FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) ▼ 100 N.E. Adams Street

☐ Check if different than previously reported. (ACC)

Peoria | IL | 61629-1430 –

CITY ▲ STATE ▲ ZIP CODE ▲

2. **FEC IDENTIFICATION NUMBER ▼** C C00148031

3. IS THIS REPORT ☒ NEW (N) **OR** ☐ AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☐ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)	☐ May 20 (M5)	☐ Aug 20 (M8)	☐ Nov 20 (M11) (Non-Election Year Only)
☐ Mar 20 (M3)	☐ Jun 20 (M6)	☐ Sep 20 (M9)	☐ Dec 20 (M12) (Non-Election Year Only)
☐ Apr 20 (M4)	☐ Jul 20 (M7)	☒ Oct 20 (M10)	☐ Jan 31 (YE)

(c) 12-Day **PRE**-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on MM / DD / YYYY in the State of

(d) 30-Day **POST**-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on MM / DD / YYYY in the State of

5. Covering Period 09 / 01 / 2016 through 09 / 30 / 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cordani, Benjamin, , ,

Signature of Treasurer *Cordani, Benjamin, , ,* ***[Electronically Filed]*** Date 10 / 17 / 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office Use Only							

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 09 / 01 / 2016 To: 09 / 30 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2016		651672.90
(b) Cash on Hand at Beginning of Reporting Period	524562.50	
(c) Total Receipts (from Line 19)	73144.08	672446.67
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	597706.58	1324119.57
7. Total Disbursements (from Line 31)	287377.30	1013790.29
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	310329.28	310329.28
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 09 / 01 / 2016 To: 09 / 30 / 2016

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	65478.71	482780.63
(ii) Unitemized	7660.50	189616.12
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	73139.21	672396.75
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ▶	73139.21	672396.75
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	4.87	49.92
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	73144.08	672446.67
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ▶	73144.08	672446.67

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Rev. 05/2016)

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	27.30	165.29
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶	27.30	165.29
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	145000.00	533500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	6225.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	6225.00
29. Other Disbursements (Including Non-Federal Donations)	142350.00	473900.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	287377.30	1013790.29
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶	287377.30	1013790.29

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Rev. 05/2016)

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	73139.21	672396.75
34. Total Contribution Refunds (from Line 28(d))	0.00	6225.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	73139.21	666171.75
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	27.30	165.29
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ▶	27.30	165.29

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Abbott, Brian, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 977.13

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883207

Amount of Each Receipt this Period: 108.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Abston, Trey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 503.39

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882930

Amount of Each Receipt this Period: 72.43

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Adams, Kent, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882349

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 597.67

TOTAL This Period (last page this line number only) ▶

FEC MISCELLANEOUS TEXT RELATED TO A REPORT, SCHEDULE OR ITEMIZATION

Form/Schedule: **SA11AI**
Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:
Transaction ID:

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Agnew, William, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882004

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Aguirre, Ian, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1059.48

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882098

Amount of Each Receipt this Period: 117.72

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alexander, Jay, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882324

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 242.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alford, Jesse, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Employee Communications Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882249

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alger, Matthew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882094

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Allen, Alison, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883166

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Allen, Michael, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Logistics Manager 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 667.06

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882263

Amount of Each Receipt this Period: 74.60

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Mark, K, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882174

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Mathew, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1636.72

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882988

Amount of Each Receipt this Period: 183.04

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 357.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 425.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830833

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830867

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 475.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881914

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Andris, Joseph, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Finance Mgr-Secretary/Treasur

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882662

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Armbrust, Richard, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882442

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Arvin, Chris, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global People & Org Dev Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882290

Amount of Each Receipt this Period: 35.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 90.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Aylwin, Carlos, A, ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Mgr International Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1881940

Amount of Each Receipt this Period: 40.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bailey, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 559.89

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882327

Amount of Each Receipt this Period: 62.21

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bainbridge, Mark, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882749

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 202.21

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Baldwin, Daniel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 290.34

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883112

Amount of Each Receipt this Period: 32.26

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkema, Christopher, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Category Purchasing Manager A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1418.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882138

Amount of Each Receipt this Period: 158.60

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 869.58

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830819

Amount of Each Receipt this Period: 48.31

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 239.17

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 917.89

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830853

Amount of Each Receipt this Period: 48.31

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 966.20

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881903

Amount of Each Receipt this Period: 48.31

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ball, William, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): E&C Program Strategy Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1583.58

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882561

Amount of Each Receipt this Period: 177.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 273.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balmer Millar, Mari Lou, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Research Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882318

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bankes, Chester, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Plant Engrg Superintendent

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 519.84

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882547

Amount of Each Receipt this Period: 59.33

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barber, Kathy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 717.25

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883185

Amount of Each Receipt this Period: 109.85

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 194.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barich, David, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882778

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barton, Keith, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882434

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bates, Gregory, , ,

Mailing Address 97 E. Congress St.

City: Tucson | State: AZ | Zip Code: 85701

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882896

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beals, Andrew, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 256.46

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882814

Amount of Each Receipt this Period: 44.51

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beasley, George, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882070

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beaupre, Kenneth, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882716

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 119.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Becker, Chaille, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Dir. Busn. Transformations

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881999

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Becker, James, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882011

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Belcher, Jeffrey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 275.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882348

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beldock, Teresa, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 750.60

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883006

Amount of Each Receipt this Period: 83.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bennes, Robert, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 732.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882562

Amount of Each Receipt this Period: 183.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Berkebile, Stephen, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 699.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882514

Amount of Each Receipt this Period: 174.79

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 441.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beveridge, Sandra, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Stf Anl-Inv Ctl

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883091

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Billimack, SueAnn, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883037

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Biltimier, Michael, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882306

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bishop, Damon, , ,

Mailing Address 4633 Perrin Creek

City: San Antonio | State: TX | Zip Code: 78217

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 314.18

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882831

Amount of Each Receipt this Period: 44.54

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bjerke, Ronald, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882649

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blandino, Joseph, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882118

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.54

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blank, James, R, ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 378.36

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882016

Amount of Each Receipt this Period: 42.04

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blichmann, Mary, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882393

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bloch, Stephen, E, ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Project Engr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 426.24

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882659

Amount of Each Receipt this Period: 47.36

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 139.40

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blum, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 814.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882964

Amount of Each Receipt this Period: 100.12

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blumenshine, J., , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2503.02

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882641

Amount of Each Receipt this Period: 1.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bluth, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882733

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 517.79

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bohl, Mark, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Logistics Analyst

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882942

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Boles, James, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881997

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bowman, Gregory, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 488.79

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882784

Amount of Each Receipt this Period: 54.31

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 104.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bowman, Jeffrey, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): eChannel Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883044

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bozeman, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Senior Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883080

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bradbury, Walter, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 670.68

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1882536

Amount of Each Receipt this Period: 74.52

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 541.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 559.08

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1832389

Amount of Each Receipt this Period: 31.06

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 590.14

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1831007

Amount of Each Receipt this Period: 31.06

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 621.20

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881889

Amount of Each Receipt this Period: 31.06

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 93.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Braun, Eric, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882868

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brazis, Nicholas, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 449.82

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882984

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Breitschwerdt, Otto, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Supply Chain Mgmt.

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1903.77

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882745

Amount of Each Receipt this Period: 211.53

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 271.53

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brennan, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 933.84

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882665

Amount of Each Receipt this Period: 103.76

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brewster, Anthony, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Labor Relations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882995

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bridenbaugh, Donald, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Transportation Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882232

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 153.76

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Briscoe, Kendall, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883196

Amount of Each Receipt this Period: 42.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brooks, Jennifer, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 271.55

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882020

Amount of Each Receipt this Period: 54.31

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bruch, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Pricing Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882559

Amount of Each Receipt this Period: 5.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bryant, Jenny, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1164.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882959

Amount of Each Receipt this Period: 131.56

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buda, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Exec. VP Law & Public Policy

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882539

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bullard, Steven, , ,

Mailing Address 2000 Hoff Road

City: Dyersburg | State: TN | Zip Code: 38024

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 580.84

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882030

Amount of Each Receipt this Period: 64.96

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 613.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 735.42

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830834

Amount of Each Receipt this Period: 43.26

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 778.68

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830868

Amount of Each Receipt this Period: 43.26

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 821.94

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881915

Amount of Each Receipt this Period: 43.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 129.78

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buob, Paul, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Procurement Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1512.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882617

Amount of Each Receipt this Period: 168.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Butikofer, Mark, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IS Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 560.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882117

Amount of Each Receipt this Period: 62.24

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 425.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830836

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 255.24

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830870

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 475.00

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881922

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Calamari, Michael, A, ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 808.56

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882535

Amount of Each Receipt this Period: 89.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 139.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Callear, Brian, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882217

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Campbell, Amy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Auditing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882708

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Campion, Robert, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882602

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cansler, Lori, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 352.62

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882910

Amount of Each Receipt this Period: 39.18

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carballido, James, A, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Information & Analysis Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 558.81

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882265

Amount of Each Receipt this Period: 62.09

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carballido, Marci, , ,

Mailing Address 330 Sw Adams

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882396

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 151.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carlberg, James, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 1695.69

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882553

Amount of Each Receipt this Period: 188.41

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carlson, Stacy, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 1448.38

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883184

Amount of Each Receipt this Period: 161.98

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carney, Robert, , , III

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883015

Amount of Each Receipt this Period: 100.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 450.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Caron, Timothy, J, ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Consulting Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881932

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carpenter, John, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solutions & Technology Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882534

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carr, Michael, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3749.94

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882655

Amount of Each Receipt this Period: 416.66

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 481.66

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carr, Ronald, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 790.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882464

Amount of Each Receipt this Period: 113.63

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carter, Janda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1555.41

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882996

Amount of Each Receipt this Period: 173.95

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Casey, Michael, , ,

Mailing Address 9301 West 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882974

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 312.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cassidy, Dena, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 299.79

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882920

Amount of Each Receipt this Period: 33.31

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Castle, Robert, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Tech Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 635.17

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882601

Amount of Each Receipt this Period: 71.33

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chadwick, Christopher, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): OEM Business Unit Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881981

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 154.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cheek, John, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1513.24

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881985

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chen, Xiao, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1446.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882243

Amount of Each Receipt this Period: 160.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chism, Theodore, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.23

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882928

Amount of Each Receipt this Period: 44.47

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 215.14

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chouinard, Shelley, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882379

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Christiansen, Keith, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883134

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Christophe, Andre, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882718

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 130.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ciko, John, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 361.80

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882101

Amount of Each Receipt this Period: 40.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Clark, Aaron, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1607.09

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883049

Amount of Each Receipt this Period: 179.73

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Clements, Mary, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882457

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 319.93

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cobo, Michael, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882405

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cochran, Wayne, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 359.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883001

Amount of Each Receipt this Period: 40.77

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cole, Keri, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1615.32

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882967

Amount of Each Receipt this Period: 179.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 245.25

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cone, Beth, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1049.76

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882293

Amount of Each Receipt this Period: 116.64

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Conley, Patrick, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882679

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Coon, Korey, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882792

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 216.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Coon, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPF Managing Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 827.73

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882757

Amount of Each Receipt this Period: 91.97

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Copeland, Jananne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Accounting Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882596

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cordani, Benjamin, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 480.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882102

Amount of Each Receipt this Period: 55.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 196.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Covey, Kristen, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Regional Treasurer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882151

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Craig, John, , ,

Mailing Address Olympian Generators Sets
284 Mawsons Way

City: Newberry | State: SC | Zip Code: 29108

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 391.05

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882657

Amount of Each Receipt this Period: 43.45

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1830821

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 113.45

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830855

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881905

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creed, Joseph, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882481

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 65.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Crespo, Frank, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883095

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creviston, Robert, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Service Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882875

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 608.27

Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1832380

Amount of Each Receipt this Period: 33.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 475.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 642.11

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830998

Amount of Each Receipt this Period: 33.84

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.95

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881892

Amount of Each Receipt this Period: 33.84

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Crowell, Thomas, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 748.08

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882646

Amount of Each Receipt this Period: 83.12

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cunningham, Steven, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 437.10

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882795

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Curtis, Teresa, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 508.50

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882651

Amount of Each Receipt this Period: 56.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cushing, Teresa, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1458.90

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882456

Amount of Each Receipt this Period: 162.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 238.60

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Damerell, David, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Service Suppt Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882574

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daniell, Bruce, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 470.60

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882885

Amount of Each Receipt this Period: 53.71

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daugherty, Jill, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882305

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 128.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daugherty, Tracy, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882427

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davidson, Janet, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Import/Export Services Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 386.01

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883039

Amount of Each Receipt this Period: 42.89

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830814

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 112.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830848

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881900

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
De Camp, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Risk Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882748

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 65.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dean, Courtney, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883115

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DeFreitas, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881993

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Deluca, Anthony, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882473

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Denis, Andrew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 329.67

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883056

Amount of Each Receipt this Period: 36.63

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Derk, Joseph, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882793

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Deselem, John, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882273

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 86.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DeWalt, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881991

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dhaemers-Needham, Michelle, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882068

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Diccion, Amory, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Engineer-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882838

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 466.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dickerson, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 388.14

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882820

Amount of Each Receipt this Period: 44.10

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Diekhoff, Kyle, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882837

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Disharoon, John, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1800.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883208

Amount of Each Receipt this Period: 200.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 269.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ditman, Dana, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882475

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doherty, Christopher, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 247.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882947

Amount of Each Receipt this Period: 41.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1832386

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 86.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1831004

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881886

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Douglas, Nola, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): N. A. Financial Accounting Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 706.90

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882307

Amount of Each Receipt this Period: 62.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 102.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dudek, Nicholas, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 229.08

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883055

Amount of Each Receipt this Period: 38.18

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duensing, James, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Executive VP / CFO

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882552

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duffy, Joseph, F, ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 540.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882531

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 554.85

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dugan, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Executive Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1476.27

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882876

Amount of Each Receipt this Period: 164.03

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duhon, Jason, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 482.85

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883102

Amount of Each Receipt this Period: 53.65

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dulaney, Harold, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882695

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 317.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duncan, Erick, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 608.73

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883124

Amount of Each Receipt this Period: 62.17

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duncan, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882616

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dunlevy, David, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882782

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 162.17

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duzinskas, Debra, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Mining

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 405.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882713

Amount of Each Receipt this Period: 45.00

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Earle, Kevin, C, ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882729

Amount of Each Receipt this Period: 50.00

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Easley, William, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882823

Amount of Each Receipt this Period: 50.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 145.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Eastwood, Patricia, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1963.89

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882085

Amount of Each Receipt this Period: 218.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1074.42

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830835

Amount of Each Receipt this Period: 59.69

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1134.11

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830869

Amount of Each Receipt this Period: 59.69

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 337.59

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address 3575 Lone Star Circle Suite 308

City: Ft. Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1193.80

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881924

Amount of Each Receipt this Period: 59.69

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Eggemeyer, Jonathan, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 533.34

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882715

Amount of Each Receipt this Period: 59.26

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Embrey, Justin, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 276.53

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883013

Amount of Each Receipt this Period: 1.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 119.95

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
English, Paul, , ,

Mailing Address State Rt. 116 E.
Spring Creek Rd.

