

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

RECEIVED 2014 OCT 16 AM 8:30 OFFICE USE ONLY MAIL CENTER

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines.

12FE4M5

Northern Trust Corporation Federal Political Action Committee

ADDRESS (number and street) 50 South LaSalle St

Chicago IL 60603-1111

2. FEC IDENTIFICATION NUMBER CITY STATE ZIP CODE

000505148

3. IS THIS REPORT NEW OR AMENDED

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
July 15 Quarterly Report (Q2)
October 15 Quarterly Report (Q3)
January 31 Year-End Report (YE)
July 31 Mid-Year Report (Non-election Year Only) (MY)
Termination Report (TER)

- (b) Monthly Report Due On: Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11)
Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12)
Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)

- (c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R)
Convention (12C) Special (12S)
Election on in the State of

- (d) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S)
Election on in the State of

5. Covering Period 07'01'2014 through 09'30'2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Wendy Mausolf

Signature of Treasurer Wendy Mausolf Date 10'01'2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Table with 10 columns: Office Use Only, and FEC FORM 3X Rev. 12/2004

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Northern Trust Corporation Federal Political Action Committee

Report Covering the Period:

From:

07 ' 01 ' 2014

To:

09 ' 30 ' 2014

COLUMN A  
This Period

COLUMN B  
Calendar Year-to-Date

6. (a) Cash on Hand January 1, <u>2014</u>		<u>115000</u>
(b) Cash on Hand at Beginning of Reporting Period.....	<u>857500</u>	
(c) Total Receipts (from Line 19) .....	<u>390000</u>	<u>3132500</u>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<u>1247500</u>	<u>3247500</u>
7. Total Disbursements (from Line 31).....	<u>900000</u>	<u>2900000</u>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<u>347500</u>	<u>347500</u>
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D) .....		
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D) .....		

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

*Northern Trust Corporation Federal Political Action Committee*

Report Covering the Period:

From:

07' 01' 2014

To:

09' 30' 2014

**I. Receipts**

**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

3,800.00

31,225.00

(ii) Unitemized.....

1,000.00

1,000.00

(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

3,900.00

31,325.00

(b) Political Party Committees.....

(c) Other Political Committees (such as PACs).....

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

3,900.00

31,325.00

12. Transfers From Affiliated/Other Party Committees.....

13. All Loans Received.....

14. Loan Repayments Received.....

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

17. Other Federal Receipts (Dividends, Interest, etc.).....

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

(b) Levin Funds (from Schedule H5).....

(c) Total Transfers (add 18(a) and 18(b))..

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

3,900.00

31,325.00

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

3,900.00

31,325.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

**II. Disbursements**

**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....		
(ii) Non-Federal Share.....		
(b) Other Federal Operating Expenditures .....		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....		
22. Transfers to Affiliated/Other Party Committees.....		
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	9,000.00	29,000.00
24. Independent Expenditures (use Schedule E) .....		
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....		
26. Loan Repayments Made.....		
27. Loans Made.....		
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....		
(b) Political Party Committees .....		
(c) Other Political Committees (such as PACs).....		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....		
29. Other Disbursements .....		
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....		
(ii) "Levin" Share.....		
(b) Federal Election Activity Paid Entirely With Federal Funds .....		
(c) Total Federal Election Activity (add ... Lines 30(a)(i), 30(a)(ii) and 30(b)).....		
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	9,000.00	29,000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	9,000.00	29,000.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	390000	3132500
34. Total Contribution Refunds (from Line 28(d)) .....		
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	390000	3132500
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....		
37. Offsets to Operating Expenditures (from Line 15, page 3) .....		
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....		

LAWSON CONSULTANTS

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 1 OF 4	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
Northern Trust Corporation Federal Political Action Committee

A. **Bacon, Bryan**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: 825 Cherry St.  
 City: Wheaton State: IL Zip Code: 60187  
 Date of Receipt: 09/30/2014  
 Amount of Each Receipt this Period: 2000.00  
 Name of Employer: Northern Trust Occupation: Banker  
 Receipt For:  Primary  General  
 Aggregate Year-to-Date: 5000.00  
 FEC ID number of contributing federal political committee: C

B. **Dibble, Kelly**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: 12209 Grove Park Court  
 City: Potomac State: MD Zip Code: 20854  
 Date of Receipt: 09/30/2014  
 Amount of Each Receipt this Period: 1000.00  
 Name of Employer: Northern Trust Occupation: Banker  
 Receipt For:  Primary  General  
 Aggregate Year-to-Date: 5000.00  
 FEC ID number of contributing federal political committee: C

C. **Fumagalli, John**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: 6460 SW 106th Street  
 City: Miami State: FL Zip Code: 33156  
 Date of Receipt: 09/30/2014  
 Amount of Each Receipt this Period: 1500.00  
 Name of Employer: Northern Trust Occupation: Banker  
 Receipt For:  Primary  General  
 Aggregate Year-to-Date: 7500.00  
 FEC ID number of contributing federal political committee: C

**SUBTOTAL** of Receipts This Page (optional)..... 4500.00  
**TOTAL** This Period (last page this line number only).....

