Image# 12972049456 PAGE 1 / 50

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

									(Office Us	e Only	
1.	NAME OF COMMITTEE (in		PE OR P	PRINT ▼		mple: If typi r the lines.	ng, type	12FE	4M5			
N	lovartis Corpo	ration Pol	itical A	ction Co	mmittee				1 1			1
AD∣	DRESS (number and	d street)	701 Penn	sylvania Ave	. NW Suite 725	; 						
H	Check if diffe	erent										
L	than previous reported. (AC		Washing	ton				DC		20004	2608	
2.	FEC IDENTIFIC	ATION NUM	BER ▼		CITY 🛦		5	STATE A	L		ZIP COL	DE 🛦
	C C0003396	9			3. IS THIS REPORT		NEW (N) OR		AME (A)	NDED		
4.	TYPE OF REP (Choose One)	ORT	(b) Mon Repo	ort	Feb 20 (M2)		May 20 (M5)	×	Aug 20) (M8)		Nov 20 (M11) (Non-Election Year Only)
	(a) Quarterly Rep	oorts:	Due		Mar 20 (M3)		Jun 20 (M6)		Sep 20) (M9)		Dec 20 (M12) (Non-Election Year Only)
	April 15				Apr 20 (M4)		Jul 20 (M7)		Oct 20	(M10)		Jan 31 (YE)
	_	Report (Q1)	(c)	12-Day		Primary (12F	P)	Ger	neral (12	2G)		Runoff (12R)
	July 15 Quarterly	Report (Q2)		PRE-Electio Report for the		Convention ((12C)	Spe	ecial (12	(S)		
	October Quarterly	15 Report (Q3)				,			(-,		
	January Year-End	31 I Report (YE)		E	Election on	M M /	D D /	Y	Y		in the State of	
	July 31 Report (Year On	Non-election	(d)	30-Day		General (300	G)	Rur	noff (30F	₹)		Special (30S)
	Terminat (TER)	ion Report		Report for t	ne:	M = M /	D D /	Y - Y - '	Y		in the	
	(ILN)			E	Election on						State of	
5.	Covering Period	M M 07	01		012	through	M M O7	31	D /	y y 201	2	
Lce	ertify that I have ex	amined this I	Report a	nd to the be	est of my kno	wledge and	belief it is tru	e. corre	ct and o	complete	<u> </u>	
	pe or Print Name o		•	Casserly		moago ana						
			. a						M M	/ D	D /	Y
Sig	nature of Treasure	, Daniel P ———	P. Casserly			[Electronicall	y Filed] D	ate	80	20		2012
NO [.]	TE: Submission of f	alse, erroneou	ıs, or inco	omplete infor	mation may su	bject the per	son signing th	is Repor	t to the	penaltie	s of 2 U	J.S.C. §437g.
	Office									FEC	FOR	 М 3X
	Use Only										ev. 12/20	

SUMMARY PAGE

	FEC Form 3X (Rev. 02/2003)	OF RECEIPTS AND DISBURSEMENTS	Page 2
W	rite or Type Committee Name		
١	Novartis Corporation Political Action	n Committee	
R	eport Covering the Period: From: 07	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	o: 07 31 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2012		217107.27
	(b) Cash on Hand at Beginning of Reporting Period	120531.84	
	(c) Total Receipts (from Line 19)	24218.76	195091.00
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	144750.60	412198.27
7.	Total Disbursements (from Line 31)	39.87	267487.54
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	144710.73	144710.73
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
×	This committee has qualified as a multicar	ndidate committee. (see FEC FORM 1M)	

