

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.
Securities Industry and Financial Markets Association Political Action Committee

ADDRESS (number and street)

 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
 (a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
 (b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
 (c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
 Election on in the State of
 (d) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S)
 Election on in the State of

5. Covering Period 03 / 01 / 2016 through 03 / 31 / 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
 Type or Print Name of Treasurer Mr. Kenneth E. Bentsen Jr.

Signature of Treasurer Mr. Kenneth E. Bentsen Jr. [Electronically Filed] Date 04 / 20 / 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2016"/>	<input type="text" value="95134.17"/>	<input type="text" value="95134.17"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="5838.48"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="54276.38"/>	<input type="text" value="86806.32"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="110114.86"/>	<input type="text" value="181940.49"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="27197.48"/>	<input type="text" value="99023.11"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="82917.38"/>	<input type="text" value="82917.38"/>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	30920.75	55505.59
(ii) Unitemized	658.15	3376.14
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	31578.90	58881.73
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	22500.00	27500.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	54078.90	86381.73
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	197.48	424.59
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	54276.38	86806.32
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	54276.38	86806.32

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	197.48	523.11
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	197.48	523.11
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	27000.00	98500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	27197.48	99023.11
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	27197.48	99023.11

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	54078.90	86381.73
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	54078.90	86381.73
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	197.48	523.11
37. Offsets to Operating Expenditures (from Line 15, page 3).....	197.48	424.59
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	98.52

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Kenneth E. Bentsen Jr.

Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: President & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1346.45

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 02 / 2016
Transaction ID : 2016022617829-1000

Amount of Each Receipt this Period
 192.35

Memo Item

B. Kenneth E. Bentsen Jr.

Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: President & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1346.45

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 16 / 2016
Transaction ID : 20160315112856-1000

Amount of Each Receipt this Period
 192.35

Memo Item

C. Kenneth E. Bentsen Jr.

Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: President & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1346.45

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 30 / 2016
Transaction ID : 20160328111838-1000

Amount of Each Receipt this Period
 192.35

Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	577.05
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Ananias Blocker
Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor
City Washington State DC Zip Code 20005
FEC ID number of contributing federal political committee. **C**
Name of Employer Securities Industry and Financial Mark Occupation Exec VP, Pub Pol & Advoc
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 2100.00

Date of Receipt
MM / DD / YYYY
03 / 02 / 2016
Transaction ID : 2016022617829-1002
Amount of Each Receipt this Period
300.00
 Memo Item

B. Ananias Blocker
Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor
City Washington State DC Zip Code 20005
FEC ID number of contributing federal political committee. **C**
Name of Employer Securities Industry and Financial Mark Occupation Exec VP, Pub Pol & Advoc
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 2100.00

Date of Receipt
MM / DD / YYYY
03 / 16 / 2016
Transaction ID : 20160315112856-1002
Amount of Each Receipt this Period
300.00
 Memo Item

C. Ananias Blocker
Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Ave NW
8th Floor
City Washington State DC Zip Code 20005
FEC ID number of contributing federal political committee. **C**
Name of Employer Securities Industry and Financial Mark Occupation Exec VP, Pub Pol & Advoc
Receipt For: Primary General Other (specify) ▼
Aggregate Year-to-Date ▼ 2100.00

Date of Receipt
MM / DD / YYYY
03 / 30 / 2016
Transaction ID : 20160328111838-1002
Amount of Each Receipt this Period
300.00
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	900.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Kyle L. Brandon
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Dir of Research
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 673.05

Date of Receipt
 03 / 02 / 2016
Transaction ID : 2016022617829-1003
 Amount of Each Receipt this Period 96.15
 Memo Item

B. Kyle L. Brandon
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Dir of Research
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 673.05

Date of Receipt
 03 / 16 / 2016
Transaction ID : 20160315112856-1003
 Amount of Each Receipt this Period 96.15
 Memo Item

