

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

Check if different
than previously
reported. (ACC)

Boston

MA

02109-1827

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS
REPORTNEW
(N)

OR

AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☒ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
07 01 2017

through

M M M / D D D / Y Y Y Y Y Y
07 31 2017

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Bakke, Kathy, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Bakke, Kathy, , ,

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
08 10 2017

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office
Use
Only**FEC FORM 3X**
Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
07 / 01 / 2017 To: M M / D D / Y Y Y Y Y Y
07 / 31 / 2017

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2017		147189.75
(b) Cash on Hand at Beginning of Reporting Period.....	106228.01	
(c) Total Receipts (from Line 19)	24358.02	181994.98
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	130586.03	329184.73
7. Total Disbursements (from Line 31).....	32.55	198631.25
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	130553.48	130553.48
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	7		0	1		2	0	1	7

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	7		3	1		2	0	1	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees (i) Itemized (use Schedule A).....	19358.48	125755.76
(ii) Unitemized	4999.54	56239.22
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	24358.02	181994.98
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	24358.02	181994.98
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	24358.02	181994.98
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	24358.02	181994.98

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	32.55	463.40
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	32.55	463.40
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	198167.85
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	32.55	198631.25
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	32.55	198631.25

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	24358.02	181994.98
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24358.02	181994.98
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	32.55	463.40
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)▶	32.55	463.40

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ALLEN, DAVID, R, ,

Mailing Address 18 LAKE STREET

City
OWEGO

State
NY

Zip Code
13827-4819

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : A8352DBEFBF0D43DF992

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MILLER, EDWARD, BRUCE, ,

Mailing Address 481 MUNN ROAD SUITE 225

City
FORT MILL

State
SC

Zip Code
29715-8462

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : A751AFA35AC6A4607A00

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MORAN, THOMAS, W, ,

Mailing Address 137 BANK ST.

City
WATERBURY

State
CT

Zip Code
06702-2205

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : AFE4C4C52D82249FCAD6

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

499.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. OETTINGER, CFP, BRIAN, , ,

Mailing Address 611 Rockville Pike

City
RockvilleState
MDZip Code
20852-1130FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Sandy Spring Wealth ManagementOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : A72D794B897F046C8B8E

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SIMONE AIF, JOHN, EMMANUEL, ,

Mailing Address 100 WITMER RD STE 170

City
HORSHAMState
PAZip Code
19044-2648FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : A5C8C9ADD184045D6BDA

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City
FARMINGTONState
CTZip Code
06032-1468FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Valenti Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1700.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 12 / 2017

Transaction ID : A106BDA9DB44A4183AA8

Amount of Each Receipt this Period

100.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

191.66

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 8 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ABOOD CFP, JOHN, M, ,

Mailing Address 20 MONTCHANIN RD STE 270

City
GREENVILLE

State
DE

Zip Code
19807-2174

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

07 / 11 / 2017

Transaction ID : ABCA2F877109F4D29A2F

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ANGELO CFP, MARK, JOSEPH, ,

Mailing Address 616 WASHINGTON ST.

City
TOMS RIVER

State
NJ

Zip Code
08753-6789

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : A4CED28117CD24685886

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. APOSTOLIDIS, ANTHONY, JAMES, ,

Mailing Address 10100 TRINITY PKWY. STE 430

City
STOCKTON

State
CA

Zip Code
95219-7241

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 26 / 2017

Transaction ID : A87DBD365272B4E14A87

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ARMSTRONG, DAVID, BRUCE, ,

Mailing Address 1701 Duke St
Ste 425

City
Alexandria

State
VA

Zip Code
22314-3429

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Monument Wealth Management

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.29

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A681C77689EF5496CB08

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ASTORINO, FRANCIS, XAVIER, ,

Mailing Address 155 PASSAIC AVE SUITE 400

City

FAIRFIELD

State

NJ

Zip Code

07004-3566

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Astorino Financial Group

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AA06AA0AFE58040C5AF6

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BERK CFP, HARVEY, STUART, ,

Mailing Address 244 NORTH MAIN STREET
BERK WEALTH MANAGEMENT

City

NEW CITY

State

NY

Zip Code

10956-5302

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Berk Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AE285DCCA387948449B6

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

374.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BICKLING, DOROTHY, INEZ, ,

Mailing Address 35 BEDFORD ST STE 15

City
LEXINGTON

State
MA

Zip Code
02420-4439

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Bickling Financial Services, Inc.

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A1473663502F04D8590A

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BLEASE, ANDREW, CLARK, ,

Mailing Address 7358 N LA CHOLLA BLVD STE 100

City
TUCSON

State
AZ

Zip Code
85741-2305

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Blease Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AD6FEF9D90FE443A79BC

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BOTTE CFP, PAUL, WILLIAM, ,

Mailing Address 7777 ALVARADO ROAD STE 320

City
LA MESA

State
CA

Zip Code
91942-8247

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A6E6E1273EAD341219A4

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BOYER CFP, MICHAEL, , ,

Mailing Address 107 GEORGIAN PL.

City
SOMERSETState
PAZip Code
15501-1600FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Branch Manager / OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A7FE1CDCDFA824B9ABF4

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BRADY, MICHAEL, S, ,

Mailing Address 780 PARKWAY BLVD.

City
BROOMALLState
PAZip Code
19008-4212FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A8F152C57D95B47D58B2

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BRIDGES CPFA, J. CHRISTIAN, CHRISTIAN, ,

Mailing Address 1200 Summit Ave
Ste 780City
Fort WorthState
TXZip Code
76102-4477FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Self-Employed

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AC7ACBADBFAF643DE934

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

416.64

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BROWNING, LANCE, ALLEN, ,

Mailing Address 3200 TROUP HWY STE 150

City
TYLERState
TXZip Code
75701-8397FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A0D5E0F04C2E044B2AE2

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BUBB, KERRICK, WALTER, ,

Mailing Address 1782 ORANGE TREE LANE

City

REDLANDS

State

CA

Zip Code

92374-2856

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

KWB WEALTH MANAGERS GROUP

Occupation (for Individual)

Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2912.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A9F90B2D93A0346E29F9

Amount of Each Receipt this Period

416.00

☐ Memo Item

Payroll Deduction: \$208.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BUDIN, STEVEN, ANDREW, ,

Mailing Address 3145 E. WARM SPRINGS RD. #400

City

LAS VEGAS

State

NV

Zip Code

89120-3140

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

The Budin Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1437.42

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A7B6F34889F3F4AB7931

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

665.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 13 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BURNS, R. NEIL, , ,

Mailing Address 30131 TOWN CENTER DR STE 270

City
LAGUNA NIGUEL

State
CA

Zip Code
92677-2082

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

459.27

Date of Receipt

07 / 11 / 2017

Transaction ID : A98C83E5A939B41CE908

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BYRNE, JOSEPH, GERARD, ,

Mailing Address 11 EAST ST.

