



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

May 9, 2022

MARIELA ROCA, TREASURER
ROCA FOR CONGRESS
PO BOX 1725
FREDERICK, MD 21702

Response Due Date
06/13/2022

IDENTIFICATION NUMBER: C00784249

REFERENCE: APRIL QUARTERLY REPORT (01/01/2022 - 03/31/2022)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 2 item(s):

1. Schedule A of your report discloses one or more contributions that appear to be from a limited liability corporation(s) (LLC) (see attached).¹¹ C.F.R. §110.1(g) allows the receipt of contributions from LLCs providing the LLC is treated as a partnership for tax purposes, and has not elected to be treated as a corporation by the Internal Revenue Service (IRS). Both LLCs that claim corporate status and those that are publicly traded would be treated as corporations for FECA purposes.

Please amend your report to clarify if the LLCs in question are treated as partnerships. If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you must amend your original report with clarifying information.

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within 30 days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide a photocopy of any refund checks. Refunds must be reported on a Schedule B supporting Line 20(a) of the report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

Although the Commission may take further legal action concerning the

ROCA FOR CONGRESS

Page 2 of 3

acceptance of prohibited contributions, your prompt action to refund the prohibited amount will be taken into consideration.

2. Your report discloses a returned check totaling \$2,900.00 on Schedule B supporting Line 17 of the Detailed Summary Page. If the contribution check received by your committee could not be negotiated, you should itemize the returned check as a negative entry on Schedule A supporting the line where the contribution was originally disclosed. Please amend your report to correct this discrepancy or provide clarification regarding this transaction. (11 CFR §104.3(a)(3) and (b)(4))

- Schedule B of your report discloses disbursements to an apparent conduit for "Credit Card Processing Fees."

For your information and consideration when preparing future filings, please be reminded that when a committee receives an earmarked contribution(s) through an allowable conduit, each individual contribution must be itemized when the individual's total contributions to your committee aggregate over \$200 per election cycle. This itemization must include the full name, address, occupation, and employer of the individual contributor along with the date the contribution(s) was received by the conduit. Any un-itemized contributions received through the conduit must be included in your totals on Line 11(a)(ii) of the Detailed Summary Page.

In addition, information regarding the conduit or intermediary for each contribution must be itemized on Schedule A as a memo entry. The conduit's full name and address (and occupation and employer if the conduit is an individual) must also be provided, along with the date the contribution(s) was received by your committee and the total amount of earmarked contributions received from the conduit.

You can refer to the FEC Campaign Guide for Congressional Candidates and Committees for further guidance on how to report earmarked contributions through a conduit. (11 CFR § 110.6(c)(2))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to

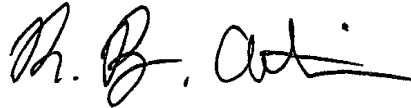
ROCA FOR CONGRESS

Page 3 of 3

taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. For information about the report review process or specific filing information for your committee type, please visit www.fec.gov/help-candidates-and-committees. For more information about Requests for Additional Information (RAI), why you received a letter, and how to respond, please visit www.fec.gov/help-candidates-and-committees/request-additional-information. Should you have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1196.

Sincerely,

A handwritten signature in black ink, appearing to read "B. B. Austin", with a stylized flourish at the end.

Bradley Austin

Sr. Campaign Finance & Reviewing Analyst

**Apparent Excessive, Prohibited, and Impermissible Contributions
Roca for Congress (C00784249)**

Contributions from Possible Prohibited Entities

Contributor Name	Date	Amount	Election
Bad Mother Shuckers, LLC (Shuckin' Shack)	2/10/22	\$2,396.72	P2022
TMGN LLC (The Machine Gun Nest)	2/10/22	\$2,000.00	P2022