

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

ADDRESS (number and street)
 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day PRE-Election Report for the:
 Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on in the State of
(d) 30-Day POST-Election Report for the:
 General (30G) Runoff (30R) Special (30S)
Election on in the State of

5. Covering Period 07 / 01 / 2015 through 12 / 31 / 2015

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Neil A. Simon

Signature of Treasurer Mr. Neil A. Simon [Electronically Filed] Date 01 / 30 / 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2015"/>	<input type="text" value="45116.29"/>	<input type="text" value="45116.29"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="46527.14"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="20961.74"/>	<input type="text" value="28372.59"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="67488.88"/>	<input type="text" value="73488.88"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="12000.00"/>	<input type="text" value="18000.00"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="55488.88"/>	<input type="text" value="55488.88"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	20600.00	27600.00
(ii) Unitemized	350.00	750.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	20950.00	28350.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	20950.00	28350.00
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	11.74	22.59
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	20961.74	28372.59
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	20961.74	28372.59

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	12000.00	18000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	12000.00	18000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	12000.00	18000.00

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	20950.00	28350.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	20950.00	28350.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 15
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

A. Joseph Bucci
 Full Name (Last, First, Middle Initial)
 Mailing Address 384 N. Grand Street
 PO Box 310
 City Cobleskill State NY Zip Code 12043-4165
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Fenimore Asset Management, Inc Occupation CFO
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **12 / 28 / 2015**
Transaction ID : SA11AI.4929
 Amount of Each Receipt this Period **250.00**
 Contribution

B. Christine Carsman
 Full Name (Last, First, Middle Initial)
 Mailing Address 600 Hale Street
 PO Box 1000
 City Prides Crossing State MA Zip Code 01965-1000
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Affiliated Managers Group, Inc Occupation Senior VP & Deputy General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **5000.00**

Date of Receipt **12 / 17 / 2015**
Transaction ID : SA11AI.4926
 Amount of Each Receipt this Period **5000.00**
 Contribution

C. Michael Cornfeld
 Full Name (Last, First, Middle Initial)
 Mailing Address 7101 Wisconsin Avenue
 Suite 1112
 City Bethesda State MD Zip Code 20814-4878
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Heritage Investors Management Occupation President
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt **07 / 29 / 2015**
Transaction ID : SA11AI.4913
 Amount of Each Receipt this Period **250.00**
 Contribution

SUBTOTAL of Receipts This Page (optional)..... **5500.00**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 15
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
---	------------------------------	------------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

A. Michael Cornfeld
Full Name (Last, First, Middle Initial)

Mailing Address 7101 Wisconsin Avenue
Suite 1112

City Bethesda State MD Zip Code 20814-4878

FEC ID number of contributing federal political committee. **C**

Name of Employer Heritage Investors Management Occupation President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 11 / 30 / 2015
Transaction ID : SA11AI.4919

Amount of Each Receipt this Period 250.00

Contribution

B. Mark E. Eveans
Full Name (Last, First, Middle Initial)

Mailing Address 7500 College Boulevard
Suite 1212

City Overland Park State KS Zip Code 66210-4035

FEC ID number of contributing federal political committee. **C**

Name of Employer Meritage Portfolio Management Occupation President and CIO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt 12 / 07 / 2015
Transaction ID : SA11AI.4921

Amount of Each Receipt this Period 2500.00

Contribution

C. KENNETH FIELDS
Full Name (Last, First, Middle Initial)

Mailing Address 125 PARK AVENUE
SUITE 1700

City NEW YORK State NY Zip Code 10017-5529

FEC ID number of contributing federal political committee. **C**

Name of Employer KLINGENSTEIN FIELDS & CO., LLC Occupation PRESIDENT AND CIO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt 12 / 28 / 2015
Transaction ID : SA11AI.4930

Amount of Each Receipt this Period 350.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 3100.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 15
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

A. Mark Henneman
Full Name (Last, First, Middle Initial)

Mailing Address W. 1520 First National Bank Bldg
332 Minnesota Avenue

City State Zip Code
St. Paul MN 55101-1363

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Mairs & Power, Inc. Vice President & Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
M M / D D / Y Y Y Y Y Y
11 / 30 / 2015
Transaction ID : SA11AI.4918

Amount of Each Receipt this Period
1000.00

Contribution

B. Gordon Marchand
Full Name (Last, First, Middle Initial)

Mailing Address 3 Stamford Plaza
301 Tresser Boulevard, suite 1310

City State Zip Code
Stamford CT 06901

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Sustainable Growth Advisers LP Principal

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
M M / D D / Y Y Y Y Y Y
07 / 21 / 2015
Transaction ID : SA11AI.4909

