ

07401

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A11990

Full Name (Last, First, Middle Initial)

B. BASULTO VINCENT

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11591

Full Name (Last, First, Middle Initial)

C. BASULTO VINCENT

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A11991

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
200 VESEY AMX TWR-WFCC FL. 8
City State Zip Code
NEW YORK NY 10285
FEC ID number of contributing federal political committee.
Name of Employer Occupation
LEHMAN BROTHERS HOLDINGS INC. EXECUTIVE
Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 1150.00
Date of Receipt
N M / D C / Y Y Y Y
11 15 2001
Amount of Each Receipt this Period 50.00
Transaction ID: A11592

B. Full Name (Last, First, Middle Initial)
BATH DAVID B
Mailing Address
200 VESEY AMX TWR-WFCC FL. 8
City State Zip Code
NEW YORK NY 10285
FEC ID number of contributing federal political committee.
Name of Employer Occupation
LEHMAN BROTHERS HOLDINGS INC. EXECUTIVE
Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 1200.00
Date of Receipt
N M / D C / Y Y Y Y
11 30 2001
Amount of Each Receipt this Period 50.00
Transaction ID: A11592

C. Full Name (Last, First, Middle Initial)
BENSON BETH A
Mailing Address
200 VESEY ST. 27TH FLOOR
City State Zip Code
NEW YORK NY 10285
FEC ID number of contributing federal political committee.
Name of Employer Occupation
LEHMAN BROTHERS HOLDINGS INC. VICE PRESIDENT
Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 460.00
Date of Receipt
N M / D C / Y Y Y Y
11 15 2001
Amount of Each Receipt this Period 20.00
Transaction ID: A11593

SUBTOTAL of Receipts This Page (optional) **120.00**

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BENSON BETHA

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A11993

Full Name (Last, First, Middle Initial)

B. BERNARD THOMAS E.

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11584

Full Name (Last, First, Middle Initial)

C. BERNARD THOMAS E.

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A11884

SUBTOTAL of Receipts This Page (optional)

120.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BRAND DAVID J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11597

Full Name (Last, First, Middle Initial)

B. BRAND DAVID J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A11997

Full Name (Last, First, Middle Initial)

C. BRATTON RICHARD E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11598

SUBTOTAL of Receipts This Page (optional)

30.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BRATTON RICHARD E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A11998

Full Name (Last, First, Middle Initial)

B. BRIER BRUCE D.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Transaction ID: A11599

Full Name (Last, First, Middle Initial)

C. BRIER BRUCE D.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Transaction ID: A11899

SUBTOTAL of Receipts This Page (optional) ▶

60.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BUONINCON DIANNE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11600

Full Name (Last, First, Middle Initial)

B. BUONINCON DIANNE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12000

Full Name (Last, First, Middle Initial)

C. BUTLER SHAUN K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11601

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. BUTLER SHALIN K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12001

Full Name (Last, First, Middle Initial)

B. BYLON LANCE F

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11602

Full Name (Last, First, Middle Initial)

C. BYLON LANCE F

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12002

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. CALDWELL ROBERT S.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11603

Full Name (Last, First, Middle Initial)

B. CALDWELL ROBERT S.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12003

Full Name (Last, First, Middle Initial)

C. CLAIBORN STEPHEN A.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

605.00

Transaction ID: A11608

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. CLAIBORN STEPHEN A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

835.00

Transaction ID: A12008

Full Name (Last, First, Middle Initial)

B. CONNORS KEVIN T

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11610

Full Name (Last, First, Middle Initial)

C. CONNORS KEVIN T

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12010

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. CORCORAN THOMAS P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11612

Full Name (Last, First, Middle Initial)

B. CORCORAN THOMAS P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12012

Full Name (Last, First, Middle Initial)

C. CORNALI CLAUDIO

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11614

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. CORNALI CLAUDIO

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12014

Full Name (Last, First, Middle Initial)

B. CORTESHECTOR A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

415.00

Transaction ID: A11615

Full Name (Last, First, Middle Initial)

C. CORTESHECTOR A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Transaction ID: A12015

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. COX ROBERT C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11616

Full Name (Last, First, Middle Initial)

B. COX ROBERT C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12016

Full Name (Last, First, Middle Initial)

C. CUTLER ALLEN B

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11617

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. CUTLER ALLEN B

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12017

Full Name (Last, First, Middle Initial)

B. DAVEY PAUL M.

Mailing Address

3 EAST 66TH SB

City

State

Zip Code

NEW YORK

NY

10021

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

435.00

Transaction ID: A11618

Full Name (Last, First, Middle Initial)

C. DE GAGLIA THOMAS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11619

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. DE GAGLIA THOMAS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12018

Full Name (Last, First, Middle Initial)

B. DE GENNAR MARK W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11620

Full Name (Last, First, Middle Initial)

