Image# 12940015453 PAGE 1 / 34

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	, Othor Than	All Additionized				Office Use Only
NAME OF TOO COMMITTEE (in full)	YPE OR PRINT	=/10	mple: If typir r the lines.	ng, type	12FE4M5	
LPL Financial LLC Polit	ical Action Co	ommittee (LP	L PAC)			
					1 1 1 1 1	1
ADDRESS (number and street)	75 State Street					
V	24th Floor					1
Check if different than previously reported. (ACC)	Boston				MA	02109
2. FEC IDENTIFICATION NUI	MBER ▼	CITY 🛦		S	STATE 🛦	ZIP CODE ▲
C C00486217		3. IS THIS REPORT	· ·	IEW N) OR	AN (A)	IENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2) Mar 20 (M3)		May 20 (M5)		20 (M8) Nov 20 (M11) (Non-Election Year Only) 20 (M9) Dec 20 (M12)
(a) Quarterly Reports:		Apr 20 (M4)	H.	lul 20 (M7)	X Oct	(Non-Election Year Only) 20 (M10) Jan 31 (YE)
April 15 Quarterly Report (Q1) (c) 12-Day	, ip: 25 (iii.)	Primary (12P		General	
July 15 Quarterly Report (Q2	PRF-FI		Convention (Special (
October 15 Quarterly Report (Q3						
January 31 Year-End Report (YE		Election on	M = M /	D D /	Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-E	Election for the:	General (300	G)	Runoff (3	Special (30S)
Termination Report (TER)	Пери	Election on	M - M /	D = D /	Y	in the State of
5. Covering Period 09	/ 01 /	2012	through	M M M	/ 30 /	2012
I certify that I have examined this	Report and to th	e best of my kno	wledge and b	pelief it is true	e, correct and	d complete.
Type or Print Name of Treasurer	John E. Danton J	r.				
Signature of Treasurer John E	. Danton Jr.		[Electronically	<i>Filed]</i> Da	ate 10	/ 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erroned	ous, or incomplete	information may su	ubject the pers	son signing th	is Report to th	ne penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

01 09 30 2012 Report Covering the Period: 09 2012 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 99717.25 January 1, 2012 (b) Cash on Hand at 113463.39 Beginning of Reporting Period..... 122291.93 13408.29 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 126871.68 222009.18 6(a) and 6(c) for Column B)..... 19313.81 114451.31 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 107557.87 107557.87 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on

×

Schedule C and/or Schedule D)

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

0.00

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

R	eport Covering the Period: From:	01 / 2012	To: 09 / 30 / 2012				
	I. Receipts	I. Receipts COLUMN A Total This Period					
11.	Contributions (other than loans) From: (a) Individuals/Persons Other						
	Than Political Committees (i) Itemized (use Schedule A)	12217.86	108722.76				
	(ii) Unitemized(iii) TOTAL (add	, 1190.43	12799.17				
	Lines 11(a)(i) and (ii)▶	, 13408.29	121521.93				
	(b) Political Party Committees (c) Other Political Committees	0.00	0.00				
	(such as PACs)(d) Total Contributions (add Lines	0.00	0.00				
12	11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) Transfers From Affiliated/Other	13408.29	121521.93				
12.	Party Committees	0.00	0.00				
13.	All Loans Received	0.00	0.00				
	Loan Repayments Received Offsets To Operating Expenditures	0.00	0.00				
16.	(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) Refunds of Contributions Made	0.00	770.00				
17	to Federal Candidates and Other Political Committees Other Federal Receipts	0.00	0.00				
	(Dividends, Interest, etc.)	0.00	0.00				
	(from Schedule H3)	0.00	0.00				
	(b) Levin Funds (from Schedule H5)	7 0.00	0.00				
	(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00				
19.	Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	13408.29	122291.93				
20.	Total Federal Receipts (subtract Line 18(c) from Line 19)▶	13408.29	122291.93				

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calcinati Total to Buto
	(i) Federal Share	0.00	0.00
	···	0.00	0.00
	(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
	Expenditures	230.45	1367.95
	(c) Total Operating Expenditures		
	(add 21(a)(i), (a)(ii), and (b))▶	230.45	1367.95
	Transfers to Affiliated/Other Party Committees	0.00	0.00
	Contributions to Federal Candidates/Committees		
	and Other Political Committees	19000.00	113000.00
	Independent Expenditures (use Schedule E)	0.00	0.00
	Coordinated Party Expenditures		
	(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
	Loan Repayments Made	0.00	0.00
	Loan nepayments made		0.00
	Loans MadeRefunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	83.36	83.36
	man rollical committees	7	7
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees (such as PACs)	0.00	0.00
	(3001 43 1703)	7	7
	(d) Total Contribution Refunds	83.36	02.20
	(add Lines 28(a), (b), and (c))▶	55.30	83.36
	Other Disbursements	0.00	0.00
	E 1 1 E1 - 1 1 - 1 - (0 H 0 0 - 0.404/00)		
	Federal Election Activity (2 U.S.C. §431(20)) (a) Allocated Federal Election Activity		
	(from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely		
	With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
			7
	Total Disbursements (add Lines 21(c), 22,	40040.04	
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	19313.81	114451.31
	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)	10212 01	114451.31
	from Line 31)▶	19313.81	114431.31

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	13408.29	121521.93
4. Total Contribution Refunds (from Line 28(d))	83.36	83.36
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	13324.93	121438.57
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	230.45	1367.95
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	770.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	230.45	597.95

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NOMBER: (check only one)

FOR LINE NUMBER:			PAGE		6	OF		34		
(che	(check only one)									
X	11a		11b		11c		12			
	13		14		15		16	,		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using the	ne name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	tion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) NABIL S. ABDELHAMID Mailing Address 510 INVERRARY CT.		Date of Receipt
City Eureka	State Zip Code MO 63025-2076	09 28 2012 Transaction ID : A5FBDD15299A84A95A71 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.66
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 374.94	
Full Name (Last, First, Middle Initial) JOHN M ABOOD Mailing Address 3334 COACHMAN RD	1	Date of Receipt 09 28 2012
City Wilmington	State Zip Code DE 19803-1942	Transaction ID : A0E0F437E16F54E0496F Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.66
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 374.94	
Full Name (Last, First, Middle Initial) DAVID R ALLEN		Date of Receipt
Mailing Address 113 FRONT STREET		09 28 2012
City Owego	State Zip Code NY 13827-1518	Transaction ID : AA2B466751B2847ED8CF Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.66
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 374.94	
SUBTOTAL of Receipts This Page (optional)		124.98
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE Use separate schedule(s) for each category of the (check only one) X 11a 11b 11c