City: East Peoria | State: IL | Zip Code: 61611

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Specialist-Dev/Res

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 310.80

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882253

Amount of Each Receipt this Period: 51.80

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Engstrom, Jamie, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Dir Global Technology Svcs

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882077

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Erpenbeck, Stephen, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882354

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Evans, Michael, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 950.46

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882361

Amount of Each Receipt this Period: 152.30

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Everett, Jeffry, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883180

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Faber, David, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882606

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 302.30

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ferguson, Steven, M, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1691.68

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882372

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fetch, Bonnie, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Unit Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2098.88

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882681

Amount of Each Receipt this Period: 243.52

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Feucht, Joel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 802.89

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882623

Amount of Each Receipt this Period: 89.21

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 749.40

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fields, John, , ,

Mailing Address 2600 Camino Entrada

City: Santa Fe | State: NM | Zip Code: 87507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882258

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Finn, Bart, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 220.32

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882370

Amount of Each Receipt this Period: 24.48

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fischer, Jeffery, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882291

Amount of Each Receipt this Period: 50.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.48

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fishbeck, Jeri, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 286.56

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883106

Amount of Each Receipt this Period: 32.08

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Flannery, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 708.90

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882819

Amount of Each Receipt this Period: 79.28

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Folley, Gregory, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882507

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 528.03

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fosen, Brian, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882136

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Foster, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883004

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fox, Isaac, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 507.60

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882915

Amount of Each Receipt this Period: 56.40

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 131.40

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frake, Thomas, G, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882753

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frakes, Amy, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882092

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Franke, Michael, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883058

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 466.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frederick, David, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Strategist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882557

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frese, Alan, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solutions & Technology Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2140.56

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882538

Amount of Each Receipt this Period: 237.09

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Friend, Paul, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 455.58

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883093

Amount of Each Receipt this Period: 50.62

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 317.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frohberg, David, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882624

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frow, Richard, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Technical Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882524

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frye, John, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 490.32

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882163

Amount of Each Receipt this Period: 54.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 144.48

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fuelberth, David, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1054.71

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882521

Amount of Each Receipt this Period: 117.19

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fultz, Brad, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882046

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Furness, Deborah, S, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Melbourne PD&S Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1304.85

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882248

Amount of Each Receipt this Period: 100.80

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 242.99

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gaddis, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Risk Management Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 525.60

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882592

Amount of Each Receipt this Period: 58.40

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gao, Xiaojian, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 375.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883199

Amount of Each Receipt this Period: 41.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gardiner, Jonathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883182

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 425.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1832385

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1831003

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 475.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881885

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gatza, Donna, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-2

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 432.90

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882051

Amount of Each Receipt this Period: 48.10

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gaw, Matthew, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882724

Amount of Each Receipt this Period: 75.00

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Geick, Orville, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr4

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882591

Amount of Each Receipt this Period: 50.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 173.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Brian, W, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882043

Amount of Each Receipt this Period: 75.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Tisha, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 204.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881928

Amount of Each Receipt this Period: 10.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gibbons, Justin, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Washington Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 473.22

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882082

Amount of Each Receipt this Period: 52.58

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 137.78

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gibson, Kurt, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1308.68

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882205

Amount of Each Receipt this Period: 1.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gidez, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883195

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gilbert, Daniel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 709.74

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882127

Amount of Each Receipt this Period: 78.86

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 179.86

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ginzel, Jonathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Developmental Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 941.76

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882494

Amount of Each Receipt this Period: 104.64

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Girard, Kristin, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883213

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Glawe, Regg, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1554.33

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882661

Amount of Each Receipt this Period: 173.83

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 695.14

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Goddard, John, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882421

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Goode, Darin, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Category Purchasing Manager A

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882167

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gorman, Chris, , ,

Mailing Address Highway 42 East
P O Box 999

City: Clayton | State: NC | Zip Code: 27528

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 1256.95

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883085

Amount of Each Receipt this Period: 135.43

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 185.43

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gorman, Gerald, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Information Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882581

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Grech, Edward, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 479.61

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883052

Amount of Each Receipt this Period: 53.29

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Greuel, Matthew, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 510.12

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883050

Amount of Each Receipt this Period: 56.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 526.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Groff, William, T, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 293.30

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882551

Amount of Each Receipt this Period: 41.90

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gryl, Timothy, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Caterpillar Service Parts Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3588.77

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882409

Amount of Each Receipt this Period: 470.40

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gugan, Steven, , ,

Mailing Address 6800 Doerr Lane

City: Schertz | State: TX | Zip Code: 78154

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1183.62

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883175

Amount of Each Receipt this Period: 132.92

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 645.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Guilford, Jerome, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Genl Mgr Lifecycle Prods/Servs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1350.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882410

Amount of Each Receipt this Period: 150.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gusso, Rodrigo, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 650.38

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882917

Amount of Each Receipt this Period: 81.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gustafson, Ryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 991.17

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882274

Amount of Each Receipt this Period: 110.13

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 341.52

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gutgesell, Margaret, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Strategist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1467.23

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882584

Amount of Each Receipt this Period: 164.77

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haffner, Rhonda, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882632

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hall, Donald, R, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 405.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882066

Amount of Each Receipt this Period: 45.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 234.77

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hall, John, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 437.58

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882080

Amount of Each Receipt this Period: 48.62

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Halloran, James, , ,

Mailing Address 980 Ninth Street
Suite 2200

City: Sacramento | State: CA | Zip Code: 95814

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): California Reg Affairs Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1588.34

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882672

Amount of Each Receipt this Period: 177.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Halverson, Bradley, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group President & CFO

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882613

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 642.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hampton, Douglas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Developmental Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882223

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hanback, Corey, B, ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882073

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hanson, Richard, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 565.83

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882961

Amount of Each Receipt this Period: 62.87

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 187.87

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harayda, Jon, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Revenue Mgmt Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882565

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harding, Steven, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882467

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hargis, Keith, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Facilities Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883148

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harn, David, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882874

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harrell, Russell, D, ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 560.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882556

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harris, Lester, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 323.55

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882907

Amount of Each Receipt this Period: 35.95

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 185.95

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Andrea, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1247.04

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882162

Amount of Each Receipt this Period: 138.56

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 428.74

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830827

Amount of Each Receipt this Period: 25.22

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 453.96

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830861

Amount of Each Receipt this Period: 25.22

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 189.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 479.18

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881910

Amount of Each Receipt this Period: 25.22

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hartnett, Lawrence, , ,

Mailing Address 500 N. Morton Ave.

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882105

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hasinger, Sylvia, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group/Regional HR Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882104

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hassiepen, John, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2040.39

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882604

Amount of Each Receipt this Period: 226.71

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hauer, Kimberly, S, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882735

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hausman, Dennis, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882527

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 673.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hawkins, James, D, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mach Prod & Proc Design Cntr M

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883216

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016
Transaction ID : A2016-1830813

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016
Transaction ID : A2016-1830847

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 456.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881899

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hays, Katherine, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1462.77

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883118

Amount of Each Receipt this Period: 163.59

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heatwole, James, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1407.51

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882583

Amount of Each Receipt this Period: 157.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 341.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heiar, Keith, J, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1265.36

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882497

Amount of Each Receipt this Period: 140.90

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heilman, Jerimy, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.47

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883047

Amount of Each Receipt this Period: 33.51

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heitzman, Robert, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882578

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 224.41

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Helgren, Mark, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 651.15

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882981

Amount of Each Receipt this Period: 130.23

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hendrickson, Gary, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Logistics Center Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1246.66

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882116

Amount of Each Receipt this Period: 140.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henley, David, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882727

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 320.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henricks, Gwenne, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882572

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henry, Christopher, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 798.26

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883007

Amount of Each Receipt this Period: 58.82

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henry, Michael, , ,

Mailing Address 1901 S. First Street

City: Champaign | State: IL | Zip Code: 61820

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 796.32

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882844

Amount of Each Receipt this Period: 88.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 563.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Herbert, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881984

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Herman, Jason, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 451.71

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882938

Amount of Each Receipt this Period: 50.19

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hermann, Eric, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883210

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 200.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heussner, Roy, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 626.49

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882618

Amount of Each Receipt this Period: 69.61

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hexum, Mark, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Prod Application Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882194

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hill, Crystal, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 969.84

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882705

Amount of Each Receipt this Period: 107.76

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 202.37

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hill, Mark, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Section Mgr 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 341.53

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882156

Amount of Each Receipt this Period: 41.66

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hillman, Jonathan, , ,

Mailing Address 1200 W. Main Street

City: Peoria | State: IL | Zip Code: 61606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 890.35

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882133

Amount of Each Receipt this Period: 178.07

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hirabayashi, Kai, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883193

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 269.73

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hitchcox, Stephanie, , ,

Mailing Address Olympian Generators Sets
284 Mawsons Way

City: Newberry | State: SC | Zip Code: 29108

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Matls Requirements Plng Supv 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 412.38

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883003

Amount of Each Receipt this Period: 45.82

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hockaday, Rex, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882317

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hodges, Susan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882299

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 195.82

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoefft, Timothy, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882732

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoeft, David, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1442.92

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883024

Amount of Each Receipt this Period: 162.04

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoenes, Robert, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882286

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 237.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoerr, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882158

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Holland, Sally, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Portfolio Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 393.21

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882381

Amount of Each Receipt this Period: 43.69

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Holt, William, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 598.37

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882065

Amount of Each Receipt this Period: 67.19

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 160.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hordesky, Jeffrey, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881992

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Horton, Michael, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 550.32

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882966

Amount of Each Receipt this Period: 61.80

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Huang, Wayne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882869

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 186.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hubbard, Jerry, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Project Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882181

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Huber, Dennis, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882500

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hume, Delma, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1274.26

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882548

Amount of Each Receipt this Period: 143.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 268.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hungate, Beverly, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Info Technology Manager-III

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882522

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hunter, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882790

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hunter, Russell, , ,

Mailing Address 4633 Perrin Creek

City: San Antonio | State: TX | Zip Code: 78217

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883068

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hupe, Walter, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882543

Amount of Each Receipt this Period: 75.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Husar, Lucian, M, ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Engineer-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 240.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882806

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hutt, Joseph, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1333.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882489

Amount of Each Receipt this Period: 149.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 274.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ibrahim, Daniel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1386.64

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882710

Amount of Each Receipt this Period: 155.72

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ingram, Ronald, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Manager 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882126

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Iverson, Aimee, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883194

Amount of Each Receipt this Period: 125.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 330.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
James, Danielle, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 586.17

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883183

Amount of Each Receipt this Period: 65.13

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Janda, Steven, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882367

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Janes, John, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882986

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.13

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jasinski, John, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882363

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jeannette, Dawn, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 327.06

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883137

Amount of Each Receipt this Period: 36.34

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jennings, Thomas, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 540.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882450

Amount of Each Receipt this Period: 60.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 121.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jirik, Michael, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1634.67

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882432

Amount of Each Receipt this Period: 181.63

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Alicia, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1490.40

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882225

Amount of Each Receipt this Period: 165.60

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Bradley, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882022

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 397.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Brett, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 372.52

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882723

Amount of Each Receipt this Period: 1.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Craig, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882058

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Denise, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883113

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 834.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Nathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 387.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883141

Amount of Each Receipt this Period: 43.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jones, Don, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Global Parts Supply

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1630.26

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882625

Amount of Each Receipt this Period: 181.14

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jones, Reese, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 474.66

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882866

Amount of Each Receipt this Period: 52.74

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 276.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jordan, Eric, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1595.88

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881994

Amount of Each Receipt this Period: 176.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jorgenson, Sarah, , ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882666

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jung, Christopher, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Labor Relations Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 205.74

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883020

Amount of Each Receipt this Period: 23.82

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 225.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jungjohann, Tyrone, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 348.62

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882168

Amount of Each Receipt this Period: 52.82

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.75

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830815

Amount of Each Receipt this Period: 24.75

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 445.50

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830849

Amount of Each Receipt this Period: 24.75

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 102.32

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 470.25

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881901

Amount of Each Receipt this Period: 24.75

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kaiser, John, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Regional Site Purchasing Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882280

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Karol, Kathryn, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883165

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 541.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kauk, Zachary, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): WW Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1869.48

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882399

Amount of Each Receipt this Period: 207.72

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kearns, Patrick, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 477.44

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882449

Amount of Each Receipt this Period: 59.68

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kees, Matthew, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882673

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 292.40

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keith, Richard, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 540.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882645

Amount of Each Receipt this Period: 60.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keith, Terry, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Business Process Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882339

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kellen, Jason, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883033

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 110.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keltner, Jeremiah, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 284.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883163

Amount of Each Receipt this Period: 30.62

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kendrick, James, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1458.90

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882600

Amount of Each Receipt this Period: 162.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keough, Colleen, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882425

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 292.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kerelchuk, Colin, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882044

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Khan, Mohammed, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882699

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kibby, Steven, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1922.22

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882518

Amount of Each Receipt this Period: 213.58

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 268.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kim, Charlie, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Project Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882936

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kirkpatrick, Russell, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Service Analyst

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 282.45

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883026

Amount of Each Receipt this Period: 33.51

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kitchel, Jason, , ,

Mailing Address 3450 Executive Way

City: Miramar | State: FL | Zip Code: 33025

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1862.73

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882810

Amount of Each Receipt this Period: 206.97

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 265.48

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 212.50

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1832381

Amount of Each Receipt this Period: 12.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830999

Amount of Each Receipt this Period: 12.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 237.50

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881884

Amount of Each Receipt this Period: 12.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 37.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kline, Steven, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 393.39

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882110

Amount of Each Receipt this Period: 43.71

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klister, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882577

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knecht, Steven, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882611

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 143.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knepp, Beth, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Talent Development Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882334

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knepp, Wesley, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Labor Relations Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1416.81

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882099

Amount of Each Receipt this Period: 158.45

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knotts, Christine, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 506.34

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882108

Amount of Each Receipt this Period: 56.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 239.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knox, Travis, , ,

Mailing Address 2950 Temple School Rd

City: Winston-Salem | State: NC | Zip Code: 27107

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Project Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882039

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knuth, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 349.94

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883034

Amount of Each Receipt this Period: 41.41

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kobrak, Harold, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1472.13

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882512

Amount of Each Receipt this Period: 163.57

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 229.98

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Koors, Sean, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 433.89

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882878

Amount of Each Receipt this Period: 48.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kopinski, Christopher, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Inventory Mgmt Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882340

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Koziner, Pablo, , ,

Mailing Address 2200 Pacific Hwy

City: San Diego | State: CA | Zip Code: 92101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Svcs Reg Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882376

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 489.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kozlowski, Patrick, , ,

Mailing Address 330 Sw Adams

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1807.83

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882643

Amount of Each Receipt this Period: 202.80

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kroger, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882914

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Krueckeberg, Todd, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882486

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 257.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kruse, Brian, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 837.45

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882214

Amount of Each Receipt this Period: 93.05

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kumpf, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Parts Supply Chain Strateg

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1606.86

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882308

Amount of Each Receipt this Period: 178.54

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kupferschmid, Jason, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 663.40

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882734

Amount of Each Receipt this Period: 74.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 346.09

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kurumbailmadam, Ravisankar, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882006

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lael, Christina, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Accountant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883028

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lagacy, Julie, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882607

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 556.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LaHood, Daniel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1395.36

