

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☒ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
01 01 2014

through

M M M / D D D / Y Y Y Y Y Y
01 31 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Keith Shores

Signature of Treasurer

Keith Shores

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
02 20 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
01 / 01 / 2014 To: M M / D D / Y Y Y Y Y Y
01 / 31 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2014		200049.50
(b) Cash on Hand at Beginning of Reporting Period.....	200049.50	
(c) Total Receipts (from Line 19)	16102.36	16102.36
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	216151.86	216151.86
7. Total Disbursements (from Line 31)	8602.50	8602.50
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	207549.36	207549.36
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	1		0	1		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	1		3	1		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	8466.54	8466.54
(ii) Unitemized	7500.48	7500.48
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	15967.02	15967.02
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ►	15967.02	15967.02
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	135.34	135.34
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ►	16102.36	16102.36
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ►	16102.36	16102.36

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	1002.50	1002.50
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	1002.50	1002.50
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	7600.00	7600.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	8602.50	8602.50
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	8602.50	8602.50

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	15967.02	15967.02
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	15967.02	15967.02
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	1002.50	1002.50
37. Offsets to Operating Expenditures (from Line 15, page 3).....	135.34	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	867.16	867.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. James EDWARD Bashaw

Mailing Address 5908 Riverview Way

City

Houston

State

TX

Zip Code

77057-1434

FEC ID number of contributing
federal political committee.

C

Name of Employer

James E. Bashaw & Co.

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : AB81E0D9263834C0497F

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Mimi Mimi Bock

Mailing Address 6053 Seacrest View Rd

City

San Diego

State

CA

Zip Code

92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 17 / 2014

Transaction ID : AE6D6034388F1423C8FA

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Michael S Brady

Mailing Address 22 Plymouth Rd

City

Springfield

State

PA

Zip Code

19064-1307

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : AE7495BC9FD9242EA812

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

875.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. R. Craig Byrd

Mailing Address 1723 SE 270th PI

City

Camas

State

WA

Zip Code

98607-8585

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2014

Transaction ID : A90600840A0C24496A39

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kelly PETER Campbell

Mailing Address 11727 River Dr

City

Lorton

State

VA

Zip Code

22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2014

Transaction ID : A2793398B402D44E5893

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Richard CALVERT Donahue

Mailing Address 2407 Princeton Ct

Apt 11

City

Bellingham

State

WA

Zip Code

98229-8840

FEC ID number of contributing
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2014

Transaction ID : AAD0F7841601F442B8C4

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1041.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 8 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert L Errico

Mailing Address 10 Villeneuve

City

Newport Beach

State

CA

Zip Code

92657-1023

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

01 / 31 / 2014

Transaction ID : AA96D0875C56440D7BA7

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Marc STUART Freedman

Mailing Address 119 Kara Dr

City

North Andover

State

MA

Zip Code

01845-4834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

01 / 31 / 2014

Transaction ID : A64F05332D5D445EEABB

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. William E Hamm Jr.

Mailing Address 657 Saxony Blvd

City

Saint Petersburg

State

FL

Zip Code

33716-1297

FEC ID number of contributing
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2014

Transaction ID : AFE186ED29E63484F95B

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

999.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mark Helliker

Mailing Address 700 W Harbor Dr

City

San Diego

State

CA

Zip Code

92101-7753

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 17 / 2014

Transaction ID : AC01179F54E85441E911

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$150.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David GEORGE Herbst

Mailing Address 925 Burgess Hill Rd

City

Naperville

State

IL

Zip Code

60565-6106

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : A08257E925B86473295B

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Andy Kalbaugh

Mailing Address 6713 Seton House Ln

City

Charlotte

State

NC

Zip Code

28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Institution Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 17 / 2014

Transaction ID : AA0D6ED086C564379B8B

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

841.66

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David BLANDIN La Pointe

Mailing Address 37919 Luna Dr

City State Zip Code
Temecula CA 92592-9053

FEC ID number of contributing federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : A193820618DCB4EB19A1

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Tom LuxMailing Address 5420 La Jolla Blvd
Unit B203