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12 Detailed Summary Page 13 16 14 15

Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) Diane Anderson Mailing Address 1913 Clark Elliot St		Date of Receipt
City Charlotte FEC ID number of contributing federal political committee.	State Zip Code NC 28217	09 28 2012 Transaction ID : A6D0F0180CB2E49DDBFI Amount of Each Receipt this Period 50.00
Name of Employer Lpl Financial Llc Receipt For: Primary Other (specify)	Occupation Trader, Fixed Income Aggregate Year-to-Date ▼ 325.00	Payroll Deduction: \$25.00/Bi-Monthly
Full Name (Last, First, Middle Initial) Steven Ayala Mailing Address 5014 Maynard St		Date of Receipt 09 28 _2012 _
City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc	State Zip Code CA 92122-3934 C Occupation SVP, Account Systems	Transaction ID: A338A6AF1E9EC4E1C908 Amount of Each Receipt this Period 100.00 Payroll Deduction: \$50.00/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 900.00	
Full Name (Last, First, Middle Initial) JAMES EDWARD BASHAW Mailing Address 5908 RIVERVIEW WAY City Houston	State Zip Code TX 77057-1434	Date of Receipt 09 28 2012 Transaction ID : ACDA7F3D828774429920 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	C Occupation Financial Advisor Aggregate Year-to-Date ▼ 2499.96	Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)	•	566.66
TOTAL This Period (last page this line number	only)	

	FOR	R LINE	NU	IMBER	:	PAGE	8 ()F	3
Use separate schedule(s)	(che	eck only	or or	ne)					
for each category of the Detailed Summary Page	×	11a		11b		11c	12		
		13		14		15	16		71

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or for commercial purposes, other than using the	ne name and address of any political committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	tion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) HARVEY STUART BERK Mailing Address 9 CONRAD LANE		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City New City FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) ▼	State Zip Code NY 10956-6851 C Occupation manager Aggregate Year-to-Date ▼ 1499.94	Transaction ID : A8E04164E982D4304B68 Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
Full Name (Last, First, Middle Initial) PAUL WILLIAM BOTTE Mailing Address 1691 BURRIS DRIVE City El Cajon FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 92019-3596 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 374.94	Date of Receipt 09 28 2012 Transaction ID : ADAF9C27E9641480AA2E Amount of Each Receipt this Period 41.66 Payroll Deduction: \$20.83/Bi-Monthly
Full Name (Last, First, Middle Initial) KERRICK WALTER BUBB Mailing Address 650 SOUTH LA SALLE STR City Redlands FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)	State Zip Code CA 92374-6443 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 1666.64	Date of Receipt M
SUBTOTAL of Receipts This Page (optional)	•	624.98
TOTAL This Period (last page this line numbe	r only)	

FOR LINE NUMBER: PAGE 9 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Jeffrey Buchheister Date of Receipt Mailing Address 2367 Juan St 2012 City Zip Code State Transaction ID: AAFEBDF0FFBC04E34A2A CA San Diego 92103-1110 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Payroll Deduction: \$25.00/Bi-Monthly Name of Employer Occupation LPL Financial LLC SVP, Reg Cntrls & Commissions Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** STEVEN ANDREW BUDIN Date of Receipt Mailing Address 25 MORNINGLOW PASS 09 28 2012 City State Zip Code Transaction ID: A1A1497F4011F4EAD94F NV Henderson 89052-6683 Amount of Each Receipt this Period FEC ID number of contributing 166.66 federal political committee. Payroll Deduction: \$83.33/Bi-Monthly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1499.94 Other (specify) Full Name (Last, First, Middle Initial) c. R. NEIL BURNS Date of Receipt Mailing Address 24151 CASCADES 28 2012 Zip Code State Transaction ID: A5D88889AD9834EFC945 CA Laguna Niguel 92677-2418 Amount of Each Receipt this Period FEC ID number of contributing 83.32 С federal political committee. Payroll Deduction: \$41.66/Bi-Monthly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 656.74 Other (specify) 299.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	•	10 OI	F	34
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	the name and address of any political committee	to solicit committee.
Full Name (Last, First, Middle Initial) R. CRAIG BYRD Mailing Address 1723 SE 270TH PLACE City Camas FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code WA 98607-8585 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 3749.94	Date of Receipt 9 28 2012 Transaction ID: ABBBA9CC53E554EF8AI Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
Full Name (Last, First, Middle Initial) KELLY PETER CAMPBELL Mailing Address 11727 RIVER DRIVE City Lorton FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code VA 22079-4104 C Occupation Principal Aggregate Year-to-Date ▼ 3749.94	Date of Receipt 09 28 2012 Transaction ID : AFB3C718368E44173802 Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
Full Name (Last, First, Middle Initial) William R. Chetney Mailing Address 30671 Steeple Chase City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 92121 C Occupation EVP, Retirement Partners Aggregate Year-to-Date ▼ 1800.00	Date of Receipt M
SUBTOTAL of Receipts This Page (optional).		1033.32
TOTAL This Period (last page this line numb	er only)	

	FOR	FOR LINE NUMBER: PAGE 11 OF									
Use separate schedule(s)	(che	ck only	or	ne)							
for each category of the Detailed Summary Page	X	11a		11b		11c		12			
_ common common, cogo		13		14		15		16		17	

