08/19/2009 11:57

Image# 29934463420

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X	For	Other Than An Aut	horized Commi	ttee	(Office Use Only
1. NAME OF COMMITTEE (i		FEC MAILING LABEL	Example:If typing over the lines	ng, type		
Novartis Corpora	ation Political Actio	on Committee		1 1 1 1		
ADDRESS (number a	nd street)	01 Pennsylvania Ave. NW	Suite 725			
Check if dit than previo reported. (A	usly . v	Vashington			DC	20004 2608
2. FEC IDENTIFIC	ATION NUMBER	CIT	Y 🛕	;	STATE	ZIPCODE 🛕
C0003396	9	_	S THIS X	NEW (N) OR	AME (A)	NDED
July 1: Quarte Quarte Janua Quarte July 3 Repor	eports: 5 erly Report(Q1) 6 erly Report(Q2) er 15 erly Report(Q3)	Due On: Mar	General (3	n (12C)	X Aug 20 Sep 20 Oct 20 General (12 Special (12) Runoff (30F	Year Only) Dec 20 (M12 (Non-Election Year Only) Jan 31 (YE) G) in the State of
5. Covering Period	07	01 2009	through			2009
Type or Print Name of	•	rt and to the best of my kno Dan P Casserly	owledge and belief it	is true, correct	and complete.	
Signature of Treasure	er Electronicall	y Filed by Dan P Casse	rly	D	Date 08	19 2009
NOTE : Submission	of false, erroneous	s, or incomplete information	n may subject the pe	rson signing thi	s Report to the p	enalties of 2 U.S.C 437g.
Office Use						FEC FORM 3X (Rev. 12/2004)

FE6AN026

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

2/59

Write or Type Committee Name Novartis Corporation Political Action Committee

FEC Form 3X (Rev. 02/2003)

D " D 07 0 1 2009 0.7 3 1 2009 From: Report Covering the Period: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2009° 138871.31 January 1 (b) Cash on Hand at 90335.73 Begining of Reporting Period 30462.86 189402.28 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 120798.59 328273.59 6(a) and 6(c) for Column B) 19800.00 227275.00 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 100998.59 100998.59 (subtract Line 7 from Line 6(d)) Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D)

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 59

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From: 0.7

D D 0

^Y 2009

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м м 0 7 ^D 31

^Y 2009

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From: (a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	9680.61	43016.20
(ii) Unitemized	20782.25	146386.08
(iii) TOTAL (add Lines 11(a)(i) and (ii)	30462.86	189402.28
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)(d) Total Contributions (add Lines	0.00	0.00
11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	30462.86	189402.28
Transfers From Affiliated/Other Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
Loan Repayments Received Offsets To Operating Expenditures	0.00	0.00
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
to Federal candidates and Other Political Committees	0.00	0.00
7. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
8. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
9. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	30462.86	189402.28
Total Federal Receipts (subtract Line 18(c) from Line 19)	30462.86	189402.28

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

of Disbursements

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	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures: (a) Shared Federal/Non-Federal		1
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share		
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating	1050.00	1150.00
	Expenditures(c) Total Operating Expenditures	1030.00	1130.00
	(add 21(a)(i), (a)(ii) and (b))	1050.00	1150.00
22.	Transfers to Affiliated/Other Party	0.00	0.00
23.	Contributions to	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees	18000.00	218500.00
24.	Independent Expenditure	0.00	0.00
25.	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26.	Loan Repayments Made	0.00	0.00
7	Loans Made	0.00	0.00
	Refunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	0.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees		
	(such as PACs)	0.00	0.00
	(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
	(add Lines 25(a), (b), and (c))		
29.	Other Disbursements	750.00	7625.00
30.	Federal Election Activity (2 U.S.C 431(20))		
	(a) Shared Federal Election Activity		
	(from Schedule H6) (i) Federal Share	0.00	0.00
	(1) 1 Euclai Stiate		
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add	0.00	0.00
	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31.	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	19800.00	227275.00
32.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	19800.00	227275.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) 5 / 59

III. Net Contributions/Operating	COLUMN A	COLUMN B
Expenditures	Total This Period	Calendar Year-to-Date
Total Contributions (other than loans) rom Line 11(d), page 3)	30462.86	189402.28
Fotal Contribution Refunds from Line 28(d))	0.00	0.00
let Contributions (other than loans) subtract Line 34 from Line 33)	30462.86	189402.28
otal Federal Operating Expenditures add Line 21(a)(i) and Line 21(b))	1050.00	1150.00
Offsets to Operating Expenditures from Line 15, page 3)	0.00	0.00
let Operating Expenditures subtract Line 37 from Line 36)	1050.00	1150.00

FE6AN026

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 59 (check only one) X 11a
A	ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and ad	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
	Full Name (Last, First, Middle Initial) Gary J Appio Mailing Address One Health Plaza			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896489
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		30.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 210.00	
	Full Name (Last, First, Middle Initial) Frank J Arena			Date of Receipt
	Mailing Address One Health Plaza			07 20 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: A2009-3896599
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼		350.00	
	Full Name (Last, First, Middle Initial) Andrew Arline			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3897078
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		30.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive	e	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 210.00	
Γ	SUBTOTAL of Receipts This Page (optional) .	1		110.00

A. Form/Schedule : SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

SCHEDULE A (FEC Form 3X TEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 59 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full)	d Statements may the name and add	not be sold or used by any persolress of any political committee to	on for the purpose of soliciting contributions
Novartis Corporation Political Action	Committee		
Full Name (Last, First, Middle Initial) Michael Banko			Date of Receipt
Mailing Address One Health Plaza			07 D D 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896598 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	0.1300	50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify)	- ' '	Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Elisa Bauer			Date of Receipt
Mailing Address One Health Plaza			07 20 YYYY 2009
City	State	Zip Code	Transaction ID: A2009-3895861
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify)	0 0	350.00	
Full Name (Last, First, Middle Initial) Michael Beck			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896835 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	01900	75.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		7
Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 525.00	
SUBTOTAL of Receipts This Page (optional))		175.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule for each category of the Detailed Summary Pag	Crieck only one)
Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (In Full)	e name and address of any political comm	y person for the purpose of soliciting contributions littee to solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Timothy C Bedman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt 0 7 2 0 2 0 9 Transaction ID: A2009-3896544 Amount of Each Receipt this Period 46.16
Full Name (Last, First, Middle Initial) Rainer Boehm Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt M M M
Full Name (Last, First, Middle Initial) Peri K Bonner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 387.3	Date of Receipt M M M
SUBTOTAL of Receipts This Page (optional) .		252.50

