

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS  
REPORTNEW  
(N)

OR

AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15  
Quarterly Report (Q1)☐ July 15  
Quarterly Report (Q2)☐ October 15  
Quarterly Report (Q3)☐ January 31  
Year-End Report (YE)☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐ Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)  
(Non-Election  
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)  
(Non-Election  
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☒ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the  
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer John E. Danton Jr.

Signature of Treasurer

John E. Danton Jr.

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y 09 / 01 / 2012 To: M M / D D / Y Y Y Y Y Y 09 / 30 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> 2012		99717.25
(b) Cash on Hand at Beginning of Reporting Period.....	113463.39	
(c) Total Receipts (from Line 19) .....	13408.29	122291.93
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	126871.68	222009.18
7. Total Disbursements (from Line 31) .....	19313.81	114451.31
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	107557.87	107557.87
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	9		0	1		2	0	1	2

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	9		3	0		2	0	1	2

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	12217.86	108722.76
(ii) Unitemized .....	1190.43	12799.17
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	13408.29	121521.93
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	13408.29	121521.93
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	770.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	13408.29	122291.93
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	13408.29	122291.93

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	230.45	1367.95
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	230.45	1367.95
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	19000.00	113000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	83.36	83.36
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	83.36	83.36
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	19313.81	114451.31
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	19313.81	114451.31

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	13408.29	121521.93
34. Total Contribution Refunds (from Line 28(d)) .....	83.36	83.36
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	13324.93	121438.57
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	230.45	1367.95
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	770.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	230.45	597.95

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. NABIL S. ABDELHAMID**

Mailing Address 510 INVERRARY CT.

City	State	Zip Code
Eureka	MO	63025-2076

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	28	/	2012

Transaction ID : A5FBDD15299A84A95A71

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JOHN M ABOOD**

Mailing Address 3334 COACHMAN RD

City	State	Zip Code
Wilmington	DE	19803-1942

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	28	/	2012

Transaction ID : A0E0F437E16F54E0496F

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. DAVID R ALLEN**

Mailing Address 113 FRONT STREET

City	State	Zip Code
Owego	NY	13827-1518

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	28	/	2012

Transaction ID : AA2B466751B2847ED8CF

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

124.98

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. Diane Anderson**

Mailing Address 1913 Clark Elliot St

City State Zip Code  
Charlotte NC 28217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Trader, Fixed Income

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

325.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A6D0F0180CB2E49DDBFD**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. Steven Ayala**

Mailing Address 5014 Maynard St

City State Zip Code  
San Diego CA 92122-3934

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Account Systems

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A338A6AF1E9EC4E1C908**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. JAMES EDWARD BASHAW**

Mailing Address 5908 RIVERVIEW WAY

City State Zip Code  
Houston TX 77057-1434

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.96

Date of Receipt

09 / 28 / 2012

**Transaction ID : ACDA7F3D828774429920**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

566.66

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. HARVEY STUART BERK**

Mailing Address 9 CONRAD LANE

City

State

Zip Code

New City

NY

10956-6851

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Lpl Financial Llc

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : A8E04164E982D4304B68**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. PAUL WILLIAM BOTTE**

Mailing Address 1691 BURRIS DRIVE

City

State

Zip Code

El Cajon

CA

92019-3596

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Lpl Financial Llc

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : ADAF9C27E9641480AA2E**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. KERRICK WALTER BUBB**

Mailing Address 650 SOUTH LA SALLE STREET

City

State

Zip Code

Redlands

CA

92374-6443

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

LPL Financial LLC

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.64

Date of Receipt

09 / 28 / 2012

**Transaction ID : A36B13FD2379042CDB27**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

624.98



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Jeffrey Buchheister**

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Reg Cntrls & Commissions

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

325.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : AAFEBDF0FFBC04E34A2/**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. STEVEN ANDREW BUDIN**

Mailing Address 25 MORNINGLOW PASS

City

Henderson

State

NV

Zip Code

89052-6683

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : A1A1497F4011F4EAD94F**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. R. NEIL BURNS**

Mailing Address 24151 CASCADES

City

Laguna Niguel

State

CA

Zip Code

92677-2418

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

656.74

Date of Receipt

09 / 28 / 2012

**Transaction ID : A5D88889AD9834EFC945**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

299.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 34  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. R. CRAIG BYRD**

Mailing Address 1723 SE 270TH PLACE

City State Zip Code  
 Camas WA 98607-8585

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : ABBBA9CC53E554EF8AFI**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KELLY PETER CAMPBELL**

