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#### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Auth	orized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation	Political Action Commit	ttee	
ADDRESS (number and street)	801 Pennsylvania Ave. NW S	Suite 700	
Check if different than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION N	IUMBER ▼ CITY	<b>₹</b> ▲	STATE ▲ ZIP CODE ▲
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:	Report Due On:	20 (M2) May 20 (M5) 20 (M3) Jun 20 (M6)	(Non-Election Year Only)
April 15 Quarterly Report (		20 (M4) Jul 20 (M7)	General (12G)  Jan 31 (YE)  Runoff (12R)
July 15 Quarterly Report (	PRF-Election	Primary (12P)  Convention (12C)	Special (12S)
October 15 Quarterly Report ( January 31	Floation	M M / D D /	in the State of
Year-End Report ( July 31 Mid-Year Report (Non-electi Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30R) Special (30S)
Termination Repor (TER)	t Election	n on	in the State of
	09 01 2018	through 09	30 2018
I certify that I have examined t	this Report and to the best of r O'Neail, Shawn, , , er	my knowledge and belief it is	true, correct and complete.
Signature of Treasurer	leail, Shawn, , ,	[Electronically Filed]	Date 10 19 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, erro	neous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 09 01 2018 To: 09 30 2018

		COLUMN A This Period	COLUMN B Calendar Year-to-Date			
6.	(a) Cash on Hand January 1, 2018		115984.18			
	(b) Cash on Hand at Beginning of Reporting Period	103043.50				
	(c) Total Receipts (from Line 19)	19978.10	195653.01			
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	123021.60	311637.19			
7.	Total Disbursements (from Line 31)	45015.16	233630.75			
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	78006.44	78006.44			
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00				

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Novartis Corporation Political Action Committee

R	eport Covering the Period: From:	01 / 2018 To:	09 30 2018		
	I. Receipts	I. Receipts COLUMN A Total This Period			
11.	Contributions (other than loans) From:				
	(a) Individuals/Persons Other				
	Than Political Committees	12415.37	90025 52		
	(i) Itemized (use Schedule A)	12413.37	80025.52		
	(ii) Unitemized	7562.73	110627.49		
	(iii) TOTAL (add	4 4	4 4		
	Lines 11(a)(i) and (ii)	19978.10	190653.01		
	(b) Political Party Committees	0.00	0.00		
	(c) Other Political Committees				
	(such as PACs)	0.00	0.00		
	(d) Total Contributions (add Lines				
	11(a)(iii), (b), and (c)) (Carry	10079.10	190653.01		
	Totals to Line 33, page 5)	19978.10	190033.01		
12.	Transfers From Affiliated/Other	0.00	0.00		
	Party Committees	0.00	0.00		
10	All Loans Received	0.00	0.00		
13.	All Loans neceived	49. 49. 49.	4 4		
	Lasa Bararrasata Basairad	0.00	0.00		
	Loan Repayments Received	0.00	0.00		
15.	Offsets To Operating Expenditures (Refunds, Rebates, etc.)				
	(Carry Totals to Line 37, page 5)	0.00	0.00		
16	Refunds of Contributions Made	49.	4 4		
10.	to Federal Candidates and Other				
	Political Committees	0.00	5000.00		
17.	Other Federal Receipts	4 4			
	(Dividends, Interest, etc.)	0.00	0.00		
18.	Transfers from Non-Federal and Levin Funds	4 4	4 4		
	(a) Non-Federal Account				
	(from Schedule H3)	0.00	0.00		
	(b) Levin Funds (from Schedule H5)	0.00	0.00		
	(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
10	Total Descipto (add Lines 11/d)				
19.	Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	19978.10	195653.01		
	12, 10, 14, 10, 10, 17, and 10(C))	19976.10	193033.01		
20	Total Federal Receipts				
_0.	(subtract Line 18(c) from Line 19)	19978.10	195653.01		