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883211

Amount of Each Receipt this Period: 156.70

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lambert, Benjamin, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 636.51

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883014

Amount of Each Receipt this Period: 67.93

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lane-Christian, Deborah, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 265.68

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882704

Amount of Each Receipt this Period: 29.80

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 254.43

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lang, Mark, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1361.79

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882484

Amount of Each Receipt this Period: 152.93

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lantz, Jason, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 638.82

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882567

Amount of Each Receipt this Period: 70.98

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lash, Susan, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1349.36

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882770

Amount of Each Receipt this Period: 158.23

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 382.14

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lattz, Daniel, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 568.49

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882720

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lautzenheiser, Scott, , ,

Mailing Address 330 Sw Adams

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882331

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lavezzi, Bryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 627.51

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882653

Amount of Each Receipt this Period: 70.47

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 425.00

Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1830816

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830850

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 475.00

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881917

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LeBaron, Elizabeth, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882963

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LeCrone, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Brand Protect. & Invest. Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883021

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lee, Christopher, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Procurement Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882698

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 105.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Lenz, Martha, , ,
Mailing Address: 9301 W. 55th Street
City: LaGrange | State: IL | Zip Code: 60525
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 212.50
Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1832387
Amount of Each Receipt this Period: 12.50
☐ Memo Item

B.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Lenz, Martha, , ,
Mailing Address: 9301 W. 55th Street
City: LaGrange | State: IL | Zip Code: 60525
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 225.00
Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1831005
Amount of Each Receipt this Period: 12.50
☐ Memo Item

C.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Lenz, Martha, , ,
Mailing Address: 9301 W. 55th Street
City: LaGrange | State: IL | Zip Code: 60525
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager
Receipt For: ☐ Primary ☐ General ☐ Other (specify)
Aggregate Year-to-Date ▼: 237.50
Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881890
Amount of Each Receipt this Period: 12.50
☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 37.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 297.50

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830837

Amount of Each Receipt this Period: 17.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830871

Amount of Each Receipt this Period: 17.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 332.50

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881919

Amount of Each Receipt this Period: 17.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 52.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Leuba, Sean, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Mgr CPGS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 630.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882510

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Leustek, Matthew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Specialist-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882840

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lewis, Teresa, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882744

Amount of Each Receipt this Period: 35.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 70.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Liang, Chih, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882109

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindberg, Richard, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 471.80

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882207

Amount of Each Receipt this Period: 50.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindley, Gail, , ,

Mailing Address 1003 Miller Street

City: Elkader | State: IA | Zip Code: 52043

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882516

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindner, Timothy, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882412

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lollar, Matthew, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 404.28

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882895

Amount of Each Receipt this Period: 44.92

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Long, Suzette, , ,

Mailing Address 2200 Pacific Highway

City: San Diego | State: CA | Zip Code: 92101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882870

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.92

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Longer, Lloyd, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882976

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lowery, Karla, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 349.83

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882175

Amount of Each Receipt this Period: 38.87

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lueker, Joshua, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 294.87

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883192

Amount of Each Receipt this Period: 33.47

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 97.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lundquist, Linda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1297.17

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883081

Amount of Each Receipt this Period: 145.07

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Luttrell, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Buyer A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 353.52

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882034

Amount of Each Receipt this Period: 39.28

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lynn, Jason, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883005

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 209.35

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MacLeod, William, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Pending

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 932.09

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882925

Amount of Each Receipt this Period: 106.38

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Magner, Scott, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Supervisor-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882005

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Manning, Mark, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882589

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 181.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Manning, Paul, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Comp Administration Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882846

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1112.08

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830831

Amount of Each Receipt this Period: 62.61

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1174.69

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830865

Amount of Each Receipt this Period: 62.61

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1237.30

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881916

Amount of Each Receipt this Period: 62.61

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maquet, Josh, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882454

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Markun, Joseph, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882626

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 262.61

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Arthur, , ,

Mailing Address USA-CA-Kearny Mesa

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Director PS Opns

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881960

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Leon, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882431

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Max, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution & Marketing Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882762

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martinez, Angel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 893.07

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882177

Amount of Each Receipt this Period: 99.23

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martinez, Rodolfo, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882321

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mason, David, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 489.06

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882446

Amount of Each Receipt this Period: 54.34

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 178.57

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matheny, Stephen, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1706.13

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883000

Amount of Each Receipt this Period: 189.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matheson, Sean, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Procurement Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.10

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882999

Amount of Each Receipt this Period: 48.90

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matousek, Dennis, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883078

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 263.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matteo, James, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 982.26

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882764

Amount of Each Receipt this Period: 109.14

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mattox, Jason, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Designer/Modeler

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 291.51

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882064

Amount of Each Receipt this Period: 32.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maxheimer, Phillip, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882452

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 166.53

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maxwell, John, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 499.14

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882095

Amount of Each Receipt this Period: 55.46

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mayernich, Linda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 325.31

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882131

Amount of Each Receipt this Period: 36.12

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mc Kneight, Paul, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Performance Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882588

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 141.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCallen, Douglas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883212

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCartan, Patrick, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Regional Treasurer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1882707

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCartney, Sandra, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1400.20

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1882468

Amount of Each Receipt this Period: 193.80

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 635.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCauley, Thomas, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882608

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McClintick, David, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 444.51

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882817

Amount of Each Receipt this Period: 49.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McDonnough, Matthew, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Area Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882785

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McGrew, Ann, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 291.78

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882740

Amount of Each Receipt this Period: 32.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McGuire, Michael, A, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882839

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKain, Rachel, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 417.32

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882992

Amount of Each Receipt this Period: 104.33

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 553.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKay, Heather Rae, R, ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Business Process Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 391.41

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882816

Amount of Each Receipt this Period: 43.49

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McMullen, Karen, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 666.08

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882357

Amount of Each Receipt this Period: 74.80

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mellott, Lauren, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 371.70

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882994

Amount of Each Receipt this Period: 41.30

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 159.59

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Menke, Charles, , ,

Mailing Address State Rt. 116 E.
Spring Creek Rd.

City: East Peoria | State: IL | Zip Code: 61611

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Machine Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882433

Amount of Each Receipt this Period: 75.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Menssen, Andrew, J, ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Laboratory/Support Techn

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 329.24

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882271

Amount of Each Receipt this Period: 38.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mettler, Chris, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882717

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 163.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miceli, Matthew, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882135

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Michael, David, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882491

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miles, Sonya, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882314

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miller, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Western Hemisphere Tax Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882719

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miller, Michael, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Product & Tech Training Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882721

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Milman, Kelsey, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2082.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882343

Amount of Each Receipt this Period: 237.64

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 337.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mitchell, Anthony, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 585.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882804

Amount of Each Receipt this Period: 65.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mitts, Marsha, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Tax Planning Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 746.45

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882356

Amount of Each Receipt this Period: 129.29

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mize, Adam, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1480.95

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882908

Amount of Each Receipt this Period: 164.55

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 358.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mock, Kathleen, , ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Vice President Global HR

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 948.78

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1881976

Amount of Each Receipt this Period: 105.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mohrman, Patrick, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Customer Services Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 715.23

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883217

Amount of Each Receipt this Period: 79.47

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 425.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016
Transaction ID : A2016-1830817

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 209.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830851

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 475.00

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881902

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Montgomery, Djuana, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1218.69

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882369

Amount of Each Receipt this Period: 135.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 185.41

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Montgomery, Kimberly, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Analyst II

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882240

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mooney, Martin, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facilities Engineering Mgr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1467.23

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882188

Amount of Each Receipt this Period: 164.77

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jannie, , ,

Mailing Address 1800 Parkway Dr
Suite 820

City: Marietta | State: GA | Zip Code: 30067

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 992.52

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883174

Amount of Each Receipt this Period: 111.46

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 301.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jeffrey, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 668.25

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882388

Amount of Each Receipt this Period: 74.25

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jim, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882444

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Phillip, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 1135.09

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882226

Amount of Each Receipt this Period: 127.47

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 226.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Richard, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Investor Relations

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 1677.54

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882593

Amount of Each Receipt this Period: 111.84

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moran, Barbra, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 996.30

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882272

Amount of Each Receipt this Period: 110.70

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moritz, LeAnne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882294

Amount of Each Receipt this Period: 50.00

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 272.54

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Morton, Susan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 422.40

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883160

Amount of Each Receipt this Period: 37.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moss, Jarrod, , ,

Mailing Address 100 Caterpillar Way

City: Thomasville | State: GA | Zip Code: 31792

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1221.17

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882124

Amount of Each Receipt this Period: 136.57

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mowbray, Sherri, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 742.41

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882164

Amount of Each Receipt this Period: 82.49

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 256.26

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Muir, Thomas, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPS Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881982

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Muthekepalli, Srini, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 545.40

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882742

Amount of Each Receipt this Period: 60.60

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Christopher, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Federal Govt. Affairs Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2513.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882955

Amount of Each Receipt this Period: 279.24

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 364.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Stewart, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 840.68

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883135

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Timothy, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mfg Engrg Superintendent

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882198

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nagaranthal, Bhaskar, , ,

Mailing Address 2200 Channahon Rd
P O Box 504

City: Joliet | State: IL | Zip Code: 60436

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882474

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Needham, Joseph, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Planning Mgr 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882040

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 212.50

Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1830839

Amount of Each Receipt this Period: 12.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830873

Amount of Each Receipt this Period: 12.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 50.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 237.50

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881923

Amount of Each Receipt this Period: 12.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Trent, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 385.65

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882206

Amount of Each Receipt this Period: 42.85

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Newell, Dontae, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 356.05

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882145

Amount of Each Receipt this Period: 71.21

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 126.56

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Newman, Benjamin, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 378.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882684

Amount of Each Receipt this Period: 42.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nguyen, Chau, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 882.72

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882619

Amount of Each Receipt this Period: 98.08

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nicoll, David, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Bus Proc Improvement

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882228

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 180.08

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Niemeier, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 812.43

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883209

Amount of Each Receipt this Period: 90.27

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Norlin, John, , ,

Mailing Address 14009 N Old Galena Rd
Tech Center Bldg K

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 885.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882298

Amount of Each Receipt this Period: 157.16

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Norris, Kurt, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 895.50

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882560

Amount of Each Receipt this Period: 99.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 346.93

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nunez Abe, Jose, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1675.53

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882787

Amount of Each Receipt this Period: 186.17

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Fallon, Deborah, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883017

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 562.38

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830818

Amount of Each Receipt this Period: 80.34

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 316.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 642.72

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830852

Amount of Each Receipt this Period: 80.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 723.06

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881918

Amount of Each Receipt this Period: 80.34

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neil, Edwin, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mfg. Logistics & Transp. Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2250.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882525

Amount of Each Receipt this Period: 250.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 410.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ocker, Wayne, , ,

Mailing Address 444 Birch Street

City: Prentice | State: WI | Zip Code: 54556

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Service Technical Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 352.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882944

Amount of Each Receipt this Period: 39.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oliphant, James, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1372.81

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882344

Amount of Each Receipt this Period: 153.53

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oliveira, Paulo, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1395.61

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882776

Amount of Each Receipt this Period: 156.07

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 348.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Onstott, Zena, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883054

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ORourke, Dennis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882115

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Orr, Kelly, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager of Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882222

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ortega, Roberto, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1615.50

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883101

Amount of Each Receipt this Period: 179.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Osborn, Denver, M, ,

Mailing Address USA-CA-Skypark 3

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Design Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881947

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ossandon, Luis, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 341.30

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883126

Amount of Each Receipt this Period: 18.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 222.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ostrowski, Edward, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883090

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Owens, Barrington, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1964.52

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882931

Amount of Each Receipt this Period: 218.28

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oyler, Zachary, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 422.55

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882997

Amount of Each Receipt this Period: 46.95

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 290.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Palmer, Justin, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 436.33

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882267

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Parks, Brett, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1625.49

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882003

Amount of Each Receipt this Period: 180.61

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Paternoga, Robert, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Engines

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882774

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 622.28

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Patterson, Teresa, , ,

Mailing Address 5000 Womack Rd

City: Sanford | State: NC | Zip Code: 27330

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882962

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Paulson, Leslie, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Tech Info Solutions Dept Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882351

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pedigo, Randy, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 526.50

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883022

Amount of Each Receipt this Period: 58.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 113.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pellette, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882789

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Penn, Audie, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883059

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Perez Sandi, Francisco, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 677.16

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882447

Amount of Each Receipt this Period: 75.24

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 516.91

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Peterson, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 268.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882615

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Petterson, Douglas, , ,

Mailing Address Highway 42 East
P O Box 999

City: Clayton | State: NC | Zip Code: 27528

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882472

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pettit, Jason, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1151.54

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882184

Amount of Each Receipt this Period: 123.29

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 559.96

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Peyton, Amy, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 294.75

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883152

Amount of Each Receipt this Period: 32.75

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pfautsch, Brian, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Engine Systems

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1304.28

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882590

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Philbee, Todd, , ,

Mailing Address Rosario Norte 407 Piso 14
Las Condes

City: Santiago | State: VA | Zip Code: 75611

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mktg Center of Excellence Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 896.49

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882775

Amount of Each Receipt this Period: 99.61

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 182.36

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Phipps, David, , ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882582

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pickell, Michael, E, ,

Mailing Address 14009 Old Galena Rd.
Bldg G

City: Mossville | State: IL | Zip Code: 61656

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882049

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pierce, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 702.58

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882404

Amount of Each Receipt this Period: 78.90

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 133.90

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pitts, David, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 554.47

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882144

Amount of Each Receipt this Period: 61.23

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pladers, Melissa, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Staff Acct II Cost / DS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882323

Amount of Each Receipt this Period: 35.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Poliner, Jessica, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1215.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883132

Amount of Each Receipt this Period: 71.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 167.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Poole, Joseph, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Area Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1469.58

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882278

Amount of Each Receipt this Period: 164.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Potts, Rachel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 385.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882886

Amount of Each Receipt this Period: 75.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Potvin, Richard, , ,

Mailing Address 500 Nils Road

City: Butte | State: MT | Zip Code: 59702

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883009

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 264.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830828

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830862

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881911

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Precopia, Steven, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883139

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Prendergast, Richard, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882015

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pressler, Jonathan, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882483

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Price, Stephanie, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 271.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883036

Amount of Each Receipt this Period: 30.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Quick, Hallie, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.55

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882017

Amount of Each Receipt this Period: 38.95

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Quigg, James, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1026.27

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882390

Amount of Each Receipt this Period: 114.03

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 183.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rainey, Jamero, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883057

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rall, Peter, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883131

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ramos, Ennodio, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): VP Power Generation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 810.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881936

Amount of Each Receipt this Period: 90.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 165.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Anna, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.48

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882141

Amount of Each Receipt this Period: 10.65

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Anna, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.48

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882142

Amount of Each Receipt this Period: 11.32

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Terry, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1191.64

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882067

Amount of Each Receipt this Period: 133.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rassi, Anthony, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Multi-Site Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1732.59

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882821

Amount of Each Receipt this Period: 192.51

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rathe, Richard, , ,

Mailing Address 3450 Executive Way

City: Miramar | State: FL | Zip Code: 33025

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882586

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rathman, Carol, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882701

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 267.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reber, Keith, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882499