2014-09-30 11:00:00 AM

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE **2** OF **4**  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)

**Northern Trust Corporation Federal Political Action Committee**

Full Name (Last, First, Middle Initial)

A. **Griffin, Ramona**

Mailing Address

**6957 N. Western Ave Unit B**

City **Chicago** State **IL** Zip Code **60645**

FEC ID number of contributing federal political committee.

**C**

Name of Employer

**Northern Trust**

Occupation

**Banker**

Receipt For:

Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

**1,250.00**

Date of Receipt

**09 / 30 / 2014**

Amount of Each Receipt this Period

**500.00**

Full Name (Last, First, Middle Initial)

B. **Luth, Ann**

Mailing Address

**44 Waverly Ave**

City **Clarendon Hills** State **IL** Zip Code **60514**

FEC ID number of contributing federal political committee.

**C**

Name of Employer

**Northern Trust**

Occupation

**Banker**

Receipt For:

Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

**300.00**

Date of Receipt

**09 / 30 / 2014**

Amount of Each Receipt this Period

**300.00**

Full Name (Last, First, Middle Initial)

C. **Carlson, Christopher**

Mailing Address

**60 East Monroe St Unit 5802**

City **Chicago** State **IL** Zip Code **60603**

FEC ID number of contributing federal political committee.

**C**

Name of Employer

**Northern Trust**

Occupation

**Banker**

Receipt For:

Primary     General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

**500.00**

Date of Receipt

**09 / 30 / 2014**

Amount of Each Receipt this Period

**200.00**

SUBTOTAL of Receipts This Page (optional).....

**5500.00**

TOTAL This Period (last page this line number only).....

**5500.00**

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE **3** OF **9**  
(check only one)  
 11a  11b  11c  12  
 13  14  15  16  17

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NAME OF COMMITTEE (In Full)  
**Northern Trust Corporation Federal Political Action Committee**

**A. Dzanis, Marie**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: **175 East Delaware Place # 6301**  
 City: **Chicago** State: **IL** Zip Code: **60611**  
 Date of Receipt: **09' 30' 2014**  
 Amount of Each Receipt this Period: **600.00**  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer: **Northern Trust** Occupation: **Banker**  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date** **1,000.00**

**B. Jacobs, Peter**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: **2500 N. Orchard St.**  
 City: **Chicago** State: **IL** Zip Code: **60614**  
 Date of Receipt: **09' 30' 2014**  
 Amount of Each Receipt this Period: **100.00**  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer: **Northern Trust** Occupation: **Banker**  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date** **250.00**

**C. McInerney, Joseph**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: **1400 Jackson Ave**  
 City: **River forest** State: **IL** Zip Code: **60305**  
 Date of Receipt: **09' 30' 2014**  
 Amount of Each Receipt this Period: **300.00**  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer: **Northern Trust** Occupation: **Banker**  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date** **500.00**

**SUBTOTAL of Receipts This Page (optional)** **1,000.00**  
**TOTAL This Period (last page this line number only)**

FORM 1111-11111111



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE **4** OF **4**  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**Northern Trust Cooperation Federal Political Action Committee**

**A. Roselle, Jim**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: **484 Rockefeller Drive**  
 City: **Lake Forest** State: **IL** Zip Code: **60045**  
 Date of Receipt: **09/30/2014**  
 Amount of Each Receipt this Period: **80000**  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer: **Northern Trust** Occupation: **Banker**  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date** **80000**

**B. Morrison, William**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address: **315 Solano Prado**  
 City: **Coral Gables** State: **FL** Zip Code: **33156**  
 Date of Receipt: **09/30/2014**  
 Amount of Each Receipt this Period: **100000**  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer: **Northern Trust** Occupation: **Banker**  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date** **100000**

**C.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address  
 City State Zip Code  
 Date of Receipt  
 Amount of Each Receipt this Period  
 FEC ID number of contributing federal political committee: **C**  
 Name of Employer Occupation  
 Receipt For:  Primary  General  Other (specify) **Aggregate Year-to-Date**

**SUBTOTAL** of Receipts This Page (optional) **80000**  
**TOTAL** This Period (last page this line number only) **380000**

140001-140004

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 1 OF 2

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial)

A.