Amount of Each Receipt this Period
500.00

Contribution

C. Terry McDaniel
Full Name (Last, First, Middle Initial)

Mailing Address 2630 Exposition Boulevard
Suite 300

City State Zip Code
Austin TX 78703

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation
Terry McDaniel & Company President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

Date of Receipt
M M / D D / Y Y Y Y Y Y
11 / 30 / 2015
Transaction ID : SA11AI.4917

Amount of Each Receipt this Period
500.00

Contribution

SUBTOTAL of Receipts This Page (optional)..... ▶ 2000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 15
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

A. Jennifer O'Connell
 Full Name (Last, First, Middle Initial)
 Mailing Address 4365 Executive Drive
 Suite 720
 City San Diego State CA Zip Code 92121
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: GlobeFlex Capital, LP Occupation: Partner & CFO
 Receipt For: Primary General Other (specify)

Date of Receipt: 12 / 07 / 2015
Transaction ID : SA11AI.4924
 Amount of Each Receipt this Period: 500.00
 Contribution: 500.00

B. David Pollitzer
 Full Name (Last, First, Middle Initial)
 Mailing Address 384 N. Grand Street
 PO Box 310
 City Cobleskill State NY Zip Code 12043-4165
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: Fenimore Asset Management, Inc Occupation: President & COO
 Receipt For: Primary General Other (specify)

Date of Receipt: 12 / 07 / 2015
Transaction ID : SA11AI.4923
 Amount of Each Receipt this Period: 500.00
 Contribution: 500.00

C. Thomas Putnam
 Full Name (Last, First, Middle Initial)
 Mailing Address 384 N. Grand Street
 PO Box 310
 City Cobleskill State NY Zip Code 12043-4165
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: Fenimore Asset Management, Inc Occupation: Chairman
 Receipt For: Primary General Other (specify)

Date of Receipt: 12 / 18 / 2015
Transaction ID : SA11AI.4928
 Amount of Each Receipt this Period: 1000.00
 Contribution: 1000.00

SUBTOTAL of Receipts This Page (optional)..... ▶ 2000.00
TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 15
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial) A. Jonathan Roberts			Date of Receipt
Mailing Address 787 Seventh Avenue			M M M / D D D / Y Y Y Y Y Y 10 / 02 / 2015
City	State	Zip Code	Transaction ID : SA11AI.4916
New York	NY	10019	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		1000.00
Name of Employer	Occupation	Contribution	
Klingenstein Fields & Co LLC	Sr. Vice President & CCO		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	1000.00		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Timothy Schlindwein			Date of Receipt
Mailing Address 20 N Wacker Drive Suite 1820			M M M / D D D / Y Y Y Y Y Y 07 / 24 / 2015
City	State	Zip Code	Transaction ID : SA11AI.4910
Chicago	IL	60606-2905	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		5000.00
Name of Employer	Occupation	Contribution	
Schlindwein Associates, LLC	Managing Principal		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	5000.00		
<input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Robert Templeton			Date of Receipt
Mailing Address 3233 Federal Avenue			M M M / D D D / Y Y Y Y Y Y 07 / 28 / 2015
City	State	Zip Code	Transaction ID : SA11AI.4912
Los Angeles	CA	90066	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer	Occupation	Contribution	
Wimmer Associates LLC	Senior Adviser		
Receipt For:	Aggregate Year-to-Date ▼		
<input type="checkbox"/> Primary <input type="checkbox"/> General	250.00		
<input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....▶	6250.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 15
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial) A. Jane Williams		Date of Receipt MM / DD / YYYY 12 / 07 / 2015 Transaction ID : SA11AI.4922
Mailing Address 245 Lytton Avenue Suite 300		Amount of Each Receipt this Period 1000.00
City Palo Alto	State CA	Zip Code 94301-1466
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Sand Hill Global Advisors LLC	Occupation President and CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	

Full Name (Last, First, Middle Initial) B. Katherine Wimmer		Date of Receipt MM / DD / YYYY 08 / 25 / 2015 Transaction ID : SA11AI.4914
Mailing Address 155 N. Lake Avenue Suite 440		Amount of Each Receipt this Period 250.00
City Pasadena,	State CA	Zip Code 91101-1848
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer Wimmer Associates, LLC	Occupation President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) C. George Young		Date of Receipt MM / DD / YYYY 11 / 30 / 2015 Transaction ID : SA11AI.4920
Mailing Address 601 Poydras Street Suite 1808		Amount of Each Receipt this Period 500.00
City New Orleans	State LA	Zip Code 70130
FEC ID number of contributing federal political committee. C		Contribution
Name of Employer St. Denis J. Villere & Co LLC	Occupation CCO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	

SUBTOTAL of Receipts This Page (optional).....▶	1750.00
TOTAL This Period (last page this line number only).....▶	20600.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. CITIZENS FOR WATERS