C. DE GENNAR MARK W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12019

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. DEAN PATRICK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11622

Full Name (Last, First, Middle Initial)

B. DEAN PATRICK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A112021

Full Name (Last, First, Middle Initial)

C. DEGRAFF DONALD

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11621

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. DEGRAFF DONALD

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12020

Full Name (Last, First, Middle Initial)

B. DONOHUE EDWARD B

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11624

Full Name (Last, First, Middle Initial)

C. DONOHUE EDWARD B

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12023

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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Use separate schedule(s)
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Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. DZIEDZIC STANLEY J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

46.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11626

Full Name (Last, First, Middle Initial)

B. DZIEDZIC STANLEY J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

46.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

276.00

Transaction ID: A112025

Full Name (Last, First, Middle Initial)

C. EDWARDS PAUL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11627

SUBTOTAL of Receipts This Page (optional)

142.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. EDWARDS PAUL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12026

Full Name (Last, First, Middle Initial)

B. ELKINS JAY S

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11628

Full Name (Last, First, Middle Initial)

C. ELKINS JAY S

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12027

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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Use separate schedule(s)
or each category of the
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. EMMERT JAMES R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11629

Full Name (Last, First, Middle Initial)

B. EMMERT JAMES R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12029

Full Name (Last, First, Middle Initial)

C. EPSTEIN JONATHAN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Transaction ID: A11630

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ERNST HERBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11631

Full Name (Last, First, Middle Initial)

B. ERNST HERBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12029

Full Name (Last, First, Middle Initial)

C. ERSOFF BRETT I

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11632

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ERSOFF BRETT I

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

30.00

Transaction ID: A12030

Full Name (Last, First, Middle Initial)

B. FEIGELES EDWARD M

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

50.00

Transaction ID: A11634

Full Name (Last, First, Middle Initial)

C. FEIGELES EDWARD M

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

50.00

Transaction ID: A12032

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FIDELIA-DAGUILLARD NADJA

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1580.00

Transaction ID: A11635

Full Name (Last, First, Middle Initial)

B. FIDELIA-DAGUILLARD NADJA

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1680.00

Transaction ID: A12033

Full Name (Last, First, Middle Initial)

C. FINNERTY THOMAS P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11638

SUBTOTAL of Receipts This Page (optional)

210.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FINNERTY THOMAS P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12034

Full Name (Last, First, Middle Initial)

B. FLECKENSTEIN W DANSBY

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Transaction ID: A11637

Full Name (Last, First, Middle Initial)

C. FLEISCHOR KIRK D

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11638

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FLEISCHOR KIRK D

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

11 / 30 / 2001

Amount of Each Receipt this Period

30.00

Transaction ID: A12035

Full Name (Last, First, Middle Initial)

B. FORD JONATHAN G

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

830.00

Date of Receipt

11 / 15 / 2001

Amount of Each Receipt this Period

30.00

Transaction ID: A11639

Full Name (Last, First, Middle Initial)

C. FORTE VICTOR A.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

11 / 15 / 2001

Amount of Each Receipt this Period

25.00

Transaction ID: A11640

SUBTOTAL of Receipts This Page (optional)

85.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FORTE VICTOR A.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Transaction ID: A12036

Full Name (Last, First, Middle Initial)

B. FOX GERARD J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11641

Full Name (Last, First, Middle Initial)

C. FOX GERARD J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12037

SUBTOTAL of Receipts This Page (optional)

55.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
or each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FRANK FREDERICK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11643

Full Name (Last, First, Middle Initial)

B. FRANK FREDERICK

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12038

Full Name (Last, First, Middle Initial)

C. FRANK III EDWIN G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11642

SUBTOTAL of Receipts This Page (optional)

120.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. FRANK III EDWIN G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12038

Full Name (Last, First, Middle Initial)

B. GALLAGHER BILL

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11644

Full Name (Last, First, Middle Initial)

C. GALLAGHER BILL

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12040

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GARGANO GLORIANNE M

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11645

Full Name (Last, First, Middle Initial)

B. GARGANO GLORIANNE M

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12041

Full Name (Last, First, Middle Initial)

C. GATES WILLIAM H

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Transaction ID: A11648

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GATES WILLIAM H

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12042

Full Name (Last, First, Middle Initial)

B. GELBAND MICHAEL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11647

Full Name (Last, First, Middle Initial)

C. GELBAND MICHAEL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12043

SUBTOTAL of Receipts This Page (optional)

200.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GENIRS-JO JEANMARIE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11648

Full Name (Last, First, Middle Initial)

B. GENIRS-JO JEANMARIE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12044

Full Name (Last, First, Middle Initial)

C. GIBBS JAMES H

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11649

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GIBBS JAMES H

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12045

Full Name (Last, First, Middle Initial)