City
FRANKLIN

State
MA

Zip Code
02038-2110

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

07 / 26 / 2017

Transaction ID : A30D2B058631741728CC

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CAMPBELL, KELLY, PETER, ,

Mailing Address 700 S WASHINGTON ST STE 220

City
ALEXANDRIA

State
VA

Zip Code
22314-4287

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Campbell Wealth Management

Occupation (for Individual)

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2803.96

Date of Receipt

07 / 26 / 2017

Transaction ID : ADA1940FBFFDB4D8FA1D

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CARMAN, RICHARD, LLOYD, ,

Mailing Address 6800 POPLAR AVE STE 105

City
MEMPHIS

State
TN

Zip Code
38138-7404

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Carman Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 26 / 2017

Transaction ID : A180A4151E9F3486CA6E

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CARPENTER, MICHAEL, DALE JOSEPH, ,

Mailing Address 3027 E. WARM SPRINGS RD #100

City
LAS VEGAS

State
NV

Zip Code
89120-3765

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.39

Date of Receipt

07 / 26 / 2017

Transaction ID : A371131CD56074BADA60

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CARTOLANO, JOHN, MICHAEL, ,

Mailing Address 9059 E BAHIA DR

City
SCOTTSDALE

State
AZ

Zip Code
85260-1554

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

07 / 26 / 2017

Transaction ID : A9F1B77C1B68B420AB75

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

583.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CASSERLY, JULIE, M, ,

Mailing Address 1017 W WASHINGTON BLVD UNIT 3E

City
CHICAGOState
ILZip Code
60607-2107FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
JMC Wealth Management, Inc.Occupation (for Individual)
Business Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A7CF1A66DC5594826AD0

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. CHRISTIAN, SUZANNE, H., ,

Mailing Address 419 YALE AVE

City
CLAREMONTState
CAZip Code
91711-4340FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AAC92A4C8CA394BC49D9

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. CLARK, DON, , ,

Mailing Address 7007 COLLEGE BLVD STE 270

City
OVERLAND PARKState
KSZip Code
66211-2440FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A60C3BAE9773F4FF8804

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

249.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. CLUTE, HEIDI, GRACE, ,

Mailing Address 152 TOM MILLER ROAD

City
PLATTSBURGH

State
NY

Zip Code
12901-6425

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Clute Wealth Management

Occupation (for Individual)
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

799.97

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AABE727CA6B60483DA7F

Amount of Each Receipt this Period

25.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. COLLINS, ROBERT, SMITH, ,

Mailing Address 751 COOL SPRINGS BLVD STE 100

City
FRANKLIN

State
TN

Zip Code
37067-2740

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A01706353508443F98BD

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. COX III, JAMES, A, ,

Mailing Address 9100 ARBORETUM PKWY STE 255

City
RICHMOND

State
VA

Zip Code
23236-5408

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Harris Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

729.12

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A90BD687279C34167ACB

Amount of Each Receipt this Period

104.16

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

212.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DAVIS, JOHN, ANDREW, ,

Mailing Address 1005 S. 6TH STREET

City
SPRINGFIELDState
ILZip Code
62703-2403FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Davis Financial Group

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A73B94FD6E3B1414D816

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DELPOZZO CHFC, ANTHONY, ANGELO, ,

Mailing Address 8585 E Hartford Dr
Ste 103City
ScottsdaleState
AZZip Code
85255-5472FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Vista Financial Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A616A2F2245924800B72

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DENOYIOR, JOSEPH, FREDRICK, ,

Mailing Address 1410 SPRING HILL ROAD STE 425

City
MCLEANState
VAZip Code
22102-3032FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A6F29C00B0D9245EAB34

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

145.81

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DEPROSPERO, KIMBERLY, JOAN, ,

Mailing Address 1525 N PARK DR STE 104

City
WESTON

State
FL

Zip Code
33326-3225

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Financial Strategies Group

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1456.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF45996074E604BE5B41

Amount of Each Receipt this Period

208.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DIXON, DAVID, STAPLEY, ,

Mailing Address 7472 W SAHARA AVE STE #101

City
LAS VEGAS

State
NV

Zip Code
89117-2748

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Dixon Financial Group, LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A8E0C93E97990471FAAF

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DOLLAR KURETICH, DORIS, ANN, ,

Mailing Address 19311 FM 2252

City
GARDEN RIDGE

State
TX

Zip Code
78266-2516

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Self-Employed

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A8B92493BF5E94428897

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

374.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DRAGOTTA, RICHARD, GASPARE, ,

Mailing Address 461 FROM RD 3RD FL STE 385

City
PARAMUSState
NJZip Code
07652-3526FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

491.26

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A964F6B9898324228ED

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DUBOSE, TONY, EDWARD, ,

Mailing Address 1250 S PINE ISLAND RD STE 350

City
PLANTATIONState
FLZip Code
33324-4440FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Legacy Wealth ManagementOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2249.98

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AEC635A8C72C44C0489E

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ELDRED, ROBERT, JOHN, ,

Mailing Address 3240 Lone Tree Way
Ste 102City
AntiochState
CAZip Code
94509-5559FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A36C6CBF3A10A46BE9E5

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

333.31

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ELMORE CFP, DAVID, LEE, ,

Mailing Address 230 S. WAYNE AVE

City
WAYNESBORO

State
VA

Zip Code
22980-4622

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

MM / DD / YYYY
07 / 26 / 2017

Transaction ID : AFFB047ECA4CD4AB68F8

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ERRICO CFP AIF, ROBERT, L, ,

Mailing Address 3991 MACARTHUR BLVD STE 250

City
NEWPORT BEACH

State
CA

Zip Code
92660-3039

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

MM / DD / YYYY
07 / 26 / 2017

Transaction ID : AA1F4230DA58F4E1DAC2

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. FINN, JOHN, MICHAEL, ,

Mailing Address 294 VALLEY ROAD

City
MIDDLETOWN

State
RI

Zip Code
02842-5531

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

249.96

Date of Receipt

MM / DD / YYYY
07 / 26 / 2017

Transaction ID : A330812CA4B3944BEAB8

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

333.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. FISHER JR., RICHARD, NORTHRUP, Mr.,

