

FEDERAL ELECTION COMMISSION
PUBLIC DISCLOSURE DIVISION



2014 MAR -6 AM 10: 54
FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

*Amended Report
is attached* RQ-2

January 24, 2014

WENDY A. MAUSOLF, TREASURER
NORTHERN TRUST CORPORATION FEDERAL
POLITICAL ACTION COMMITTEE
(NORTHERN TRUST FEDERAL PAC)
50 SOUTH LASALLE STREET M-9
CHICAGO, IL 60603

Response Due Date
02/28/2014

IDENTIFICATION NUMBER: C00515148

REFERENCE: JULY QUARTERLY REPORT (04/01/2013 - 06/30/2013)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 1 item(s):

- Your calculations for Line(s) 31, Column B appear to be incorrect. Please provide the correct totals on the Detailed Summary Page. (2 U.S.C. § 434)(b) (7)

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1157.

14031192462

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

RECEIVED

2014 MAR -6 AM 9:11

Office Use Only CENTER

1. NAME OF COMMITTEE (in full)

TYPE OR PRINT ▼

Example: If typing, type over the lines.

12FE4M5

Northern Trust Corporation Federal
Political Action Committee

ADDRESS (number and street)

50 South Basile St M-9

Check if different than previously reported. (ACC)

Chicago IL 60603

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

00505148

3. IS THIS REPORT

NEW (N)

OR

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

- Feb 20 (M2)
- May 20 (M5)
- Aug 20 (M8)
- Nov 20 (M11) (Non-Election Year Only)
- Mar 20 (M3)
- Jun 20 (M6)
- Sep 20 (M9)
- Dec 20 (M12) (Non-Election Year Only)
- Apr 20 (M4)
- Jul 20 (M7)
- Oct 20 (M10)
- Jan 31 (YE)

(c) 12-Day PRE-Election Report for the:

- Primary (12P)
- General (12G)
- Runoff (12R)
- Convention (12C)
- Special (12S)

Election on

M M / D D / Y Y Y Y

in the State of

(d) 30-Day POST-Election Report for the:

- General (30G)
- Runoff (30R)
- Special (30S)

Election on

M M / D D / Y Y Y Y

in the State of

5. Covering Period

04 / 01 / 2013

through

06 / 30 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Wendy Mausoff

Signature of Treasurer

Wendy Mausoff

Date

02 / 12 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X
Rev. 12/2004

14031192463

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Northern Trust Corporation Federal Political Action Committee

Report Covering the Period: From: 04 ' 01 ' 2013 To: 06 ' 30 ' 2013

14031192464

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <u>2013</u>		7,250.00
(b) Cash on Hand at Beginning of Reporting Period.....	2,950.00	
(c) Total Receipts (from Line 19).....	2,680.00	4,380.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	5,630.00	11,630.00
7. Total Disbursements (from Line 31).....	2,000.00	8,000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	3,630.00	3,630.00
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D).....	,000.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D).....	,000.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Northern Trust Corporation Federal Political Action Committee

Report Covering the Period: From: 04'01'2013 To: 06'30'2013

14031192465

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	2,680.00	4,340.00
(ii) Unitemized.....	400.00	400.00
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	2,680.00	4,380.00
(b) Political Party Committees.....		
(c) Other Political Committees (such as PACs).....		
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶	2,680.00	4,380.00
12. Transfers From Affiliated/Other Party Committees.....		
13. All Loans Received.....		
14. Loan Repayments Received.....		
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....		
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....		
17. Other Federal Receipts (Dividends, Interest, etc.).....		
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....		
(b) Levin Funds (from Schedule H5).....		
(c) Total Transfers (add 18(a) and 18(b))..		
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	2,680.00	4,380.00
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	2,680.00	4,380.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

14031192466

II. Disbursements		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)			
(i) Federal Share			
(ii) Non-Federal Share.....			
(b) Other Federal Operating Expenditures			
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))			
22. Transfers to Affiliated/Other Party Committees			
23. Contributions to Federal Candidates/Committees and Other Political Committees	2000.00	8000.00	
24. Independent Expenditures (use Schedule E)			
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)			
26. Loan Repayments Made			
27. Loans Made			
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees			
(b) Political Party Committees			
(c) Other Political Committees (such as PACs)			
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))			
29. Other Disbursements			
30. Federal Election Activity (2 U.S.C. §431(20))			
(a) Allocated Federal Election Activity (from Schedule H6)			
(i) Federal Share			
(ii) "Levin" Share			
(b) Federal Election Activity Paid Entirely With Federal Funds			
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))			
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	2000.00	8000.00	
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	2000.00	8000.00	