#### **DETAILED SUMMARY PAGE**

of Disbursements

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Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B			
	erating Expenditures:	iotai iiiis Feliou	Calendar Year-to-Date			
(a)	Allocated Federal/Non-Federal Activity (from Schedule H4)					
	(i) Federal Share	0.00	0.00			
	(ii) Non-Federal Share	0.00	0.00			
(b)	1 5	45.40	130.75			
(0)	Expenditures	15.16	130.73			
(c)	Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	15.16	130.75			
Tra	ansfers to Affiliated/Other Party	13.10	7			
	mmittees	0.00	0.00			
	ntributions to deral Candidates/Committees	4 4	4 4			
and	d Other Political Committees	19500.00	208000.00			
	lependent Expenditures	1 1 1 1 1 1 1 1 1 1				
(us	se Schedule E)ordinated Party Expenditures	0.00	0.00			
(52	2 U.S.C. § 30116(d))	0.00				
(us	se Schedule F)	0.00	0.00			
Lo	an Repayments Made	0.00	2.22			
LU	an nepaymento made	0.00	0.00			
Loa	ans Made	0.00	0.00			
Re	funds of Contributions To:	0.00	4 4			
(a)	Individuals/Persons Other Than Political Committees	0.00	0.00			
		4 4 4	4 4			
(b)	Political Party Committees	0.00	0.00			
(c)	Other Political Committees	45 45 45	4 4			
	(such as PACs)	0.00	0.00			
(d)						
	(add Lines 28(a), (b), and (c))	0.00	0.00			
Oth	ner Disbursements (Including					
	n-Federal Donations)	25500.00	25500.00			
	,	2000.00	4 4			
	deral Election Activity (52 U.S.C. § 30101(20	0))				
(a)	Allocated Federal Election Activity					
	(from Schedule H6)					
	(i) Federal Share	0.00	0.00			
	(ii) "Levin" Share	0.00	0.00			
(b)		0.00	0.00			
(~)	Entirely With Federal Funds	0.00	0.00			
(c)		0.00	0.00			
	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00			
	,	7 7	7			
Tot	al Disbursements (add Lines 21(c), 22,					
23,	, 24, 25, 26, 27, 28(d), 29 and 30(c))	45015.16	233630.75			
		4 4	4 4			
	al Federal Disbursements					
	btract Line 21(a)(ii) and Line 30(a)(ii)					
Tro	m Line 31)	45015.16	233630.75			

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)	of Disbursements	Page <b>5</b>			
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	19978.10	190653.01			
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00			
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19978.10	190653.01			
66. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	15.16	130.75			
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00			
88. Net Operating Expenditures (subtract Line 37 from Line 36)	15.16	130.75			

Use separate schedule(s) for each category of the

FOR LINE NUMBER:					PAGE		6	OF		138	
(0	(check only one)										
	X	11a		11b		11c		12			
		13		14		15		16			17

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118386 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head REFS Alcon & Head REFS Ameri **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Ackerman Jr., Robert, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191852 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head REFS Alcon & Head REFS Amer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Ammon, Brian, S, , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118387 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Deputy Head NPMR Alcon & SDZ Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 53.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

#### : 97 A = G7 9 @ G5 B9 CI G H9 L H F 9 @ 5 H9 8 HC 5 F 9 DC F H Z G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191853 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Deputy Head NPMR Alcon & SDZ **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118388 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 470.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191854 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 530.76 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the

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(0	(check only one)										
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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118849 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Regional Sales Director Pittsburgh Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Sales Director Pittsburgh Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 277.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Astley, Glenn, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2118850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Product Manager- P2P Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 42.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 138 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Astley, Glenn, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191739 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Product Manager- P2P Marketing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barkhausen, Susana, V, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118857 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL Miami Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 285.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barkhausen, Susana, V., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191745 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Miami Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

FOR LINE NUMBER: PAGE 11 OF 138 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118391 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 875.97 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191857 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 933.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barninger, Michael, C., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118858 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Breast Marketing** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 323.00 Other (specify) 132.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191746 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director Breast Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118859 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 950.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baron, Neilda, A, Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191747 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 117.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118392 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1140.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191858 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **ED State Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1200.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Billings, Michael, J., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118870 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director - Global Onc. Portfolio Comm. Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Billings, Michael, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191759 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director - Global Onc. Portfolio Comm. Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blair, Edward, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118876 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Indication Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blair, Edward, J, Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191765 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Indication Lead Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 43.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Booth, Taylor, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118394 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 673.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Booth, Taylor, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191860 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Fed Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 769.20 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2119089 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 406.36 Other (specify) 213.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 16 OF 138 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2119100 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Mgr Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191783 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118953 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191795 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118395 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Assoc Director State Government Affair Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 655.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 713.52 Other (specify) 165.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Caldwell, Julie, D, , Date of Receipt Mailing Address One Health Plaza Ste 725 2018 City Zip Code State Transaction ID: A2018-2118956 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 546.11 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Caldwell, Julie, D,, Date of Receipt Mailing Address One Health Plaza 2018 Ste 725 City State Zip Code Transaction ID : A2018-2191798 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 603.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Campbell, Kimberley, J., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118811 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Head Oncology Medical Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 140.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Kimberley, J, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191700 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Head Oncology Medical Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carl, Kevin, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118425 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr. Global Program Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carl, Kevin, M., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr. Global Program Head Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 48.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118396 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) US Country Head Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3653.70 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191862 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate US Country Head Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3846.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118397 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1211.00 Other (specify) 480.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191863 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1307.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118438 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1463.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191818 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1540.00 Other (specify) 250.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cohen, Seth, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118401 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Business Insights - Cardiovascular Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 399.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cohen, Seth, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191867 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Business Insights - Cardiovascular Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Colpitts, Scott, G., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118442 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 22.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Head of Facilities & Utility Maint. (A Receipt For: Aggregate Year-to-Date ▼ Primary General 352.00 Other (specify) 64.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