City State Zip Code
La Jolla CA 92037-7683

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 17 / 2014

Transaction ID : AA80EB5E9D4D0474E9A0

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Laila SOBHI Marshall-Pence

Mailing Address 10572 Villa Del Cerro

City State Zip Code
Santa Ana CA 92705-1486

FEC ID number of contributing federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : AE55112859A6749F0BB1

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1041.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Theodore ROCCO Massaro

Mailing Address 36 Harvest Ln

City State Zip Code
 Medford NJ 08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer
 M Financial Planning Services

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

01 / 31 / 2014

Transaction ID : A305AB47BCAA74789AA8

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Robert EDWARD Micone

Mailing Address 360 E 1st St

City State Zip Code
 Tustin CA 92780-3211

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL-IAS

Occupation
 Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

01 / 31 / 2014

Transaction ID : AD4CF4C614D3E49AFB8C

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. EDWARD BRUCE MILLER

Mailing Address 1721 Bardstown Rd

City State Zip Code
 Charlotte NC 28226-0915

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 31 / 2014

Transaction ID : A2252F85C9D084FDE9EE

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

916.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert Moore

Mailing Address PO Box 2764

City State Zip Code
 Rancho Santa Fe CA 92067-2764

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

01 / 17 / 2014

Transaction ID : A2F1FA3367192405BB25

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David ANTHONY Pickler

Mailing Address 2268 Birchton Dr

City State Zip Code
 Germantown TN 38139-5251

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pickler Wealth Advisors

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

01 / 31 / 2014

Transaction ID : A9731A41FB34D4CE7810

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. David Reich

Mailing Address 7995 Entrada De Luz W

City State Zip Code
 San Diego CA 92127-2512

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Retirement Platform Dev

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.34

Date of Receipt

01 / 17 / 2014

Transaction ID : A31AF8CDA34B84A62B04

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

958.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 18

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kevin PETER Supka

Mailing Address 1408 Stocton Rd

City

Jenkintown

State

PA

Zip Code

19046-1131

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : AE996E50922E54A678B0

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kenneth WILLIAM Van Leeuwen

Mailing Address 1010 Barclay Blvd

City

Princeton

State

NJ

Zip Code

08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer

Van Leeuwen& Co. LLC

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : ABFD0CDCD04C44555902

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Wayne von Borstel

Mailing Address 1603 Morton St

City

The Dalles

State

OR

Zip Code

97058-4006

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M / D D / Y Y Y Y Y
01 / 31 / 2014

Transaction ID : A3BA588A0118A4529946

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

999.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 18

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Wayne DAVID Wagner Jr.

Mailing Address 8 Leap Dr

City
Pennsville

State
NJ

Zip Code
08070-1117

FEC ID number of contributing
federal political committee.

C

Name of Employer

Vizionary Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

01 / 31 / 2014

Transaction ID : AB7D973F33DDB430C877

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michael C. F. Williams

Mailing Address 15 Ivy Ln

City

Chester Springs

State

PA

Zip Code

19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Financial Networks. Inc

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.32

Date of Receipt

01 / 31 / 2014

Transaction ID : A75DB24DDEF5E49B287B

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Warren DAVID Wise

Mailing Address 437 Wedgewood Ct

City

Eden

State

NC

Zip Code

27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

01 / 31 / 2014

Transaction ID : ABA2233E8822548D89B5

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

791.64

8466.54

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 15 OF 18

<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. LPL Financial LLC

Mailing Address 75 State St
Fl 24

City Boston State MA Zip Code 02109-1827

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

135.34

Date of Receipt

01 / 22 / 2014

Transaction ID : A0FB16EAF68224FEF844

Amount of Each Receipt this Period

135.34

Offset to Operating Expenditure Reported on last report

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

135.34

135.34

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City	State	Zip Code
Washington	DC	20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

State: District:

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Date of Disbursement

Three 7-segment displays are shown, each with a different number of segments lit. The first display shows '01' with 4 segments lit. The second display shows '21' with 5 segments lit. The third display shows '2014' with 7 segments lit.