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 07	01 2012 To	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	10696.80	47153.14
(i) Itemized (use Schedule A)	7 7 7	7
(ii) Unitemized	13521.96	142937.86
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	24218.76	190091.00
	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	24218.76	190091.00
2. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
	0.00	
. All Loans Received	0.00	0.00
	0.00	
Loan Repayments Received	0.00	0.00
6. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
5. Refunds of Contributions Made	7	7 7
to Federal Candidates and Other		
Political Committees	0.00	5000.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	
(from Schedule H3)	0.00	0.00
	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
(5) 15.61 114151515 (466 15(4) 4114 15(5))	3.33	0.00
. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))	24218.76	195091.00
, -, -, -,,,,,,,,	7 2.2.5	9 9
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	24218.76	195091.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal		Juichau Tear-to-Date
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating		
Expenditures	39.87	514.82
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	39.87	514.82
Transfers to Affiliated/Other Party		7 7 7
CommitteesContributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	0.00	251000.00
Independent Expenditures (use Schedule E)	0.00	0.00
. Coordinated Party Expenditures		
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans MadeRefunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	97.72
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees		
(such as PACs)	0.00	0.00
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	0.00	97.72
Other Disbursements	0.00	15875.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)	0.00	0.00
(i) Federal Share	3.55	
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	39.87	267487.54
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	39.87	267487.54

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	24218.76	190091.00
4. Total Contribution Refunds (from Line 28(d))	0.00	97.72
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24218.76	189993.28
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	39.87	514.82
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	39.87	514.82

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gary J Appio Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648828 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir US Safety/Risk Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew Arline Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649145 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Multicultural Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Banko Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648909 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IT Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) 101.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David M Barbour Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1649481 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Real Scientific Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649514 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Beck Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1649039 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Reg Strat & Bus Plan Receipt For: Aggregate Year-to-Date ▼ Primary General 519.30 Other (specify) 253.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Ted R Benson Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1579472 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Peri K Bonner Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648683 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 55.92 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Regl Accts Proj Receipt For: Aggregate Year-to-Date ▼ Primary General 416.22 Other (specify) Full Name (Last, First, Middle Initial) **c.** Troy L Borill Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1649077 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.18 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 262.74 Other (specify) 121.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Leonard J Brandt Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648897 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Business Plan'g & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Ellen M Browne Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648949 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1649163 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Systems Planning and Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jonca C Bull Date of Receipt Mailing Address One Health Plaza 31 2012 City State Zip Code Transaction ID: A2012-1649482 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP DRA Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 323.12 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Burns Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648495 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify)

Full Name (Last, First, Middle Initial) **c.** Gregory R Carey Date of Receipt Mailing Address One Health Plaza 07 19 2012 Zip Code City State Transaction ID: A2012-1736150 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Finance Surgical Mfg Receipt For: Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional)		7		7		30	0.78	3
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Primary

Other (specify)