C. Kyle L. Brandon
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Dir of Research
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 673.05

Date of Receipt
 03 / 30 / 2016
Transaction ID : 20160328111838-1003
 Amount of Each Receipt this Period 96.15
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	288.45
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 30
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Kimberly H. Chamberlain
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 269.50

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 16 / 2016
Transaction ID : 20160315112856-1004
 Amount of Each Receipt this Period
 38.50
 Memo Item

B. Kimberly H. Chamberlain
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 269.50

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 30 / 2016
Transaction ID : 20160328111838-1004
 Amount of Each Receipt this Period
 38.50
 Memo Item

c. Cheryl L. Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation EVP, Communication & Mktg
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 673.05

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 02 / 2016
Transaction ID : 2016022617829-1006
 Amount of Each Receipt this Period
 96.15
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	173.15
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Cheryl L. Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation EVP, Communication & Mktg
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **673.05**

Date of Receipt **03 / 16 / 2016**
Transaction ID : 20160315112856-1006
 Amount of Each Receipt this Period **96.15**
 Memo Item

B. Cheryl L. Crispen
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation EVP, Communication & Mktg
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **673.05**

Date of Receipt **03 / 30 / 2016**
Transaction ID : 20160328111838-1006
 Amount of Each Receipt this Period **96.15**
 Memo Item

C. Michael Crowl
 Full Name (Last, First, Middle Initial)
 Mailing Address 28 Hillcrest Ave
 City Summit State NJ Zip Code 07901-2023
 FEC ID number of contributing federal political committee. **C**
 Name of Employer UBS Occupation Group Managing Director/General Course
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **5000.00**

Date of Receipt **03 / 03 / 2016**
Transaction ID : 7295FDF7F5804FA78CAB
 Amount of Each Receipt this Period **5000.00**
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	5192.30
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 30
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Joseph D. Culley Jr.

Mailing Address 1706 Rittenhouse Sq
Unit 301

City Philadelphia State PA Zip Code 19103-6196

FEC ID number of contributing federal political committee. **C**

Name of Employer Janney Montgomery Scott LLC Occupation Senior Vice President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
250.00

Date of Receipt
MM / DD / YYYY
03 / 30 / 2016

Transaction ID : 7E8A25E5708F4408AF1F

Amount of Each Receipt this Period
250.00

Memo Item

Full Name (Last, First, Middle Initial)
B. Bradley G. Edgell

Mailing Address 1101 New York Ave NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Fed Gov't Relations

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
269.50

Date of Receipt
MM / DD / YYYY
03 / 16 / 2016

Transaction ID : 20160315112856-1007

Amount of Each Receipt this Period
38.50

Memo Item

Full Name (Last, First, Middle Initial)
C. Bradley G. Edgell

Mailing Address 1101 New York Ave NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Fed Gov't Relations

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
269.50

Date of Receipt
MM / DD / YYYY
03 / 30 / 2016

Transaction ID : 20160328111838-1007

Amount of Each Receipt this Period
38.50

Memo Item

SUBTOTAL of Receipts This Page (optional).....	327.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 30
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Tracy H. Eichler

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Private Client Group

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
231.61

Date of Receipt
03 / 30 / 2016
Transaction ID : 20160328111838-1008

Amount of Each Receipt this Period
43.48

Memo Item

Full Name (Last, First, Middle Initial)
B. Francis Fairman IV

Mailing Address 800 Nicollett Mail

City Minneapolis State MN Zip Code 55402

FEC ID number of contributing federal political committee. **C**

Name of Employer Piper Jaffray Occupation Head of Public Finance

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

Date of Receipt
03 / 17 / 2016
Transaction ID : 24AA8213FD9448C88E97

Amount of Each Receipt this Period
1000.00

Memo Item

Full Name (Last, First, Middle Initial)
c. Marin Gibson

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
673.05

Date of Receipt
03 / 02 / 2016
Transaction ID : 2016022617829-1011

Amount of Each Receipt this Period
96.15

Memo Item

SUBTOTAL of Receipts This Page (optional)..... ▶ 1139.63

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 30
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Marin Gibson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
673.05