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Redmon, Jeffrey, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engrg Talent & Competency Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882554

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reese, Davis, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882148

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reiners, Eric, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882418

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reinhart, James, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882652

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reinholdt, Ron, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882319

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reitz, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883098

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Richards, Mark, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882122

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rider, Woody, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 390.42

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882455

Amount of Each Receipt this Period: 43.38

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 93.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rieker, Steven, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882382

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Riggle, Robert, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 568.08

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883127

Amount of Each Receipt this Period: 63.12

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rink, James, , ,

Mailing Address 2200 Channahon Rd
P O Box 504

City: Joliet | State: IL | Zip Code: 60436

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882609

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 138.12

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robbins, Ryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 451.17

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882346

Amount of Each Receipt this Period: 50.13

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roberson, Keith, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882479

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roberts, Janelle, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882236

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.13

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robertson, Michael, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Process Control Engineer A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883046

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robinson, Adam, J, ,

Mailing Address USA-CA-Skypark 4

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines

Occupation (for Individual): Sr Account Manager-Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1881966

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rocke, Matthew, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Logistics Engrg & Planning Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1882195

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 90.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 850.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830822

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830856

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 950.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881906

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 426.24

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1832382

Amount of Each Receipt this Period: 23.68

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 449.92

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1831000

Amount of Each Receipt this Period: 23.68

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 473.60

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881893

Amount of Each Receipt this Period: 23.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 71.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roethler, Mary, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): TIS Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 754.20

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882397

Amount of Each Receipt this Period: 83.80

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rohr, Jeffery, , ,

Mailing Address 325 Green Valley Road

City: Griffin | State: GA | Zip Code: 30223

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882210

Amount of Each Receipt this Period: 35.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rooney, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882244

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 535.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roos, Kimberly, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 236.60

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883008

Amount of Each Receipt this Period: 43.32

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rous, James, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Inventory & Logistics Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 574.74

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882563

Amount of Each Receipt this Period: 63.86

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rowen, Katelyn, , ,

Mailing Address 7300 Lone Tree Road

City: Victoria | State: TX | Zip Code: 77901

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Rep - 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 236.06

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883149

Amount of Each Receipt this Period: 26.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 133.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rubel, Juergen, , ,

Mailing Address 15550 NW 59Th Avenue

City: Miami Lakes | State: FL | Zip Code: 33014

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 705.35

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882157

Amount of Each Receipt this Period: 79.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ruppel, Jeffrey, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1586.62

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883060

Amount of Each Receipt this Period: 177.44

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Russell, Melissa, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1503.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883201

Amount of Each Receipt this Period: 167.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 423.65

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ruth, Brent, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Process Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883010

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ryan, Steven, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1883100

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Saad, Jeffrey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1639.35

Date of Receipt: 09 / 30 / 2016

Transaction ID : A2016-1882508

Amount of Each Receipt this Period: 182.15

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 232.15

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sage, Jason, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Div HR Sup Chain & Qual Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2131.29

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882310

Amount of Each Receipt this Period: 236.81

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sager, Matthew, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 255.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882458

Amount of Each Receipt this Period: 5.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sale, Ronald, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 577.35

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882998

Amount of Each Receipt this Period: 64.15

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 305.96

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sallowicz, Marcos, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Svcs Reg Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882746

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Samples, Susan, , ,

Mailing Address Components Group
100 Caterpillar Drive

City: Booneville | State: MS | Zip Code: 38829

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 377.64

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882329

Amount of Each Receipt this Period: 41.96

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sampson, Jeffrey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Remarketing Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882190

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 166.96

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sandoval, Jesus, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882024

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Savage, E Jean, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3751.78

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883029

Amount of Each Receipt this Period: 416.07

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schaer, Corey, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883089

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 471.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schaffroth, William, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1307.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882498

Amount of Each Receipt this Period: 145.24

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 520.03

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830820

Amount of Each Receipt this Period: 30.59

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 550.62

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830854

Amount of Each Receipt this Period: 30.59

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 206.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 581.21

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881904

Amount of Each Receipt this Period: 30.59

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schmillen, Kenneth, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 359.37

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883105

Amount of Each Receipt this Period: 39.93

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schnelle, Tonya, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): TIS Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1449.72

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882634

Amount of Each Receipt this Period: 161.08

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 231.60

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schryver, Scott, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882186

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schwarzentraub, Barbara, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir. Cat Parts Distribution

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882360

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Scott, Johanna, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 330.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883198

Amount of Each Receipt this Period: 55.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 496.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sears, Kevin, R, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 907.15

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882664

Amount of Each Receipt this Period: 180.71

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
See, Alex, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 238.95

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883069

Amount of Each Receipt this Period: 26.55

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Seely, Mary, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1464.75

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881980

Amount of Each Receipt this Period: 212.95

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 420.21

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Selburg, Brent, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Parts Operations Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882303

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shaffer, Scott, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882610

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shankwitz, Phillip, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1527.48

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882529

Amount of Each Receipt this Period: 169.72

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 244.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shannon, Clarence, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 368.83

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883144

Amount of Each Receipt this Period: 40.46

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shannon, David, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882038

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shapland, Brad, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 707.49

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882391

Amount of Each Receipt this Period: 79.45

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 169.91

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sharp, Robert, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Project Engr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 711.30

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882709

Amount of Each Receipt this Period: 82.82

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shaw, Ernest, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Parts Information Project Ldr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882107

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shepherd, Scott, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Purchasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 681.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883154

Amount of Each Receipt this Period: 76.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 183.82

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shook, Barry, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solution Lead I

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 374.94

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882237

Amount of Each Receipt this Period: 41.66

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shook, Paula, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Info Technology Manager-III

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1622.07

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882502

Amount of Each Receipt this Period: 180.23

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shubert, Lindsey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Associate Communications Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.62

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883164

Amount of Each Receipt this Period: 31.31

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 253.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shurman, Rodney, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1964.52

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882395

Amount of Each Receipt this Period: 218.28

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shute, Truman, , ,

Mailing Address 2800 E. Cedar Hills Drive

City: Chillicothe | State: IL | Zip Code: 61523

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 570.15

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882132

Amount of Each Receipt this Period: 63.35

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Siebenaler, Chad, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 315.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882221

Amount of Each Receipt this Period: 35.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 316.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simison, Theodore, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882542

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simmons, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882336

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simpson, Trent, A, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1779.75

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882402

Amount of Each Receipt this Period: 197.75

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 654.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Singanallur, Chidambaram, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882062

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sisler, Tammy, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 232.50

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882880

Amount of Each Receipt this Period: 26.64

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skarvan, Dennis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882197

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 468.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skeels, Gregory, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882605

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skinner, Jaime, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883042

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sluga, Maureen, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 706.33

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882493

Amount of Each Receipt this Period: 80.69

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.69

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Amanda, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.73

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883119

Amount of Each Receipt this Period: 38.97

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1345.96

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830829

Amount of Each Receipt this Period: 85.38

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1431.34

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830863

Amount of Each Receipt this Period: 85.38

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 209.73

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1516.72

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881925

Amount of Each Receipt this Period: 85.38

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Ronald, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882437

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Wayne, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1220.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882213

Amount of Each Receipt this Period: 137.01

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 262.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Snyder, Brian, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 494.19

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882264

Amount of Each Receipt this Period: 54.91

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soendlin, Deron, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882627

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830830

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.91

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: 09 / 15 / 2016
Transaction ID : A2016-1830864

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: 09 / 29 / 2016
Transaction ID : A2016-1881912

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Southern, Walter, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 555.04

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883128

Amount of Each Receipt this Period: 61.15

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.15

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Speake, Laura, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 639.40

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883189

Amount of Each Receipt this Period: 127.88

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spears, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882725

Amount of Each Receipt this Period: 75.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spielman, Michael, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882087

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 227.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spitznagle, Kathryn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882506

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spitznagle, Matthew, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 348.30

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882667

Amount of Each Receipt this Period: 38.70

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spooner, David, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 314.91

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883130

Amount of Each Receipt this Period: 62.06

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.76

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830823

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830857

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881907

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stafl, Lisa, , ,

Mailing Address 9301 West 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883143

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Staley, Daniel, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 485.82

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883188

Amount of Each Receipt this Period: 55.32

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stanley, James, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Supervisor 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 829.17

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882939

Amount of Each Receipt this Period: 92.13

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 197.45

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Starr, James, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882261

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Steidinger, Theodore, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 885.51

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882208

Amount of Each Receipt this Period: 98.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Steiner, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2083.35

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882220

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 565.06

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sterton, Scott, , ,

Mailing Address 3535 Factoria Blvd. SE
Suite 350

City: Bellevue | State: WA | Zip Code: 98006

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Regional Insurance Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882269

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevens, Janet, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 503.19

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883190

Amount of Each Receipt this Period: 55.91

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevens, Matthew, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 331.92

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882990

Amount of Each Receipt this Period: 36.88

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 117.79

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevenson, Brad, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): EHS Manager I

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1172.65

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882509

Amount of Each Receipt this Period: 131.69

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stiles, Sally, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir. of Tax & Trade Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882668

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stimeling, John, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 426.60

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882853

Amount of Each Receipt this Period: 45.63

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 593.99

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stockwell, Tammi, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 763.73

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883041

Amount of Each Receipt this Period: 109.85

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stokes, Jennifer, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rental/Used Equipment Cnslt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882841

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stoller, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Cash Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882347

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 159.85

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Brian, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 977.85

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882827

Amount of Each Receipt this Period: 108.65

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Casey, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Supervisor 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883035

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Jonathan, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1231.70

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882828

Amount of Each Receipt this Period: 138.32

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 271.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stratton, Mark, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager Reman

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882628

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Strong, Robert, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Technical Services Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2074.95

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882477

Amount of Each Receipt this Period: 230.55

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stroup, Dana, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1537.60

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882288

Amount of Each Receipt this Period: 192.20

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 839.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stuart, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Benefit Vndr Mgr w/ Plan Desig

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882991

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sundell, Daniel, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager-KEC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1108.46

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882614

Amount of Each Receipt this Period: 124.48

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Swenson, Rodney, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882564

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 174.48

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Swick, William, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 679.96

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882315

Amount of Each Receipt this Period: 76.36

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Talley, Ross, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.63

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883031

Amount of Each Receipt this Period: 30.07

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Taphorn, Matthew, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1008.72

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882891

Amount of Each Receipt this Period: 112.08

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 218.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tate, Larry, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882576

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ter Horst, Kenneth, , ,

Mailing Address USA-CA-Customer Support Center

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Project Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881963

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Terpstra, Daniel, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1541.52

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882413

Amount of Each Receipt this Period: 171.28

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 612.95

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thieneman, Kevin, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882096

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thomas, Heather, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Rep - 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882027

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882389

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.50

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883097

Amount of Each Receipt this Period: 25.02

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): President Insurance Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3915.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882759

Amount of Each Receipt this Period: 435.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tillotson, Steven, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPGS Projects Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1446.39

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882426

Amount of Each Receipt this Period: 160.71

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 620.73

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tilly, Bradley, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 744.66

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882072

Amount of Each Receipt this Period: 82.74

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tobias, Charles, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 733.14

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882438

Amount of Each Receipt this Period: 81.99

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Toher, Susan, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882169

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 264.73

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tolin, Fredrik, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883172

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tomlins, Gregory, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 965.58

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882696

Amount of Each Receipt this Period: 160.93

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tomsovic, Brian, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 633.90

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882289

Amount of Each Receipt this Period: 71.18

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 262.11

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Towne, Ursula, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 335.79

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882212

Amount of Each Receipt this Period: 38.53

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Trent, Andrew, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882161

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Trueblood, John, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 344.97

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883018

Amount of Each Receipt this Period: 38.33

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 106.86

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tucker, Robyn, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 353.43

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882954

Amount of Each Receipt this Period: 39.27

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tyre, Beth, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882703

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Uken, Gary, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882121

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Umpleby, Donald, , , III

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882200

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Underwood, Jonathon, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882965

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Utley, Tana, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882571

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 858.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Valek, Robert, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 361.89

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883019

Amount of Each Receipt this Period: 40.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Van Kley, Thomas, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882050

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vanek, Jeffrey, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 653.82

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882055

Amount of Each Receipt this Period: 126.91

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 192.12

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VanHootegem, Janelle, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 298.98

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883053

Amount of Each Receipt this Period: 33.22

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vannette, Mark, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882772

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Varela, Antonio, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 248.46

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882865

Amount of Each Receipt this Period: 41.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vassallo, Gina, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Process Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1486.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882183

Amount of Each Receipt this Period: 165.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Velasquez, Sheryl, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882736

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Velde, Brandon, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Technology Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.83

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882224

Amount of Each Receipt this Period: 27.87

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 218.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Verheyen, Michael, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882526

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Verpraet, Annette, R, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Division Communications Lead

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882301

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VeZain, Darilynn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 289.40

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883117

Amount of Each Receipt this Period: 41.88

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 166.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Viars, Vincent, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.10

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883153

Amount of Each Receipt this Period: 38.90

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vick, Mary, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Division HR Communication Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882260

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Villela, Iris, K, ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Six Sigma Black Belt 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 540.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1881943

Amount of Each Receipt this Period: 60.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 123.90

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Volz, Carl, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 597.05

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882675

Amount of Each Receipt this Period: 67.05

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walenta, John, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 847.53

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882544

Amount of Each Receipt this Period: 94.17

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walters, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1755.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882259

Amount of Each Receipt this Period: 195.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 356.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walton, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2150.82

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882380

Amount of Each Receipt this Period: 238.98

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ward, Timothy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Supply Chain Planning

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883061

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weaver, Travis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 204.16

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883171

Amount of Each Receipt this Period: 25.52

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 364.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weer, Noel, S, ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Demand & Orders Mgr 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 581.13

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882218

Amount of Each Receipt this Period: 64.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weiss, Karl, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883206

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
West, Christopher, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 433.20

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882120

Amount of Each Receipt this Period: 48.39

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 529.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
West, Greg, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 293.70

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882849

Amount of Each Receipt this Period: 33.52

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weston, Douglas, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Segment Manager A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 675.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882594

Amount of Each Receipt this Period: 75.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
White, Christopher, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882250

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 158.52

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
White, Scott, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 295.47

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882685

Amount of Each Receipt this Period: 32.83

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Whitehead, John, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882909

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Whiteley, Douglas, , ,

Mailing Address 90 Ceramic Tile Dr

City: Morganton | State: NC | Zip Code: 28655

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1574.64

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882292

Amount of Each Receipt this Period: 176.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 308.93

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wick, Jennifer, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 656.20

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882461

Amount of Each Receipt this Period: 72.76

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wickenhauser, Autumn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 400.77

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883167

Amount of Each Receipt this Period: 44.53

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiegardt, Marcy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882505

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 147.29

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wieringa, Gerritt, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 490.14

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1883186

Amount of Each Receipt this Period: 55.32

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiest, Valerie, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 753.12

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882690

Amount of Each Receipt this Period: 83.68

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wignall, Troy, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 266.91

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882978

Amount of Each Receipt this Period: 55.01

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 194.01

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiklund, Robert, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882466

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wilcoxen, Sanford, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 481.14

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882216

Amount of Each Receipt this Period: 53.46

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wilken, Laurence, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Associate General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882788