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 59 (check only one) X 11a
_	Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
Α.	Full Name (Last, First, Middle Initial) Mark D Bordley			Date of Receipt
	Mailing Address One Health Plaza	01-1-	7'- 0-1-	07 20 2009
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3897555 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	07930	50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
- В.	Full Name (Last, First, Middle Initial) Troy L Borill			Date of Receipt
	Mailing Address One Health Plaza			$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
	City	State	Zip Code	Transaction ID: A2009-3896892
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		36.93
	Name of Employer Novartis Pharmaceuticals	Occupation Executive	е	
	Receipt For: Primary General Other (specify) ♥	Aggregate	e Year-to-Date ▼ 252.31	
С. С.	Full Name (Last, First, Middle Initial) Jacques Bramhall IV, IV			Date of Receipt
	Mailing Address One Health Plaza			07 20 7 2009
	City	State	Zip Code	Transaction ID: A2009-3896038
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 310.00	
	SUBTOTAL of Receipts This Page (optional) .			136.93
f	TOTAL This Period (last page this line number			

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and Sor for commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (Committee		
Α.	Full Name (Last, First, Middle Initial) Leonard J Brandt			Date of Receipt
	Mailing Address One Health Plaza			07 20 7 2009
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896576 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	07000	50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
– В.	Full Name (Last, First, Middle Initial) Ellen M Browne			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896665
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
- С.	Full Name (Last, First, Middle Initial) Thomas R Brunner			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3897109
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 60.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		7
	Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 370.00	
	SUBTOTAL of Receipts This Page (optional) .	1		160.00
	TOTAL This Period (last page this line number		<u> </u>	

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports a or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	nd Statements may not be sold or used by any person the name and address of any political committee on Committee	
Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza		Date of Receipt 0 7 20 9
City <u>East Hanover</u> FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2009-3897757 Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive Aggregate Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Richard Burns Mailing Address One Health Plaza		Date of Receipt 0 7 2 0 2 0 9
City <u>East Hanover</u> FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2009-3895847 Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Executive Aggregate Year-to-Date 210.00	
Full Name (Last, First, Middle Initial) Catherine T Burton Mailing Address One Health Plaza		Date of Receipt M M D D Y Y Y Y Y Y Y Y
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2009-3896809 Amount of Each Receipt this Period 189.70
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1318.64	
SUBTOTAL of Receipts This Page (options	ı)	269.70

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13/59 (check only one)
Any information copied from such Reports are or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions oslicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial) Ronald M Califre			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2009-3896570
East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 416.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 2912.00	
Full Name (Last, First, Middle Initial) James P Carey			Date of Receipt
Mailing Address One Health Plaza			0 7 2 0 7 2 0 9
City	State NJ	Zip Code	Transaction ID: A2009-3896826
East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 87.50
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼	 '	e Year-to-Date ▼ 582.56	
Full Name (Last, First, Middle Initial) James L Carrico			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896109 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07930	30.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	
SUBTOTAL of Receipts This Page (optional			533.50

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16
or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may not be sold or used by any persite name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
Novartis Corporation Political Action	i Committee	
Full Name (Last, First, Middle Initial) Daniel P Casserly		Date of Receipt
Mailing Address One Health Plaza		$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
City	State Zip Code	Transaction ID: A2009-3896261
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	200.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1400.00	
Full Name (Last, First, Middle Initial) Steven J Catalano	I	Date of Receipt
Mailing Address One Health Plaza		07 20 2009
City	State Zip Code	Transaction ID: A2009-3896858
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	86.67
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 606.69	
Full Name (Last, First, Middle Initial) Atindra N Chaturvedi	I	Date of Receipt
Mailing Address One Health Plaza		07 20 YYYYY 20 2009
City	State Zip Code	Transaction ID: A2009-3896497
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 350.00	
)	336.67

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 59 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Barbara Christensen-Boner Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing	State NJ	Zip Code 07936	0 7 2 0 2 0 0 9 Transaction ID: A2009-3895899 Amount of Each Receipt this Period 53.33
Receipt For: Primary Other (specify)	Occupatio Executive		
Full Name (Last, First, Middle Initial) Christina M Clinton Mailing Address One Health Plaza	0 0		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-3897571 Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General Other (specify) ▼	Occupatio Executive Aggregate]
Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza	<u> </u>		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-3896389 Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Executive Aggregate		1
Other (specify) ▼ SUBTOTAL of Receipts This Page (optional))	0 0 0 0 0 0 0	153.33

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 59 (check only one) X 11a
A 0	ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
	Full Name (Last, First, Middle Initial) Eric Dammeyer			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896149
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		60.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼	0 0	370.00	
	Full Name (Last, First, Middle Initial) Donald W DeGolyer	1		Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896602
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		40.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼		280.00	
	Full Name (Last, First, Middle Initial) Robert J Derbes			Date of Receipt
	Mailing Address One Health Plaza			$\begin{bmatrix} & M & M & / & D & D & / & Y & Y & Y & Y \\ 0 & 7 & & 2 & 0 & 0 & 9 & \end{bmatrix}$
	City	State	Zip Code	Transaction ID: A2009-3896052
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		35.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive	e	
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼		245.00	
	SUBTOTAL of Receipts This Page (optional)	1		135.00

SCHEDULE A (FEC Form 3) TEMIZED RECEIPTS	X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 59 (check only one) X
Any information copied from such Reports a or for commercial purposes, other than using	nd Statements mag g the name and add	not be sold or used by any person dress of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial) Candace B Dibblee			Date of Receipt
Mailing Address One Health Plaza			07 20 7 2009
City	State	Zip Code	Transaction ID: A2009-3896689
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Novartis Services Incorpo-	Occupatio Executive		
<u>rated</u> Receipt For:		e Year-to-Date ▼	
Primary General Other (specify) ▼	. 1991 09410	1578.29	
Full Name (Last, First, Middle Initial) David P Drake	L		Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City	State	Zip Code	Transaction ID: A2009-3896494
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		250.00
Name of Employer Novartis Services Incorpo- rated	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1591.88]
Full Name (Last, First, Middle Initial) David M Eberenz Jr., Jr.			Date of Receipt
Mailing Address One Health Plaza			07 D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2009-3896342
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		30.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		7
Receipt For:	Aggregate	e Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	210.00	
SUBTOTAL of Receipts This Page (option:	-1)		530.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	d Statements may not be sold or used by any personant the name and address of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial)	TI Committee	
James R Elkin Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	0 7 2 0 2 0 0 9 Transaction ID: A2009-3895966
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2912.00	
Full Name (Last, First, Middle Initial) David N Elsasser		Date of Receipt
Mailing Address One Health Plaza		07 20 7 2009
City	State Zip Code	Transaction ID: A2009-3895843
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 212.87	
Full Name (Last, First, Middle Initial) Michael R Emch		Date of Receipt
Mailing Address One Health Plaza		07 20 7 2009
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-3895796 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 212.87	
SUBTOTAL of Receipts This Page (optiona	l)	476.82
TOTAL This Period (last page this line num	·	