Mailing Address 11727 RIVER DRIVE

City State Zip Code  
 Lorton VA 22079-4104

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : AFB3C718368E44173802**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. William R. Chetney**

Mailing Address 30671 Steeple Chase

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Partners

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A1F7EAC5340DD4A16857**

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

1033.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. Crystal Clifford**

Mailing Address 19562 Hidden Glen Road

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

468.75

Date of Receipt

09 / 28 / 2012

**Transaction ID : A6782D03091084D86BFE**

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. DAVID STAPLEY DIXON**

Mailing Address 1419 DRAKEWOOD

City State Zip Code  
 North Las Vegas NV 89031-1694

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : A2C8242DE591043F190A**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. RICHARD CALVERT DONAHUE**

Mailing Address 2407 Princeton Ct #11

City State Zip Code  
 Bellingham WA 98229-8840

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : A661852DD94BD4C76872**

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

354.14

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. William Dwyer III**

Mailing Address 75 State Street  
24th Floor

City State Zip Code  
Boston MA 02109-1827

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, President Natl Sales Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

09 / 28 / 2012

Transaction ID : A8721E9F776FF4E419C1

Amount of Each Receipt this Period

416.68

Payroll Deduction: \$208.34/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. Matthew Enyedi**

Mailing Address 16058 Wayne Hill

City State Zip Code  
San Diego CA 92127-4182

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, RIA Business Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

09 / 28 / 2012

Transaction ID : AE9DE856C1DB64F3E8F1

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. ROBERT L ERRICO**

Mailing Address 10 VILLENEUVE

City State Zip Code  
Newport Coast CA 92657-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1500.00

Date of Receipt

09 / 28 / 2012

Transaction ID : A84AAA97EA13740C093B

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

708.36

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. RICHARD NORTHRUP FISHER JR.**

Mailing Address 7003 WINDSOR WAY

City State Zip Code  
Elon NC 27244-9404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : A879F60B9920742BABB2**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Fay Fisher**

Mailing Address 867 Homestake Court

City State Zip Code  
San Diego CA 92121-1968

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1350.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : AE6794C9944AB4C2C90D**

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT IVANO FRAGASSO**

Mailing Address 611 KEITH ROAD

City State Zip Code  
Bethel Park PA 15102-3741

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A5241736A2E274AF2B88**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

483.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARC STUART FREEDMAN

Mailing Address 119 KARA DRIVE

City

North Andover

State

MA

Zip Code

01845-4834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 28 / 2012

Transaction ID : A9BF37C53D0CF4794B30

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City

Charlotte

State

NC

Zip Code

28209-4009

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Banking Svcs

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

630.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 28 / 2012

Transaction ID : A940FDFF385314FCD96B

Amount of Each Receipt this Period

70.00

Payroll Deduction: \$35.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WILLIAM E HAMM

Mailing Address 657 SAXONY BLVD

City

Saint Petersburg

State

FL

Zip Code

33716-1297

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

CEO IFP

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

416.66

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
09 / 28 / 2012

Transaction ID : AE11B9F84FA084AA98B5

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

819.98

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. EDWARD JAMES HARRIS**

Mailing Address 60 PARK TERRACE

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 28 / 2012

Transaction ID : A47ED86CFD32F493B9E1

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MICHAEL PATRICK HATCH**

Mailing Address 3618 SAN PASQUAL ST.

City

Pasadena

State

CA

Zip Code

91107-5419

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.30

Date of Receipt

09 / 28 / 2012

Transaction ID : A9CA8D5BB68A94735B84

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Bradford Hearn**

Mailing Address 7293 Calle Conifera

City

San Diego

State

CA

Zip Code

92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

756.00

Date of Receipt

09 / 28 / 2012

Transaction ID : A8035B9C2EA894DDAA72

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

333.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. Mark Helliker**

Mailing Address 688 Caudor St.

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

09 / 15 / 2012

**Transaction ID : ADCC85CF3B7AE4CE5B7I**

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$300.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. Mark Helliker**

Mailing Address 688 Caudor St.