Mailing Address 1205 S MAIN ST

City
BURLINGTONState
NCZip Code
27215-5762FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Fisher Wealth ManagementOccupation (for Individual)
Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A9C2198A210114EBDBDB

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. FLAUTT JR., MEREDITH, EZELL, ,

Mailing Address 750 OLD HICKORY BOULEVARD
BUILDING ONE SUITE 115City
BRENTWOODState
TNZip Code
37027-4544FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Flautt Hare Davis LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A3EBE65F109E84B95965

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. FRAGASSO, ROBERT, IVANO, ,

Mailing Address 610 SMITHFIELD ST STE 400

City
PITTSBURGHState
PAZip Code
15222-2512FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Fragasso Financial AdvisorsOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A1FC8AF5852AF4CB1998

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

291.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. FREEDMAN CFP, MARC, STUART, ,

Mailing Address 8 ESSEX CENTER DR 3RD FLOOR

City
PEABODYState
MAZip Code
01960-2911FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Freedman FinancialOccupation (for Individual)
President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2166.58

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A337E2BE61DE341688D1

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. FRIGON, ANN, CHERYL, ,

Mailing Address 1206 FRONTVIEW STE 210

City
DODGE CITYState
KSZip Code
67801-2095FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPLOccupation (for Individual)
Registered Rep

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AB84B39136B004F8D966

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. GARRETT, D. WAYNE, , ,

Mailing Address 1207 SANTA FE DR

City
WEATHERFORDState
TXZip Code
76086-5819FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Snow Garrett Wealth ManagementOccupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AFD14DA97975540B3BC3

Amount of Each Receipt this Period

46.16

☐ Memo Item

Payroll Deduction: \$23.08/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

379.48

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. GILBERT, LOREEN, MICHELLE, ,

Mailing Address 9070 IRVINE CENTER DR STE 230

City
IRVINE

State
CA

Zip Code
92618-4687

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
WealthWise Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 26 / 2017

Transaction ID : A35E2D290B0394053BC1

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. GLENCER CFP, DAVID, J., ,

Mailing Address 6960 ORCHARD LAKE RD STE.205

City

WEST BLOOMFIELD

State

MI

Zip Code

48322-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Fiscal Associates, Inc.

Occupation (for Individual)
Information Requested

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

07 / 26 / 2017

Transaction ID : AC71F5F2B9B534431AA0

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. GOODMAN, BRUCE, NEIL, ,

Mailing Address 200 BROADWAY SUITE 305

City

LYNNFIELD

State

MA

Zip Code

01940-2349

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : AD80162AC2ED3490EA49

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. GREEN, GRAHAM, CHARLES, ,

Mailing Address 48 Gold St

City

San Francisco

State

CA

Zip Code

94133-5103

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

316.37

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A0BBC803E738247E38C3

Amount of Each Receipt this Period

46.16

☐ Memo Item

Payroll Deduction: \$23.08/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HAMLEN, JEFFREY, DANIEL, ,

Mailing Address 1410 SPRING HILL ROAD STE 425

City

MCLEAN

State

VA

Zip Code

22102-3032

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Washington Financial Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A1BCAE84823954782AFF

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HAMM, WILLIAM, E, ,

Mailing Address 3030 N ROCKY POINT DR W #700

City

TAMPA

State

FL

Zip Code

33607-5907

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

IFP

Occupation (for Individual)

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A8FD191DD744444DE871

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

546.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HAPANOWICZ AIF, ROBERT, EDWIN, ,

Mailing Address 301 Grant St
Ste 300

City
Pittsburgh

State
PA

Zip Code
15219-6401

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AB7162DD1E15945908EE

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HARRIS, EDWARD, JAMES, ,

Mailing Address 4160 WASHINGTON RD STE#1

City

MC MURRAY

State

PA

Zip Code

15317-2533

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AAFA37B690A58471CBF8

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HASSELL, JOHN, THOMAS, ,

Mailing Address 300 LAFAYETTE ST STE 200

City

HOUMA

State

LA

Zip Code

70360-4368

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Hassell Wealth Management

Occupation (for Individual)
Owner/Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

546.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A54CFEF1696074D96903

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

208.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 26 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HATCH, MICHAEL, PATRICK, ,

Mailing Address 225 S LAKE AVE SUITE 600

City
PASADENA

State
CA

Zip Code
91101-4860

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

The Sterling Group

Occupation (for Individual)

Financial Advisor & Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.96

Date of Receipt

07 / 11 / 2017

Transaction ID : A2B98948C5257479FA9E

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. HERBST CFP MBA, DAVID, GEORGE, ,

Mailing Address 15003 SOUTH ROUTE 59

City

PLAINFIELD

State

IL

Zip Code

60544-9539

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

07 / 26 / 2017

Transaction ID : ADFCE0A38666441E8842

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. HILLIS, JOHN, L, ,

Mailing Address 333 W SANTA CLARA #604 - BR#2

City

SAN JOSE

State

CA

Zip Code

95113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Hillis Financial Services

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1375.00

Date of Receipt

07 / 26 / 2017

Transaction ID : A3E1CC6AAFF5A40999B0

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

541.65

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. HURLEY III, WILLIAM, CREED, ,

Mailing Address 310 EASTDALE CIRCLE

City
MONTGOMERY

State
AL

Zip Code
36117-2112

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Legacy Advisors, Inc.

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF3166138BF4E4664B8B

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. JACOBSON CRPC, WAYNE, ALLAN, ,

Mailing Address 411 CLAY STREET

City
CEDAR FALLS

State
IA

Zip Code
50613-2837

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Jacobson Financial Services, LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF2EAF014DD3C4B68A47

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. JACOBSON, DON, ARTHUR, ,

Mailing Address 3112 WEST 4TH STREET

City
FORT WORTH

State
TX

Zip Code
76107-2113

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Dean, Jacobson Financial Services

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

588.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A1C86E40EFFFA407791E

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

167.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. JOWDY, MICHAEL, WILLIAM, ,

Mailing Address 7777 CENTER AVE. #120

City
HUNTINGTON BEACH

State
CA

Zip Code
92647-3017

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

07 / 26 / 2017

Transaction ID : A8E1D2B6EB5F34156919

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KAPLAN, SUSAN, CAROL, ,

Mailing Address 2227 WASHINGTON ST STE 300
2 NEWTON EXECUTIVE PARK

City
NEWTON

State
MA

Zip Code
02462-1417

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2708.29

Date of Receipt

07 / 11 / 2017

Transaction ID : AD37AB11E52FA43AB8E2

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KARLINSKI CFP, ANDREW, C, ,

Mailing Address 6 VENTURE SUITE 390

City
IRVINE

State
CA

Zip Code
92618-7367

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
AK Financial Group

Occupation (for Individual)
President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 26 / 2017

Transaction ID : A58E01797A33F43F792B

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.31

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KEEVER IV, G. WARD, , ,

Mailing Address 15 MIDDLETON DR.