Any information copied from such Reports and St or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) Crystal Clifford Mailing Address 19562 Hidden Glen Road		Date of Receipt
City	State 75 Cod-	09 28 2012
City San Diego	State Zip Code CA 92121	Transaction ID : A6782D03091084D86BFE
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 62.50
Name of Employer	Occupation	Payroll Deduction: \$31.25/Bi-Monthly
Lpl Financial Llc	SVP, Broker Dealer Supt Svcs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 468.75	
Full Name (Last, First, Middle Initial) 3. DAVID STAPLEY DIXON		Date of Receipt
Mailing Address 1419 DRAKEWOOD		M = M / D = D / Y = Y = Y
City	State Zip Code	09 28 2012 Transaction ID : A2C8242DE591043F190A
North Las Vegas	NV 89031-1694	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	83.32
Name of Employer	Occupation	Payroll Deduction: \$41.66/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 749.88	
Full Name (Last, First, Middle Initial) . RICHARD CALVERT DONAHUE		Date of Receipt
Mailing Address 2407 Princeton Ct #11		09 28 2012
City	State Zip Code	Transaction ID: A661852DD94BD4C76872
Bellingham	WA 98229-8840	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	208.32
Name of Employer	Occupation	Payroll Deduction: \$104.16/Bi-Monthly
Lpl Financial Llc	manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1874.88	
SUBTOTAL of Receipts This Page (optional)		354.14
TOTAL This Period (last page this line number of	only)	7 7 7

	FOF	R LINE	NU	MBER	:	PAGE	1	12 OF	:	34
Use separate schedule(s) for each category of the	(che	ck only	or or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

LPL Financial LLC Political A Full Name (Last, First, Middle Initial)	ction Committee (LPL PAC)	
William Dwyer III		Date of Receipt
Mailing Address 75 State Street 24th Floor		09 28 _ 2012 _
City	State Zip Code	Transaction ID: A8721E9F776FF4E419C1
Boston	MA 02109-1827	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.68
Name of Employer	Occupation	Payroll Deduction: \$208.34/Bi-Monthly
Lpl Financial Llc	MD, President Natl Sales Mktg	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2083.40	
Full Name (Last, First, Middle Initial) Matthew Enyedi	•	Date of Receipt
Mailing Address 16058 Wayne Hill		M = M / D = D / Y = Y = Y
City	State Zip Code	09 28 2012
San Diego	CA 92127-4182	Transaction ID: AE9DE856C1DB64F3E8F Amount of Each Receipt this Period
FEC ID number of contributing		Amount of Lacif neceipt tills Fellou
federal political committee.	C	41.68
Name of Employer	Occupation	Payroll Deduction: \$20.84/Bi-Monthly
LPL Financial LLC	VP, RIA Business Management	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	208.40	
Full Name (Last, First, Middle Initial) C. ROBERT L ERRICO		Date of Receipt
Mailing Address 10 VILLENEUVE		09 28 2012
City Newport Coast	State Zip Code CA 92657-1023	Transaction ID : A84AAA97EA13740C093
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	Payroll Deduction: \$125.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	-
Primary General		
Other (specify) ▼	1500.00	
SUBTOTAL of Receipts This Page (optional)		708.36

FOR LINE NUMBER: PAGE 13 OF 34 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) RICHARD NORTHRUP FISHER JR. Date of Receipt Mailing Address 7003 WINDSOR WAY 2012 City Zip Code State Transaction ID: A879F60B9920742BABB2 NC Elon 27244-9404 Amount of Each Receipt this Period FEC ID number of contributing 83.32 federal political committee. Payroll Deduction: \$41.66/Bi-Monthly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 749.88 Other (specify) Full Name (Last, First, Middle Initial) B. Fay Fisher Date of Receipt Mailing Address 867 Homestake Court 09 28 2012 City State Zip Code Transaction ID: AE6794C9944AB4C2C90D CA San Diego 92121-1968 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Payroll Deduction: \$75.00/Bi-Monthly Name of Employer Occupation Lpl Financial Llc EVP, Technology Risk Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 1350.00 Other (specify) Full Name (Last, First, Middle Initial) c. ROBERT IVANO FRAGASSO Date of Receipt Mailing Address 611 KEITH ROAD 28 2012 City State Zip Code Transaction ID: A5241736A2E274AF2B88 PΑ Bethel Park 15102-3741 Amount of Each Receipt this Period FEC ID number of contributing 250.00 С federal political committee. Payroll Deduction: \$125.00/Bi-Monthly Name of Employer Occupation Financial Advisor LPL Financial LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 483.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) MARC STUART FREEDMAN Date of Receipt Mailing Address 119 KARA DRIVE 2012 City Zip Code State Transaction ID: A9BF37C53D0CF4794B30 North Andover MA 01845-4834 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Payroll Deduction: \$166.66/Bi-Monthly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) Full Name (Last, First, Middle Initial) B. Joy Goble Date of Receipt Mailing Address 3217 Selwyn Farms Ln 09 28 2012 City State Zip Code Transaction ID: A940FDFF385314FCD96B NC Charlotte 28209-4009 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Payroll Deduction: \$35.00/Bi-Monthly Name of Employer Occupation Lpl Financial Llc SVP, Banking Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name (Last, First, Middle Initial) c. WILLIAM E HAMM Date of Receipt Mailing Address 657 SAXONY BLVD 28 2012 City State Zip Code Transaction ID: AE11B9F84FA084AA98B5 FL Saint Petersburg 33716-1297 Amount of Each Receipt this Period FEC ID number of contributing 416.66 С federal political committee. Payroll Deduction: \$208.33/Bi-Monthly Name of Employer Occupation CEO IFP LPL Financial LLC Receipt For: Aggregate Year-to-Date ▼ Primary General 416.66 Other (specify) 819.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) A. EDWARD JAMES HARRIS Mailing Address 60 PARK TERRACE		Date of Receipt
City Washington FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code PA 15301-3055 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 749.88	Transaction ID: A47ED86CFD32F493B9E1 Amount of Each Receipt this Period 83.32 Payroll Deduction: \$41.66/Bi-Monthly
Full Name (Last, First, Middle Initial) MICHAEL PATRICK HATCH Mailing Address 3618 SAN PASQUAL ST. City Pasadena FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) Other (specify)	State Zip Code CA 91107-5419 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 833.30	Date of Receipt 09 28 2012 Transaction ID : A9CA8D5BB68A94735B84 Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
Full Name (Last, First, Middle Initial) Bradford Hearn Mailing Address 7293 Calle Conifera City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary Other (specify)	State Zip Code CA 92121 C Occupation EVP, Broker Dealer Supt Svcs Aggregate Year-to-Date ▼ 756.00	Date of Receipt 09 28 2012 Transaction ID: A8035B9C2EA894DDAA72 Amount of Each Receipt this Period 84.00 Payroll Deduction: \$42.00/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)	>	333.98
TOTAL This Period (last page this line number	only)	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) Mark Helliker Date of Receipt Mailing Address 688 Caudor St. 2012 City State Zip Code Transaction ID: ADCC85CF3B7AE4CE5B7I CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Payroll Deduction: \$300.00/Bi-Monthly Name of Employer Occupation MD, Broker Dealer Support Svcs Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mark Helliker Date of Receipt Mailing Address 688 Caudor St. 09 28 2012 City State Zip Code Transaction ID: A78FE6153763E41C3B3B CA San Diego 92121 Amount of Each Receipt this Period FEC ID number of contributing 0.00 federal political committee. Payroll Deduction: \$0.00/Bi-Monthly Name of Employer Occupation Lpl Financial Llc MD, Broker Dealer Support Svcs Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) c. DAVID GEORGE HERBST Date of Receipt Mailing Address 925 BURGESS HILL RD 28 2012 City State Zip Code Transaction ID: A4E34B6FF58094DDB12 IL Naperville 60565-6106 Amount of Each Receipt this Period FEC ID number of contributing 333.32 С federal political committee. Payroll Deduction: \$166.66/Bi-Monthly Name of Employer Occupation Financial Advisor Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) 633.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