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 19 / 59 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) David R Epstein Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing	State NJ	Zip Code 07936	Transaction ID: A2009-3896550 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals	Occupatio Executive		100.00
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 700.00	
Full Name (Last, First, Middle Initial) Christopher Esposito Mailing Address One Health Plaza			Date of Receipt 0 7 2 0 7 2 0 0 9
City	State	Zip Code	Transaction ID: A2009-3896865
East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 75.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 525.00	
Full Name (Last, First, Middle Initial) Patrick G Francke			Date of Receipt
Mailing Address One Health Plaza			07 20 7 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3897593
FEC ID number of contributing federal political committee.	C	0/936	Amount of Each Receipt this Period 30.41
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		7
Receipt For: Primary General Other (specify) ▼	 	e Year-to-Date ▼ 212.87	
SUBTOTAL of Receipts This Page (optiona	1		205.41

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20 / 59 (check only one) X
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) H. P Frederick Mailing Address One Health Plaza			Date of Receipt M
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-3896367 Amount of Each Receipt this Period 50.77
Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive Aggregate		
Full Name (Last, First, Middle Initial) Debra E Freire Mailing Address One Health Plaza			Date of Receipt 0 7 2 0 2 0 9
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-3896594 Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate		
Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2009-3896430 Amount of Each Receipt this Period 119.03
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate		
SUBTOTAL of Receipts This Page (optional)		219.80

SCHEDULE A (FEC For ITEMIZED RECEIPTS	iii 3 <i>A)</i>	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 21/59 (check only one) X 11a
Any information copied from such Report for commercial purposes, other than	ports and Statements may n using the name and add	not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Committee		
Full Name (Last, First, Middle Initia Valerie L Gerbino	al)		Date of Receipt
Mailing Address One Health P	laza		07 20 2009
City	State	Zip Code	Transaction ID: A2009-3896733
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		30.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	
Full Name (Last, First, Middle Initia Thomas E Giles	al)		Date of Receipt
Mailing Address One Health P	laza		07 20 2009
City	State	Zip Code	Transaction ID: A2009-3896600
East Hanover	NJ ————————————————————————————————————	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		175.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive		
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	1225.00	
Full Name (Last, First, Middle Initia Robert Gines	al)		Date of Receipt
Mailing Address One Health P	laza		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2009-3896229
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		100.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	9	
Receipt For:	Aggregate	Year-to-Date ▼	_
Primary General Other (specify) ▼	0 0	700.00	
			305.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	I Statements may not be sold or used by any personal statements may not be sold or used by any personal statements and address of any political committee to	
Full Name (Last, First, Middle Initial) Brian M Goff Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt M M A 20 20 2009 Transaction ID: A2009-3897693 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate Year-to-Date 250.00	50.00
Full Name (Last, First, Middle Initial) Mark D Grebenau Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936 C	Date of Receipt M M
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) David E Gulick	Executive Aggregate Year-to-Date 1109.98]
David E Gulick Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate Year-to-Date ▼ 350.00	
SUBTOTAL of Receipts This Page (optional)		259.90

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Χ)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 23 / 59 (check only one)
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) Kurt Habel			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896490
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Sarah E Haller			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896385
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 50.00
Name of Employer Novartis Services Incorpo- rated	Occupatio Executive		
Receipt For: Primary General Other (specify)	- 1 '	e Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Jeffrey W Hardy			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3897190 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07000	30.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify)	- + -	e Year-to-Date ▼ 210.00	
SUBTOTAL of Receipts This Page (optional			130.00

IT	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS	totomonto mo	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 24 / 59 (check only one) X
or	ny information copied from such Reports and S for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	name and ad	y not be sold or used by any persidress of any political committee to	o solicit contributions from such committee.
∠ _ A .	Full Name (Last, First, Middle Initial) Daryl Harvey Mailing Address One Health Plaza City East Hanover	State NJ	Zip Code 07936	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	FEC ID number of contributing federal political committee.	C		40.00
	Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Executiv		
В.	Full Name (Last, First, Middle Initial) Jerilyn Hayes Mailing Address One Health Plaza			Date of Receipt 0 7 2 0 2 0 9
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896559
	FEC ID number of contributing federal political committee.	C	07300	Amount of Each Receipt this Period 35.00
	Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Executive Aggregate		
	Primary General Other (specify) ▼		245.00	
 С.	Full Name (Last, First, Middle Initial) Joseph P Hazelton Mailing Address One Health Plaza			Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896472
	FEC ID number of contributing federal political committee.	C	07330	Amount of Each Receipt this Period 33.39
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify) ▼	, '	e Year-to-Date ▼ 226.74	
s	UBTOTAL of Receipts This Page (optional)			108.39
	OTAL This Period (last page this line number	only)	•	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 25 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (In Full)	Statements may not be sold or used by any perso e name and address of any political committee to Committee	n for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Debbie L Henderson Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M M
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 75.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate Year-to-Date 375.00	
Full Name (Last, First, Middle Initial) Cynthia Hogan Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Novartis Phármáceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Executive Aggregate Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza		Date of Receipt 0 7 2 0 2 0 9
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-3896282 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Executive Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	210.00	
SUBTOTAL of Receipts This Page (optional) .		155.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 / 59 (check only one) X 11a
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (e name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
Full Name (Last, First, Middle Initial) Woodson M Hopkins Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State NJ C Occupatio Executive Aggregate	e Year-to-Date ▼	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Tull Name (Last, First, Middle Initial) Gene M Hughes Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State NJ C Occupatio Executive Aggregate		Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Mathias Hukkelhoven Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State NJ C		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Receipt For: Primary General Other (specify) SUBTOTAL of Receipts This Page (optional) . TOTAL This Period (last page this line number		e Year-to-Date ▼ 350.00	150.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 / 59 (check only one) X
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Statements may not be sold or used by any persone name and address of any political committee to	
Full Name (Last, First, Middle Initial) Karen A Jacobs Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 0 7 2 0 2 0 0 9 Transaction ID: A2009-3896563 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate Year-to-Date 280.00	40.00
Full Name (Last, First, Middle Initial) Jonathan S Jaffe Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 0 7 2 0 2 0 0 9 Transaction ID: A2009-3897208 Amount of Each Receipt this Period
federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive Aggregate Year-to-Date 350.00	50.00
Full Name (Last, First, Middle Initial) David S Jones Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Executive Aggregate Year-to-Date ▼	50.00
Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional)	350.00	140.00

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 28 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Active	and Statements may not be sold or used by any persong the name and address of any political committee to on Committee	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Sheldon Jones Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For:	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt O 7 20 2009 Transaction ID: A2009-3895947 Amount of Each Receipt this Period 79.97
Primary General Other (specify) ▼ Full Name (Last, First, Middle Initial) Tawfik Kamal Mailing Address One Health Plaza	553.63	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936 C Occupation Executive	Transaction ID: A2009-3896556 Amount of Each Receipt this Period 40.00
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 280.00	
Full Name (Last, First, Middle Initial) Erik L Karlsons Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M M D D Y Y Y Y Y Y Y Y
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Executive Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	210.00]
SUBTOTAL of Receipts This Page (option	nal)	149.97

CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 29 / 59 (check only one) X
ny information copied from such Reports and for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	he name and add	r not be sold or used by any person dress of any political committee to	on for the purpose of soliciting contributions
Novartis Corporation Folitical Action	Committee		
Full Name (Last, First, Middle Initial) Karen L Key Mailing Address One Health Plaza			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City	State	Zip Code	Transaction ID: A2009-3897502
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		350.00	
Full Name (Last, First, Middle Initial) Dennis S Keyes			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City	State	Zip Code	Transaction ID: A2009-3896231
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		38.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		262.41	
Full Name (Last, First, Middle Initial) Michael C Kincaid			Date of Receipt
Mailing Address One Health Plaza			07 20 YYYYY
City	State	Zip Code	Transaction ID: A2009-3897224
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		30.41
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:	Aggregate	Year-to-Date ▼	_
Primary General Other (specify) ▼		212.87	
SUBTOTAL of Receipts This Page (optional)			118.41

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	for each c	rate schedule(s) ategory of the summary Page	FOR LINE NUMBER: PAGE 30 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full)	d Statements may not be sold of the name and address of any p	or used by any perso political committee to	
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) Richard E Knapp			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City	State Zip Code	е	Transaction ID: A2009-3896159
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		200.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	1400.00	
Full Name (Last, First, Middle Initial) Mildred O Kowalski	Date of Receipt		
Mailing Address One Health Plaza			07 20 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Э	Transaction ID: A2009-3896843
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ♥	Aggregate Year-to-Date	202.05	
Full Name (Last, First, Middle Initial) Keith A LaDue			Date of Receipt
Mailing Address One Health Plaza			07 DDD / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	 	Transaction ID: A2009-3896479 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	350.00	
SUBTOTAL of Receipts This Page (optional)		300.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	X)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 31 / 59 (check only one)		
Any information copied from such Reports a or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee				
Full Name (Last, First, Middle Initial) Leigh A Leas			Date of Receipt		
Mailing Address One Health Plaza	-				
City East Hanover	State NJ	Zip Code 07936	7 20 2009 Transaction ID: A2009-3897520		
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 30.41		
Name of Employer Novartis Pharmaceuticals	Occupation Executive				
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 212.87			
Full Name (Last, First, Middle Initial) Richard E Lemire			Date of Receipt		
Mailing Address One Health Plaza	07 20 / Y Y Y Y Y				
City East Hanover	State NJ	Zip Code	Transaction ID: A2009-3895747		
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 83.72		
Name of Employer Novartis Pharma Suffern	Occupation Executive				
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 579.60			
Full Name (Last, First, Middle Initial) Karla L Lepper			Date of Receipt		
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3895781 Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C	07300	10.00		
Name of Employer Novartis Pharmaceuticals	Occupation Executive				
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 220.00			
SUBTOTAL of Receipts This Page (options	al)	\	124.13		

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Κ)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 32 / 59 (check only one)
Any information copied from such Reports are or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial) Gary D Lindenbaum			Date of Receipt
Mailing Address One Health Plaza	M M / D D / Y Y Y Y Y O O O O O O O O O O O O O O		
City	State	Zip Code	Transaction ID: A2009-3896500
East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals	Occupation		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 210.00	
Full Name (Last, First, Middle Initial) Jeffrey W Lockwood			Date of Receipt
Mailing Address One Health Plaza			0 7 2 0 7 2 0 9
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896674
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 50.00
Name of Employer NIBRI	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	- ' '	e Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Konstantine G Lolos			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896618 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	0.000	30.41
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 212.87	
SUBTOTAL of Receipts This Page (optional	- I		110.41

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 33 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	nd Statements may not be sold or used by any pers g the name and address of any political committee to on Committee	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial)		But (Buris)
Lon D Lowrey Mailing Address One Health Plaza		Date of Receipt 0 7 2 0 2 0 9
City	State Zip Code	Transaction ID: A2009-3895936
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	125.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 875.00	
Full Name (Last, First, Middle Initial) Brenda Luckritz	L	Date of Receipt
Mailing Address One Health Plaza		0 7 2 0 2 0 0 9
City	State Zip Code	Transaction ID: A2009-3895963
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	416.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 2912.00	
Full Name (Last, First, Middle Initial) Frank Maness		Date of Receipt
Mailing Address One Health Plaza		07 20 7 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: A2009-3897720
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer Novartis Finance Corporat- ion	Occupation Executive	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 212.87	
CURTOTAL of Descripts This Dags (antism	al)	571.41

TOTAL This Period (last page this line number only)

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 34 / 59 (check only one) X
[Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action (Committee		
Α.	Full Name (Last, First, Middle Initial) Mary L Manning Mailing Address One Health Plaza	Date of Receipt		
		07 20 2009		
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896913 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		83.33
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 583.31	
– В.	Full Name (Last, First, Middle Initial) Kenneth L Massey	Date of Receipt		
	Mailing Address One Health Plaza	07 20 7 2009		
	City	State	Zip Code	Transaction ID: A2009-3896298
	East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Occupation Executive		07936	Amount of Each Receipt this Period 50.00
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
с. С.	Full Name (Last, First, Middle Initial) William R Matthews	Date of Receipt		
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896410
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 41.27
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 286.11	
	SUBTOTAL of Receipts This Page (optional) .			174.60
	TOTAL This Period (last page this line number			

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 35 / 59 (check only one) X		
	Any information copied from such Reports and S or for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.		
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee				
Α.	Full Name (Last, First, Middle Initial) Edward G Mauceri			Date of Receipt		
	Mailing Address One Health Plaza	07 20 2009				
	City <u>East Hanover</u>	State NJ	Zip Code 07936	Transaction ID: A2009-3896619		
	FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 50.00		
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive				
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00			
В.	Full Name (Last, First, Middle Initial) William D Mc Laury	Date of Receipt				
	Mailing Address One Health Plaza	07 20 2009				
	City		Zip Code	Transaction ID: A2009-3896262		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee.	C		100.00		
	Name of Employer Novartis Pharmaceuticals	Occupation Executive				
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 700.00			
- C.	Full Name (Last, First, Middle Initial) Matthew C Mc Namara	Date of Receipt				
	Mailing Address One Health Plaza	07 20 YYYYY 2009				
	City	State	Zip Code	Transaction ID: A2009-3896297		
	East Hanover	NJ	07936	Amount of Each Receipt this Period		
	FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Occupation Executive			40.00		
	Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 280.00			
	SUBTOTAL of Receipts This Page (optional) .			190.00		
	TOTAL This Period (last page this line number					