Mailing Address 3700 WILSHIRE BLVD., STE. 1050-B

City LOS ANGELES State CA Zip Code 90010

Purpose of Disbursement
Contribution

011

Candidate Name

CITIZENS FOR WATERS

Category/
Type

Office Sought: House
 Senate
 President
State: CA District: 43

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
07 / 13 / 2015

Transaction ID : **SB23.4949**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. MICHAEL D CRAPO

Mailing Address 2005 CHARLOTTE

City IDAHO FALLS State ID Zip Code 83402

Purpose of Disbursement
Contribution

011

Candidate Name

MICHAEL D CRAPO

Category/
Type

Office Sought: House
 Senate
 President
State: ID District: 02

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 18 / 2015

Transaction ID : **SB23.4953**

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF SCHUMER

Mailing Address 509 MADISON AVE SUITE 1902

City NEW YORK State NY Zip Code 10022

Purpose of Disbursement
Contribution

011

Candidate Name

FRIENDS OF SCHUMER

Category/
Type

Office Sought: House
 Senate
 President
State: NY District: 00

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
07 / 09 / 2015

Transaction ID : **SB23.4946**

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

4000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. HIMES FOR CONGRESS

Mailing Address 857 POST ROAD, #312

City State Zip Code
FAIRFIELD CT 06824

Purpose of Disbursement
Contribution

011

Candidate Name

HIMES FOR CONGRESS

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: CT District: 04

Date of Disbursement

MM / DD / YYYY
07 / 13 / 2015

Transaction ID : SB23.4948

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. RANDY HULTGREN

Mailing Address 104 HUME AVENUE

City State Zip Code
ALEXANDRIA VA 22301

Purpose of Disbursement
Contribution

011

Candidate Name

RANDY HULTGREN

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

MM / DD / YYYY
12 / 07 / 2015

Transaction ID : SB23.4954

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. ROBERT HURT

Mailing Address PO BOX 8

City State Zip Code
CHATHAM VA 24531

Purpose of Disbursement
Contribution

011

Candidate Name

ROBERT HURT FOR CONGRESS

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: VA District: 05

Date of Disbursement

MM / DD / YYYY
07 / 09 / 2015

Transaction ID : SB23.4943

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. JEB HENSARLING VICTORY FUND

Mailing Address PO BOX 30844

City BETHESDA State MD Zip Code 20824

Purpose of Disbursement
Contribution

011

Candidate Name

JEB HENSARLING VICTORY FUND

Category/
Type

Office Sought: House
 Senate
 President
State: TX District: 05

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
07 / 09 / 2015

Transaction ID : SB23.4940

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. CAROLYN B MALONEY

Mailing Address 49 EAST 92ND ST

City NEW YORK State NY Zip Code 10128

Purpose of Disbursement
Contribution

011

Candidate Name

CAROLYN B MALONEY

Category/
Type

Office Sought: House
 Senate
 President
State: NY District: 14

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
07 / 09 / 2015

Transaction ID : SB23.4945

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. MCHENRY FOR CONGRESS

Mailing Address PO BOX 1406

City HICKORY State NC Zip Code 28603

Purpose of Disbursement
Contribution

011

Candidate Name

MCHENRY FOR CONGRESS

Category/
Type

Office Sought: House
 Senate
 President
State: NC District: 10

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
11 / 16 / 2015

Transaction ID : SB23.4952

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

3000.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. NEUGEBAUER CONGRESSIONAL COMMITTEE

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07	/	15	/	2015

Mailing Address PO BOX 54175

Transaction ID : SB23.4950

City LUBBOCK State TX Zip Code 79453

Amount of Each Disbursement this Period

1000.00

Purpose of Disbursement
Contribution

011
Category/ Type

Candidate Name

NEUGEBAUER CONGRESSIONAL COMMITTEE

Office Sought: House
 Senate
 President
State: TX District: 19

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Full Name (Last, First, Middle Initial)

B. BRAD SHERMAN

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07	/	22	/	2015

Mailing Address 4570 VAN NUYS BLVD., #270

Transaction ID : SB23.4951

City SHERMAN OAKS State CA Zip Code 91403

Amount of Each Disbursement this Period

1000.00

Purpose of Disbursement
Contribution

011
Category/ Type

Candidate Name

SHERMAN FOR CONGRESS

Office Sought: House
 Senate
 President
State: CA District: 27

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Mailing Address

Amount of Each Disbursement this Period

--

City State Zip Code

Purpose of Disbursement

Category/ Type

Candidate Name

Office Sought: House
 Senate
 President
State: District:

Disbursement For:
 Primary General
 Other (specify) ▼

SUBTOTAL of Disbursements This Page (optional)..... ▶

2000.00

TOTAL This Period (last page this line number only)..... ▶

12000.00