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\rangle	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Committee (LPL PAC)	
Δ.	Full Name (Last, First, Middle Initial) Brad Jacobs Mailing Address 5 Beacham Place City Hingham FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code MA 02108 C Occupation SVP, Associate Counsel Aggregate Year-to-Date ▼	Date of Receipt 09 28 2012 Transaction ID : A025EBAC2AC934CC7A0E Amount of Each Receipt this Period 50.00 Payroll Deduction: \$25.00/Bi-Monthly
3.	Full Name (Last, First, Middle Initial) John Kalbaugh Mailing Address 6713 Seton House Ln City Charlotte FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)	State Zip Code NC 28277-4519 C Occupation MD, IS Aggregate Year-to-Date ▼ 520.85	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
С.	Full Name (Last, First, Middle Initial) DAVID BLANDIN LA POINTE Mailing Address 37919 LUNA DRIVE City Temecula FEC ID number of contributing federal political committee. Name of Employer La Pointe Wealth Management Receipt For: Primary General Other (specify)	State Zip Code CA 92592-9053 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 3749.94	Date of Receipt 09 28 2012 Transaction ID : AD9E7ADA4B63543428D0 Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
s	UBTOTAL of Receipts This Page (optional)		675.00
Т	OTAL This Period (last page this line number of	only)	

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NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) Stephen Lank Mailing Address 15936 Glen Miro Drive		Date of Receipt
		09 28 2012
City Charlotte	State Zip Code NC 28217	Transaction ID : AD78BA5912D7547139E3
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	Payroll Deduction: \$50.00/Bi-Monthly
Lpl Financial Llc	SVP, Operations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	900.00	
Full Name (Last, First, Middle Initial) 3. WILLIAM LEE LITTON		Date of Receipt
Mailing Address 10309 AVENIDA MAGNIFICA		M = M / D = D / Y = Y = Y = Y
City	State Zip Code	09 28 2012 Transaction ID : A114531058F4F41AD93D
San Diego	CA 92131-1402	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	Payroll Deduction: \$25.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 450.00	
Full Name (Last, First, Middle Initial) C. Thomas Lux		Date of Receipt
Mailing Address 1309 Caminito Batea		09 28 2012
City	State Zip Code	Transaction ID : AC58977615B114751901
San Diego	CA 92121	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	208.34
Name of Employer	Occupation	Payroll Deduction: \$104.17/Bi-Monthly
LPL Financial LLC	EVP, Chief Accounting Officer	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	833.36	
SUBTOTAL of Receipts This Page (optional)		358.34
TOTAL This Period (last page this line number of	nly)	

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or for commercial purposes, other than usi NAME OF COMMITTEE (In Full)	ng the name and address of any political committee	to solicit contributions from such committee.
	Action Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) A. LAILA SOBHI MARSHALL-PENG	CE	Date of Receipt
Mailing Address 10572 VILLA DEL CER	RO	09 28 2012
City	State Zip Code	Transaction ID : A94A6FA7FDA79460EA7
Santa Ana	CA 92705-1486	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.66
Name of Employer	Occupation	Payroll Deduction: \$208.33/Bi-Monthly
Lpl Financial Llc	Wealth Management	
Receipt For:		_
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	3749.94	
Full Name (Last, First, Middle Initial) B. THEODORE ROCCO MASSAI	RO	Date of Receipt
Mailing Address 36 HARVEST LANE		09 28 _2012 _
City	State Zip Code	Transaction ID : AD64B72E8016C4589A6E
Medford	NJ 08055-8450	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer	Occupation	Payroll Deduction: \$125.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	1 93.09 10	
Other (specify) ▼	2250.00	
Full Name (Last, First, Middle Initial) C. ROBERT ARTHUR MATSON	1	Date of Receipt
Mailing Address 333 ORCHARD STREE		09 28 _2012 _
City	State Zip Code	Transaction ID : A262251514A0349D99F1
Millis	MA 02054-1025	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	83.32
Name of Employer	Occupation	Payroll Deduction: \$41.66/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For:		-
Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	749.88	
	mal)	749.98

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Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action	on Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) DAVID JOHN MC MENAMIN Mailing Address 5100 W. RHYOLITE LOOP		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City Tucson FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: □ Primary □ General □ Other (specify) ▼	State Zip Code AZ 85745-8967 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 1249.95	7 Transaction ID : ADB7ED361EFF34FCD9D7 Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
Full Name (Last, First, Middle Initial) SHARI DIANE MILLER Mailing Address 4250 SHOREPOINTE WAY City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 92130-8634 C Occupation manager Aggregate Year-to-Date ▼ 708.22	Date of Receipt 99 28 2012 Transaction ID: A93B5E069B0E84F94B45 Amount of Each Receipt this Period 83.32 Payroll Deduction: \$41.66/Bi-Monthly
Full Name (Last, First, Middle Initial) Robert Moore Mailing Address PO Box 2764 City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 92121 C Occupation Chief Financial Officer Aggregate Year-to-Date ▼ 2291.63	Date of Receipt 09 28 2012 Transaction ID: A76B13649632B4407AFD Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)	>	666.64
TOTAL This Period (last page this line number	only)	