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 36 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
(Any information copied from such Reports and sor for commercial purposes, other than using the	Statements mage name and add	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
)	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (Committee		
Α.	Full Name (Last, First, Middle Initial) Catharine M McGeehan	Date of Receipt		
	Mailing Address One Health Plaza	07 20 2009		
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896200 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		75.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 525.00	
– В.	Full Name (Last, First, Middle Initial) Paul J McGinley	Date of Receipt		
	Mailing Address One Health Plaza	07 20 2009		
	City State East Hanover NJ FEC ID number of contributing federal political committee.		Zip Code	Transaction ID: A2009-3897262
			07936	Amount of Each Receipt this Period 30.41
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 212.87	
c.	Full Name (Last, First, Middle Initial) Edward J McKenna			Date of Receipt
	Mailing Address One Health Plaza	07 20 YYYY 2009		
	City	State	Zip Code	Transaction ID: A2009-3897659
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 30.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 210.00	
	SUBTOTAL of Receipts This Page (optional) .			135.41
t	TOTAL This Period (last page this line number	r only)		

SCHEDULE A (FEC Form	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 37 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Report for commercial purposes, other than NAME OF COMMITTEE (In Full) Novartis Corporation Political	rts and Statements may not be sold or used by any per- using the name and address of any political committee Action Committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
Full Name (Last, First, Middle Initial Brian J McNamara Mailing Address One Health Pl City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Name of Employer Novartis Consumer Health Inc. Receipt For: Primary General Other (specify)	Occupation Sr. Vice President OTC Aggregate Year-to-Date 900.00	
Full Name (Last, First, Middle Initial Wayne P Merkelson Mailing Address One Health Pl. City East Hanover	State Zip Code NJ 07936	Date of Receipt M M
FEC ID number of contributing federal political committee. Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify)	Occupation Executive Aggregate Year-to-Date ▼ 525.00	75.00
Full Name (Last, First, Middle Initial Anthony J Mixon Mailing Address One Health Pl	iza State Zip Code	Date of Receipt M
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 42.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 294.00	
SUBTOTAL of Receipts This Page (c	otional)	217.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Statemente me	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 38 / 59 (check only one) X
	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (e name and ad	y not be sold or used by any persidress of any political committee to	or nor the purpose of soliciting contributions of solicit contributions from such committee.
A .	Full Name (Last, First, Middle Initial) Stacey L Moore Mailing Address One Health Plaza City East Hanover	State NJ	Zip Code 07936	Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	FEC ID number of contributing federal political committee.	С		33.63
	Name of Employer Novartis Pharmaceuticals Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive Aggregate		
В.	Full Name (Last, First, Middle Initial) Regina C Moran Mailing Address One Health Plaza	1		Date of Receipt 0 7 2 0 2 0 0 9
	City	State	Zip Code	Transaction ID: A2009-3896840
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 50.51
	Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	Occupation Executive Aggregate		1
_	Other (specify) ▼ Full Name (Last, First, Middle Initial)	0 0	001.00	1
C.	Glenn H Morton Mailing Address One Health Plaza			Date of Receipt 0 7 2 0 2 0 9
	City	State	Zip Code	Transaction ID: A2009-3896465
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 151.54
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	, '	e Year-to-Date ▼ 1050.54	
	SUBTOTAL of Receipts This Page (optional)	1		235.68
t	TOTAL This Period (last page this line number	onlv)	·	

	Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	d Statements may not be sold or used by any persthe name and address of any political committee to Committee	son for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Marion T Morton Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt O 7
Other (specify) ▼ Full Name (Last, First, Middle Initial) James R Niebanck Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Hugh M O'Dowd Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼ SUBTOTAL of Receipts This Page (optional	Executive Aggregate Year-to-Date ▼ 350.00	180.41

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 40 / 59 (check only one) X 11a
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) Jennifer R Orchard			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3895989
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	
Full Name (Last, First, Middle Initial) Serafina Oxner			Date of Receipt
Mailing Address One Health Plaza			07 20 YYYYY
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896637
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals	Occupation		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Melissa A Parker			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896712 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07000	30.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 210.00	
SUBTOTAL of Receipts This Page (optional	J)		110.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 41 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports an or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	d Statements may not be sold or used by any person the name and address of any political committee to in Committee	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Paul G Pochtar Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 0 7 2 0 2 0 9 Transaction ID: A2009-3896508 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: □ Primary □ General □ Other (specify) ▼	Occupation Executive Aggregate Year-to-Date 1155.00	165.00
Full Name (Last, First, Middle Initial) Diana Potter Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 350.00	Date of Receipt M M M / 20 / 2009 Transaction ID: A2009-3896504 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Marilyn Priestley Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Executive Aggregate Year-to-Date 280.00	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	255.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 42 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action (Committee		
Α.	Full Name (Last, First, Middle Initial) Charles M Proctor			Date of Receipt
	Mailing Address One Health Plaza	01-1-	7'- 0.4	07 20 2009
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896789
	FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 30.41
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 212.87	
– В.	Full Name (Last, First, Middle Initial) Brian C Prout Mailing Address One Health Plaza			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3897557
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive	е	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
с. С.	Full Name (Last, First, Middle Initial) Rebecca W Reid	Date of Receipt		
	Mailing Address One Health Plaza			07 20 7 2009
	City	State NJ	Zip Code	Transaction ID: A2009-3896711
	East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 46.96
	Name of Employer Novartis Pharmaceuticals	Occupation Executiv		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 324.24	
	SUBTOTAL of Receipts This Page (optional) .			127.37
T	TOTAL This Period (last page this line number	r only)		

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 43 / 59 (check only one) X 11a
A	ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma e name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
	Full Name (Last, First, Middle Initial) David P Riedel			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896369
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		68.97
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼		479.41	
_	Full Name (Last, First, Middle Initial) Randi C Roberts			Date of Receipt
	Mailing Address One Health Plaza			07 20 7 2009
	City	State	Zip Code	Transaction ID: A2009-3897312
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		350.00	
	Full Name (Last, First, Middle Initial) Kimberly A Ronan	<u> </u>		Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896238
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		30.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	_
	Primary General Other (specify) ▼		210.00	
Г	SUBTOTAL of Receipts This Page (optional)			148.97

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 44 / 59 (check only one) X 11a
A oi	ny information copied from such Reports and for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements ma ne name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions
	Novartis Corporation Political Action	Committee		
	Full Name (Last, First, Middle Initial) Bruce Ruscio			Date of Receipt
	Mailing Address 608 Fifth Avenue			07 02 7 2009
	City	State NY	Zip Code	Transaction ID: A2009-4118972
	New York FEC ID number of contributing federal political committee.	C	10020	Amount of Each Receipt this Period 36.92
	Name of Employer Novartis Corporation	Occupatio Manager		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 516.88	
_	Full Name (Last, First, Middle Initial) Bruce Ruscio			Date of Receipt
	Mailing Address 608 Fifth Avenue			07 15 2009
	City	State	Zip Code	Transaction ID: A2009-3951651
	New York	NY	10020	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		36.92
	Name of Employer Novartis Corporation	Occupatio Manager		
	Receipt For:	_ ·	e Year-to-Date ▼	
	Primary General Other (specify) ▼		553.80	
	Full Name (Last, First, Middle Initial) Bruce Ruscio			Date of Receipt
	Mailing Address 608 Fifth Avenue			07 31 2009
	City	State	Zip Code	Transaction ID: A2009-3951652
	New York FEC ID number of contributing	C	10020	Amount of Each Receipt this Period 36.92
	federal political committee.			
	Name of Employer Novartis Corporation	Occupatio Manager		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 590.72	
	SUBTOTAL of Receipts This Page (optional)	1		110.76