## SCHEDULE A (FEC Form 3X)

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a     11b     11c     12     13     14     15     16     17
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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name of Individual (Last, First, Middle In Colpitts, Scott, G, ,  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer (for Individual)  Novartis Technical Operations  Receipt For:  Primary General Other (specify)	State NJ Zip Code 07936  C  Occupation (for Individual) Head of Facilities & Utility Maint. (A  Aggregate Year-to-Date   374.00	Date of Receipt  M 9 28 2018  Transaction ID : A2018-2191822  Amount of Each Receipt this Period  22.00  Memo Item
Full Name of Individual (Last, First, Middle In Conley, Michael, A, ,  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer (for Individual) Pharma  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation (for Individual) Vice President Trade Ops & Analytics  Aggregate Year-to-Date   438.52	Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name of Individual (Last, First, Middle In Conley, Michael, A, ,  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer (for Individual) Pharma Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C Occupation (for Individual) Vice President Trade Ops & Analytics  Aggregate Year-to-Date  461.60	Date of Receipt  M M M / 28 2018  Transaction ID: A2018-2191823  Amount of Each Receipt this Period  23.08  Memo Item
SUBTOTAL of Receipts This Page (optional)		68.16
TOTAL This Period (last page this line number	only)	

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118402 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1057.65 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Connors, Elenora, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191868 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Fed Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1153.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conoshenti, Joseph, J., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118445 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strat. Market Access RD Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 222.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191825 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strat. Market Access RD Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118403 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 985.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191869 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1043.04 Other (specify) 145.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2018 City Zip Code State Transaction ID: A2018-2118813 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Oncology and Injectable Products Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Coombs, Seth, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2018 City State Zip Code Transaction ID: A2018-2191702 Cambridge MA 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Oncology and Injectable Products Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118462 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 475.00 Other (specify) 117.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Coraggio, Ryan, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191829 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Associate Director Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Corcoran, Mary, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118463 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 279.23 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Corcoran, Mary, E, Date of Receipt Mailing Address One Health Plaza 28 2018 City State Zip Code Transaction ID: A2018-2191830 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 294.23 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Jonra, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191885 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategy & Communications (Ophtha Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cullen, Thomas, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118814 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cullen, Thomas, G, Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID : A2018-2191703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 119.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Daugherty, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118476 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Daugherty, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191889 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Deason, Terry, H, Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2118963 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Director SA Research Networks Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deason, Terry, H,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191898 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Director SA Research Networks Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Degner, Clinton, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118964 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Degner, Clinton, D., Date of Receipt Mailing Address One Health Plaza 28 2018 City State Zip Code Transaction ID: A2018-2191899 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Account Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 43.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 33 OF 138 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Dixon, Dwayne, T,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2119042 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Market Development HQ Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Douglas, Alastair, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2119043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director US Surgical Sales Training Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Douglas, Alastair, M., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191590 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director US Surgical Sales Training** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 73.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Name of Employer (for Individual)

General

Oncology Receipt For:

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SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 34 OF 138 Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Esquea, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2118815 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorSandoz Health Policy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Esquea, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-2191704 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Ewalt, Judith, J., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118985 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee.

