PAGE 1 / 177

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Autho	onzed Committee	Office Use Only									
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5									
Novartis Corporation	Political Action Commit	tee										
ADDRESS (number and street)	701 Pennsylvania Ave. NW St	uite 725										
Check if different than previously reported. (ACC)	Washington		DC 20004-2608 -									
2. FEC IDENTIFICATION N	NUMBER ▼ CITY	' \	STATE ▲ ZIP CODE ▲									
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)									
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5	(Non-Election Year Only)									
(a) Quarterly Reports:		20 (M3) Jun 20 (M6)	(Non-Election Year Only)									
April 15 Quarterly Report ((Q1)	0 (M4) Jul 20 (M7)	Oct 20 (M10)									
July 15 Quarterly Report ((Q2) (c) 12-Day PRE-Election Report for the:	Primary (12P)	General (12G) Runoff (12R)									
October 15 Quarterly Report (·	Convention (12C)	Special (12S)									
January 31 Year-End Report ((YE) Election	on//	in the State of									
July 31 Mid-Year Report (Non-electi Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)									
Termination Repor (TER)		on//	in the State of									
	11 29 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 12	31 2016									
I certify that I have examined to Type or Print Name of Treasure	this Report and to the best of m O'Neail, Shawn, , ,	ny knowledge and belief it is t	rue, correct and complete.									
Signature of Treasurer	leail, Shawn, , ,	[Electronically Filed]	Date 01 / 30 / 2017									
NOTE: Submission of false, error	neous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 3010									
Office Use			FEC FORM 3X Rev. 05/2016									

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 11 29 2016 To: 12 31 2016

		COLUMN A This Period	COLUMN B Calendar Year-to-Date							
6.	(a) Cash on Hand January 1, 2016		151151.54							
	(b) Cash on Hand at Beginning of Reporting Period	65203.21								
	(c) Total Receipts (from Line 19)	17159.22	211305.72							
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	82362.43	362457.26							
7.	Total Disbursements (from Line 31)	41.14	280135.97							
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	82321.29	82321.29							
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00								
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00								

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

2016 31 2016 12 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 10709.69 84930.41 (i) Itemized (use Schedule A)..... 6449.53 126375.31 (ii) Unitemized (iii) TOTAL (add 211305.72 17159.22 Lines 11(a)(i) and (ii).................▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 211305.72 17159.22 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 0.00 0.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 0.00 (from Schedule H3)..... 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 211305.72 17159.22 20. Total Federal Receipts 17159.22 211305.72 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date					
(a) Allo	g Expenditures: — cated Federal/Non-Federal		Salvinaai Tour to Date					
ACTIV	rity (from Schedule H4) Federal Share	0.00	0.00					
()	F	7 7 7						
. ,	Non-Federal Share	0.00	0.00					
	er Federal Operating	41.14	555.14					
	Operating Expenditures							
	21(a)(i), (a)(ii), and (b))	41.14	555.14					
	to Affiliated/Other Party	0.00	0.00					
. Contribut	ions to	3.00	4 4					
and Othe	Candidates/Committees er Political Committees	0.00	248500.00					
	ent Expenditures	0.00	0.00					
. Coordina	edule E)ted Party Expenditures	0.00	0.00					
(52 U.S.) (use Sch	C. § 30116(d)) edule F)	0.00	0.00					
		4 4	4 4					
Loan Re	payments Made	0.00	0.00					
Loans M	ade	0.00	0.00					
Refunds	of Contributions To: riduals/Persons Other	4 4						
	Political Committees	0.00	0.00					
(b) Doli	ical Darty Committees							
	ical Party Committees	0.00	0.00					
` '	h as PACs)	0.00	0.00					
	Contribution Refunds		7 7					
(add	Lines 28(a), (b), and (c))	0.00	0.00					
Other Di	sbursements (Including							
Non-Fed	eral Donations)	0.00	31080.83					
Federal	Election Activity (52 U.S.C. § 30101(20))	7						
	cated Federal Election Activity							
(fror	n Schedule H6)							
(i) F	ederal Share	0.00	0.00					
/::\ "	Levin" Share	222	222					
. ,	eral Election Activity Paid	0.00	0.00					
	rely With Federal Funds	0.00	0.00					
	Federal Election Activity (add		7 7					
Line	s 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00					
Total Dis	bursements (add Lines 21(c), 22,							
23, 24, 2	25, 26, 27, 28(d), 29 and 30(c))	41.14	280135.97					
Total For	leral Disbursements	72 1 72 1 74	45 45 45					
	Line 21(a)(ii) and Line 30(a)(ii)							
	÷ 31)	41.14	280135.97					
	, _	-99	200133.97					

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures							
33. Total Contributions (other than loans) (from Line 11(d), page 3)	17159.22	211305.72					
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00					
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	17159.22	211305.72					
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	41.14	555.14					
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00					
38. Net Operating Expenditures (subtract Line 37 from Line 36)	41.14	555.14					

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420195 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **REFS Head Americas NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463792 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS REFS Head Americas** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ahmed, Imran, , , Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2420149 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Team Head ParTop FW Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 32.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

: 97 A = G7 9 @ G B9 C I G H9 L H F 9 @ 5 H9 8 HC 5 F 9 D C F H Z G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OR	LINE	NU	MBER	PAGE	=	8	OF	1//	
(c	che	ck only	or	ne)						
	×	11a		11b		11c		12		
		13		14		15		16	;	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ahmed, Imran, , , Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2463746 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Team Head ParTop FW Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420058 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463874 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 29.61 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Heather, R, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420227 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Sales Specialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Heather, R, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463878 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Anderson, Renee, H., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420229 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Executive Coach** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Renee, H,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463880 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Executive Coach** Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420233 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardio Regional Sales Dir-Great Lakes Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 332.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463884 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardio Regional Sales Dir-Great Lakes Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) 47.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baker, Jeanne, E,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420237 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baker, Jeanne, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463888 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Barbier, Pierre, R., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420151 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IOL Design Control Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 28.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

F	OR	LINE	NU	MBER	:	PAGE	: ′	12	OF		1//	
(C	(check only one)											
1		i		l				1				
	×	11a		11b		11c		12				
		13		14		15		16	Γ		17	

Use separate schedule(s) for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barbier, Pierre, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463748 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IOL Design Control Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barkhausen, Susana, V, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL Miami Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barkhausen, Susana, V., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463892 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Miami Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify)

SUBTOTAL of Receipts This Page (optional)	▶	I		,			,		3	9.61	Ξ	
TOTAL This Period (last page this line number only)	·· >	_	_	7	_	_	-	_	_	4	_	

FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420242 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 504.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420243 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director Strategy & Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 408.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barninger, Michael, C., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463893 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Strategy & Operations** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 55.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 14 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420244 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463894 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420245 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Director Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1440.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463895 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Director Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Billings, Michael, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420254 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Heart Failure Comms Lead/BU Liais Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Billings, Michael, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463905 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Heart Failure Comms Lead/BU Liaiso Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blair, Edward, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420256 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Product Management** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blair, Edward, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2463907 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **AD Product Management** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blizzard, Lisa, M, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420258 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Entresto Consumer Marketing** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 34.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blizzard, Lisa, M, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463909 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Entresto Consumer Marketing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City State Zip Code Transaction ID: A2016-2420485 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 540.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-2463845 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorSandoz Health Policy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 71.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Borill, Troy, L,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420262 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 481.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.14 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 502.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bortfeld, Daniel, S., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420263 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 51.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bortfeld, Daniel, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463914 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420275 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Brooks, Michael, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463926 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Mgr Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 51.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 20 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brunner, Thomas, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420279 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brunner, Thomas, R, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463930 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 875.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Buckley, Matthew, H, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420281 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strategic Market Access Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 79.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buckley, Matthew, H,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463932 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director Strategic Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Burke, John, S,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420286 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Burke, John, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463937 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular Business Leader Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420290 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463941 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420291 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 580.00 Other (specify) 125.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Byler, Timothy, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463942 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 605.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Campbell, Kimberley, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420486 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Campbell, Kimberley, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463846 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Director Oncology Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carl, Kevin, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420298 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global RA Franchise Head EM&ED Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carl, Kevin, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463949 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global RA Franchise Head EM&ED Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carpenter, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420300 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncol Area Sales Manager II Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 33.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 25 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carpenter, Matthew, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463951 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncol Area Sales Manager II Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carter, Charles, C,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420301 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Field Skills Trainer - Onc - Bronc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carter, Charles, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463952 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Field Skills Trainer - Onc - Bronc Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 26 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420198 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 138.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3323.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463795 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 138.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head of Fed Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3461.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Caywood Jr., John, H, , Jr. Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419973 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Head Government Pricing** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 286.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Caywood Jr., John, H, , Jr. Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463671 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Government Pricing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419940 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) 28.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420306 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 731.58 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463957 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 762.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Civiello, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420307 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma AD Regional Strategy Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 70.59 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Civiello, Matthew, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463959 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Regional Strategy Lead Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420311 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463963 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.00 Other (specify) 209.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

Н	UH	LINE	NU	MRFK	PAGE		30 OF		1//	
(check only one)										
`		1		1				1		
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cofone, Stephen, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420200 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Strategic Programs & Roadmaps Lead **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cofone, Stephen, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463797 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Strategic Programs & Roadmaps Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419976 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Digital Mktg** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) 76.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463674 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Director Digital Mktg** Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Colpitts, Scott, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420318 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 528.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Colpitts, Scott, G., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463970 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 90.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Compton, Matt, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420488 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Compton, Matt, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463848 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conkling, William, W., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420319 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Pricing Access & Control** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conkling, William, W, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463971 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Pricing Access & Control** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conley, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420320 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Vice President Trade Ops & Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 553.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Conley, Michael, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President Trade Ops & Analytics Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 55.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

1	·OH	LINE	NU	MBER	:	PAGE	: ;	34	OF	1//
(che	ck only	or	ne)						
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420322 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Strategic Account Alliances** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463974 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director Strategic Account Alliances** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420325 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify)

											_
SUBTOTAL of Receipts This Page (optional)	_		,			,	_		90.00)	
TOTAL This Period (last page this line number only)	_	_		_	_	-	_	_	40	<u> </u>	
·											

FOR LINE NUMBER: PAGE 35 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463977 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 City State Zip Code Transaction ID: A2016-2420489 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1107.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 City Zip Code State Transaction ID: A2016-2463849 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1153.75 Other (specify) 122.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 36 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Corcoran, Mary, E,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420328 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Associate Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Mary, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463980 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology MSL Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Couture, Eric, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420331 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 38.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

37 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Couture, Eric, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463983 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Regulatory C&G TU Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crippen, David, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419959 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Crippen, David, L, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463985 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 38 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420490 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** D'Addabbo, Richard, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463988 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 8.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorGlobal Indication Lead CLL/AML Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 207.75 Other (specify) 31.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

Receipt For:

Primary

General

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

FOR LINE NUMBER: PAGE 39 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Davis, Jeanmarie, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419978 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Prof Rel Advocate Development Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Davis, Jeanmarie, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463676 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Prof Rel Advocate Development

Other (specify) ▼	4	240,25	
Full Name of Individual (Last, First, Middle In De Leon, Andrew, , ,	itial) or Full Orga	nization Name	Date of Receipt
Mailing Address One Health Plaza			12 07 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID : A2016-2420491
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		30.00
Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item
Sandoz Inc.	MSL Di	rector Oncology	
Receipt For: Primary General Other (specify)	ar-to-Date ▼ 390.00		

Aggregate Year-to-Date ▼

FOR LINE NUMBER: PAGE 40 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name De Leon, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463851 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** De Valroger, Timothy, K, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420047 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** De Valroger, Timothy, K, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463995 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 48.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 41 OF 177 Use separate schedule(s) for each category of the Detailed Summary Page

	~						
(0	che	ck only	or	ne)			
	X	11a		11b	11c	12	
		13		14	15	16	17

_								13	┷	14	15	16		17
	y information copied from such Reports and State for commercial purposes, other than using the													5
abla	NAME OF COMMITTEE (In Full)													
\rangle	Novartis Corporation Political Ac	tion C	Comr	mitt	tee									
Α.	Full Name of Individual (Last, First, Middle Initial Deason, Terry, H, ,	al) or Fi	ull Orga	janiza	ation Name			Date of	f Ro	caint				
Α.							-							
	Mailing Address One Health Plaza							12	7	07	/ Y	2016	Y	
	City	State	<u> </u>	7i	ip Code		-				A 2016 2		-	
	East Hanover	NJ			07936						A2016-2			
		1			0,000		-	Amount	t or	Eacn R	eceipt th	is Period	1	
	FEC ID number of contributing federal political committee.	С						Ľ.	-		-		.00	
	Name of Employer (for Individual)		Occupa	ation	n (for Individua	J)		Me	emo	Item				
	Oncology		MSL D			,								
	Receipt For:	Aggra	noto Vo	oor to	o-Date ▼		_							
	Primary General	Aggre	Jaie 16	eai-ii	0-Date ¥									
	Other (specify) ▼	L			7	480.00								
_	Full Name of Individual (Last, First, Middle Initia	al) or Fi	ull Ora	aniza	ation Name									
В.	Deason, Terry, H, ,	, 0	u 0.g.	,				Date of	f Re	ceipt				
	Mailing Address One Health Plaza							M M	- /	D D	/ Y	YY	Y	
	One ricality laza							12	'	21		2016	- ·	
	City	State)	Zi	ip Code			Trans	acti	on ID :	A2016-2	163000	_	
	East Hanover	NJ			07936						eceipt th		4	
	FFC ID number of contributing		_											-
	FEC ID number of contributing federal political committee.	С						Ŀ	<u> </u>			20	.00	
	Name of Employer (for Individual) Oncology		Occupa MSL D		n (for Individua ctor	ıl)		Me	emo	Item				
	Receipt For:	Aggreg	nate Ve	ear-to	o-Date ▼									
	Primary General	Aggre	gaic ic	cai ic	o baic +									
	Other (specify) ▼	L	- 4		4	500.00	Ш							
_														
C.	Full Name of Individual (Last, First, Middle Initial Degner, Clinton, D, ,	al) or Fi	ull Orga	janiza	ation Name			Date of	f Re	ceipt				
	Mailing Address One Health Plaza							12	/	07	/ Y	2016	Y	
	City	State	9		ip Code			Trans	sacti	on ID :	A2016-2	420335		
	East Hanover	NJ		C	07936		_	Amount	t of	Each R	eceipt th	is Period	d	
	FEC ID number of contributing		-	-		_			-	-				
	federal political committee.	С		_				느	_	, ,	. ,	11	.54	
	Name of Employer (for Individual)		Occun	ation	n (for Individua	J)	\dashv	Me	emo	Item				
	Pharma				al Account Mar	•								
	Receipt For:				o-Date ▼		\dashv							
	Primary General	Aggre	Jaie 16	eai-ii	U-Dale V									
	Other (specify)					276.96	Ш							
					, -									
								_	-	-				$\overline{}$
s	UBTOTAL of Receipts This Page (optional)									,		51	.54	
\vdash							-	_		-	-			
Т	OTAL This Period (last page this line number o	nly)								,			e- 1	

FOR LINE NUMBER: PAGE 42 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Degner, Clinton, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464000 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Del Rio, Joanne, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420202 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Facilities Sr. Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Del Rio, Joanne, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463799 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Facilities Sr. Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 34.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 43 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dias, Randal, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420203 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glbl CoE Head for Design&Const Mgt N **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dias, Randal, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463800 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Glbl CoE Head for Design&Const Mgt N Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dixon, Dwayne, T, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419981 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Management Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 32.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dixon, Dwayne, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463679 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Management Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Douglas, Alastair, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419982 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head US Training Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Douglas, Alastair, M., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463680 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head US Training Surgical Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 32.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eberenz Jr., David, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420442 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - GREENVILLE NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Eberenz Jr., David, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464018 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - GREENVILLE NC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 346.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ellis, Fred, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419983 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Professional Affairs Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) 43.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

COD LINE NUMBER. Use separate schedule(s)

FC	חי	LIINE	INO	INIDEL	FAGE	- '	+0	OF	1 / /	
(ch	nec	ck only	or	ne)						_
,	×	11a		11b	11c		12			
		13		14	15		16		17	

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ellis, Fred, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463681 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Head of Professional Affairs Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 384.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Emch, Michael, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Emch, Michael, R., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2464020 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 43.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420204 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463801 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ewalt, Judith, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420447 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 211.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewalt, Judith, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464023 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419984 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head IRIS Bus Process Transformation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 369.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463682 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IRIS Bus Process Transformation Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 384.50 Other (specify) 42.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420206 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463803 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420449 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Medical Account Management & FME Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 50 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fellers, Thomas, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464025 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Foley, James, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420453 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma WW Medical Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Foley, James, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464029 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) WW Medical Affairs Director Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 73.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 51 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Footo, Andrew, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420454 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Footo, Andrew, T, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464030 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Foster, Matthew, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420456 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dermatology Sr. Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 52 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Foster, Matthew, C,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464032 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dermatology Sr. Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Freeland, Jon, S, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420458 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Associate Dir. Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Freeland, Jon, S, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464034 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Associate Dir. Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 312.50 Other (specify) 36.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 53 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Freeman, Robert, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420160 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Manufacturing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Freeman, Robert, M, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463757 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Manufacturing Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Frye, Neely, T, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2420460 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 64.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1532.40 Other (specify) 94.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 54 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frye, Neely, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464036 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 64.03 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1596.43 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gajewski, Edward, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420463 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gajewski, Edward, G., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464039 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir National & Regional Accounts Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 104.03 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

l	FOF	R LINE	NUMBER	PAGE	 55 O	F	1//	
l	(che	ck only	one)					
	X	11a	11b		11c	12		
l		13	14		15	16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaudin, David, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420467 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gaudin, David, E,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Geier, Carolyn, A, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - Escondido CA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

56 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Geier, Carolyn, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464046 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - Escondido CA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420208 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Connectivity Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 743.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Connectivity Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 820.00 Other (specify) 164.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 57 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Shaun, L,, Date of Receipt Mailing Address 6201 South Freeway 2016 City Zip Code State Transaction ID: A2016-2419987 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Group Dir National Accounts** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gentry, Shaun, L,, Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2463685 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Group Dir National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** George, Deidre, T, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 504.00 Other (specify) 40.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name George, Deidre, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464047 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420356 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 231.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464057 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 308.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: Use separate schedule(s) (check only one)

PAGE 59 OF

•	EWIZED RECEIPTS	Detailed Summary Page	×	11a 13		11 14	1b	_	11c 15	1:		17	
	y information copied from such Reports and Statements for commercial purposes, other than using the name an			r the		pos	se of	so	liciting	contr	ributio	ons	
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action C	ommittee											
١.	Full Name of Individual (Last, First, Middle Initial) or Fu Grady, Christopher, K, ,	l Organization Name	Date of Receipt										
	Mailing Address One Health Plaza City State	Zip Code	4 [12 T		L	07		/ Y	201	6	Y	
	East Hanover NJ	07936							2 016-2 4 eipt thi				
	FEC ID number of contributing federal political committee.					7		-	7	-	11.5	3	
	Pharma	Occupation (for Individual) Gr Product Manager		Me	emo) It	em						
	Receipt For: Primary General Other (specify) ▼ Aggreg	ate Year-to-Date ▼ 276.72											
3.	Full Name of Individual (Last, First, Middle Initial) or Fu Grady, Christopher, K , ,	l Organization Name	D	ate of	Re	ece	eipt						
	Mailing Address One Health Plaza	_ [12	′		21		/ Y	2016		ď		
	City State East Hanover NJ	Zip Code 07936							016-2 4 eipt thi				
	FEC ID number of contributing federal political committee.		11.53							3			
	Dhamaa	Occupation (for Individual) Sr Product Manager		Me	emo) It	em						
	Receipt For: Primary General Other (specify) ▼ Aggreg	ate Year-to-Date ▼ 288.25											
<u> </u>	Full Name of Individual (Last, First, Middle Initial) or Fu Grande, Nancy, J, ,	l Organization Name	D	ate of	Re	ece	eipt						
	Mailing Address One Health Plaza			M M M	′		07		/ Y	2010		Y	
	City State East Hanover NJ	Zip Code 07936	A						2016-2 eipt thi				
	FEC ID number of contributing federal political committee.			-	_	J	_	_	,	_	50.0	0	
	Global Drug Development	Occupation (for Individual) Head Proc Improv & Compliance IMS		Me	emo) It	tem						
	Receipt For: Primary General Other (specify) Aggreg	ate Year-to-Date ▼ 1200.00											
S	UBTOTAL of Receipts This Page (optional)			Ξ		,	Ξ	_		_	73.00	6	
Т	OTAL This Period (last page this line number only)					7			7		-		

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	OH	LINE	NU	MBER	PAGE	: (50 OF	177	
(check only one)									
`		1 1		1	_		_	1	
	X	11a		11b		11c		12	
		13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464060 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Proc Improv & Compliance IMS Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Grossman, Benjamin, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420363 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD Melanoma Expert Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grossman, Benjamin, A., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464064 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Melanoma Expert Liaison Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 61 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grossmann, Harold, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420210 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Real Estate Advisor **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grossmann, Harold, R, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463807 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Real Estate Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420364 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head US Clinical Strategy - Melanoma Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 960.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464065 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head US Clinical Strategy - Melanoma Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guidi, Joseph, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420366 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 480.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guidi, Joseph, M., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464067 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Director Commercial Strategy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420367 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464068 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420368 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 83.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 64 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464069 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haberthur, Charles, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420369 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SPEC - SAN ANTONIO W TX Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haberthur, Charles, L, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2464070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SPEC - SAN ANTONIO W TX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 312.50 Other (specify) 48.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 65 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hafner, James, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420372 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma Regional Marketer Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hafner, James, P,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464073 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Marketer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420373 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Clinical Disclosure Office Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 39.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 66 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464074 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Clinical Disclosure Office Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hallen, Paul, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419991 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head VITRet & Glaucoma Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 369.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hallen, Paul, R., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463689 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head VITRet & Glaucoma Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 384.50 Other (specify) 42.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420212 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463809 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1925.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Harper, Thomas, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420384 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma National Director Account Management Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 163.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER, DACE 60 OF Use separate schedule(s)

Г	Un	LIINE	INU	IVIDED		FAGL	. (00	Oi	111
(0	(check only one)									
,				,						
	×	11a		11b		11c		12		
		13		14		15		16	;	17

ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harper, Thomas, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464085 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma National Director Account Management Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Kay, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419993 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Global Graphics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Harris, Kay, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463691 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Global Graphics Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) 28.45 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 69 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harris, Veronica, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420386 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Product Management** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Harris, Veronica, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **AD Product Management** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hatch, Sherri, J., Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420387 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Associate Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 70 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hatch, Sherri, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464088 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Associate Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hawkins, Adrian, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420389 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hawkins, Adrian, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464090 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 71 OF

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using the such as	d Statements may not be sold or used by any pers the name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name of Individual (Last, First, Middle Hayden, Kathy-Jo, B, , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Pharma Receipt For: Primary General Other (specify)	State NJ Code 07936 C Occupation (for Individual) Director Public Health Policy Aggregate Year-to-Date 840.00	Date of Receipt 12 07 2016 Transaction ID : A2016-2420390 Amount of Each Receipt this Period 35.00 Memo Item
Full Name of Individual (Last, First, Middle Hayden, Kathy-Jo, B, , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Pharma Receipt For: Primary General Other (specify) Other (specify)	Initial) or Full Organization Name State Zip Code 07936 C Occupation (for Individual) Director Public Health Policy Aggregate Year-to-Date ▼ 875.00	Date of Receipt M M M / 21 21 2016 Transaction ID : A2016-2464091 Amount of Each Receipt this Period 35.00 Memo Item
Full Name of Individual (Last, First, Middle Hellberg, Mark, R, , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) NIBR Receipt For: Primary General Other (specify)	Initial) or Full Organization Name State	Date of Receipt 12 07 2016 Transaction ID: A2016-2419941 Amount of Each Receipt this Period 50.00 Memo Item
SUBTOTAL of Receipts This Page (optional).	• • • • • • • • • • • • • • • • • • •	120.00
TOTAL This Period (last page this line number	er only)	7 7 7

FOR LINE NUMBER: PAGE 72 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hellberg, Mark, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464471 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Chemical Technolog NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hilkert, Robert, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420400 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 553.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hilkert, Robert, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464101 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 96.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 73 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hinshaw, William, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420401 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec VP Oncology US Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hinshaw, William, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464102 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec VP Oncology US Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hokanson, William, C., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420405 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Melanoma Expert Liaisons Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 35.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 74 OF

	Use separate schedule(s) for each category of the Detailed Summary Page	(c	he	ck only	or	ne)					
			×	11a		11b	11c		12		
				13		14	15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hokanson, William, C,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464106 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Melanoma Expert Liaisons Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hong, Xin, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420163 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head Optics COE Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.64 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hong, Xin, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463760 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head Optics COE Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) 34.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 75 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hood, Jeanne, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420213 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Dir IT Software Dev **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hood, Jeanne, P,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Associate Dir IT Software Dev Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hough, Charles, F, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420214 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Hd of Corp Resp Strat & Stakeholder Er Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 39.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hough, Charles, F,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463811 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Hd of Corp Resp Strat & Stakeholder Er Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Howe, Linda, M, , Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 350 MA # 254J City State Zip Code Transaction ID: A2016-2420164 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 9.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Quality Head** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 232.56 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Howe, Linda, M, Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 350 MA # 254J City State Zip Code Transaction ID: A2016-2463761 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 9.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Quality Head** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 242.25 Other (specify) 39.38 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 77 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Huber, Kirk, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420410 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) HR Business Partner **Novartis Technical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Huber, Kirk, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464110 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** HR Business Partner Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420414 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Insurance Exchange Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) 48.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464114 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughes, Gene, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420415 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hughes, Gene, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464115 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 54.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 79 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420416 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Ex Director Public Policy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464116 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Director Public Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420425 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL - HOUSTON Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 387.60 Other (specify) 116.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 80 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464125 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL - HOUSTON Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 403.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jeffers, Mark, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419999 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jeffers, Mark, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463696 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Srg Sls Trng Global Fran Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) 35.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jennings, Kathryn, E,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420504 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Jennings, Kathryn, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464127 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Bruce, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420505 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Global Disease Leader - Leukemia Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 82 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson, Bruce, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464128 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Global Disease Leader - Leukemia Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson, Heather, L, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420000 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director of Regional Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Johnson, Heather, L, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463697 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director of Regional Sales** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 83 OF (check only one) **X** 11a 11b 12 11c

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Johnson-Davis, Sara, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420509 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director Patient Advocacy Region/State Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Johnson-Davis, Sara, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464132 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director Patient Advocacy Region/State Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Joines, Mark, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 84 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Joines, Mark, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464133 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Juterbock, Deborah, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420062 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Director Of Global Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Juterbock, Deborah, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464138 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Director Of Global Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 85 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464141 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) IACH-Capability Bldg Academy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kameros, Dean, B,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420065 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Lead Incentive Comp and Awards Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kameros, Dean, B, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464142 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NBS Lead Incentive Comp and Awards Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 86 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420066 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1540.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464143 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1617.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kapin, Michael, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420165 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Global Medical Writing Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 163.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

TOTAL This Period (last page this line number only).....

	FOR LINE IN	UMBER.	PAGE	67 UF	177					
Use separate schedule(s)	(check only one)									
for each category of the Detailed Summary Page	X 11a	11b	11c	12						
	13	14	15	16	17					

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kapin, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463762 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Medical Writing Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Karlsons, Erik, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 332.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Karlsons, Erik, L, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464147 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) 37.31 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 88 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kelly, Sara, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463699 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of US Commercial Contracts Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 203.06 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kelson, Carey, P,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420003 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Global Product Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kelson, Carey, P, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Global Product Director** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 260.50 Other (specify) 30.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 89 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendris, Thomas, N,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420194 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463791 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1153.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kettler III, Edward, W., Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2420217 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Assoc Dir IT Receipt For: Aggregate Year-to-Date ▼ Primary General 276.72 Other (specify) 103.83 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 90 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kettler III, Edward, W, , Date of Receipt Mailing Address 6201 South Freeway 2016 City Zip Code State Transaction ID: A2016-2463814 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Assoc Dir IT Receipt For: Aggregate Year-to-Date ▼ Primary General 288.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kincaid, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420074 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kincaid, Michael, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464151 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 39.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464161 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Expat_CH_Head Regulatory GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 553.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kulesher, Kathleen, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420091 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Assoc. Dir. State and External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kulesher, Kathleen, M., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464169 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc. Dir. State and External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 96.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

EOD LINE NUMBER: DAGE 02 OF Use separate so

chedule(s) ry of the ary Page	(check only one)										
	×	X 11a		11b		11c	12				
ary rage		13		14		15		16			17

for each catego Detailed Summa Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Landrus, Francis, C,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420167 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc GPTD Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Landrus, Francis, C, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463764 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Assoc GPTD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Larsen, Robert, D., Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420168 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Hd Process Dev & API Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 28.84 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 93 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Larsen, Robert, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463765 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Hd Process Dev & API Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Laverty, Shawn, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420100 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon CV2 SR SPEC MORRISTOWN NJ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Laverty, Shawn, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464178 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC MORRISTOWN NJ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 28.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 94 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420102 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464180 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1925.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420108 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) 254.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 95 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464187 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 2100.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leslie, Vinson, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leslie, Vinson, G, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464190 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 118.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 96 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420119 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncolog Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1107.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464198 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head of Global Market Access Oncolog Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1153.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419943 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 97 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464473 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head NIBR Communications **NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lolos, Konstantine, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420121 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lolos, Konstantine, G., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2464200 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 51.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 98 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Losordo, Donald, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420125 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Losordo, Donald, R,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464204 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Loveland, Frederic, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420128 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development **Executive Director R&D Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 99 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Loveland, Frederic, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464207 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Executive Director R&D Operations Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lund, Paul, O, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420011 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lund, Paul, O, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463708 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 260.50 Other (specify) 32.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: (check only one) **X** 11a 11b 11c

PAGE 100 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mac Askill, David, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420133 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business Leader F Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mac Askill, David, T,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464212 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business Leader I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. MacKay, Kimberly, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420221 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NBS Head Legal and Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 34.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

г	UH	LINE	PAGE	: 1	UI (JF	177			
(0	(check only one)									
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacKay, Kimberly, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463818 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Head Legal and Compliance **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mandala, John, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420141 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mandala, John, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2464220 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Lead Specialty Channels Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 102 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Manolios, Frank, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420143 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Manolios, Frank, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464222 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mansfield, Annette, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420144 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir Account Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 39.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mansfield, Annette, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464223 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Asc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mantha, Sridhar, V, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420014 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Head Quality Information Processes** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mantha, Sridhar, V, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463711 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Quality Information Processes Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOR LINE NUMBER:						PAGE	1	04 OI	=	177
(check only one)										
	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Marks, Michael, G,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420171 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head R and D QA Surgical Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Marks, Michael, G, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463768 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head R and D QA Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Marks, Penny, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420051 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 29.22 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 105 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Marks, Penny, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464226 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Masow, Julie, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420055 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global HeadOncology Public Relations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Masow, Julie, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464230 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global HeadOncology Public Relations Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 33.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mathias, Stephanie, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-2420222 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager PAC Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mathias, Stephanie, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City State Zip Code Transaction ID: A2016-2463819 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Manager PAC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Matthews, William, R., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2419702 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 559.57 Other (specify) 63.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 107 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Matthews, William, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464231 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 583.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mayhew, Brian, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419704 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development **Executive Director Regulatory Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mayhew, Brian, M, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464233 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development **Executive Director Regulatory Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 43.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

F	FOR LINE NUMBER:					PAGE 108 OF 1				
(C	(check only one)									
	×	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mays, Aaron, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420015 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Product Director Monofocal IOLs Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mays, Aaron, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463712 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Product Director Monofocal IOL: Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mc Laughlin, Stephen, W., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419709 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Patient Marketing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 28.47 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 109 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mc Laughlin, Stephen, W,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464238 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Director Patient Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mc Leer, Arlene, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419710 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mc Leer, Arlene, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464239 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 39.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 110 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McBride, Catharine, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419711 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McBride, Catharine, M, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464240 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McBurney, Christopher, R, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419712 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 109.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 111 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McBurney, Christopher, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464241 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McGough, Edward, D, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420016 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2769.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 2884.50 Other (specify) 239.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 112 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McKenna, Edward, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419715 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McKenna, Edward, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464244 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Meissner, Kathy, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420017 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Health Safety and Envi Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) 37.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 113 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Meissner, Kathy, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463714 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Health Safety and Envi Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Millard, Susan, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420018 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head HR Alcon R&D Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Millard, Susan, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463715 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head HR Alcon R&D Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 59.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

l FOR	FOR LINE NUMBER:					PAGE 114 OF 177					
(check only one)											
X	11a	1	11b		11c		12				
	13	1	14		15		16		17		

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Donald, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419724 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Miller, Donald, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464252 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Minetto, Alejandro, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420019 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ERP Finance Leader** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify)

FOR LINE NUMBER: PAGE 115 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Minetto, Alejandro, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463716 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ERP Finance Leader** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419732 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 446.38 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Moore, Stacey, L,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2464260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 18.67 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Resp Integrated Account Spec Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 465.05 Other (specify) 46.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 116 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419741 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Associate Dir Strategic Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Myrie, Donna, H,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464269 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Dir Strategic Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 625.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nagler, Alissa, J., Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED PAP** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 117 OF (check only one) **X** 11a 11b 12 11c

Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nagler, Alissa, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464271 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED PAP** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nakamura, Jyun, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419744 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **AD Business Development** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nakamura, Jyun, J, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464272 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Business Development** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 118 OF Use s

	TOTT LINE HOWDETT.					17.02 110 01					
separate schedule(s) ach category of the	(che	(check only one)									
ed Summary Page	X	11a		11b		11c		12			
		13		14		15		16		17	

for ea Detail Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Naughton, Mary, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419746 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regulatory Affairs - TA Dir Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Naughton, Mary, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464274 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Regulatory Affairs - TA Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Neumeyer, Thomas, B, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420497 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Associate II IPQA Receipt For: Aggregate Year-to-Date ▼ Primary General 288.00 Other (specify) 32.00 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 119 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neumeyer, Thomas, B,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463857 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate II IPQA **Novartis Technical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nguyen, An, V, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420429 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** AD Sr Application Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, An, V, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** AD Sr Application Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 35.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 120 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Niesel, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419752 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Niesel, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464280 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nobles, Sharon, L, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 121 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nobles, Sharon, L,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464281 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nugent, Kevin, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420174 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head of Regulatory CMC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nugent, Kevin, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463771 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head of Regulatory CMC Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) 30.78

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 122 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-2420430 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 78.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Legislative Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1784.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2016 City State Zip Code Transaction ID: A2016-2463826 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 78.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Ex Dir Legislative Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1862.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neil, Shawn, M, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420021 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Surgical Glaucoma Sales & Marketin Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 276.72 Other (specify) 167.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 123 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neil, Shawn, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463719 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Surgical Glaucoma Sales & Marketir Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419759 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 553.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Olmstead, Sharon, N., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464287 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 57.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 124 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osmundson, Jeffrey, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419761 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Regional Marketer West Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osmundson, Jeffrey, S, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464289 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Regional Marketer West Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Osten, Craig, S, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2420192 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President & Treasurer Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 553.92 Other (specify) 41.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 125 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osten, Craig, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463789 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President & Treasurer Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419763 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir Healthcare Contract Adm Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 553.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464291 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Healthcare Contract Adm Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 126 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Palumbo, Joseph, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419767 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Asc Dir Org. Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Palumbo, Joseph, S,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464295 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Asc Dir Org. Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Parker, Melissa, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419769 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Dir Acct Management Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 36.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 127 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Parker, Melissa, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464297 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Peterson, Bret, D, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2419777 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma ExecRespiratorySalesSpecialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Peterson, Bret, D, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464306 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ExecRespiratorySalesSpecialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 128 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Petroutsas, Efthimios, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419778 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Ribociclib** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Petroutsas, Efthimios, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464307 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Executive Director Ribociclib** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 312.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Phipps, Candice, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID : A2016-2420432 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2600.00 Other (specify) 135.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 129 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463828 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2710.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pierson, Bradley, J,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419780 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pierson, Bradley, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464309 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 130 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pinamonti, Elizabeth, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419781 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pinamonti, Elizabeth, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464310 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Porter, Bernard, M, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419786 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director National Accounts** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 131 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Porter, Bernard, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464315 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma **Director National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420500 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **VP Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 450.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463860 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 109.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 132 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Praeger, Lisa, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420025 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Head US Sales Marketing Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Praeger, Lisa, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463723 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon VP Head US Sales Marketing Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 384.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Prather, Kelly, D., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419788 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 39.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 133 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Prather, Kelly, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464317 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Prejean, Jeffrey, P, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419789 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 ABL II - NEW ORLEANS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Prejean, Jeffrey, P., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464318 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 ABL II - NEW ORLEANS Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 134 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Proctor, J., C, , Date of Receipt Mailing Address One Health Plaza City Zip Code State Transaction ID: A2016-2419791 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Proctor, J., C,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464320 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Qiu, Shumei, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419945 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 8.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBRI** Scientific Associate II Receipt For: Aggregate Year-to-Date ▼ Primary General 201.36 Other (specify) 26.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 135 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Qiu, Shumei, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464475 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Scientific Associate II **NIBRI** Receipt For: Aggregate Year-to-Date ▼ Primary General 209.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quinlan, Michelle, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419795 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Associate Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Quinlan, Michelle, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464324 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Associate Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 28.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 136 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Quinn, Eileen, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419796 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Quinn, Eileen, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464325 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rendon, Susan, R, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420027 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Total Rewards Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 28.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 137 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rendon, Susan, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463725 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Total Rewards Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riccobono, Margaret, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420501 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Associate Director Talent Management Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Riccobono, Margaret, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Associate Director Talent Management Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 32.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 138 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Richards, Edward, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420176 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP General Mgr Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Richards, Edward, C, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463773 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon VP General Mgr Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 384.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Riddell, Sheila, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - SALISBURY NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 39.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 139 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riddell, Sheila, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464334 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - SALISBURY NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riddiford, Keith, C, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head of PLS US Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Riddiford, Keith, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464335 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head of PLS US Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 140 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robertson, David, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420177 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Materials Head FWN Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robertson, David, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463774 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Materials Head FWN Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodgers, Renee, C, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) 49.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 141 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464339 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roemisch, Laurie, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419811 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Roemisch, Laurie, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464340 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 48.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 142 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Russell, Jason, T,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420502 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir National Accts Spec Pharm Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 816.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Russell, Jason, T,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463862 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Assoc Dir National Accts Spec Pharm Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 851.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ryan, Alan, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420503 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir. US Advocacy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 480.00 Other (specify) 88.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 143 OF (check only one) **X** 11a 11b 11c

Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ryan, Alan, D,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463863 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 350 MA # 234F City State Zip Code Transaction ID: A2016-2419951 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Marketing Branded Injectables** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2016 350 MA # 234F City State Zip Code Transaction ID: A2016-2463864 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Marketing Branded Injectables** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 43.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 144 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Ahmad, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420179 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Test Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Ahmad, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463776 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Principal Engineer Test Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Samartino, Roger, F, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419824 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 145 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Samartino, Roger, F,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464353 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sanderson, Adwoa, A,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419825 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sanderson, Adwoa, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464354 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 59.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 146 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmidt, Corey, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419829 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Assoc Director Labeling Specification Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schmidt, Corey, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464358 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Assoc Director Labeling Specification Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schoening, David, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420030 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Quality Assurance Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 369.12 Other (specify) 35.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 147 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schoening, David, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463728 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Quality Assurance Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 384.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420437 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463833 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 148 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seay Jr., Russell, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419830 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Regl Med Lead NS Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Seay Jr., Russell, E,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464359 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regl Med Lead NS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Seeland, S., M, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419952 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Neurology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 41.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 149 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seeland, S., M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463865 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Neurology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Shaffer, Dawn, M, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419832 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shaffer, Dawn, M., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464361 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 150 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sharkey, Bonnie, J,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419833 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Comm Contract & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sharkey, Bonnie, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464362 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Comm Contract & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shertzer, Deborah, B., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419836 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director CIA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 151 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shertzer, Deborah, B, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464365 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director CIA Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Simon, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419840 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 383.82 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simon, Joseph, M, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464369 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MS Executive Sales Specialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 393.82 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 152 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simon, William, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419841 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV1 SR SPEC - PITTSBURGH W PA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Simon, William, E, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464370 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - PITTSBURGH W PA Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sipe, David, P., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 153 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sipe, David, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464374 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Slick, John, G,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419847 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL Columbia SC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 221.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Slick, John, G, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464376 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Columbia SC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 154 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spelta, William, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419855 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 336.96 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Spelta, William, S,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464384 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 351.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spurr, Robert, A, Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-2419858 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology US Mkt Access & Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 2430.00 Other (specify) 143.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 155 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2016 City Zip Code State Transaction ID: A2016-2464387 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 2545.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stamatis, Demetre, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419859 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Reg Affairs-TA Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stamatis, Demetre, A., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464388 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Reg Affairs-TA Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 135.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 156 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stanley Jr., Danney, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420183 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Dir Mfg Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stanley Jr., Danney, M,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463780 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Mfg Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stecher, Donald, E, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419862 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 157 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stecher, Donald, E,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464391 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stehle, Philip, G, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420036 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.88 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stehle, Philip, G., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463734 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) 30.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 158 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stephens, Ashley, D, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419864 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV1 Sr ABL Columbia SC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stephens, Ashley, D, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464393 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 Sr ABL Columbia SC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stevens, Donald, P., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419866 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 830.88 Other (specify) 53.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 159 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Donald, P,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464395 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 865.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stickley, Lesley, J, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2419867 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stickley, Lesley, J., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464396 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 57.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 160 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stillwell, Matthew, C, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419868 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) National Leader - Institute Specialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stillwell, Matthew, C,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2464397 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma National Leader - Institute Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Streit, Peter, N, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419872 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Professional Strat & Bus Developme Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

FOF	R LINE	NU	MBER	:	PAGE	1	61 OF	177
(che	ck only	or	ne)					
×	11a		11b		11c		12	
	13		14		15		16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Streit, Peter, N,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464401 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Professional Strat & Bus Developme Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stricker, Edson, J,, Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2420184 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Mgr Materials Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stricker, Edson, J., Date of Receipt Mailing Address 6201 South Freeway 2016 City State Zip Code Transaction ID: A2016-2463781 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Mgr Materials Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.25 Other (specify) 36.91 SUBTOTAL of Receipts This Page (optional).....

FOR LINE NUMBER: PAGE 162 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419876 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 720.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464406 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Trout, Gretchen, S., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419903 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head North America Policy & FDA Liaiso Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

FOR LINE NUMBER: PAGE 163 OF (check only one) **X** 11a 11b 11c 12

Use separate schedule(s) for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Trout, Gretchen, S,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464433 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head North America Policy & FDA Liais Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tuffin, Nancy, N, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID : A2016-2420038 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head Health Policy/Govt Rel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.72 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Tuffin, Nancy, N., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463736 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Health Policy/Govt Rel Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.25 Other (specify) 33.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 164 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Urban, Thomas, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419905 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.33 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) ExecRespiratorySalesSpecialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.45 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Urban, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464435 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.33 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma ExecRespiratorySalesSpecialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 406.78 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Utt, Lisa, R, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419907 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Area Leader Patient Services Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 332.40 Other (specify) 46.51 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 165 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utt, Lisa, R,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464437 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Area Leader Patient Services Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419909 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Quality Ext Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Van Meter, Jennifer, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464439 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Quality Ext Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) 73.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 166 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Van Pelt, Jason, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420478 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1848.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Van Pelt, Jason, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1925.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vander Veen, Edward, S, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419912 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Strat Capabilities Oncolog Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 169.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 167 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vander Veen, Edward, S, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464442 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Global Strat Capabilities Oncolog Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Vanhaecke, Erwin, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon IACH-Head NVS Group Qlty Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419954 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 115.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 168 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463867 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Voegtli, William, W,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419914 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 336.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Voegtli, William, W., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 105.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 169 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Volk, Christen, L, , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419916 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. AD-National Accounts Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Volk, Christen, L,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2464446 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. AD-National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Vollert-Parrotto, Denise, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420479 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** US Finance PLS Head Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 170 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vollert-Parrotto, Denise, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463839 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Finance PLS Head **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Warner, Robert, K,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2420041 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Franchise Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 553.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Warner, Robert, K, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2463740 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Franchise Head Vision Care Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) 56.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 171 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Waugh, Stephanie, B,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420042 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glb Mktg Dir Ext Eye Disease Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Waugh, Stephanie, B,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463741 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Glb Mktg Dir Ext Eye Disease Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkinson, Erik, A, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419926 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 42.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 172 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkinson, Erik, A,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464456 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 312.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419929 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464459 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 72.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 173 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Kenneth, K,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420188 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Project Toxicologist Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.64 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Williams, Kenneth, K, , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463785 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Sr Project Toxicologist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wilshire, Karen, M., Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2419932 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 221.52 Other (specify) 28.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 174 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilshire, Karen, M,, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464462 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2419935 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Glbl Hd of FME Digital Med Info & Com Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 276.96 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wyble, Christine, D, Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2464465 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Glbl Hd of FME Digital Med Info & Comr Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 175 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 2016 City Zip Code State Transaction ID: A2016-2420190 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head PCS Lab Services Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 2016 City State Zip Code Transaction ID: A2016-2463787 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head PCS Lab Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 19.24 SUBTOTAL of Receipts This Page (optional)..... 10709.69 TOTAL This Period (last page this line number only).....

S П

S	CHEDULE E	3 (FEC Form 3X)						FOR LINE NUMBER: PAGE 176 OF 177												
ITEMIZED DISBURSEMENTS				I for each cotogony of the I i			ck only one)							_	7.07					
					Summary Page		×	21b 28a	-	22 28b		23 28c		26 29	-	27 30b				
Δr	y information con	ied from such Reports and S	tatements	may	not he sold or us	ed h	nv anv		on		nur		of so		na c		tions			
		urposes, other than using the																		
\setminus	NAME OF COM	, ,																		
$ \rangle$	Novartis Co	orporation Political A	ction C	comn	nittee															
_		First, Middle Initial)								Date of Disbursement										
A. Capital One Bank											T DIS									
	Mailing Address	701 Pennsylvania Ave. NW						12 20 2016												
	City		State		Zip Code					FEC Id	enti	fication	ı Nı	umbe	r					
	Washington Purpose of Disbu	irsement	DC	DC 20004																
	Bank Service Charge					001			[C]											
	Candidate Name	date Name Category.					ry/	Transaction ID: B637753 Amount of Each Disbursement this Perio												
							Туре			_	-		-	_	_	44.4	4			
	Office Sought:	House Disb	ursement Prim		2016 General							7	-	7		41.1	4			
		President		•	cify) ▼				П	□ Ma		14								
	State:	District:	<u> </u>		Not Applicable	е				IVIE	emo	Item								
	Full Name (Last,	First, Middle Initial)																		
В.	В.								Date of Disbursement											
	Mailing Address																			
	City		State		Zip Code					FEC Id	enti	fication	n Nu	umbe	r					
	Purpose of Disbu	ursement								С										
						н				U	-	-		_	_	-				
	Candidate Name Category/							Amount of Each Disbursement this Period												
Office Sought: House Disburseme					Type															
	Senate Stagnic Senate			Primary General																
		President	Othe	er (spe	cify)					Memo Item										
	State:	District:								.,,,										
C.	Full Name (Last,	First, Middle Initial)								Data o	f Dia	churco	mor	nt						
О.							Date of Disbursement													
	Mailing Address											L.		Ĺ						
	City		State		Zip Code															
	City		State		Zip Code				١.	FEC Id	enti	fication	n Nu	ımbe	r					
	Purpose of Disbursement								C											
	Candidata Nama	and idea. None																		
	Candidate Name					С	atego Type		١.	Amoun	t of	Each	Disl	ourse	men	t this	Period			
	Office Sought:	House Disb	ursement	For:			·ypc													
		Senate	Prim	•	General							7		-7						
	Ctata	President	Othe	er (spe	cify) ▼					Me	mo	Item								
_	State:	District:																		
۱,	UBTOTAL of Diel	bursements This Page (optior	nal)													41.	14			
dash										H	÷		=	7	=		-			
Т	OTAL This Period	d (last page this line number	only)									,				41.	14			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s	FOR LINE NUMBER: PAGE 177 OF (check only one)									
TILMIZED DIODONOLINENTO	for each category of the Detailed Summary Page) \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ 21h	22 X 23 26 27 28c 29 30b								
Any information copied from such Reports and State or for commercial purposes, other than using the nar											
NAME OF COMMITTEE (In Full)	and address of any pon		construction from outer community.								
Novartis Corporation Political Action	on Committee										
Full Name (Last, First, Middle Initial)			Date of Disbursement								
A. Donnelly for Indiana	Donnelly for Indiana										
Mailing Address 1433 R Street NW #2			11 29 2016								
Washington	State Zip Code DC 20009		FEC Identification Number								
Purpose of Disbursement Contribution		011	C C00393652 Transaction ID : B635955 Amount of Each Disbursement this Period								
Candidate Name		Category/									
Donnelly, Joseph, S, ,	Ca										
Office Sought: House Disburse	ment For: 2018	1	-1000.00								
Senate President	Primary General		Voided: Original check da								
State: IN District:	Other (specify) ▼		Memo Item 11/28/2016								
Full Name (Last, First, Middle Initial)											
B. Donnelly for Indiana		Date of Disbursement									
	M M / D D / Y Y Y Y Y										
Mailing Address 1433 R Street NW #2	11 29 2016										
City	State Zip Code		FEC Identification Number								
Washington Purpose of Disbursement	Washington DC 20009 Purpose of Disbursement										
Contribution											
Candidate Name		Category/	Transaction ID : B636012 Amount of Each Disbursement this Period								
Donnelly, Joseph, S, ,	manual Farm	Type	500.00								
Office Sought: House Disburse	ment For: 2018 Primary General		500.00								
President	Other (specify)		п., .,								
State: IN District:	· · · · · · · · · · · · · · · · · · ·		Memo Item								
Full Name (Last, First, Middle Initial)			5. (5.)								
C. Donnelly for Indiana	Date of Disbursement										
Mailing Address 1433 R Street NW #2	11 29 2016										
City	State Zip Code DC 20009		FEC Identification Number								
Washington Purpose of Disbursement	T										
Contribution	C C00393652										
Candidate Name	Transaction ID : B636013 Amount of Each Disbursement this Period										
Donnelly, Joseph, S, ,	Category/ Type										
Conoto	ment For: 2018		500.00								
Senate x	Primary General Other (specify) ▼		п.,								
State: IN District:	Carlot (opcony) V		Memo Item								
,											
SUBTOTAL of Disbursements This Page (optional).		·····•	0.00								
TOTAL This David (last name this line would	Α.		0.00								
TOTAL This Period (last page this line number only	')		5.50								