FEC

FORM 3X

## **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

			Office Us	e Only
1. NAME OF TYI COMMITTEE (in full)		ample: If typing, type er the lines.	12FE4M5	
Novartis Corporation Poli	tical Action Committee			
ADDRESS (number and street)	701 Pennsylvania Ave. NW Suite 72	25		
Check if different than previously reported. (ACC)	Washington		DC 20004	-2608
2. FEC IDENTIFICATION NUME		ł	STATE 🔺	ZIP CODE ▲
С соооззеее	3. IS THIS REPORT		X AMENDED (A)	
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:		Aug 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 20 (M3		Sep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)	(c) 12-Day		X Oct 20 (M10)	Jan 31 (YE)
July 15 Quarterly Report (Q2)	(C) 12-Day PRE-Election Report for the:	Primary (12P) Convention (12C)	General (12G) Special (12S)	Runoff (12R)
October 15 Quarterly Report (Q3)		M M / D D /	Y Y Y Y Y	in the
January 31 Year-End Report (YE)	Election on			State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day <b>POST</b> -Election Report for the:	General (30G)	Runoff (30R)	Special (30S)
Termination Report (TER)	Election on	M = M / D = D /	Y B Y B Y B Y	in the State of
5. Covering Period 09	/ D D / Y Y Y Y 01 2016	through 09	/ D D / Y Y 30 201	<sup>ү</sup> ү 6
I certify that I have examined this F Type or Print Name of Treasurer	Report and to the best of my kno O'Neail, Shawn, , ,	owledge and belief it is tru	e, correct and complet	e.
Signature of Treasurer	Shawn, , ,	[Electronically Filed]	Pate 12 / 08	D / Y Y Y Y 2016
NOTE: Submission of false, erroneous	s, or incomplete information may s	subject the person signing th	nis Report to the penaltie	es of 52 U.S.C. § 30109
Office Use Only				FORM 3X ev. 05/2016

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x

## SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 05/2016) Page 2 Write or Type Committee Name Novartis Corporation Political Action Committee MM D Y M D M D 09 01 2016 09 30 2016 Report Covering the Period: From: To: COLUMN A COLUMN B This Period Calendar Year-to-Date (a) Cash on Hand 6. Y 151151.54 January 1, 2016 (b) Cash on Hand at 93183.82 Beginning of Reporting Period..... 17111.73 159979.19 (c) Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 311130.73 110295.55 6(a) and 6(c) for Column B)..... 46540.39 247375.57 7. Total Disbursements (from Line 31)..... 8. Cash on Hand at Close of Reporting Period 63755.16 63755.16 (subtract Line 7 from Line 6(d)) ..... Debts and Obligations Owed TO 9. the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Novartis Corporation Political Action Committee

Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees	I	
Than Political Committees		
(i) Itemized (use Schedule A)	8807.45	54694.19
	0004.00	105005.05
(ii) Unitemized	8304.28	105285.00
(iii) TOTAL (add	47444 72	159979.19
Lines 11(a)(i) and (ii)	17111.73	
(b) Delitical Party Committees	0.00	0.00
<ul><li>(b) Political Party Committees</li><li>(c) Other Political Committees</li></ul>	4 4 4	
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	17111.73	159979.19
Transfers From Affiliated/Other		
Party Committees	0.00	0.00
All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made		
to Federal Candidates and Other		
Political Committees	0.00	0.00
Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	17111.73	159979.19
, , , , , , , , , ,		
Total Federal Receipts		

Page 3

#### DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016) Page 4 COLUMN A COLUMN B **II. Disbursements Total This Period Calendar Year-to-Date** 21. Operating Expenditures: Allocated Federal/Non-Federal (a) Activity (from Schedule H4) 0.00 0.00 Federal Share ..... (i) 0.00 0.00 (ii) Non-Federal Share..... (b) Other Federal Operating 375.57 Expenditures ..... 40.39 (c) Total Operating Expenditures 375.57 (add 21(a)(i), (a)(ii), and (b)) 40.39 22. Transfers to Affiliated/Other Party Committees..... 0.00 0.00 23 Contributions to Federal Candidates/Committees 244000.00 and Other Political Committees... 46500.00 24. Independent Expenditures (use Schedule E)..... Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)..... 0.00 0.00 25. 0.00 0.00 26. Loan Repayments Made..... 0.00 0.00 19 Loans Made.... Refunds of Contributions To: (a) Individuals/Persons Other 0.00 27. 28. 0.00 Than Political Committees 0.00 0.00 (b) Political Party Committees ..... 0.00 0.00 Other Political Committees (C) (such as PACs)..... 0.00 0.00 Total Contribution Refunds (d) (add Lines 28(a), (b), and (c))...... 0.00 0.00 29. Other Disbursements (Including Non-Federal Donations)..... 3000.00 0.00 30. Federal Election Activity (52 U.S.C. § 30101(20)) (a) Allocated Federal Election Activity (from Schedule H6) (i) Federal Share ..... 0.00 0.00 (ii) "Levin" Share..... 0.00 0.00 (b) Federal Election Activity Paid Entirely With Federal Funds ..... 0.00 0.00 Total Federal Election Activity (add (C) Lines 30(a)(i), 30(a)(ii) and 30(b)) ..... 0.00 0.00 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. 46540.39 247375.57 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... 46540.39 247375.57

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#### DETAILED SUMMARY PAGE

of Disbursements

	FEC Form 3X (Rev. 05/2016)		Page 5
	III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) (from Line 11(d), page 3)	17111.73	159979.19
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	17111.73	159979.19
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	40.39	375.57
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	40.39	375.57

#### :97 `A=G79 @@5 B9CIG`H9LH`F9 @5 H98 `HC`5 `F9DCFHžG7<98 I@9 `CF`=H9A=N5 H=CB

Form/Schedule: F3XA Transaction ID :

This report is being amended to update the sub total on Line 6(d), Column B disbursement totals and the PAC beginning and ending balances. Please update your records accordingly.

Form/Schedule: Transaction ID:

Use separate schedule(s)

FOR LINE NUMBER:

PAGE 7 OF

	-	Use separate schedule(s)	(check only one)					
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         1'					
			13     14     15     16     1       person for the purpose of soliciting contributions ee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	mittee						
Full Name of Individual (Last, First, Middle A. Ackerman Jr., Robert, E, ,	Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791224 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		11.54					
Name of Employer (for Individual) NBS		upation (for Individual) Country Head REFS	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72						
Full Name of Individual (Last, First, Middle B. Ackerman Jr., Robert, E, ,	Date of Receipt							
Mailing Address One Health Plaza			09 28 2016					
City	State	Zip Code	Transaction ID : A2016-1844273					
East Hanover	NJ	07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		11.54					
Name of Employer (for Individual) NBS		upation (for Individual) Country Head REFS	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26						
Full Name of Individual (Last, First, Middle C. Anderson, Heather, R, ,	Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791559 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		15.00					
Name of Employer (for Individual) Pharma		upation (for Individual) Sales Specialist	Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 270.00	]					
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line numl			38.08					

#### :97 `A=G79 @@5 B9CIG`H9LH`F9 @5 H98 `HC`5 `F9DCFHžG7<98 I@9 `CF`+H9A=N5 H=CB

Form/Schedule: SA11AI Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

## SCHEDULE A (FEC Form 3X)

Use separate schedule(s)

FOR LINE NUMBER:

PAGE 9 OF

	Use separate schedule(s)				(check only one)									
11	EMIZED RECEIPTS		for each category of Detailed Summary Pa		×	11a		11b	11c	12				
	y information copied from such Reports and St for commercial purposes, other than using the													
	NAME OF COMMITTEE (In Full)													
$\rangle$	Novartis Corporation Political Ac	tion Con	nmittee											
Α.	Full Name of Individual (Last, First, Middle Initi Anderson, Heather, R, ,	al) or Full O	rganization Name			Date of	Re	eceipt						
	Mailing Address One Health Plaza					м м 09	1	28		Y Y Y 2016				
	City East Hanover	State NJ	Zip Code 07936		_					-1844603 this Peric				
	FEC ID number of contributing federal political committee.	С		]						1	5.00			
	Name of Employer (for Individual) Pharma		upation (for Individual) Sales Specialist			Me	emo	o Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285	5.00										
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arline, Andrew, , ,						Re	eceipt						
	Mailing Address One Health Plaza						1	D 14		2016	Y	1		
	City East Hanover	StateZip CodeNJ07936				Transaction ID : A2016-1791384 Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C				13.85								
	Name of Employer (for Individual) Pharma	Occupation (for Individual) Cardio Regional Sales Dir-Great Lakes				s Memo Item								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ A A A 249	9.30										
с.	Full Name of Individual (Last, First, Middle Initi Arline, Andrew, , ,	al) or Full O	rganization Name			Date of	Re	eceipt						
	Mailing Address One Health Plaza					<sup>M</sup> 09	/	28		Y Y Y 2016	Y			
	City East Hanover	State NJ	Zip Code 07936		_					-1844429 this Peric				
	FEC ID number of contributing federal political committee.	С		]		<u> </u>		y 1	,	1	3.85			
	Name of Employer (for Individual) Pharma	Occupation (for Individual) Cardio Regional Sales Dir-Great Lakes				M	emo	o Item						
	Receipt For: Primary General Other (specify)	Aggregate		8.15										
			<del>7</del> · · · <del>7</del> · · · · ·	····· •			-	<b>,</b> ,	· · · · · · · · · · · · · · · · · · ·	4;	2.70			

Use separate schedule(s)

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PAGE 10 OF

ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)				
		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17				
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.				
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee					
Full Name of Individual (Last, First, Middle A. Banko, Michael, , ,	Initial) or Full O	rganization Name	Date of Receipt				
Mailing Address One Health Plaza			09 14 2016				
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791268 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C		23.08				
Name of Employer (for Individual) NBS		upation (for Individual) IT Service Management	Memo Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.44	]				
Full Name of Individual (Last, First, Middle B. Banko, Michael, , , Mailing Address One Health Plaza	Date of Receipt						
City	State	Zip Code	09 28 2016				
East Hanover	NJ	07936	Transaction ID : A2016-1844314 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С	23.08					
Name of Employer (for Individual) NBS		upation (for Individual) IT Service Management	Memo Item				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52	]				
Full Name of Individual (Last, First, Middle C. Barkhausen, Susana, V, ,	Initial) or Full O	rganization Name	Date of Receipt				
Mailing Address One Health Plaza			09 14 2016				
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791145 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	С		15.00				
Name of Employer (for Individual) Pharma		upation (for Individual) ABL II Miami	Memo Item				
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 270.00	]				
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			► 61.16				

Use separate schedule(s)

FOR LINE NUMBER:

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	-	Use separate schedule(s)	(check only one)
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>≭</b> 11a 11b 11c 12
			13     14     15     16     17       person for the purpose of soliciting contributions       se to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Con	mittee	
Full Name of Individual (Last, First, Midd A. Barkhausen, Susana, V, ,	le Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844196 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) Pharma		upation (for Individual) 2 ABL II Miami	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285.00	
B. Full Name of Individual (Last, First, Midd B. Barnett, Allison, , , Mailing Address One Health Plaza	Date of Receipt		
	01-1-	09 14 2016	
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791666 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		21.00
Name of Employer (for Individual) Pharma		upation (for Individual) State & Ext Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 378.00	
Full Name of Individual (Last, First, Midd C. Barnett, Allison, , ,	le Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844710 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		21.00
Name of Employer (for Individual) Pharma	Occi AD S	Memo Item	
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 399.00	
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line nur			57.00

Use separate schedule(s)

FOR LINE NUMBER:

(check only one)

PAGE 12 OF

ITEIMIZED RECEIPTS		for each category of the Detailed Summary Page	×			11b	11c	12	<u> </u>					
Any information copied from such Reports or for commercial purposes, other than usin														
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Cor	nmittee												
Full Name of Individual (Last, First, Mide A. Barninger, Michael, C, ,	dle Initial) or Full C	rganization Name		Date of Receipt										
Mailing Address One Health Plaza	State	Zip Code		M         M         /         D         D         /         Y										
East Hanover	NJ	07936	-	Transaction ID : A2016-1791388 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С								.00					
Name of Employer (for Individual) OBU		upation (for Individual) octor Strategy & Operations		M	emo	tem								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 306.00	1											
Full Name of Individual (Last, First, Mide B. Barninger, Michael, C, ,							Date of Receipt							
Mailing Address One Health Plaza		09 28 / Y Y Y Y 2016												
City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2016-1844433 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С			17.00										
Name of Employer (for Individual) OBU		upation (for Individual) ector Strategy & Operations		Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 323.00												
Full Name of Individual (Last, First, Mide C. Baron, Neilda, A, ,	dle Initial) or Full C	rganization Name		Date of	Re	eceipt								
Mailing Address One Health Plaza				09 14 2016										
City East Hanover	State NJ	Zip Code 07936				ion ID : A Each Re		1 <b>791544</b> his Period	d					
FEC ID number of contributing federal political committee.	С			<u> </u>		y .	,	50	.00					
Name of Employer (for Individual) OBU		upation (for Individual) Dir Medical Services		M	emo	tem								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 900.00												
SUBTOTAL of Receipts This Page (option	nal)		•			, .		84	.00					
TOTAL This Period (last page this line nu	mber only)	•	•			<b>T</b>	-							

#### SCHEDULE A (FEC Form 3X) \_ \_\_\_\_\_

Use separate schedule(s)

FOR LINE NUMBER:

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			for each category of the Detailed Summary Page	<b> </b>	<b>′</b> 11a 13		11b 14	11c 15	12	17	
	y information copied from such Reports and St for commercial purposes, other than using the				for the		pose of	soliciting	contribu	tions	
$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee								
Α.	Full Name of Individual (Last, First, Middle Initi Baron, Neilda, A, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				м м 09	1	D 28	) / Y	y y 2016	Y	
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th			
	FEC ID number of contributing federal political committee.	С							50.	00	
	Name of Employer (for Individual) OBU		upation (for Individual) Dir Medical Services		M	emo	ttem				
	Receipt For: Primary General Other (specify) ▼	Aggregate									
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, ,						eceipt				
	Mailing Address One Health Plaza						D 14		ү ү 2016	Y	
	City	State NJ	Zip Code					A2016-1			
	East Hanover FEC ID number of contributing federal political committee.	NJ 07936				Amount of Each Receipt this Period 60.00					
	Name of Employer (for Individual) OBU		upation (for Individual) c Director Health Policy		M	emo	ttem				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1080.00								
С.	Full Name of Individual (Last, First, Middle Initi Baroni Allmon, Tracy, L, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				09 <sup>M</sup>	J.	28		үүү 2016	Ŷ	
	City East Hanover	State NJ	Zip Code 07936				-	A2016-1 Receipt th			
	FEC ID number of contributing federal political committee.	С			Ē		,	,	60.	00	
	Name of Employer (for Individual) OBU	Occu Exec		М	emo	o Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1140.00								
s	UBTOTAL of Receipts This Page (optional)			•			<u>y</u>	. ,	170.	00	
т	OTAL This Period (last page this line number of	only)		•				-			

### SCHEDULE A (FEC Form 3X) \_\_\_\_\_

Use separate schedule(s)

FOR LINE NUMBER:

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171			Use separate schedule(s)				(check only one)							
	EMIZED RECEIPTS		for each category of the Detailed Summary Page		<b>×</b> 11a		11b	11c	12					
	y information copied from such Reports and St for commercial purposes, other than using the													
	NAME OF COMMITTEE (In Full)			5 10 3										
$\rangle$	Novartis Corporation Political Ac	ction Con	nmittee											
Α.	Full Name of Individual (Last, First, Middle Init Billings, Michael, J, ,	First, Middle Initial) or Full Organization Name					Date of Receipt							
	Mailing Address One Health Plaza				<sup>M</sup> 09	/	D 14		ү ү 2016	Y				
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th	1 <b>791390</b> his Period					
	FEC ID number of contributing federal political committee.	C							15.0	00				
	Name of Employer (for Individual) Pharma	Occupation (for Individual) Dir Heart Failure Comms Lead/BU Liais				emo	ltem							
	Receipt For: Primary General Other (specify) ▼	Aggregate	]											
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Billings, Michael, J, ,						ceipt							
	Mailing Address One Health Plaza						28		2016	Ŷ				
	City East Hanover	State NJ	Zip Code 07936	-				A2016-1						
	FEC ID number of contributing federal political committee.	C				Amount of Each Receipt this Period								
	Name of Employer (for Individual) Pharma		upation (for Individual) Heart Failure Comms Lead/BU Lia	ais	Memo Item									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285.00	]										
с.	Full Name of Individual (Last, First, Middle Init Blair, Edward, J, ,	ial) or Full O	rganization Name		Date of	Re	ceipt							
	Mailing Address One Health Plaza				09 14 2016									
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th	1791218 nis Period					
	FEC ID number of contributing federal political committee.	С			Ľ.		,	9	11.5	54				
	Name of Employer (for Individual) OBU	dividual) Occupation (for Individual) AD Product Management					) Item							
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.72	]										
s	UBTOTAL of Receipts This Page (optional)			•			, ,	. ,	41.5	54				
т	OTAL This Period (last page this line number of	only)		•										

# SCHEDULE A (FEC Form 3X)

Use separate schedule(s)

FOR LINE NUMBER:

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IT.			Use separate schedule(s)	(ch	eck only	y on	e)	L					
			for each category of the Detailed Summary Page	×	11a 13		11b	11c	12	17			
	y information copied from such Reports and St for commercial purposes, other than using the				for the		ose of	soliciting	contribu	tions			
$\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee										
Α.	Full Name of Individual (Last, First, Middle Initi Blair, Edward, J, ,	al) or Full O	rganization Name		Date of	Re	ceipt						
	Mailing Address One Health Plaza				09 28 2016								
	City East Hanover	State NJ	Zip Code 07936					A2016-1 eceipt th	844267 is Period				
	FEC ID number of contributing federal political committee.	С							11.	54			
	Name of Employer (for Individual) OBU	Occu AD I		Me	emo	Item							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26										
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Blizzard, Lisa, M, ,						ceipt						
	Mailing Address One Health Plaza				09 / 14 / Y Y Y Y 2016								
	City East Hanover	State NJ		Transaction ID : A2016-1791391 Amount of Each Receipt this Period									
	FEC ID number of contributing federal political committee.	Ŭ				11.54							
	Name of Employer (for Individual) Pharma	Occi Dire	ng	Me	emo	ltem							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	]									
с.	Full Name of Individual (Last, First, Middle Initi Blizzard, Lisa, M, ,	al) or Full O	rganization Name		Date of	Re	ceipt						
	Mailing Address One Health Plaza				м м 09	/	D D D 28	/ Y	y y 2016	Y			
	City East Hanover	State NJ	Zip Code 07936				-	A2016-1 eceipt th	844436 is Period				
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	Name of Employer (for Individual) Pharma	Occupation (for Individual) Director Entresto Consumer Marketing				emo	ltem						
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s	UBTOTAL of Receipts This Page (optional)			▶		_	, ,	- y	34.0	62			
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# SCHEDULE A (FEC Form 3X)

Use separate schedule(s)

FOR LINE NUMBER:

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170			Use separate schedule(s)				(check only one)								
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	y information copied from such Reports and Sta for commercial purposes, other than using the					for the		pose of	soliciting	g contrit	outio	ns			
$\overline{\ }$	NAME OF COMMITTEE (In Full)		• • •												
	Novartis Corporation Political Ac	tion Corr	nmitt	ee											
A.	Full Name of Individual (Last, First, Middle Initia Bonebrake, Alison, , ,	al) or Full O	Date of Receipt												
	Mailing Address 608 Fifth Avenue				_										
						09		14		2016					
	City New York	State NY		p Code 10020					A2016-1						
			_	10020	_	Amoun	t of	Each F	Receipt th	iis Perio	bd				
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	Name of Employer (for Individual)	Осси	upation	(for Individual)		М	emo	o Item							
	Sandoz Inc.	Dire	ctorSa	ndoz Health Policy											
	Receipt For:	Aggregate	Year-to	o-Date ▼											
	Other (specify)			360.00											
			7	4											
	Full Name of Individual (Last, First, Middle Initia Bonebrake, Alison, , ,	al) or Full O	rganiza	ation Name		Date of	f Re	eceipt							
	Mailing Address 608 Fifth Avenue						M         M         /         D         D         /         Y								
	City	State	Zi	p Code		Trans	act	ion ID :	A2016-1	843204					
	New York	NY	0020	_	Amoun	t of	Each F	Receipt th	is Perio	bd					
	FEC ID number of contributing federal political committee.	С								3	0.00				
	Name of Employer (for Individual) Sandoz Inc.		•	(for Individual) ndoz Health Policy		М	emo	o Item							
	Receipt For:														
	Primary General	Aggregate Year-to-Date ▼													
	Other (specify)		,	390.00											
	Full Name of Individual (Last, First, Middle Initia Borill, Troy, L, ,	al) or Full O	rganiza	ation Name		Date of	f Re	eceipt							
	Mailing Address One Health Plaza					09	1	D 14		2016	Y	1			
	City	State		p Code		Trans	act	ion ID :	A2016-1	791351					
	East Hanover	NJ		7936	_	Amoun	t of	Each F	Receipt th	is Perio	bd				
	FEC ID number of contributing federal political committee.	С				<u> </u>		,	,	2	0.14				
	Name of Employer (for Individual) OBU		•	(for Individual) gy Specialist		М	em	o Item							
	Receipt For:	Aggregate													
	Primary General Other (specify)		361.14												
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	ENIZED RECEIPTS			I Summary Page	1	<b>K</b> 11a		] 11b	)	11c	1	2					
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$\backslash$	NAME OF COMMITTEE (In Full)		•••														
	Novartis Corporation Political	Action Con	nmittee														
۹.	Full Name of Individual (Last, First, Middle   Borill, Troy, L, ,	nitial) or Full C	or Full Organization Name						Date of Receipt								
	Mailing Address One Health Plaza					09 / 28 / Y Y Y Y 09 / 28											
	City	State	Zip Co			Trans	acti	ion I	ID : A	2016-1	84439	96					
	East Hanover	NJ	0793	36		Amount	t of	Eac	h Re	ceipt th	is Pe	riod					
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	Primary General Other (specify) ▼		т. т. т. т. т. т.	381.28	]												
	Full Name of Individual (Last, First, Middle I Bortfeld, Daniel, S, ,	Initial) or Full C	rganization	Name		Date of	f Re	eceip	ot								
	Mailing Address One Health Plaza					09 14 2016											
	City	State	Zip Co	ode		Trans	acti	on I	D : A	2016-17	79112	3					
	East Hanover	NJ		Amount of Each Receipt this Period													
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	Mailing Address One Health Plaza					09	/	D	28	/ Y	201		Y				
	City	State	Zip Co			Trans	acti	ion l	ID : A	2016-1	84417	76					
	East Hanover	NJ	0793	6		Amount	t of	Eac	h Re	ceipt th	is Pe	riod					
	FEC ID number of contributing federal political committee.	С						,		9		11.5	4				
	Name of Employer (for Individual) OBU		•	<sup>r</sup> Individual) R Operational Exceller	nce	М	emo	b Ite	m								
	Receipt For:	Aggregate	Year-to-Da	te 🔻													
	Primary General Other (specify)			219.26	]												
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Use separate schedule(s)

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PAGE 18 OF

ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)									
IIEWIIZED KEGEIFIJ		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17									
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee										
Full Name of Individual (Last, First, Middle Brooks, Michael, D, ,	Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			09 14 2016									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791148 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		20.00									
Name of Employer (for Individual) OBU		upation (for Individual) Oncol Area Sales Mgr	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 360.00										
Full Name of Individual (Last, First, Middle B. Brooks, Michael, D, , Mailing Address One Health Plaza	Initial) or Full O	rganization Name	Date of Receipt									
	Chata	Zin Oode	09 28 2016									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844199 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		20.00									
Name of Employer (for Individual) OBU		upation (for Individual) Dncol Area Sales Mgr	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 380.00	]									
Full Name of Individual (Last, First, Middle C. Brunner, Thomas, R, ,	Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			09 14 2016									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791397           Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		35.00									
Name of Employer (for Individual) NIBR		upation (for Individual) xpert 1	Memo Item									
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 630.00	]									
SUBTOTAL of Receipts This Page (optional)			75.00									