City
WILMINGTONState
DEZip Code
19808-4320FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

626.64

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AB6FB65F9DE064403910

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Kerr, John, STEVEN, ,

Mailing Address 130 Turner St
Ste 230City
WalthamState
MAZip Code
02453-8945FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Bay Financial AssociatesOccupation (for Individual)
Managing Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.03

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A08128CA00A3544ADB2A

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KIRKLAND, BEDFORD 'TAB', FORREST, ,

Mailing Address 1032 WEST MAIN STREET

City
LEBANONState
TNZip Code
37087-3345FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF7D81F4014614021843

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

374.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KNIGHT CFP, DIANE, M, ,

Mailing Address 116 HENSHAW

City
CHICO

State
CA

Zip Code
95973-7211

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
RedRock Financial

Occupation (for Individual)
Registered Representative

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.00

Date of Receipt

07 / 26 / 2017

Transaction ID : AC3498159A0FC448E8F6

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KOBERT CFP, CAIN, BENJAMIN, ,

Mailing Address 14143 DENVER WEST PKWY STE 510

City
GOLDEN

State
CO

Zip Code
80401-1049

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Rocky Mountain Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

07 / 26 / 2017

Transaction ID : A7555021A6C5846D8A7E

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KRAMER, MITCHELL, STEVEN, ,

Mailing Address 14800 LANDMARK BLVD STE 848

City
DALLAS

State
TX

Zip Code
75254-7085

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

333.28

Date of Receipt

07 / 11 / 2017

Transaction ID : A0144FF6E23694EF384C

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

125.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. KUHLENBECK, SUSAN, CHRISTINE, ,

Mailing Address N19 W24200 RIVERWOOD DR #150

City
WAUKESHAState
WIZip Code
53188-1193FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF4E1D737DD734E36A5A

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KUPRINA, KRISTINA, P, ,

Mailing Address 99 ALMADEN BLVD #925

City
SAN JOSEState
CAZip Code
95113-1614FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Sequoia Wealth Advisors & Investment MOccupation (for Individual)
Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AA2979E1B9F714FD8A05

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LA POINTE, DAVID, BLANDIN, ,

Mailing Address 28544 OLD TOWN FRONT ST #201

City
TEMECULAState
CAZip Code
92590-2756FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
La Pointe Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2852.44

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A2D7897864E4A427FA2A

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

542.32

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEE CFP, KAREN, JUDITH, ,

Mailing Address 200 ASHFORD CENTER N STE 400

City
ATLANTA

State
GA

Zip Code
30338-2669

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Karen Lee and Associates

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 26 / 2017

Transaction ID : AF09F8F7910C1411EA69

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LEIBMAN, MARK, EDWARD, ,

Mailing Address 228 MAIN ST.

City
LOUISVILLE

State
NE

Zip Code
68037-6032

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

07 / 11 / 2017

Transaction ID : A6495E035BF4442C0BD6

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LEITCH, LAWRENCE, NELSON, ,

Mailing Address 401 WASHINGTON AVE 7TH FL

City
TOWSON

State
MD

Zip Code
21204-4821

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Synergy Financial Group

Occupation (for Individual)
Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1624.98

Date of Receipt

07 / 26 / 2017

Transaction ID : A1FC25E8D61A44489BEF

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

341.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEVERONI CFP, TIMOTHY, W, ,

Mailing Address 50 BRAINTREE HILL OFFICE PRK
 STE 205

City
 BRAINTREE

State
 MA

Zip Code
 02184-8735

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial LLC

Occupation (for Individual)
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : A295AAE5586D645FA819

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LITTON, WILLIAM, LEE, ,

Mailing Address 4225 EXECUTIVE SQUARE #1030
 (BR 1)

City
 LA JOLLA

State
 CA

Zip Code
 92037-1486

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Litton Financial

Occupation (for Individual)
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : A3F4C2F2972FE45DDA9A

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LONE, STEVEN, ANDRE, Mr.,

Mailing Address 28411 NORTHWESTERN HWY #1200

City
 SOUTHFIELD

State
 MI

Zip Code
 48034-5521

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial Corporation

Occupation (for Individual)
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : AFAF6046697C94F45BDA

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

174.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LYMAN JR., ROBERT, D, ,

Mailing Address 2500 W. HIGGINS RD. STE #360

City
HOFFMAN ESTATES

State
IL

Zip Code
60169-7207

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
SEQUOIA FINANCIAL NETWORK

Occupation (for Individual)
MANAGING PARTNER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AACEAA0256BD54BDC84I

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MALAKOFF, ERNY, GLENN, ,

Mailing Address 20444 REDWOOD RD

City
CASTRO VALLEY

State
CA

Zip Code
94546-4316

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF9E6E5406999468D970

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MARSHALL-PENCE, LAILA, SOBHI, ,

Mailing Address 5000 BIRCH STREET #8000

City
NEWPORT BEACH

State
CA

Zip Code
92660-2158

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Pence Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AB93BC157391E4087882

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

499.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MASSARO CHFC, THEODORE, ROCCO, ,

Mailing Address 57 SOUTH MAPLE AVENUE

City
MARLTON

State
NJ

Zip Code
08053-2031

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
M Financial Planning Services, Inc.