Date of Disbursement

09 / 16 / 2019

Friends of John Boehner

Mailing Address

320 First Street SE

City State Zip Code

Washington DC 20003

Purpose of Disbursement

Political Contribution

Candidate Name

John Boehner

011  
Category/  
Type

Amount of Each Disbursement this Period

2,500.00

Office Sought:  House  
 Senate  
 President

Disbursement For:  Primary  General  
 Other (specify) ▼

State: OH District:

Full Name (Last, First, Middle Initial)

B.

Date of Disbursement

/ /

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/  
Type

Amount of Each Disbursement this Period

Office Sought:  House  
 Senate  
 President

Disbursement For:  Primary  General  
 Other (specify) ▼

State: District:

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

/ /

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Category/  
Type

Amount of Each Disbursement this Period

Office Sought:  House  
 Senate  
 President

Disbursement For:  Primary  General  
 Other (specify) ▼

State: District:

SUBTOTAL of Disbursements This Page (optional).....▶

2,500.00

TOTAL This Period (last page this line number only).....▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

PAGE 2 OF 2

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Northern Trust Corporation Federal Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Roskam for Congress

Mailing Address: PO Box 713

City: Wheaton, State: IL, Zip Code: 60189

Purpose of Disbursement: Political Contribution

Candidate Name: Peter Roskam

Office Sought:  House,  Senate,  President

Disbursement For:  Primary,  General,  Other (specify)

State: IL, District: 6

Date of Disbursement: 07/17/2014

Amount of Each Disbursement this Period: 2,500.00

Category/Type: O.I.I.

**B.** Kirk for Senate

Mailing Address: 209 Pennsylvania Ave S.E.

City: Washington, State: DC, Zip Code: 20003

Purpose of Disbursement: Political Contribution

Candidate Name: Mark Kirk

Office Sought:  House,  Senate,  President

Disbursement For:  Primary,  General,  Other (specify)

State: IL, District:

Date of Disbursement: 07/17/2014

Amount of Each Disbursement this Period: 2,500.00

Category/Type: O.I.I.

**C.** Becerra for Congress

Mailing Address: 625 3rd Street, NE Suite #2

City: Washington, State: DC, Zip Code: 20002

Purpose of Disbursement: Political Contribution

Candidate Name: Xavier Becerra

Office Sought:  House,  Senate,  President

Disbursement For:  Primary,  General,  Other (specify)

State: CA, District: 34

Date of Disbursement: 08/27/2014

Amount of Each Disbursement this Period: 1,500.00

Category/Type: O.I.I.

SUBTOTAL of Disbursements This Page (optional).....

6,500.00

TOTAL This Period (last page this line number only).....

9,000.00

50 South LaSalle Street  
Chicago, Illinois 60603

M-11 2220  
**Northern Trust**

ADDRESS SERVICE REQUESTED

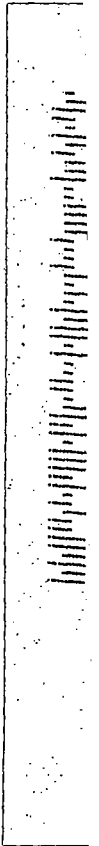
Federal Election Commission  
999 E Street, NW  
Washington, DC 20543

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2014 OCT 16 AM 8:30  
FEC MAIL CENTER

U.S. POSTAGE PITNEY BOWES  
ZIP 60607 \$ 000.98<sup>0</sup>  
02 1W  
0001394644 OCT. 02. 2014.



0001394644



Federal Election Commission  
**ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS**  
 The FEC added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input checked="" type="checkbox"/> USPS First Class Mail	Postmarked 10/2/14
<input type="checkbox"/> USPS Registered/Certified	Postmarked (R/C)
<input type="checkbox"/> USPS Priority Mail	Postmarked
<input type="checkbox"/> USPS Priority Mail Express	Postmarked
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Overnight Delivery Service (Specify):	Shipping Date
	Next Business Day Delivery <input type="checkbox"/>
<input type="checkbox"/> Received from House Records & Registration Office	Date of Receipt
<input type="checkbox"/> Received from Senate Public Records Office	Date of Receipt
<input type="checkbox"/> Received from Electronic Filing Office	Date of Receipt
<input type="checkbox"/> Other (Specify):	Date of Receipt or Postmarked

*JAD*  
 PREPARER  
 (8/2013)

10/16/14  
 DATE PREPARED

1001-1001-1001