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PAGE 19 OF

IT.	EMIZED RECEIPTS		Use separate schedule(s)	(che	(check only one)											
			for each category of the Detailed Summary Page	×	11a 13		11b 14	11c	12	Г	17					
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$\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Corr	nmittee													
A.	Full Name of Individual (Last, First, Middle Initia Brunner, Thomas, R, ,	al) or Full O	Date of Receipt													
	Mailing Address One Health Plaza				м м 09	/	D 28		201	ү ү 6	]					
	City East Hanover	State NJ	Zip Code 07936					<b>A2016-</b> Receipt t								
	FEC ID number of contributing federal political committee.	С					-			35.00	)					
	Name of Employer (for Individual) NIBR		upation (for Individual) xpert 1		М	emo	o Item									
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 665.00	]												
в.	Full Name of Individual (Last, First, Middle Initia Burns, Richard, , ,	al) or Full O	rganization Name		Date of	f Re	eceipt									
	Mailing Address One Health Plaza		State Zip Code					M         M         /         D         /         Y								
	City East Hanover	State NJ					A2016-		-	_						
	FEC ID number of contributing federal political committee.	C								13.85						
	Name of Employer (for Individual) Pharma		upation (for Individual) Sales		М	emo	tem									
	Receipt For: Primary General Other (specify) ▼	eceipt For: Primary General Aggregate Year-to-Date ▼														
с.	Full Name of Individual (Last, First, Middle Initia Burns, Richard, , ,	al) or Full O	rganization Name		Date of	f Re	eceipt									
	Mailing Address One Health Plaza				<sup>M</sup> 09	/	D 28		2016		1					
	City East Hanover	State NJ	Zip Code 07936					: <b>A2016-</b> Receipt t								
	FEC ID number of contributing federal political committee.	С			<u> </u>		y .	 		13.85	;					
	Name of Employer (for Individual) Pharma	Occu Dir S	upation (for Individual) Sales		M	emo	o Item									
	Receipt For:	Aggregate	ggregate Year-to-Date ▼ 263.15													
	UBTOTAL of Receipts This Page (optional)					-	, ,		(	62.70	-					

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PAGE 20 OF

TEMIZED RECEIPTS	for each category of the	<b>≭</b> 11a ☐ 11b ☐ 11c ☐ 12								
	Detailed Summary Page	$\begin{array}{ c c c c c c c c } \hline & & & & & & & & & & & & & & & & & & $								
or for commercial purposes, other than using t	Statements may not be sold or used by any pe he name and address of any political committee	erson for the purpose of soliciting contributions								
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee									
Full Name of Individual (Last, First, Middle I A. Bylancik, Angela, D, ,	Initial) or Full Organization Name	Date of Receipt								
Mailing Address One Health Plaza		09 14 2016								
City	State Zip Code	Transaction ID : A2016-1791118								
East Hanover	NJ 07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C	50.00								
Name of Employer (for Individual) Pharma	Occupation (for Individual) Ex Dir BD&L Alliance Mgmt	Memo Item								
Receipt For:	Aggregate Year-to-Date ▼									
Primary General Other (specify) ▼	900.00									
Full Name of Individual (Last, First, Middle I B. Bylancik, Angela, D, ,	Initial) or Full Organization Name	Date of Receipt								
Mailing Address One Health Plaza		09 28 2016								
City	State Zip Code	Transaction ID : A2016-1844171								
East Hanover	NJ 07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C	50.00								
Name of Employer (for Individual) Pharma	Occupation (for Individual) Ex Dir BD&L Alliance Mgmt	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 950.00									
Full Name of Individual (Last, First, Middle I C. Byler, Timothy, S, ,	Initial) or Full Organization Name	Date of Receipt								
Mailing Address One Health Plaza		09 14 2016								
City	State Zip Code	Transaction ID : A2016-1791182								
East Hanover	NJ 07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C	25.00								
Name of Employer (for Individual) Pharma	Occupation (for Individual) AD State & External Affairs	Memo Item								
Receipt For:	Aggregate Year-to-Date ▼	-								
Primary General										
Other (specify)	430.00									
SUBTOTAL of Receipts This Page (optional)		125.00								

Use separate schedule(s)

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17									
or for commercial purposes, other than us			erson for the purpose of soliciting contributions e to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Com	nmittee										
Full Name of Individual (Last, First, Mid Byler, Timothy, S, , Mailing Address One Health Plaza	dle Initial) or Full O	ganization Name	Date of Receipt									
City	State	Zip Code	09 28 2016 Transaction ID : A2016 1844222									
East Hanover	NJ	07936	Transaction ID : A2016-1844233 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С		25.00									
Name of Employer (for Individual) Pharma		pation (for Individual) State & External Affairs	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 455.00	]									
Full Name of Individual (Last, First, Mid B. Campbell, Kimberley, J, ,	dle Initial) or Full O	ganization Name	Date of Receipt									
Mailing Address One Health Plaza			09 / D D / Y Y Y Y Y 14 2016									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1790997 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	C		25.00									
Name of Employer (for Individual) Sandoz Inc.		ipation (for Individual) . Director Oncology	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00	]									
Full Name of Individual (Last, First, Mid C. Campbell, Kimberley, J, ,	dle Initial) or Full O	ganization Name	Date of Receipt									
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 28 2016									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1843202 Amount of Each Receipt this Period									
FEC ID number of contributing federal political committee.	С											
Name of Employer (for Individual) Sandoz Inc.		pation (for Individual) Director Oncology	Memo Item									
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 475.00	]									
SUBTOTAL of Receipts This Page (option	nal)		75.00									
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	NAME OF COMMITTEE (In Full)														
$\sum$	Novartis Corporation Political Ac	tion Con	nmittee												
A.	Full Name of Individual (Last, First, Middle Init Carl, Kevin, M, ,	ial) or Full O	rganization Name		Date of Receipt										
	Mailing Address One Health Plaza				м м 09	/	D 14		2016	Y					
	City	State NJ	Zip Code 07936	-				A2016-1							
	East Hanover	INJ	07936		Amount	t of	Each F	Receipt th	nis Period						
	FEC ID number of contributing federal political committee.	С							11.5	54					
	Name of Employer (for Individual) Pharma		upation (for Individual) oal RA Franchise Head EM&E	Đ	M	emo	ttem								
	Receipt For:	Aggregate	Year-to-Date ▼												
	Primary General			- 1											
	Other (specify) ▼		207.72												
R	Full Name of Individual (Last, First, Middle Initi Carl, Kevin, $M$ ,	ial) or Full O	rganization Name		Date of	f Re	coint								
υ.	Mailing Address One Health Plaza				09	/	28		y y 2016	Y					
	City	State	Zip Code			acti		A2016-1							
	East Hanover	NJ						nis Period							
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	Receipt For:	Aggregate	Year-to-Date <b>V</b>												
	Primary General Other (specify) ▼		219.26												
С.	Full Name of Individual (Last, First, Middle Initi Casserly, Daniel, P, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt								
	Mailing Address One Health Plaza				м м 09	/	D 14		y y 2016	Y					
	City East Hanover	State NJ	Zip Code					: A2016-1							
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	Name of Employer (for Individual)	Осси	upation (for Individual)		М	emo	b Item								
	Corporate	Head	d of Fed Government Affairs												
	Receipt For:	Aggregate Year-to-Date ▼													
	Other (specify)														
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# SCHEDULE A (FEC Form 3X)

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NAME OF COMMITTEE (In Novartis Corporation)	Full) on Political Action Con	nmittee										
A. Casserly, Daniel, P, ,	st, First, Middle Initial) or Full O	rganization Name	Date of Receipt									
Mailing Address One Health	I Plaza		м м 09	/ D [ 28	D / Y	2016	Y					
City East Hanover	State NJ	Zip Code 07936		saction ID : t of Each F								
FEC ID number of contribut federal political committee.	ing C			- 7		138.4	6					
Name of Employer (for Indiv Corporate Receipt For:	Hea	upation (for Individual) d of Fed Government Affairs	M	emo Item								
Primary Gen Other (specify) ▼		Year-to-Date ▼ 2630.74	]									
Full Name of Individual (Las <b>B.</b> Christensen-Boner, B Mailing Address One Health		rganization Name	Date o	f Receipt		YYY	V					
City	State	Zip Code	09	14 action ID :		2016						
East Hanover	NJ	07936		t of Each F								
FEC ID number of contribut federal political committee.	ing C					30.6	8					
Name of Employer (for Indi Pharma	,	upation (for Individual) ector State&External Affrs	м	emo Item								
Receipt For: Primary Gen Other (specify) ▼	Receipt For:     Aggregate Year-to-Date ▼       Primary     General											
Full Name of Individual (Las C. Christensen-Boner,	st, First, Middle Initial) or Full O Barbara, , ,	rganization Name	Date o	f Receipt								
Mailing Address One Health	1 Plaza		M M 09	/ D [ 28		2016 Y	Y					
City East Hanover	State NJ	Zip Code 07936		saction ID : t of Each F								
FEC ID number of contribut federal political committee.	ing			9		30.6	8					
Name of Employer (for Indiv Pharma	,	upation (for Individual) ctor State&External Affrs	M	lemo Item								
Receipt For: Primary Gen Other (specify)	eral Aggregate	]										
	Page (optional)					199.8	2					

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ITEMIZED RECEIPTS		category of the Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16 17
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee		
Full Name of Individual (Last, First, Middle A. Clary, Cathryn, M, , Mailing Address One Health Plaza	Initial) or Full Organization N	Name	Date of Receipt
City	State Zip Cod	ام	09 14 2016 Transaction ID : A2016-1791604
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		
Name of Employer (for Individual) US Novartis Pharmaceuticals	Occupation (for I Head Patient Affa	ndividual) airs Development	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	1800.00	
Full Name of Individual (Last, First, Middle B. Clary, Cathryn, M, ,	Initial) or Full Organization N	Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State Zip Cod NJ 07936	le	Transaction ID : A2016-1844647 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		100.00
Name of Employer (for Individual) US Novartis Pharmaceuticals	Occupation (for I Head Patient Aff	Individual) airs Development	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	1900.00	
Full Name of Individual (Last, First, Middle C. Cofone, Stephen, R, ,	Initial) or Full Organization N	Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City	State Zip Cod NJ 07936	le	Transaction ID : A2016-1791584
East Hanover FEC ID number of contributing federal political committee.	NJ 07936		Amount of Each Receipt this Period
Name of Employer (for Individual) NBS	Occupation (for I	ndividual) ns & Roadmaps Lead	Memo Item
Receipt For:	Aggregate Year-to-Date	•	
Primary General Other (specify)		270.00	
SUBTOTAL of Receipts This Page (optional			215.00
TOTAL This Period (last page this line num			

# SCHEDULE A (FEC Form 3X)

Use separate schedule(s)

FOR LINE NUMBER:

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			for each category of the Detailed Summary Page		<b>X</b> 11a 13		11b	11c	12	17			
	y information copied from such Reports and Sta for commercial purposes, other than using the r				for the	purp	ose of	soliciting	contribu	tions			
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Corr	nmittee										
Α.	Full Name of Individual (Last, First, Middle Initia Cofone, Stephen, R, ,	al) or Full Oi	rganization Name		Date of Receipt								
	Mailing Address One Health Plaza				09 / D D / Y Y Y Y 28 2016								
	City East Hanover	State NJ	Zip Code 07936					A2016-18 eceipt th	844628 is Period				
	FEC ID number of contributing federal political committee.	С				<b>y</b>	-	15.	00				
	Name of Employer (for Individual) NBS		upation (for Individual) tegic Programs & Roadmaps I	Lead	M	emo	Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285.00										
в.	Full Name of Individual (Last, First, Middle Initia Collins, Julie, A, , Mailing Address One Health Plaza	al) or Full Oi	rganization Name		Date of	FRec	ceipt	/ Y	YY	Y			
	City East Hanover	State NJ					A2016-17	2016 <b>790861</b> is Period					
	FEC ID number of contributing federal political committee.	С	07936						46.	15			
	Name of Employer (for Individual) Alcon		upation (for Individual) ector Digital Mktg		M	emo	Item						
	Receipt For: Primary General Other (specify) ▼	Aggregate											
с.	Full Name of Individual (Last, First, Middle Initia Collins, Julie, A, ,	al) or Full O	rganization Name		Date of	Rec	ceipt						
	Mailing Address One Health Plaza				м м 09	/	D D D 28	/ Y	2016 <sup>°</sup>	Y			
	City East Hanover	State NJ	Zip Code 07936				-	A2016-1 eceipt th	843955 is Period				
	FEC ID number of contributing federal political committee.	С			<u> </u>		9		46.	15			
	Name of Employer (for Individual) Alcon		upation (for Individual) ctor Digital Mktg		M	emo	ltem						
	Receipt For: Primary General Other (specify)	Aggregate	gregate Year-to-Date ▼ 876.85										
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## SCHEDULE A (FEC Form 3X) - . . . . . .

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)								
II EIVIIZED KEGEIFIJ		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17								
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee									
Full Name of Individual (Last, First, Middle Colpitts, Scott, G, ,	e Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			09 14 2016								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791606 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		22.00								
Name of Employer (for Individual) US Novartis Pharmaceuticals Receipt For: Primary General	Hea	upation (for Individual) d of Facilities & Utility Maint. (A Year-to-Date ▼	Memo Item								
Other (specify) ▼		396.00									
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Name of Employer (for Individual) US Novartis Pharmaceuticals		upation (for Individual) ad of Facilities & Utility Maint. (A	Memo Item								
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Full Name of Individual (Last, First, Middle C. Conley, Michael, A, ,	e Initial) or Full O	rganization Name	Date of Receipt								
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FEC ID number of contributing federal political committee.	С		23.08								
Name of Employer (for Individual) Pharma		upation (for Individual) President Trade & Customer Se	ervic Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 415.44									
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# SCHEDULE A (FEC Form 3X)

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$\overline{)}$	NAME OF COMMITTEE (In Full)											
$\Big)$	Novartis Corporation Political Ac	tion Com	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initia Conley, Michael, A, ,	al) or Full O	Date of Receipt									
	Mailing Address One Health Plaza				09	1	D 28		2016	Y		
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	Name of Employer (for Individual) Pharma		upation (for Individual) President Trade & Customer Se	rvi	Me	emo	tem					
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	Name of Employer (for Individual) Pharma		upation (for Individual) actor Strategic Account Alliances		Me	emo	) Item					
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C.	Full Name of Individual (Last, First, Middle Initia Conoshenti, Joseph, J, ,	al) or Full O	rganization Name		Date of	Re	eceipt					
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## SCHEDULE A (FEC Form 3X)

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Novartis Corporation I	Political Action Com	mittee												
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Mailing Address One Health Pla	za		09 / D / Y Y Y Y 2016											
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Name of Employer (for Individua Pharma	,	pation (for Individual) State & External Affairs	M	emo Item										
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City East Hanover	State NJ	Zip Code 07936		action ID : A										
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Name of Employer (for Individua Pharma	,	pation (for Individual) State & External Affairs		emo Item										
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Primary     General       Other (specify) ▼		420.00	1											
Full Name of Individual (Last, F C. Coombs, Seth, , ,	irst, Middle Initial) or Full Or	ganization Name	Date of	Receipt										
Mailing Address 350 Massachus	setts Avenue		M M 09	/ D D 14	/ Y	2016	Y							
City	State MA	Zip Code		action ID :			_							
Cambridge	IVIA	02139	Amount	t of Each Re	eceipt thi	s Period								
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Sandoz Inc. Receipt For:	I	utive Director Oncology Injectabl	e											
Primary General	Aggregate	Year-to-Date 🔻												
Other (specify)		830.70												
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PAGE 29 OF

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1	F COMMITTEE (In Full) rtis Corporation Political	Action Con	nmittee												
A. Coomb	ne of Individual (Last, First, Middle os, Seth, , , Address 350 Massachusetts Avenu		rganization Name	Date of Receipt											
City Cambrid	lae	State MA	Zip Code 02139		Trans		ion ID :	A2016-1							
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	mary General her (specify) ▼	Aggregate	876.85	]											
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	For: mary General her (specify) ▼	Aggregate	Year-to-Date ▼ 360.00												
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NAME OF COMMITTEE (I																
Novartis Corporati	-	Comn	nittee													
Full Name of Individual (La Crichlow, Rhonda, N, ,	ast, First, Middle Initial) or	Full Orga	anization Name		Date of	Re	eceipt									
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City East Hanover	Sta N.		Zip Code 07936	_				A2016-1 Receipt th	791473 his Period							
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Name of Employer (for Inc Pharma	lividual)	· ·	ation (for Individual) Diversity		Me	əmc	ttem									
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Full Name of Individual (La C. Cullen, Thomas, G		Full Orga	anization Name		Date of	Re	eceipt									
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	ame of Employer (for Individual) andoz Inc.		pation (for Individual) sor Scientific		М	emo	ttem							
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вС	III Name of Individual (Last, First, Middle Initia De Leon, Andrew, , , ailing Address One Health Plaza	l) or Full Or	rganization Name		Date of	f Re	eceipt	) / Y	Ý	Y	ſ			
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	ame of Employer (for Individual) Indoz Inc.	Occu MSL		M	emo	ttem								
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			person for the purpose of soliciting contributions tee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middle <b>Deason, Terry, H</b> , ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791596 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		20.00
Name of Employer (for Individual) OBU		upation (for Individual) _ Director	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 360.00	
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City	State	Zip Code	09 28 2016
East Hanover	NJ	07936	Transaction ID : A2016-1844639            Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		20.00
Name of Employer (for Individual) OBU		upation (for Individual) L Director	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 380.00	
Full Name of Individual (Last, First, Middle CDegner, Clinton, D, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791092           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.54
Name of Employer (for Individual) Pharma		upation (for Individual) Regional Account Manager	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.72	
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line num			51.54

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	NAME OF COMMITTEE (In Full)		51									
$\rangle$	Novartis Corporation Political Ac	tion Com	nmittee									
Α.	Full Name of Individual (Last, First, Middle Initia Degner, Clinton, D, ,	al) or Full O	rganization Name		Date of	Re	eceipt					
	Mailing Address One Health Plaza				<sup>M</sup> 09	1	28		y y y 2016	Y		
	City East Hanover	State NJ	Zip Code 07936						1844145 this Period			
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	Name of Employer (for Individual) Pharma		upation (for Individual) Regional Account Manager		Me	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26									
в.	Full Name of Individual (Last, First, Middle Initia Del Rio, Joanne, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
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	Name of Employer (for Individual) NBS		upation (for Individual) ilities Sr. Specialist		Me	emc	) Item					
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с.	Full Name of Individual (Last, First, Middle Initia Del Rio, Joanne, , ,	al) or Full O	rganization Name		Date of	Re	eceipt					
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PAGE 34 OF

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	×	-		11b		11c	12	<u> </u>					
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Cor	nmittee													
Full Name of Individual (Last, First, Middle <b>A.</b> Douglas, Alastair, M, ,	Initial) or Full C	Organization Name		Date of	Re	ceipt									
Mailing Address One Health Plaza			09 / 28 / 2016 Transaction ID : A2016-1844007												
City	State	Zip Code		Trans	acti	ion ID	: A2	2016-1	844007						
East Hanover	NJ	07936	_	Amount	of	Each	Rec	eipt th	is Period						
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Name of Employer (for Individual) Alcon		upation (for Individual) ad US Training Surgical		Me	emo	Item									
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City	State	Zip Code		Trans	acti	on ID	: A2	2016-17	791176						
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Name of Employer (for Individual) Pharma		upation (for Individual) 1 SR SPEC - GREENVILLE NC		Me	emo	Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.30													
Full Name of Individual (Last, First, Middle C. Eberenz Jr., David, M, ,	Initial) or Full C	Prganization Name		Date of	Re	ceipt									
Mailing Address One Health Plaza				<sup>M</sup> 09	/	D	8	/ Y	2016	Y					
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East Hanover	NJ	07936	_	Amount	of	Each	Rec	eipt th	is Period						
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Name of Employer (for Individual) Pharma		upation (for Individual) SR SPEC - GREENVILLE NC		Me	emo	ltem									
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Primary General Other (specify)		263.15													
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Use separate schedule(s)