219.26

Occupation (for Individual) Sr Oncol Area Sales Manager

Aggregate Year-to-Date ▼

Memo Item

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ewalt, Judith, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191920 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2119044 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head IRIS Bus Process Transformation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 292.22 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fairchild, Michael, E, , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191591 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head IRIS Bus Process Transformation Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 307.60 Other (specify) 42.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118407 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 95.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir Fed Govt Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1220.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 95.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1315.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118987 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Med Comms & FM Capabilities Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) 240.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gorcz, Damon, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2119015 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gorcz, Damon, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191950 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2119017 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr Global Program Safety Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 950.00 Other (specify) 80.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guidi, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2119024 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General 380.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Guidi, Joseph, M,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191959 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director Commercial Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118412 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 307.68 Other (specify) 97.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191644 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 365.37 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2119025 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 570.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191960 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director New Products** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 117.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118414 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191646 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 461.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haberthur, Charles, L, Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2119026 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SPEC - SAN ANTONIO W TX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 237.50 Other (specify) 58.66 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hallen, Paul, R,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2119048 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head VITRet & Glaucoma Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 292.22 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hallen, Paul, R,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191595 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head VITRet & Glaucoma Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 307.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118415 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1463.00 Other (specify) 107.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191647 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1540.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118416 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy&Reimbursem Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1225.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191648 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy&Reimburseme Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1340.00 Other (specify) 307.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herpin, Misty, B,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191982 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hilkert, Robert, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118500 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 438.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hilkert, Robert, J., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191985 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General 461.60 Other (specify) 61.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Holli,,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118418 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 399.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hill, Holli, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191650 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hohenwarter, John, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2118419 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 346.14 Other (specify) 99.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118421 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hughes, Donald, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191653 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 600.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hughes, Gene, M, Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2118511 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 228.00 Other (specify) 72.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Gene, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191996 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118422 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy & Reimburser Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 950.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191654 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy & Reimbursem Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 112.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hyland, Carlos, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118809 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) BPA Head - Sandoz LatAm Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hyland, Carlos, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191698 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. BPA Head - Sandoz LatAm Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 14 2018 City State Zip Code Transaction ID: A2018-2118546 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL - HOUSTON Receipt For: Aggregate Year-to-Date ▼ Primary General 306.85 Other (specify) 46.15 SUBTOTAL of Receipts This Page (optional).....