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) LPL Financial LLC Political Action Committee (LPL PAC) Full Name (Last, First, Middle Initial) CARLO ANTHONY PANACCIONE Date of Receipt Mailing Address 12760 DIANNE DRIVE 2012 City Zip Code State Transaction ID: AC370C12C201B4347BAB CA Los Altos Hills 94022-4606 Amount of Each Receipt this Period FEC ID number of contributing 333.32 federal political committee. Payroll Deduction: \$166.66/Bi-Monthly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 2666.56 Other (specify) Full Name (Last, First, Middle Initial) **B.** VICKIE LINN PETIX Date of Receipt Mailing Address 2529 CASTELLON TERRACE 09 28 2012 City State Zip Code Transaction ID: A9D78BF38D0B64C34904 CA 92019-3859 El Cajon Amount of Each Receipt this Period FEC ID number of contributing 41.66 federal political committee. Payroll Deduction: \$20.83/Bi-Monthly Name of Employer Occupation Lpl Financial Llc Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 374.94 Other (specify) Full Name (Last, First, Middle Initial) c. David Reich Date of Receipt Mailing Address 14397 Caminito Lazanja 28 2012 City Zip Code State Transaction ID: A6A3F9667003B419CA23 CA San Diego 92127-3635 Amount of Each Receipt this Period FEC ID number of contributing 208.34 С federal political committee. Payroll Deduction: \$104.17/Bi-Monthly Name of Employer Occupation EVP, Retirement Platform Lpl Financial Llc Receipt For: Aggregate Year-to-Date ▼ Primary General 1041.70 Other (specify) 583.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any per- ne name and address of any political committee t	
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act	tion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) 1. James Riela		Date of Receipt
Mailing Address 1005 Brightwood Dr		09 28 2012
City	State Zip Code	Transaction ID : A757CD9DC522E4CFB83B
San Diego	CA 92121	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	Payroll Deduction: \$50.00/Bi-Monthly
Lpl Financial Llc	SVP, Conference Services	1
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General Other (specify) ▼	900.00	
Full Name (Last, First, Middle Initial) CAROL L. ROGERS		Date of Receipt
Mailing Address 1306 CONWAY OAKS DR.		09 28 _2012 _
City	State Zip Code	Transaction ID : AD70F261EE39148E4845
Chesterfield	MO 63017-1958	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	83.32
Name of Employer	Occupation	Payroll Deduction: \$41.66/Bi-Monthly
Lpl Financial Llc	Financial Advisor	j
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 749.88	
Full Name (Last, First, Middle Initial) C. KARL HORACE ROMERO		Date of Receipt
Mailing Address 6 De Soto		09 28 2012
City	State Zip Code	Transaction ID : AEA6ECBF51CCE4521828
Trabuco Canyon	CA 92679-3600	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	166.66
Name of Employer	Occupation	Payroll Deduction: \$83.33/Bi-Monthly
Lpl Financial Llc	Principal	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	1499.94	
SUBTOTAL of Receipts This Page (optional)		349.98
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or for commercial purposes, other than using t	he name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	etion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) ARTHUR R. SALOMON Mailing Address 459 WOODLAKE WAY City Lexington FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code KY 40502-2535 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 850.00	Date of Receipt 09 28 2012 Transaction ID: A8AD5536AE48E4540835 Amount of Each Receipt this Period 100.00 Payroll Deduction: \$50.00/Bi-Monthly
Full Name (Last, First, Middle Initial) Mary Schott Mailing Address 9785 Towne Centre Dr City San Diego FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)	State Zip Code CA 92121-1968 C Occupation EVP, Business Consulting Aggregate Year-to-Date ▼ 416.65	Date of Receipt 09 28 2012 Transaction ID : AF982A74E9F83460CB2D Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
Full Name (Last, First, Middle Initial) Daniel Schuck Mailing Address 12745 Westly Ln City San Diego FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 92131-4207 C Occupation EVP, BTS Aggregate Year-to-Date ▼ 1800.00	Date of Receipt 09 28 2012 Transaction ID: A6A3E195EA63045C9907 Amount of Each Receipt this Period 200.00 Payroll Deduction: \$100.00/Bi-Monthly
SUBTOTAL of Receipts This Page (optional).	<u> </u>	466.66
TOTAL This Period (last page this line number	er only)	

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	Statements may not be sold or used by any persone name and address of any political committee t	
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Act	ion Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) Gerald Soderstrom Mailing Address 5518 Bellevue Ave		Date of Receipt
City La Jolla	State Zip Code CA 92121	Transaction ID : AE25C65E6961E45E5983 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) ▼	Occupation SVP, Chief Audit Executive Aggregate Year-to-Date ▼ 900.00	Payroll Deduction: \$50.00/Bi-Monthly
Full Name (Last, First, Middle Initial) Adam Sokolic Mailing Address 2752 20th St		Date of Receipt
Mailing Address 2752 29th St City San Diego FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify) ▼	State Zip Code CA 92104-4909 C Occupation VP, Retirement Partners Aggregate Year-to-Date ▼ 208.38	Transaction ID: A0BD389781B4840D79E7 Amount of Each Receipt this Period 41.66 Payroll Deduction: \$20.83/Bi-Monthly
Full Name (Last, First, Middle Initial) GREG ROBERT SOLIS Mailing Address 49335 AVENIDA FERNAND	0	Date of Receipt
City La Quinta FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	State Zip Code CA 92253-2744 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 999.96	Transaction ID : A994DEAACE21540928B4 Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)		308.32
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NAME OF COMMITTEE (In Full) LPL Financial LLC Political A	ction Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) GARY M STANISLAWSKI Mailing Address 2992 E 78TH ST City Tulsa FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary Other (specify)	State Zip Code OK 74136-8732 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 1499.94	Date of Receipt 09 28 2012 Transaction ID : AFD268647F38F4122899 Amount of Each Receipt this Period 166.66 Payroll Deduction: \$83.33/Bi-Monthly
Full Name (Last, First, Middle Initial) Cynthia Swank Mailing Address 5138 Bevington Place City Charlotte FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)	State Zip Code NC 28277-5505 C Occupation EVP, Service Value Commitment Aggregate Year-to-Date ▼ 900.00	Date of Receipt 09 28 2012 Transaction ID: A9F4FD645B2EB4340922 Amount of Each Receipt this Period 100.00 Payroll Deduction: \$50.00/Bi-Monthly
Full Name (Last, First, Middle Initial) JOAN MARIE VALENTI Mailing Address 6 MORGAN PLACE City Unionville FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CT 06085-1178 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 2499.96	Date of Receipt 09 28 2012 Transaction ID : AE82F7E9C9F394DDE8D9 Amount of Each Receipt this Period 416.66 Payroll Deduction: \$208.33/Bi-Monthly
SUBTOTAL of Receipts This Page (optional)	· •	683.32