B. GLASS JEFFREY N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Transaction ID: A11650

Full Name (Last, First, Middle Initial)

C. GLASS JEFFREY N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Transaction ID: A12048

SUBTOTAL of Receipts This Page (optional)

100.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GODLIS LEWIS S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11651

Full Name (Last, First, Middle Initial)

B. GODLIS LEWIS S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12047

Full Name (Last, First, Middle Initial)

C. GOLDBERG STEVEN H

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

435.00

Transaction ID: A11652

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GOLDBERG STEVEN H

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

465.00

Transaction ID: A12048

Full Name (Last, First, Middle Initial)

B. GOLDFARB DAVID

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11653

Full Name (Last, First, Middle Initial)

C. GOLDFARB DAVID

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12049

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GRABER JOE A

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11654

Full Name (Last, First, Middle Initial)

B. GRABER JOE A

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12050

Full Name (Last, First, Middle Initial)

C. GRAY LYNN Z.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11655

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GRAY LYNN Z.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12051

Full Name (Last, First, Middle Initial)

B. GREEN ERNEST G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11656

Full Name (Last, First, Middle Initial)

C. GREEN ERNEST G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12052

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GRIFFIN BERNARD J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11659

Full Name (Last, First, Middle Initial)

B. GRIFFIN BERNARD J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12055

Full Name (Last, First, Middle Initial)

C. GRIMM KRIS D

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11660

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GRIMM KRIS D

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12056

Full Name (Last, First, Middle Initial)

B. GUARNIERI MICHAEL P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11662

Full Name (Last, First, Middle Initial)

C. GUARNIERI MICHAEL P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12058

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GUENTHER TODD C

Mailing Address

150 COLUMBUS AVE 16C

City

NEW YORK

State

NY

Zip Code

10023

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Transaction ID: A11683

Full Name (Last, First, Middle Initial)

B. GUENTHER TODD C

Mailing Address

150 COLUMBUS AVE 16C

City

NEW YORK

State

NY

Zip Code

10023

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

670.00

Transaction ID: A12059

Full Name (Last, First, Middle Initial)

C. GUGLIELMO ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11664

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. GUGLIELMO ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12080

Full Name (Last, First, Middle Initial)

B. HARTMEIER MIKE A

Mailing Address

286 DENSLOW AVE

City

LOS ANGELES

State

CA

Zip Code

90049

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Transaction ID: A11685

Full Name (Last, First, Middle Initial)

C. HARTMEIER MIKE A

Mailing Address

286 DENSLOW AVE

City

LOS ANGELES

State

CA

Zip Code

90049

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Transaction ID: A12061

SUBTOTAL of Receipts This Page (optional)

120.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. HAWKINS THOMAS A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Transaction ID: A11686

Full Name (Last, First, Middle Initial)

B. HAWKINS THOMAS A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Transaction ID: A12062

Full Name (Last, First, Middle Initial)

C. HOFFMEIST PERRY C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11669

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. HOFFMEIST PERRY C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12085

Full Name (Last, First, Middle Initial)

B. HOLDGRAFE JEFFREY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11670

Full Name (Last, First, Middle Initial)

C. HOLDGRAFE JEFFREY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12068

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. HOUSEWORTH COURT H

Mailing Address

136 SILVER OAK TERRACE

City

State

Zip Code

ORINDA

CA

94563

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

30.00

Transaction ID: A11671

Full Name (Last, First, Middle Initial)

B. HOUSEWORTH COURT H

Mailing Address

136 SILVER OAK TERRACE

City

State

Zip Code

ORINDA

CA

94563

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

30.00

Transaction ID: A12067

Full Name (Last, First, Middle Initial)

C. HOWARD MARK W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

50.00

Transaction ID: A11672

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. HOWARD MARK W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12088

Full Name (Last, First, Middle Initial)

B. HOWARD STEPHEN E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11673

Full Name (Last, First, Middle Initial)

C. HOWARD STEPHEN E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12069

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. HOY ROBERT J

Mailing Address

43 WEST 21ST APT. 4

City

NEW YORK

State

NY

Zip Code

10010

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Transaction ID: A11674

Full Name (Last, First, Middle Initial)

B. HYNTE ROBERT A

Mailing Address

4459 REDWOOD ROAD

City

NAPA

State

CA

Zip Code

94558

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11676

Full Name (Last, First, Middle Initial)

C. HYNTE ROBERT A

Mailing Address

4459 REDWOOD ROAD

City

NAPA

State

CA

Zip Code

94558

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12070

SUBTOTAL of Receipts This Page (optional)

200.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. IORIO JAMES L.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11677

Full Name (Last, First, Middle Initial)

B. IORIO JAMES L.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12071

Full Name (Last, First, Middle Initial)

C. JANULIS THEODORE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11678

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. JANULIS THEODORE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12072

Full Name (Last, First, Middle Initial)

B. JENNINGS WILLIAM

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11679

Full Name (Last, First, Middle Initial)

C. JENNINGS WILLIAM

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12073

SUBTOTAL of Receipts This Page (optional)

140.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. JONES CRAIG L.