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A78FE6153763E41C3B3B**

Amount of Each Receipt this Period

0.00

Payroll Deduction: \$0.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. DAVID GEORGE HERBST**

Mailing Address 925 BURGESS HILL RD

City State Zip Code  
 Naperville IL 60565-6106

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2999.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : A4E34B6FF58094DDDB12**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

633.32



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Brad Jacobs**

Mailing Address 5 Beacham Place

City  
Hingham

State  
MA

Zip Code  
02108

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A025EBAC2AC934CC7A0F**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. John Kalbaugh**

Mailing Address 6713 Seton House Ln

City  
Charlotte

State  
NC

Zip Code  
28277-4519

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, IS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

520.85

Date of Receipt

09 / 28 / 2012

**Transaction ID : A73CE8CF24B1B4D5DB59**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. DAVID BLANDIN LA POINTE**

Mailing Address 37919 LUNA DRIVE

City  
Temecula

State  
CA

Zip Code  
92592-9053

FEC ID number of contributing  
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : AD9E7ADA4B63543428D0**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

675.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Stephen Lank**

Mailing Address 15936 Glen Miro Drive

City State Zip Code  
 Charlotte NC 28217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : AD78BA5912D7547139E3**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. WILLIAM LEE LITTON**

Mailing Address 10309 AVENIDA MAGNIFICA

City State Zip Code  
 San Diego CA 92131-1402

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A114531058F4F41AD93D**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Thomas Lux**

Mailing Address 1309 Caminito Batea

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.36

Date of Receipt

09 / 28 / 2012

**Transaction ID : AC58977615B114751901**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

358.34

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. LAILA SOBHI MARSHALL-PENCE**

Mailing Address 10572 VILLA DEL CERRO

City State Zip Code  
 Santa Ana CA 92705-1486

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Wealth Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : A94A6FA7FDA79460EA70**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. THEODORE ROCCO MASSARO**

Mailing Address 36 HARVEST LANE

City State Zip Code  
 Medford NJ 08055-8450

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : AD64B72E8016C4589A6E**

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT ARTHUR MATSON**

Mailing Address 333 ORCHARD STREET

City State Zip Code  
 Millis MA 02054-1025

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : A262251514A0349D99F1**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

749.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID JOHN MC MENAMIN**

Mailing Address 5100 W. RHYOLITE LOOP

City State Zip Code  
Tucson AZ 85745-8967

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.95

Date of Receipt

09 / 28 / 2012

**Transaction ID : ADB7ED361EFF34FCD9D7**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. SHARI DIANE MILLER**

Mailing Address 4250 SHOREPOINTE WAY

City State Zip Code  
San Diego CA 92130-8634

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

09 / 28 / 2012

**Transaction ID : A93B5E069B0E84F94B45**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Robert Moore**

Mailing Address PO Box 2764

City State Zip Code  
San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.63

Date of Receipt

09 / 28 / 2012

**Transaction ID : A76B13649632B4407AFD**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

666.64

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. CARLO ANTHONY PANACCIONE**

Mailing Address 12760 DIANNE DRIVE

City State Zip Code  
Los Altos Hills CA 94022-4606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2666.56

Date of Receipt

09 / 28 / 2012

**Transaction ID : AC370C12C201B4347BAB**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. VICKIE LINN PETIX**

Mailing Address 2529 CASTELLON TERRACE

City State Zip Code  
El Cajon CA 92019-3859

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : A9D78BF38D0B64C34904**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. David Reich**

Mailing Address 14397 Caminito Lanza

City State Zip Code  
San Diego CA 92127-3635

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Platform

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.70

Date of Receipt

09 / 28 / 2012

**Transaction ID : A6A3F9667003B419CA23**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

583.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. James Riela**

Mailing Address 1005 Brightwood Dr

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Conference Services

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A757CD9DC522E4CFB83B**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. CAROL L. ROGERS**

Mailing Address 1306 CONWAY OAKS DR.

City State Zip Code  
 Chesterfield MO 63017-1958

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

09 / 28 / 2012

**Transaction ID : AD70F261EE39148E4845**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. KARL HORACE ROMERO**

Mailing Address 6 De Soto

City State Zip Code  
 Trabuco Canyon CA 92679-3600

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 28 / 2012

**Transaction ID : AEA6ECBF51CCE4521828**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

349.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 23 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ARTHUR R. SALOMON**

Mailing Address 459 WOODLAKE WAY

City

Lexington

State

KY

Zip Code

40502-2535

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A8AD5536AE48E4540835**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Mary Schott**