General

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) James P Carey Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 07 31 2012 Transaction ID : A2012-1649033 Amount of Each Receipt this Period 96.88
Name of Employer Novartis Services Incorporated Receipt For: □ Primary □ General Other (specify) ▼	Occupation VP Health Policy Aggregate Year-to-Date ▼ 720.92	
Full Name (Last, First, Middle Initial) James L Carrico Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 07 31 2012 Transaction ID : A2012-1648631
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Senior District Manager Aggregate Year-to-Date ▼ 207.75	
Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address One Health Plaza	1	Date of Receipt 07 31 2012
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation VP Fed'l Govt Rel Aggregate Year-to-Date ▼ 2076.90	Transaction ID : A2012-1648703 Amount of Each Receipt this Period 276.92
SUBTOTAL of Receipts This Page (optional)		401.50
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 12 OF 50 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1649169 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Ethics & Compliance Officr Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648834 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Information Technology** Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) c. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648527 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.26 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 397.22 Other (specify) 145.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	13 OF	50
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for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Integral political committee. Name of Employer Name of Employer Cocupation Cocupation Security Companies Cocupation		nd Statements may not be sold or used by any pers the name and address of any political committee to	
A. Christina M Clinton Malling Address One Health Plaza City State Zip Code Bast Hanover Number of contributing federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Director Internal Comm Beast Hanover Aggregate Year-to-Date ▼ City Primary General Other (specify) ▼ State Zip Code Bast Hanover Number of contributing federal political committee. City Primary General Other (specify) ▼ State Zip Code Bast Hanover Number of contributing federal political committee. City Primary General Other (specify) ▼ State Zip Code Bast Hanover Number of contributing federal political committee. Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Bast Hanover Occupation Director Digital Marketing Recept For: Primary General Other (specify) ▼ State Zip Code Bast Hanover Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Bast Hanover Aggregate Year-to-Date ▼ Date of Recept this Period Aggregate Year-to-Date ▼ Date of Recept this Period Primary General Other (specify) ▼ State Zip Code Bast Hanover Aggregate Year-to-Date ▼ Date of Recept this Period Aggregate Year-to-Date ▼ Transaction ID: A2012-1587336 Amount of Each Recept this Period Anount of Each Recept this Period Aggregate Year-to-Date ▼ Transaction ID: A2012-168737 Amount of Each Recept this Period Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-	` '	Action Committee	
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East Hanover FEC ID number of contributing federal political committee. Name of Employer Novaris Pharmaceuticals Receipt For: Primary General Other (specify) ▼ State Zip Code East Hanover Name of Employer Cla Vision Corporation Primary General Occupation FEC ID number of contributing federal political committee. Name of Employer Cla Vision Corporation C		State Zin Code	07 31 2012
State Sign State Sign State Sign Sig	•		
Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Primary General Other (specify) Therefore (spe	· · · · · · · · · · · · · · · · · · ·	C	416.00
Full Name (Last, First, Middle Initial) Julie A Collins Mailing Address One Health Plaza City East Hanover NJ 07936 FEC ID number of contributing federal political committee. Name of Employer CIBA Vision Corporation City Primary General Other (specify) ▼ State Zip Code NJ 07936 Amount of Each Receipt this Period CCupation Director Digital Marketing Receipt For: Primary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Transaction ID: A2012-1578336 Amount of Each Receipt this Period Director Digital Marketing Receipt For: Primary General City State Zip Code NJ 07936 Date of Receipt Transaction ID: A2012-1648773 Amount of Each Receipt this Period CCUpation Cocupation Cocupation Cocupation Cocupation Cocupation Cocupation Cocupation Cocupation Amount of Each Receipt this Period 46.1	Novartis Pharmaceuticals Receipt For: Primary General	Exec Director Internal Comm Aggregate Year-to-Date ▼ 2912.00	
City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer CIBA Vision Corporation Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. C 92.3i Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	Julie A Collins		†
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CIBA Vision Corporation Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼	· ·	С	92.30
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ Date of Receipt Transaction ID: A2012-1648773 Amount of Each Receipt this Period 46.1	CIBA Vision Corporation	'	
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Donald W DeGolyer Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1579471 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Sandoz Inc. President & Reg. Head Comm Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648963 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Services Incorporated Asc Dir Fed'l Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1730.70 Other (specify) Full Name (Last, First, Middle Initial) c. Richard T Distler Date of Receipt Mailing Address One Health Plaza 07 19 2012 City Zip Code State Transaction ID: A2012-1736144 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Mgr Sales Trng No America IOL Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 526.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) A. David P Drake		Date of Receipt
Mailing Address One Health Plaza		07 31 2012 -
City	State Zip Code	Transaction ID : A2012-1648833
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Novartis Services Incorporated	Ex Dir Fed Leg Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	346.20	
Full Name (Last, First, Middle Initial) David M Eberenz Jr.		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	07 31 2012 Transaction ID : A2012-1648746
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Senior Area Sales Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	207.75	
Full Name (Last, First, Middle Initial) C. James R Elkin		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1648562
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	384.00
Name of Employer	Occupation	
Novartis Services Incorporated	VP Head US Public Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2880.00	
SUBTOTAL of Receipts This Page (optional)		457.86
TOTAL This Period (last page this line number	only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Christopher Esposito Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Managing Director Aggregate Year-to-Date ▼ 519.30	Date of Receipt 07 31 2012 Transaction ID : A2012-1649060 Amount of Each Receipt this Period 69.24
Full Name (Last, First, Middle Initial) Thomas S Fellers Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation VP Medl Head Operating Unit Aggregate Year-to-Date ▼ 346.20	Date of Receipt M M M
Full Name (Last, First, Middle Initial) Christopher Fikry Mailing Address 350 Massachusetts Avenue 350 MA # 234C City Cambridge FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify)	State Zip Code MA 02139 C Occupation Sr Dir Marketing Aggregate Year-to-Date ▼	Date of Receipt M M M / D J / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	•	215.40
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	and Statements may not be sold or used by any period the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation Asc Director Gov't Relations Aggregate Year-to-Date ▼	Date of Receipt 07 31 2012 Transaction ID : A2012-1648789 Amount of Each Receipt this Period 118.32
Full Name (Last, First, Middle Initial) Felix Geissler Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Clinical Rsch Physician Aggregate Year-to-Date ▼ 346.20	Date of Receipt 07 31 2012 Transaction ID: A2012-1649540 Amount of Each Receipt this Period 46.16
Full Name (Last, First, Middle Initial) Shaun L Gentry Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Group Dir National Accounts Aggregate Year-to-Date ▼ 250.00	Date of Receipt 07 19 2012 Transaction ID : A2012-1736151 Amount of Each Receipt this Period 250.00
SUBTOTAL of Receipts This Page (options	al)	414.48
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 31 2012 City State Zip Code Transaction ID: A2012-1578352 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Dir Strategic Sourcing Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) В.