Mailing Address

137 FOREST AVE

City

GLEN RIDGE

State

NJ

Zip Code

07028

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11680

Full Name (Last, First, Middle Initial)

B. JONES CRAIG L.

Mailing Address

137 FOREST AVE

City

GLEN RIDGE

State

NJ

Zip Code

07028

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12074

Full Name (Last, First, Middle Initial)

C. JOTWANI TARUN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Transaction ID: A11681

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. KANE JEANNE M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11682

Full Name (Last, First, Middle Initial)

B. KANE JEANNE M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12075

Full Name (Last, First, Middle Initial)

C. KELLY JOSEPH J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11684

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

A. Full Name (Last, First, Middle Initial)
KELLY JOSEPH J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12077

B. Full Name (Last, First, Middle Initial)
KIERNAN JOHN J.

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11685

C. Full Name (Last, First, Middle Initial)
KIERNAN JOHN J.

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12078

SUBTOTAL of Receipts This Page (optional) ▶

110.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. KILLIAN GARY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11686

Full Name (Last, First, Middle Initial)

B. KILLIAN GARY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12079

Full Name (Last, First, Middle Initial)

C. KIRSCH RICHARD

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Transaction ID: A11687

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. KITEI JONATHAN M

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11688

Full Name (Last, First, Middle Initial)

B. KITEI JONATHAN M

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12080

Full Name (Last, First, Middle Initial)

C. KRUEGER HARVEY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11689

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. KRUEGER HARVEY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12081

Full Name (Last, First, Middle Initial)

B. LAHEY MARK K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11680

Full Name (Last, First, Middle Initial)

C. LAHEY MARK K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12082

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LAUCKS CRAIG S

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

860.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

80.00

Transaction ID: A11693

Full Name (Last, First, Middle Initial)

B. LAVELLE DAVID J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

10.00

Transaction ID: A11694

Full Name (Last, First, Middle Initial)

C. LAVELLE DAVID J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

10.00

Transaction ID: A12084

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LESSING STEPHEN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11695

Full Name (Last, First, Middle Initial)

B. LESSING STEPHEN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12085

Full Name (Last, First, Middle Initial)

C. LI RAYMOND K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Transaction ID: A11698

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LI RAYMOND K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12086

Full Name (Last, First, Middle Initial)

B. LIEBER ROBERT C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11697

Full Name (Last, First, Middle Initial)

C. LIEBER ROBERT C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12087

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LIEBERBERG ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11698

Full Name (Last, First, Middle Initial)

B. LIEBERBERG ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12088

Full Name (Last, First, Middle Initial)

C. LIGHTEN WILLIAM E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11689

SUBTOTAL of Receipts This Page (optional)

120.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LIGHTEN WILLIAM E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12089

Full Name (Last, First, Middle Initial)

B. LISTER JAMES

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

80.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1380.00

Transaction ID: A11700

Full Name (Last, First, Middle Initial)

C. LISTER JAMES

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

60.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1440.00

Transaction ID: A12090

SUBTOTAL of Receipts This Page (optional)

140.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LOFTUS JOSEPHA

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11701

Full Name (Last, First, Middle Initial)

B. LOFTUS JOSEPHA

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12081

Full Name (Last, First, Middle Initial)

C. LOUIS DAVID W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11702

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LOHUIS DAVID W

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12092

Full Name (Last, First, Middle Initial)

B. LOWELL DAWN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11703

Full Name (Last, First, Middle Initial)

C. LOWELL DAWN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12093

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. LUPO JR FRANK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11704

Full Name (Last, First, Middle Initial)

B. LUPO JR FRANK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12084

Full Name (Last, First, Middle Initial)

C. MAJESTIC GUY A

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11708

SUBTOTAL of Receipts This Page (optional)

55.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MAJECTIC GUYA

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12096

Full Name (Last, First, Middle Initial)

B. MALIK ANDREW J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11707

Full Name (Last, First, Middle Initial)

C. MALIK ANDREW J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D C / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12097

SUBTOTAL of Receipts This Page (optional)

115.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MALINMARK C

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

35.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

385.00

Transaction ID: A11708

Full Name (Last, First, Middle Initial)

B. MALVEY JOHN V

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11708

Full Name (Last, First, Middle Initial)

C. MALVEY JOHN V

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12088

SUBTOTAL of Receipts This Page (optional)

135.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MARCUCCI MARK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11711

Full Name (Last, First, Middle Initial)

B. MARCUCCI MARK J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12100

Full Name (Last, First, Middle Initial)

C. MARINO THOMAS N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11713

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MARINO THOMAS N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12102