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IТ	EMIZED RECEIPTS	Use separate schedule(s)				(check only one)						
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	y information copied from such Reports and Sta for commercial purposes, other than using the				or the		pose of	f soliciting	g contri	butio	ns	
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Corr	nmittee									
A.	Full Name of Individual (Last, First, Middle Initia Ellis, Fred, , ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
	Mailing Address One Health Plaza				м м 09	/	D 14		2016		]	
	City East Hanover	State NJ	Zip Code 07936	A				<b>A2016-</b> 1 Receipt tl				
	FEC ID number of contributing federal political committee.	С						-	1	5.38		
	Name of Employer (for Individual) Pharma		upation (for Individual) d of Professional Affairs		М	emo	tem					
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 276.84	]								
B.	Full Name of Individual (Last, First, Middle Initia Ellis, Fred, , , Mailing Address One Health Plaza	al) or Full O	rganization Name		Date o		· .				_	
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C.	Full Name of Individual (Last, First, Middle Initia Emch, Michael, R, ,	al) or Full O	rganization Name		Date o	f Re	eceipt					
	Mailing Address One Health Plaza				<sup>M</sup> 09	/	D 14		2016		]	
	City East Hanover	State NJ	Zip Code 07936	A				: A2016-' Receipt tl				
	FEC ID number of contributing federal political committee.	С					,	,	1	4.04		
	Name of Employer (for Individual) OBU		upation (for Individual) ncol Area Sales Manager		M	emo	o Item					
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$\overline{)}$	NAME OF COMMITTEE (In Full)	ion Con	mmittaa										
	Novartis Corporation Political Act		mmuee										
A.	Full Name of Individual (Last, First, Middle Initia Emch, Michael, R, ,	l) or Full O	Drganization Name	D	ate of	f Re	ceipt						
	Mailing Address One Health Plaza			1	M = M         /         D = D         /         Y = Y = Y         Y           09         28         2016								
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	Name of Employer (for Individual)	Occi	cupation (for Individual)	10	М	emo	Item						
	OBU	Sr C	Oncol Area Sales Manager										
	Receipt For:	Aggregate	Year-to-Date 🔻										
	Other (specify) ▼		266.76										
_	Full Name of Individual (Last, First, Middle Initia	l) or Full O	Drganization Name										
в.	Epstein, David, R, , Mailing Address One Health Plaza			_	ate of	f Re	· ·			24			
	Maining Address One Health Plaza				м м 09		14		2016	Y			
	City	State	Zip Code		Trans	acti	on ID :	A2016-1	791245				
	East Hanover	NJ	07936	A	mount	t of	Each F	Receipt th	nis Perioc	1			
	FEC ID number of contributing federal political committee.	С					-	-	100	.00			
	Name of Employer (for Individual) NBS		cupation (for Individual) ad Pharma AG	1	M	emo	ltem						
	Receipt For:	Aggregate	Year-to-Date <b>V</b>										
	Primary General Other (specify) ▼		, 900.00										
С.	Full Name of Individual (Last, First, Middle Initia Ewalt, Judith, J, ,	l) or Full O	Drganization Name	D	ate of	f Re	ceipt						
	Mailing Address One Health Plaza				09	/	14		y y 2016	Y			
	City East Hanguer	State NJ	Zip Code					A2016-1					
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	Primary General		207.72										
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$\overline{\ }$	NAME OF COMMITTEE (In Full)														
	Novartis Corporation Political Act	ion Com	nmittee												
A.	Full Name of Individual (Last, First, Middle Initia Ewalt, Judith, J, ,	l) or Full Or	rganization Name		Date of	Re	ceipt								
	Mailing Address One Health Plaza				09 28 2016										
	City East Hanover	State NJ	Zip Code 07936												
	FEC ID number of contributing federal political committee.	С							11.	54					
	Name of Employer (for Individual) OBU		upation (for Individual) Incol Area Sales Manager		Me	emo	tem								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]											
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р.	Mailing Address One Health Plaza				Date of	не /	14			Ŷ					
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	City East Hanover	State NJ	Zip Code 07936												
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee	
Full Name of Individual (Last, First, Mido A. Farber, Leo, A, ,	dle Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 Y Y Y Y 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791640 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00	
Full Name of Individual (Last, First, Mide <b>B.</b> Farber, Leo, A, , Mailing Address One Health Plaza	dle Initial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 28 2016 Transaction ID : A2016-1844683
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 950.00	
Full Name of Individual (Last, First, Mide C. Fellers, Thomas, S, ,	dle Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791294           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer (for Individual) Pharma		upation (for Individual) ical Account Management & FM	E Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 900.00	
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A	information period from such Department Of the		, ,		13			14			15	16	17
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$\Big\rangle$	Novartis Corporation Political Action	Comn	nittee										
A.	Full Name of Individual (Last, First, Middle Initial) or F Fellers, Thomas, S, ,	-ull Orga	nization Name		Date	of	Re	cei	ipt				
	Mailing Address One Health Plaza				M 09		/	Ľ	28	]	/ Y	ү ү 2016	Y
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	Primary General Other (specify) ▼		950.00										
В.	Full Name of Individual (Last, First, Middle Initial) or F Foley, James, E, ,	<sup>-</sup> ull Orga	nization Name		Date	of	Re	cei	ipt				
	Mailing Address One Health Plaza				™ 09	Μ	/		14		/ Y	y y 2016	Y
	City Stat	te	Zip Code		Tra	nsa	ictio	on	ID :	A2(	016-17	91288	
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	Primary     General       Other (specify) ▼	,	207.72										
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	Mailing Address One Health Plaza				M 09		/		28		/ Y	2016	Y
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	y information copied from such Reports and Statem for commercial purposes, other than using the name						the			se of					
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A.	Full Name of Individual (Last, First, Middle Initial) o Foster, Matthew, C, , Mailing Address One Health Plaza City	r Full O	rgar	nization Name Zip Code	Date of Receipt 09 / 14 / 2016 Transaction ID : A2016-1791162										
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NAME OF COMMITTEE (In Full)												
Novartis Corporation Political	Action Con	nmittee										
Full Name of Individual (Last, First, Middle <b>Freeland</b> , Jon, S, ,	Initial) or Full C	rganization Name	Da	ite of	Re	ceipt						
Mailing Address One Health Plaza				09 28 2016								
City East Hanover	State NJ	Zip Code 07936										
FEC ID number of contributing federal political committee.	C							12.	50			
Name of Employer (for Individual) OBU		upation (for Individual) ociate Dir. Business Development		Me	emo	Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 237.50	]									
Full Name of Individual (Last, First, Middle <b>B.</b> Freeman, Robert, M, ,	Initial) or Full C	rganization Name	Da	ite of	Re	ceipt						
Mailing Address One Health Plaza			Ň		/			2016	Y			
City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2016-1790862 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C						-	15.	00			
Name of Employer (for Individual) Alcon		upation (for Individual) nufacturing Director	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 270.00	]									
Full Name of Individual (Last, First, Middle C. Freeman, Robert, M, ,	Initial) or Full C	rganization Name	Da	te of	Re	ceipt						
Mailing Address One Health Plaza			ľ		/			2016	Y			
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FEC ID number of contributing federal political committee.	С					<b>,</b>	. ,	15.	00			
Name of Employer (for Individual) Alcon		upation (for Individual) ufacturing Director		Me	emo	ttem						
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middl <b>A.</b> Frye, Neely, T, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791198           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		64.03
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1148.22	
Full Name of Individual (Last, First, Middl <b>B.</b> Frye, Neely, T, , Mailing Address One Health Plaza	e Initial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 28 2016
East Hanover	NJ	07936	Transaction ID : A2016-1844248           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		64.03
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1212.25	
Full Name of Individual (Last, First, Middl C. Gajewski, Edward, G, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791116 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		20.00
Name of Employer (for Individual) OBU		upation (for Individual) c Dir National & Regional Accour	Memo Item
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SUBTOTAL of Receipts This Page (optiona	,		• 148.06

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	Novartis Corporation Political A	ction Cor	nmittee									
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Ν	Jailing Address One Health Plaza					м м 09	1	D D 28	/ Y	ү 2(	016	Ŷ
	City	State	Zip Code			Trans	acti	ion ID : /	A2016-1	844	169	
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_	East Hanover	NJ	07936		A	mount	of	Each R	eceipt th	is P	'eriod	
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)								
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			person for the purpose of soliciting contributions to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee									
Full Name of Individual (Last, First, Mide Gentry, Michael, L, ,	dle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			09 14 2016								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791586 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		77.00								
Name of Employer (for Individual) NBS		upation (for Individual) T Division Head	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 281.00	]								
Full Name of Individual (Last, First, Mide B. Gentry, Michael, L, , Mailing Address One Health Plaza	dle Initial) or Full O	rganization Name	Date of Receipt								
City	State	Zip Code	09 28 2016 Transaction ID : A2016-1844630								
East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period								
Name of Employer (for Individual) NBS		upation (for Individual) IT Division Head	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 358.00	]								
Full Name of Individual (Last, First, Mide C. George, Deidre, T, ,	dle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			09 14 2016								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791643 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		21.00								
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 378.00	]								
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			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name of Individual (Last, First, Middle George, Deidre, T, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844686           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		21.00
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 399.00	]
Full Name of Individual (Last, First, Middle Grady, Christopher, K, , Mailing Address One Health Plaza	Initial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 14 2016
East Hanover	NJ	07936	Transaction ID : A2016-1790879 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.53
Name of Employer (for Individual) Pharma		upation (for Individual) Product Manager	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.54	]
Full Name of Individual (Last, First, Middle C. Grady, Christopher, K, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1843973           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.53
Name of Employer (for Individual) Pharma		upation (for Individual) roduct Manager	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 219.07	]
SUBTOTAL of Receipts This Page (optional).			► 44.06

Use separate schedule(s)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
II EIVIIZED KEVEIFIJ		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middl Grande, Nancy, J, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791485 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) US Novartis Pharmaceuticals Receipt For:	Hea	upation (for Individual) d Proc Improv & Compliance IM	S Memo Item
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00	
Full Name of Individual (Last, First, Middl <b>B.</b> Grande, Nancy, J, , Mailing Address One Health Plaza	e Initial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 28 2016 Transaction ID : A2016-1844531
East Hanover	NJ	07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		50.00
Name of Employer (for Individual) US Novartis Pharmaceuticals		upation (for Individual) Id Proc Improv & Compliance IN	IS Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 950.00	
Full Name of Individual (Last, First, Middl C. Grzegorzewski, Kris, , ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791553 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		40.00
Name of Employer (for Individual) OBU		upation (for Individual) d US Clinical Strategy - Melanor	na Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 720.00	
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line num			► 140.00

Use separate schedule(s) for each category of the Detailed Summary Page FOR LINE NUMBER:

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	y information copied from such Reports and for commercial purposes, other than using th												
<u>,</u>	NAME OF COMMITTEE (In Full)												
$ \rangle$	Novartis Corporation Political A	Action Cor	mmi	ittee									
Α.	Full Name of Individual (Last, First, Middle Ir Grzegorzewski, Kris, , ,	nitial) or Full C	Organ	ization Name	[	Date of	Re	eceipt					
	Mailing Address One Health Plaza					м м	/	28		/ Y	Y 2(	016	Y
	City	State		Zip Code		Trans	acti	ion ID	: A	2016-1	844	599	
	East Hanover	NJ		07936		Amount	of	Each	Re	ceipt th	is F	Period	
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	Name of Employer (for Individual) OBU		·	on (for Individual) S Clinical Strategy - Melanom	a	Me	emo	ltem					
	Receipt For:	Aggregate	Year	r-to-Date ▼									
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	Other (specify) <b>v</b>		-	760.00									
_	Full Name of Individual (Last, First, Middle Ir	hitial) or Full C	Organ	ization Name									
В.	Guidi, Joseph, M, ,					Date of	Re	eceipt					
	Mailing Address One Health Plaza					м м 09	/	D 14		/ Y	20	016	Y
	City	State		Zip Code						2016-1	-	-	
	East Hanover	NJ		07936	/	Amount	of	Each	Re	ceipt th	is P	Period	
	FEC ID number of contributing federal political committee.	С					_			-9	_	20.0	00
	Name of Employer (for Individual) OBU			on (for Individual) ctor Commercial Strategy		Me	emo	ltem					
	Receipt For:	Aggregate	Year	r-to-Date ▼									
	Primary General												
	Other (specify) <b>v</b>		,	360.00									
	Full Name of Individual (Last, First, Middle Ir Guidi, Joseph, M, ,	hitial) or Full C	Organ	ization Name		Date of	Re	ceint					
	Mailing Address One Health Plaza				1	м м 09	/	2		/ Y		) 16	Y
	City	State		Zip Code	$\dashv$	- 1 C	act	ion ID	: A	2016-1	1.00	1. Ale	
	East Hanover	NJ		07936		Amount	of	Each	Re	ceipt th	is F	Period	
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	Name of Employer (for Individual)	000	runati	on (for Individual)	_	M	emc	ltem					
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	Receipt For:			-to-Date ▼									
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ITEMIZED RECEIPTS		Use separate schedule(s)	(check only one)					
II EIVILED RECEIFIO		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1					
			person for the purpose of soliciting contributions tee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee						
Full Name of Individual (Last, First, Middle Gulick, David, E, ,	e Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 14 Y Y Y Y Y					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791077 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C		30.00					
Name of Employer (for Individual) Pharma		upation (for Individual) ctor New Products	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 540.00						
Full Name of Individual (Last, First, Middle B. Gulick, David, E, ,	e Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza	Otata		09 / 28 / 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844130 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		30.00					
Name of Employer (for Individual) Pharma		upation (for Individual) ector New Products	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 570.00						
Full Name of Individual (Last, First, Middle C. Habel, Kurt, , ,	e Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 / D / Y Y Y Y 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791222           Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		23.08					
Name of Employer (for Individual) NBS		upation (for Individual) Dir Incentive Modeling/Des	Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 415.44						
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line num			▶ 83.08					

Use separate schedule(s)

FOR LINE NUMBER:

PAGE 50 OF

ITEMIZED RECEIPTS		Use separate schedule(s)	(check only one)					
II EIVILED RECEIPIO		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12           13         14         15         16         1					
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee						
Full Name of Individual (Last, First, Midd A. Habel, Kurt, , ,	lle Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 28 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844271 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		23.08					
Name of Employer (for Individual) NBS		upation (for Individual) Dir Incentive Modeling/Des	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52						
Full Name of Individual (Last, First, Midd <b>Haberthur, Charles, L, ,</b> Mailing Address One Health Plaza	lle Initial) or Full O	rganization Name	Date of Receipt					
City	State	Zip Code	09 14 2016 Transaction ID : A2016-1791098					
East Hanover	NJ	07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		12.50					
Name of Employer (for Individual) Pharma		upation (for Individual) I SR SPEC - SAN ANTONIO W	TX Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00	]					
Full Name of Individual (Last, First, Midd C. Haberthur, Charles, L, ,	lle Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 28 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844151           Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		12.50					
Name of Employer (for Individual) Pharma		upation (for Individual) SR SPEC - SAN ANTONIO W	TX Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 237.50						
SUBTOTAL of Receipts This Page (optionation) TOTAL This Period (last page this line nur	,		► 48.08					

Use separate schedule(s)

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(check only one)

PAGE 51 OF

		ed Summary Page	<b>X</b> 11a 11b 11c 12						
			13     14     15     16     1       person for the purpose of soliciting contributions from such committee.						
NAME OF COMMITTEE (In Full) Novartis Corporation Politi	cal Action Committe	е							
Full Name of Individual (Last, First, M Hafner, James, P, , Mailing Address One Health Plaza	ddle Initial) or Full Organizatio	on Name	Date of Receipt						
City		Code	09 14 2016 Transaction ID : A2016-1791572						
East Hanover FEC ID number of contributing		/936	Amount of Each Receipt this Period						
federal political committee.	C								
Name of Employer (for Individual) Pharma	Occupation ( Regional Ma	for Individual) rketer	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-I	Date ▼ 249.30	]						
Full Name of Individual (Last, First, M <b>B.</b> Hafner, James, P, ,	ddle Initial) or Full Organization	on Name	Date of Receipt						
Mailing Address One Health Plaza			09 28 2016						
City East Hanover		Code 936	Transaction ID : A2016-1844616 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		13.85						
Name of Employer (for Individual) Pharma	Occupation( Regional Ma	for Individual) rketer	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-I	Date ▼ 263.15	]						
Full Name of Individual (Last, First, M C. Hagan, Laura, A, ,	ddle Initial) or Full Organization	on Name	Date of Receipt						
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 09 14 2016						
City East Hanover		Code 936	Transaction ID : A2016-1791549 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		11.54						
Name of Employer (for Individual) US Novartis Pharmaceuticals		for Individual) r Clinical Disclosure Offi	Memo Item						
Receipt For: Primary General Other (specify)	Aggregate Year-to-I	Date ▼ 207.72	]						
SUBTOTAL of Receipts This Page (opti	onal)		39.24						
TOTAL This Period (last page this line r	umber only)								

Use separate schedule(s)

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PAGE 52 OF

ITEMIZED RECEIPTS			Use separate schedule(s)			(check only one)					
111			for each category of the Detailed Summary Page		11a 13		11b 14	11c 15	12	17	
	y information copied from such Reports and St for commercial purposes, other than using the				for the		pose of	soliciting	contribu	tions	
$\left\langle \right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee								
A.	Full Name of Individual (Last, First, Middle Initi Hagan, Laura, A, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				м м 09	/	D 10 28	) / Y	y y 2016	Ŷ	
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th			
	FEC ID number of contributing federal political committee.	С					-		11.	54	
	Name of Employer (for Individual) US Novartis Pharmaceuticals Receipt For:	upation (for Individual) c Director Clinical Disclosure Offi		M	emo	o Item					
	Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]							
в.	Full Name of Individual (Last, First, Middle Initi Hallen, Paul, R, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				м м 09	1	D 14		y y 2016	Y	
	City	State NJ	Zip Code 07936					A2016-1			
	East Hanover FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period							
	Name of Employer (for Individual) Alcon		upation (for Individual) Global Marketing		M	emo	o Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 276.84	]							
С.	Full Name of Individual (Last, First, Middle Initi Hallen, Paul, R, ,	ial) or Full O	rganization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				09 <sup>M</sup>	J.	28	JL	ү ү 2016	Y	
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th			
	FEC ID number of contributing federal political committee.	С			Ľ.		y	, <u>,</u>	15.	38	
	Name of Employer (for Individual) Alcon		upation (for Individual) Global Marketing		М	emo	o Item				
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 292.22	]							
s	UBTOTAL of Receipts This Page (optional)			<u> </u>			y	,	42.	30	
т	OTAL This Period (last page this line number of	only)	······	•			-	-			

### SCHEDULE A (FEC Form 3X) \_ \_ \_ \_ .

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ITEMIZED RECEIPTS		Use separate schedule(s)		(check only one)						
			for each category of the Detailed Summary Page		<b>′</b> 11a 13		11b 14	11c 15	12	17
	y information copied from such Reports and Sta for commercial purposes, other than using the				for the		pose of	soliciting	contribu	itions
$\setminus$	NAME OF COMMITTEE (In Full)									
$\Big\rangle$	Novartis Corporation Political Ac	tion Con	nmittee							
Α.	Full Name of Individual (Last, First, Middle Initia Haller, Sarah, E, ,	al) or Full O	rganization Name		Date of	f Re	eceipt			
	Mailing Address One Health Plaza				м м 09	/	D D D 14	) / Y	2016	Y
	City East Hanover	State NJ	Zip Code 07936					A2016-1 leceipt th		1
	FEC ID number of contributing federal political committee.	С			<u> </u>				77	.00
Name of Employer (for Individual)     Occupation (for Individual)       Corporate     VP Intl Public Affairs					M	emo	tem Item			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1386.00	]						
в.	Full Name of Individual (Last, First, Middle Initia Haller, Sarah, E, ,	al) or Full O	rganization Name		Date of	f Re	eceipt			
	Mailing Address One Health Plaza				м м 09	1	28		2016	Y
	City	State	Zip Code					A2016-1		
	East Hanover	NJ	07936		Amount	t of	Each R	leceipt th	is Period	1
	FEC ID number of contributing federal political committee.	С			<u> </u>	_			77	.00
	Name of Employer (for Individual) Corporate		upation (for Individual) Intl Public Affairs		M	emo	o Item			
	Receipt For:	Aggregate	Year-to-Date 🔻							
	Primary General Other (specify) ▼		1463.00	]						
с.	Full Name of Individual (Last, First, Middle Initia Harris, Veronica, , ,	al) or Full O	rganization Name		Date of	f Re	eceipt			
	Mailing Address One Health Plaza				<sup>M</sup> 09	1	D 14		ү ү 2016	Y
	City East Hanover	State NJ	Zip Code 07936					A2016-1 leceipt th		1
	FEC ID number of contributing federal political committee.	С			<u> </u>		y	. y	11	.54
	Name of Employer (for Individual) OBU		upation (for Individual) Product Management		M	emo	o Item			
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.72	]						
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	mation copied from such Reports ar nmercial purposes, other than using						pose of					
\	OF COMMITTEE (In Full) artis Corporation Politica	I Action Com	nmittee									
	ame of Individual (Last, First, Middle s, Veronica, , ,	e Initial) or Full O	rganization Name		Date of	Re	ceipt					
Mailing	Address One Health Plaza				м м 09	/	28	D / Y	ү ү 2016	Y		
City East H	Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th	844591 his Period			
	D number of contributing I political committee.	С			<u> </u>				11.	54		
OBU	of Employer (for Individual)		ipation (for Individual) Product Management		Me	emo	ltem					
	ot For: Primary General Other (specify) <b>v</b>	Aggregate	Year-to-Date ▼ 219.26									
B. Hayo	ame of Individual (Last, First, Middle den, Kathy-Jo, B, , 9 Address One Health Plaza	e Initial) or Full O	rganization Name		Date of	Re	ceipt	) / Y	YY	Ý		
City		State	Zip Code				-	A2016-1				
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Name Pharma	of Employer (for Individual) a		upation (for Individual) ctor Public Health Policy		Me	emo	Item					
	ot For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 630.00	]								
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City East H	Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th	844252 his Period			
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Pharm		Direc	ipation (for Individual) ctor Public Health Policy		M	emc	tem					
	or For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 665.00	ו								
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TOTAL 1	This Period (last page this line num	ber only)					_					

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		Use separate schedule(s)	(check only one)					
II EIVILED RECEIPIO		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17					
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee						
Full Name of Individual (Last, First, Middle Hilkert, Robert, J, ,	Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791510 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		23.08					
Name of Employer (for Individual) Pharma		upation (for Individual) oal Program Medical Director	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.44						
Full Name of Individual (Last, First, Middle B. Hilkert, Robert, J, , Mailing Address One Health Plaza	Initial) or Full O	rganization Name	Date of Receipt					
City	State	Zip Code	09 28 2016					
East Hanover	NJ	07936	Transaction ID : A2016-1844555 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		23.08					
Name of Employer (for Individual) Pharma		upation (for Individual) bal Program Medical Director	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52	]					
Full Name of Individual (Last, First, Middle C. Hokanson, William, C, ,	Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791164 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		15.00					
Name of Employer (for Individual) OBU		upation (for Individual) ctor Melanoma Expert Liaisons	Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 270.00	]					
SUBTOTAL of Receipts This Page (optional).			► 61.16					

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than usin			13     14     15     16     17       erson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee	
Full Name of Individual (Last, First, Midd         A.       Hokanson, William, C, ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.         Name of Employer (for Individual)         OBU         Receipt For:         Primary       General	State NJ C Occ Dire	Zip Code 07936 upation (for Individual) ector Melanoma Expert Liaisons Year-to-Date ▼	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Corporate Receipt For:	State NJ C	285.00 Prganization Name Zip Code 07936 Upation (for Individual) of Corp Resp Strat & Stakeholder	Date of Receipt 09 / 14 / 2016 Transaction ID : A2016-1791537 Amount of Each Receipt this Period 20.00 Memo Item E
Primary       General         Other (specify) ▼         Full Name of Individual (Last, First, Midd         C.       Hough, Charles, F, ,         Mailing Address One Health Plaza		Year-to-Date ▼ 360.00 Irganization Name	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Corporate Receipt For: Primary General Other (specify)	Hd o	Zip Code 07936 upation (for Individual) of Corp Resp Strat & Stakeholder Year-to-Date ▼ 380.00	09     28     2016       Transaction ID : A2016-1844583       Amount of Each Receipt this Period       20.00       Memo Item
Receipt For:	Aggregate	Year-to-Date ▼ 380.00	55.00

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				or each category of the Detailed Summary Page		¥ 11a 13		11b 14	11c 15		2	17
	y information copied from such Reports and St for commercial purposes, other than using the					for the		pose of	soliciting	g cont	ributio	ons
$\left/ \right.$	NAME OF COMMITTEE (In Full)											
/	Novartis Corporation Political Ac	tion Con	nmi	ittee								
A.	Full Name of Individual (Last, First, Middle Initi Hughes, Donald, , ,	al) or Full O	rgan	ization Name		Date o	f Re	eceipt				
	Mailing Address One Health Plaza					м м 09	1	D 14		y 201	16	
	City East Hanover	State NJ		Zip Code 07936					A2016-1			
				07950	_	Amoun	t of	Each F	Receipt th	nis Pe	riod	
	FEC ID number of contributing federal political committee.	С				Ľ.					30.00	)
				on (for Individual) ance Exchange Ext Affairs		M	em	o Item				
	Receipt For:	Aggregate	Year	r-to-Date ▼								
	Primary     General       Other (specify) ▼		-	540.00								
	Full Name of Individual (Last, First, Middle Initi	al) or Full O	rgan	ization Name	+							
Β.	Hughes, Donald, , ,		-			Date of	f Re	eceipt				
	Mailing Address One Health Plaza					м м 09	1	28		201	ү ү 6	
	City	State NJ		Zip Code					A2016-1			
	East Hanover	INJ	_	07936	-	Amoun	t of	Each F	Receipt th	nis Pe	riod	_
	FEC ID number of contributing federal political committee.	С				Ľ.					30.00	)
	Name of Employer (for Individual) Pharma		•	on (for Individual) rance Exchange Ext Affairs		М	em	o Item				
	Receipt For:	Aggregate	Year	r-to-Date ▼								
	Primary     General       Other (specify) ▼		,	570.00								
	Full Name of Individual (Last, First, Middle Initi Hughes, Gene, M, ,	al) or Full O	rgan	ization Name		Date o	f Be	eceint				
•.	Mailing Address One Health Plaza					09 <sup>M</sup>		14		201		1
	City	State		Zip Code		Trans	sact	tion ID :	A2016-1	17913	34	
	East Hanover	NJ		07936	_	Amoun	t of	Each F	Receipt th	nis Pe	riod	
	FEC ID number of contributing federal political committee.	С				<u> </u>		,	, <u>,</u>		12.00	)
	Name of Employer (for Individual) OBU		•	on (for Individual) onal Account Manager		М	em	o Item				
	Receipt For: Primary General Other (specify)	Aggregate	Year	r-to-Date ▼ 216.00								
⊢	UBTOTAL of Receipts This Page (optional) OTAL This Period (last page this line number c					[] []	-	, .	· · ·		72.00	