Date of Receipt
03 / 16 / 2016
Transaction ID : 20160315112856-1011

Amount of Each Receipt this Period
96.15

Memo Item

B. Marin Gibson
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
673.05

Date of Receipt
03 / 30 / 2016
Transaction ID : 20160328111838-1011

Amount of Each Receipt this Period
96.15

Memo Item

C. Christopher B. Killian
Full Name (Last, First, Middle Initial)

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer Securities Industry and Financial Mark Occupation MD, Head of Securitization

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
269.50

Date of Receipt
03 / 16 / 2016
Transaction ID : 20160315112856-1013

Amount of Each Receipt this Period
38.50

Memo Item

SUBTOTAL of Receipts This Page (optional).....▶ 230.80

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. Christopher B. Killian

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: MD, Head of Securitization

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
269.50

Date of Receipt
MM / DD / YYYY
03 / 30 / 2016
Transaction ID : 20160328111838-1013

Amount of Each Receipt this Period
38.50

Memo Item

Full Name (Last, First, Middle Initial)
B. David B. Krasner

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Chief Fin/Admin Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1346.45

Date of Receipt
MM / DD / YYYY
03 / 02 / 2016
Transaction ID : 2016022617829-1014

Amount of Each Receipt this Period
192.35

Memo Item

Full Name (Last, First, Middle Initial)
C. David B. Krasner

Mailing Address 120 Broadway
35th Floor

City New York State NY Zip Code 10271

FEC ID number of contributing federal political committee. **C**

Name of Employer: Securities Industry and Financial Mark
Occupation: Chief Fin/Admin Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1346.45

Date of Receipt
MM / DD / YYYY
03 / 16 / 2016
Transaction ID : 20160315112856-1014

Amount of Each Receipt this Period
192.35

Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	423.20
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
-----------------------------	-----------------------------	-----------------------------

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. David B. Krasner
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation Chief Fin/Admin Officer
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **1346.45**

Date of Receipt **03 / 30 / 2016**
Transaction ID : 20160328111838-1014
 Amount of Each Receipt this Period **192.35**
 Memo Item

B. Nancy J. Lancia
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, State Gov Relations
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **269.50**

Date of Receipt **03 / 16 / 2016**
Transaction ID : 20160315112856-1015
 Amount of Each Receipt this Period **38.50**
 Memo Item

C. Nancy J. Lancia
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, State Gov Relations
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date **269.50**

Date of Receipt **03 / 30 / 2016**
Transaction ID : 20160328111838-1015
 Amount of Each Receipt this Period **38.50**
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	269.35
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Theodore Lazo
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: Securities Industry and Financial Mark
 Occupation: MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **269.50**

Date of Receipt: **03 / 16 / 2016**
Transaction ID : 20160315112856-1016
 Amount of Each Receipt this Period: **38.50**
 Memo Item

B. Theodore Lazo
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: Securities Industry and Financial Mark
 Occupation: MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **269.50**

Date of Receipt: **03 / 30 / 2016**
Transaction ID : 20160328111838-1016
 Amount of Each Receipt this Period: **38.50**
 Memo Item

C. Melissa A. MacGregor
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 Ste 800
 City Washington State DC Zip Code 20005-4279
 FEC ID number of contributing federal political committee. **C**
 Name of Employer: Securities Industry and Financial Mark
 Occupation: Managing Dir. & Assoc. General Counsel
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.00**

Date of Receipt: **03 / 13 / 2016**
Transaction ID : 8FF3FF6D75114D499195
 Amount of Each Receipt this Period: **250.00**
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	327.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Laura A. Martin
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, AGC AMG
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 269.50