Full Name (Last, First, Middle Initial)

B. MARON MARK S

Mailing Address

1480 BIENUEÑA

City

State

Zip Code

PACIFIC PALISADES

CA

90272

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Transaction ID: A11714

Full Name (Last, First, Middle Initial)

C. MARON MARK S

Mailing Address

1490 BIENUEÑA

City

State

Zip Code

PACIFIC PALISADES

CA

90272

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Transaction ID: A12103

SUBTOTAL of Receipts This Page (optional)

150.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MARSH LAWRENCE C

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Transaction ID: A11716

Full Name (Last, First, Middle Initial)

B. MARSH LAWRENCE C

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Transaction ID: A12104

Full Name (Last, First, Middle Initial)

C. MCCOMBIE JANICE B

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11717

SUBTOTAL of Receipts This Page (optional)

115.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MCCOMBIE JANICE B

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12105

Full Name (Last, First, Middle Initial)

B. MILVERSTE MICHAEL R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11721

Full Name (Last, First, Middle Initial)

C. MILVERSTE MICHAEL R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12108

SUBTOTAL of Receipts This Page (optional)

115.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MURRAY GUY J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11724

Full Name (Last, First, Middle Initial)

B. MURRAY GUY J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12111

Full Name (Last, First, Middle Initial)

C. MURRAY JR JOHN P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11723

SUBTOTAL of Receipts This Page (optional)

40.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. MURRAY JR JOHN P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12110

Full Name (Last, First, Middle Initial)

B. NAPOLITANS FRANK A

Mailing Address

226 LINDEN AVENUE

City

State

Zip Code

WESTFIELD

NJ

07090

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

200.00

Transaction ID: A11725

Full Name (Last, First, Middle Initial)

C. NAPOLITANS FRANK A

Mailing Address

226 LINDEN AVENUE

City

State

Zip Code

WESTFIELD

NJ

07090

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Transaction ID: A12112

SUBTOTAL of Receipts This Page (optional)

120.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. NASH ANTHONY A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11728

Full Name (Last, First, Middle Initial)

B. NASH ANTHONY A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12113

Full Name (Last, First, Middle Initial)

C. O'DONNELL DEIRDRE J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11729

SUBTOTAL of Receipts This Page (optional)

40.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. O'DONNELL DEIRDRE J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12116

Full Name (Last, First, Middle Initial)

B. O'HANLON MICHAEL J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

2300.00

Transaction ID: A11731

Full Name (Last, First, Middle Initial)

C. ODRICH MICHAEL J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Transaction ID: A11730

SUBTOTAL of Receipts This Page (optional) ▶

170.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ODRICH MICHAEL J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 30 / 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Transaction ID: A12117

Full Name (Last, First, Middle Initial)

B. OKIN ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 15 / 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11732

Full Name (Last, First, Middle Initial)

C. OKIN ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 30 / 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12118

SUBTOTAL of Receipts This Page (optional) ▶

80.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. PALEY MARC L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11733

Full Name (Last, First, Middle Initial)

B. PALEY MARC L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12119

Full Name (Last, First, Middle Initial)

C. PANAGEAS SOTIRIOS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

60.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

810.00

Transaction ID: A11734

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. PANAGEAS SDIRIOS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 30 / 2001

Amount of Each Receipt this Period

80.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

870.00

Transaction ID: A12120

Full Name (Last, First, Middle Initial)

B. PENROSE JAMES G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 15 / 2001

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11735

Full Name (Last, First, Middle Initial)

C. PENROSE JAMES G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

11 / 30 / 2001

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12121

SUBTOTAL of Receipts This Page (optional)

140.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. PETERS STEPHEN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11736

Full Name (Last, First, Middle Initial)

B. PETERS STEPHEN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12122

Full Name (Last, First, Middle Initial)

C. PIA LOUIS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11737

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. PIA LOUIS

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12123

Full Name (Last, First, Middle Initial)

B. POLIZZOTTI JOSEPH

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11738

Full Name (Last, First, Middle Initial)

C. POLIZZOTTI JOSEPH

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12124

SUBTOTAL of Receipts This Page (optional)

60.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. PUGGIAREL JAMES V

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

38.46

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

423.06

Transaction ID: A11739

Full Name (Last, First, Middle Initial)

B. REDMOND RICHARD R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11740

Full Name (Last, First, Middle Initial)

C. REDMOND RICHARD R

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12125

SUBTOTAL of Receipts This Page (optional)

78.46

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. REILLY BRIAN M.