Use separate schedule(s)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17						
Any information copied from such Reports and or for commercial purposes, other than using th			erson for the purpose of soliciting contributions						
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Cor	nmittee							
Full Name of Individual (Last, First, Middle Ir Hughes, Gene, M, , Mailing Address One Health Plaza	nitial) or Full C	Organization Name	Date of Receipt						
City	State	Zip Code	Transaction ID : A2016-1844379						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		12.00						
Name of Employer (for Individual) OBU Receipt For: Primary General Other (specify) ▼	Sr.	upation (for Individual) Regional Account Manager Year-to-Date ▼ 228.00	Memo Item						
Full Name of Individual (Last, First, Middle Ir B. Hughson, Melody, , ,	hitial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health Plaza		09 / Y Y Y Y 2016							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791573 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		50.00						
Name of Employer (for Individual) Pharma		cupation (for Individual) Director Public Policy	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00							
Full Name of Individual (Last, First, Middle Ir C. Hughson, Melody, , ,	nitial) or Full C	Organization Name	Date of Receipt						
Mailing Address One Health Plaza			09 28 2016						
City	State	Zip Code	Transaction ID : A2016-1844617						
East Hanover	NJ	07936	Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		50.00						
Name of Employer (for Individual) Pharma		upation (for Individual) Director Public Policy	Memo Item						
Receipt For:	Aggregate	Year-to-Date <b>V</b>							
Other (specify)		950.00							
SUBTOTAL of Receipts This Page (optional)	<u> </u>	<b>&gt;</b>	112.00						
TOTAL This Period (last page this line number									

Use separate schedule(s)

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	-	Use separate schedule(s)	(check only one)					
II EIVILED RECEIPIO		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12           13         14         15         16         17					
			e to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee						
Full Name of Individual (Last, First, Midd A. Jarvis, Edgar, L, ,	le Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791105 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		16.15					
Name of Employer (for Individual) Pharma		upation (for Individual) 2 ABL II - HOUSTON	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 290.70	]					
Full Name of Individual (Last, First, Midd Jarvis, Edgar, L, ,	le Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 28 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844158 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		16.15					
Name of Employer (for Individual) Pharma		upation (for Individual) 2 ABL II - HOUSTON	Memo Item					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 306.85	]					
Full Name of Individual (Last, First, Midd C. Juterbock, Deborah, , ,	le Initial) or Full O	rganization Name	Date of Receipt					
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791338 Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	С		11.54					
Name of Employer (for Individual) NIBR		upation (for Individual) ctor Of Global Operations	Memo Item					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.72	]					
SUBTOTAL of Receipts This Page (optiona	al)		43.84					
TOTAL This Period (last page this line nur	nber only)							

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PAGE 60 OF

		Use separate schedule(s)	(check only one)						
II EIVIIZED KEGEIPIJ		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16						
			13     14     15     16       berson for the purpose of soliciting contributions to solicit contributions from such committee.						
NAME OF COMMITTEE (In Full)		_							
> Novartis Corporation Politic	al Action Con	nmittee							
Full Name of Individual (Last, First, Mide A. Juterbock, Deborah, , ,	dle Initial) or Full O	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y 09 28 2016						
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844383 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		11.54						
Name of Employer (for Individual) NIBR		upation (for Individual) ctor Of Global Operations	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]						
Full Name of Individual (Last, First, Mide <b>B.</b> Kamal, Tawfik, , ,	dle Initial) or Full O	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			09 / Y Y Y Y 2016						
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791251						
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period						
Name of Employer (for Individual) Oncology		upation (for Individual) H-Capability Bldg Academy	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00	]						
Full Name of Individual (Last, First, Mide c. Kan, Sarah, G, ,	dle Initial) or Full O	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016						
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791621 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		77.00						
Name of Employer (for Individual) Pharma		upation (for Individual) Dir State & Ext Affairs	Memo Item						
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1078.00	]						
SUBTOTAL of Receipts This Page (option TOTAL This Period (last page this line nu	,	, ,	138.54						

Use separate schedule(s)

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	-	Use separate schedule(s)	(check only one)
II EIVILED REVEIFIJ		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16
			person for the purpose of soliciting contributions tee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middl A. Kan, Sarah, G, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844664           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		77.00
Name of Employer (for Individual) Pharma		upation (for Individual) Dir State & Ext Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1155.00	
Full Name of Individual (Last, First, Middl <b>B.</b> Karlsons, Erik, L, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791425 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		13.85
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.30	
Full Name of Individual (Last, First, Middl C. Karlsons, Erik, L, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844470           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		13.85
Name of Employer (for Individual) Pharma		upation (for Individual) Sr Area Business Leader	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 263.15	
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line num			▶ 104.70

### SCHEDULE A (FEC Form 3X) \_ \_ \_ \_ \_

Use separate schedule(s)

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ידו			Use separate schedule(s)	(ch	eck only	/ or	ne)			
11			for each category of the Detailed Summary Page		<b>1</b> 1a		11b	11c	12	
	y information copied from such Reports and St		ay not be sold or used by any pe							
or	for commercial purposes, other than using the	name and a	ddress of any political committee	to so	olicit cor	ntrib	outions	from sucl	n committe	ee.
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Con	nmittee							
Α.	Full Name of Individual (Last, First, Middle Initi Kendris, Thomas, N, ,	ial) or Full O	rganization Name		Date of	Re	eceipt			
	Mailing Address One Health Plaza				м м 09	/	D 14	D / Y	2016	Y
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th	791031 nis Period	
	FEC ID number of contributing federal political committee.	С					 		46.4	15
	Name of Employer (for Individual) Corporate		upation (for Individual) sident Novartis Corp & US Cntry P	re	Me	əmc	tem			
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 830.70							
В.	Full Name of Individual (Last, First, Middle Initi Kendris, Thomas, N, ,	ial) or Full O	rganization Name		Date of	Re	eceipt			
	Mailing Address One Health Plaza				м м 09	1	28		2016	Y
	City East Hanover	State NJ	Zip Code 07936					A2016-1		
	FEC ID number of contributing federal political committee.	С	Amount of Each Receipt this Period 46.15							
	Name of Employer (for Individual) Corporate		upation (for Individual) sident Novartis Corp & US Cntry P	Pri	Me	emo	tem			
	Receipt For: Primary General Other (specify) ▼	Aggregate								
с.	Full Name of Individual (Last, First, Middle Initi Kettler III, Edward, W, ,	ial) or Full O	rganization Name		Date of	Re	eceipt			
	Mailing Address 6201 South Freeway				<sup>M</sup> 09	1	D 14		2016	Y
	City Fort Worth	State TX	Zip Code 76134-2001					Receipt th	<b>791608</b> his Period	
	FEC ID number of contributing federal political committee.	С			Ľ.		y .	, ,	11.5	53
	Name of Employer (for Individual) NBS		upation (for Individual) oc Dir IT		M	emo	o Item			
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.54							
s	UBTOTAL of Receipts This Page (optional)		•				, .	,	103.8	33
т	OTAL This Period (last page this line number of	only)		-						

Use separate schedule(s)

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PAGE 63 OF

ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1
			13     14     15     16       berson for the purpose of soliciting contributions       e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name of Individual (Last, First, Middle A. Kettler III, Edward, W, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address 6201 South Freeway			M M / D D / Y Y Y Y Y 09 28 2016
City Fort Worth	State TX	Zip Code 76134-2001	Transaction ID : A2016-1844651 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.53
Name of Employer (for Individual) NBS		upation (for Individual) oc Dir IT	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.07	]
Full Name of Individual (Last, First, Middle <b>Kincaid</b> , Michael, C, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza	State	Zip Code	09 14 2016
East Hanover	NJ	07936	Transaction ID : A2016-1791426 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		14.04
Name of Employer (for Individual) OBU		upation (for Individual) Oncology Sales	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 252.72	]
Full Name of Individual (Last, First, Middle C. Kincaid, Michael, C, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844471 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		14.04
Name of Employer (for Individual) OBU		upation (for Individual) Dncology Sales	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 266.76	]
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			39.61

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
II EIVILED RECEIFIO		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middl Kowalski, Robert, W, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791555 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		46.15
Name of Employer (for Individual) Pharma		upation (for Individual) at_CH_Head Regulatory GDD	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.35	]
Full Name of Individual (Last, First, Middl <b>B.</b> Kulesher, Kathleen, M, , Mailing Address, One Lingth Direct	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza	State	Zip Code	09 14 2016
East Hanover	NJ	07936	Transaction ID : A2016-1791623 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer (for Individual) Pharma		upation (for Individual) oc. Dir. State and External Affai	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 450.00	
Full Name of Individual (Last, First, Middl C. Kulesher, Kathleen, M, ,	e Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			M         M         /         D         D         /         Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844666 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		25.00
Name of Employer (for Individual) Pharma		upation (for Individual) oc. Dir. State and External Affair	s Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 475.00	
SUBTOTAL of Receipts This Page (optiona TOTAL This Period (last page this line num			▶ 96.15 ▶

Use separate schedule(s)

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17
			person for the purpose of soliciting contributions be to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name of Individual (Last, First, Middle LaDue, Keith, A, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791215           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		23.08
Name of Employer (for Individual) NBS		upation (for Individual) T Division Head	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 253.88	]
Full Name of Individual (Last, First, Middle LaDue, Keith, A, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza	State	Zip Code	09 28 2016
East Hanover	NJ	07936	Transaction ID : A2016-1844264 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		23.08
Name of Employer (for Individual) NBS		upation (for Individual) IT Division Head	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 276.96	]
Full Name of Individual (Last, First, Middle C. Leas, Leigh Anne, A, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / 14 / Y Y Y Y 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791475           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		77.00
Name of Employer (for Individual) Pharma		upation (for Individual) Health Policy	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1386.00	
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			123.16

#### SCHEDULE A (FEC Form 3X) \_\_\_\_ \_ \_ \_ \_ \_ \_

Use separate schedule(s)

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check on	ly one)	L		
		for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12	17
Any information copied from such Reports a or for commercial purposes, other than usin			person for the	purpose c	of soliciting	g contribut	ions
NAME OF COMMITTEE (In Full)							
> Novartis Corporation Politica	al Action Con	nmittee					
Full Name of Individual (Last, First, Midd A. Leas, Leigh Anne, A, ,	le Initial) or Full O	rganization Name	Date o	of Receipt			
Mailing Address One Health Plaza			M N 09	/ D		y y 2016	Y
City East Hanover	State NJ	Zip Code 07936		saction ID			
FEC ID number of contributing federal political committee.	С					77.0	00
Name of Employer (for Individual) Pharma		upation (for Individual) Health Policy		lemo Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1463.00					
Full Name of Individual (Last, First, Midd	le Initial) or Full O	rganization Name					
B. Lennon, David, , , Mailing Address One Health Plaza				of Receipt		2016	Y
City	State	Zip Code		saction ID			
East Hanover FEC ID number of contributing	NJ	07936	Amour	nt of Each	Receipt th	is Period	_
federal political committee.	C			<u> </u>	F	100.0	00
Name of Employer (for Individual) OBU		upation (for Individual) cology Business Franchise Head		lemo Item			
Receipt For:	Aggregate	Year-to-Date ▼					
Other (specify) ▼		, 1400.00					
Full Name of Individual (Last, First, Midd C. Lennon, David, , ,	le Initial) or Full O	rganization Name	Date c	of Receipt			
Mailing Address One Health Plaza			M 09	/ D		2016	Y
City East Hanover	State NJ	Zip Code 07936		saction ID			
FEC ID number of contributing federal political committee.	С		Amour	nt of Each	Receipt th	100.0	00
Name of Employer (for Individual) OBU		upation (for Individual) ology Business Franchise Head		lemo Item			
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1500.00					
SUBTOTAL of Receipts This Page (optional	al)					277.0	00
TOTAL This Period (last page this line nur					- , - ,		

### SCHEDULE A (FEC Form 3X) - . . . . . . .

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			for each category of the Detailed Summary Page	3	<b>′</b> 11a 13		11b 14	11c 15	12		17
	y information copied from such Reports and Sta for commercial purposes, other than using the				for the		pose of	soliciting	g contrib		
$\left.\right\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee								
Α.	Full Name of Individual (Last, First, Middle Initi Lloyd, Richard, , ,	al) or Full O	Organization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				м м 09	/	D 14	) / Y	ү ү 2016	Y	
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th		d	
	FEC ID number of contributing federal political committee.	С			<u> </u>		-		46	6.15	
	Name of Employer (for Individual) OBU		upation (for Individual) ad of Global Market Access Oncole	og	M	emo	ttem				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 830.70	]							
в.	Full Name of Individual (Last, First, Middle Initi Lloyd, Richard, , ,	al) or Full O	Organization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza	- 1			<sup>M</sup> 09	/	D 10		2016	Y	
	City East Hanover	State NJ	Zip Code 07936	-			-	A2016-1 Receipt th		d	
	FEC ID number of contributing federal political committee.	С								6.15	
	Name of Employer (for Individual) OBU		upation (for Individual) ad of Global Market Access Oncol	οξ	M	emo	tem				
	Receipt For: Primary General Other (specify) ▼	Aggregate	]								
с.	Full Name of Individual (Last, First, Middle Initi Lockwood, Jeffrey, W, ,	al) or Full O	Organization Name		Date of	f Re	eceipt				
	Mailing Address One Health Plaza				<sup>M</sup> 09	/	D 14		2016	Y	
	City East Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th		d	
	FEC ID number of contributing federal political committee.	С			Ē		y	,	23	8.08	
	Name of Employer (for Individual) NIBR		upation (for Individual) d NIBR Communications		М	emo	o Item				
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 415.44	]							
s	UBTOTAL of Receipts This Page (optional)		••••••	•			, .	. ,	115	5.38	
Т	OTAL This Period (last page this line number o	nly)		- •							Ē.

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check or	ily on	ne)	L		
ILIVIIZED KEGEIFIJ		for each category of the Detailed Summary Page	<b>X</b> 11a		11b 14	11c	12	17
Any information copied from such Reports or for commercial purposes, other than usi			erson for the		oose of	soliciting	contribut	ions
NAME OF COMMITTEE (In Full)		_						
> Novartis Corporation Politic	al Action Con	nmittee						
Full Name of Individual (Last, First, Mid A. Lockwood, Jeffrey, W, ,	dle Initial) or Full O	rganization Name	Date of	of Re	ceipt			
Mailing Address One Health Plaza			M 09	VI /	D D D 28	/ Y	ү ү 2016	Y
City East Hanover	State NJ	Zip Code 07936				A2016-1	843926 is Period	
FEC ID number of contributing federal political committee.	С						23.0	08
Name of Employer (for Individual) NIBR		upation (for Individual) d NIBR Communications		Nemo	Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52	1					
Full Name of Individual (Last, First, Mid B. Lolos, Konstantine, G, ,	dle Initial) or Full O	rganization Name	Data		int			
Mailing Address One Health Plaza			Date of 09			/ Y	2016	Ŷ
City	State	Zip Code				A2016-17		
East Hanover	NJ	07936	Amour	nt of	Each R	eceipt th	is Period	_
FEC ID number of contributing federal political committee.	C					-	14.(	)4
Name of Employer (for Individual) OBU		upation (for Individual) Oncol Area Sales Manager		Memo	Item			
Receipt For:	Aggregate	Year-to-Date <b>V</b>						
Other (specify) V		, 252.72						
Full Name of Individual (Last, First, Mid Lolos, Konstantine, G, ,	dle Initial) or Full O	rganization Name	Date of	of Re	ceipt			
Mailing Address One Health Plaza			M 09		D D 28	/ Y	2016	Y
City East Hanover	State NJ	Zip Code 07936				A2016-1		
FEC ID number of contributing federal political committee.	С		Amour			eceipt th	is Period 14.0	)4
Name of Employer (for Individual) OBU		ipation (for Individual) ncol Area Sales Manager	N	Nemo	tem			
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 266.76	]					
SUBTOTAL of Receipts This Page (option	nal)						51.7	6
TOTAL This Period (last page this line nu	,				, . , .	- 7		

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PAGE 69 OF

				or each category of the Detailed Summary Page	×	1	1a 3		11   14	H	_	11c 15	12	17
	y information copied from such Reports and Statem for commercial purposes, other than using the name										so	liciting		utions
$\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Corr	۱m	ittee										
	East Hanover FEC ID number of contributing	State NJ	rgar	ization Name Zip Code 07936		M T		/ acti	ion	14	A2		2016 7 <b>91235</b> s Perio	
	Name of Employer (for Individual) Pharma Receipt For:	Occu Exec	cutiv	ion (for Individual) ve Director R&D Operations r-to-Date ▼ 207.72			Me	emo	o Ita	em	-			<u>.</u>
В.	East Hanover FEC ID number of contributing federal political committee.	State NJ	upat	Zip Code 07936 ion (for Individual) ve Director R&D Operations r-to-Date ▼ 219.26		M Tr	ount	/ acti	on Ea	28 1 <b>D</b> :	A2(	/ <b>016-18</b> eipt thi	s Perio	
C.	East Hanover	State NJ	upati	Zip Code 07936 ion (for Individual) Executive Account Specialist r-to-Date ▼ 207.72		M T	ount	/ acti	ion Ea	14 1 <b>D</b> :	A2		2016 791154 s Perio 11	_
s	UBTOTAL of Receipts This Page (optional)			▶					9		+	9	34	.62
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	EMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16 17
	y information copied from such Reports and State for commercial purposes, other than using the na			erson for the purpose of soliciting contributions
$\Big\rangle$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	on Com	nmittee	
Α.	Full Name of Individual (Last, First, Middle Initial) Mac Askill, David, T, , Mailing Address One Health Plaza	or Full O	rganization Name	Date of Receipt
	City	State	Zip Code	09 28 2016 Transaction ID : A2016-1844205
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		11.54
	Name of Employer (for Individual) Pharma		upation (for Individual) pital Executive Account Specialist	Memo Item
	Receipt For:       µ         Primary       General         Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	1
в.	Full Name of Individual (Last, First, Middle Initial) MacKay, Kimberly, A, ,	or Full O	rganization Name	Date of Receipt
	Mailing Address One Health Plaza			09 14 2016
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791430 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		11.54
	Name of Employer (for Individual) NBS		upation (for Individual) Id Legal and Compliance	Memo Item
	Receipt For:     Primary     General       Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	
с.	Full Name of Individual (Last, First, Middle Initial) MacKay, Kimberly, A, ,	or Full O	rganization Name	Date of Receipt
	Mailing Address One Health Plaza			M         M         /         D         D         /         Y
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844475           Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		11.54
	Name of Employer (for Individual) NBS		upation (for Individual) d Legal and Compliance	Memo Item
	Receipt For:     Primary     General       Other (specify)	Aggregate	Year-to-Date ▼ 219.26	]
s	UBTOTAL of Receipts This Page (optional)			34.62
Т	OTAL This Period (last page this line number only	y)	••••••	

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ITEMIZED REC	FIDTE		Use separate schedule(s)	(che	eck only	y on	e)	L		
	EIF 13		for each category of the Detailed Summary Page	×	11a 13		11b 14	11c 15	12	17
			ay not be sold or used by any p ddress of any political committe		for the		oose of	soliciting	contribut	tions
NAME OF COMMI Novartis Cor	TTEE (In Full) poration Political A	ction Con	nmittee							
Full Name of Indivi A. Manolios, Frank	dual (Last, First, Middle Ini	itial) or Full O	rganization Name		Date of	Re	ceipt			
Mailing Address O	ne Health Plaza				м м 09	/	D D 14	/ Y	2016	Y
City East Hanover		State NJ	Zip Code 07936					A2016-1 eceipt th	791170 is Period	
FEC ID number of federal political con	0	С					7		15.0	00
Name of Employer OBU	(for Individual)		upation (for Individual) ology Specialist		Me	emo	Item			
Receipt For: Primary Other (specif	General y) ▼	Aggregate	Year-to-Date ▼ 270.00							
Full Name of Indivi <b>B.</b> Manolios, Frar	idual (Last, First, Middle Ini nk, , ,	itial) or Full O	rganization Name		Date of	Re	ceipt			
Mailing Address Or	ne Health Plaza				м м 09	′	D D D 28	/ Y	2016	Y
City East Hanover		State NJ	Zip Code 07936				-	A2016-1	-	
FEC ID number of federal political con	0	C			Amount	. 01			is Period 15.0	00
Name of Employer OBU	(for Individual)		upation (for Individual) cology Specialist		Me	emo	ltem			
Receipt For: Primary Other (specif	General y) ▼	Aggregate	Year-to-Date ▼ 285.00	]						
Full Name of Indivi C. Mantha, Sridh	dual (Last, First, Middle Ini nar, V, ,	itial) or Full O	rganization Name		Date of	Re	ceipt			
Mailing Address O	ne Health Plaza				09	/	D D D 14	L	2016	Y
City East Hanover		State NJ	Zip Code 07936				-	A2016-1 eceipt th	790925 is Period	
FEC ID number of federal political con	0	С				_	y	,	11.	54
Name of Employer Alcon	(for Individual)		upation (for Individual) oc Dir QA Operations		M	emo	Item			
Receipt For: Primary Other (specif	General y)	Aggregate	Year-to-Date ▼ 207.72	1						
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page		<b>′</b> 11a 13		11b		11c 15	12	17			
Any information copied from such Reports a or for commercial purposes, other than usin				for the		pose		oliciting	g contribu	tions			
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Cor	nmittee											
Full Name of Individual (Last, First, Midd A. Mantha, Sridhar, V, ,	le Initial) or Full C	organization Name	Date of Receipt										
Mailing Address One Health Plaza				09	/	2	28		2016	Y			
City East Hanover	State NJ	Zip Code 07936							844017				
FEC ID number of contributing federal political committee.	С			Amoun	: OT	Each	Rec	eipt th	iis Period 11.	_			
Name of Employer (for Individual) Alcon		upation (for Individual) oc Dir QA Operations		M	emo	lterr	l						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26											
Full Name of Individual (Last, First, Midd <b>B.</b> Masow, Julie, , ,	le Initial) or Full C	organization Name		Date of	Re	eceipt							
Mailing Address One Health Plaza				м м 09	/		р 14	/ Y	2016	Y			
City East Hanover	State NJ	Zip Code 07936							<b>791539</b> his Period				
FEC ID number of contributing federal political committee.	С			<u> </u>		- <b>j</b> -		7	11.	54			
Name of Employer (for Individual) OBU		upation (for Individual) bal HeadOncology Public Relation	s	M	emo	lterr	۱						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72											
Full Name of Individual (Last, First, Midd C. Masow, Julie, , ,	le Initial) or Full C	organization Name		Date of	Re	eceipt							
Mailing Address One Health Plaza				09	/		28	/ Y	y y 2016	Y			
City East Hanover	State NJ	Zip Code 07936							844585 his Period				
FEC ID number of contributing federal political committee.	C			<u> </u>		y		9	11.	54			
Name of Employer (for Individual) OBU		upation (for Individual) bal HeadOncology Public Relations	5	М	ema	) Item	ı						
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 219.26											
SUBTOTAL of Receipts This Page (option:	al)	•••••				9		y	34.	62			
TOTAL This Period (last page this line nur	nber only)	••••••	<b>-</b>			-		-					

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	for each category of the	
	Detailed Summary Page	e 13 14 15 16 17
or for commercial purposes, other than using		any person for the purpose of soliciting contributions mittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name of Individual (Last, First, Middle A. Mathias, Stephanie, , ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address 608 Fifth Avenue		09 / Y Y Y Y Y 2016
City New York	State Zip Code NY 10020	Transaction ID : A2016-1791673 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	
Name of Employer (for Individual) Corporate	Occupation (for Individual) Manager PAC	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 280.00	0
Full Name of Individual (Last, First, Middle 3. Mathias, Stephanie, , ,	Date of Receipt	
Mailing Address 608 Fifth Avenue		M M / D D / Y Y Y Y 09 28 2016
City New York	StateZip CodeNY10020	Transaction ID : A2016-1844717 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	20.00
Name of Employer (for Individual) Corporate	Occupation (for Individual) Manager PAC	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.0	0
Full Name of Individual (Last, First, Middle C. Matthews, William, R, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		09 14 2016
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-1791192 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.53
Name of Employer (for Individual) OBU	Occupation (for Individual) Sr Oncol Area Sales Manager	Memo Item
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 418.3	
SUBTOTAL of Receipts This Page (optional)		