	,	
Full Name (Last, First, Middle Initial) Roger K Gravitte		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1578351
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.0
Name of Employer	Occupation	
Novartis Consumer Health Inc.	NA Head of Sales	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	210.60	
Full Name (Last, First, Middle Initial) David E Gulick	•	Date of Receipt

Mailing Address One Health Plaza 31 2012 City State Zip Code Transaction ID: A2012-1648555 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.16 federal political committee. Name of Employer Occupation Dir Customer Mktg Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼

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Primary

Other (specify)

General

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Kurt Habel Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648830 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer Novartis Pharmaceuticals	Occupation Asc Director Ops Research	_
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 346.20	
Full Name (Last, First, Middle Initial) James P Hafner Mailing Address One Health Plaza	'	Date of Receipt
		07 31 2012
City	State Zip Code NJ 07936	Transaction ID : A2012-1649542
East Hanover FEC ID number of contributing federal political committee.	C 0/936	Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals	Occupation Area Sales Manager II - MS	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 207.75	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648771
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 46.16
Name of Employer	Occupation	-
Novartis Services Incorporated	VP International Public Policy	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 346.20	
SUBTOTAL of Receipts This Page (options	al)	120.02
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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify)	State Zip Code NJ 07936 Cupation ector Customer Marketing gregate Year-to-Date ▼	Date of Receipt 07 31 2012 Transaction ID : A2012-1648814 Amount of Each Receipt this Period 39.70
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Exercise For:	State Zip Code NJ 07936 Cupation ecutive Sales Consultant gregate Year-to-Date ▼ 210.60	Date of Receipt O7 31 2012 Transaction ID: A2012-1648732 Amount of Each Receipt this Period 28.08
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Expensive Form	State Zip Code NJ 07936 Cupation Dir Clinical Research Phys gregate Year-to-Date ▼ 346.20	Date of Receipt 07 31 2012 Transaction ID : A2012-1649447 Amount of Each Receipt this Period 46.16
SUBTOTAL of Receipts This Page (optional)		113.94