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11741

Full Name (Last, First, Middle Initial)

B. REILLY BRIAN M.

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A12128

Full Name (Last, First, Middle Initial)

C. REYNOLDS LISA G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11742

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. REYNOLDS LISA G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

10.00

Transaction ID: A12127

Full Name (Last, First, Middle Initial)

B. RILEY-MOT MARY KAY

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

690.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

30.00

Transaction ID: A11722

Full Name (Last, First, Middle Initial)

C. RILEY-MOT MARY KAY

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

FIRST VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

30.00

Transaction ID: A12109

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. RISPOLI DOMINIC

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

335.00

Transaction ID: A11743

Full Name (Last, First, Middle Initial)

B. RISPOLI DOMINIC

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

25.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12128

Full Name (Last, First, Middle Initial)

C. RIZZIERI GERALD A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Transaction ID: A11744

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. RIZZO CHARLES K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11745

Full Name (Last, First, Middle Initial)

B. RIZZO CHARLES K

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12129

Full Name (Last, First, Middle Initial)

C. RIZZOTTO ANTHONY

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11748

SUBTOTAL of Receipts This Page (optional)

35.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. RIZZOTTO ANTHONY

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12130

Full Name (Last, First, Middle Initial)

B. ROBERTS ERIC W

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11747

Full Name (Last, First, Middle Initial)

C. ROBERTS ERIC W

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12131

SUBTOTAL of Receipts This Page (optional) ▶

115.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ROWLEY BETH L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11749

Full Name (Last, First, Middle Initial)

B. ROWLEY BETH L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12133

Full Name (Last, First, Middle Initial)

C. RUSSO THOMAS A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11750

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. RUSSO THOMAS A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12134

Full Name (Last, First, Middle Initial)

B. RYLAND KYLE T

Mailing Address

765 BONHILL RD

City

State

Zip Code

HILLSBOROUGH

CA

94010

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

200.00

Transaction ID: A11751

Full Name (Last, First, Middle Initial)

C. RYLAND KYLE T

Mailing Address

765 BONHILL RD

City

State

Zip Code

HILLSBOROUGH

CA

94010

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Transaction ID: A12135

SUBTOTAL of Receipts This Page (optional)

150.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SALKO RANDI J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11753

Full Name (Last, First, Middle Initial)

B. SALKO RANDI J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12137

Full Name (Last, First, Middle Initial)

C. SANBORN GILBERT D

Mailing Address

125 OLD HYDE RD

City

WESTON

State

CT

Zip Code

06885

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Transaction ID: A11754

SUBTOTAL of Receipts This Page (optional)

160.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SANBORN GILBERT D

Mailing Address

125 OLD HYDE RD

City

WESTON

State

CT

Zip Code

06883

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

100.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Transaction ID: A12138

Full Name (Last, First, Middle Initial)

B. SCHWADRON DAVID A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

16.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

368.00

Transaction ID: A11757

Full Name (Last, First, Middle Initial)

C. SCHWADRON DAVID A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

16.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

364.00

Transaction ID: A12140

SUBTOTAL of Receipts This Page (optional)

132.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SEAMAN JEFFREY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11758

Full Name (Last, First, Middle Initial)

B. SEAMAN JEFFREY M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12141

Full Name (Last, First, Middle Initial)

C. SHERR DAVID N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

460.00

Transaction ID: A11760

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SHERR DAVID N

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Transaction ID: A12143

Full Name (Last, First, Middle Initial)

B. SMITH JAMES P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

20.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Transaction ID: A11762

Full Name (Last, First, Middle Initial)

C. SPARKS ALAN A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11763

SUBTOTAL of Receipts This Page (optional) ▶

90.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SPARKS ALAN A.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12145

Full Name (Last, First, Middle Initial)

B. SULLIVAN CLARE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11785

Full Name (Last, First, Middle Initial)

C. SULLIVAN CLARE

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12147

SUBTOTAL of Receipts This Page (optional)

70.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. SWEENEY JOHN K.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1024.55

Transaction ID: A11788

Full Name (Last, First, Middle Initial)

B. SWEENEY JOHN K.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1064.55

Transaction ID: A12148

Full Name (Last, First, Middle Initial)

C. TAYLOR PETER J.

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11767

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. TAYLOR PETER J

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12149

Full Name (Last, First, Middle Initial)

B. TEGEN DOUGLAS B

Mailing Address

200 VESEY AMX TWR-WFDC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11769

Full Name (Last, First, Middle Initial)

C. TEICHMAN HAROLD

Mailing Address

68 PROSPECT PLACE

City

State

Zip Code

RUTHERFORD

NJ

07070

FEC ID number of contributing
federal political committee.