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AF9BF41B1DD0848DA944

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MATSON, ROBERT, ARTHUR, ,

Mailing Address 333 ORCHARD STREET

City
MILLIS

State
MA

Zip Code
02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Robert A Matson CLU, ChFC

Occupation (for Individual)
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A58BAB49AE033416E9CA

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MCDERMOTT CFP, SEAN, PATRICK, ,

Mailing Address 3065 CENTRE POINTE DR STE 2

City
ROSEVILLE

State
MN

Zip Code
55113-1118

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A2E5E60C41ADD4EF7A7B

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

416.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MCKENNA, TIMOTHY, JOHN, ,

Mailing Address 930 WEST U.S. HWY 30

City
SCHERERVILLE

State
IN

Zip Code
46375-1551

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial Corporation

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.29

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A84F92C0B61804E6F9DA

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MEIKLE CFP, W. DAIL, , ,

Mailing Address 22601 NORTH 19TH AVE STE. 118

City
PHOENIX

State
AZ

Zip Code
85027-1321

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Meikle Financial Group

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A09205D41249E4E84949

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MILLER, SHARI, DIANE, ,

Mailing Address 7777 FAY AVE STE 315

City
LA JOLLA

State
CA

Zip Code
92037-4336

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Symbio Financial Partners

Occupation (for Individual)
manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AD51566D402D94EF7AA4

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

166.65

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MONROE, STEVEN, R, ,

Mailing Address 758 WEST FULTON

City
GRAND RAPIDS

State
MI

Zip Code
49504-6320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 26 / 2017

Transaction ID : AA5FF1501BF894EE2B9D

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. NG, SALLY, WOO, ,

Mailing Address 1350 TREAT BLVD. SUITE 390

City
WALNUT CREEK

State
CA

Zip Code
94597-7960

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

294.00

Date of Receipt

07 / 26 / 2017

Transaction ID : AAF679A80C0E4CB38C8

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. PALOMO, ANDREW, G, ,

Mailing Address 224 S Marion St

City
Oak Park

State
IL

Zip Code
60302-3104

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Sequoia Wealth Advisors & Investment M

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

324.70

Date of Receipt

07 / 11 / 2017

Transaction ID : A580364D39A7E4F9E86F

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PANACCIONE CFP, CARLO, ANTHONY, ,

Mailing Address 3 LAGOON DRIVE #110

City
REDWOOD SHORES

State
CA

Zip Code
94065-1566

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Navigation Group

Occupation (for Individual)

Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

07 / 11 / 2017

Transaction ID : A3E5C7CEC822245E5813

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PATRICK, DONALD, WARREN, ,

Mailing Address 200 ASHFORD CENTER N STE 400

City
ATLANTA

State
GA

Zip Code
30338-2669

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Integrated Financial Group

Occupation (for Individual)

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 26 / 2017

Transaction ID : A18CDD98AD2DA4391AC4

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. PAUL, ROBERT, ALLEN, ,

Mailing Address 70 BRIDGE STREET UNIT 9

City
PELHAM

State
NH

Zip Code
03076-3419

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : A73C7A23430834A3885C

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

333.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PETERS, P. MARTIN, , ,

Mailing Address 215 S. HWY 101 SUITE 206

City
SOLANA BEACH

State
CA

Zip Code
92075-1849

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A15F26DC5FAF94FA9A43

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. PETIX-REICHERT, VICKIE, LINN, ,

Mailing Address 7777 ALVARADO ROAD STE 320

City
LA MESA

State
CA

Zip Code
91942-8247

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A1C6E10A8C548405A9E9

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. POWELL CIMA, GREGORY, R., ,

Mailing Address 5825 FELDSPAR WAY

City
BIRMINGHAM

State
AL

Zip Code
35244-4503

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
fi-Plan Partners

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2083.30

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A3EA921F683F6463CB66

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

499.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PULTRO, MICHAEL, FRANCIS, ,

Mailing Address 926 TOWN CENTER

City
NEW BRITAINState
PAZip Code
18901-5133FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
First Financial PlannersOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A278F035094E0470F9B6

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ROTH JR., ERIC, DONALD, ,

Mailing Address 420 TRUMAN BLVD SUITE C

City
CRYSTAL CITYState
MOZip Code
63019-1726FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AF92F2944D20642FA879

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. RUSSO, JOSEPH, ROY, ,

Mailing Address 230 2ND ST SE STE 200

City
CEDAR RAPIDSState
IAZip Code
52401-1426FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial CorporationOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AAA0B3638DB1F4D08BCA

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

166.64

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. RYAN JR. CFP, WILLIAM, EDWARD, ,

Mailing Address 490 SCHOOLEYS MOUNTAIN RD
 SUITE 9 BUILDING 3B

City
 HACKETTSTOWN

State
 NJ

Zip Code
 07840-4002

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Ryan Wealth Management Services

Occupation (for Individual)
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : A634099759327482FA92

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SALAS, NOEMI, XOCHITL, ,

Mailing Address 1600 RUBEN TORRES BLVD.

City

BROWNSVILLE

State

TX

Zip Code

78521-1104

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 LPL Financial LLC

Occupation (for Individual)
 Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : A0CA0EBAE080144F689D

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SALOMON, ARTHUR, R., ,

Mailing Address 3217 SUMMIT SQUARE PL STE 250

City

LEXINGTON

State

KY

Zip Code

40509-2638

FEC ID number of contributing
 federal political committee.

C

Name of Employer (for Individual)
 Salomon & Co.

Occupation (for Individual)
 Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
 07 / 26 / 2017

Transaction ID : ACD2A63B4C90B437382B

Amount of Each Receipt this Period

100.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

183.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SCHMELTEKOPF, JEFFREY, JAMES, ,

Mailing Address 3112 WEST 4TH STREET

City
FORT WORTHState
TXZip Code
76107-2113FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Dean, Jacobson Financial Services, LLC

Occupation (for Individual)

Owner / Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AB2996D3371DB4686A5E

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SHANAHAN, MICHAEL, DENNIS, ,

Mailing Address 12520 High Bluff Dr
Ste 120City
San DiegoState
CAZip Code
92130-7002FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.28

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : ACDBB356292784AA7917

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SHEPHERD AIF, R. MARK, , ,

Mailing Address 1004 MAIN STREET

City
WINCHESTERState
MAZip Code
01890-1929FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Shepherd Financial Partners

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

728.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A4B71686FDE8B4BB3B5F

Amount of Each Receipt this Period

104.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

353.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SHREWSBURY, JOHN, FRED, ,

Mailing Address 612 W. COMMERCE ST STE 2

City
BRYANTState
ARZip Code
72022-7517FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
GenWealth Financial AdvisorsOccupation (for Individual)
Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AEE1069E6945D490E9BD

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SOLIS, GREG, ROBERT, ,

Mailing Address 78-075 MAIN STREET SUITE 204

City
LA QUINTAState
CAZip Code
92253-8961FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AECB2A56B785A46CC8B0

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SPOLTMAN, STEPHEN, JOHN, ,

Mailing Address 7912 CARNEGIE BLVD.