Transaction ID : B3CD7C04326EB44B9B67

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

B. Lpl Financial LLC

Mailing Address 75 State St
El 24

City	State	Zip Code
Boston	MA	02109-1827

Purpose of Disbursement
Corporate advance for future In-Kind expenses

Candidate Name

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

State: District:

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

Date of Disbursement

MM / DD / YYYY

Transaction ID : B43AD59508C8C4A5CA60

Amount of Each Disbursement this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

State: District:

Disbursement For:

<input type="checkbox"/>	Primary	<input type="checkbox"/>	General
<input type="checkbox"/>	Other (specify) ▼		

Date of Disbursement

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional).....

TOTAL This Period (last page this line number only).....

1002.50

Age Group	Number of people
0-14	1002.50
15-24	~850
25-34	~750
35-44	~650
45-54	~550
55-64	~450
65-74	~350
75-84	~250
85+	~150

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 17 OF 18

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BOB CASEY FOR SENATE INC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		22		2014

Mailing Address PO BOX 58746

City	State	Zip Code
PHILADELPHIA	PA	19102

Transaction ID : BA96678E088744521AD8Purpose of Disbursement
PA US House

Amount of Each Disbursement this Period

1000.00

Candidate Name

Sen. Robert P Casey JRCategory/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2018

☒ Primary ☐ General
☐ Other (specify) ▼

State: PA

District:

Full Name (Last, First, Middle Initial)

B. JOHN TIERNEY FOR CONGRESS

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		17		2014

Mailing Address 12 HUSSEY AVENUE

City	State	Zip Code
Danvers	MA	01923-3955

Transaction ID : B948A5252ECBB45ED80DPurpose of Disbursement
MA US House

Amount of Each Disbursement this Period

3000.00

Candidate Name

Rep. John F. TierneyCategory/
Type

Office Sought:

☒ House
☐ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: MA

District: 06

Full Name (Last, First, Middle Initial)

C. PETERS FOR CONGRESS

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
01		17		2014

Mailing Address 410 First Street, SE
Suite 310

City	State	Zip Code
Washington	DC	20003-1819

Transaction ID : B8744CDFCF70A4BAA890Purpose of Disbursement
MA US House

Amount of Each Disbursement this Period

1000.00

Candidate Name

Rep. Gary C. PetersCategory/
Type

Office Sought:

☐ House
☒ Senate
☐ President

Disbursement For: 2014

☒ Primary ☐ General
☐ Other (specify) ▼

State: MI

District:

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00

--

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

LPL Financial LLC Political Action Committee (LPL PAC)

A. ROYCE CAMPAIGN COMMITTEE

Date of Disbursement

Transaction ID : B26ECCE4F7D1E4456BBB

Amount of Each Disbursement this Period

Category/
Type

Rep. Ed R. Royce

Disbursement For: 2014

☒ Primary ☐ General

☐ Other (specify) ▼

State: CA District: 39

Full Name (Last, First, Middle Initial)

B. ROYCE CAMPAIGN COMMITTEE

Mailing Address PO BOX 3249

Date of Disbursement

City	State	Zip Code
Fullerton	CA	92834-3249

Transaction ID : B6040849865F04C7BA98

Purpose of Disbursement
CA US House

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

Rep. Ed R. Royce

Office Sought:	<input checked="" type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For: 2014

☒ Primary ☐ General

☐ Other (specify) ▼

State: CA District: 39

Full Name (Last, First, Middle Initial)

C. RYAN FOR CONGRESS, INC.

Date of Disbursement

Mailing Address PO BOX 1488

City	State	Zip Code
Janesville	WI	53547-1488

Transaction ID : B6560F296E15044C48AC

Purpose of Disbursement	WI US House
-------------------------	-------------

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

Rep. Paul D. Ryan

Office Sought:	<input checked="" type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For: 2014

☒ Primary ☐ General

☐ Other (specify) ▼

State: WI District: 01

SUBTOTAL of Disbursements This Page (optional).....

2600.00

TOTAL This Period (last page this line number only).....

7600.00