Date of Receipt

MM / DD / YYYY
11 / 15 / 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11769

SUBTOTAL of Receipts This Page (optional)

65.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. TEICHMAN HAROLD

Mailing Address

68 PROSPECT PLACE

City

State

Zip Code

RUTHERFORD

NJ

07070

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12150

Full Name (Last, First, Middle Initial)

B. TEIFELD LEONARD M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11770

Full Name (Last, First, Middle Initial)

C. TEIFELD LEONARD M

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D C / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12151

SUBTOTAL of Receipts This Page (optional)

95.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. TOBIN PAUL G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Transaction ID: A11771

Full Name (Last, First, Middle Initial)

B. TURNER FRANK P

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

605.00

Transaction ID: A11774

Full Name (Last, First, Middle Initial)

C. TURNER FRANK P

Mailing Address

200 VESEY AMX TWR-WFC FL. 8

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

635.00

Transaction ID: A12154

SUBTOTAL of Receipts This Page (optional)

110.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. UZELAC JOSEPH G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

575.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

25.00

Transaction ID: A11775

Full Name (Last, First, Middle Initial)

B. UZELAC JOSEPH G

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

Amount of Each Receipt this Period

25.00

Transaction ID: A12155

Full Name (Last, First, Middle Initial)

C. VANDERBEE JEFFREY

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

Amount of Each Receipt this Period

40.00

Transaction ID: A11776

SUBTOTAL of Receipts This Page (optional)

90.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. VANDERBEE JEFFREY

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12156

Full Name (Last, First, Middle Initial)

B. VOIGTMAN JON E

Mailing Address

2 OAKLAWN RD

City

SUMMIT

State

NJ

Zip Code

07901

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Transaction ID: A11778

Full Name (Last, First, Middle Initial)

C. VOIGTMAN JON E

Mailing Address

2 OAKLAWN RD

City

SUMMIT

State

NJ

Zip Code

07901

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Transaction ID: A12158

SUBTOTAL of Receipts This Page (optional)

140.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WALKER JON P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

\$60.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

\$0.00

Transaction ID: A11779

Full Name (Last, First, Middle Initial)

B. WASHKOWIT ALAN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

\$20.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 2 0 0 1

Amount of Each Receipt this Period

\$0.00

Transaction ID: A11780

Full Name (Last, First, Middle Initial)

C. WASHKOWIT ALAN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

\$60.00

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 2 0 0 1

Amount of Each Receipt this Period

\$0.00

Transaction ID: A12159

SUBTOTAL of Receipts This Page (optional)

140.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WEINSTEIN GARY S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11781

Full Name (Last, First, Middle Initial)

B. WEINSTEIN GARY S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12180

Full Name (Last, First, Middle Initial)

C. WEXLER PETER I

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11782

SUBTOTAL of Receipts This Page (optional)

115.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WEXLER PETER I

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12181

Full Name (Last, First, Middle Initial)

B. WHITE KEVIN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Transaction ID: A11784

Full Name (Last, First, Middle Initial)

C. WHITE KEVIN

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

10.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Transaction ID: A12162

SUBTOTAL of Receipts This Page (optional) ▶

35.00

TOTAL This Period (last page this line number only) ▶

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WHITMAN BRADLEY A

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

890.00

Transaction ID: A11785

Full Name (Last, First, Middle Initial)

B. WHITMAN BRADLEY A

Mailing Address

200 VESEY AMX TWR-WFCC FL. 8

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

30.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Transaction ID: A12163

Full Name (Last, First, Middle Initial)

C. WILLENS ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11788

SUBTOTAL of Receipts This Page (optional)

100.00

TOTAL This Period (last page this line number only)

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ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WILLENS ROBERT

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12184

Full Name (Last, First, Middle Initial)

B. WILLOUGHBS SCOTT E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 15 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11787

Full Name (Last, First, Middle Initial)

C. WILLOUGHBS SCOTT E

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12165

SUBTOTAL of Receipts This Page (optional) ▶

70.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. WINCHESTE DAVID P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

920.00

Transaction ID: A11788

Full Name (Last, First, Middle Initial)

B. WINCHESTE DAVID P

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

40.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

960.00

Transaction ID: A12188

Full Name (Last, First, Middle Initial)

C. ZODIS PAUL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

N M / D E / Y Y Y Y
11 / 15 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11789

SUBTOTAL of Receipts This Page (optional)

130.00

TOTAL This Period (last page this line number only)

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ZOIDIS PAUL

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

MANAGING DIRECTOR

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12187

Full Name (Last, First, Middle Initial)

B. ZOREK JEFFREY A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 1 5 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11780

Full Name (Last, First, Middle Initial)

C. ZOREK JEFFREY A

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
1 1 / 3 0 / 2 0 0 1

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12168

SUBTOTAL of Receipts This Page (optional)

150.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ZUCKMAN JOHN S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

345.00

Transaction ID: A11791

Full Name (Last, First, Middle Initial)

B. ZUCKMAN JOHN S

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 30 2001

Amount of Each Receipt this Period

15.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

EXECUTIVE

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Transaction ID: A12189

Full Name (Last, First, Middle Initial)

C. ZUSY MARK L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

State

Zip Code

NEW YORK

NY

10285

FEC ID number of contributing
federal political committee.