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	07 31 2012 Transaction ID : A2012-1648714
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 207.75	-
Full Name (Last, First, Middle Initial) Herve J Hoppenot Mailing Address One Health Plaza		Date of Receipt 07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1649207 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Novartis Pharmaceuticals	Occupation Head BU Oncology	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 346.20	
Full Name (Last, First, Middle Initial) C. Edgar L Jarvis		Date of Receipt
Mailing Address One Health Plaza		07 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648606 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	32.30
Name of Employer	Occupation	-
Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Senior District Manager Aggregate Year-to-Date ▼ 242.25	-
SUBTOTAL of Receipts This Page (optional).	_	106.16
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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) A. Michael C Kincaid		Date of Receipt
Mailing Address One Health Plaza	State 7in Code	07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1649219 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Ex Dir Sales	
Heceipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 210.60	
Full Name (Last, First, Middle Initial) Shannon T Klinger Mailing Address One Health Plane		Date of Receipt
Mailing Address One Health Plaza	Charles 7' Co. I	07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1579488 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer Sandoz Inc.	Occupation VP Legal & IP NA Gen Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1730.70	
Full Name (Last, First, Middle Initial) C. Richard E Knapp		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648656 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	
Novartis Pharmaceuticals Receipt For:	Executive Director State Gov'	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1730.70	
SUBTOTAL of Receipts This Page (optional)	•	489.60
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SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 27 OF 50 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mildred O Kowalski Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1649043 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Marketing Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649518 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals SVP & Global Head DRA Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) c. Keith A LaDue Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648820 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation VP IT Division Head Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 161.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ad	ction Committee	
Full Name (Last, First, Middle Initial) Leigh A Leas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Exec Dir Public Health Policy Aggregate Year-to-Date ▼	Date of Receipt O7 31 2012 Transaction ID : A2012-1649361 Amount of Each Receipt this Period 28.08
Full Name (Last, First, Middle Initial) Richard E Lemire Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Head of Engineering Aggregate Year-to-Date ▼	Date of Receipt O7 31 2012 Transaction ID: A2012-1648442 Amount of Each Receipt this Period 85.24
Full Name (Last, First, Middle Initial) James E Lickett Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer COMMERCIAL OPS-PRIM CARE ANDSPEC Receipt For: Primary General Other (specify)	State Zip Code NY 10020 C Occupation Manager Aggregate Year-to-Date ▼	Date of Receipt M M M / 19 2012 Transaction ID : A2012-1736154 Amount of Each Receipt this Period 250.00
SUBTOTAL of Receipts This Page (optional)		363.32
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 29 OF 50 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard Lloyd Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1578349 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **CBU Head North America** Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648954 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Institutes for BioMed VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) c. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648919 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.60 Other (specify) 166.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any pers or for commercial purposes, other than using the name and address of any political committee to	
NAME OF COMMITTEE (In Full)	
Novartis Corporation Political Action Committee	
Full Name (Last, First, Middle Initial) A. Brenda Luckritz	Date of Receipt
Mailing Address One Health Plaza	07 31 2012
City State Zip Code	Transaction ID : A2012-1648560
East Hanover NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	25.00
Name of Employer Occupation	
Novartis Services Incorporated VP Public Affairs	
Receipt For: Aggregate Year-to-Date ▼	
Primary General Other (specify) 409.00	
Other (specify)	
Full Name (Last, First, Middle Initial) Frank Maness	Date of Receipt
Mailing Address One Health Plaza	07 31 2012
City State Zip Code	Transaction ID : A2012-1649467
East Hanover NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	28.08
Name of Employer Occupation	
Novartis Finance Corporation VP HR	
Receipt For: Primary General Other (specify) ▼ Aggregate Year-to-Date ▼ 210.60	
Full Name (Last, First, Middle Initial) Frank Manolios	Date of Receipt
Mailing Address One Health Plaza	07 31 _ 2012 _
City State Zip Code	Transaction ID : A2012-1648729
East Hanover NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	30.00
Name of Employer Occupation	
Novartis Pharmaceuticals Sr Sales Consultant	
Receipt For: Aggregate Year-to-Date ▼	
Primary General	
Other (specify) ▼ 225.00	
SUBTOTAL of Receipts This Page (optional)	83.08
TOTAL This Period (last page this line number only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Teresa Mason Date of Receipt Mailing Address 3 Pheasant Run Drive 2012 31 City Zip Code State Transaction ID: A2012-1649533 DE Wilmington 19810 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) B. William R Matthews Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648779 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.22 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 298.73 Other (specify) Full Name (Last, First, Middle Initial) c. Stefanie L Maurer Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648961 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) 160.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McGeehan Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648678 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 692.25 Other (specify) Full Name (Last, First, Middle Initial) B. Paul J McGinley Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649237 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Product Director Receipt For: Aggregate Year-to-Date ▼ Primary General 210.60 Other (specify) Full Name (Last, First, Middle Initial) c. Edward J McKenna Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1649433 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) 148.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial) A. William D McLaury		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1648704
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec Dir Supply Chain Mngmt	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	0.0	
Other (specify) ▼	692.25	
Full Name (Last, First, Middle Initial) Brian J McNamara		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1578348
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Consumer Health Inc.	OTC Region BU Head Americas	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	692.25	
Full Name (Last, First, Middle Initial) C. Wayne P Merkelson		Date of Receipt
Mailing Address One Health Plaza		07 31 _ 2012 _
City	State Zip Code	Transaction ID : A2012-1648913
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	
Novartis Finance Corporation	VP Tax Law	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	519.30	
Other (specify) ▼	519.30	
SUBTOTAL of Receipts This Page (optional)	>	253.84
TOTAL This Period (last page this line number	only)	