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Full Name (Last, First, Middle Initial) KENNETH WILLIAM VAN LE Mailing Address 1010 Barclay Blvd	EUWEN	Date of Receipt
		09 28 2012
City	State Zip Code	Transaction ID: A493955903A3D46F3BD7
Princeton	NJ 08540-5883	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	Payroll Deduction: \$125.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2250.00	
Full Name (Last, First, Middle Initial) 3. Scott Vock	1	Date of Receipt
Mailing Address 2503 Roswell Ave S	uite 207	M = M / D = D / Y = Y = Y
Unit 207 City	State Zip Code	09 28 2012
Charlotte	NC 28209-1682	Transaction ID: AC5F42A1E6BFF4105834 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.66
Name of Employer	Occupation	Payroll Deduction: \$20.83/Bi-Monthly
Lpl Financial Llc	VP, BTS	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 229.13	
Full Name (Last, First, Middle Initial) . WAYNE DAVID WAGNER	. JR.	Date of Receipt
Mailing Address 8 LEAP DRIVE	,	09 28 _ 2012 _
City Pennsville	State Zip Code NJ 08070-1117	Transaction ID : A6D8FD02BD6BC4362B2 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer	Occupation	Payroll Deduction: \$125.00/Bi-Monthly
Lpl Financial Llc	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2250.00	

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Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	17

LPL Financial LLC Political A	ction Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) MICHAEL JAMES WARD		Date of Receipt
Mailing Address 1491 BERNE-ALTAMONT		09 28 2012
City	State Zip Code	Transaction ID : A15BE85B250C14E4BA10
Albany	NY 12209	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	41.66
Name of Employer	Occupation	Payroll Deduction: \$20.83/Bi-Monthly
LPL Financial LLC	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	208.30	
Full Name (Last, First, Middle Initial) 3. Jeffrey Warren		Date of Receipt
Mailing Address 7137 Sherbourne Ln		09 28 _2012 _
City	State Zip Code	Transaction ID : AAF9428955DB44A7CA87
San Diego	CA 92129-4655	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	Payroll Deduction: \$30.00/Bi-Monthly
LPL Financial LLC	SVP, Service Center	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	540.00	
Full Name (Last, First, Middle Initial) MICHAEL C. F. WILLIAMS		Date of Possint
Mailing Address 15 IVY LANE		Date of Receipt
Mailing Address 15 IVY LANE		09 28 _2012 _
City	State Zip Code	Transaction ID : A936E0BB1DB5949B3819
Chester Springs	PA 19425-3316	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	333.32
Name of Employer	Occupation	Payroll Deduction: \$166.66/Bi-Monthly
LPL Financial LLC	President	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General	riggiogato roal to Date ▼	
Other (specify) ▼	666.64	
	>	434.98

	FOR L	INE NU	MBER:	PAGE	2	28 OF	:	34
Use separate schedule(s)	(check	only on	ıe)					
for each category of the Detailed Summary Page	X 1	1a	11b	11c		12		
	1	3	14	15		16		17

or	for commercial purposes, other than using the	name and address of any political committee to	solicit contributions from such committee.
\rangle	NAME OF COMMITTEE (In Full) LPL Financial LLC Political Actio	on Committee (LPL PAC)	
Δ.	Full Name (Last, First, Middle Initial) WARREN DAVID WISE Mailing Address 437 WEDGEWOOD CT City Eden FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify) ▼	State Zip Code NC 27288-2841 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 1874.88	Date of Receipt 99 28 2012 Transaction ID: AE4876912A56A40F29D9 Amount of Each Receipt this Period 208.32 Payroll Deduction: \$104.16/Bi-Monthly
	Full Name (Last, First, Middle Initial) Gregory Woods Mailing Address 116 Pembroke Street City Boston FEC ID number of contributing federal political committee. Name of Employer LPL Financial LLC Receipt For: Primary General Other (specify)	State Zip Code MA 02118-1208 C Occupation Marketing Practice Manager Aggregate Year-to-Date ▼ 333.36	Date of Receipt 99 28 2012 Transaction ID : AB4D04B78187F45EDA0B Amount of Each Receipt this Period 83.34 Payroll Deduction: \$41.67/Bi-Monthly
Э.	Full Name (Last, First, Middle Initial) ROBERT ALAN YOUNG Mailing Address 14504 COUNTY ROAD 96B City Woodland FEC ID number of contributing federal political committee. Name of Employer Lpl Financial Llc Receipt For: Primary General Other (specify)	State Zip Code CA 95695-9221 C Occupation Financial Advisor Aggregate Year-to-Date ▼ 354.11	Date of Receipt 09 28 2012 Transaction ID: A919F3E69D4EA4AE4A36 Amount of Each Receipt this Period 41.66 Payroll Deduction: \$20.83/Bi-Monthly
S	UBTOTAL of Receipts This Page (optional)		333.32
т	OTAL This Period (last page this line number of	only)	

	FOR LINE NUMBER:	PAGE	29 OF	34
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	ີ 16 Γ	717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

	the name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Ac	ction Committee (LPL PAC)	
Full Name (Last, First, Middle Initial) MARK G ZITZELSBERGER Mailing Address 1048 CLUBHOUSE DRIVE		Date of Receipt
City Weidman	State Zip Code MI 48893-9339	09 28 2012 Transaction ID : AA9CA200986BE4C5AAD
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period 83.32
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	- Payroll Deduction: \$41.66/Bi-Monthly
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 749.88	
Full Name (Last, First, Middle Initial) 3. Mailing Address		Date of Receipt
Mailing Address City	State Zip Code	M = M / D = D / Y = Y = Y = Y
FEC ID number of contributing federal political committee.	С	Amount of Each Receipt this Period
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional).		83.32
TOTAL This Period (last page this line numb	<u> </u>	12217.86