Date of Receipt

N M / D E / Y Y Y Y
11 15 2001

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Transaction ID: A11792

SUBTOTAL of Receipts This Page (optional)

80.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. ZUSY MARK L

Mailing Address

200 VESEY ST. 27TH FLOOR

City

NEW YORK

State

NY

Zip Code

10285

Date of Receipt

MM / DD / YYYY
11 / 30 / 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

50.00

Name of Employer

LEHMAN BROTHERS HOLDINGS INC.

Occupation

SENIOR VICE PRESIDENT

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Transaction ID: A12170

B.

C.

SUBTOTAL of Receipts This Page (optional)

50.00

TOTAL This Period (last page this line number only)

10197.46

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. First Union Bank/CAP Account Dept.

Mailing Address

One First Union Center 1164

City

State

Zip Code

Charlotte

NC

28288

Date of Receipt

N M / D C / Y Y Y Y
11 30 2001

FEC ID number of contributing
federal political committee.

Amount of Each Receipt this Period

37.17

Name of Employer

Occupation

Receipt For:

Primary

General

Other (specify) ▼

Aggregate Year-to-Date ▼

1435.97

Transaction ID: A1B10

B.

C.

SUBTOTAL of Receipts This Page (optional)

37.17

TOTAL This Period (last page this line number only)

37.17

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial)

A. First Union Bank of Washington

Mailing Address

One First Union Center 1164

City

Charlotte

State

NC

Zip Code

28288

Purpose of Disbursement

O-2001 Bank Service Charge NC

Candidate Name

N/A

Category/
Type

Office Sought:

House

Senate

President

State: NC

District:

Disbursement For:

Primary

General

X Other (specify) ▼

Not Applicable

Date of Disbursement

11 / 09 / 2001

Amount of Each Disbursement this Period

123.86

Transaction ID: B3443

B.

C.

SUBTOTAL of Disbursements This Page (optional) ▶

123.86

TOTAL This Period (last page this line number only) ▶

123.86

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial) A. Friends of Max Baucus 2002 Mailing Address P.O. Box 586 City Helena State MT Zip Code 59624 Purpose of Disbursement P-2002 US Senate MT Candidate Name Baucus Max Office Sought: ☐ House ☒ Senate ☐ President State: MT District: Disbursement For: ☒ Primary ☐ General Other (specify) ▼			Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 1000.00 Transaction ID: B3436
Full Name (Last, First, Middle Initial) B. Christopher Shays for Congress Mailing Address 132 East Putnam Ave. City Cos Cob State CT Zip Code 06807 Purpose of Disbursement P-2002 US House 04 CT Candidate Name Shays Christopher Office Sought: ☒ House ☐ Senate ☐ President State: CT District: 4 Disbursement For: ☒ Primary ☐ General Other (specify) ▼			Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 1000.00 Transaction ID: B3437
Full Name (Last, First, Middle Initial) C. Tennesseans for Senator Thompson Mailing Address P.O. Box 397 City Brentwood State TN Zip Code 37024 Purpose of Disbursement P-2002 US Senate TN Candidate Name Thompson Fred D. Office Sought: ☐ House ☒ Senate ☐ President State: TN District: Disbursement For: ☒ Primary ☐ General Other (specify) ▼			Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 1000.00 Transaction ID: B3438
SUBTOTAL of Disbursements This Page (optional) ▶			3000.00
TOTAL This Period (last page this line number only) ▶			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

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☐ 29				

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NAME OF COMMITTEE (In Full)

Action Fund of Lehman Brothers Holdings Inc.

Full Name (Last, First, Middle Initial) A. Oxley for Congress Mailing Address Box 1998 City Findlay State OH Zip Code 45838 Purpose of Disbursement P-2002 US House 04 OH Candidate Name Oxley Michael G. Office Sought: ☒ House Senate President State: OH District: 4		Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 5000.00 Transaction ID: B3439
Full Name (Last, First, Middle Initial) B. Boucher for Congress Cmte Mailing Address P.O. Box 2000 City Abingdon State VA Zip Code 24210 Purpose of Disbursement P-2002 US House 09 VA Candidate Name Boucher Rick Office Sought: ☒ House Senate President State: VA District: 9		Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 1000.00 Transaction ID: B3440
Full Name (Last, First, Middle Initial) C. Gerald (Jerry) Weller for Congress Mailing Address P.O. Box 15283 City Washington State DC Zip Code 20003 Purpose of Disbursement P-2002 US House 11 IL Candidate Name Weller Gerald G. Office Sought: ☒ House Senate President State: IL District: 11		Date of Disbursement 11 / 26 / 2001 Amount of Each Disbursement this Period 1000.00 Transaction ID: B3441
SUBTOTAL of Disbursements This Page (optional)		7000.00
TOTAL This Period (last page this line number only)		10000.00