Use separate schedule(s)

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ITEMIZED RECEIPTS		each category of the ailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17						
or for commercial purposes, other than using			erson for the purpose of soliciting contributions e to solicit contributions from such committee.						
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Committe	ee							
A. Matthews, William, R, ,									
Mailing Address One Health Plaza	04-4-	0.4	09 / 28 / Y Y Y Y 2016						
City East Hanover	· ·	o Code 07936	Transaction ID : A2016-1844242 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C								
Name of Employer (for Individual) OBU		(for Individual) rea Sales Manager	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to	-Date ▼ 441.92	]						
Full Name of Individual (Last, First, Middle B. Mc Leer, Arlene, J, ,	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mc Leer, Arlene, J, ,								
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 14 2016						
City East Hanover		o Code 7936	Transaction ID : A2016-1791360 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		15.00						
Name of Employer (for Individual) Pharma		(for Individual) bal Regulatory Manager	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to	-Date ▼ 270,00	]						
Full Name of Individual (Last, First, Middle C. Mc Leer, Arlene, J, ,	e Initial) or Full Organiza	tion Name	Date of Receipt						
Mailing Address One Health Plaza			09 / 28 / Y Y Y Y						
City East Hanover	· ·	o Code 7936	Transaction ID : A2016-1844405						
FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period						
Name of Employer (for Individual) Pharma		(for Individual) al Regulatory Manager	Memo Item						
Receipt For:	Aggregate Year-to								
Other (specify)		285.00	]						
SUBTOTAL of Receipts This Page (optional	)		53.53						
TOTAL This Period (last page this line num	per only)								

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
II EIVIIZED KEGEIFIJ		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17							
			person for the purpose of soliciting contributions to solicit contributions from such committee.							
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Con	nmittee								
Full Name of Individual (Last, First, Middle McBride, Catharine, M, ,	e Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			09 / 14 / Y Y Y Y 2016							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791144 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		50.00							
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 900.00	]							
Full Name of Individual (Last, First, Middle McBride, Catharine, M, ,	Date of Receipt									
Mailing Address One Health Plaza	0	7.0.0.1	09 / D D / Y Y Y Y 2016							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844195 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		50.00							
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs	Memo Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 950.00	]							
Full Name of Individual (Last, First, Middle C. McGough, Edward, D, ,	Initial) or Full O	rganization Name	Date of Receipt							
Mailing Address One Health Plaza			09 14 2016							
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1790893 Amount of Each Receipt this Period							
FEC ID number of contributing federal political committee.	С		115.38							
Name of Employer (for Individual) Alcon		upation (for Individual) Global Mfg & Tech Ops	Memo Item							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 2076.84	]							
SUBTOTAL of Receipts This Page (optional TOTAL This Period (last page this line num	, 		215.38							

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)							
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Any information copied from such Report or for commercial purposes, other than u			person for the	e purpo	ose of soli	iciting	contribut	ions		
NAME OF COMMITTEE (In Full) Novartis Corporation Politi	cal Action Con	nmittee								
Full Name of Individual (Last, First, M A. McGough, Edward, D, ,	iddle Initial) or Full O	rganization Name	Date	of Rece	eipt					
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 09 28 2016							
City East Hanover	State NJ	Zip Code 07936			n ID : A20 ach Rece					
FEC ID number of contributing federal political committee.	C					-	115.3	38		
Name of Employer (for Individual) Alcon		upation (for Individual) 9 Global Mfg & Tech Ops		Memo I	ltem					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2192.22	]							
Full Name of Individual (Last, First, M B. McKenna, Edward, J, ,	iddle Initial) or Full O	rganization Name		of Rece	·					
Mailing Address One Health Plaza	01-1-	Zie Octo	09		14	Y	2016	Y		
City East Hanover	State NJ	Zip Code 07936			n ID : A20 ach Rece					
FEC ID number of contributing federal political committee.	С		13.85							
Name of Employer (for Individual) OBU		Occupation (for Individual) Sr. Regional Account Manager			ltem					
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.30	]							
Full Name of Individual (Last, First, M C. McKenna, Edward, J, ,	iddle Initial) or Full O	rganization Name	Date	of Rece	eipt					
Mailing Address One Health Plaza			09		D D D 28	/ Y	2016 Y	Y		
City East Hanover	State NJ	Zip Code 07936			on ID : A20 ach Rece		-	_		
FEC ID number of contributing federal political committee.	C			,		9	13.8	35		
Name of Employer (for Individual) OBU		upation (for Individual) Regional Account Manager		Memo I	ltem					
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 263.15	]							
SUBTOTAL of Receipts This Page (opti	,						143.0	)8		
TOTAL This Period (last page this line	number only)				<u> </u>			_		

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12 13 14 15 16 17								
or for commercial purposes, other than usin	I and Statements may not be sold or used by any pe ng the name and address of any political committee	erson for the purpose of soliciting contributions								
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Committee									
Full Name of Individual (Last, First, Mide Menichini, Gary, J, , Mailing Address One Health Plaza	dle Initial) or Full Organization Name	Date of Receipt								
City	State Zip Code	09 14 2016 Transaction ID : A2016-1790860								
East Hanover	NJ 07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С	25.00								
Name of Employer (for Individual) Alcon	Occupation (for Individual) VP/GM U.S. Pharmaceuticals	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 450.00									
Full Name of Individual (Last, First, Mide B. Menichini, Gary, J, ,	Date of Receipt									
Mailing Address One Health Plaza	09 / 28 / Y Y Y Y 09 28 2016									
City East Hanover	StateZip CodeNJ07936	Transaction ID : A2016-1843954 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C	25.00								
Name of Employer (for Individual) Alcon	Occupation (for Individual) VP/GM U.S. Pharmaceuticals	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 475.00									
Full Name of Individual (Last, First, Mide C. Millard, Susan, J, ,	dle Initial) or Full Organization Name	Date of Receipt								
Mailing Address One Health Plaza		09 14 / Y Y Y Y 09 14								
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-1790866 Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С	25.00								
Name of Employer (for Individual) Alcon	Occupation (for Individual) Head HR Alcon R&D	Memo Item								
Receipt For:	Aggregate Year-to-Date ▼									
Other (specify)	450.00									
SUBTOTAL of Receipts This Page (option	nal)	75.00								
TOTAL This Period (last page this line nu	mber only)									

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171			Use separate schedule(s)	(ch	(check only one)								
11			for each category of the Detailed Summary Page		<b>K</b> 11a 13		11b 14	11c	12	17			
	y information copied from such Reports and Sta for commercial purposes, other than using the n				for the		pose of	f soliciting	g contribu	tions			
$\overline{)}$	NAME OF COMMITTEE (In Full)												
	Novartis Corporation Political Act	ion Corr	nmittee										
A.	Full Name of Individual (Last, First, Middle Initia Millard, Susan, J, ,	l) or Full Or	rganization Name		Date of	Re	eceipt						
	Mailing Address One Health Plaza				09 28 2016								
	City East Hanover	State NJ		Transaction ID : A2016-1843960									
			07936	_	Amount	: of	Each F	Receipt th	nis Period				
	FEC ID number of contributing federal political committee.	С			Ľ.				25.	00			
	Name of Employer (for Individual) Alcon		upation (for Individual) d HR Alcon R&D		Me	emo	b Item						
	Receipt For:	Aggregate	Year-to-Date ▼										
	Primary General Other (specify) ▼	· · · ·	475.00	1									
			Aga Aga Aga Aga	<u> </u>									
В.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Donald, J, ,						eceipt						
	Mailing Address One Health Plaza				09 14 2016								
	City	State NJ	Zip Code					A2016-1					
	East Hanover		07936		Amount of Each Receipt this Period								
	FEC ID number of contributing federal political committee.	C				11.54							
	Name of Employer (for Individual) Pharma	Occu Dir (		Me	emo	o Item							
	Receipt For:	Aggregate	Year-to-Date 🔻										
	Other (specify) ▼		, 207.72										
	Full Name of Individual (Last, First, Middle Initia Miller, Donald, J, ,	l) or Full Or	rganization Name		Date of	Be	eceint						
0.	Mailing Address One Health Plaza				09	/	28		2016	Y			
	City	State	Zip Code		Trans	act	ion ID	: A2016-1	844480				
	East Hanover	NJ	07936		Amount	of	Each F	Receipt th	nis Period				
	FEC ID number of contributing federal political committee.	С			<u> </u>	_	y	, <u>,</u>	11.	54			
	Name of Employer (for Individual) Pharma		upation (for Individual) Customer Mktg		Memo Item								
		Aggregate	Year-to-Date 🔻										
	Other (specify)		219.26										
s	UBTOTAL of Receipts This Page (optional)		<b></b>						48.	08			
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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)								
IILIVIIZED REGEIFIJ		for each category of the Detailed Summary Page	▲         11a         11b         11c         12           13         14         15         16         1								
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.								
NAME OF COMMITTEE (In Full) Novartis Corporation Poli	tical Action Con	nmittee									
Full Name of Individual (Last, First, M A. Moore, Stacey, L, ,	Aiddle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			09 14 Y Y Y Y Y 2016								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791130           Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		18.67								
Name of Employer (for Individual) Pharma		upation (for Individual) p Integrated Account Spec	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 334.36	]								
Full Name of Individual (Last, First, M Moore, Stacey, L, , Mailing Address One Health Plaza	Aiddle Initial) or Full O	rganization Name	Date of Receipt								
City	State	Zip Code	09 28 2016 Transaction ID : A2016-1844183								
East Hanover	NJ	07936	Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	С		18.67								
Name of Employer (for Individual) Pharma		upation (for Individual) p Integrated Account Spec	Memo Item								
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 353.03	]								
Full Name of Individual (Last, First, M C. Myrie, Donna, H, ,	/iddle Initial) or Full O	rganization Name	Date of Receipt								
Mailing Address One Health Plaza			09 / 14 / Y Y Y Y 2016								
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791212           Amount of Each Receipt this Period								
FEC ID number of contributing federal political committee.	C		25.00								
Name of Employer (for Individual) Pharma		upation (for Individual) ociate Dir Strategic Alliance Mgn	nt Memo Item								
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 450.00									
SUBTOTAL of Receipts This Page (op			► 62.34								

Use separate schedule(s)

FOR LINE NUMBER:

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	EMIZED RECEIPTS		for each category of the Detailed Summary Page	×	11a		11b		11c	12				
	y information copied from such Reports and Stat for commercial purposes, other than using the na													
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	ion Cor	nmittee											
A.	Full Name of Individual (Last, First, Middle Initial Myrie, Donna, H, ,	) or Full C	Organization Name	D	Date of Receipt									
	Mailing Address One Health Plaza	State	Zip Code	46	м м 09 Тгара		2	28		2016	Y			
	East Hanover	NJ	07936						2016-1	is Perio	4			
	FEC ID number of contributing federal political committee.	С					-401				.00			
	Name of Employer (for Individual) Pharma		upation (for Individual) ociate Dir Strategic Alliance Mgmt		М	emo	lten	n						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 475.00	]										
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neumeyer, Thomas, B, ,						Date of Receipt							
	Mailing Address One Health Plaza City State Zip Code						09 / 14 / Y Y Y Y Y 2016							
	City East Hanover	State NJ		Transaction ID : A2016-1790993 Amount of Each Receipt this Period										
	FEC ID number of contributing federal political committee.	С	12.00						.00					
	Name of Employer (for Individual) US Sandoz Inc		cupation (for Individual) sociate II IPQA		M	emo	lten	n						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ , 216.00	]										
С.	Full Name of Individual (Last, First, Middle Initial Neumeyer, Thomas, B, ,	) or Full C	Organization Name		ate of	f Re	eceipt	:						
	Mailing Address One Health Plaza			_	<sup>M</sup> 09			28	/ Y	2016 Y	Y			
	City East Hanover	State NJ	Zip Code 07936							843199 is Perior	ł			
	FEC ID number of contributing federal political committee.	С							ј		.00			
	Name of Employer (for Individual) US Sandoz Inc		upation (for Individual) ociate II IPQA		М	emo	lten	n						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 228.00	]										
s	UBTOTAL of Receipts This Page (optional)				-	-	9		9	49	.00			
т	OTAL This Period (last page this line number on	ly)	••••••	. [			-							

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	Detailed Summary Page						
		any person for the purpose of soliciting contributions mittee to solicit contributions from such committee.					
NAME OF COMMITTEE (In Full)	Action Committee						
Novartis Corporation Political	Action Committee						
Full Name of Individual (Last, First, Middle Nguyen, An, V, ,	Initial) or Full Organization Name	Date of Receipt					
Mailing Address One Health Plaza		09 14 YYYYY 09 14 2016					
City	State Zip Code NJ 07936	Transaction ID : A2016-1791479					
East Hanover	NJ 07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	11.54					
Name of Employer (for Individual) NBS	Occupation (for Individual) AD Sr Application Manager	Memo Item					
Receipt For:	Aggregate Year-to-Date ▼						
Primary General Other (specify) ▼	207.72	2					
Full Name of Individual (Last, First, Middle B. Nguyen, An, V, ,	Initial) or Full Organization Name	Date of Receipt					
Mailing Address One Health Plaza	ng Address One Health Plaza						
City	State Zip Code	Transaction ID : A2016-1844524					
East Hanover	NJ 07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	11.54					
Name of Employer (for Individual) NBS	Occupation (for Individual) AD Sr Application Manager	Memo Item					
Receipt For:	Aggregate Year-to-Date ▼						
Other (specify) ▼	, 219.26	6					
Full Name of Individual (Last, First, Middle C. Nobles, Sharon, L, ,	Initial) or Full Organization Name	Date of Receipt					
Mailing Address One Health Plaza		09 14 2016					
City	State Zip Code	Transaction ID : A2016-1791119					
East Hanover	NJ 07936	Amount of Each Receipt this Period					
FEC ID number of contributing federal political committee.	C	11.54					
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item					
Pharma	Dermatology Sales Specialist	_					
Receipt For:	Aggregate Year-to-Date ▼						
Other (specify)	207.72						
SUBTOTAL of Receipts This Page (optional).		34.62					

Use separate schedule(s)

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)						
II EIVIIZED KEGEIPIS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12	<u> </u>					
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NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee							
Full Name of Individual (Last, First, Mide A. Nobles, Sharon, L, ,	de Initial) or Full O	rganization Name	Date of Receipt						
Mailing Address One Health Plaza			09 28 2016	Y					
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844172 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	С		11.5	54					
Name of Employer (for Individual) Pharma		upation (for Individual) matology Sales Specialist	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]						
Full Name of Individual (Last, First, Mide B. O'Dowd, Hugh, M, ,	Date of Receipt								
Mailing Address One Health Plaza									
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791132						
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period	08					
Name of Employer (for Individual) Oncology		upation (for Individual) B-CPO Head UK & Ireland	Memo Item						
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	]						
Full Name of Individual (Last, First, Mide C. O'Neail, Shawn, , ,	dle Initial) or Full O	rganization Name	Date of Receipt						
Mailing Address 608 Fifth Avenue			09 / Y Y Y 14 2016	Y					
City New York	State NY	Zip Code 10020	Transaction ID : A2016-1791565 Amount of Each Receipt this Period						
FEC ID number of contributing federal political committee.	C		78.0	00					
Name of Employer (for Individual) Corporate		upation (for Individual) Dir Legislative Affairs	Memo Item						
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1316.00	]						
SUBTOTAL of Receipts This Page (option	al)		112.6	32					
TOTAL This Period (last page this line nu	mber only)								

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			Detailed	Summary Page		13		14	15	16		17					
	y information copied from such Reports and for commercial purposes, other than using the																
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Α.	Full Name of Individual (Last, First, Middle Ir O'Neail, Shawn, , ,	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , ,						Date of Receipt									
	Mailing Address 608 Fifth Avenue					09 28 2016											
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	Primary     General       Other (specify) ▼			1394.00	]												
в.	Full Name of Individual (Last, First, Middle Ir O'Neil, Shawn, M, ,	nitial) or Full O	rganization	Name		Date o	f Re	eceipt									
	Mailing Address One Health Plaza		M M / D D / Y Y Y Y 09 14 2016														
	City	State		Transaction ID : A2016-1790896													
	East Hanover	NJ	07936	3		Amoun	t of	Each R	eceipt th	nis Per	iod						
	FEC ID number of contributing federal political committee.	С		11.53													
	Name of Employer (for Individual) Alcon		upation (for Surgical Gla	Individual) ucoma Sales & Mark	eti	Memo Item											
	Receipt For:	Aggregate	Year-to-Date	e 🔻													
	Primary General Other (specify) ▼			207.54	1												
			-7														
c.	Full Name of Individual (Last, First, Middle Ir O'Neil, Shawn, M, ,	nitial) or Full O	rganization	Name		Date o	f Re	eceipt									
	Mailing Address One Health Plaza					<sup>M</sup> 09	1	28	/ Y	۲ 2016							
	City	State	Zip Co			Trans	sact	ion ID :	A2016-1	84399	0						
	East Hanover	NJ	07936		/	Amoun	t of	Each R	eceipt th	nis Per	iod						
	FEC ID number of contributing federal political committee.	С						y .	,		11.53	3					
	Name of Employer (for Individual) Alcon		upation (for Surgical Glau	Individual) Jcoma Sales & Marke	etin	Memo Item											
	Receipt For:	1	Year-to-Date														
	Primary General																
	Other (specify)			219.07													
s	UBTOTAL of Receipts This Page (optional)	,								1(	01.06	6					
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11			for each category of the Detailed Summary Page		<b>×</b> 11a		11b	11c	12	<u> </u>				
	y information copied from such Reports and Sta for commercial purposes, other than using the													
$\setminus$	NAME OF COMMITTEE (In Full)		_											
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Α.	Full Name of Individual (Last, First, Middle Initial Olmstead, Sharon, N, ,	al) or Full O	rganization Name		Date of Receipt									
	Mailing Address One Health Plaza		Zip Code		09 14 2016									
	City East Hanover	State NJ		Transaction ID : A2016-1791577 Amount of Each Receipt this Period										
	FEC ID number of contributing federal political committee.	C			<u> </u>		-		23.0	08				
	Name of Employer (for Individual) US Novartis Pharmaceuticals		upation (for Individual) Global Head Regulatory Policy {	& Int	M	emo	o Item							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.44											
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Olmstead, Sharon, N, ,						eceipt							
	Mailing Address One Health Plaza					M         /         D         /         Y								
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844621 Amount of Each Receipt this Period										
	FEC ID number of contributing federal political committee.	C				23.08								
	Name of Employer (for Individual) US Novartis Pharmaceuticals		upation (for Individual) Global Head Regulatory Policy	& In	M	emo	b Item							
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52											
<u>с.</u>	Full Name of Individual (Last, First, Middle Initia Osten, Craig, S, ,	al) or Full O	rganization Name		Date of	Re	eceipt							
	Mailing Address One Health Plaza				м м 09	/	D 14		2016	Y				
	City East Hanover	State NJ	Zip Code 07936					: A2016-1 Receipt th	1791036 his Period					
	FEC ID number of contributing federal political committee.	С			<u> </u>		,	, y	23.0	)8				
	Name of Employer (for Individual) Corporate		upation (for Individual) President & Treasurer		M	emo	o Item							
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 415.44											
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Any information copied from such Reports a or for commercial purposes, other than usin			person for t	he pu	irpose of	f soliciting	contribut	tions						
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Con	nmittee												
Full Name of Individual (Last, First, Middl A. Osten, Craig, S, ,	e Initial) or Full O	rganization Name	Date	e of F	leceipt									
Mailing Address One Health Plaza				09 / 28 / Y Y Y Y 2016										
City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2016-1844089 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	C			23.08										
Name of Employer (for Individual) Corporate		upation (for Individual) President & Treasurer		Merr	no Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52												
Full Name of Individual (Last, First, Midd B. Oxner, Serafina, , , Mailing Address One Health Plaza		e of F	leceipt		ÝÝ	Y								
City	State	Zip Code		9	14		2016							
East Hanover	NJ	07936				A2016-1 Receipt th								
FEC ID number of contributing federal political committee.	ů – Elektrik						23.0	08						
Name of Employer (for Individual) Pharma				Merr	no Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.44												
Full Name of Individual (Last, First, Midd C. Oxner, Serafina, , ,	e Initial) or Full O	rganization Name	Date	e of F	leceipt									
Mailing Address One Health Plaza				9	/ 28		y y 2016	Y						
City East Hanover	State NJ	Zip Code 07936				: A2016-1 Receipt th								
FEC ID number of contributing federal political committee.	С				,	, y	23.0	08						
Name of Employer (for Individual) Pharma		upation (for Individual) )ir Healthcare Contract Adm		Men	no Item									
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 438.52												
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TEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)										
		for each category of the Detailed Summary Page	X         11a         11b         11c         12           13         14         15         16         17										
			person for the purpose of soliciting contributions be to solicit contributions from such committee.										
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee											
Full Name of Individual (Last, First, Middle Palumbo, Joseph, S, ,	Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 2016										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791254 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	C		11.54										
Name of Employer (for Individual) Pharma		upation (for Individual) Dir Org. Effectiveness	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72											
Full Name of Individual (Last, First, Middle B. Palumbo, Joseph, S, ,	Date of Receipt												
Mailing Address One Health Plaza	State	09 28 2016											
East Hanover	NJ	Zip Code 07936	Transaction ID : A2016-1844301 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		11.54										
Name of Employer (for Individual) Pharma		upation (for Individual) Dir Org. Effectiveness	Memo Item										
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26											
Full Name of Individual (Last, First, Middle C. Parker, Melissa, A, ,	Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			09 14 2016										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791296           Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С		13.85										
Name of Employer (for Individual) Pharma		upation (for Individual) ional Dir Acct Management	Memo Item										
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 249.30											
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			36.93										

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	EMIZED RECEIPTS		for each category of the Detailed Summary Page				11a		1'	1b		11c		12				
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	y information copied from such Reports and Stat for commercial purposes, other than using the n																	
$\setminus$	NAME OF COMMITTEE (In Full)																	
	Novartis Corporation Political Act	ion Con	nm	ittee														
۹.	Full Name of Individual (Last, First, Middle Initial Parker, Melissa, A, ,	) or Full O	rgar	nization Name	Date of Receipt													
	Mailing Address One Health Plaza	1			09 / 28 / 2016													
	City	State		Zip Code		Transaction ID : A2016-1844341												
	East Hanover	NJ		07936	Amount of Each Receipt this Period													
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	Name of Employer (for Individual) Pharma		•	ion (for Individual) I Dir Acct Management	Memo Item													
	Receipt For:			r-to-Date ▼														
	Primary General Other (specify) ▼			263.15														
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Petroutsas, Efthimios, , ,							Date of Receipt										
	Mailing Address One Health Plaza							09 / 14 / Y Y Y Y 2016										
	City	State		Zip Code		-	Trans	acti	ion	ID :	A	2016-1	7914	493				
	East Hanover	NJ		07936	Amount of Each Receipt this Peri								eriod					
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	Name of Employer (for Individual) OBU	Occupation (for Individual) Executive Director Ribociclib						lemo	o It	em								
	Receipt For: Primary General Other (specify) ▼	Aggregate	Yea	r-to-Date ▼ 225.00														
с.	Full Name of Individual (Last, First, Middle Initial Petroutsas, Efthimios, , ,	) or Full O	rgar	nization Name		D	ate o	f Re	ece	ipt								
	Mailing Address One Health Plaza					ľ	<sup>M</sup> 09	/	ſ	28		/ Y		)16 <sup>°</sup>	Y			
	City	State		Zip Code			Trans	sact	tior	ו ID ו	: A	2016-1	844	539				
	East Hanover	NJ		07936		A	moun	t of	Ea	ach F	Red	ceipt th	is P	eriod				
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	Name of Employer (for Individual) OBU	Occu Exec	Memo Item															
		Aggregate	Yea	r-to-Date ▼														
	Other (specify)		-	237.50														
	UBTOTAL of Receipts This Page (optional)					[	-		7			9		38.	35			