City
FORT WAYNEState
INZip Code
46804-5781FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A85CE844D0BAA4CCD8A1

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

249.98

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 44 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STANISLAWSKI, GARY, M, ,

Mailing Address 7134 S. YALE AVE STE 700

City
TULSA

State
OK

Zip Code
74136-6354

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Regent Financial Services

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

07 / 26 / 2017

Transaction ID : ACACF75A646574070963

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STEINMETZ, MARILYN, S, ,

Mailing Address 30 TOWER LANE SUITE 135

City
AVON

State
CT

Zip Code
06001-4231

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Mutual Service Associates

Occupation (for Individual)
Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : A5257A27D9084424587F

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. STEPHENSON, HAROLD, DAVID, ,

Mailing Address 1220 DIAMOND WAY STE 260

City
CONCORD

State
CA

Zip Code
94520-5270

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Stephenson Co./LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

270.79

Date of Receipt

07 / 11 / 2017

Transaction ID : A4649F571952048AD8DE

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

270.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 45 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STEVENS, JOHN, P, ,

Mailing Address 8194 LIBERTY WAY

City
WEST CHESTER

State
OH

Zip Code
45069-2517

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Stevens Investment Group, Inc

Occupation (for Individual)
Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A7CB2A71456104B4587B

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STONE, MICHAEL, LOUIS, ,

Mailing Address 551 NEWNAN ST

City
CARROLLTON

State
GA

Zip Code
30117-3335

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AA5AF6F03C004498FAE9

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SULLIVAN, JAMES, , ,

Mailing Address 65 MADISON AVE STE 300

City
Morristown

State
NJ

Zip Code
07960-7368

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Private Advisor Group

Occupation (for Individual)
LPL Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

375.03

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : ACB9B750BDBD84839A99

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

216.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SUPKA, KEVIN, PETER, ,

Mailing Address 100 WITMER ROAD STE 140

City
HORSHAMState
PAZip Code
19044-2648FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2791.61

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : ADEAE51F492644706BB8

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. THIESEN, JEFFREY, DONALD, ,

Mailing Address 7050 N FRESNO ST STE 105

City
FresnoState
CAZip Code
93720-2975FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Thiesen Dueker GroupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AA7269091CDE84BD5B5A

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. TIPTON, TREENA, LAYNE, ,

Mailing Address 1200 HARRIS AVE. STE 409

City
BELLINGHAMState
WAZip Code
98225-7148FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A6665A9715B974146BF3

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

500.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. TOCCO CFP, JUDITH, ANN, ,

Mailing Address 1647 N SWAN RD

City
TUCSONState
AZZip Code
85712-3163FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A7C2C16D0B864472F974

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. TYE CFP, TOM, MICHAEL, ,

Mailing Address 141 NORTH 3RD STREET

City
DANVILLEState
KYZip Code
40422-1655FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Tye Financial groupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.02

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A3B009842569648C3B50

Amount of Each Receipt this Period

333.34

☐ Memo Item

Payroll Deduction: \$166.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City
FARMINGTONState
CTZip Code
06032-1468FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Valenti Wealth ManagementOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1825.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A6708A4981E8B4A45850

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

625.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 48 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 281 WITHERSPOON ST STE 220

City
PRINCETON

State
NJ

Zip Code
08540-6300

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Van Leeuwen & Company

Occupation (for Individual)
Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.65

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 11 / 2017

Transaction ID : A8CBA1075A7354833AC1

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VANARSDALE, JUDY, ANN, ,

Mailing Address 21925 W. FIELD PKWY STE 245

City
DEER PARK

State
IL

Zip Code
60010-7208

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
enRich Private Wealth Management

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1120.14

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AE567548BE89E4DFD90F

Amount of Each Receipt this Period

192.30

☐ Memo Item

Payroll Deduction: \$96.15/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. VON BORSTEL CFP, WAYNE, , ,

Mailing Address 308 E 3RD ST

City
THE DALLES

State
OR

Zip Code
97058-2204

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LLC

Occupation (for Individual)
financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2708.29

Date of Receipt

M M / D D / Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AB4A4693DC41348C19EB

Amount of Each Receipt this Period

416.66

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

817.29

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WAGNER JR., WAYNE, DAVID, ,

Mailing Address 11 MIDDLETON DR

City
WILMINGTON

State
DE

Zip Code
19808-4320

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Vizionary Wealth Management

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1750.00

Date of Receipt

07 / 26 / 2017

Transaction ID : A0E0414F2E0E4495C816

Amount of Each Receipt this Period

250.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WARD CFP, MICHAEL, JAMES, ,

Mailing Address 94 NEW KARNER ROAD
WARD FINANCIAL MANAGEMENT

City
ALBANY

State
NY

Zip Code
12203-7355

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 26 / 2017

Transaction ID : AD23F11E6BA534CCB8D1

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WATERS, DAVID, S., ,

Mailing Address 400 MARKET ST STE 360

City
PHILADELPHIA

State
PA

Zip Code
19106-2507

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Professional Planning Associates

Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 26 / 2017

Transaction ID : A5750AAED3FAC4B5490C

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

333.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 50 OF 66

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WAYBRIGHT, JASON, ROBERT, ,

Mailing Address 1314 TRINITY STREET

City
REDDING

State
CA

Zip Code
96001-0703

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : A66ADAD18745D4DC2958

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WEDEL, STEPHEN, H, ,

Mailing Address THREE CITY PLACE DR. STE. 590

City

CREVE COEUR

State

MO

Zip Code

63141-7081

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

07 / 26 / 2017

Transaction ID : A60DEDEBF3BC549C099F

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WILLIAMS CFP, MICHAEL, C. F., ,

Mailing Address 224 VALLEY CREEK BLVD STE 330

City

EXTON

State

PA

Zip Code

19341-2386

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

First Financial Networks. Inc

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

2333.24

Date of Receipt

07 / 26 / 2017

Transaction ID : A3C5F5D19134F4CD6A30

Amount of Each Receipt this Period

333.32

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

458.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WINDAWI, LOUIS, B, ,

Mailing Address 1422 EDINGER AVE STE 220

City
TUSTINState
CAZip Code
92780-6298FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Windawi & Associates, Inc.Occupation (for Individual)
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AE9A8972364024EBA966

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WISE, WARREN, DAVID, ,

Mailing Address 3515 WEST MARKET ST. STE 100

City

GREENSBORO

State

NC

Zip Code

27403-4439

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Market St. Wealth Management GroupOccupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.24

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A986C96B62D834C09979

Amount of Each Receipt this Period

208.32

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WITEK, STEVEN, SCOTT, ,

Mailing Address 613 1ST STREET #1-B

City

LA SALLE

State

IL

Zip Code

61301-2433

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL FinancialOccupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

437.55

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : AC98E4024ACB94B26824

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

374.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WONG CFP, CARY, ANN, ,

Mailing Address 16201 E. INDIANA AVE STE 5220

City
SPOKANE VALLEY

State
WA

Zip Code
99216-2842

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Wong Financial Services, Inc.