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#### SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Other (specify) ▼		285.00	
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192019 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kameros, Dean, B,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118424 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head-FF Execution & Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 475.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kameros, Dean, B, Date of Receipt Mailing Address One Health Plaza 28 2018 City State Zip Code Transaction ID: A2018-2191656 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head-FF Execution & Effectiveness Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Karlsons, Erik, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192023 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Sr Area Business Leader - Washing Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 277.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kelson, Carey, P,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191601 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Lead Clinical Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 208.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendrick, Lisa, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118568 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 39.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendrick, Lisa, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192027 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Specialty Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118384 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendris, Thomas, N., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2000.00 Other (specify) 215.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kettler III, Edward, W, , Date of Receipt Mailing Address 6201 South Freeway 2018 City Zip Code State Transaction ID: A2018-2118448 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Domain Architect Generics & Biosimilar **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 399.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kettler III, Edward, W, , Date of Receipt Mailing Address 6201 South Freeway 2018 City State Zip Code Transaction ID: A2018-2191658 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Domain Architect Generics & Biosimilar Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kincaid, Michael, C, Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118571 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 266.76 Other (specify) 56.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118583 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head RA US Head Developmen Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head RA US Head Developmen Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Krayacich, John, M, Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118584 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Head NPC Strategic Plng & BD & Lic Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 122.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Krayacich, John, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192044 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Head NPC Strategic Plng & BD & Li Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kuenzel, Andrea, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118588 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Science Liaison Assoc Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 407.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Kuenzel, Andrea, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2192048 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Science Liaison Assoc Director Receipt For: Aggregate Year-to-Date ▼ Primary General 445.46 Other (specify) 89.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leatherman, Nancy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118603 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Sr Area Business Leader - Denver Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Leatherman, Nancy, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192063 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma NS Sr Area Business Leader - Denver Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118819 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General 288.45 Other (specify) 126.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118619 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head Marketing Value & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 876.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192079 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head Marketing Value & Access Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118775 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) 115.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacKay, Kimberly, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191665 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Legal and Compliance **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Manolios, Frank, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118537 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 285.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Manolios, Frank, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2192101 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 41.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for e Detai Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mc Leer, Arlene, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118345 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mc Leer, Arlene, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192118 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2119066 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 2192.22 Other (specify) 145.38 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nobles, Sharon, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118902 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nobles, Sharon, L,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192159 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 14 2018 City Zip Code State Transaction ID: A2018-2118785 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3284.50 Other (specify) 215.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name O'Neail, Shawn, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2191674 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Head Federal Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3476.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Olmstead, Sharon, N,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118909 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 438.52 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Olmstead, Sharon, N., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2192166 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head RA & Development Policy GDD Receipt For: Aggregate Year-to-Date ▼ Primary General 461.60 Other (specify) 238.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Osten, Craig, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118824 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Vice President CFO North America Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Osten, Craig, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Vice President CFO North America Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 461.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Healthcare Contract Adm Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 438.52 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Oxner, Serafina, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192170 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Healthcare Contract Adm Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 461.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Palumbo, Joseph, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118918 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Associate Director Org Development Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Palumbo, Joseph, S., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2192175 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Org Development Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 46.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Parker, Melissa, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118919 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 263.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Parker, Melissa, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192176 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 277.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Phipps, Candice, C., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118787 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2155.00 Other (specify) 142.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191676 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2270.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phyne, Bethany, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118932 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 7.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 SR SPEC - INDIANAPOLIS N IN Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 200.73 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Phyne, Bethany, , , Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2192189 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 7.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - INDIANAPOLIS N IN Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 208.42 Other (specify) 130.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	nd Statements may not be sold or used by any person the name and address of any political committee to				
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political	Action Committee				
Full Name of Individual (Last, First, Middle Pott, Leslie, , ,	e Initial) or Full Organization Name	Date of Receipt			
Mailing Address One Health Plaza	09 14 2018				
City	State Zip Code	Transaction ID : A2018-2118826			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	50.00			
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) VP Communications	Memo Item			
Receipt For:	Aggregate Year-to-Date ▼				
Primary General Other (specify) ▼	950.00				
Full Name of Individual (Last, First, Middle Pott, Leslie, , ,	e Initial) or Full Organization Name	Date of Receipt			
Mailing Address One Health Plaza		09 28 2018			
City	State Zip Code				
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	50.00			
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) VP Communications	Memo Item			
Receipt For:    Primary   General	Aggregate Year-to-Date ▼				
