

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☒ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Daniel P. Casserly

Signature of Treasurer

Daniel P. Casserly

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
07 / 01 / 2012 To: M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2012		217107.27
(b) Cash on Hand at Beginning of Reporting Period.....	120531.84	
(c) Total Receipts (from Line 19)	24218.76	195091.00
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	144750.60	412198.27
7. Total Disbursements (from Line 31)	39.87	267487.54
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	144710.73	144710.73
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
07 01 2012

To:

M M / D D / Y Y Y Y Y
07 31 2012
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

10696.80

47153.14

(ii) Unitemized

13521.96

142937.86

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

24218.76

190091.00

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ▶

24218.76

190091.00

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

5000.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),

12, 13, 14, 15, 16, 17, and 18(c))..... ▶

24218.76

195091.00

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ▶

24218.76

195091.00

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	39.87	514.82
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	39.87	514.82
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	251000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	97.72
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	97.72
29. Other Disbursements	0.00	15875.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	39.87	267487.54
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	39.87	267487.54

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	24218.76	190091.00
34. Total Contribution Refunds (from Line 28(d))	0.00	97.72
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24218.76	189993.28
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	39.87	514.82
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	39.87	514.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 50

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gary J Appio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir US Safety/Risk

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648828

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Andrew Arline

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Multicultural Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649145

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Michael Banko

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

IT Service Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648909

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

101.56

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David M Barbour

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regl Scientific Asc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649481

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Public Health Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649514

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Michael Beck

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Assoc Dir Reg Strat & Bus Plan

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.30

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649039

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

253.84

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ted R Benson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1579472

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

B. Peri K Bonner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Dir Regl Accts Proj

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.22

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1648683

Amount of Each Receipt this Period

55.92

Full Name (Last, First, Middle Initial)

C. Troy L Borill

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Oncology Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

262.74

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649077

Amount of Each Receipt this Period

35.18

SUBTOTAL of Receipts This Page (optional)..... ►

121.10

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Leonard J Brandt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Business Plan'g & Analysis

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648897

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Ellen M Browne

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648949

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Thomas R Brunner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Systems Planning and

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649163

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

138.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jonca C Bull

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP DRA Liaison

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

323.12

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649482

Amount of Each Receipt this Period

23.08

Full Name (Last, First, Middle Initial)

B. Richard Burns

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648495

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Gregory R Carey

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Head of Finance Surgical Mfg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 19 / 2012

Transaction ID : A2012-1736150

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

300.78

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James P Carey

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Health Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

720.92

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649033

Amount of Each Receipt this Period

96.88

Full Name (Last, First, Middle Initial)

B. James L Carrico

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648631

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Daniel P Casserly

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Fed'l Govt Rel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2076.90

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648703

Amount of Each Receipt this Period

276.92

SUBTOTAL of Receipts This Page (optional)..... ►

401.50

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 50

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Cynthia C Cetani

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Ethics & Compliance Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649169

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Atindra N Chaturvedi

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED Information Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648834

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Barbara Christensen-Boner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

397.22

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648527

Amount of Each Receipt this Period

53.26

SUBTOTAL of Receipts This Page (optional)..... ►

145.58

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christina M Clinton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Director Internal Comm

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2912.00

Date of Receipt

MM / DD / YYYY
07 / 31 / 2012

Transaction ID : A2012-1649388

Amount of Each Receipt this Period

416.00

Full Name (Last, First, Middle Initial)

B. Julie A Collins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Director Digital Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

MM / DD / YYYY
07 / 31 / 2012

Transaction ID : A2012-1578336

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Michael A Conley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exe Dir Account Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

MM / DD / YYYY
07 / 31 / 2012

Transaction ID : A2012-1648773

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

554.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Seth W Coombs

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Assoc Dir Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

699.95

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579546

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Kevin A Crawford

Mailing Address 4560 Horton Street

City State Zip Code
 Emeryville CA 94608-2916

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Director Marketing Science

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.90

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648889

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

C. William Darnall

Mailing Address One Health Plaza
 Ste 725

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

464.65

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579503

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

229.22

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Donald W DeGolyer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

President & Reg. Head Comm Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1579471

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Candace B Dibblee

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Asc Dir Fed'l Leg Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648963

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Richard T Distler

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Mgr Sales Trng No America IOL

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 19 / 2012

Transaction ID : A2012-1736144

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

526.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David P Drake

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648833

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. David M Eberenz Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648746

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. James R Elkin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Head US Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2880.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648562

Amount of Each Receipt this Period

384.00

SUBTOTAL of Receipts This Page (optional)..... ►

457.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David N Elsasser

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648493

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Michael R Emch

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648466

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. David R Epstein

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Head Pharma AG

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648872

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

156.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christopher Esposito

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.30

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649060

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Thomas S Fellers

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Medl Head Operating Unit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1648969

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Christopher Fikry

Mailing Address 350 Massachusetts Avenue
 350 MA # 234C

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sr Dir Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