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 203.46

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Willi, Martin, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 722.72

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882585

Amount of Each Receipt this Period: 81.16

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Williams, Timothy, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Global Security Svcs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 973.80

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1883016

Amount of Each Receipt this Period: 108.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Williamson, Theodore, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 583.35

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016
Transaction ID : A2016-1882235

Amount of Each Receipt this Period: 116.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 306.03

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Winkel, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 471.69

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882569

Amount of Each Receipt this Period: 52.41

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Winkler, Michelle, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.21

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883151

Amount of Each Receipt this Period: 49.97

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wisher, Jessica, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882871

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 127.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Woith, Mark, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882517

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wojda, Kelly, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Talent Attraction

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 270.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882359

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1283.86

Date of Receipt: M M 09 / D D 01 / Y Y Y Y 2016

Transaction ID : A2016-1830810

Amount of Each Receipt this Period: 71.42

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 126.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1355.28

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830844

Amount of Each Receipt this Period: 71.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1426.70

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881926

Amount of Each Receipt this Period: 71.42

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolfe, Timothy, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Power Systems Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882204

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 167.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wood, Bruce, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 275.85

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882008

Amount of Each Receipt this Period: 30.65

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wurtzbacher, Corey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882926

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yang, Zhishang, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 202.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882056

Amount of Each Receipt this Period: 28.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 108.65

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yenamala, Kesava, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882873

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yerby, Gary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882765

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yergler, Daren, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1351.35

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882683

Amount of Each Receipt this Period: 150.15

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 200.15

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yordy, Christine, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Rep - 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 341.61

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882987

Amount of Each Receipt this Period: 38.97

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
York, Derrick, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Strategic Investments

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883096

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Younessi, Ramin, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 3750.03

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1883179

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 480.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Bridget, M, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Exec. Office Sr. Communicator

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882037

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Donald, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882897

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Michael, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir Corp. Business Developmnt

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882950

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 225.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Timothy, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882883

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zameda, Sarah, E, ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Staff Acct II Cost / DS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 234.00

Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882002

Amount of Each Receipt this Period: 26.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 340.00

Date of Receipt: 09 / 01 / 2016
Transaction ID : A2016-1830832

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 71.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 360.00

Date of Receipt: M M 09 / D D 15 / Y Y Y Y 2016

Transaction ID : A2016-1830866

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 380.00

Date of Receipt: M M 09 / D D 29 / Y Y Y Y 2016

Transaction ID : A2016-1881913

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zemke, Wayne, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882227

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 90.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zentmyer, Erik, G, ,

Mailing Address USA-CA-Skypark 2

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Project Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1881972

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zimmermann, Adam, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882326

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zircher, Jeffrey, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 09 / D D 30 / Y Y Y Y 2016

Transaction ID : A2016-1882358

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Zmugg, Leslie, , ,
Mailing Address: 2120 West End Avenue, P O Box 340001
City: Nashville | State: TN | Zip Code: 37203
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 3750.03
Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882766
Amount of Each Receipt this Period: 416.67
☐ Memo Item

B.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Zundel, Jurg, , ,
Mailing Address: 701 Waterford Way, Suite 600
City: Miami | State: FL | Zip Code: 33126
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Assistant General Counsel
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 225.00
Date of Receipt: 09 / 30 / 2016
Transaction ID : A2016-1882471
Amount of Each Receipt this Period: 25.00
☐ Memo Item

C.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name:
Mailing Address:
City: | State: | Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual):
Occupation (for Individual):
Receipt For: ☐ Primary ☐ General ☐ Other (specify)
Aggregate Year-to-Date ▼:
Date of Receipt: M M / D D / Y Y Y Y
Amount of Each Receipt this Period:
☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 441.67

TOTAL This Period (last page this line number only) ▶ 65478.71

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Lisa Murkowski for US Senate
Mailing Address: PO Box 100847
City: Anchorage State: AK Zip Code: 99510
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Murkowski, Lisa, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: AK District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00384529
Transaction ID : B626846
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Byrne for Congress
Mailing Address: P.O. 2743
City: Mobile State: AL Zip Code: 36652
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Byrne, Bradley, R, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: AL District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00545673
Transaction ID : B625614
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Friends of John McCain Inc
Mailing Address: c/o Caplin And Drysdale One Thomas
City: Washington State: DC Zip Code: 20005
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: McCain, John, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: AZ District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00341891
Transaction ID : B629212
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 11000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Hall for Congress
Mailing Address: 249 E. Ocean Blvd. Suite 685
City: Long Beach | State: CA | Zip Code: 90802
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Hall, Isadore, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 44
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00497859
Transaction ID : B625617
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Devin Nunes Campaign Committee
Mailing Address: PO Box 6545
City: Visalia | State: CA | Zip Code: 93290
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Nunes, Devin, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 22
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00370056
Transaction ID : B625627
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Valadao for Congress
Mailing Address: 5132 N Palm Ave Ste 227
City: Fresno | State: CA | Zip Code: 93704
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Valadao, David, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 21
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00499392
Transaction ID : B625628
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Walters for Congress
Mailing Address: c/o 8001 Irvine Center Drive #400
City: Irvine | State: CA | Zip Code: 92618
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Walters, Mimi, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 45
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00546853
Transaction ID : B625613
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): MinePAC A PAC of the National Mining Assn
Mailing Address: 101 Constitution Avenue NW 500 E
City: Washington | State: DC | Zip Code: 20001
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) Not Applicable
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00304634
Transaction ID : B625632
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): AEMPAC
Mailing Address: 1000 Vermont Avenue NW Suite 450
City: Washington | State: DC | Zip Code: 20005
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00442996
Transaction ID : B625633
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 11000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Carlos Curbelo Congress
Mailing Address: 8724 SW 72nd Street
City: Miami | State: FL | Zip Code: 33173
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Curbelo, Carlos, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: FL District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00546846
Transaction ID : B625612
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Marco Rubio for US Senate
Mailing Address: PO Box 558701
City: Miami | State: FL | Zip Code: 33255
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Rubio, Marco, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: FL District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C C00458844
Transaction ID : B627614
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Buddy Carter for Congress
Mailing Address: PO Box 10570
City: Savannah | State: GA | Zip Code: 31412
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Carter, Buddy, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: GA District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00543967
Transaction ID : B625611
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 8500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Drew Ferguson for Congress
Mailing Address: P.O. Box 387
City: West Point | State: GA | Zip Code: 31833
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Ferguson, Drew, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: GA District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00607838
Transaction ID : B625615
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Jody Hice for Congress
Mailing Address: PO Box 586
City: Monroe | State: GA | Zip Code: 30655
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Hice, Jody, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: GA District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00544445
Transaction ID : B625610
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Mike Crapo for US Senate
Mailing Address: P.O. Box 1948
City: Boise | State: ID | Zip Code: 83701
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Crapo, Michael, D, ,
Office Sought: ☐ House ☒ Senate ☐ President
State: ID District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00330886
Transaction ID : B625493
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Mike Crapo for US Senate
Mailing Address: P.O. Box 1948
City: Boise | State: ID | Zip Code: 83701
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Crapo, Michael, D, ,
Office Sought: ☐ House ☒ Senate ☐ President
State: ID District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00330886
Transaction ID : B626843
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Dan Lipinski for Congress
Mailing Address: P.O. Box 520
City: Western Springs | State: IL | Zip Code: 60558
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Lipinski, Daniel, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 06 / 2016
FEC Identification Number: C C00405431
Transaction ID : B624752
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Illinois Republican Party
Mailing Address: P.o. Box 64897
City: Chicago | State: IL | Zip Code: 60664
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) Not Applicable
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00005926
Transaction ID : B625607
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 8500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Robin Kelly for Congress
Mailing Address: PO Box 6953
City: Chicago | State: IL | Zip Code: 60680
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Kelly, Robin, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00539866
Transaction ID : B625609
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Dan Lipinski for Congress
Mailing Address: P.O. Box 520
City: Western Springs | State: IL | Zip Code: 60558
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Lipinski, Daniel, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00405431
Transaction ID : B626849
Amount of Each Disbursement this Period: 4000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Friends of Susan Brooks
Mailing Address: 9425 N Meridian Street #237
City: Indianapolis | State: IN | Zip Code: 46260
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Brooks, Susan, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 05
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00500207
Transaction ID : B625619
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Walorski for Congress
Mailing Address: PO Box 954
City: Mishawaka | State: IN | Zip Code: 46546
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Walorski, Jackie, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00468579
Transaction ID : B625631
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Trey for Congress
Mailing Address: PO Box 421
City: Jeffersonville | State: IN | Zip Code: 47130
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Hollingsworth, Trey, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 09
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00590463
Transaction ID : B629207
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Kansans for Marshall
Mailing Address: P.O. Box 1588
City: Great Bend | State: KS | Zip Code: 67530
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Marshall, Roger, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00576173
Transaction ID : B625616
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Pompeo for Kansas Inc.
Mailing Address: PO Box 780146
City: Wichita | State: KS | Zip Code: 67212
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Pompeo, Michael, R, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00460402
Transaction ID : B626851
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Moran for Kansas
Mailing Address: PO Box 1151
City: Hays | State: KS | Zip Code: 67601
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Moran, Jerry, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: KS District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00458315
Transaction ID : B629206
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Hal Rogers for Congress
Mailing Address: P.O. Box 1214
City: Somerset | State: KY | Zip Code: 42502
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Rogers, Harold, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KY District: 05
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00116632
Transaction ID : B626852
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Scalise for Congress
Mailing Address: PO Box 23219
City: Jefferson | State: LA | Zip Code: 70183
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Scalise, Steve, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: LA District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00394957
Transaction ID : B625623
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Upton for All of Us
Mailing Address: P.O. Box 490
City: St. Joseph | State: MI | Zip Code: 49085
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Upton, Frederick, S, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: MI District: 06
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00200584
Transaction ID : B626853
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): BERGMANFORCONGRESS
Mailing Address: N5070 Cisco Lake Road
City: Watersmeet | State: MI | Zip Code: 49969
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Bergman, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: MI District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00614214
Transaction ID : B629214
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 10000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Vicky Hartzler for Congress
Mailing Address: PO Box 531
City: Harrisonville | State: MO | Zip Code: 64701
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Hartzler, Vicky, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: MO District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00464602
Transaction ID : B625626
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Next Century Fund
Mailing Address: 116 S Royal Street
City: Alexandria | State: VA | Zip Code: 22314
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) Not Applicable
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00343947
Transaction ID : B625629
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Walker 4 NC
Mailing Address: PO Box 99247
City: Raleigh | State: NC | Zip Code: 27624
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Walker, Bradley, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 06
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00543231
Transaction ID : B626862
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 6000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Hoeven for Senate
Mailing Address: PO Box 861
City: Bismarck | State: ND | Zip Code: 58582
Purpose of Disbursement: Contribution
Candidate Name: Hoeven, John, , ,
Category/Type: 011
Office Sought: ☐ House ☒ Senate ☐ President
State: ND District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 06 / 2016
FEC Identification Number: C C00473371
Transaction ID : B624751
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Schumer
Mailing Address: 192 Lexington Avenue Suite 1001
City: New York | State: NY | Zip Code: 10016
Purpose of Disbursement: Contribution
Candidate Name: Schumer, Charles, E, ,
Category/Type: 011
Office Sought: ☐ House ☒ Senate ☐ President
State: NY District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C C00346312
Transaction ID : B627615
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Bonamici for Congress
Mailing Address: PO Box 1632
City: Beaverton | State: OR | Zip Code: 97075
Purpose of Disbursement: Contribution
Candidate Name: Bonamici, Suzanne, , ,
Category/Type: 011
Office Sought: ☒ House ☐ Senate ☐ President
State: OR District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00500421
Transaction ID : B625622
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 12000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Wyden for Senate
Mailing Address: 232 NE 9th Avenue
City: Portland | State: OR | Zip Code: 97232
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Wyden, Ron, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: OR District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00308676
Transaction ID : B629209
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Pat Meehan for Congress
Mailing Address: 50 S. Providence Road
City: Media | State: PA | Zip Code: 19063
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Meehan, Patrick, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: PA District: 07
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00466870
Transaction ID : B625625
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Bill Shuster for Congress
Mailing Address: PO Box 27
City: Hollidaysburg | State: PA | Zip Code: 16648
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Shuster, William, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: PA District: 09
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00364935
Transaction ID : B626854
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 10000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Tim Scott For US Senate
Mailing Address: 1405 Ashley River Road
City: Charleston | State: SC | Zip Code: 29407
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Scott, Tim, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: SC District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00540302
Transaction ID : B625630
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Cooper for Congress
Mailing Address: PO Box 198497
City: Nashville | State: TN | Zip Code: 37219
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Cooper, Jim, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 05
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00376665
Transaction ID : B625624
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Marsha Blackburn for Congress Inc.
Mailing Address: PO Box 3750
City: Brentwood | State: TN | Zip Code: 37024
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Blackburn, Marsha, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 07
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00376939
Transaction ID : B626855
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 9500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Kay Granger Campaign Fund
Mailing Address: 1701 River Run Suite 1010
City: Fort Worth | State: TX | Zip Code: 76107
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Granger, Kay, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 12
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00310532
Transaction ID : B625620
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Eddie Bernice Johnson for Congress
Mailing Address: 3102 Maple Avenue Suite 605
City: Dallas | State: TX | Zip Code: 75201
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Johnson, Eddie, B, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 30
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00254573
Transaction ID : B625621
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Olson for Congress Committee
Mailing Address: PO Box 16381
City: Sugar Land | State: TX | Zip Code: 77496
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Olson, Pete, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 22
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00437913
Transaction ID : B626856
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Dr Brian Babin for Congress
Mailing Address: PO Box 159
City: Woodville | State: TX | Zip Code: 75979
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Babin, Brian, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 36
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C C00553859
Transaction ID : B627617
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Michael Burgess for Congress
Mailing Address: PO Box 2334
City: Denton | State: TX | Zip Code: 76202
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Burgess, Michael C., , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C C00372532
Transaction ID : B629210
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Rob Bishop For Congress
Mailing Address: P.O. Box 1776
City: Brigham City | State: UT | Zip Code: 84302
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Bishop, Rob, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: UT District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00374231
Transaction ID : B626858
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 8500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): People for Patty Murray
Mailing Address: PO Box 3662
City: Seattle | State: WA | Zip Code: 98124
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Murray, Patty, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: WA District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00257642
Transaction ID : B625608
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Dan Newhouse for Congress
Mailing Address: P.O. BOX 10949
City: Yakima | State: WA | Zip Code: 98909
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Newhouse, Daniel, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: WA District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00559393
Transaction ID : B625618
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): McKinley For Congress
Mailing Address: P.O. Box 642
City: Morgantown | State: WV | Zip Code: 26507
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: McKinley, David, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: WV District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00473132
Transaction ID : B626860
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Liz Cheney for Wyoming
Mailing Address: PO Box 697
City: Casper | State: WY | Zip Code: 82602
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Cheney, Elizabeth, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: WY District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C C00607556
Transaction ID : B625606
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Category/Type:
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Category/Type:
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶ 145000.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Fiona Ma for State Treasurer 2018
Mailing Address: 1032 Irving Street
City: San Francisco | State: CA | Zip Code: 94122
Purpose of Disbursement: P-2018 State Treasurer CA
Category/Type: 011
Candidate Name: Ma, Fiona, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: CA District:
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625433
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Baker for Assembly
Mailing Address: 4101 Dublin Blvd. Ste F
City: Dublin | State: CA | Zip Code: 94568
Purpose of Disbursement: G-2016 State House 16 CA
Category/Type: 011
Candidate Name: Baker, Catharine, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 16
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625434
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Cheryl Brown for Assembly
Mailing Address: 925 L Street #1402
City: Sacramento | State: CA | Zip Code: 95814
Purpose of Disbursement: G-2016 State House 47 CA
Category/Type: 011
Candidate Name: Brown, Cheryl, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 47
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625435
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Daly for Assembly 2016
Mailing Address: 174 W Lincoln Ave #250
City: Anaheim | State: CA | Zip Code: 92805
Purpose of Disbursement: G-2016 State House 69 CA
Category/Type: 011
Candidate Name: Daly, Tom, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 69
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625436
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Chad Mayes for Assembly
Mailing Address: 921 11th Street Suite 701
City: Sacramento | State: CA | Zip Code: 95814
Purpose of Disbursement: G-2016 State House 42 CA
Category/Type: 011
Candidate Name: Mayes, Chad, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 42
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625432
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): O'Donnell for Assembly 2014
Mailing Address: 4320 Atlantic Ave. #125
City: Long Beach | State: CA | Zip Code: 90807
Purpose of Disbursement: G-2016 State House 70 CA
Category/Type: 011
Candidate Name: O'Donnell, Patrick, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 70
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625437
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Jay Obernolte for Assembly
Mailing Address: 921 11th Street Suite 701
City: Sacramento | State: CA | Zip Code: 95814
Purpose of Disbursement: G-2016 State House 33 CA
Category/Type: 011
Candidate Name: Obernolte, Jay, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 33
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 13 / 2016
FEC Identification Number: C
Transaction ID : B625431
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Dahle for Assembly
Mailing Address: 976 Pacific Avenue
City: Willows | State: CA | Zip Code: 95988
Purpose of Disbursement: G-2016 State House 01 CA
Category/Type: 011
Candidate Name: Dahle, Brian, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 01
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629179
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Eric Linder for Assembly
Mailing Address: 1020 12th St. Ste 401
City: Sacramento | State: CA | Zip Code: 95814
Purpose of Disbursement: G-2016 State House 60 CA
Category/Type: 011
Candidate Name: Linder, Eric, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 60
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629180
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 6000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Citizens to Elect Randy Baumgardner
Mailing Address: 7320 W. 21 Ave.
City: Lakewood | State: CO | Zip Code: 80214
Purpose of Disbursement: G-2016 State Senate 8 CO
Category/Type: 011
Candidate Name: Baumgardner, Randy, L, ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 08
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626901
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Cmte to Elect J. Paul Brown
Mailing Address: 1776 CR 319
City: Ignacio | State: CO | Zip Code: 81137
Purpose of Disbursement: G-2016 State House 59 CO
Category/Type: 011
Candidate Name: Brown, J. Paul, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CO District: 59
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626900
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Katy Brown for Colorado
Mailing Address: 4040 S. Dexter St.
City: Cherry Hills Village | State: CO | Zip Code: 80113
Purpose of Disbursement: G-2016 State House 3 CO
Category/Type: 011
Candidate Name: Brown, Katy, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CO District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626898
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1200.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Committee to Elect Nancy Doty
Mailing Address: 4752 W. Aberdeen Place
City: Littleton | State: CO | Zip Code: 80123
Purpose of Disbursement: G-2016 State Senate 26 CO
Category/Type: 011
Candidate Name: Doty, Nancy, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626910
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Kevin Priola
Mailing Address: 12255 Ursula St.
City: Henderson | State: CO | Zip Code: 80640
Purpose of Disbursement: G-2016 State Senate 25 CO
Category/Type: 011
Candidate Name: Priola, Kevin, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 25
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626909
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Cmte to Elect Catherine Roupe
Mailing Address: 522 Lakewood Circle
City: Colorado Springs | State: CO | Zip Code: 80910
Purpose of Disbursement: G-2016 State House 17 CO
Category/Type: 011
Candidate Name: Roupe, Catherine, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CO District: 17
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626899
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1200.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Jack Tate for Colorado Senate
Mailing Address: 16255 E. Aberdeen Ave.
City: Centennial | State: CO | Zip Code: 80016
Purpose of Disbursement: G-2016 State Senate 27 CO
Category/Type: 011
Candidate Name: Tate, Jack, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 27
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626911
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Angela Williams for State Senate
Mailing Address: 8406 E 35th St.
City: Denver | State: CO | Zip Code: 80238
Purpose of Disbursement: G-2016 State Senate 33 CO
Category/Type: 011
Candidate Name: Williams, Angela, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 33
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626914
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Laura Woods for Senate
Mailing Address: 7034 Carr St.
City: Arvada | State: CO | Zip Code: 80004
Purpose of Disbursement: G-2016 State Senate 19 CO
Category/Type: 011
Candidate Name: Woods, Laura, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: CO District: 19
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626904
Amount of Each Disbursement this Period: 400.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1200.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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- [ ] 22
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- [ ] 27
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- [ ] 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): John Carson for State House Committee
Mailing Address: 4056 Bittersweet Drive
City: Roswell | State: GA | Zip Code: 30075
Purpose of Disbursement: G-2016 State House 46 GA
Category/Type: 011
Candidate Name: Carson, John, , ,
Office Sought: [x] House [ ] Senate [ ] President
State: GA District: 46
Disbursement For: 2016 [ ] Primary [x] General [ ] Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625438
Amount of Each Disbursement this Period: 1000.00
[ ] Memo Item