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\	e OF COMMITTEE (In Full) Partis Corporation Political	Action Con	nmittee												
	ame of Individual (Last, First, Middle I ps, Candice, C, ,	nitial) or Full O	rganization Name		Date of	Re	eceipt								
Mailing	g Address One Health Plaza				09 / Y Y Y Y 14 2016										
City East I	Hanover	State NJ	Zip Code 07936		Transaction ID : A2016-1791634 Amount of Each Receipt this Period										
	D number of contributing I political committee.	С													
Name Corpo	of Employer (for Individual) rate		upation (for Individual) ctor Federal Government Affairs	5	M	emc	tem								
	ot For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1940.00												
<b>B.</b> Phip	ame of Individual (Last, First, Middle I ops, Candice, C, , g Address One Health Plaza	nitial) or Full O	rganization Name		Date of	f Re	eceipt		YYY	Y					
City		State	Zip Code		09 28 2016 Transaction ID : A2016-1844677										
	Hanover	NJ	07936					Receipt th		b					
	D number of contributing I political committee.	С		110.00											
Name Corpor	of Employer (for Individual) rate	Occu Dire	S	Memo Item											
	ot For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 2050.00	1											
	ame of Individual (Last, First, Middle I eger, Lisa, M, ,	nitial) or Full O	rganization Name		Date of	Re	eceipt								
	g Address One Health Plaza				<sup>M</sup> 09	1	D 14		ү ү 2016	Y					
City East I	Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th		d					
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Alcon	of Employer (for Individual)		upation (for Individual) Head US Sales Marketing Ops		M	emo	ttem								
	ot For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 276.84												
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17											
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NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Cor	nmittee												
Full Name of Individual (Last, First, Mide A. Praeger, Lisa, M, ,	dle Initial) or Full C	organization Name	Date of Receipt											
Mailing Address One Health Plaza			09 / 28 / 2016 Transaction ID : A2016 1843983											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1843983											
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period											
Name of Employer (for Individual) Alcon		upation (for Individual) Head US Sales Marketing Ops	Memo Item											
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 292.22	]											
Full Name of Individual (Last, First, Mide B. Renz, Elizabeth, , ,														
Mailing Address One Health Plaza			M         M         /         D         /         Y											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1790996 Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	С		30.00											
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ector Communications for Biophar	m:											
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 540.00	]											
Full Name of Individual (Last, First, Mide C. Renz, Elizabeth, , ,	dle Initial) or Full C	Prganization Name	Date of Receipt											
Mailing Address One Health Plaza			09 20 / Y Y Y Y 2016											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1843180											
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period											
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ctor Communications for Biopharr	Memo Item											
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 570.00	]											
SUBTOTAL of Receipts This Page (option	ial)		75.38											
TOTAL This Period (last page this line nu	mber only)													

## SCHEDULE A (FEC Form 3X) \_ \_ \_ .

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only	(check only one)									
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NAME OF COMMITTEE (In Full)													
> Novartis Corporation Politic	al Action Con	nmittee											
Full Name of Individual (Last, First, Mid Riccobono, Margaret, , ,	dle Initial) or Full O	rganization Name	Date of Receipt										
Mailing Address One Health Plaza			M M 09										
City East Hanover	State NJ	Zip Code 07936		action ID : A2 of Each Rec									
FEC ID number of contributing federal political committee.	С				-	11.5	4						
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ociate Director Talent Manageme		emo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	]										
Full Name of Individual (Last, First, Mid <b>B.</b> Riccobono, Margaret, , ,	Date of	Receipt											
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City East Hanover	State NJ	Zip Code 07936		action ID : A2									
FEC ID number of contributing federal political committee.	С			Amount of Each Receipt this Period									
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ociate Director Talent Manageme		emo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]										
Full Name of Individual (Last, First, Mid C. Richards, Edward, C, ,	dle Initial) or Full O	rganization Name	Date of	Receipt									
Mailing Address One Health Plaza			M M 09	/ D D 14	/ Y	2016	Y						
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Name of Employer (for Individual) Alcon		upation (for Individual) General Mgr Ops	Me	emo Item									
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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17											
or for commercial purposes, other that			e to solicit contributions from such committee.											
NAME OF COMMITTEE (In Full) Novartis Corporation Pc	litical Action Con	nmittee												
Full Name of Individual (Last, First <b>A.</b> Richards, Edward, C, ,	Middle Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			09 / 28 / 2016 Transaction ID : A2016-1844031											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844031											
FEC ID number of contributing federal political committee.	C		Amount of Each Receipt this Period											
Name of Employer (for Individual) Alcon		upation (for Individual) General Mgr Ops	Memo Item											
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 292.22	1											
Full Name of Individual (Last, First, B. Rodgers, Renee, C, ,	Middle Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791579 Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	C		30.00											
Name of Employer (for Individual) OBU		upation (for Individual) Id Digital Strategy And Svc	Memo Item											
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 540.00	]											
Full Name of Individual (Last, First, C. Rodgers, Renee, C, ,	Middle Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 28 2016											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844623											
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period											
Name of Employer (for Individual) OBU		upation (for Individual) d Digital Strategy And Svc	Memo Item											
Receipt For: Primary General Other (specify)		Year-to-Date ▼ 570.00	]											
SUBTOTAL of Receipts This Page (	pptional)		75.38											
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			person for the purpose of soliciting contributions te to solicit contributions from such committee.											
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> Novartis Corporation Politica	Action Con	nmittee												
Full Name of Individual (Last, First, Middle A. Russell, Jason, T, ,	e Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 2016											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1790975											
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FEC ID number of contributing federal political committee.	C		34.04											
Name of Employer (for Individual)		upation (for Individual)	Memo Item											
Sandoz Inc.	Asso	oc Dir National Accts Spec Pharr	n											
Receipt For:	Aggregate	Year-to-Date ▼												
Other (specify) ▼		612.72												
Full Name of Individual (Last, First, Middle	e Initial) or Full O	rganization Name												
B. Russell, Jason, T, ,			Date of Receipt											
Mailing Address One Health Plaza	Ctata	Zip Code	09 / D D / Y Y Y Y 2016											
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1843181 Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	С		34.04											
Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) oc Dir National Accts Spec Phar	Memo Item											
Receipt For:		Year-to-Date ▼	····											
Primary General Other (specify) ▼		646,76	]											
Full Name of Individual (Last, First, Middle C. Ryan, Alan, D, ,	e Initial) or Full O	rganization Name	Date of Receipt											
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 09 14 2016											
City Fast Hangvar	State NJ	Zip Code	Transaction ID : A2016-1790983											
East Hanover	INJ	07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	С		20.00											
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Sandoz Inc.	Dir.	US Advocacy												
Receipt For:	Aggregate	Year-to-Date 🔻												
Other (specify)		360.00	]											
SUBTOTAL of Receipts This Page (optional	)		88.08											
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	Mailing Address One Health Plaza			09 28 2016												
	City	State	Zip Code	Transaction ID : A2016-1843189												
	East Hanover	NJ	07936	Amount of Each Receipt this Period												
	FEC ID number of contributing federal political committee.	С		20.00												
	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) US Advocacy	Memo Item												
	Receipt For:	Aggregate	Year-to-Date <b>V</b>													
	Primary General Other (specify) ▼		380.00	1												
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В.	Full Name of Individual (Last, First, Middle In Rzewnicki, Peter, , ,	nitial) or Full C	Date of Receipt													
	Mailing Address 350 Massachusetts Avenue 350 MA # 234F			09 14 2016												
	City	State	Zip Code	Transaction ID : A2016-1790989												
	Cambridge	MA	02139	Amount of Each Receipt this Period												
	FEC ID number of contributing federal political committee.	С		11.54												
	Name of Employer (for Individual) Sandoz Inc.		cupation (for Individual) ector Marketing Branded Injectab	Memo Item												
	Receipt For:	Aggregate	Year-to-Date ▼													
	Primary General															
	Other (specify) <b>v</b>		207.72													
С.	Full Name of Individual (Last, First, Middle In Rzewnicki, Peter, , ,	nitial) or Full C	Organization Name	Date of Receipt												
	Mailing Address 350 Massachusetts Avenue 350 MA # 234F			09 / 28 / Y Y Y Y 09 28 2016												
	City	State	Zip Code	Transaction ID : A2016-1843195												
	Cambridge	MA	02139	Amount of Each Receipt this Period												
	FEC ID number of contributing federal political committee.	С		11.54												
	Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item												
	Sandoz Inc.		ector Marketing Branded Injectabl	es												
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	Primary General	/ iggroguto		-												
	Other (specify)		219.26													
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or for commercial purposes, other than using		y person for the purpose of soliciting contributions ittee to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Action Committee										
Full Name of Individual (Last, First, Middle A. Saad, Ahmad, M, , Mailing Address One Health Plaza City East Hanover	y State Zip Code										
FEC ID number of contributing federal political committee. Name of Employer (for Individual) Alcon Receipt For:	C Occupation (for Individual) Principal Engineer Test Aggregate Year-to-Date 207.72	Memo Item									
Full Name of Individual (Last, First, Middle <b>B.</b> Saad, Ahmad, M, , Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer (for Individual) Alcon Receipt For:	a Initial) or Full Organization Name         State       Zip Code         NJ       07936         C       Occupation (for Individual)         Principal Engineer Test         Aggregate Year-to-Date ▼	Date of Receipt									
Primary       General         Other (specify)       ▼         Full Name of Individual (Last, First, Middle         Sanderson, Adwoa, A, ,         Mailing Address One Health Plaza         City         East Hanover         FEC ID number of contributing federal political committee.	219.26 e Initial) or Full Organization Name State Zip Code NJ 07936 C	Date of Receipt 09 14 2016 Transaction ID : A2016-1791628 Amount of Each Receipt this Period 25.00									
Name of Employer (for Individual) Pharma Receipt For: Primary General Other (specify)	Occupation (for Individual)         Ex Dir Advocacy & Access         Aggregate Year-to-Date ▼         450.00	Memo Item									

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NAME OF COMMITTEE (In Full) Novartis Corporation Pol	itical Action Committee												
<ul> <li>Full Name of Individual (Last, First, A. Sanderson, Adwoa, A, ,</li> </ul>	Middle Initial) or Full Organization Name	Date of Receipt											
Mailing Address One Health Plaza		09 28 / Y Y Y Y											
City	State Zip Code	Transaction ID : A2016-1844671											
East Hanover	NJ 07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	C	25.00											
Name of Employer (for Individual) Pharma	Occupation (for Individual) Ex Dir Advocacy & Access	Memo Item											
Receipt For:	Aggregate Year-to-Date ▼												
Primary     General       Other (specify) ▼	475.00												
Full Name of Individual (Last, First, <b>B.</b> Schoening, David, A, ,	Middle Initial) or Full Organization Name	Date of Receipt											
Mailing Address One Health Plaza		M M / D D / Y Y Y Y 09 14 2016											
City	State Zip Code	Transaction ID : A2016-1790935											
East Hanover	NJ 07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	C	15.38											
Name of Employer (for Individual) Alcon	Occupation (for Individual) Head Global Quality Assurance	Memo Item											
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 276.84												
Full Name of Individual (Last, First, C. Schoening, David, A, ,	Middle Initial) or Full Organization Name	Date of Receipt											
Mailing Address One Health Plaza		09 28 2016											
City	State Zip Code	Transaction ID : A2016-1844027											
East Hanover	NJ 07936	Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	C	15.38											
Name of Employer (for Individual) Alcon	Occupation (for Individual) Head Global Quality Assurance	Memo Item											
Receipt For:	Aggregate Year-to-Date ▼												
Other (specify)	292.22												
SUBTOTAL of Receipts This Page (or	ptional)	55.76											
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<u>к</u>	Full Name of Individual (Last, First, Middle Initi Schweitzer, Mark, G, ,	al) or Full C	Drga	anization Name		D	ate c	of Re	ec	eipt						
	Mailing Address One Health Plaza				09 14 2016 Transaction ID : A2016-1791639											
	City East Hanover	State NJ		Zip Code 07936	-											
	FEC ID number of contributing federal political committee.	С			Amount of Each Receipt this Period											
	Name of Employer (for Individual) Corporate		•	ation (for Individual) ad Analytical Science &Technol	c	l	N	lemo	οI	tem						
	Receipt For:	Aggregate	Ye	ar-to-Date ▼ 900.00												
в.	Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schweitzer, Mark, G, ,						Date of Receipt									
	Mailing Address One Health Plaza							M = M         /         D = D         /         Y = Y = Y = Y         Y         Y         Y = Y         Y								
	City East Hanover	State NJ		Zip Code 07936	_							2016-1 ceipt th				
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	Name of Employer (for Individual) Pharma		upation (for Individual) I Med Lead NS		М	emc	ttem						
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285.00										
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	Mailing Address One Health Plaza				M M 09	_	D 14		2016	Y			
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	Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) Director Neurology Marketing			М	emo	tem						
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	Name of Employer (for Individual) Sandoz Inc.		upation (for Individual) ctor Neurology Marketing		M	emo	o Item						
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	Name of Employer (for Individual) Pharma		upation (for Individual) Executive Sales Specialist		М	emc	ltem				
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ь.	Mailing Address One Health Plaza				09		28	/ Y	2016	r TY	1
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с.	Full Name of Individual (Last, First, Middle Initi Spelta, William, S, ,	al) or Full O	rganization Name		Date of	f Re	ceipt				
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NAME OF COMMITTEE	(In Full) ation Political Actic	on Com	mittee										
Full Name of Individual A. Spelta, William, S, ,	(Last, First, Middle Initial)	or Full Org	ganization Name		Date of	f Re	ceipt						
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City East Hanover		State NJ	Zip Code 07936					A2016-1 eceipt th					
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	City East Hanover	State NJ	Zip Code 07936					: <b>A2016-</b> Receipt t	1791095 his Period				
	FEC ID number of contributing federal political committee.	С					y 1	9	34.0	62			
	Name of Employer (for Individual) Pharma		upation (for Individual) ctor State&External Affrs		M	emo	o Item						
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 623.16										
⊢	UBTOTAL of Receipts This Page (optional)			► ►			, , ,		57.7	70			

Use separate schedule(s)

FOR LINE NUMBER:

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				for each category of the Detailed Summary Page	×	11a	a		11 14	- H	11c		12 16	17		
or	v information copied from such Reports and State				or th			oos	se of	ontribu	tions					
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	on Con	nm	littee												
<b>A.</b>	Full Name of Individual (Last, First, Middle Initial) Stevens, Donald, P, , Mailing Address One Health Plaza	or Full O	)rgar	nization Name	Date of Receipt											
	City	State		Zip Code	09     28     2016       Transaction ID : A2016-1844148       Amount of Each Receipt this Period											
	East Hanover FEC ID number of contributing rederal political committee.	NJ C		07936		Amo	unt	of	Ead	ch Re	eceipt	this	Period 34.	_		
	Name of Employer (for Individual) Pharma Receipt For:	Dire	ector	tion (for Individual) r State&External Affrs			Mei	mo	lte	əm						
	Primary General Other (specify) ▼	Aggregate	Yea	ar-to-Date ▼ 657.78												
<b>B.</b>	Full Name of Individual (Last, First, Middle Initial) Stickley, Lesley, J, ,	or Full O	)rgar	nization Name			of	Re								
	Mailing Address One Health Plaza	State		Zip Code		<sup>™</sup> 0	9	/	L	14	/	2	2016	Y		
	East Hanover	NJ		07936		Transaction ID : A2016-1791127           Amount of Each Receipt this Period										
	FEC ID number of contributing ederal political committee.	С	_						-			_	11.	54		
	Name of Employer (for Individual) Pharma		•	tion (for Individual) jional Account Manager			Mei	mo	lte	əm						
ļ	Receipt For: Primary General Other (specify) ▼	Aggregate	Yea	ar-to-Date ▼ 207.72												
<b>C.</b>	Full Name of Individual (Last, First, Middle Initial) Stickley, Lesley, J, , Mailing Address One Health Plaza	or Full O	)rgar	nization Name	[		of	Re								
		01-1-				0	9	/	L	28		2	2016	Y		
	City East Hanover	State NJ		Zip Code 07936							A2016 eceipt		Period			
	FEC ID number of contributing rederal political committee.	C	-						y		,	_	11.	54		
	Name of Employer (for Individual) Pharma			tion (for Individual) ional Account Manager			Me	mo	) Ite	em						
	Receipt For: Primary General Other (specify)	Aggregate	Yea	ar-to-Date ▼ 219.26												
รเ	JBTOTAL of Receipts This Page (optional)			••••••					9		,		57.	70		
тс	OTAL This Period (last page this line number onl	y)		•					,							

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	<b>X</b> 11a 11b 11c 12									
or for commercial purposes, other than u			13     14     15     16       berson for the purpose of soliciting contributions from such comm									
NAME OF COMMITTEE (In Full) Novartis Corporation Polit	ical Action Con	nmittee										
Full Name of Individual (Last, First, M A. Streit, Peter, N, ,	iddle Initial) or Full C	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			09 14 2016									
City	State NJ	Zip Code	Transaction ID : A2016-1791269									
East Hanover	INJ	07936	Amount of Each Receipt this Perio	bd								
FEC ID number of contributing federal political committee.	C		1	3.85								
Name of Employer (for Individual) OBU		upation (for Individual) Professional Strat & Bus Developr	me Memo Item									
Receipt For:	Aggregate	Year-to-Date <b>V</b>										
Other (specify) ▼		249.30	]									
Full Name of Individual (Last, First, M B. Streit, Peter, N, ,	iddle Initial) or Full C	rganization Name	Date of Receipt									
Mailing Address One Health Plaza			M         M         /         D         /         Y									
City	State	Zip Code	Transaction ID : A2016-1844315									
East Hanover	NJ	07936	Amount of Each Receipt this Period	bd								
FEC ID number of contributing federal political committee.	C		1	3.85								
Name of Employer (for Individual) OBU		upation (for Individual) Professional Strat & Bus Develop	Memo Item									
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 263.15	]									
Full Name of Individual (Last, First, M C. Stricker, Edson, J, ,	liddle Initial) or Full C	rganization Name	Date of Receipt									
Mailing Address 6201 South Freeway			09 / D D / Y Y Y 14 2016	Y								
City Fort Worth	State TX	Zip Code 76134-2001	Transaction ID : A2016-1790953 Amount of Each Receipt this Perio									
FEC ID number of contributing federal political committee.	C		1	1.53								
Name of Employer (for Individual)		upation (for Individual) erials Manager	Memo Item									
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 207.54	]									
SUBTOTAL of Receipts This Page (opt			3	9.23								

Use separate schedule(s)

FOR LINE NUMBER:

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	•	Use separate schedule(s)	(check	only	one)			
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page			11b	11c	12	
Any information copied from such Report				the pu				
or for commercial purposes, other than u	ising the name and a	ddress of any political committe	e to solicit	contr	ributions	from suc	n committe	ee.
NAME OF COMMITTEE (In Full) Novartis Corporation Polit	ical Action Con	nmittee						
Full Name of Individual (Last, First, M A. Stricker, Edson, J, ,	liddle Initial) or Full C	rganization Name	Dat	e of F	Receipt			
Mailing Address 6201 South Freeway				)9 09	/ D 28		2016	Y
City Fort Worth	State TX	Zip Code 76134-2001				: A2016-1 Receipt th		
FEC ID number of contributing federal political committee.	C			_	-		11.5	53
Name of Employer (for Individual) Alcon		upation (for Individual) erials Manager		Men	no Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date  219.07	1					
Full Name of Individual (Last, First, M B. Suter, Thomas, A, ,	iddle Initial) or Full C	rganization Name	Dat	e of F	Receipt			
Mailing Address One Health Plaza				м 09	/ D		y y 2016	Y
City East Hanover	State NJ	Zip Code 07936				: A2016-1 Receipt th		
FEC ID number of contributing federal political committee.	С			_			30.0	00
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs		Men	no Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 540.00	]					
Full Name of Individual (Last, First, M C. Suter, Thomas, A, ,	iddle Initial) or Full C	rganization Name	Dat	e of F	Receipt			
Mailing Address One Health Plaza				09	/ 2		2016	Y
City East Hanover	State NJ	Zip Code 07936				: A2016-1 Receipt th	1844588 his Period	
FEC ID number of contributing federal political committee.	C			_	,	- 	30.0	00
Name of Employer (for Individual) Pharma		upation (for Individual) State & External Affairs		Men	no Item			
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 570.00	1					
SUBTOTAL of Receipts This Page (opti	ional)				,	. ,	71.5	53
TOTAL This Period (last page this line	number only)				40.1			

Use separate schedule(s)

FOR LINE NUMBER:

(check only one)

PAGE 104 OF

ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	×	11a		11b	11c	12					
Any information copied from such Reports an or for commercial purposes, other than using													
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Cor	nmittee											
Full Name of Individual (Last, First, Middle A. Tuffin, Nancy, N, ,	e Initial) or Full C	organization Name	C	Date of Receipt									
Mailing Address One Health Plaza	State	Zip Code	_ [	09 -	<b>'</b>	14	/ Y	2016	Y				
City East Hanover	NJ	07936	Δ			on ID : A		his Period	4				
FEC ID number of contributing federal political committee.	С				UI .				.53				
Name of Employer (for Individual) Alcon		upation (for Individual) ad Health Policy/Govt Rel		Me	emo	Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.54	1										
Full Name of Individual (Last, First, Middle B. Tuffin, Nancy, N, ,	e Initial) or Full C	organization Name		Date of	Re	ceipt							
Mailing Address One Health Plaza				м м 09	/	D D D 28	/ Y	y y 2016	Y				
City East Hanover	State NJ	Zip Code 07936				<b>on ID : A</b> Each Re		1 <b>844066</b> his Period	ł				
FEC ID number of contributing federal political committee.	С						-7	11	.53				
Name of Employer (for Individual) Alcon		upation (for Individual) ad Health Policy/Govt Rel		Me	emo	Item							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.07	1										
Full Name of Individual (Last, First, Middle C. Urban, Thomas, A, ,	e Initial) or Full C	Prganization Name		Date of	Re	ceipt							
Mailing Address One Health Plaza				<sup>M</sup> 09	/	D D D 14	/ Y	y y 2016	Y				
City East Hanover	State NJ	Zip Code 07936	A			i <b>on ID : A</b> Each Re		1 <b>791027</b> his Period	d				
FEC ID number of contributing federal political committee.	С			_		, .	9	16	.33				
Name of Employer (for Individual) Pharma		upation (for Individual) cRespiratorySalesSpecialist		Me	emo	tem							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 292.47											
SUBTOTAL of Receipts This Page (optiona	l)	•	. [			,	,	39	.39				
TOTAL This Period (last page this line num	ber only)		. [				-9-						

Use separate schedule(s)

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			Use separate schedule(s)	(che	eck only	y or	ne)	L		
			for each category of the Detailed Summary Page	×	11a 13		11b 14	11c 15	12	17
	mation copied from such Reports and Sta mmercial purposes, other than using the				for the		pose of	soliciting	contribu	tions
\ \	e OF COMMITTEE (In Full) Partis Corporation Political Ac	tion Corr	nmittee							
	ame of Individual (Last, First, Middle Initian, Thomas, A, ,	al) or Full O	rganization Name		Date of	Re	eceipt			
Mailin	g Address One Health Plaza				м м 09	1	28	) / Y	ү 2016	Y
City East I	Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th		
	D number of contributing I political committee.	С							16.	33
Name Pharm	of Employer (for Individual) na		ipation (for Individual) cRespiratorySalesSpecialist		M	emc	tem			
	pt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 308.80	]						
B. Utt,	ame of Individual (Last, First, Middle Initia Lisa, R, , g Address One Health Plaza	al) or Full O	rganization Name		Date of	Re	eceipt		V V	V
City		State	Zin Code	Zip Code 14 Transaction ID : A2					2016	Ŷ
	Hanover	NJ	07936					A2016-1 Receipt th		
	D number of contributing I political committee.	С							13.	85
Name Pharm	of Employer (for Individual) a		upation (for Individual) ent Services Liaison II		M	emc	tem			
	pt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 249.30	]						
	ame of Individual (Last, First, Middle Initia Lisa, R, ,	al) or Full O	rganization Name		Date of	Re	eceipt			
Mailin	g Address One Health Plaza				<sup>M</sup> 09	1	28		ү 2016	Y
City East	Hanover	State NJ	Zip Code 07936					A2016-1 Receipt th		
	D number of contributing I political committee.	С					, .	. y	13.	85
Pharm			upation (for Individual) ent Services Liaison II		M	emo	tem			
	pt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 263.15	]						
SUBTO	TAL of Receipts This Page (optional)			•			, .	9	44.	03
TOTAL	This Period (last page this line number o	nly)			L.,		-			