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Χ)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 45/59 (check only one)
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions osolicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial) Jason T Russell			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2009-3895884
East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 58.61
Name of Employer Novartis Pharmaceuticals	Occupation		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 403.88	
Full Name (Last, First, Middle Initial) Christopher Sellin			Date of Receipt
Mailing Address One Health Plaza			07 20 20 2009
City East Hanover	State NJ	Zip Code	Transaction ID: A2009-3897719
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 30.00
Name of Employer Novartis Pharmaceuticals	Occupation Manager		
Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 210.00	
Full Name (Last, First, Middle Initial) Joseph M Simon			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896239 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07330	40.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional	al)		128.61

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	ζ)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 46 / 59 (check only one)
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) Eric M Snedecor			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y O O O O O O O O O O O O O O
City	State	Zip Code	Transaction ID: A2009-3895735
East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼		e Year-to-Date ▼ 350.00	
Full Name (Last, First, Middle Initial) Katherine E Solon			Date of Receipt
Mailing Address One Health Plaza			07 20 7 Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3897496
FEC ID number of contributing federal political committee.	C	07330	Amount of Each Receipt this Period 250.00
Name of Employer Novartis Services Incorpo- rated	Occupatio Executive		
Receipt For: Primary General Other (specify)		e Year-to-Date ▼ 1610.92	
Full Name (Last, First, Middle Initial) William S Spelta			Date of Receipt
Mailing Address One Health Plaza			07 20 2009
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3895853 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07330	30.41
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 212.87	
SUBTOTAL of Receipts This Page (optional	.l\		330.41

SCHEDULE A	(FEC Form 3X) CEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 47 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 1
or for commercial purp	oses, other than using the nar	me and add	not be sold or used by any personess of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, F				Date of Receipt
City East Hanover		State NJ	Zip Code 07936	7 20 2009 Transaction ID: A2009-3895775 Amount of Each Receipt this Period
FEC ID number of federal political con Name of Employer Novartis Pharmace	nmittee.	Occupation Executive		115.00
Receipt For: Primary Other (specif	General y) ▼	Aggregate	Year-to-Date ▼ 805.00	
Full Name (Last, F Donald P Stevens Mailing Address	rst, Middle Initial) One Health Plaza			Date of Receipt 0 7 2 0 2 0 0 9
City East Hanover		State NJ	Zip Code 07936	Transaction ID: A2009-3896000 Amount of Each Receipt this Period
FEC ID number of federal political con		C		75.00
Name of Employer Novartis Pharmace	uticals	Occupation Executive)	
Receipt For: Primary Other (specif	General	Aggregate	Year-to-Date ▼ 525.00	
Full Name (Last, F	· ,			Date of Receipt
Mailing Address City	One Health Plaza	State	Zip Code	07 20 2009
East Hanover		NJ	07936	Transaction ID: A2009-3896557 Amount of Each Receipt this Period
FEC ID number of federal political con		C		50.00
Name of Employer Novartis Pharmace	uticals	Occupation Executive)	
Receipt For: Primary Other (specif	General y) ▼	Aggregate	Year-to-Date ▼ 350.00	
SUBTOTAL of Rece	pts This Page (optional)			240.00

ľ	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS Any information copied from such Reports and S	itatamente ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 48 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	name and add	dress of any political committee to	osolicit contributions from such committee.
A.	Full Name (Last, First, Middle Initial) Thomas A Suter Mailing Address One Health Plaza City East Hanover	State NJ	Zip Code 07936	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Public Af Aggregate		50.00
В.	Full Name (Last, First, Middle Initial) Kamran Tavangar Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State NJ C Occupatio Executive Aggregate		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
_ С.	Full Name (Last, First, Middle Initial) Barbara A Tombros Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State NJ C Occupation Executive Aggregate		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
┝	SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number			151.55

П	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS	totomonte ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 49 / 59 (check only one) X
	ny information copied from such Reports and S for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	name and add	y not be sold or used by any persidress of any political committee to	os solicit contributions from such committee.
A.	Full Name (Last, First, Middle Initial) Christina M Tremains Mailing Address One Health Plaza City East Hanover	State NJ	Zip Code 07936	Date of Receipt M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate		62.25
В.	Full Name (Last, First, Middle Initial) Thomas A Urban Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State NJ C Occupation Executive Aggregate		Date of Receipt M M J D D J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
 с.	Full Name (Last, First, Middle Initial) Lisa R Utt Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State NJ C Occupation Executive Aggregate		Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number			122.10

	DULE A (FEC Form 3X) ZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 50 / 59 (check only one) X 11a 11b 11c 12 13 14 15 16 17
or for cor	mmercial purposes, other than using the	tatements ma name and ad	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
\	E OF COMMITTEE (In Full) artis Corporation Political Action C	Committee		
4. Edwir	lame (Last, First, Middle Initial)			Date of Receipt
	g Address One Health Plaza			07 20 2009
City Fast	Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3897372 Amount of Each Receipt this Period
FEC	ID number of contributing al political committee.	C		50.00
Name Nova rated	e of Employer rtis Services Incorpo-	Occupatio Executiv		
Recei	pt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 350.00	
3. Willia	lame (Last, First, Middle Initial) m W Voegtli			Date of Receipt
Mailin 	g Address One Health Plaza			07 20 2009
City	Hanavar	State	Zip Code	Transaction ID: A2009-3895946
FEC	Hanover ID number of contributing al political committee.	C	07936	Amount of Each Receipt this Period 30.41
Name Nova	e of Employer rtis Pharmaceuticals	Occupatio Executive		
Recei	pt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 212.87	
	lame (Last, First, Middle Initial) w J Volante			Date of Receipt
Mailin	g Address One Health Plaza			07 20 2009
City	Llanavar	State	Zip Code	Transaction ID: A2009-3896377
FEC	Hanover ID number of contributing al political committee.	C	07936	Amount of Each Receipt this Period 100.00
Name Nova	e of Employer rtis Pharmaceuticals	Occupatio Executive		
	pt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 700.00	
SUBTO	TAL of Receipts This Page (optional)			180.41
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	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 51 / 59 (check only one) X 11a
	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements mage name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action (Committee		
Α.	Full Name (Last, First, Middle Initial) Cynthia K Walker			Date of Receipt
	Mailing Address One Health Plaza	Ctata	7:- Onda	07 20 2009
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2009-3896398 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		30.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 210.00	
- В.	Full Name (Last, First, Middle Initial) Stephan M Webb			Date of Receipt
	Mailing Address One Health Plaza			07 20 2009
	City	State	Zip Code	Transaction ID: A2009-3896881
	East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For: Primary General Other (specify) ♥	Aggregate	e Year-to-Date ▼ 350.00]
с. С.	Full Name (Last, First, Middle Initial) Michael D Webster			Date of Receipt
	Mailing Address One Health Plaza			07 20 7 2009
	City	State NJ	Zip Code	Transaction ID: A2009-3896721
	East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 43.05
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		7
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 291.15	
	SUBTOTAL of Receipts This Page (optional) .			123.05
f	TOTAL This Period (last page this line number		<u> </u>	