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NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee					
Full Name (Last, First, Middle Initial) 1. Laurie C Mills		Date of Receipt				
Mailing Address One Health Plaza		07 31 2012				
City Stat East Hanover NJ	'	Transaction ID : A2012-1649241				
East Hanover NJ	07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.		27.70				
Name of Employer Occup	pation					
	al Research Asc					
	gate Year-to-Date ▼					
Primary General Other (specify) ▼	207.75					
Full Name (Last, First, Middle Initial) 3. Stacey L Moore		Date of Receipt				
Mailing Address One Health Plaza	07 31 2012					
City Stat	e Zip Code	Transaction ID : A2012-1648659				
East Hanover NJ	07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.		34.70				
Name of Employer Novartis Pharmaceuticals Occup						
Exec	Colair Specialist					
Receipt For: Primary General Aggre	gate Year-to-Date ▼					
Other (specify) ▼	258.70					
Full Name (Last, First, Middle Initial) Led R Morehead		Date of Receipt				
Mailing Address One Health Plaza		07 31 2012				
City Stat East Hanover NJ	e Zip Code 07936	Transaction ID : A2012-1649362 Amount of Each Receipt this Period				
FFO ID supplies of contribution						
federal political committee.		27.70				
Name of Employer Occup	pation					
	es Consultant					
Receipt For: Primary General Aggre	gate Year-to-Date ▼					
Other (specify)	207.75					
SUBTOTAL of Receipts This Page (optional)		90.10				
TOTAL This Period (last page this line number only)						

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John Neal Date of Receipt Mailing Address 350 Massachusetts Avenue 2012 31 City Zip Code State Transaction ID: A2012-1579560 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 64.62 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Head Meningococcal Market Access Receipt For: Aggregate Year-to-Date ▼ Primary General 490.03 Other (specify) Full Name (Last, First, Middle Initial) B. James R Niebanck Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648776 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Dir Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.60 Other (specify) Full Name (Last, First, Middle Initial) c. Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648661 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Latam Region Head Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) 138.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Serafina Oxner Mailing Address One Health Plaza		Date of Receipt
Maining Address One Health Flaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1648933
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals	Ex Dir Strategic Planning	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 346.20	
Full Name (Last, First, Middle Initial) John M Pakulski Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	07 31 2012
East Hanover	NJ 07936	Transaction ID : A2012-1579485 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer Sandoz Inc.	Occupation Director Reg. Affairs-Spec Bi	-
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 692.25	
Full Name (Last, First, Middle Initial) C. Melissa A Parker		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648973 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Regional Dir Acct Management	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 207.75	
SUBTOTAL of Receipts This Page (ontion	al)	166.16
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Detailed Summary Page		13		14		15		16		17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

Full Name (Last, First, Middle Initial) Anne Ramsey		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1579565
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	40.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	District Sales Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	220.00	
Full Name (Last, First, Middle Initial) Marc Reuss		Date of Receipt
Mailing Address 350 Massachusetts A		07 31 2012
City	State Zip Code	Transaction ID : A2012-1579559
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Head Human Resources	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Kevin T Rigby	I .	Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648885 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	_
Novartis Pharmaceuticals	VP Public Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1730.70	
	[320.76