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.68

Date of Receipt

07 / 26 / 2017

Transaction ID : A1CA2C066BE6042E6AC0

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$62.50/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WORKMAN, DAVID, JOHN, Mr.,

Mailing Address 1529 EAST MARKET

City
LOGANSPOUT

State
IN

Zip Code
46947-3227

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 26 / 2017

Transaction ID : AC998668DEB7641C4B2B

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. YANKER, SCOTT, W, ,

Mailing Address 13611 BARRETT OFFICE DR #100

City
MANCHESTER

State
MO

Zip Code
63021-7833

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
The Yanker Group

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

294.00

Date of Receipt

07 / 26 / 2017

Transaction ID : A93EB8F040BF347CC91C

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

250.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ZINS CFP CHFC, JERRY, J, ,

Mailing Address 400 CAMARILLO RANCH RD #202

City
CAMARILLOState
CAZip Code
93012-5902FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Summit Wealth Management GroupOccupation (for Individual)
Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 26 / 2017

Transaction ID : A913F976D56904BD4AE2

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ZITZELSBERGER, MARK, G, ,

Mailing Address 118 W. MICHIGAN ST.

City
MT. PLEASANTState
MIZip Code
48858-2508FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Total Investment Planners, Inc.Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 11 / 2017

Transaction ID : AD9975D47B6834DEF970

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Alcorn, Lee, , ,

Mailing Address 1066 Turquoise St

City
San DiegoState
CAZip Code
92109-1267FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
VP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A2F0C0D15F4784835B34

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Audette, Matt J, , ,

Mailing Address 8183 Doug HI

City
San Diego

State
CA

Zip Code
92127-2568

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
Chief Financial Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.76

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A408130AB78A944069C6

Amount of Each Receipt this Period

416.68

☐ Memo Item

Payroll Deduction: \$208.34/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Beardsley, William M., , ,

Mailing Address 927 S Knight Ave

City
Park Ridge

State
IL

Zip Code
60068-4442

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A07E9AFA803A64FB186F

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Bock, Mimi, , ,

Mailing Address 6053 Seacrest View Rd

City
San Diego

State
CA

Zip Code
92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Advisor Readiness

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AED75055F51E8411A8B5

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

666.70

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Calder, Tracy Ellen, , ,

Mailing Address 1109 Berwick Ct

City
Marvin

State
NC

Zip Code
28173-6547

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
MD, Deputy Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

07 / 28 / 2017

Transaction ID : AD8B236C13C7447F1A33

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Cameron, Kent A., , ,

Mailing Address 10749 Cherry Hill Dr

City
San Diego

State
CA

Zip Code
92130-6936

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Internal Audit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

07 / 28 / 2017

Transaction ID : AF97EF8875DF8480D8D8

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Carter, Tim, , ,

Mailing Address 6115 Paseo Monona

City
Carlsbad

State
CA

Zip Code
92009-2217

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
SVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.76

Date of Receipt

07 / 28 / 2017

Transaction ID : AE11C66E65BC44892A19

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

166.70

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Clifford, Crystal, , ,

Mailing Address 15123 Post Oak Ln

City
El Cajon

State
CA

Zip Code
92021-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.50

Date of Receipt

07 / 28 / 2017

Transaction ID : ABC918F6F624A441781F

Amount of Each Receipt this Period

62.50

☐ Memo Item

Payroll Deduction: \$31.25/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Cornell, Bradford, , ,

Mailing Address 5718 Providence Country Club Dr

City
Charlotte

State
NC

Zip Code
28277-2621

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

07 / 28 / 2017

Transaction ID : AAE1C59A5E3DD48158B0

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Cox, Patrick E., , ,

Mailing Address 2283 Caminito Preciosa Sur

City
La Jolla

State
CA

Zip Code
92037-7233

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Infrastructure Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

07 / 28 / 2017

Transaction ID : AB8088B7D7B5240B2999

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Ehlers, Marc, , ,

Mailing Address 1258 Oak Ave

City
Carlsbad

State
CA

Zip Code
92008-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

MM / DD / YYYY
07 / 28 / 2017

Transaction ID : A025D138FCF65437A8E4

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Enyedi, Matthew, , ,

Mailing Address 15497 Tanner Ridge Rd

City
San Diego

State
CA

Zip Code
92127-3654

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Natl Consulting & Mktg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

MM / DD / YYYY
07 / 28 / 2017

Transaction ID : A03C612CFD1014707AF1

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Gilchrist, Tara, , ,

Mailing Address 10428 Duxbury Ln

City
San Diego

State
CA

Zip Code
92127-6880

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

MM / DD / YYYY
07 / 28 / 2017

Transaction ID : A366427BAF35B4AEF9AE

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

166.68

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER: PAGE 58 OF 66
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Gordy, Emily P, , ,

Mailing Address 2825 Jutland Rd

City
KensingtonState
MDZip Code
20895-2819FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLCOccupation (for Individual)
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.76

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
07	28	2017

Transaction ID : A3D792DDFCFC2454BA53

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Hearn, Brad, , ,

Mailing Address 7293 Calle Conifera

City
CarlsbadState
CAZip Code
92009-8699FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLCOccupation (for Individual)
EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.00

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
07	28	2017

Transaction ID : A60F6928510F14AC388C

Amount of Each Receipt this Period

84.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Hecht, Matthew, , ,

Mailing Address 10977 Aspen Ridge Ln NW

City
ConcordState
NCZip Code
28027-2962FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial LlcOccupation (for Individual)
VP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.76

Date of Receipt

M M M	D D D	Y Y Y Y Y Y
07	28	2017

Transaction ID : AC21F7464226F496CA23

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

167.36

TOTAL This Period (last page this line number only).....▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 59 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Ho, Peggy, , ,