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	2,680.00	4,340.00
34. Total Contribution Refunds (from Line 28(d))	,	,
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	2,680.00	4,340.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	,	,
37. Offsets to Operating Expenditures (from Line 15, page 3)	,	,
38. Net Operating Expenditures (subtract Line 37 from Line 36)	,	,

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 1 OF 3	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
				<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

14031192468

NAME OF COMMITTEE (In Full)
Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial) A. Muldrow, Wayne		Date of Receipt 06' 20' 2013
Mailing Address 6639 N. Rockwell #1		Amount of Each Receipt this Period , 300.00
City Chicago, IL	State Zip Code 60645	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 300.00	

Full Name (Last, First, Middle Initial) B. Blake, Michael		Date of Receipt 06' 30' 2013
Mailing Address 4548 Franklin Ave		Amount of Each Receipt this Period , 300.00
City Western Springs, IL	State Zip Code 60558	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 300.00	

Full Name (Last, First, Middle Initial) C. Decker, Sylvia		Date of Receipt 06' 30' 2013
Mailing Address 2238 Lincoln Park West #3F		Amount of Each Receipt this Period , 40.00
City Chicago, IL	State Zip Code 60614	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 40.00	

SUBTOTAL of Receipts This Page (optional).....▶	, 640.00
TOTAL This Period (last page this line number only).....▶	, ,

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 2 OF 3	
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial) A. Lane, Barbara		Date of Receipt 06' 30' 2013
Mailing Address 495 Boicell Ave #621		Amount of Each Receipt this Period , 80.00
City Miami	State Zip Code FL 33131	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 80.00	

Full Name (Last, First, Middle Initial) B. Potter, Steve		Date of Receipt 06' 30' 2013
Mailing Address 240 Cumberland Ave		Amount of Each Receipt this Period , 1,800.00
City kenilworth	State Zip Code IL 60043	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 2,400.00	

Full Name (Last, First, Middle Initial) Roberta Jacobs, Peter		Date of Receipt 06' 30' 2013
Mailing Address 2500 N. Orchard St		Amount of Each Receipt this Period , 60.00
City Chicago	State Zip Code IL 60614	
FEC ID number of contributing federal political committee. C		
Name of Employer Northern Trust	Occupation Banker	
Receipt For: <input type="checkbox"/> Primary <input checked="" type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ , 60.00	

SUBTOTAL of Receipts This Page (optional).....▶	, 1,940.00
TOTAL This Period (last page this line number only).....▶	, , .

14031192469

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

PAGE 1 OF 1
 21b 22 23 24 25 26
 27 28a 28b 28c 29 30b

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NAME OF COMMITTEE (In Full)

Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A. Boehner for Speaker Date of Disbursement: 05' 17' 2013

Mailing Address: 320 First Street SE

City: Washington State: DC Zip Code: 20003

Purpose of Disbursement: Political Contribution Amount of Each Disbursement this Period: 1,000.00

Candidate Name: John Boehner Category/Type: 011

Office Sought: House Senate President Disbursement For: Primary General Other (specify) ▼

State: OH District: 8

B. Randy Hultgren For Congress Date of Disbursement: 05' 17' 2013

Mailing Address: 104 Hume Avenue

City: Alexandria State: VA Zip Code: 22301

Purpose of Disbursement: Political Contribution Amount of Each Disbursement this Period: 1,000.00

Candidate Name: Randy Hultgren Category/Type: 011

Office Sought: House Senate President Disbursement For: Primary General Other (specify) ▼

State: IL District: 14

C. _____ Date of Disbursement: _____

Mailing Address: _____

City: _____ State: _____ Zip Code: _____

Purpose of Disbursement: _____ Amount of Each Disbursement this Period: _____

Candidate Name: _____ Category/Type: _____

Office Sought: House Senate President Disbursement For: Primary General Other (specify) ▼

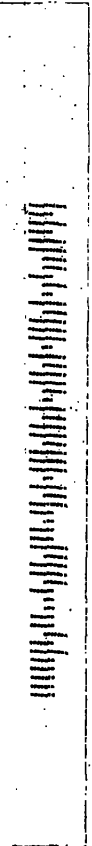
State: _____ District: _____

SUBTOTAL of Disbursements This Page (optional)..... 2,000.00

TOTAL This Period (last page this line number only)..... 2,000.00

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14031192472



50 South LaSalle Street
Chicago, Illinois 60603

2220 Mausolf
Northern Trust M-11



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Washington, DC 20463

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 The FEC added this page to the end of this filing to indicate how it was received.

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 3/6/14
PREPARER **DATE PREPARED**

14031192473