Date of Receipt
 03 / 16 / 2016
Transaction ID : 20160315112856-1017
 Amount of Each Receipt this Period
 38.50
 Memo Item

B. Laura A. Martin
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, AGC AMG
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 269.50

Date of Receipt
 03 / 30 / 2016
Transaction ID : 20160328111838-1017
 Amount of Each Receipt this Period
 38.50
 Memo Item

C. Carter K. McDowell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 2019.22

Date of Receipt
 03 / 02 / 2016
Transaction ID : 2016022617829-1018
 Amount of Each Receipt this Period
 288.46
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	365.46
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Carter K. McDowell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 2019.22

Date of Receipt
 03 / 16 / 2016
Transaction ID : 20160315112856-1018
 Amount of Each Receipt this Period
 288.46
 Memo Item

B. Carter K. McDowell
 Full Name (Last, First, Middle Initial)
 Mailing Address 1101 New York Ave NW
 8th Floor
 City Washington State DC Zip Code 20005
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Associate Gen Counsel
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 2019.22

Date of Receipt
 03 / 30 / 2016
Transaction ID : 20160328111838-1018
 Amount of Each Receipt this Period
 288.46
 Memo Item

C. Timothy P. O'Hara
 Full Name (Last, First, Middle Initial)
 Mailing Address 277 Park Ave
 FI 11
 City New York State NY Zip Code 10172-0003
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Credit Suisse (USA), Inc Occupation Managing Director
 Receipt For: Primary General Other (specify) Aggregate Year-to-Date 5000.00

Date of Receipt
 03 / 28 / 2016
Transaction ID : 4627FEC7CE3E4AB6BCA6
 Amount of Each Receipt this Period
 5000.00
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	5576.92
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 OF 30
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Thomas F. Price
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Tech & Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 289.42

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 02 / 2016
Transaction ID : 2016022617829-1020
 Amount of Each Receipt this Period
 43.48
 Memo Item

B. Thomas F. Price
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Tech & Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 289.42

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 16 / 2016
Transaction ID : 20160315112856-1020
 Amount of Each Receipt this Period
 43.48
 Memo Item

C. Thomas F. Price
 Full Name (Last, First, Middle Initial)
 Mailing Address 120 Broadway
 35th Floor
 City New York State NY Zip Code 10271
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Securities Industry and Financial Mark Occupation MD, Tech & Operations
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 289.42

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
 03 / 30 / 2016
Transaction ID : 20160328111838-1020
 Amount of Each Receipt this Period
 43.48
 Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	130.44
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 30
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Paul C. Reilly
Full Name (Last, First, Middle Initial)

Mailing Address 880 Carillon Pkwy

City Saint Petersburg State FL Zip Code 33716-1102

FEC ID number of contributing federal political committee. **C**

Name of Employer Raymond James Financial, Inc. Occupation Chief Executive Officer

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 21 / 2016
Transaction ID : AE03BDED97634328BE99

Amount of Each Receipt this Period 5000.00

Memo Item

B. John F.W. Rogers
Full Name (Last, First, Middle Initial)

Mailing Address 85 Broad St FI 11

City New York State NY Zip Code 10004-2434

FEC ID number of contributing federal political committee. **C**

Name of Employer Goldman (The) Sachs Group, Inc. Occupation Managing Director

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 21 / 2016
Transaction ID : D08C95D55F724F86BEA4

Amount of Each Receipt this Period 5000.00

Memo Item

C. Joseph E. Sweeney
Full Name (Last, First, Middle Initial)

Mailing Address 50611 Ameriprise Financial Ctr

City Minneapolis State MN Zip Code 55474-0506

FEC ID number of contributing federal political committee. **C**

Name of Employer Ameriprise Financial, Inc. Occupation President, Advice & Wealth Management

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 21 / 2016
Transaction ID : 2640298D13004A368FBE