444.24

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1579499

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

215.40

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Patrick G Francke

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649396

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. H. P Frederick

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.23

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648761

Amount of Each Receipt this Period

51.66

Full Name (Last, First, Middle Initial)

C. Debra E Freire

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Advocacy & Access

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648907

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

125.90

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Neely T Frye

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

881.21

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648789

Amount of Each Receipt this Period

118.32

Full Name (Last, First, Middle Initial)

B. Felix Geissler

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Clinical Rsch Physician

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649540

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

c. Shaun L Gentry

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Group Dir National Accounts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 19 / 2012

Transaction ID : A2012-1736151

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

414.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Erwin A Gomez Valladares

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Strategic Sourcing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1578352

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Roger K Gravitte

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

NA Head of Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1578351

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. David E Gulick

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Customer Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1648555

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

166.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kurt Habel

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Ops Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648830

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. James P Hafner

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Area Sales Manager II - MS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649542

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Sarah E Haller

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP International Public Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648771

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

120.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph P Hazelton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Customer Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

292.95

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648814

Amount of Each Receipt this Period

39.70

Full Name (Last, First, Middle Initial)

B. William S Higgins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648732

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Robert J Hilkert

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Clinical Research Phys

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649447

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

113.94

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William C Hokanson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648714

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Herve J Hoppenot

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Head BU Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649207

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Edgar L Jarvis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior District Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

242.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648606

Amount of Each Receipt this Period

32.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

106.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Tawfik Kamal

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Oncology BU Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648881

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Erik L Karlsons

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

District Manager II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649217

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Thomas N Kendris

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP & General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648465

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

160.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael C Kincaid

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Ex Dir Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1649219

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Shannon T Klinger

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Sandoz Inc.

Occupation
VP Legal & IP NA Gen Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1579488

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Richard E Knapp

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Executive Director State Gov'

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1648656

Amount of Each Receipt this Period

230.76

SUBTOTAL of Receipts This Page (optional)..... ►

489.60

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mildred O Kowalski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Marketing Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1649043

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Robert W Kowalski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

SVP & Global Head DRA

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1649518

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Keith A LaDue

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP IT Division Head

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.88

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1648820

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

161.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Leigh A Leas

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Exec Dir Public Health Policy

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649361

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Richard E Lemire

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Head of Engineering

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

635.73

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1648442

Amount of Each Receipt this Period

85.24

Full Name (Last, First, Middle Initial)

C. James E Lickett

Mailing Address 608 Fifth Avenue

City State Zip Code
 New York NY 10020

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 COMMERCIAL OPS-PRIM CARE ANDSPEC Manager

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 19 2012

Transaction ID : A2012-1736154

Amount of Each Receipt this Period

250.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

363.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 50
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Lloyd

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Animal Health US Inc.

Occupation

CBU Head North America

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1578349

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Jeffrey W Lockwood

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Institutes for BioMed

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648954

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Konstantine G Lolos

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648919

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

166.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brenda Luckritz

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

VP Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

409.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648560

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

B. Frank Maness

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Finance Corporation

Occupation

VP HR

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649467

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Frank Manolios

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648729

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

83.08

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Teresa Mason

Mailing Address 3 Pheasant Run Drive

City State Zip Code
Wilmington DE 19810

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
VP Health Policy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649533

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. William R Matthews

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

298.73

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648779

Amount of Each Receipt this Period

40.22

Full Name (Last, First, Middle Initial)

C. Stefanie L Maurer

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
Novartis Pharmaceuticals

Occupation
Neuro-Psych Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648961

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

160.22

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 50
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Catharine M McGeehan

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1648678

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Paul J McGinley

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Product Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649237

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Edward J McKenna

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Account Mgr II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649433

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

148.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William D McLaury

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Dir Supply Chain Mngmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1648704

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Brian J McNamara

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

OTC Region BU Head Americas

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1578348

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Wayne P Merkelson

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Finance Corporation

Occupation

VP Tax Law

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.30

Date of Receipt

M M / D D / Y Y Y Y Y
07 31 2012

Transaction ID : A2012-1648913

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

253.84

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laurie C Mills

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Clinical Operations

Occupation

Clinical Research Asc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649241

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Stacey L Moore

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

258.70

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648659

Amount of Each Receipt this Period

34.70

Full Name (Last, First, Middle Initial)

C. Led R Morehead

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Sales Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649362

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

90.10

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Glenn H Morton

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

ED IT Risk and Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1088.46

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648810

Amount of Each Receipt this Period

145.54

Full Name (Last, First, Middle Initial)

B. Polly L Murphy

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Xolair Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649246

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Vasant Narasimhan

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Region Head of North America

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

699.95

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1579557

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

265.92

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John Neal

Mailing Address 350 Massachusetts Avenue

City State Zip Code
Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Head Meningococcal Market Access

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

490.03

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1579560

Amount of Each Receipt this Period

64.62

Full Name (Last, First, Middle Initial)

B. James R Niebanck

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648776

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

c. Hugh M O'Dowd

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Latam Region Head Oncology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648661