SCHEDULE A (FEC Form ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 52 / 59 (check only one) X 11a
Any information copied from such Reports or for commercial purposes, other than us NAME OF COMMITTEE (In Full) Novartis Corporation Political Ad	s and Statements may not be sold or used by any persing the name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Jane E Welborn Mailing Address One Health Plaz		Date of Receipt
City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID: A2009-3896044 Amount of Each Receipt this Period
Federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Executive Aggregate Year-to-Date ▼ 361.26	52.00
Full Name (Last, First, Middle Initial) John H Willson Mailing Address One Health Plaz	a	Date of Receipt 0 7 2 0 2 0 0 9
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2009-3897402 Amount of Each Receipt this Period 50.00
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation Executive Aggregate Year-to-Date ▼ 310.82	
Full Name (Last, First, Middle Initial) Stephen A Woolford Mailing Address One Health Plaz	a	Date of Receipt 0 7 2 0 2 0 0 9
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2009-3896632 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	Convention	50.00
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation Executive Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	350.00	
SUBTOTAL of Receipts This Page (opti	onal)	152.00

A.

SCHEDULE A (FEC Form 3X)

Other (specify)

FOR LINE NUMBER: PAGE 53/59 Use separate schedule(s) (check only one) for each category of the **ITEMIZED RECEIPTS** 11a 11b 11c **Detailed Summary Page** 13 14 16 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Date of Receipt Anthony J Yost Mailing Address One Health Plaza 07 20 2009 City State Zip Code Transaction ID: A2009-3897769 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: Aggregate Year-to-Date Primary General 250.00

SUBTOTAL of Receipts This Page (optional)	•	50.00
TOTAL This Period (last page this line number only)	•	9680.61

State: NJ

District:

Not Applicable

A.

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	CHEDULE B (FEC Form 3X)	Use separate schedule(s)		OR LIN		NUMBER:					PAGE 54/59			
IT	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	X	21b 27		22 28a	ш.	23 28b		24 28c	Н	25 29	Н	26 30b
	y Information copied from such Reports and State for commercial purposes, other than using the nan	•	, ,	•			•			_				
$\overline{\ }$	NAME OF COMMITTEE (In Full)													
<u>/</u>	Novartis Corporation Political Action Com	mittee												
	Full Name (Last, First, Middle Initial)					Trans	actio	on ID:	: В	32708	75			
	Novartis Corporation					Date				nt				
	Mailing Address One Health Plaza					0 ^M 7	M /	^D 2	28	/ Y	ž	0 Ď 9	Y	
	City	State Zip Code	•						Dis	burser	men	t this P	erio	od .
	East Hanover	NJ 07936									10	EO 00		
	Purpose of Disbursement Reimburse Corporation for TN PAC admin expen	ses	00	1			0			-	Ĭ O	50.00		
	Candidate Name		Categ											
	Office Sought: House Disburs Senate	ement For: 2009 Primary General												
	President													

SUBTOTAL of Disbursements This Page (optional)	<u> </u>	1050.00
TOTAL This Period (last page this line number only)	•	1050.00

		Use separate schedule(s)			R LINE eck only		n.			LA	GL	55 / 5	3
	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		Ì	21b 27	22 28a	X	23 28b		24 28c	\perp	25 29	2 3
	y Information copied from such Reports and Stater for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Com	e and address of any political											
	Full Name (Last, First, Middle Initial) Senate Majority Fund Mailing Address 507 Capitol Court NE #*	00				Trans Date of	of Di	sburs				0 ŏ 9	Y
	City Washington	State Zip Code DC 20002				Amou	int o	f Each	n Disk	ourse	-	this P	eriod
	Purpose of Disbursement Contribution Candidate Name		Ca	011 tego	ory/		•	•			100	00.00	•
	Senate President	ement For: 2009 Primary General Other (specify)	<u> </u>	79.									
	Full Name (Last, First, Middle Initial) Issa for Congress Mailing Address P.O. Box 368					Trans Date o		sburs				0 ŏ 9	Y
	City Falls Church Purpose of Disbursement Contribution Candidate Name Darrell Issa	State Zip Code VA 22040	Ca	011 tegα Γγρε	ory/	Amou	int o	f Each	n Disk	ourse		this P	eriod
	9 1	ement For: 2010 Primary General Other (specify)											
	Full Name (Last, First, Middle Initial) Price for Congress Mailing Address P.O. Box 425					Trans Date o		sburs		2708 nt		o ŏ 9	Y
	City Roswell	State Zip Code GA 30077				Amou	int o	f Each	n Disk	oursei	ment	this P	eriod
	Purpose of Disbursement Contribution Candidate Name Thomas E Price	011 Category/ Type				L.	•	•			250	00.00	•
	9 1	ement For: 2010 Primary General Other (specify)		<i>→</i> 1									
Г	UBTOTAL of Disbursements This Page (optional)				•				•		450	0.00	