Mailing Address 9 Donizetti St

City
Wellesley

State
MA

Zip Code
02482-4822

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1145.88

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AF23D0F1ED271401CA85

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Jacobs, Brad, , ,

Mailing Address 5 Beacham Pl

City
Hingham

State
MA

Zip Code
02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AAE7FD8DBF0254408800

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Kalbaugh, Andy, , ,

Mailing Address 6713 Seton House Ln

City
Charlotte

State
NC

Zip Code
28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
MD, Divisional President NSC

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A08DBA88441714237AB5

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

466.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Lank, Stephen, , ,

Mailing Address 15936 Glen Miro Dr

City
Huntersville

State
NC

Zip Code
28078-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

700.00

Date of Receipt

07 / 28 / 2017

Transaction ID : A601014D075FD4156952

Amount of Each Receipt this Period

100.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. McClain, Ryan, , ,

Mailing Address 3125 Eastwood Dr

City
Charlotte

State
NC

Zip Code
28205-2107

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Busn Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

375.03

Date of Receipt

07 / 28 / 2017

Transaction ID : A37E23D83189F4164BD1

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

c. Melton, Christopher, Christopher, ,

Mailing Address 672 Southern Hills Dr

City
Eureka

State
MO

Zip Code
63025-3608

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Lpl Financial Llc

Occupation (for Individual)
VP, Bus Dev Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

07 / 28 / 2017

Transaction ID : A680DE97F6A1E41B2AE7

Amount of Each Receipt this Period

83.32

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

266.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Petrosino, Nicole, , ,

Mailing Address 2328 Champlain St NW

City
Washington

State
DC

Zip Code
20009-8601

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
VP, Government Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A245CC16482CC4AE8B7F

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Pettman, Robert, , ,

Mailing Address 11850 Blanton Ct

City
San Diego

State
CA

Zip Code
92128-5201

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
EVP, Product & Platform Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AE27A1F17BC49400695C

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Rosplock, Philip, , ,

Mailing Address 6822 Williams Rd

City
Painesville

State
OH

Zip Code
44077-9513

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Trust Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

291.76

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A66C7F5E972E442C194C

Amount of Each Receipt this Period

41.68

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

125.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 OF 66

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Warren, Jeffrey, , ,

Mailing Address 7137 Sherbourne Ln

City
San Diego

State
CA

Zip Code
92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, IAS Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AE172EAE792BA48C9B7B

Amount of Each Receipt this Period

60.00

☐ Memo Item

Payroll Deduction: \$30.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Waterfield, Brett, , ,

Mailing Address 2533 Stonehenge Dr

City
Aurora

State
IL

Zip Code
60502-4707

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : AFCC04CFB1D8A4B72950

Amount of Each Receipt this Period

200.00

☐ Memo Item

Payroll Deduction: \$100.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Woods, Greg, , ,

Mailing Address 116 Pembroke St

City
Boston

State
MA

Zip Code
02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A8F984361C9264CD48FA

Amount of Each Receipt this Period

83.34

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

343.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Wright, David Bryan, , ,

Mailing Address 8509 English Turn Ln

City
Waxhaw

State
NC

Zip Code
28173-9962

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial, LLC

Occupation (for Individual)
EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

07 / 28 / 2017

Transaction ID : AE6611C4922DE4C6CB55

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. KOEHLER, JOSEPH, FRANCIS, ,

Mailing Address 370 OLD COUNTRY RD STE C20

City
GARDEN CITY

State
NY

Zip Code
11530-1765

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial

Occupation (for Individual)
Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.29

Date of Receipt

07 / 26 / 2017

Transaction ID : AC583600083014D49ADE

Amount of Each Receipt this Period

20.82

☐ Memo Item

Payroll Deduction: \$20.82/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ZITZELSBERGER, MARK, G, ,

Mailing Address 118 W. MICHIGAN ST.

City
MT. PLEASANT

State
MI

Zip Code
48858-2508

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
Total Investment Planners, Inc.

Occupation (for Individual)
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

340.15

Date of Receipt

07 / 26 / 2017

Transaction ID : AD6D181995E8F4B0CBF0

Amount of Each Receipt this Period

6.87

☐ Memo Item

Payroll Deduction: \$6.87/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

236.03

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 OF 66
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. McCaffrey, Scott E, , ,

Mailing Address 1112 S Canterbury Ct

City
Dallas

State
TX

Zip Code
75208-2742

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)
LPL Financial LLC

Occupation (for Individual)
VP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.32

Date of Receipt

M M / D D / Y Y Y Y Y Y
07 / 28 / 2017

Transaction ID : A9F39767BFC834D96828

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

41.66

19358.48

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 65 OF 66

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07		05		2017

FEC Identification Number

C**Transaction ID : BDA53C78CF**

Amount of Each Disbursement this Period

17.50

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07		14		2017

FEC Identification Number

C**Transaction ID : B5472595813**

Amount of Each Disbursement this Period

12.55

☐ Memo Item

Full Name (Last, First, Middle Initial)

C. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For: ☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07		28		2017

FEC Identification Number

C**Transaction ID : B89C7DE771**

Amount of Each Disbursement this Period

2.50

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

32.55

32.55

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 66 OF 66

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 26	<input type="checkbox"/> 27
<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. HELLER FOR SENATE

Mailing Address PO BOX 371907

City
Las VegasState
NVZip Code
89137-1907Purpose of Disbursement
Contribution to Committee

Candidate Name

Heller, Dean, , Sen.,Office Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2018
☐ Primary ☒ General
☐ Other (specify) ▼

State: NV District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	7			1	3			2	0	1	7		

FEC Identification Number

C C00494229**Transaction ID : BCD8110955!**

Amount of Each Disbursement this Period

5000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

B. HELLER FOR SENATE

Mailing Address PO BOX 371907

City
Las VegasState
NVZip Code
89137-1907Purpose of Disbursement
VOID of disbursement reported in June 2017

Candidate Name

Heller, Dean, , Sen.,Office Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2018
☐ Primary ☒ General
☐ Other (specify)

State: NV District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y
0	7			1	3			2	0	1	7		

FEC Identification Number

C C00494229**Transaction ID : BB384211176**

Amount of Each Disbursement this Period

- 5000.00

☐ Memo Item

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ PresidentDisbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

State: District:

Date of Disbursement

M	M	M	/	D	D	D	/	Y	Y	Y	Y	Y	Y

FEC Identification Number

C

Amount of Each Disbursement this Period

☐ Memo Item**SUBTOTAL** of Disbursements This Page (optional).....▶

0.00

TOTAL This Period (last page this line number only).....▶

0.00