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S	CHEDULE B (FEC Form 3X)			NUMBER: PAGE 30 OF 34
	•	Use separate schedule(s	FOR LINE	NOMBELL.
Ш	EMIZED DISBURSEMENTS	for each category of the	(CITECK OTTY	
		Detailed Summary Page	210	22 23 24 25 26
_			27	28a 28b 28c 29 30b
IA	ny information copied from such Reports and Staten	nents may not be sold or a	used by any perso	on for the purpose of soliciting contributions
or	for commercial purposes, other than using the nam	ne and address of any poli	tical committee to	solicit contributions from such committee.
	NAME OF COMMITTEE (In Full)			
$ \rangle$	• • •	Committee /I DI D	۸ (۲)	
I/	LPL Financial LLC Political Action (Committee (LPL P	AC)	
<u></u>	Full Name (Last, First, Middle Initial)		i	
^	,			Data of Dishurasment
A.	Aristotle International	Date of Disbursement		
				M M / D D / Y Y Y Y
	Mailing Address 205 Pennsylvania Ave, SE			09 05 2012
	•	State Zip Code		Transaction ID : BB0D8887E25944F25BD1
	Washington	DC 20003		11a113aCtion 1D . DD0D0007 L233441 23DD1
	Purpose of Disbursement			
	Credit Card Processing Fee			Amount of Each Disbursement this Period
	Candidate Name		Category/	
			Type	129.20
	Office Sought: House Disbursen	ment For:	1,7,00	,
		Primary General		
	President			
		Other (specify) ▼		
_	State: District:			
	Full Name (Last, First, Middle Initial)			
В.	Aristotle International			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address 205 Pennsylvania Ave, SE			09 10 2012
	•			
	City	State Zip Code		T
	Washington	DC 20003		Transaction ID : B567ED56A681D4E359A2
	Purpose of Disbursement			
	Credit Card Processing Fee			Amount of Each Disbursement this Period
	Candidate Name		Catanani	
			Category/ Type	100.00
	Office Sought: House Disbursen	nent For:	Турс	
		Primary General		
		, L		
	President	Other (specify) ▼		
	State: District:			
	Full Name (Last, First, Middle Initial)			
C.	Aristotle International			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address 205 Pennsylvania Ave, SE			09 24 2012
	5			
	City	State Zip Code		
	•	DC 20003		Transaction ID : B1A9CC26488C14CA8B18
	Purpose of Disbursement		T	
	Credit Card Processing Fee			Assessment of Foods Dishamoran and Mile Deviced
	Candidate Name			Amount of Each Disbursement this Period
	Canadate Name		Category/	1.25
	000		Туре	
	Office Sought: House Disbursen			
	Senate	Primary General		
	President	Other (specify) ▼		
	State: District:			
	'			
,	SUBTOTAL of Disbursements This Page (optional)			230.45
Ľ				
١,	OTAL This Period (last page this line number only)			230.45

SCHEDULE B (FEC Form 3X)	Han annual calculate	, FOR LINE	PAGE 31 OF 34	
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only	(check only one) 21b 22 X 23 24	
	Detailed Summary Page	27	28a 28b	24 25 26 28c 29 30b
Any information copied from such Reports and State	ments may not be sold or ι	sed by any perso	on for the purpose of	soliciting contributions
or for commercial purposes, other than using the nai	me and address of any polit	ical committee to	solicit contributions fr	om such committee.
NAME OF COMMITTEE (In Full)	0 ''' (101.0	• • •		
LPL Financial LLC Political Action	Committee (LPL PA	4C)		
Full Name (Last, First, Middle Initial)				
A. CAPUANO FOR CONGRESS CO	MMITTEE		Date of Disburseme	ent
Mailing Address PO BOX 440305			09 11	2012
Mailing Address PO BOX 440303			09 11	2012
City	State Zip Code		Transaction ID · I	B6D029349D9924D8B98B
SOMERVILLE Purpose of Disbursement	MA 02144		Transaction ib . I	50502334353324505305
MA US House			Amount of Each Di	sbursement this Period
Candidate Name		Category/		
Rep. Michael E. Capuano		Type		2000.00
Office Sought: House Disburse Senate	ment For: 2012			
President	Primary			
State: MA District: 08	Carlot (opcomy)			
Full Name (Last, First, Middle Initial)				
B. HELP UNITE REPUBLICANS TODAY	POLITICAL ACTION (COMMITTEE	Date of Disburseme	ent
Mailing Address PO BOX 283			09 11	2012
Mailing Address PO BOX 263			09 11	2012
City	State Zip Code		Transaction ID :	BB4BACF5608834ECA95
CHATHAM Purpose of Disbursement	VA 24531			
Political Contribution			Amount of Each Di	sbursement this Period
Candidate Name		Category/		2000.00
		Type		2000.00
Office Sought: House Disburse Senate	ment For: 2012			
	Primary General Other (specify) ▼			
State: District:	Other2012	2		
Full Name (Last, First, Middle Initial)				
C. JEFF FLAKE FOR US SENATE IN	1C		Date of Disbursem	ent
Mailing Address PO BOX 12512			09 24	2012
City	State Zip Code		Transaction ID :	B1479C41460BB4A10870
TEMPE Purpose of Disbursement	AZ 85284			
AZ US Senate			Amount of Each Di	sbursement this Period
Candidate Name		Category/		2000.00
Jeff Flake		Type		2000.00
Office Sought: House Disburse ✓ Senate	ment For: 2012 Primary General			
President	Other (specify) ▼			
State: AZ District:	- (-r J) 			
· ·				
SUBTOTAL of Disbursements This Page (optional).			7	6000.00
TOTAL This Period (last page this line number only				
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE		
ITEMIZED DISBURSEMENTS	Use separate schedule(for each category of the	the Concort only one)		
	Detailed Summary Page		22 X 23 28b	24 25 26 28c 29 30b
Any information copied from such Reports and Stater or for commercial purposes, other than using the nar				
NAME OF COMMITTEE (In Full)				
LPL Financial LLC Political Action	Committee (LPLP	AC)		
Li E i manoiai EEO i omioai Aotioni	Oommittee (Er E r	7(0)		
Full Name (Last, First, Middle Initial)				
A. JOHN CAMPBELL FOR CONGRE	SS		Date of Disburseme	ent
Mailing Address 4590 Macarthur Boulevard			09 11	2012
Suite 500			09 11	2012
	State Zip Code			
Newport Beach	CA 92660		Transaction ID : E	BE8932A14AC47496388B
Purpose of Disbursement CA US House				
Candidate Name			Amount of Each Dis	sbursement this Period
Rep. John Campbell		Category/ Type	1	5000.00
•	ment For: 2012	Туре	7	7
Senate	Primary Seneral			
President	Other (specify) ▼			
State: CA District: 48				
Full Name (Last, First, Middle Initial)				
B. MIKE CRAPO FOR US SENATE			Date of Disburseme	ent
Matter Address Bon Branch			M M / D D	/
Mailing Address P.O. BOX 1948			09 11	2012
City	State Zip Code			
BOISE	ID 83701		Transaction ID : E	3314E69CF34C04074A97
Purpose of Disbursement ID US Senate				
			Amount of Each Dis	sbursement this Period
Candidate Name Sen. Mike Crapo		Category/		1000.00
	ment For: 2016	Туре	7	
	Primary General			
President	Other (specify) ▼			
State: ID District:				
Full Name (Last, First, Middle Initial)				
C. RUSH HOLT FOR CONGRESS			Date of Disburseme	ent
Mallian Address BOD Too		M M / D D	/	
Mailing Address PO Box 782			09 11	2012
City	State Zip Code			
Pennington	NJ 08534		Transaction ID:	33CDDDB0567A54F708A8
Purpose of Disbursement NJ US House				
Candidate Name			Amount of Each Dis	sbursement this Period
Rep. Rush D. Holt		Category/		1000.00
•	ment For: 2012	Type	7	
Senate	Primary General			
President	Other (specify) ▼			
State: NJ District: 12				
SUBTOTAL of Disbursements This Page (optional)		·····		7000.00
TOTAL This Desired West states #1. F				
TOTAL This Period (last page this line number only))		1 1 1 1 1 1	