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• •	EMIZED DISBURSEMEN	ITS for each	category of the Summary Page	(check only	7 one)
				d by any person f	or the purpose of soliciting contributions
Ori	for commercial purposes, other than usi NAME OF COMMITTEE (In Full)	ng the name and addre	ess of any politica	Committee to so	icit contributions from such committee
\rangle	Novartis Corporation Political Ac	tion Committee			
	Full Name (Last, First, Middle Initial) AmeriPAC: The Fund for A Grea	ter America			Transaction ID: B270843 Date of Disbursement
	Mailing Address 499 S. Capitol	Street SW			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 & M \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 8 \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
	City Washington	State DC	Zip Code 20003		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution			011	2500.00
	Candidate Name	<u> </u>		Category/ Type	
	Office Sought: House Senate President	Disbursement For: Primary X Other (spe	2009 General		
	State: MD District:	Not Applicable	50 y) \		
	Full Name (Last, First, Middle Initial) Cummings for Congress	1			Transaction ID: B270871 Date of Disbursement
	Mailing Address 2901 Druid Par	rk Dr. Suite 203			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 8 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
	City Baltimore	State MD	Zip Code 21215		Amount of Each Disbursement this Period
	Purpose of Disbursement Contribution			011	500.00
	Candidate Name Elijah E Cummings			Category/ Type	
	Office Sought: X House Senate President	Disbursement For: X Primary Other (spe	2010 General ecify)		
	State: MD District: 07	<u> </u>			
	Full Name (Last, First, Middle Initial) Longleaf Pine PAC				Transaction ID: B270842 Date of Disbursement
	Mailing Address 426 C Street N	E			$\begin{bmatrix} \begin{smallmatrix} M & 7 & M \\ 0 & 7 & M \end{smallmatrix} \end{bmatrix} / \begin{bmatrix} \begin{smallmatrix} D & 2 & B \\ 2 & 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix}$
		State	Zip Code		Amount of Each Disbursement this Period
	City Washington	DC	20002		4000 00
	Washington Purpose of Disbursement Contribution	DC	20002	011	1000.00
	Washington Purpose of Disbursement Contribution Candidate Name			011 Category/ Type	1000.00
	Washington Purpose of Disbursement Contribution Candidate Name Office Sought: House Senate President	Disbursement For: Primary X Other (spe	2009 General	Category/	1000.00
	Washington Purpose of Disbursement Contribution Candidate Name Office Sought: House Senate	Disbursement For:	2009 General	Category/	1000.00

3011	IEDULE E	3 (FEC Form	3A)	Use sepa	arate schedule(s)			NUMBE	:K:		PA	GE 5	0//58)
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		ed from such Reports												
\ NA	AME OF COM	MITTEE (In Full) pration Political Ac			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,									
	,	First, Middle Initial) for Congress								ID: E		39		
Ma	ailing Address	P.O. Box 2957	6					0 ^M 7	M /	^D 2 8	/ Y	ž 0	ŏ9	
Cit W	ty ashington		Sta D0		Zip Code 20017			Amou	unt of E	ach Dis	burse			rioc
Co	urpose of Disbu	ırsement				011		L.	•			1000	0.00	
Ro	andidate Name odney P Freli		1 5			tegory, ype	/							
	fice Sought:	X House Senate President		ent For: rimary ether (spe	2010 General									
	ate: NJ Ill Name (Last,	District: 11 First, Middle Initial)						Trans	saction	ID: E	32708	1 0		
Fri	iends for Gre	egory Meeks						Date		urseme			Υ " \	Y
Ма	ailing Address	1010 Vermont	Ave. NW St	e 814				0 ^M 7		^D 2 8		20	ŏ9	
Cit W	ty 'ashington		Sta D0		Zip Code 20005			Amo	unt of E	ach Dis	burse			rio
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Gr	andidate Name regory W Me	eks				tegory ype	/							
	fice Sought:	X House Senate President District: 06		ent For: rimary other (spe	2010 General									
Fu	ıll Name (Last,	First, Middle Initial) e-elect Ed Towns						Date	of Disb	ID: E		67		_
Ma	ailing Address	426 C Street N	IE					0 ^M 7	M /	^D 2 8	/ Y	ž o	ŏ9	
Cit W	ty ashington		Sta D0		Zip Code 20002			Amou	unt of E	ach Dis	burse			rio
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Ed	andidate Name dolphus Tow					tegory ype	/							
Off	fice Sought:	X House Senate	1	ent For: rimary other (spe	2010 General									
	ate: NY	President District: 10		tiloi (ope	Ciry) \									

SCHEDOLL B (I LC I OHII SX)	Use separate schedule(s)	(check o	E NUMBER: nlv one)	PAGE	58 / 59
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 28a 28b	24 28c	25 29 3
Any Information copied from such Reports and State or for commercial purposes, other than using the normal NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Co	ame and address of any politica				
Full Name (Last, First, Middle Initial) Friends of John Boehner Mailing Address 104 Hume Avenue			Date of Disbur		0 0 9 Y
City Alexandria	State Zip Code VA 22301		Amount of Eac	ch Disbursement	
Purpose of Disbursement Contribution Candidate Name John A Boehner		011 Category/ Type		150	00.00
Office Sought: X House Senate President State: OH District: 08	rsement For: 2010 X Primary General Other (specify)	1,750	_		
Full Name (Last, First, Middle Initial) Coburn for Senate 2010 Mailing Address 217 Third Street SE			Transaction I Date of Disbut		0 0 9 °
City Washington Purpose of Disbursement Contribution Candidate Name	State Zip Code DC 20003	011 Category/	Amount of Eac	ch Disbursement 250	this Period
Tom Coburn	rsement For: 2010 X Primary General Other (specify)	Type			
Full Name (Last, First, Middle Initial) Making Business Excel PAC			Transaction I Date of Disbur	rsement	
Mailing Address P.O. Box 3241			07	28 / Y 2	0 0 9 °
City Cheyenne	State Zip Code WY 82003		Amount of Eac	ch Disbursement	
Purpose of Disbursement Contribution Candidate Name		011 Category/ Type	L	250	00.00
Senate President	rsement For: 2009 Primary General X Other (specify) Applicable				
SUBTOTAL of Disbursements This Page (option	•			650	0.00
TOTAL This Period (last page this line number or				1800	0.00

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District:

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S	CHEDULE B (FEC Form 3X)	Use sena	rate schedule(s)	FOR LINE		PAGE	AGE 59 / 59								
IT	EMIZED DISBURSEMENTS	for each c	category of the Summary Page	(check only 21b 27	22	23 2 28b 2	4 X	25 29	$\mathbf{\square}$	26 30b					
	y Information copied from such Reports and Statem for commercial purposes, other than using the name								3						
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Comm														
	Full Name (Last, First, Middle Initial) Friends of Gary Day				Transaction ID: B270879 Date of Disbursement 0 7 2 8 7 2 0 0 9										
	,	State PA	Zip Code 18053		Amount of Each Disbursement this Period										
	Purpose of Disbursement P-2010 State House 187 PA	PA	18053	011	250.00										
	Candidate Name Gary Day			Category/ Type											
		ment For: Primary Other (spec	2010 General												
	Full Name (Last, First, Middle Initial) Friends to Elect Mike McGeehan				Transaction Date of Dis	bursement		Y	Y						
	Mailing Address 4401 Cottman Avneue				0 7 4	^D 28 ^D	2	0 0 9)						
		State PA	Zip Code 19136		Amount of	Each Disbu		-		_					
	Purpose of Disbursement P-2010 State House 173 PA			011			50	00.00)	_					
	Candidate Name Mike McGeehan			Category/ Type											
		ment For: Primary Other (spec	2010 General												

			-			-
SUBTOTAL of Disbursements This Page (optional)	•				750.00	
				-		
TOTAL This Period (last page this line number only)			 		 750.00	

State: