

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Ameriprise Financial Inc. PAC (Ameriprise PAC)

ADDRESS (number and street)

101 Constitution Ave. NW Suite 912

☐ Check if different than previously reported. (ACC)

Washington

DC

20001

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00414474

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☒

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

11

04

2014

in the
State of

MN

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

10

01

2014

through

10

15

2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Elizabeth Varley

Signature of Treasurer

Elizabeth Varley

[Electronically Filed]

Date

10

17

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y 10 / 01 / 2014 To: M M / D D / Y Y Y Y Y 10 / 15 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y 2014		63745.20
(b) Cash on Hand at Beginning of Reporting Period.....	50091.89	
(c) Total Receipts (from Line 19)	2268.15	62478.19
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	52360.04	126223.39
7. Total Disbursements (from Line 31)	15500.00	89363.35
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	36860.04	36860.04
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		0	1		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		1	5		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	2126.48	52943.79
(ii) Unitemized	141.67	9534.40
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	2268.15	62478.19
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	2268.15	62478.19
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	2268.15	62478.19
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	2268.15	62478.19

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	263.35
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	263.35
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	15500.00	88500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	600.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	600.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	15500.00	89363.35
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	15500.00	89363.35

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	2268.15	62478.19
34. Total Contribution Refunds (from Line 28(d))	0.00	600.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	2268.15	61878.19
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	263.35
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	263.35

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 OF 21

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Vikas Bangia

Mailing Address 707 2nd Ave S.

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Enterprise Inv. Svcs

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

650.00

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2170000

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Walter S Berman

Mailing Address 110 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

CFO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169981

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. Stephen Blaske

Mailing Address 2905 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-Actuarial Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169986

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional)..... ►

262.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Stephen Buff

Mailing Address 225 Franklin Street

City

Boston

State

MA

Zip Code

02110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169995

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

B. MICHAEL G BURTON

Mailing Address 50601 Ameriprise Financial Center

City

Minneapolis

State

MN

Zip Code

55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169961

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

C. Richard Bush

Mailing Address 1163 Ameriprise Financial Center

City

Minneapolis

State

MN

Zip Code

55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corporate Tax

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169983

Amount of Each Receipt this Period

76.92

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

136.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. KENNETH J CIAK

Mailing Address 3500 Packerland Drive

City

DePere

State

WI

Zip Code

54115

FEC ID number of contributing
federal political committee.

C

Name of Employer

IDS Property Casualty Ins. Co.

Occupation

SVP Auto & Home Insurance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169960

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

B. RICHARD B DVORAK

Mailing Address 2525 Bay Area Boulevard

City

Houston

State

TX

Zip Code

77058

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.05

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169965

Amount of Each Receipt this Period

3.85

Full Name (Last, First, Middle Initial)

C. James Flewelling

Mailing Address 24 Waterway Avenue, Suite 1400

City

The Woodlands

State

TX

Zip Code

77380

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Enterprise Inv. Svcs

Occupation

Regional Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169997

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

42.31

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Samuel Fox

Mailing Address 545 Long Wharf Drive

City State Zip Code
 New Haven CT 06511

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169994

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

B. Donald E Froude

Mailing Address P. O. Box 2048

City State Zip Code
 Dennis MA 02638

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

President-U.S. Advisor Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169989

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. Steve Gathje

Mailing Address 707 Second Avenue South

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Enterprise Inv. Svcs

Occupation

SVP / Chief Actuary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169996

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

280.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 21
(check only one)

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. SHARON L HUGHES

Mailing Address 108 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corporate Communications

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169962

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Kelli A Hunter

Mailing Address 7 World Trade Center

City State Zip Code
New York NY 10007

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169979

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. SARA S JANZ

Mailing Address 9509 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-Annuity Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169964

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

231.53

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Nikki Krakow

Mailing Address 802 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Services

Occupation

VP-Business Unit Controller

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169985

Amount of Each Receipt this Period

15.00

Full Name (Last, First, Middle Initial)

B. JENINNE MCGEE

Mailing Address 9504 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Senior Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169971

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

C. Deirdre Davey McGraw

Mailing Address 108 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Marketing, Comm & Comm Rel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y
 10 / 03 / 2014

Transaction ID : A2014-2169977

Amount of Each Receipt this Period

192.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

284.22

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 21
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. JERYL A MILLNER

Mailing Address 707 Second Avenue South

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-LFO Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169972

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Thomas R Moore

Mailing Address 1098 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Corporate Secretary

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169984

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

C. FRANCIS W MOSSETT III

Mailing Address 39209 6 Mile Road, Suite 160

City State Zip Code
Livonia MI 48152

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

P1 Field Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169945

Amount of Each Receipt this Period

19.23

SUBTOTAL of Receipts This Page (optional)..... ►

77.69

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 21

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Kendall Nygard

Mailing Address 525 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169987

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

B. PATRICK H O'CONNELL

Mailing Address 185 Asylum St Cityplace II

City State Zip Code
Hartford CT 06103

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169946

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

C. Rosendo Palacios

Mailing Address 707 2nd Ave South

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP/LFO Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169990

Amount of Each Receipt this Period

76.92

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

134.61

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 14 OF 21

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Douglas J Parish

Mailing Address 101 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

General Auditor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

MM / DD / YYYY
10 / 03 / 2014

Transaction ID : A2014-2169982

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Kai Sakstrup

Mailing Address 707 Second Avenue South

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

American Enterprise Inv. Svcs

Occupation

Vice President, Strategy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.73

Date of Receipt

MM / DD / YYYY
10 / 03 / 2014

Transaction ID : A2014-2169999

Amount of Each Receipt this Period

19.24

Full Name (Last, First, Middle Initial)

C. BRIAN J SEASTONE

Mailing Address 4337 Siena Drive

City State Zip Code
Frisco TX 75034

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

RVP-Insurance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

MM / DD / YYYY
10 / 03 / 2014

Transaction ID : A2014-2169947

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

119.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. JEREMY M STRAUB

Mailing Address 2 Park Plaza Suite 1100

City State Zip Code
Irvine CA 92614

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

P1 Field Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

384.60

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169943

Amount of Each Receipt this Period

19.23

Full Name (Last, First, Middle Initial)

B. Joseph E Sweeney

Mailing Address 687 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres Fin Plng Prod & Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169980

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

C. KAREN WILSON THISSEN

Mailing Address 5223 Ameriprise Financial Center

City State Zip Code
Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP & Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

M M / D D / Y Y Y Y Y
10 / 03 / 2014

Transaction ID : A2014-2169975

Amount of Each Receipt this Period

38.46

SUBTOTAL of Receipts This Page (optional)..... ►

249.99

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 16 OF 21

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. David H Weiser

Mailing Address 1099 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP & Assistant General Cnsl

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169988

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

B. WILLIAM J WILLIAMS

Mailing Address 50561 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP - Franchise Group

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

769.20

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169942

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

C. JOHN R WOERNER

Mailing Address 138 Ameriprise Financial Center

City State Zip Code
 Minneapolis MN 55474

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres-Ins & Chief Strat Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3846.00

Date of Receipt

10 / 03 / 2014

Transaction ID : A2014-2169976

Amount of Each Receipt this Period

192.30

SUBTOTAL of Receipts This Page (optional)..... ►

307.68

TOTAL This Period (last page this line number only)..... ►

2126.48

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 17 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Kevin McCarthy for Congress

Mailing Address 213 Ashby Street

City	State	Zip Code
Alexandria	VA	22305

Purpose of Disbursement
Contribution

011

Candidate Name

Kevin McCarthyCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: CA District: 23

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	07	/	2014

Transaction ID : B535526

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Himes for Congress

Mailing Address 410 1st St. SE Suite 310

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Jim HimesCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: CT District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	07	/	2014

Transaction ID : B535514

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Bill Foster for Congress

Mailing Address 499 S. Capitol St SW Ste 422

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

William G FosterCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: IL District: 11

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	07	/	2014

Transaction ID : B535513

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

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SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 18 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Schock for Congress

Mailing Address 209 Pennsylvania Ave, SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Aaron SchockCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: IL District: 18

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535523

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Stephen F. Lynch for Congress Committee

Mailing Address 105 Farragut Road

City	State	Zip Code
South Boston	MA	02127

Purpose of Disbursement
Contribution

011

Candidate Name

Stephen F LynchCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: MA District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535533

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Richard E. Neal for Congress

Mailing Address 410 1st Street SE Ste 310

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Richard E NealCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: MA District: 01

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535518

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Peters For Michigan

Mailing Address 3701 Porter Street N.W.

City	State	Zip Code
Washington	DC	20016

Purpose of Disbursement
Contribution

011

Candidate Name

Gary PetersCategory/
Type

Office Sought: ☐ House
☒ Senate
☐ President

State: MI District:

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535519

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Peterson for Congress

Mailing Address 236 Massachusetts Ave. NE #603

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement
Contribution

011

Candidate Name

Collin PetersonCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: MN District: 07

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535527

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. McHenry for Congress

Mailing Address 412 S. Capitol St. SE Ste B

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

011

Candidate Name

Patrick McHenryCategory/
Type

Office Sought: ☒ House
☐ Senate
☐ President

State: NC District: 10

Disbursement For: 2014

☐ Primary ☒ General
☐ Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535517

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 20 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Adrian Smith for Congress

Mailing Address 228 S. Washington St. Ste 330

City	State	Zip Code
Alexandria	VA	22314

Purpose of Disbursement
Contribution

Candidate Name

Adrian SmithOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: NE District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535522

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Wyden for Senate

Mailing Address 3612 Newark Street NW

City	State	Zip Code
Washington	DC	20016

Purpose of Disbursement
Contribution

Candidate Name

Ron WydenOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2016
☒ Primary ☐ General
☐ Other (specify) ▼

State: OR District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535516

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. The Reed Committee

Mailing Address 328 Massachusetts Avenue NE

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement
Contribution

Candidate Name

Jack ReedOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: RI District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
10		07		2014

Transaction ID : B535520

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 21 OF 21

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

A. Citizens to Elect Rick Larsen

Mailing Address P.O. Box 636

City	State	Zip Code
Annandale	VA	22003

Purpose of Disbursement
Contribution

011

Candidate Name

Rick LarsenCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: WA District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		07		2014

Transaction ID : B535515

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Ribble for Congress

Mailing Address P.O. Box 7200

City	State	Zip Code
Appleton	WI	54912

Purpose of Disbursement
Contribution

011

Candidate Name

Reid RibbleCategory/
TypeOffice Sought: ☒ House
☐ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: WI District: 08

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		07		2014

Transaction ID : B535532

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C. Capito for West Virginia

Mailing Address 1006 Pendleton Street

City	State	Zip Code
Alexandria	VA	22314

Purpose of Disbursement
Contribution

011

Candidate Name

Shelley Moore CapitoCategory/
TypeOffice Sought: ☐ House
☒ Senate
☐ PresidentDisbursement For: 2014
☐ Primary ☒ General
☐ Other (specify) ▼

State: WV District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10		07		2014

Transaction ID : B535524

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3000.00

15500.00