Amount of Each Receipt this Period 5000.00

Memo Item

SUBTOTAL of Receipts This Page (optional).....	15000.00
TOTAL This Period (last page this line number only).....	30920.75

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 21 OF 30
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. Morgan Stanley Political Action Committee
 Full Name (Last, First, Middle Initial)
 Mailing Address 1585 Broadway 39th Floor
 City New York State NY Zip Code 10036
 FEC ID number of contributing federal political committee. **C** C00337626
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 23 / 2016
Transaction ID : FB2F1DB643454D0D8C42
 Amount of Each Receipt this Period 5000.00
 Memo Item

B. New York Life Insurance Company Political Action Committee
 Full Name (Last, First, Middle Initial)
 Mailing Address 51 Madison Avenue Room 1109
 City New York State NY Zip Code 10010
 FEC ID number of contributing federal political committee. **C** C00158881
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 23 / 2016
Transaction ID : A8E6E543D3EB4AD3865F
 Amount of Each Receipt this Period 5000.00
 Memo Item

C. The Goldman Sachs Group, Inc. Political Action Committee
 Full Name (Last, First, Middle Initial)
 Mailing Address 101 Constitution Avenue, NW Suite 1000 East
 City Washington State DC Zip Code 20001
 FEC ID number of contributing federal political committee. **C** C00350744
 Name of Employer Occupation
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 03 / 21 / 2016
Transaction ID : 9BE53C6C7FBC4481AF20
 Amount of Each Receipt this Period 5000.00
 Memo Item

SUBTOTAL of Receipts This Page (optional).....	15000.00
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 30
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)
A. The Vanguard Group Committee for Responsible Government

Mailing Address 975 F Street NW
Suite 500

City Washington State DC Zip Code 20004-1457

FEC ID number of contributing federal political committee. **C** C00410266

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Date of Receipt
03 / 03 / 2016
Transaction ID : D69E797A95A544C794AF

Amount of Each Receipt this Period
5000.00

Memo Item

Full Name (Last, First, Middle Initial)
B. U.S. Bancorp Federal Political Action Committee

Mailing Address 950 F Street NW
Suite 750

City Washington State DC Zip Code 20004

FEC ID number of contributing federal political committee. **C** C00488882

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2500.00

Date of Receipt
03 / 30 / 2016
Transaction ID : 3DAA979914D846DAB969

Amount of Each Receipt this Period
2500.00

Memo Item

Full Name (Last, First, Middle Initial)
C.

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Memo Item

SUBTOTAL of Receipts This Page (optional).....	7500.00
TOTAL This Period (last page this line number only).....	22500.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 23 OF 30
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input checked="" type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Securities Industry and Financial Markets Association Political Action Committee

A. SIFMA

Full Name (Last, First, Middle Initial)
Mailing Address 1101 New York Avenue, NW
8th Floor

City Washington State DC Zip Code 20005

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
424.59

Date of Receipt
03 / 25 / 2016
Transaction ID : B97C921C4AE64CCD87B6

Amount of Each Receipt this Period
197.48

Memo Item
Reimbursement of Bank Fees

B.

Full Name (Last, First, Middle Initial)
Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Memo Item

C.

Full Name (Last, First, Middle Initial)
Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

Memo Item

SUBTOTAL of Receipts This Page (optional).....▶	197.48
TOTAL This Period (last page this line number only).....▶	197.48

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Bank Fee

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : B10EDF3905DA978509E

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Bank Fees

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : 23BFF8FCD7F26AEBBC2

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. PNC Bank

Mailing Address 1400 K Street, NW

City Washington State DC Zip Code 20005

Purpose of Disbursement
Credit Card Fee

Category/
Type

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : B93063DF768A7A33A45

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Beatty for Congress

Mailing Address 222 East Town Street
Suite 2W

City Columbus State OH Zip Code 43215

Purpose of Disbursement
2016 Primary

011

Candidate Name

Joyce Beatty

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: OH District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	10	/	2016

Transaction ID : B0CAADE75539B39C4D

Amount of Each Disbursement this Period

4	3	2	1	0	9	8	7	6	5	4	3	2	1	0	0	0
													1	0	0	0

Memo Item

Full Name (Last, First, Middle Initial)

B. Bob Corker for Senate 2018 Inc

Mailing Address 1015 Stonebridge Park Dr

City Franklin State TN Zip Code 37069-4001

Purpose of Disbursement
2018 Primary

011

Candidate Name

Bob P. Corker Jr.