Use separate schedule(s)

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		Use separate schedule(s)	(check only one)
ILEIVILLED RECEIPIO		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12           13         14         15         16         1
			person for the purpose of soliciting contributions ee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name of Individual (Last, First, Middle A. Van Meter, Jennifer, , ,	nitial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y 09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791670 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		30.00
Name of Employer (for Individual) Pharma		upation (for Individual) ctor Quality Ext Affairs	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 450.00	
Full Name of Individual (Last, First, Middle B. Van Meter, Jennifer, , , Mailing Address One Health Plaza	nitial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 28 2016
East Hanover	NJ	07936	Transaction ID : A2016-1844714           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		30.00
Name of Employer (for Individual) Pharma		upation (for Individual) ector Quality Ext Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 480.00	]
Full Name of Individual (Last, First, Middle C. Van Pelt, Jason, , ,	nitial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791641 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		77.00
Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 1386.00	
SUBTOTAL of Receipts This Page (optional)			137.00

Use separate schedule(s)

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ITEMIZED RECEIPTS		Use separate schedule(s)	(check only one)
		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         17
Any information copied from such Reports and or for commercial purposes, other than using			person for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name of Individual (Last, First, Middle A. Van Pelt, Jason, , ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844684 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		77.00
Name of Employer (for Individual) Corporate		upation (for Individual) c Dir Fed Govt Affairs	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1463.00	]
Full Name of Individual (Last, First, Middle Vander Veen, Edward, S, , Mailing Address One Health Plaza	Initial) or Full O	rganization Name	Date of Receipt
City	State	Zip Code	09 14 2016
East Hanover	NJ	07936	Transaction ID : A2016-1791458 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) OBU		upation (for Individual) d Global Strat Capabilities Onco	log
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 270.00	]
Full Name of Individual (Last, First, Middle C. Vander Veen, Edward, S, ,	Initial) or Full O	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / 28 / Y Y Y Y 09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844503 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) OBU		upation (for Individual) d Global Strat Capabilities Oncol	og Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 285.00	]
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line numb			107.00

Use separate schedule(s)

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PAGE 108 OF

ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	×			11b		11c	12	<u> </u>			
Any information copied from such Reports or for commercial purposes, other than usi													
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Con	nmittee											
Full Name of Individual (Last, First, Mid A. Vanhaecke, Erwin, , , Mailing Address One Health Plaza	dle Initial) or Full O	rganization Name	Date of Receipt										
City East Hanover	State NJ	Zip Code 07936				-			<b>790913</b> is Period				
FEC ID number of contributing federal political committee.	C					-		-	23.	08			
Name of Employer (for Individual)		upation (for Individual) H-Head NVS Group Qlty		M	emo	ltem							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	1										
Full Name of Individual (Last, First, Mid <b>B.</b> Voegtli, William, W, ,	dle Initial) or Full O	rganization Name		Date of	Re	eceipt							
Mailing Address One Health Plaza	01-1-	Za Osta		09	/	D 14		/ Y	2016	Y			
City East Hanover	State NJ	Zip Code 07936						2016-17 ceipt th	<b>791075</b> is Period				
FEC ID number of contributing federal political committee.	С					-	_	-	14.	04			
Name of Employer (for Individual) OBU		upation (for Individual) ior Reimbursement Manager		M	emo	ltem							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 252.72	]										
Full Name of Individual (Last, First, Mid C. Voegtli, William, W, ,	dle Initial) or Full O	rganization Name		Date of	Re	eceipt							
Mailing Address One Health Plaza				<sup>M</sup> 09	/	2		/ Y	2016	Y			
City East Hanover	State NJ	Zip Code 07936							844128 is Period				
FEC ID number of contributing federal political committee.	С					,		y	14.				
Name of Employer (for Individual) OBU		upation (for Individual) or Reimbursement Manager		M	emo	tem							
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 266.76	1										
SUBTOTAL of Receipts This Page (option	nal)		•			,	Ξ	ŋ	51.	16			
TOTAL This Period (last page this line nu	mber only)		•			-		-7-					

#### SCHEDULE A (FEC Form 3X) \_ \_\_\_\_\_

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FOR LINE NUMBER:

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check only one)
II LIVIIZED KEUEIFIJ		for each category of the Detailed Summary Page	✗         11a         11b         11c         12           13         14         15         16         1 <sup>1</sup>
			person for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Con	nmittee	
Full Name of Individual (Last, First, Middl A. Vogels, Andrea, W, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844573 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		300.00
Name of Employer (for Individual) OBU		upation (for Individual) Assoc Dir Nat Accounts	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 300.00	]
Full Name of Individual (Last, First, Middl B. Volk, Christen, L, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791603 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) OBU		upation (for Individual) AD-National Accounts	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 270.00	]
Full Name of Individual (Last, First, Middl C. Volk, Christen, L, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / 28 / Y Y Y Y Y 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844646           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		15.00
Name of Employer (for Individual) OBU		upation (for Individual) AD-National Accounts	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 285.00	]
SUBTOTAL of Receipts This Page (optiona	l)		330.00
TOTAL This Period (last page this line num	ber only)		

### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIRTS

Use separate schedule(s)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS	-	Use separate schedule(s)	(check onl	y one)			
I LIVILLU RECEIPIO		for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12	<u> </u>
Any information copied from such Reports an or for commercial purposes, other than using							
NAME OF COMMITTEE (In Full)							
Novartis Corporation Political	Action Con	nmittee					
Full Name of Individual (Last, First, Middle A. Warner, Robert, K, ,	Initial) or Full O	rganization Name	Date of	Receipt			
Mailing Address One Health Plaza			M M 09	/ D D 14	/ Y	ү ү 2016	Y
City East Hanover	State NJ	Zip Code 07936		action ID : A			
FEC ID number of contributing federal political committee.	С				-	23.0	18
Name of Employer (for Individual) Alcon		upation (for Individual) oal Franchise Head Vision Care	м	emo Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 415.44					
Full Name of Individual (Last, First, Middle B. Warner, Robert, K, ,	Initial) or Full O	rganization Name		Receipt	_		
Mailing Address One Health Plaza			09	28	/ Y	2016	Y
City East Hanover	State NJ	Zip Code 07936		action ID : /			
FEC ID number of contributing federal political committee.	С			-	-	23.0	)8
Name of Employer (for Individual) Alcon		upation (for Individual) bal Franchise Head Vision Care	M	emo Item			
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 438.52	]				
Full Name of Individual (Last, First, Middle C. Waugh, Stephanie, B, ,	Initial) or Full O	rganization Name	Date of	Receipt			
Mailing Address One Health Plaza			M M 09	/ D D 14	/ Y	ү ү 2016	Y
City East Hanover	State NJ	Zip Code 07936		action ID : A			
FEC ID number of contributing federal political committee.	С			,	, <u>,</u>	15.0	0
Name of Employer (for Individual) Pharma		upation (for Individual) Mktg Dir Ext Eye Disease	M	emo Item			
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 270.00					
SUBTOTAL of Receipts This Page (optional)						61.1	6

# SCHEDULE A (FEC Form 3X) ITEMIZED DECEIDTS

Use separate schedule(s)

FOR LINE NUMBER:

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ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12           13         14         15         16         17
or for commercial purposes, other than using			person for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Cor	nmittee	
Full Name of Individual (Last, First, Middle A. Waugh, Stephanie, B, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / 28 / Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844060
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period
Name of Employer (for Individual) Pharma		upation (for Individual) Mktg Dir Ext Eye Disease	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 285.00	]
Full Name of Individual (Last, First, Middle B. Wilkinson, Erik, A, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y 09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791638 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		12.50
Name of Employer (for Individual) Pharma		upation (for Individual) Exec Specialist	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 225.00	]
Full Name of Individual (Last, First, Middle C. Wilkinson, Erik, A, ,	e Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / 28 / Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844681
FEC ID number of contributing federal political committee.	С		Amount of Each Receipt this Period
Name of Employer (for Individual) Pharma		upation (for Individual) Exec Specialist	Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 237.50	]
SUBTOTAL of Receipts This Page (optiona	l)		40.00
TOTAL This Period (last page this line num	ber only)		

# SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the

FOR LINE NUMBER:

(check only one)

PAGE 112 OF

ITEMIZED RECEIPTS		Detailed Summ		×	11a		11b	11c	12	
	<b>.</b>				13		14	15	16	17
Any information copied from such Reports and or for commercial purposes, other than using th										
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Con	nmittee								
Full Name of Individual (Last, First, Middle Ir Williams, Donna Lee, , ,	nitial) or Full C	rganization Name			Date of	Re	ceipt			
Mailing Address One Health Plaza					м м 09	/	D 14		Y Y Y 2016	Y
City	State	Zip Code			Trans	acti	on ID :	A2016-	1791672	
East Hanover	NJ	07936		_	Amount	of	Each F	Receipt t	this Perio	b
FEC ID number of contributing federal political committee.	С						<b>y</b>		30	.00
Name of Employer (for Individual) Pharma		upation (for Individ ector Insurance Exc	,		Me	emo	Item			
Receipt For:	Aggregate	Year-to-Date V								
Primary General Other (specify) ▼			210.00	]						
Full Name of Individual (Last, First, Middle Ir B. Williams, Donna Lee, , ,	nitial) or Full C	rganization Name			Date of	Re	ceipt			
Mailing Address One Health Plaza					м м 09	/	28		2016	Y
City	State	Zip Code			Trans	acti	on ID :	A2016-	1844716	
East Hanover	NJ	07936			Amount	of	Each F	Receipt t	this Perio	b
FEC ID number of contributing federal political committee.	С				<u> </u>		,		30	.00
Name of Employer (for Individual) Pharma		upation (for Individ actor Insurance Exc			Me	emo	Item			
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Primary General Other (specify) ▼		4	240.00							
Full Name of Individual (Last, First, Middle Ir C. Willie, Brad, C, ,	nitial) or Full C	rganization Name			Date of	Re	ceipt			
Mailing Address One Health Plaza					<sup>M</sup> 09	1	D 14		2016	Y
City	State	Zip Code			Trans	acti	on ID	: A2016-	1791087	
East Hanover	NJ	07936		_	Amount	of	Each F	Receipt t	this Perio	d
FEC ID number of contributing federal political committee.	С				<u> </u>		y .	,		.54
Name of Employer (for Individual)	Occ	upation (for Individ	ual)	_	M	emo	Item			
Pharma	Ass	oc Dir Medicaid/GP	O Account							
Receipt For:	Aggregate	Year-to-Date 🔻								
Other (specify)			207.72	]						
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# SCHEDULE A (FEC Form 3X) - . . . . . . .

Use separate schedule(s)

FOR LINE NUMBER:

PAGE 113 OF

	-	Use separate schedule(s)	(check only one)
ITEMIZED RECEIPTS		for each category of the Detailed Summary Page	✗ 11a         11b         11c         12
			13     14     15     16     1       berson for the purpose of soliciting contributions       a to collect contributions from such committee
NAME OF COMMITTEE (In Full)	ig the name and a	doress of any political committe	e to solicit contributions from such committee.
Novartis Corporation Politica	al Action Con	nmittee	
Full Name of Individual (Last, First, Midd Millie, Brad, C, ,	lle Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844140 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.54
Name of Employer (for Individual) Pharma		upation (for Individual) oc Dir Medicaid/GPO Account	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 219.26	]
Full Name of Individual (Last, First, Midd B. Wyble, Christine, D, ,	lle Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 14 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1791557 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		11.54
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Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 207.72	]
Full Name of Individual (Last, First, Midd C. Wyble, Christine, D, ,	lle Initial) or Full C	rganization Name	Date of Receipt
Mailing Address One Health Plaza			09 / D D / Y Y Y Y 28 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1844601           Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		11.54
Name of Employer (for Individual) OBU		upation (for Individual) Head FML Digital MedInfo Comm	ns Memo Item
Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 219.26	]
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TOTAL This Period (last page this line nur	nber only)		8807.45

SCHEDULE B (FEC Form 3X)		proto ochodula(a)					BER	:					PA	ЭE	114	OF	127
ITEMIZED DISBURSEMENTS	for each	arate schedule(s) category of the Summary Page	(c	×	only 21b 28a		2 8b			3 8c		2			27 30b		
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Novartis Corporation Political Acti	on Comn	nittee									_						
Full Name (Last, First, Middle Initial) A. Capital One Bank						Da	te o	f Di	isbi	urse	əm	ent					
Mailing Address 701 Pennsylvania Ave. NW						М	09	/		2	23	/	Y		)16	Y	
City Washington	State DC	Zip Code 20004				FE	C Id	enti	ific	atio	n	Num	ber	_	_		
Purpose of Disbursement Bank Service Charge			0	01	7	С	Т		204	ie-	. 17	) . P	620	014			
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Full Name (Last, First, Middle Initial) B.						Da	te o	f Di				_					
Mailing Address											Y	= Y	Y	Y			
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Purpose of Disbursement		1			7	С											
Candidate Name				egory ype	/	Am	oun	t of	Ea	ach	D	isbu	rser	nent	this	Peri	od
Office Sought: House Disburse Senate President	ement For: Primary	General				L	_	-	-	-			<u> </u>	_			
State: District:	Other (spe	uny)					Me	emo	lte	em							
Full Name (Last, First, Middle Initial) C.						Da	te o	f Di									
Mailing Address						M	= M			D	D	/	Y	Y	Y	Y	
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Purpose of Disbursement	1	1			7	С											
Candidate Name				egory ype	/	Am	oun	t of	Ea	ach	D	isbu	rser	nent	this	Peri	od
Senate	ement For: Primary	General				L	_	_	-	-			7	_			
State: District:	Other (spe	cify) 🔻					Me	emo	lte	em							
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TOTAL This Period (last page this line number only	/)								,	_			,		40	.39	

Mailing Address 213 Ashby Street       09       23         City       State       Zip Code       FEC Identification Number         Alexandria       VA       22305       FEC Identification Number         Purpose of Disbursement       011       C       C00428052         Candidate Name       011       Category/ Type       Transaction ID : B62640         Office Sought:       House       Disbursement For: 2016       Memount of Each Disbursement         Office Sought:       House       Disbursement For: 2016       Memo Item         State:       District:       Not Applicable       Memo Item         Full Name (Last, First, Middle Initial)       Date of Disbursement       Date of Disbursement	committee.
or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such NAME OF COMMITTEE (in Full)         NAME OF COMMITTEE (in Full)         Novartis Corporation Political Action Committee         Full Name (Last, First, Middle Initial)         A. Majority Committee PACMC PAC         Mailing Address 213 Ashby Street         City         Alexandria         Purpose of Disbursement         Candidate Name         Office Sought:         House         Disbursement For:         State:         President         State:         President         State:         President         State:         Proyose of Disbursement For:         State:         President         State:         Proyose of Disbursement Contribution         Full Name (Last, First, Middle Initial)         B. Devin Nunes Campaign Committee         Mailing Address PO Box 6545         City         Visalia         Proyose of Disbursement         Category/         Visalia         Category/         Primary         Senate       President         Of	committee.
Novartis Corporation Political Action Committee         Full Name (Last, First, Middle Initial)         A. Majority Committee PACMC PAC         Mailing Address 213 Ashby Street         City         Alexandria         Purpose of Disbursement         Contribution         Candidate Name         Office Sought:         House         President         Purpose of Disbursement         Contribution         Candidate Name         Office Sought:         House         President         Purpose of Disbursement         Contribution         Full Name (Last, First, Middle Initial)         B.         Devin Nunes Campaign Committee         Mailing Address         Purpose of Disbursement         City         Visalia         Purpose of Disbursement         Candidate Name         Mailing Address         Purpose of Disbursement         Candidate Name         Mailing Address         Office Sought:         Yeal         State         City         Visalia         Purpose of Disbursement         Candidate Name<	2016
Full Name (Last, First, Middle Initial)         A. Majority Committee PACMC PAC         Mailing Address 213 Ashby Street         City         Alexandria         Purpose of Disbursement         Contribution         Candidate Name         Office Sought:         House         President         Disbursement         Contribution         Office Sought:         House         President         Disbursement         Contribution         General         President         State:         Disbursement For:         2016         Purpose of Disbursement         Full Name (Last, First, Middle Initial)         B. Devin Nunes Campaign Committee         Mailing Address PO Box 6545         City         Visalia         Purpose of Disbursement         Candidate Name         Nunes, Devin, , ,         Office Sought:         Yesident         Disbursement For:         2016         Candidate Name         Candidate Name         Candidate Name         Candidate Name         Office Sought:<	2016
A. Majority Committee PACMC PAC       Date of Disbursement         Mailing Address 213 Ashby Street       09 ' 23 ' 0         City       State       Zip Code         Alexandria       VA       22305         Purpose of Disbursement       011       Category/         Candidate Name       Disbursement For: 2016       FEC Identification Number         Office Sought:       House       Disbursement For: 2016       Memo Item         State:       District:       Not Applicable       Memo Item         Full Name (Last, First, Middle Initial)       Date of Disbursement       Date of Disbursement         B. Devin Nunes Campaign Committee       011       Memo Item         Mailing Address PO Box 6545       011       Transaction ID : 662644         City       State       Disbursement For: 2016       Memo Item         Purpose of Disbursement       Cadegory/       Transaction ID : 662644         City       State       Zip Code       State         Purpose of Disbursement       Cadegory/       Transaction ID : 662644         City       State       Zip Code       State         Purpose of Disbursement       Cadegory/       Transaction ID : 662644         Candidate Name       Category/       Transaction ID : 662644	2016
City       State       Zip Code       22305         Purpose of Disbursement       Ontibution       O11       Category/         Candidate Name       Disbursement For: 2016       Category/       Transaction ID : B62644         Office Sought:       House       Disbursement For: 2016       Memo Item         State:       District:       Not Applicable       Memo Item         Full Name (Last, First, Middle Initial)       Date of Disbursement       Date of Disbursement         Mailing Address:       PO Box 6545       Other (specify)       FEC Identification Number         City       State       Zip Code       93290       FEC Identification Number         Purpose of Disbursement       Contribution       O11       Category/       Transaction ID : B62644         Mailing Address:       PO Box 6545       City       State       Zip Code       93290         Purpose of Disbursement       Contribution       O11       Category/       Transaction ID : B62642         Candidate Name       Senate       Primary       General       O11       Category/         Office Sought:       House       Disbursement For: 2016       Memo Item       Memo Item	69
Alexandria       VA       22305         Purpose of Disbursement Contribution       011 Category/ Type       011 Category/ Type       C C00428052         Office Sought:       House President       Disbursement For: 2016       C C00428052         State:       Disbursement For: 2016       Memo Item         State:       District:       Not Applicable         Full Name (Last, First, Middle Initial)       B.       Devin Nunes Campaign Committee         Mailing Address PO Box 6545       011 Category/ Yisalia       Date of Disbursement Contribution         City       State       Zip Code 93290       FEC Identification Number         Purpose of Disbursement Contribution       011 Category/ Type       FEC Identification Number         City       State       Zip Code 93290       FEC Identification Number         Office Sought:       Image: Analysis       Anount of Each Disbursement Contribution       011 Category/ Type         Office Sought:       Image: Analysis       Bisbursement For: 2016 Primary       Other (specify)       Memo Item	
Contribution       011       011       Transaction ID : B62644         Candidate Name       Office Sought:       House       Disbursement For: 2016       Amount of Each Disbursement         Office Sought:       House       Disbursement For: 2016       Memo Item         State:       District:       Not Applicable       Memo Item         Full Name (Last, First, Middle Initial)       Devin Nunes Campaign Committee       Date of Disbursement         Mailing Address       PO Box 6545       Disbursement       City         Visalia       State       Zip Code       93290         Purpose of Disbursement       Office Sought:       Y House       Disbursement For: 2016         Office Sought:       Y House       Disbursement For: 2016       Category/ Type         Office Sought:       Y House       Disbursement For: 2016       Memo Item         Office Sought:       Y House       Disbursement For: 2016       Memo Item	
Candidate Name       Category/ Type       Amount of Each Disbursement Type         Office Sought:       House       Disbursement For: 2016         Senate       President       Other (specify)       Memo Item         State:       District:       Not Applicable       Memo Item         Full Name (Last, First, Middle Initial)       Devin Nunes Campaign Committee       Date of Disbursement         Mailing Address       PO Box 6545       Office Sought:       Office Sought:         City       State       Zip Code       State         Purpose of Disbursement       Category/       Y         Candidate Name       Office Sought:       Memo         Visalia       Disbursement For: 2016       Office Sought:         Y       House       Disbursement For: 2016         Office Sought:       President       Disbursement For: 2016         President       Other (specify)       Memo Item	
Office Sought:       House       Disbursement For: 2016         Senate       Primary       General         President       Y       Other (specify)         State:       District:       Not Applicable         Full Name (Last, First, Middle Initial)       Date of Disbursement         B. Devin Nunes Campaign Committee       Date of Disbursement         Mailing Address       PO Box 6545         City       State       Zip Code         Visalia       CA       93290         Purpose of Disbursement       Oth         Contribution       Oth         Candidate Name       Other (specify)         Visalia       Disbursement For: 2016         Senate       Primary       General         Office Sought:       House       Disbursement For: 2016         Senate       Primary       General         Other (specify)       Memo Item	
State:       District:       Not Applicable         Full Name (Last, First, Middle Initial)       B. Devin Nunes Campaign Committee       Date of Disbursement         Mailing Address PO Box 6545       Og 23 / 23 / 2         City       State       Zip Code         Visalia       CA       93290         Purpose of Disbursement       O11         Contribution       O11         Candidate Name       O11         Nunes, Devin, , ,       Disbursement For: 2016         Office Sought:       Y House       Disbursement For: 2016         President       Other (specify)       Memo Item	2500.00
Full Name (Last, First, Middle Initial)       Date of Disbursement         B. Devin Nunes Campaign Committee       Date of Disbursement         Mailing Address PO Box 6545       09 23 23 23 23 23 23 23 23 23 23 23 23 23	
Visalia       CA       93290         Purpose of Disbursement Contribution       011       Cadegory/ Type       011         Candidate Name       Category/ Type       Category/ Type       Category/ Type         Office Sought:       X       House       Disbursement For: 2016         President       Other (specify)       Memo Item	2016
Contribution       011         Candidate Name       011         Candidate Name       Category/ Type         Nunes, Devin, , ,       Disbursement For: 2016         Office Sought:       X         House       Disbursement For: 2016         President       Other (specify)         Memo Item	
Office Sought:     X     House     Disbursement For:     2016       Senate     Primary     X     General       President     Other (specify)     Memo Item	
	2500.00
Full Name (Last, First, Middle Initial)         C. Scott Peters for Congress         Date of Disbursement	YYYY
Mailing Address 412 First Street SE Suite 100	2016
City     State     Zip Code     FEC Identification Number       Washington     DC     20003     FEC Identification Number	
Purpose of Disbursement Contribution 011 C C00503110 Transaction ID : B6264	50
Candidate Name Peters, Scott, , , Category/ Type	
Office Sought:     Image: Weight and the second and the	2000.00
State:       CA       District:       52	
SUBTOTAL of Disbursements This Page (optional)	