Mailing Address 9785 Towne Centre Dr

City

San Diego

State

CA

Zip Code

92121-1968

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.65

Date of Receipt

09 / 28 / 2012

**Transaction ID : AF982A74E9F83460CB2D**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Daniel Schuck**

Mailing Address 12745 Westly Ln

City

San Diego

State

CA

Zip Code

92131-4207

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : A6A3E195EA63045C9907**

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

466.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
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PAGE 24 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. Gerald Soderstrom**

Mailing Address 5518 Bellevue Ave

City State Zip Code  
 La Jolla CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Chief Audit Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 28 / 2012

Transaction ID : AE25C65E6961E45E5983

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. Adam Sokolic**

Mailing Address 2752 29th St

City State Zip Code  
 San Diego CA 92104-4909

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Retirement Partners

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.38

Date of Receipt

09 / 28 / 2012

Transaction ID : A0BD389781B4840D79E7

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. GREG ROBERT SOLIS**

Mailing Address 49335 AVENIDA FERNANDO

City State Zip Code  
 La Quinta CA 92253-2744

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.96

Date of Receipt

09 / 28 / 2012

Transaction ID : A994DEAACE21540928B4

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

308.32



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. GARY M STANISLAWSKI**

Mailing Address 2992 E 78TH ST

City

Tulsa

State

OK

Zip Code

74136-8732

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

09 / 28 / 2012

Transaction ID : AFD268647F38F4122899

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Cynthia Swank**

Mailing Address 5138 Bevington Place

City

Charlotte

State

NC

Zip Code

28277-5505

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Service Value Commitment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

900.00

Date of Receipt

09 / 28 / 2012

Transaction ID : A9F4FD645B2EB4340922

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JOAN MARIE VALENTI**

Mailing Address 6 MORGAN PLACE

City

Unionville

State

CT

Zip Code

06085-1178

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.96

Date of Receipt

09 / 28 / 2012

Transaction ID : AE82F7E9C9F394DDE8D5

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

683.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KENNETH WILLIAM VAN LEEUWEN**

Mailing Address 1010 Barclay Blvd

City

Princeton

State

NJ

Zip Code

08540-5883

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 28 / 2012

Transaction ID : A493955903A3D46F3BD7

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Scott Vock**

Mailing Address 2503 Roswell Ave Suite 207  
Unit 207

City

Charlotte

State

NC

Zip Code

28209-1682

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

09 / 28 / 2012

Transaction ID : AC5F42A1E6BFF4105834

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WAYNE DAVID WAGNER, JR.**

Mailing Address 8 LEAP DRIVE

City

Pennsville

State

NJ

Zip Code

08070-1117

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

09 / 28 / 2012

Transaction ID : A6D8FD02BD6BC4362B28

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

541.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MICHAEL JAMES WARD**

Mailing Address 1491 BERNE-ALTAMONT ROAD

City State Zip Code  
Albany NY 12209

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.30

Date of Receipt

09 / 28 / 2012

**Transaction ID : A15BE85B250C14E4BA10**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jeffrey Warren**

Mailing Address 7137 Sherbourne Ln

City State Zip Code  
San Diego CA 92129-4655

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Service Center

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

540.00

Date of Receipt

09 / 28 / 2012

**Transaction ID : AAF9428955DB44A7CA87**

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. MICHAEL C. F. WILLIAMS**

Mailing Address 15 IVY LANE

City State Zip Code  
Chester Springs PA 19425-3316

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.64

Date of Receipt

09 / 28 / 2012

**Transaction ID : A936E0BB1DB5949B3819**

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

434.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. WARREN DAVID WISE**

Mailing Address 437 WEDGEWOOD CT

City State Zip Code  
 Eden NC 27288-2841

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1874.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 28 2012

Transaction ID : AE4876912A56A40F29D9

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Gregory Woods**

Mailing Address 116 Pembroke Street

City State Zip Code  
 Boston MA 02118-1208

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Marketing Practice Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 28 2012

Transaction ID : AB4D04B78187F45EDA0B

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT ALAN YOUNG**

Mailing Address 14504 COUNTY ROAD 96B

City State Zip Code  
 Woodland CA 95695-9221

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 09 28 2012

Transaction ID : A919F3E69D4EA4A4A36

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

333.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MARK G ZITZELSBERGER**

Mailing Address 1048 CLUBHOUSE DRIVE

City State Zip Code  
Weidman MI 48893-9339

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y Y  
09 / 28 / 2012

**Transaction ID : AA9CA200986BE4C5AAD5**

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.32

12217.86

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

### A. Aristotle International

Category/  
Type

129.20

State:  District:

### B. Aristotle International

Category/  
Type

100.00

State:  District:

### C. Aristotle International

Category/  
Type

1.25

State:  District:

230.45

230.45

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. CAPUANO FOR CONGRESS COMMITTEE**

Mailing Address PO BOX 440305

City	State	Zip Code
SOMERVILLE	MA	02144

Purpose of Disbursement  
MA US House

Candidate Name

**Rep. Michael E. Capuano**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: MA District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	11	/	2012

**Transaction ID : B6D029349D9924D8B98B**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**B. HELP UNITE REPUBLICANS TODAY POLITICAL ACTION COMMITTEE**

Mailing Address PO BOX 283

City	State	Zip Code
CHATHAM	VA	24531

Purpose of Disbursement  
Political Contribution

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☐ General  
☒ Other (specify) ▼ Other2012

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	11	/	2012

**Transaction ID : BB4BACF5608834ECA95E**

Amount of Each Disbursement this Period

2000.00
---------

Full Name (Last, First, Middle Initial)

**C. JEFF FLAKE FOR US SENATE INC**

Mailing Address PO BOX 12512

City	State	Zip Code
TEMPE	AZ	85284

Purpose of Disbursement  
AZ US Senate

Candidate Name

**Jeff Flake**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: AZ District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	24	/	2012

**Transaction ID : B1479C41460BB4A10870**

Amount of Each Disbursement this Period

2000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN CAMPBELL FOR CONGRESS**Mailing Address 4590 Macarthur Boulevard  
Suite 500

City Newport Beach State CA Zip Code 92660

Purpose of Disbursement  
CA US House

Candidate Name

**Rep. John Campbell**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: CA District: 48

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	11	/	2012

**Transaction ID : BE8932A14AC47496388B**

Amount of Each Disbursement this Period

5000.00
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Full Name (Last, First, Middle Initial)

**B. MIKE CRAPO FOR US SENATE**

Mailing Address P.O. BOX 1948

City BOISE State ID Zip Code 83701

Purpose of Disbursement  
ID US Senate

Candidate Name

**Sen. Mike Crapo**Office Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2016  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: ID District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	11	/	2012

**Transaction ID : B314E69CF34C04074A97**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. RUSH HOLT FOR CONGRESS**

Mailing Address PO Box 782

City Pennington State NJ Zip Code 08534

Purpose of Disbursement  
NJ US House

Candidate Name

**Rep. Rush D. Holt**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: NJ District: 12

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	11	/	2012

**Transaction ID : B3CDDDB0567A54F708A8**

Amount of Each Disbursement this Period

1000.00
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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

7000.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 33 OF 34

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEPHEN F. LYNCH FOR CONGRESS COMMITTEE**

Mailing Address 105 FARRAGUT ROAD

City	State	Zip Code
SOUTH BOSTON	MA	02127

Purpose of Disbursement  
MA US House

Candidate Name

**Rep. Stephen F. Lynch**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MA District: 09

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	04	/	2012

**Transaction ID : B79CE0B86802246DEB69**

Amount of Each Disbursement this Period

5000.00
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Full Name (Last, First, Middle Initial)

**B. STIVERS FOR CONGRESS**

Mailing Address 4679 WINTERSET DRIVE

City	State	Zip Code
COLUMBUS	OH	43220

Purpose of Disbursement  
OH US House

Candidate Name

**Rep. Steve Stivers**Office Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: OH District: 15

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	21	/	2012

**Transaction ID : BF6249D1146864D89982**

Amount of Each Disbursement this Period

1000.00
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Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

6000.00
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19000.00
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**SCHEDULE B (FEC Form 3X)**  
**ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 34 OF 34

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. Todd Tharp**

Mailing Address 210 N. Church St. #2804

City	State	Zip Code
Charlotte	NC	28202-2387

Purpose of Disbursement  
Refund of Contribution Made

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State:	District:

Disbursement For:	<input type="checkbox"/> Primary <input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼

Category/ Type
-------------------

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09	/	15	/	2012

Transaction ID : B583157CDECB84F86B2D

Amount of Each Disbursement this Period

83.36
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**B.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State:	District:

Disbursement For:	<input type="checkbox"/> Primary <input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼

Category/ Type
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Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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**C.**

Full Name (Last, First, Middle Initial)

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House <input type="checkbox"/> Senate <input type="checkbox"/> President
State:	District:

Disbursement For:	<input type="checkbox"/> Primary <input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼

Category/ Type
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Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
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Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

83.36
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83.36
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