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2018
 Primary General
 Other (specify) ▼

State: TN District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	14	/	2016

Transaction ID : BB28D75E7E408F1666D

Amount of Each Disbursement this Period

4	3	2	1	0	9	8	7	6	5	4	3	2	1	0	0	0
													2	5	0	0

Memo Item

Full Name (Last, First, Middle Initial)

C. Emmer for Congress

Mailing Address PO Box 998

City Anoka State MN Zip Code 55303

Purpose of Disbursement
2016 Primary

011

Candidate Name

Thomas Earl Emmer Jr.

Category/
Type

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: MN District: 06

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	10	/	2016

Transaction ID : 9E662C0E9E443F2F462

Amount of Each Disbursement this Period

4	3	2	1	0	9	8	7	6	5	4	3	2	1	0	0	0
													1	0	0	0

Memo Item

SUBTOTAL of Disbursements This Page (optional).....▶

4	3	2	1	0	9	8	7	6	5	4	3	2	1	0	0	0
													4	5	0	0

TOTAL This Period (last page this line number only).....▶

4	3	2	1	0	9	8	7	6	5	4	3	2	1	0	0	0
													4	5	0	0

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Filemon Vela for Congress

Mailing Address 10715 Gulfdale St
Ste 235

City San Antonio State TX Zip Code 78216

Purpose of Disbursement
2016 General

Category/
Type

Candidate Name

Filemon Bartolome Vela Jr.

Office Sought: House Senate President
Disbursement For: 2016
 Primary General
 Other (specify) ▼
State: TX District: 34

Date of Disbursement

/ /

Transaction ID : 25B0435908B78647607

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. Friends for Gregory Meeks

Mailing Address 153-01 Jamaica Ave. Suite 535

City Jamaica State NY Zip Code 11432

Purpose of Disbursement
2016 General

Category/
Type

Candidate Name

Gregory Weldon Meeks

Office Sought: House Senate President
Disbursement For: 2016
 Primary General
 Other (specify) ▼
State: NY District: 05

Date of Disbursement

/ /

Transaction ID : F16D5E7CF53F71D0DF9

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. George Holding for Congress Inc.

Mailing Address PO Box 97187

City Raleigh State NC Zip Code 27624

Purpose of Disbursement
2016 Primary

Category/
Type

Candidate Name

George Edward Bell Holding

Office Sought: House Senate President
Disbursement For: 2016
 Primary General
 Other (specify) ▼
State: NC District: 02

Date of Disbursement

/ /

Transaction ID : 387BFAB79BD5BC02942

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Huizenga for Congress

Mailing Address PO Box 254

City Zeeland State MI Zip Code 49464-1509

Purpose of Disbursement
2016 Primary

Category/
Type

Candidate Name

William Patrick Huizenga

Office Sought: House
 Senate
 President
State: MI District: 02

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : ED55567B72A338F79F9

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B. Huizenga for Congress

Mailing Address PO Box 254

City Zeeland State MI Zip Code 49464-1509

Purpose of Disbursement
2016 Primary

Category/
Type

Candidate Name

William Patrick Huizenga

Office Sought: House
 Senate
 President
State: MI District: 02

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : CF7217C60AB93D6243B

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C. Kirk for Senate

Mailing Address PO Box 2594

City Chicago State IL Zip Code 60690

Purpose of Disbursement
2016 Primary

Category/
Type

Candidate Name

Mark Steven Kirk

Office Sought: House
 Senate
 President
State: IL District:

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

/ /

Transaction ID : 11ED70DB216ED0D300C

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kirk for Senate

Mailing Address PO Box 2594

City Chicago State IL Zip Code 60690

Purpose of Disbursement
2016 General

011

Category/
Type

Candidate Name

Mark Steven Kirk

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: IL District:

Date of Disbursement

MM / DD / YYYY
03 / 14 / 2016

Transaction ID : 05CE37314333AFC196E

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Moderate Democrats PAC

Mailing Address 303 Massachusetts Avenue, NE

City Washington State DC Zip Code 20002

Purpose of Disbursement
2016 Contribution

011

Category/
Type

Candidate Name

Moderate Democrats PAC

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼ Contribution

State: District:

Date of Disbursement

MM / DD / YYYY
03 / 10 / 2016

Transaction ID : 026ABF35EC5A636F619

Amount of Each Disbursement this Period

5000.00

Memo Item

Full Name (Last, First, Middle Initial)

C. More Conservatives PAC (MCPAC)

Mailing Address 228 S Washington St Ste 115

City Alexandria State VA Zip Code 22314

Purpose of Disbursement
2016 Contribution

011

Category/
Type

Candidate Name

More Conservatives PAC (MCPAC)

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼ Contribution

State: District:

Date of Disbursement

MM / DD / YYYY
03 / 10 / 2016

Transaction ID : 1B339A6A29EE359345C

Amount of Each Disbursement this Period

2500.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

8500.00

TOTAL This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. Ron Johnson for Senate Inc

Mailing Address 219 E Washington Ave
Suite 101

City Oshkosh State WI Zip Code 54901

Purpose of Disbursement
2016 Primary

Candidate Name
Ronald H. Johnson

Office Sought: House
 Senate
 President
State: WI District:

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
03 / 10 / 2016

Transaction ID : 42C4731FB73837B0FDD

Amount of Each Disbursement this Period

1000.00

Memo Item

Full Name (Last, First, Middle Initial)

B. Stivers for Congress

Mailing Address 4679 Winterset Dr

City Columbus State OH Zip Code 43220-8113

Purpose of Disbursement
2016 Primary

Candidate Name
Steve Stivers

Office Sought: House
 Senate
 President
State: OH District: 15

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
03 / 10 / 2016

Transaction ID : EE249096ECBEC124A0F

Amount of Each Disbursement this Period

1500.00

Memo Item

Full Name (Last, First, Middle Initial)

C. The Richard Burr Committee

Mailing Address Post Office Box 5928

City Winston-Salem State NC Zip Code 27113

Purpose of Disbursement
2016 Primary

Candidate Name
Richard M. Burr

Office Sought: House
 Senate
 President
State: NC District:

Disbursement For: 2016
 Primary General
 Other (specify) ▼

Date of Disbursement

MM / DD / YYYY
03 / 10 / 2016

Transaction ID : BD40F3B6662F96EF9E5

Amount of Each Disbursement this Period

3000.00

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

5500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Securities Industry and Financial Markets Association Political Action Committee

Full Name (Last, First, Middle Initial)

A. VoteTipton.Com

Mailing Address PO Box 1582

City Cortez State CO Zip Code 81321-1582

Purpose of Disbursement
2016 Primary

Category/
Type

Candidate Name

Scott Randall Tipton

Office Sought: House
 Senate
 President

Disbursement For: 2016
 Primary General
 Other (specify) ▼

State: CO District: 03

Date of Disbursement

/ /

Transaction ID : AB553B3E270028183E4

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/
Type

Candidate Name

Office Sought: House
 Senate
 President

Disbursement For:
 Primary General
 Other (specify) ▼

State: District:

Date of Disbursement

/ /

Amount of Each Disbursement this Period

Memo Item

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