	CHEDULE B (FEC Form 3X)	Use sen	arate schedule(s)						:				PAGE	11	16 OF 12
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	y information copied from such Reports and State for commercial purposes, other than using the na														
$\backslash$	NAME OF COMMITTEE (In Full)	-	•												
	Novartis Corporation Political Action	on Comn	nittee												
Α.	Full Name (Last, First, Middle Initial) Walters for Congress						_		_	sburs	-	_			
	Mailing Address P.O. Box 15239						N	09		D	23			201	6
	City Washington	State DC	Zip Code 20003				FE	C Ic	denti	ficatio	on	Num	ber		
	Purpose of Disbursement Contribution			C	011		С	-	1	05468		1.00			
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		ement For: 2	2016 X General				L			7	_		-	50	00.00
	State: CA District: 45	Other (spe	cify) 🔻					Me	emo	Item					
	Full Name (Last, First, Middle Initial)														
Β.	Fearless PAC						Da	ate o	of Di	sburs	en	nent			
	Mailing Address 233 Pennsylvania Ave. SE 2nd.	Flo				09 / D / 23							Y	y 201	6
	City	State	Zip Code				FE	C lo	denti	ficatio	on	Num	ber		
	Washington Purpose of Disbursement	DC	20003	_		_	С	2	C00	)5409	955	5		-	
	Contribution			C	011				1	1		1	62742	4	
	Candidate Name			Cat	egoi ype	-	Ar								nis Period
		ement For:			100		L			,				5	00.00
	President	Primary Other (spe	cify) General												
	State: District:	]	Not Applicable	e				IVIE	emo	Item					
C.	Full Name (Last, First, Middle Initial) Project West PAC						Da	ate o	of Di	sburs	en	nent			
	Mailing Address 1020 N. Fairfax Street - Suite 20						N	09	/	D	27	) /		y 201	Y Y 6
	City Alexandria	State VA	Zip Code 22314				FE	C Ic	denti	ficatio	on	Num	ber		
	Purpose of Disbursement Contribution				)11		С	;	C0	05255	54:	3			
	Candidate Name			Cat	-		Ar						62818 seme		nis Period
	Office Sought: House Disburse	ment For:	2016											10	00.00
	Senate	Primary	General							7					
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s	UBTOTAL of Disbursements This Page (optional).					•	L			-7			7	20	000.00
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SCHEDULE B (FEC Form 3X)		FC	OR LINE	NUMBER:		P	AGE 117 OF 127						
ITEMIZED DISBURSEMENTS	Use separate scheo for each category o	dule(s) (ch	heck only	one)									
	Detailed Summary I		21b 28a	22 28b	× 23 28c	26	27 30b						
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or for commercial purposes, other than using the na													
Novartis Corporation Political Acti	on Committee												
Full Name (Last, First, Middle Initial)													
A. Blue Hen PAC				Date of Disbursement									
Mailing Address P.O. Box 15293				09 23 2016									
City Washington	State Zip Code DC 20003	9		FEC Id	entificatio	on Numbe	ər						
Purpose of Disbursement	20003	_	_	С	C004937	700							
Contribution		0	11			n ID : B62	26473						
Candidate Name			egory/				ement this Period						
Office Sought: House Disburs	ement For: 2016	Ту	/pe				1000.00						
Senate	Primary Gen	neral					1 1 40 1						
President				Me	mo Item								
State: District:	Not Ap	plicable											
Full Name (Last, First, Middle Initial) B. Perdue for Senate				Date of Disbursement									
Mailing Address 104 Hume Avenue				09 23 2016									
City	State Zip Code VA 22301	9		FEC Identification Number									
Alexandria Purpose of Disbursement	VA 22301		_	С	C005475	570							
Contribution		0	11			n ID : B62	26467						
Candidate Name			egory/				ement this Period						
Perdue, David, , , Office Sought: House Disburs	ement For: 2016	Ту	/pe	· · ·			1000.00						
x Senate	Primary X Gen	neral											
President	Other (specify)			Me	mo Item								
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Full Name (Last, First, Middle Initial)				Date of	Disburs	ement							
C. Shimkus for Congress							Y Y Y Y						
Mailing Address 499 South Capitol Street SW Su	ite			09		23	2016						
City	State Zip Code DC 20003	9		FEC Id	entificatio	on Numbe	ər						
Washington Purpose of Disbursement	20003		_	С	C002588	855							
Contribution		0	11			n ID : B6	26457						
Candidate Name			egory/				ement this Period						
Shimkus, John, M, , Office Sought:	ement For: 2016	ly	/pe				1000.00						
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SC	HEDULE B (FEC Form 3X)			FOR LIN	IE NUMBER: PAGE 118 OF 127
ITI	EMIZED DISBURSEMENTS	for each	arate schedule(s) category of the Summary Page	(check c	b 22 X 23 26 27
An or	y information copied from such Reports and State for commercial purposes, other than using the na	ments may	not be sold or us ress of any politic	ed by any po al committee	erson for the purpose of soliciting contributions to solicit contributions from such committee.
$\square$	NAME OF COMMITTEE (In Full)				
	Novartis Corporation Political Action	on Comn	nittee		
-	Full Name (Last, First, Middle Initial) Volunteers for Shimkus				Date of Disbursement
	Mailing Address 499 S. Capitol St. SW Suite 420				09 / 27 / Y Y Y Y 2016
	City Washington	State DC	Zip Code 20003		FEC Identification Number
	Purpose of Disbursement Contribution			011	C C00258855
	Candidate Name			Category/	Amount of Each Disbursement this Period
		ement For: 2		Туре	500.00
	Senate President	Primary Other (spe	<b>x</b> General cify) ▼		Memo Item
	State: IL District: 15 Full Name (Last, First, Middle Initial)				
В.	Boustany For Senate Inc				Date of Disbursement
	Mailing Address 1747 Pennsylvania Ave. NW Sui	ite 1			09 23 2016
	City Washington	State DC	Zip Code 20006		FEC Identification Number
	Purpose of Disbursement Contribution			011	C C00394866
				Category/	Amount of Each Disbursement this Period
	Boustany, Charles, W, , Jr. Office Sought: House Disburse	ement For:	2016	Туре	1000.00
	× Senate	Primary	<b>x</b> General		
	State: LA District:	Other (spe	спу)		Memo Item
	Full Name (Last, First, Middle Initial) Scalise for Congress				Date of Disbursement
	Mailing Address 317 15th Street NE				09 / 23 / Y Y Y Y 2016
	City Washington	State DC	Zip Code 20002		FEC Identification Number
	Purpose of Disbursement Contribution			011	C C00394957
	Candidate Name Scalise, Steve, , ,			Category/ Type	Transaction ID : B626455 Amount of Each Disbursement this Period
	Office Sought: x House Disburse	ement For:		- 7 8 9	1000.00
	State: LA District: 24	Primary Other (spe	<b>≰</b> General cify) ▼		Memo Item
	State: LA District: 01				
s	UBTOTAL of Disbursements This Page (optional).			••••••	2500.00
т	OTAL This Period (last page this line number only	/)		••••••	, ,

S	CHEDULE B (FEC Form 3X)			FOR LINE	NUMBER: PAGE 119 OF 127										
	EMIZED DISBURSEMENTS	for each Detailed	arate schedule(s) category of the Summary Page	(check only 21b 28a	22         X         23         26         27           28b         28c         29         30b										
	y information copied from such Reports and State for commercial purposes, other than using the na														
	NAME OF COMMITTEE (In Full)														
	Novartis Corporation Political Action	on Comn	nittee												
A.	Full Name (Last, First, Middle Initial) Educate and Innovate PAC				Date of Disbursement										
	Mailing Address 303 Massachusetts Ave. NE				09 23 2016										
	City	State DC	Zip Code		FEC Identification Number										
	Washington Purpose of Disbursement Contribution	DC	20002	011	C C00564187										
	Candidate Name			Category/	Transaction ID : B627423 Amount of Each Disbursement this Period										
	Office Sought: House Disburse	ment For: 2	2016	Туре	500.00										
	Senate	Primary Other (spec	General												
	State: District:	Other (spec	Not Applicable	e	Memo Item										
в.	Full Name (Last, First, Middle Initial) Joe Kennedy for Congress				Date of Disbursement										
	Mailing Address 185 Devonshire Street Suite 601			State	State	State		_		09 23 2016					
	City Boston	State MA	Zip Code 02110		FEC Identification Number										
	Purpose of Disbursement Contribution			011	C C00512970										
	Candidate Name			Category/	Amount of Each Disbursement this Period										
		ement For:		Туре	1000.00										
	Senate President	Primary Other (spe	<b>∡</b> General cify)		Memo Item										
_	State: MA District: 04 Full Name (Last, First, Middle Initial)														
C.	Moulton for Congress Committee				Date of Disbursement										
	Mailing Address 410 1st Street SE Suite 310				09 / 23 / Y Y Y Y 2016										
	City Washington	State DC	Zip Code 20003		FEC Identification Number										
	Purpose of Disbursement Contribution			011	C C00547240										
	Candidate Name Moulton, Seth, , ,			Category/ Type	Transaction ID : B627425 Amount of Each Disbursement this Period										
		ment For: 2	2016 X General		500.00										
	State: MA District: 06	Other (spe			Memo Item										
s	UBTOTAL of Disbursements This Page (optional).			····· •	2000.00										
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$\square$	NAME OF COMMITTEE (In Full)											
	Novartis Corporation Political Action	on Comn	nittee									
A.	Full Name (Last, First, Middle Initial) Richard E Neal for Congress Cmte	e					Date o	f Dis	burse		V	Y Y Y
	Mailing Address 76 Magnolia Terrace						09	ĺ	2			2016
	City	State	Zip Code				FEC Id	lentif	icatior	ר Num	ber	
	Springfield Purpose of Disbursement	MA	01108				C	<u></u>	00050	20	_	-
	Contribution			C	011		C		22652			
	Candidate Name			Cate	egor	v/				ID: B Disbui		<b>4</b> nt this Period
	Neal, Richard, E, ,				ype			-				
	Senate	ement For: 2 Primary	X General						,		<u></u>	1000.00
	State: MA District: 01	Other (spe	cify) 🔻				Me	emo	ltem			
-	Full Name (Last, First, Middle Initial)						<b>.</b> .					
в.	Peters for Michigan						Date o					
	Mailing Address 3701 Porter Street NW						м м 09	/	2	3		2016
	City	State	Zip Code				FEC Id	lentif	icatior	n Num	ber	
	Washington	DC	20016				_		-			-
	Purpose of Disbursement Contribution				)11		С	C00	43788	39		
	Candidate Name			la de la compañía de						ID : B		<b>1</b> nt this Period
	Peters, Gary, , ,			Cate T	ype	y/	Amoun		Each	DISDUI	Semer	it this period
		ement For:	2020				L .					1000.00
	x Senate x	-	General						,			
	State: MI District:	Other (spe	cify)				Me	emo	Item			
C.	Full Name (Last, First, Middle Initial) Friends of Erik Paulsen						Date o	f Dis	burse	ment		
	Mailing Address 1006 Pendleton Street						м м 09	/	D 2			2016
	City Alexandria	State VA	Zip Code 22314				FEC Id	lentif	icatior	n Num	ber	
	Purpose of Disbursement Contribution			C	)11	٦	С		43966			
	Candidate Name Paulsen, Erik, , ,			Cate	egor ype	y/				ID : B Disbui		2 nt this Period
		ement For:	2016					_				2500.00
	Senate	Primary	<b>x</b> General						7			
	President	Other (spe	cify) 🔻				Me	emo	Item			
_	State: MN District: 03							-				
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NAME OF COMMITTEE (In Full)	_												
Novartis Corporation Political A	ction Com	mittee											
Full Name (Last, First, Middle Initial) A. Friends of Roy Blunt	Full Name (Last, First, Middle Initial) Friends of Roy Blunt												
Mailing Address 209 Pennsylvania Avenue SE				09 27 Y Y Y Y 2016									
City Washington	State DC	Zip Code 20003		FEC Identification Number									
Purpose of Disbursement Contribution		20003	011	C C00304758									
Candidate Name			011	Transaction ID : B628195									
Blunt, Roy, , ,			Category/ Type	Amount of Each Disbursement this Period									
Office Sought: House Disb	ursement For:	2016 X General		1000.00									
State: MO District:	Other (sp	ecify) ▼		Memo Item									
Full Name (Last, First, Middle Initial)													
B. Montanans for Tester	Date of Disbursement												
Mailing Address 303 Massachusetts Avenue N	09 23 2016												
City Washington	State DC	Zip Code 20002		FEC Identification Number									
Purpose of Disbursement Contribution	Purpose of Disbursement												
Candidate Name			011	Transaction ID : B626461									
Tester, Jon, , ,			Category/ Type	Amount of Each Disbursement this Perio									
	ursement For:			1000.00									
X Senate President	Primary     Other (spi	ecify)											
State: MT District:				Memo Item									
Full Name (Last, First, Middle Initial) C. The Richard Burr Committee													
			Date of Disbursement										
Mailing Address PO Box 5928				09 23 2016									
City Winston-Salem	State NC	Zip Code 27113		FEC Identification Number									
Purpose of Disbursement Contribution				C C00385526									
Candidate Name			011	Transaction ID : B627426									
Burr, Richard, , ,			Category/ Type	Amount of Each Disbursement this Period									
O an ata	ursement For:			1000.00									
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$\setminus$	NAME OF COMMITTEE (In Full)	-											
	Novartis Corporation Political Action	on Comn	nittee										
A.	Full Name (Last, First, Middle Initial) Heidi for Senate	Date of Disbursement											
	Mailing Address 420 C Street NE				09 23 2016								
	City Washington	State DC	Zip Code 20002		FEC Identification Number								
	Purpose of Disbursement Contribution		20002	011	C C00505552								
	Candidate Name				Transaction ID : B627421								
	Heitkamp, Heidi, , ,			Category/ Type	Amount of Each Disbursement this Period								
	Office Sought: House Disburse	ment For: 2 Primary	General		500.00								
	State: ND District:	Other (spe	cify) 🔻		Memo Item								
_	Full Name (Last, First, Middle Initial)												
в.	Friends of Kelly Ayotte	Date of Disbursement											
	Mailing Address 499 S. Capitol St. SW Suite 420	09 23 2016											
	City	State DC	Zip Code 20003		FEC Identification Number								
	Washington Purpose of Disbursement		C C00464297										
	Contribution			011	Transaction ID : B620362								
	Candidate Name			Category/	Amount of Each Disbursement this Period								
	Ayotte, Kelly, , , Office Sought: House Disburse	ment For:	2016	Туре	1000.00								
	X Senate	Primary	General										
	State: NH District:	Other (spec	cify)		Memo Item								
<u>с</u> .	Full Name (Last, First, Middle Initial) Friends of Kelly Ayotte				Date of Disbursement								
	Mailing Address 499 S. Capitol St. SW Suite 420				09 27 2016								
	City Washington	State DC	Zip Code 20003		FEC Identification Number								
	Purpose of Disbursement Contribution	-			C C00464297								
	Candidate Name			011	Transaction ID : B628294								
	Ayotte, Kelly, , ,			Category/ Type	Amount of Each Disbursement this Period								
		ment For: 2	2016		1500.00								
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NAME OF COMMITTEE (In Full)	_	_																
Novartis Corporation Political Acti	on Comr	nittee																
Full Name (Last, First, Middle Initial) A. Bonnie Watson Coleman for Cong	Full Name (Last, First, Middle Initial) Bonnie Watson Coleman for Congress									Date of Disbursement								
Mailing Address 918 Pennsylvania Ave SE						M C	9		23			2016						
City	State	Zip Code				FEC	Ident	ificati	ion	Num	oer							
Washington Purpose of Disbursement	DC	20003			_				-									
Contribution			0	11	11	С	CC	0558	43	7								
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Coleman, Bonnie Watson, , ,				egory/ ype		Ame	uni o	Eac		Jisbur	seme	nt this Pe	nou					
	ement For: Primary	2016 X General				L		-	_			1000.00						
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Full Name (Last, First, Middle Initial)																		
B. Frelinghuysen for Congress						Date	e of D	isbur	sen	nent								
Mailing Address 2308 Mount Vernon Avenue #33	Mailing Address 2308 Mount Vernon Avenue #337							09 / 23 / Y Y Y Y 2016										
City Alexandria	State Zip Code VA 22301							FEC Identification Number										
Purpose of Disbursement Contribution	C	)11	1	С	-	0299 actio	1	4 D : B6	2647	2								
Candidate Name	Category/ Type										-	∠ nt this Pe	riod					
Frelinghuysen, Rodney, P, ,							4000.00											
°	sement For: 2016					1000.00						_						
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State: NJ District: 11						<u> </u>												
Full Name (Last, First, Middle Initial) C. Lance for Congress	Full Name (Last, First, Middle Initial) Lance for Congress						Date of Disbursement											
Mailing Address 1006 Pendleton Street	Mailing Address 1006 Pendleton Street								09 / D D / Y Y Y Y 23 2016									
City	State	Zip Code			+	FFO	Iden	ificati	ion	Num	ner							
Alexandria	VA	22314				1 20		moal	511	Num		_						
Purpose of Disbursement Contribution				11	11	С	CC	0444	22	4								
Candidate Name								Transaction ID : B626454										
Lance, Leonard, , ,	egory/		Amount of Each Disbursement this Period							riod								
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Senate	Primary X General																	
President	Other (specify) ▼						Memo Item											
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	Novartis Corporation Political Action	on Comn	nittee															
Α.	Full Name (Last, First, Middle Initial) Pascrell for Congress						Date o	f Dis										
	Mailing Address 38 lvy Street SE						FEC Identification Number											
	City	State	Zip Code															
	Washington	DC	20003															
	Purpose of Disbursement Contribution			0	011		С	C00	31351	0								
	Candidate Name			<u> </u>						ID : B								
	Pascrell, William, J, , Jr.			Cate Ty	egor ype	y/	Amount of Each Disbursement this Period											
		ment For: 2	2016				500.00											
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	State: NJ District: 09	President Other (specify) ▼ District: 09																
	Full Name (Last, First, Middle Initial)																	
Β.	Martin Heinrich for Senate						Date of	f Dis	burse	ment								
	Mailing Address 220 I Street NE Suite 250								09 / D D / Y Y Y Y 2016									
	City	State	Zip Code				FEC Identification Number											
	Washington	DC	20002															
	Purpose of Disbursement Contribution		C C00434563															
	Candidate Name		011 Category/						ansaction ID : B626460 It of Each Disbursement this Period									
	Heinrich, Martin, T, ,				egor <u>:</u> ype	y/	Amoun	nt this Period										
		rsement For: 2018 X Primary General					·					1000.00						
	× Senate ×																	
	State: NM District:	Other (spec	cify)				Memo Item											
~	Full Name (Last, First, Middle Initial)						<b>D</b> .											
С.	People for Ben						Date o	T DIS										
	Mailing Address PO Box 31129		09 / 26 / Y Y Y Y 2016															
	City Santa Fe	State NM	Zip Code 87594				FEC Id	entifi	catior	n Num	ber							
	Purpose of Disbursement Contribution	<u> </u>		0	)11		С	C00	44368	39								
	Candidate Name				-		Amoun											
	Lujan, Ben, R, ,			egor ype	y/	Amount of Each Disbursement this Period 1500.00												
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Α.	Full Name (Last, First, Middle Initial)		Date of Disbursement														
	Mailing Address 220 I Street NE Suite 250						09	/	2				016	Ŷ			
	City Washington	State DC	Zip Code 20002				FEC Id	entifi	catior	ו N	umbe	ər					
	Purpose of Disbursement Contribution			0	11		С	C00	34860	<b>)</b> 7							
	Candidate Name			Cate		//	Tra Amoun		<b>ction</b> Each					Period			
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В.	Full Name (Last, First, Middle Initial)							Date of Disbursement									
	Mailing Address P.O. Box 636								09 23 2016								
	City Annandale Purpose of Disbursement	State VA	Zip Code 22003				FEC Identification Number										
	Contribution Candidate Name		C C00446906 Transaction ID : B626477 Amount of Each Disbursement this Period														
	Schrader, Kurt, , , Office Sought: x House Disburse	ment For:	/	2500.00													
	Senate President	Primary Other (spec															
	State: OR District: 05				Memo Item												
C.	Full Name (Last, First, Middle Initial) Bob Casey for Senate Inc.							Date of Disbursement									
	Mailing Address 10 G Street NE Suite 470		09 / D D / Y Y Y Y 23 / 2016														
	City Washington	State DC	Zip Code 20002				FEC Identification Number										
	Purpose of Disbursement Contribution	1	C C00431056 Transaction ID : B626475														
	Candidate Name Casey, Bob, , , Jr.	/	Amount of Each Disbursement this Period														
	Office Sought: House Disburse	ment For: 2 Primary Other (spe		2000.00													
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$\square$	NAME OF COMMITTEE (In Full)														
	Novartis Corporation Political Action	on Comn	nittee												
Α.	Full Name (Last, First, Middle Initial) Pat Meehan for Congress						Date of Disbursement								
	Mailing Address 402 A South Capitol Street SE						M         M         /         D         D         /         Y								
	City Washington	State DC	Zip Code 20003				FEC Identification Number								
	Purpose of Disbursement Contribution		20000	(	)11		C C00466870								
	Candidate Name Meehan, Patrick, , ,			Cat	egory	/	Transaction ID : B626468 Amount of Each Disbursement this Period								
		ement For:		I	ype		1000.00								
	President	Primary Other (spe	<b>x</b> General (cify) <b>▼</b>				Memo Item								
	State:         PA         District:         07           Full Name (Last, First, Middle Initial)														
Β.	Gene Green Congressional Campaign						Date of Disbursement								
	Mailing Address PO Box 16128		09 23 2016												
	City Houston	State TX	Zip Code 77222				FEC Identification Number								
	Purpose of Disbursement Contribution		C C00254185												
	Candidate Name			/	Transaction ID : B627422 Amount of Each Disbursement this Period										
	Green, Raymond Gene, , ,				egory ype	, 									
		1	2016				500.00								
	President	Primary Other (spe	<b>x</b> General												
	State: TX District: 29		,,,,				Memo Item								
С.	Full Name (Last, First, Middle Initial) Pete Sessions for Congress						Date of Disbursement								
	Mailing Address PO Box 823047					_	M         M         /         D         /         Y								
	City	State	Zip Code				FEC Identification Number								
	Dallas Purpose of Disbursement	ТХ	75382	_			C C00303305								
	Contribution Candidate Name				011		Transaction ID : B626478								
	Sessions, Pete, A, ,				egory ype	/	Amount of Each Disbursement this Period								
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Novartis Corporation Political Action	on Comn	nittee													
Full Name (Last, First, Middle Initial) A. People for Derek Kilmer						Date of	f Dis	burse	ment						
Mailing Address 412 1st Street SE Suite 100						09	/	23			2016				
City Washington	State DC	Zip Code 20003				FEC Id	entifi	catior	Num	ber					
Purpose of Disbursement Contribution			01	1	1	U		51489	-						
Candidate Name Kilmor, Dorok			Categ		/				ID : B Disbur		nt this Pe	eriod			
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Stoto: WA District: 00	Primary Other (spe	ify) ▼				Me	mo l	tem							
State: WA District: 06 Full Name (Last, First, Middle Initial)						-									
B. People for Patty Murray						Date of	f Dis			Y	Y Y Y	-			
Mailing Address 1602 Belle View Boulevard #510	ss 1602 Belle View Boulevard #510						09 / D D / Y Y Y Y 23 / 2016								
City Alexandria	State Zip Code VA 22307					FEC Identification Number									
Purpose of Disbursement Contribution		1	C C00257642 Transaction ID : B627600 Amount of Each Disbursement this Period 1000.00												
Candidate Name		/													
Murray, Patty, , , Office Sought: House Disburse	ement For:														
× Senate	Primary														
State: WA District:	Other (spe	cify)		Memo Item											
Full Name (Last, First, Middle Initial)						Date of	f Dis	burse	ment						
Mailing Address															
City	State	Zip Code				FEC Id	entifi	catior	Num	ber					
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