B. Full Name (Last, First, Middle Initial): GA House Republican Trust
Mailing Address: P.O. Box 430
City: Monroe | State: GA | Zip Code: 30655
Purpose of Disbursement: O-2016 State Party Cmte GA
Category/Type: 011
Candidate Name:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2016 [ ] Primary [ ] General [x] Other (specify) Not Applicable
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629172
Amount of Each Disbursement this Period: 1000.00
[ ] Memo Item

C. Full Name (Last, First, Middle Initial): Citizens for John Cullerton
Mailing Address: One N LaSalle St. Ste 2065
City: Chicago | State: IL | Zip Code: 60602
Purpose of Disbursement: P-2018 State Senate 06 IL
Category/Type: 011
Candidate Name: Cullerton, John, J, ,
Office Sought: [ ] House [x] Senate [ ] President
State: IL District: 06
Disbursement For: 2018 [x] Primary [ ] General [ ] Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625443
Amount of Each Disbursement this Period: 5000.00
[ ] Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Citizens for Hammond
Mailing Address: P.O. Box 694
City: Macomb | State: IL | Zip Code: 61455
Purpose of Disbursement: G-2016 State House 93 IL
Category/Type: 011
Candidate Name: Hammond, Norine, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 93
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625440
Amount of Each Disbursement this Period: 3000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Citizens for Kay
Mailing Address: PO Box 129
City: Glen Carbon | State: IL | Zip Code: 62034
Purpose of Disbursement: G-2016 State House 112 IL
Category/Type: 011
Candidate Name: Kay, Dwight, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625441
Amount of Each Disbursement this Period: 3000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Elect Dan McConchie
Mailing Address: PO Box 243
City: Lake Zurich | State: IL | Zip Code: 60047
Purpose of Disbursement: G-2016 State Senate 26 IL
Category/Type: 011
Candidate Name: McConchie, Dan, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IL District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625446
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Citizens to Elect Steven Reick
Mailing Address: P.O. Box 27
City: Harvard | State: IL | Zip Code: 60033
Purpose of Disbursement: G-2016 State House 63 IL
Category/Type: 011
Candidate Name: Reick, Steve, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 63
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625445
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Sue Rezin
Mailing Address: PO Box 932
City: Morris | State: IL | Zip Code: 60450
Purpose of Disbursement: G-2016 State Senate 38 IL
Category/Type: 011
Candidate Name: Rezin, Sue, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IL District: 38
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625442
Amount of Each Disbursement this Period: 3000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Friends of David A Welter
Mailing Address: PO Box 346
City: Morris | State: IL | Zip Code: 60450
Purpose of Disbursement: G-2016 State House 75 IL
Category/Type: 011
Candidate Name: Welter, David, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 75
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625447
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 6000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Friends of Peter Breen
Mailing Address: P.O. Box 76
City: Lombard | State: IL | Zip Code: 60148
Purpose of Disbursement: G-2016 State House 48 IL
Category/Type: 011
Candidate Name: Breen, Peter, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 48
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627626
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends Of Jehan Gordon
Mailing Address: PO Box 6591
City: Peoria | State: IL | Zip Code: 61601
Purpose of Disbursement: G-2016 State House 92 IL
Category/Type: 011
Candidate Name: Gordon, Jehan, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 92
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627619
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Cmte to Elect Brian Bosma
Mailing Address: PO Box 122
City: Indianapolis | State: IN | Zip Code: 46206
Purpose of Disbursement: G-2016 State House 88 IN
Category/Type: 011
Candidate Name: Bosma, Brian C., , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 88
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625439
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 6000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Holcomb for Indiana
Mailing Address: 101 W. Ohio Street Suite 1180
City: Indianapolis | State: IN | Zip Code: 46204
Purpose of Disbursement: G-2016 Governor IN
Category/Type: 011
Candidate Name: Holcomb, Eric, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: IN District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625448
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Committee to Elect Jim Baird
Mailing Address: P.O. Box 203
City: Greencastle | State: IN | Zip Code: 46135
Purpose of Disbursement: G-2016 State House 44 IN
Category/Type: 011
Candidate Name: Baird, Jim, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 44
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627621
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Friends of Doug Eckerty
Mailing Address: PO Box 55
City: Yorktown | State: IN | Zip Code: 47396
Purpose of Disbursement: P-2018 State Senate 26 IN
Category/Type: 011
Candidate Name: Eckerty, Doug, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IN District: 26
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627623
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 8000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Klinker for State Rep.
Mailing Address: 644 Kossuth Street
City: Lafayette | State: IN | Zip Code: 47905
Purpose of Disbursement: G-2016 State House 27 IN
Category/Type: 011
Candidate Name: Klinker, Sheila, J, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 27
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627620
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): MarkMessmer.com
Mailing Address: 795 E Scherle Ln
City: Jasper | State: IN | Zip Code: 47546
Purpose of Disbursement: P-2018 State Senate 48 IN
Category/Type: 011
Candidate Name: Messmer, Mark, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IN District: 48
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627625
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Sally Siegrist for Indiana State Representative Di
Mailing Address: 3665 Chesterfield Way
City: West Lafayette | State: IN | Zip Code: 47906
Purpose of Disbursement: G-2016 State House 26 IN
Category/Type: 011
Candidate Name: Siegrist, Sally, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627627
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Taxpayers for Greg Walker
Mailing Address: 3129 25th St. Unit 342
City: Columbus | State: IN | Zip Code: 47203
Purpose of Disbursement: P-2018 State Senate 41 IN
Category/Type: 011
Candidate Name: Walker, Greg, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IN District: 41
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627624
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Committee to Elect John T. Young
Mailing Address: 40 W. Court St. Ste. D
City: Franklin | State: IN | Zip Code: 46131
Purpose of Disbursement: G-2016 State House 47 IN
Category/Type: 011
Candidate Name: Young, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IN District: 47
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627622
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Tony Barton for Representative
Mailing Address: 1402 Franklin Street
City: Leavenworth | State: KS | Zip Code: 66048
Purpose of Disbursement: G-2016 State House 41 KS
Category/Type: 011
Candidate Name: Barton, Thomas, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 41
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626945
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Committee to Elect John Bradford
Mailing Address: 125 Rock Creek Loop
City: Lansing | State: KS | Zip Code: 66043
Purpose of Disbursement: G-2016 State House 40 KS
Category/Type: 011
Candidate Name: Bradford, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 40
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626944
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Cmte to Elect Ken Corbet
Mailing Address: 10351 SW 61st Street
City: Topeka | State: KS | Zip Code: 66610
Purpose of Disbursement: G-2016 State House 54 KS
Category/Type: 011
Candidate Name: Corbet, Ken, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 54
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626947
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Campaign Committee to Elect Willie Dove
Mailing Address: 14715 Timber Lane
City: Bonner Springs | State: KS | Zip Code: 66012
Purpose of Disbursement: G-2016 State House 38 KS
Category/Type: 011
Candidate Name: Dove, Willie, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 38
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626943
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Fitzgerald for Senate
Mailing Address: 3100 Tonganoxie Road Suite 101
City: Leavenworth | State: KS | Zip Code: 66048
Purpose of Disbursement: G-2016 State Senate 5 KS
Category/Type: 011
Candidate Name: Fitzgerald, Steve, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: KS District: 05
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626953
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Committee to Elect Amanda Grosserode
Mailing Address: 12601 W. 99th Street
City: Lenexa | State: KS | Zip Code: 66215
Purpose of Disbursement: G-2016 State House 16 KS
Category/Type: 011
Candidate Name: Grosserode, Amanda, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 16
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626941
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Ron Highland for State Representative
Mailing Address: 27487 Wells Creek Rd
City: Wamego | State: KS | Zip Code: 66547
Purpose of Disbursement: G-2016 State House 51 KS
Category/Type: 011
Candidate Name: Highland, Ron, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 51
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626946
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Jacob LaTurner for Senate
Mailing Address: 1015 Military Avenue
City: Baxter Springs | State: KS | Zip Code: 66713
Purpose of Disbursement: G-2016 State Senate 13 KS
Category/Type: 011
Candidate Name: LaTurner, Jacob, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: KS District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626951
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Cmte to Elect Mike Petersen
Mailing Address: 2608 Southwest Drive
City: Wichita | State: KS | Zip Code: 67216
Purpose of Disbursement: G-2016 State Senate 28 KS
Category/Type: 011
Candidate Name: Petersen, Mike, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: KS District: 28
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626960
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Committee to Elect Pilcher Cook
Mailing Address: 13910 West 58th Place
City: Shawnee | State: KS | Zip Code: 66216
Purpose of Disbursement: G-2016 State Senate 10 KS
Category/Type: 011
Candidate Name: Pilcher Cook, Mary, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: KS District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626950
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Committee to Elect Marc Rhoades

Mailing Address 1006 Lazy Creek Drive

City: Newton | State: KS | Zip Code: 67114

Purpose of Disbursement: G-2016 State House 72 KS

Category/Type: 011

Candidate Name: Rhoades, Marc, , ,

Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 72

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 09 / D D 20 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B626948

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Committee to Elect Ron Ryckman Jr.

Mailing Address 14234 W. 158th St.

City: Olathe | State: KS | Zip Code: 66062

Purpose of Disbursement: G-2016 State House 78 KS

Category/Type: 011

Candidate Name: Ryckman Jr., Ron, , ,

Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 78

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 09 / D D 20 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B626949

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
James Todd for Kansas House

Mailing Address 9812 W. 118th St.