SCHEDULE B (FEC Form 3X)			
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(oricon oriny	
	Detailed Summary Page	21b	22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and Stater	nente may not be cold or :		
or for commercial purposes, other than using the nan			
NAME OF COMMITTEE (In Full)			
LPL Financial LLC Political Action	Committee (LPL PA	AC)	
	,	,	
Full Name (Last, First, Middle Initial)		_	Date of Disbursement
A. STEPHEN F. LYNCH FOR CONG	RESS COMMITTE	_	M M / D D / Y Y Y Y
Mailing Address 105 FARRAGUT ROAD			09 04 2012
,	State Zip Code MA 02127		Transaction ID : B79CE0B86802246DEB69
SOUTH BOSTON Purpose of Disbursement	MA 02127		
MA US House			Amount of Each Disbursement this Period
Candidate Name		Category/	
Rep. Stephen F. Lynch		Type	5000.00
	ment For: 2012		
	Primary General		
State: MA District: 09	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
B. STIVERS FOR CONGRESS			Date of Disbursement
OTIVEROTOR CONCRECO			M M / D D / Y Y Y Y
Mailing Address 4679 WINTERSET DRIVE			09 21 2012
			
City :	State Zip Code OH 43220		Transaction ID : BF6249D1146864D89982
Purpose of Disbursement	43220		
OH US House			Amount of Each Disbursement this Period
Candidate Name		Category/	4000.00
Rep. Steve Stivers		Type	1000.00
	ment For: 2012		
Senate President	Primary		
State: OH District: 15	Other (specify)		
Full Name (Last, First, Middle Initial)			
C.			Date of Disbursement
			M M / D D / Y M Y M Y
Mailing Address			
City	State Zip Code		
Sity	21p 0000		
Purpose of Disbursement			
			Amount of Each Disbursement this Period
Candidate Name		Category/	
Office Sought: House Disburser	ment For:	Туре	
Senate Disburser	Primary General		
President	Other (specify) ▼		
State: District:	• • • •		
'		'	
SUBTOTAL of Disbursements This Page (optional)		·····	6000.00
			19000.00
TOTAL This Period (last page this line number only)			19000.00

SCHEDULE B (FEC Form 3X)	Has consistent to the Con-	FOR LINE NUMBER:			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	/ one)		
	Detailed Summary Page	27	X 28a 28b 28c 29 30b		
Any information copied from such Reports and S or for commercial purposes, other than using the					
NAME OF COMMITTEE (In Full) LPL Financial LLC Political Acti					
Full Name (Last, First, Middle Initial)					
A. Todd Tharp	Todd Tharp				
Mailing Address 210 N. Church St. #2804	Mailing Address 210 N. Church St. #2804				
City	State Zip Code		Transaction ID : B583157CDECB84F86B2D		
Charlotte Purpose of Disbursement	NC 28202-2387				
Refund of Contribution Made			Amount of Each Disbursement this Period		
Candidate Name		Category/ Type	83.36		
Office Sought: House Disb Senate President	ursement For: Primary General Other (specify) ▼	Турс			
State: District:	(4)				
Full Name (Last, First, Middle Initial) B.	Full Name (Last, First, Middle Initial)				
Mailing Address			M = M / D = D / Y = Y = Y		
City	State Zip Code				
Purpose of Disbursement			Amount of Each Disbursement this Period		
Candidate Name		Category/ Type			
Senate President	ursement For: Primary General Other (specify) ▼				
State: District: Full Name (Last, First, Middle Initial)					
C.			Date of Disbursement		
Mailing Address	Mailing Address				
City	City State Zip Code				
Purpose of Disbursement	Purpose of Disbursement				
Candidate Name		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disb	ursement For: Primary General Other (specify) ▼				
5.5.10.10.1					
SUBTOTAL of Disbursements This Page (option TOTAL This Period (last page this line number			83.36 83.36		