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Any information copied from such Reports an or for commercial purposes, other than using	nd Statements may not be sold or used by any person the name and address of any political committee to	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Randi C Roberts Mailing Address One Health Plaza		Date of Receipt
		07 31 2012
City Fact Hangyer	State Zip Code NJ 07936	Transaction ID : A2012-1649271
East Hanover	140 01930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	1
Novartis Pharmaceuticals	VP Business Unit	
Receipt For: Primary General Other (specify) —	Aggregate Year-to-Date ▼ 346.20	
Other (specify) ▼	346.20	
Full Name (Last, First, Middle Initial) Diane Rogers		Date of Receipt
Mailing Address 608 Fifth Avenue		07 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code NY 10020	Transaction ID : A2012-1579555
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	1
COMMERCIAL OPS-PRIM CARE ANDSPE	Manager	_
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	210.05	
Full Name (Last, First, Middle Initial) C. Bruce Ruscio	·	Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City	State Zip Code	Transaction ID : A2012-1579494
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.06
Name of Employer	Occupation	1
Novartis Vaccines & Diagnostics	Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	306.06	
SUBTOTAL of Receipts This Page (optional)	96.92
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TOTAL This Period (last page this line numb	per only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jason T Russell Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648518 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 56.94 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 423.27 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Sellin Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649466 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Force Tech Strat Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) Full Name (Last, First, Middle Initial) **c.** Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 07 31 2012 City Zip Code State Transaction ID: A2012-1649532 NJ Lebanon 08833 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Exec VP & N Amer Region Hd Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) 130.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and State or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) Mary E Sibley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Public Affairs Aggregate Year-to-Date ▼ 346.20	Date of Receipt O7 31 2012 Transaction ID : A2012-1579484 Amount of Each Receipt this Period 46.16
Full Name (Last, First, Middle Initial) Joseph M Simon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Xolair Specialist Aggregate Year-to-Date ▼ 276.90	Date of Receipt 07 31 2012 Transaction ID: A2012-1648691 Amount of Each Receipt this Period 36.92
Full Name (Last, First, Middle Initial) Katherine E Solon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir Fed Leg Affairs Aggregate Year-to-Date ▼	Date of Receipt 07 31 2012 Transaction ID: A2012-1649355 Amount of Each Receipt this Period 230.76
SUBTOTAL of Receipts This Page (optional)		313.84
TOTAL This Period (last page this line number o	nly)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Spelta Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648497 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 210.60 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648460 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 796.20 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648582 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Director State Gov't Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 519.30 Other (specify) 203.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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,g		13		14		15		16		٦1

	d Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Legene L Straub Mailing Address One Health Plaza		Date of Receipt
		07 19 2012
City	State Zip Code	Transaction ID : A2012-1736155
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	1
CIBA Vision Corporation	Dir Commercial Operations	
Receipt For: Primary General Other (specify) ■	Aggregate Year-to-Date ▼ 250.00	
Full Name (Last, First, Middle Initial)		
Mailing Address One Health Plaza		Date of Receipt 07 31 2012
City	State Zip Code	Transaction ID : A2012-1648910
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer Novartis Pharmaceuticals	Occupation Dir Sales	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 207.75	
Full Name (Last, First, Middle Initial) 2. John Suchorsky		Date of Receipt
Mailing Address One Health Plaza		07 31 2012
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-1648882 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Dir BIM	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	346.20	
SUBTOTAL of Receipts This Page (optional)		323.86
TOTAL This Period (last page this line numb	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Suter Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1649496 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Tessier Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1648952 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Regional Project Eng Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jim Thomas Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1590342 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 600.00 С federal political committee. Name of Employer Occupation VP GM Cataract & Retina Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 692.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Politica	g the name and address of any political committee	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Barbara A Tombros Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Dir Strategic Alliance Aggregate Year-to-Date ▼ 520.87	Date of Receipt 07 31 2012 Transaction ID: A2012-1648832 Amount of Each Receipt this Period 69.88
Full Name (Last, First, Middle Initial) Christina M Tremains Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Business Relationship Mgr Aggregate Year-to-Date ▼ 458.45	Date of Receipt O7 31 2012 Transaction ID: A2012-1648997 Amount of Each Receipt this Period 61.50
Full Name (Last, First, Middle Initial) Thomas A Urban Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Exec Xolair Specialist Aggregate Year-to-Date ▼	Date of Receipt M
SUBTOTAL of Receipts This Page (optional	ıl) >	161.76
TOTAL This Period (last page this line num	nber only)	

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa R Utt Date of Receipt Mailing Address One Health Plaza 2012 31 City Zip Code State Transaction ID: A2012-1648556 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) Full Name (Last, First, Middle Initial) B. Edwin Valeriano Date of Receipt Mailing Address One Health Plaza 07 31 2012 City State Zip Code Transaction ID: A2012-1649309 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **Novartis Corporation** Dir Investor Rel Receipt For: Aggregate Year-to-Date ▼ Primary General 346.20 Other (specify) Full Name (Last, First, Middle Initial) c. William W Voegtli Date of Receipt Mailing Address One Health Plaza 07 31 2012 City Zip Code State Transaction ID: A2012-1648549 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 210.60 Other (specify) 101.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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