City: Overland Park | State: KS | Zip Code: 66210

Purpose of Disbursement: G-2016 State House 29 KS

Category/Type: 011

Candidate Name: Todd, James, , ,

Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 29

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 09 / D D 20 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B626942

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Chuck Weber for State Representative
Mailing Address: 2331 Winstead Circle
City: Wichita | State: KS | Zip Code: 67226
Purpose of Disbursement: G-2016 State House 85 KS
Category/Type: 011
Candidate Name: Weber, Chuck, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: KS District: 85
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626952
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Francis Thompson Campaign Fund
Mailing Address: P.O. Box 68
City: Delhi | State: LA | Zip Code: 71232
Purpose of Disbursement: P-2019 State Senate 34 LA
Category/Type: 011
Candidate Name: Thompson, Francis, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: LA District: 34
Disbursement For: 2019 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625497
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Nick Bain for State Representative District 2
Mailing Address: 1514 N. Filmore St.
City: Corinth | State: MS | Zip Code: 38834
Purpose of Disbursement: P-2019 State House 2 MS
Category/Type: 011
Candidate Name: Bain, Nick, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: MS District: 02
Disbursement For: 2019 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625513
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 4500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Friends of Philip Gunn
Mailing Address: P.O. Box 1159
City: Clinton | State: MS | Zip Code: 39060
Purpose of Disbursement: P-2019 State House 56 MS
Category/Type: 011
Candidate Name: Gunn, Philip, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: MS District: 56
Disbursement For: 2019 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627631
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Tate Reeves
Mailing Address: PO Box 24355
City: Jackson | State: MS | Zip Code: 39225
Purpose of Disbursement: P-2019 Lt. Governor MS
Category/Type: 011
Candidate Name: Reeves, Tate, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: MS District:
Disbursement For: 2019 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627628
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Citizens for Buck Newton
Mailing Address: PO Box 2047
City: Wilson | State: NC | Zip Code: 27894
Purpose of Disbursement: G-2016 State Att. General NC
Category/Type: 011
Candidate Name: Newton, Buck, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: NC District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625444
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Committee to Elect David Lewis
Mailing Address: P.O. Box 1826
City: Dunn State: NC Zip Code: 28335
Purpose of Disbursement: G-2016 State House 53 NC
Category/Type: 011
Candidate Name: Lewis, David, L, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 53
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629175
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Committee to Elect Robert T. Reives II
Mailing Address: P.O. Box 36
City: Sanford State: NC Zip Code: 27331
Purpose of Disbursement: G-2016 State House 54 NC
Category/Type: 011
Candidate Name: Reives, Robert, T, , II
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 54
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629177
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Citizens for Flakoll
Mailing Address: 1350 2nd St. N
City: Fargo State: ND Zip Code: 58102
Purpose of Disbursement: G-2016 State Senate 44 ND
Category/Type: 011
Candidate Name: Flakoll, Tim, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: ND District: 44
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625495
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Citizens for Rezabek
Mailing Address: 111 W. First Street Suite 519
City: Dayton | State: OH | Zip Code: 45402
Purpose of Disbursement: G-2016 State House 43 OH
Category/Type: 011
Candidate Name: Rezabek, Jeffrey, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: OH District: 43
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625430
Amount of Each Disbursement this Period: 750.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Ryan Smith
Mailing Address: 63 Cedar Street
City: Gallipolis | State: OH | Zip Code: 45631
Purpose of Disbursement: G-2016 State House 93 OH
Category/Type: 011
Candidate Name: Smith, Ryan, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: OH District: 93
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625494
Amount of Each Disbursement this Period: 750.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Bill Brown for State Senate
Mailing Address: PO Box 140866
City: Broken Arrow | State: OK | Zip Code: 74102
Purpose of Disbursement: P-2018 State Senate 36 OK
Category/Type: 011
Candidate Name: Brown, Bill, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: OK District: 36
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625498
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Friends of Charles McCall
Mailing Address: P.O. Box 1405
City: Atoka | State: OK | Zip Code: 74525
Purpose of Disbursement: G-2016 State House 22 OK
Category/Type: 011
Candidate Name: McCall, Charles, A, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: OK District: 22
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625514
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Committee to Elect Rick Tillis
Mailing Address: PO Box 1396
City: Lewisburg | State: TN | Zip Code: 37091
Purpose of Disbursement: G-2016 State House 92 TN
Category/Type: 011
Candidate Name:
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 92
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626963
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Sam Whitson for State Representative
Mailing Address: 803 Fair Street
City: Franklin | State: TN | Zip Code: 37064
Purpose of Disbursement: G-2016 State House 65 TN
Category/Type: 011
Candidate Name: Whitson, Sam, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 65
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626961
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Jeremy Faison Campaign
Mailing Address: 1009 Country Mountain Road
City: Cosby | State: TN | Zip Code: 37722
Purpose of Disbursement: G-2016 State House 11 TN
Category/Type: 011
Candidate Name: Faison, Jeremy, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627636
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): John Ray Clemmons for TN House
Mailing Address: 2501 Oakland Ave.
City: Nashville | State: TN | Zip Code: 37212
Purpose of Disbursement: G-2016 State House 55 TN
Category/Type: 011
Candidate Name: Clemmons, John, R, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 55
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629199
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Steve Dickerson for State Senate
Mailing Address: 93 Victoria Park
City: Nashville | State: TN | Zip Code: 37205
Purpose of Disbursement: G-2016 State Senate 20 TN
Category/Type: 011
Candidate Name: Dickerson, Steve, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 20
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629192
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Re-elect Craig Fitzhugh
Mailing Address: 135 South Alpine
City: Ripley State: TN Zip Code: 38063
Purpose of Disbursement: G-2016 State House 82 TN
Category/Type: 011
Candidate Name: Fitzhugh, Craig, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 82
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629204
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Ferrell Haile for State Senate
Mailing Address: PO Box 1087
City: Galltin State: TN Zip Code: 37066
Purpose of Disbursement: G-2016 State Senate 18 TN
Category/Type: 011
Candidate Name: Haile, Ferrell, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 18
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629191
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Committee to Elect Kelly Keisling
Mailing Address: 1042 Cordell Hull Memorial Drive
City: Byrdstown State: TN Zip Code: 38549
Purpose of Disbursement: G-2016 State House 38 TN
Category/Type: 011
Candidate Name: Keisling, Kelly, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 38
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629196
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Jon Lundberg for Senate
Mailing Address: 212 Skyline Drive
City: Bristol State: TN Zip Code: 37620
Purpose of Disbursement: G-2016 State Senate 4 TN
Category/Type: 011
Candidate Name: Lundberg, Jon, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629195
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Doug Overbey for State Senate
Mailing Address: 1105 N. Heritage Drive
City: Maryville State: TN Zip Code: 37803
Purpose of Disbursement: G-2016 State Senate 2 TN
Category/Type: 011
Candidate Name: Overbey, Doug, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629189
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Campaign to Elect Joe Pitts
Mailing Address: 120 Vannoak Drive
City: Clarksville State: TN Zip Code: 37043
Purpose of Disbursement: G-2016 State House 67 TN
Category/Type: 011
Candidate Name: Pitts, Joe, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 67
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629202
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Committee to Elect Bill Sanderson

Mailing Address 3804 Concord Road

City: Kenton | State: TN | Zip Code: 38233

Purpose of Disbursement: G-2016 State House 77 TN

Category/Type: 011

Candidate Name: Sanderson, Bill, , ,

Office Sought: ☒ House ☐ Senate ☐ President

State: TN District: 77

Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)

Date of Disbursement: 09 / 30 / 2016

FEC Identification Number: C

Transaction ID : B629203

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Charles Sargent Campaign Fund

Mailing Address 214 War Memorial Bldg.

City: Nashville | State: TN | Zip Code: 37243

Purpose of Disbursement: G-2016 State House 61 TN

Category/Type: 011

Candidate Name: Sargent, Charles, M, ,

Office Sought: ☒ House ☐ Senate ☐ President

State: TN District: 61

Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)

Date of Disbursement: 09 / 30 / 2016

FEC Identification Number: C

Transaction ID : B629201

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Steve Southerland for State Senate

Mailing Address 4648 Harbor Drive

City: Morristown | State: TN | Zip Code: 37814

Purpose of Disbursement: P-2018 State Senate 01 TN

Category/Type: 011

Candidate Name: Southerland, Steve, , ,

Office Sought: ☐ House ☒ Senate ☐ President

State: TN District: 01

Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)

Date of Disbursement: 09 / 30 / 2016

FEC Identification Number: C

Transaction ID : B629188

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Bo Watson for Senate
Mailing Address: 1208 E Dallas Rd
City: Chattanooga | State: TN | Zip Code: 37405
Purpose of Disbursement: P-2018 State Senate 11 TN
Category/Type: 011
Candidate Name: Watson, Bo, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 11
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629190
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Jeff Yarbro for State Senate
Mailing Address: 4714 Nevada Ave
City: Nashville | State: TN | Zip Code: 37209
Purpose of Disbursement: P-2018 State Senate 21 TN
Category/Type: 011
Candidate Name: Yarbro, Jeff, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TN District: 21
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 30 / 2016
FEC Identification Number: C
Transaction ID : B629193
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Texas Legislative Black Caucus
Mailing Address: 1108 Lavaca St. Ste 110/PMB 171
City: Austin | State: TX | Zip Code: 78701
Purpose of Disbursement: Non-Fed Political Org-State
Category/Type: 011
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) Not Applicable
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625604
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): MALC
Mailing Address: 512 W Martin Luther Kin Jr Blvd #3
City: Austin | State: TX | Zip Code: 78701
Purpose of Disbursement: Non-Fed Political Org-State
Candidate Name:
Category/Type: 011
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) ▼ Not Applicable
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625605
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Carol Alvarado Campaign
Mailing Address: PO Box 230842
City: Houston | State: TX | Zip Code: 77223
Purpose of Disbursement: G-2016 State House 145 TX
Candidate Name: Alvarado, Carol, , ,
Category/Type: 011
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 14
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625603
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Rafael Anchia Campaign
Mailing Address: P.O. Box 4468
City: Dallas | State: TX | Zip Code: 75208
Purpose of Disbursement: G-2016 State House 103 TX
Candidate Name: Anchia, Rafael, , ,
Category/Type: 011
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify) ▼
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625508
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Friends of Cecil Bell Jr.
Mailing Address: PO Box 819
City: Magnolia State: TX Zip Code: 77355
Purpose of Disbursement: G-2016 State House 3 TX
Category/Type: 011
Candidate Name: Bell, Cecil, , , Jr.
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625557
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Bohac for State Representative
Mailing Address: 7941 Katy Freeway #230
City: Houston State: TX Zip Code: 77024
Purpose of Disbursement: G-2016 State House 138 TX
Category/Type: 011
Candidate Name: Bohac, Dwayne, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625602
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Cindy Burkett Campaign
Mailing Address: 226 Magic Ln.
City: Sunyvale State: TX Zip Code: 75182
Purpose of Disbursement: G-2016 State House 113 TX
Category/Type: 011
Candidate Name: Burkett, Cindy, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625510
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): DeWayne Burns for State Representative
Mailing Address: 703 Stonelake Drive
City: Cleburne | State: TX | Zip Code: 76033
Purpose of Disbursement: G-2016 State House 58 TX
Category/Type: 011
Candidate Name: Burns, DeWayne, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 58
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625581
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Giovanni Capriglione for Texas
Mailing Address: 1352 Ten Bar Trail
City: Southlake | State: TX | Zip Code: 76092
Purpose of Disbursement: G-2016 State House 98 TX
Category/Type: 011
Candidate Name: Capriglione, Giovanni, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 98
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625594
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Byron Cook for Texas House Campaign
Mailing Address: P.O. Box 1397
City: Corsicana | State: TX | Zip Code: 75151
Purpose of Disbursement: G-2016 State House 08 TX
Category/Type: 011
Candidate Name: Cook, Byron, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 08
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625556
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Friends of Brandon Creighton
Mailing Address: 2257 N. Loop 336 Ste. 140-366
City: Conroe | State: TX | Zip Code: 77304
Purpose of Disbursement: G-2016 State Senate 04 TX
Category/Type: 011
Candidate Name: Creighton, Brandon, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625548
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): John Cyrier Campaign
Mailing Address: 1301 Westwood Road
City: Lockhart | State: TX | Zip Code: 78644
Purpose of Disbursement: G-2016 State House 17 TX
Category/Type: 011
Candidate Name: Cyrier, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 17
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625561
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Tony Dale Campaign
Mailing Address: 2800 Whitestone Blvd. Ste 120/PMB
City: Cedar Park | State: TX | Zip Code: 78613
Purpose of Disbursement: G-2016 State House 136 TX
Category/Type: 011
Candidate Name: Dale, Tony, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625601
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Sarah Davis Campaign
Mailing Address: 4203 Tennyson St.
City: Houston | State: TX | Zip Code: 77005
Purpose of Disbursement: G-2016 State House 134 TX
Category/Type: 011
Candidate Name: Davis, Sarah, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625599
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Yvonne Davis Campaign
Mailing Address: PO Box 763368
City: Dallas | State: TX | Zip Code: 75376
Purpose of Disbursement: G-2016 State House 111 TX
Category/Type: 011
Candidate Name: Davis, Yvonne, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625595
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Cmte to Elect Joe Deshotel
Mailing Address: 1310 Calvin Dr.
City: Beaumont | State: TX | Zip Code: 77705
Purpose of Disbursement: G-2016 State House 22 TX
Category/Type: 011
Candidate Name: Deshotel, Joe, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 22
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625566
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2000.00

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SCHEDULE B (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Gary Elkins Campaign
Mailing Address: 16430 Koester
City: Jersey Village | State: TX | Zip Code: 77040
Purpose of Disbursement: G-2016 State House 135 TX
Category/Type: 011
Candidate Name: Elkins, Gary, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625600
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Dan Flynn Campaign Fund
Mailing Address: P.O. Box 669
City: Van | State: TX | Zip Code: 75790
Purpose of Disbursement: G-2016 State House 02 TX
Category/Type: 011
Candidate Name: Flynn, Dan, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625499
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): John Frullo Campaign
Mailing Address: 4024 75th Street
City: Lubbock | State: TX | Zip Code: 79423
Purpose of Disbursement: G-2016 State House 84 TX
Category/Type: 011
Candidate Name: Frullo, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 84
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625590
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Sylvia Garcia Campaign
Mailing Address: P.O. Box 8530
City: Houston | State: TX | Zip Code: 77249
Purpose of Disbursement: G-2016 State Senate 6 TX
Category/Type: 011
Candidate Name: Garcia, Sylvia, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 06
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625550
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Charles Geren Campaign
Mailing Address: PO Box 1440
City: Ft. Worth | State: TX | Zip Code: 76101
Purpose of Disbursement: G-2016 State House 99 TX
Category/Type: 011
Candidate Name: Geren, Charles, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 99
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625507
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Craig Goldman Campaign
Mailing Address: PO Box 100039
City: Fort Worth | State: TX | Zip Code: 76185
Purpose of Disbursement: G-2016 State House 97 TX
Category/Type: 011
Candidate Name: Goldman, Craig, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 97
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625593
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