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

138.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shawn O'Neil

Mailing Address 608 Fifth Avenue

City
New York

State Zip Code
NY 10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649531

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Sharon N Olmstead

Mailing Address One Health Plaza

City
East Hanover

State Zip Code
NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP DRA Liaison

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649547

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

c. Craig S Osten

Mailing Address One Health Plaza

City
East Hanover

State Zip Code
NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Corp Plan & Sls Dmnd Anlys

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648471

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

184.62

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Serafina Oxner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Strategic Planning

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648933

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. John M Pakulski

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Reg. Affairs-Spec Bi

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579485

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Melissa A Parker

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Regional Dir Acct Management

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648973

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

166.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anne Ramsey

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 District Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579565

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Marc Reuss

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 Head Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579559

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Kevin T Rigby

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 VP Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648885

Amount of Each Receipt this Period

230.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

320.76

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Randi C Roberts

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Business Unit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649271

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Diane Rogers

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

COMMERCIAL OPS-PRIM CARE ANDSPEC

Occupation

Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.05

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1579555

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Bruce Ruscio

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

306.06

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1579494

Amount of Each Receipt this Period

23.06

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

96.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jason T Russell

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Account Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

423.27

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648518

Amount of Each Receipt this Period

56.94

Full Name (Last, First, Middle Initial)

B. Christopher Sellin

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales Force Tech Strat

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649466

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

c. Christi L Shaw

Mailing Address 44 Guinea Hollow Rd

City

Lebanon

State

NJ

Zip Code

08833

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec VP & N Amer Region Hd

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649532

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

130.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Mary E Sibley

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Director Public Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1579484

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Joseph M Simon

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

276.90

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1648691

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

C. Katherine E Solon

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Ex Dir Fed Leg Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y
 07 / 31 / 2012

Transaction ID : A2012-1649355

Amount of Each Receipt this Period

230.76

SUBTOTAL of Receipts This Page (optional)..... ►

313.84

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William S Spelta

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Ex Dir Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.60

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648497

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Lisa A Steelman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

796.20

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648460

Amount of Each Receipt this Period

106.16

Full Name (Last, First, Middle Initial)

C. Donald P Stevens

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director State Gov't Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

519.30

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648582

Amount of Each Receipt this Period

69.24

SUBTOTAL of Receipts This Page (optional)..... ►

203.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Eugene L Straub

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Dir Commercial Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

07 / 19 / 2012

Transaction ID : A2012-1736155

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Peter N Streit

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Sales

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

207.75

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1648910

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. John Suchorsky

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir BIM

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1648882

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

323.86

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas A Suter

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Asc Director Gov't Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649496

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Richard Tessier

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Director Regional Project Eng

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648952

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Jim Thomas

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

VP GM Cataract & Retina

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1590342

Amount of Each Receipt this Period

600.00

SUBTOTAL of Receipts This Page (optional)..... ►

692.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Barbara A Tombros

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Dir Strategic Alliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.87

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648832

Amount of Each Receipt this Period

69.88

Full Name (Last, First, Middle Initial)

B. Christina M Tremains

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Business Relationship Mgr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.45

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648997

Amount of Each Receipt this Period

61.50

Full Name (Last, First, Middle Initial)

C. Thomas A Urban

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Exec Xolair Specialist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

227.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648461

Amount of Each Receipt this Period

30.38

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

161.76

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Lisa R Utt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Executive Sales Consultant

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

207.75

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1648556

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Edwin Valeriano

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Corporation

Occupation

Dir Investor Rel

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

346.20

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1649309

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. William W Voegtli

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Senior Reimbursement Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

210.60

Date of Receipt

07 / 31 / 2012

Transaction ID : A2012-1648549

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

101.94

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Andrew J Volante

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

VP Bus Franchise Oncology

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648766

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Keith Watanabe

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Dir Engineering

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 19 / 2012

Transaction ID : A2012-1736153

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

C. Michael D Webster

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr Oncology Specialist

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

322.40

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648976

Amount of Each Receipt this Period

43.32

SUBTOTAL of Receipts This Page (optional)..... ►

385.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jane E Welborn

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals Sr Oncol Area Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

368.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648604

Amount of Each Receipt this Period

49.10

Full Name (Last, First, Middle Initial)

B. James E Williams

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals Sr Dir Clinical Research Phys

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.25

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1649487

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Stephen A Woolford

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
Novartis Pharmaceuticals Ex Dir Business Plan'g & Analy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 31 / 2012

Transaction ID : A2012-1648930

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

187.56

TOTAL This Period (last page this line number only)..... ►

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael R Wythe

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Dir. Facilities and Maint. Ops

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1578347

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. Jennifer Y Young Maloney

Mailing Address 25491 Kinsale Place

City State Zip Code
 Aldie VA 20105

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Dir Fedl Leg Affairs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.20

Date of Receipt

M M / D D / Y Y Y Y Y
 07 31 2012

Transaction ID : A2012-1649554

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

296.16

10696.80