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TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Kelly Hancock for Texas Senate
Mailing Address: 8944 Glendara
City: North Richland Hills | State: TX | Zip Code: 76182
Purpose of Disbursement: P-2018 State Senate 09 TX
Category/Type: 011
Candidate Name: Hancock, Kelly, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 09
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M 09 / D D 12 / Y Y Y Y 2016
FEC Identification Number: C
Transaction ID : B625553
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Joan Huffman Campaign
Mailing Address: 3375 Westpark Drive #135
City: Houston | State: TX | Zip Code: 77005
Purpose of Disbursement: P-2018 State Senate 17 TX
Category/Type: 011
Candidate Name: Huffman, Joan, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 17
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M 09 / D D 12 / Y Y Y Y 2016
FEC Identification Number: C
Transaction ID : B625554
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Todd Hunter Campaign
Mailing Address: 445 Cape Henry
City: Corpus Christi | State: TX | Zip Code: 78412
Purpose of Disbursement: G-2016 State House 32 TX
Category/Type: 011
Candidate Name: Hunter, Todd, A, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 32
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: M M 09 / D D 12 / Y Y Y Y 2016
FEC Identification Number: C
Transaction ID : B625569
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Tracy King Campaign
Mailing Address: 2018 East Main Street
City: Uvalde | State: TX | Zip Code: 78801
Purpose of Disbursement: G-2016 State House 80 TX
Category/Type: 011
Candidate Name: King, Tracy, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 80
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625589
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Ken King
Mailing Address: 15074 Marshall Drive
City: Canadian | State: TX | Zip Code: 79014
Purpose of Disbursement: G-2016 State House 88 TX
Category/Type: 011
Candidate Name: King, Ken, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 88
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625592
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Koop for Texas
Mailing Address: 15210 Leafy Ln.
City: Dallas | State: TX | Zip Code: 75248
Purpose of Disbursement: G-2016 State House 102 TX
Category/Type: 011
Candidate Name: Koop, Linda, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625596
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Lyle Larson Campaign
Mailing Address: PO Box 171148
City: San Antonio | State: TX | Zip Code: 78217
Purpose of Disbursement: G-2016 State House 122 TX
Category/Type: 011
Candidate Name: Larson, Lyle, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 12
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625512
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): J. M. Lozano Campaign
Mailing Address: 727 Arroyo Dr.
City: Kingsville | State: TX | Zip Code: 78363
Purpose of Disbursement: G-2016 State House 43 TX
Category/Type: 011
Candidate Name: Lozano, J.M., , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 43
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625505
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Eddie Lucio III Campaign
Mailing Address: 3705 Cottontail
City: Brownsville | State: TX | Zip Code: 78526
Purpose of Disbursement: G-2016 State House 38 TX
Category/Type: 011
Candidate Name: Lucio III, Eddie, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 38
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625575
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Armando Martinez Campaign
Mailing Address: PO Box 1651
City: Weslaco | State: TX | Zip Code: 78599
Purpose of Disbursement: G-2016 State House 39 TX
Category/Type: 011
Candidate Name: Martinez, Armando, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 39
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625504
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Jose Menendez Campaign
Mailing Address: P. O. Box 761780
City: San Antonio | State: TX | Zip Code: 78245
Purpose of Disbursement: G-2016 State Senate 26 TX
Category/Type: 011
Candidate Name: Menendez, Jose, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 26
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625502
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): The Will Metcalf Campaign
Mailing Address: 21302 W. FM 1097
City: Montgomery | State: TX | Zip Code: 77356
Purpose of Disbursement: G-2016 State House 16 TX
Category/Type: 011
Candidate Name: Metcalf, Will, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 16
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625560
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

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SCHEDULE B (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Sergio Munoz Jr. Campaign
Mailing Address: PO Box 1257
City: Mission | State: TX | Zip Code: 75873
Purpose of Disbursement: G-2016 State House 36 TX
Category/Type: 011
Candidate Name: Munoz, Sergio, , , Jr.
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 36
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625572
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Jim Murphy Campaign
Mailing Address: One Greenway Plaza #225
City: Houston | State: TX | Zip Code: 77046
Purpose of Disbursement: G-2016 State House 133 TX
Category/Type: 011
Candidate Name: Murphy, Jim, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 13
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625598
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Rene Oliveira Campaign
Mailing Address: 855 West Price Road Ste 9
City: Brownsville | State: TX | Zip Code: 78520
Purpose of Disbursement: G-2016 State House 37 TX
Category/Type: 011
Candidate Name: Oliveira, Rene, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 37
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625503
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Texans for Chris Paddie
Mailing Address: PO Box 8259
City: Marshall | State: TX | Zip Code: 75671
Purpose of Disbursement: G-2016 State House 9 TX
Category/Type: 011
Candidate Name: Paddie, Chris, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 09
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625558
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Tan Parker Campaign
Mailing Address: P.O. Box 271741
City: Flower Mound | State: TX | Zip Code: 75027
Purpose of Disbursement: G-2016 State House 63 TX
Category/Type: 011
Candidate Name: Parker, Tan, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 63
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625585
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Texans for Dade
Mailing Address: PO Box 848
City: Nederland | State: TX | Zip Code: 77627
Purpose of Disbursement: G-2016 State House 21 TX
Category/Type: 011
Candidate Name: Phelan, Dade, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 21
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625562
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Four Price for State Representative
Mailing Address: 2606 S. Lipscomb
City: Amarillo | State: TX | Zip Code: 79109
Purpose of Disbursement: G-2016 State House 87 TX
Category/Type: 011
Candidate Name: Price, Walter "Four", T, , IV
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 87
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625591
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Richard Raymond Campaign
Mailing Address: P.O. BOX 450349
City: Laredo | State: TX | Zip Code: 78045
Purpose of Disbursement: G-2016 State House 42 TX
Category/Type: 011
Candidate Name: Raymond, Richard, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 42
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625577
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Justin Rodriguez Campaign
Mailing Address: P.O. Box 100153
City: San Antonio | State: TX | Zip Code: 78201
Purpose of Disbursement: G-2016 State House 125 TX
Category/Type: 011
Candidate Name: Rodriguez, Justin, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 12
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625597
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Dr. Charles Schwertner for State Senate

Mailing Address P.O. Box 2448

City: Georgetown | State: TX | Zip Code: 78627

Purpose of Disbursement: P-2018 State Senate 5 TX

Category/Type: 011

Candidate Name: Schwertner, Charles, , ,

Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 05

Disbursement For: 2018
☒ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement: 09 / 12 / 2016

FEC Identification Number: C

Transaction ID : B625549

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Kel Seliger Campaign

Mailing Address P.O. Box 31748

City: Amarillo | State: TX | Zip Code: 79120

Purpose of Disbursement: P-2018 State Senate 31 TX

Category/Type: 011

Candidate Name: Seliger, Kel, , ,

Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 31

Disbursement For: 2018
☒ Primary ☐ General
☐ Other (specify)

Date of Disbursement: 09 / 12 / 2016

FEC Identification Number: C

Transaction ID : B625555

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Kenneth F. Sheets for State Representative

Mailing Address 6333 E. Mockingbird #147 PMB 869

City: Dallas | State: TX | Zip Code: 75214

Purpose of Disbursement: G-2016 State House 107 TX

Category/Type: 011

Candidate Name: Sheets, Kenneth, F, ,

Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 10

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement: 09 / 12 / 2016

FEC Identification Number: C

Transaction ID : B625509

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Drew Springer Campaign
Mailing Address: 320 East 4th St.
City: Muenster | State: TX | Zip Code: 76252
Purpose of Disbursement: G-2016 State House 68 TX
Category/Type: 011
Candidate Name: Springer, Drew, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 68
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625587
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Van Taylor for Texas Senate
Mailing Address: PO Box 261676
City: Plano | State: TX | Zip Code: 75026
Purpose of Disbursement: P-2018 State Senate 08 TX
Category/Type: 011
Candidate Name: Taylor, Van, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 08
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625551
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Friends of Ed Thompson
Mailing Address: PO Box 3453
City: Pearland | State: TX | Zip Code: 77588
Purpose of Disbursement: G-2016 State House 29 TX
Category/Type: 011
Candidate Name: Thompson, Edward, L, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 29
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625567
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Senfronia Thompson Campaign Cmte
Mailing Address: 4828 Loop Central Dr. #600
City: Houston | State: TX | Zip Code: 77081
Purpose of Disbursement: G-2016 State House 141 TX
Category/Type: 011
Candidate Name: Thompson, Senfronia, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 14
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625500
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Texans for Jason Villalba
Mailing Address: PO Box 670368
City: Dallas | State: TX | Zip Code: 75367
Purpose of Disbursement: G-2016 State House 114 TX
Category/Type: 011
Candidate Name: Villalba, Jason, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 12 / 2016
FEC Identification Number: C
Transaction ID : B625511
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Texans for Greg Abbott
Mailing Address: PO Box 308
City: Austin | State: TX | Zip Code: 78767
Purpose of Disbursement: P-2018 Governor TX
Category/Type: 011
Candidate Name: Abbott, Greg, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: TX District:
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626867
Amount of Each Disbursement this Period: 3000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 4500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Dennis Bonnen for State Rep. Campaign

Mailing Address 122 E Mrytle

City: Angleton | State: TX | Zip Code: 77515

Purpose of Disbursement: G-2016 State House 25 TX

Category/Type: 011

Candidate Name: Bonnen, Dennis, , ,

Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 25

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: 09 / 20 / 2016

FEC Identification Number: C

Transaction ID : B626915

Amount of Each Disbursement this Period: 1000.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Craig Estes for Texas Senate

Mailing Address P.O. Box 8287

City: Wichita Falls | State: TX | Zip Code: 76307

Purpose of Disbursement: P-2018 State Senate 30 TX

Category/Type: 011

Candidate Name: Estes, Craig, , ,

Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 30

Disbursement For: 2018
☒ Primary ☐ General
☐ Other (specify)

Date of Disbursement: 09 / 20 / 2016

FEC Identification Number: C

Transaction ID : B626895

Amount of Each Disbursement this Period: 1500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Glenn Hegar Campaign

Mailing Address 815-A Brazos #389

City: Austin | State: TX | Zip Code: 78701

Purpose of Disbursement: P-2018 State Comptroller TX

Category/Type: 011

Candidate Name: Hegar, Glenn, , ,

Office Sought: ☐ House ☐ Senate ☐ President
State: TX District:

Disbursement For: 2018
☒ Primary ☐ General
☐ Other (specify)

Date of Disbursement: 09 / 20 / 2016

FEC Identification Number: C

Transaction ID : B626892

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 5000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Celia Israel for State Representative District 50
Mailing Address: PO Box 141246
City: Austin | State: TX | Zip Code: 78714
Purpose of Disbursement: G-2016 State House 50 TX
Category/Type: 011
Candidate Name: Israel, Celia, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 50
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626916
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Texans for Joe Straus
Mailing Address: P.O. Box 90388
City: San Antonio | State: TX | Zip Code: 78209
Purpose of Disbursement: G-2016 State House 121 TX
Category/Type: 011
Candidate Name: Straus, Joe, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 12
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626897
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Royce West for State Senate
Mailing Address: 5787 South Hampton Rd. Suite 255
City: Dallas | State: TX | Zip Code: 77523
Purpose of Disbursement: P-2018 State Senate 23 TX
Category/Type: 011
Candidate Name: West, Royce, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 23
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626893
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 4500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Celia Israel for State Representative District 50
Mailing Address: PO Box 141246
City: Austin State: TX Zip Code: 78714
Purpose of Disbursement: G-2016 State House 50 TX
Category/Type: 011
Candidate Name: Israel, Celia, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 50
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627635
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): John Raney Campaign
Mailing Address: P.O. Box 11461
City: College Station State: TX Zip Code: 77842
Purpose of Disbursement: G-2016 State House 14 TX
Category/Type: 011
Candidate Name: Raney, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 14
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 23 / 2016
FEC Identification Number: C
Transaction ID : B627633
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Texans for Greg Abbott
Mailing Address: PO Box 308
City: Austin State: TX Zip Code: 78767
Purpose of Disbursement: P-2018 Governor TX
Category/Type: 011
Candidate Name: Abbott, Greg, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: TX District:
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B599755
Amount of Each Disbursement this Period: -3000.00
Voided: Original check dated 04/22/16
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ -2000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Dennis Bonnen for State Rep. Campaign
Mailing Address: 122 E Mrytle
City: Angleton | State: TX | Zip Code: 77515
Purpose of Disbursement: P-2016 State House 25 TX
Category/Type: 011
Candidate Name: Bonnen, Dennis, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 25
Disbursement For: 2016 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B592925
Amount of Each Disbursement this Period: -1000.00
☐ Memo Item
Voided: Original check dated 01/22/16

B. Full Name (Last, First, Middle Initial): Craig Estes for Texas Senate
Mailing Address: P.O. Box 8287
City: Wichita Falls | State: TX | Zip Code: 76307
Purpose of Disbursement: P-2018 State Senate 30 TX
Category/Type: 011
Candidate Name: Estes, Craig, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 30
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B599783
Amount of Each Disbursement this Period: -1500.00
☐ Memo Item
Voided: Original check dated 04/22/16

C. Full Name (Last, First, Middle Initial): Glenn Hegar Campaign
Mailing Address: 815-A Brazos #389
City: Austin | State: TX | Zip Code: 78701
Purpose of Disbursement: P-2018 State Comptroller TX
Category/Type: 011
Candidate Name: Hegar, Glenn, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: TX District:
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B599760
Amount of Each Disbursement this Period: -2500.00
☐ Memo Item
Voided: Original check dated 04/22/16

SUBTOTAL of Disbursements This Page (optional) ▶ -5000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Texans for Joe Straus
Mailing Address: PO Box 90388
City: San Antonio | State: TX | Zip Code: 78209
Purpose of Disbursement: G-2016 State House 121 TX
Category/Type: 011
Candidate Name: Straus, Joe, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 12
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B599762
Amount of Each Disbursement this Period: -2500.00
Voided: Original check dated 04/22/16
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Royce West for State Senate
Mailing Address: 5787 S. Hampton Rd. Suite 440
City: Dallas | State: TX | Zip Code: 75232
Purpose of Disbursement: P-2018 State Senate 23 TX
Category/Type: 011
Candidate Name: West, Royce, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: TX District: 23
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 09 / 28 / 2016
FEC Identification Number: C
Transaction ID : B599781
Amount of Each Disbursement this Period: -1500.00
Voided: Original check dated 04/22/16
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Fred Baldwin for Senate
Mailing Address: 2009 Aspen Springs Rd.
City: Kemmerer | State: WY | Zip Code: 83101
Purpose of Disbursement: G-2016 State Senate 14 WY
Category/Type: 011
Candidate Name: Baldwin, Fred, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: WY District: 14
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626958
Amount of Each Disbursement this Period: 250.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ -3750.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Committee to Elect Brian Boner
Mailing Address: 1078 Riverbend Drive
City: Douglas | State: WY | Zip Code: 82633
Purpose of Disbursement: G-2016 State Senate 2 WY
Category/Type: 011
Candidate Name: Boner, Brian, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: WY District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 20 / 2016
FEC Identification Number: C
Transaction ID : B626956
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Category/Type:
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Category/Type:
Candidate Name:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 500.00

TOTAL This Period (last page this line number only) ▶ 142350.00