Other (specify) ▼	1000,00				
Full Name of Individual (Last, First, Middle C. Pyle, Jeremiah, , ,	e Initial) or Full Organization Name	Date of Receipt			
Mailing Address One Health Plaza		09 14 2018			
City	State Zip Code	Transaction ID : A2018-2118627			
East Hanover	NJ 07936	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C	21.00			
Name of Employer (for Individual) Oncology	Occupation (for Individual) Sr. Manager Regional Breast Marketing	Memo Item			
Receipt For:	Aggregate Year-to-Date ▼				
Primary General Other (specify)	399.00				
SUBTOTAL of Receipts This Page (optional	)	121.00			
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robinson, Melissa, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118644 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robinson, Melissa, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192220 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodgers, Renee, C, Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118645 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192221 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Rouyer, Marc, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118367 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 399.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rouyer, Marc, G, Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191833 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 72.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee			
Full Name of Individual (Last, First, Middle I	Date of Receipt			
Mailing Address One Health Plaza	09 14 2018			
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-2118830  Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C	20.00		
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) Dir. US Advocacy	Memo Item		
Receipt For:  Primary General  Other (specify) ▼	Primary General Aggregate Year-10-Date Y			
Full Name of Individual (Last, First, Middle In Ryan, Alan, D, ,  Mailing Address One Health Plaza	nitial) or Full Organization Name	Date of Receipt		
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-2191719  Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C	20.00		
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual) Dir. US Advocacy	Memo Item		
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 400.00			
Full Name of Individual (Last, First, Middle In Rzewnicki, Peter, , ,	nitial) or Full Organization Name	Date of Receipt		
Mailing Address 350 Massachusetts Avenue 350 MA # 234F		09 14 2018		
City Cambridge	State Zip Code MA 02139	Transaction ID : A2018-2118831  Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C	11.54		
Name of Employer (for Individual) Sandoz Inc.	Occupation (for Individual)  Executive Director Digital Franchise	Memo Item		
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 219.26			
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	51.54		
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 234F 2018 City Zip Code State Transaction ID: A2018-2191720 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Digital Franchise** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Saad, Ahmad, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118368 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon **Principal Engineer Test** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 219.26 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Ahmad, M., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191834 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Test Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) 34.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seeland, Stephen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118832 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Oncology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Seeland, Stephen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2191721 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Oncology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Simpson-Hunt, Stephen, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118699 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Development QA Senior GCP Auditor Receipt For: Aggregate Year-to-Date ▼ Primary General 285.00 Other (specify) 38.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118769 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) VP Access Strategy & Commercialization Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1463.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192322 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Access Strategy & Commercialization Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1540.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Voegtli, William, W., Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID : A2018-2118770 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 266.76 Other (specify) 168.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Voegtli, William, W,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192323 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 280.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Walton, Vikki, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2118807 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir State Public Policy & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 399.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Walton, Vikki, D., Date of Receipt Mailing Address One Health Plaza 28 2018 City Zip Code State Transaction ID: A2018-2191696 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State Public Policy & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 56.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 117 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkinson, Erik, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118667 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) NS Exec Sales Specialist - Tucson Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 237.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wilkinson, Erik, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192334 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma NS Exec Sales Specialist - Tucson Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118808 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Federal Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1020.00 Other (specify) 85.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 118 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2191697 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Federal Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1080.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-2118836 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 950.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 28 2018 City Zip Code State Transaction ID: A2018-2191725 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Legal Ops. & Ass. Gen. Counse Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 119 OF 138 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2118675 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Gbl Head Scientific Engagement & Com Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 219.26 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2192342 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Gbl Head Scientific Engagement & Cor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.80 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 14 2018 City Zip Code State Transaction ID: A2018-2118680 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 399.00 Other (specify) 44.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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FOR LINE NUMBER:			PAGE	1	20 O	F	138			
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	X	11a		11b		11c		12		
		13		14		15		16		17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2192347 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 21.00 SUBTOTAL of Receipts This Page (optional)..... 12415.37 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	Llas concrete cohodula(s)	FOR LINE			
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🗶 23 🗍 26 📄 27		
	Detailed Summary Page	28a	28b 28c 29 30b		
Any information copied from such Reports and Statem	nents may not be sold or us	sed by any perso	on for the purpose of soliciting contributions		
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	n Committee				
Full Name (Last, First, Middle Initial)					
A. Anna Eshoo for Congress			Date of Disbursement		
Mailing Address P.O. Box 636			09 20 2018		
,	State Zip Code		FEC Identification Number		
Annandale Purpose of Disbursement	VA 22003		000050475		
Contribution		011	C C00258475		
Candidate Name		Category/	Transaction ID: B703239  Amount of Each Disbursement this Period		
Eshoo, Anna, , ,		Type	2000.00		
	nent For: 2018 Primary		2000.00		
	Other (specify) ▼		Memo Item		
Full Name (Last, First, Middle Initial)					
B. Dr. Raul Ruiz for Congress Cmte			Date of Disbursement		
Mailing Address P.O. Box 15096			09 20 2018		
,	State Zip Code 20003		FEC Identification Number		
Washington Purpose of Disbursement	DC 20003		C C00502575		
Contribution		011	Transaction ID : B703244		
Candidate Name		Category/	Amount of Each Disbursement this Period		
Ruiz, Raul, , , MD  Office Sought:   x   House   Disbursem	nent For: 2018	Туре	1000.00		
	Primary  General		7 7 7		
President	Other (specify)		Memo Item		
State: CA District: 36					
Full Name (Last, First, Middle Initial)			Date of Disbursement		
<sup>C.</sup> Engel for Congress			M M / D D / Y Y Y Y		
Mailing Address 38 Ivy Street SE			09 20 2018		
,	State Zip Code		FEC Identification Number		
Washington Purpose of Disbursement	DC 20003		C C00236513		
Contribution					
Candidate Name		Category/	Transaction ID: B703240  Amount of Each Disbursement this Period		
Engel, Eliot, L, ,  Office Sought: House Disbursem	nent For: 2018	Type	1000.00		
	Primary		100.00		
	Other (specify) ▼		Memo Item		
State: NY District: 16					
SUBTOTAL of Disbursements This Page (optional)		······	4000.00		
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedu	le(s)   (check only	FOR LINE NUMBER: PAGE 122 OF 138 (check only one)			
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Novartis Corporation Political Actio	n Committee					
Full Name (Last, First, Middle Initial)			Date of Disbursement			
A. Friends of John Thune			M M / D D / Y Y Y Y			
Mailing Address PO Box 841			09 20 2018			
City Sioux Falls	State Zip Code SD 57101		FEC Identification Number			
Purpose of Disbursement	3/101		C C00409581			
Contribution		011	Transaction ID : B703236			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Thune, John, , ,  Office Sought: House Disbursen	nent For: 2022	Type	1000.00			
	Primary Gener	ral	1000.00			
President State: SD District:	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)						
Josh Gottheimer for Congress			Date of Disbursement			
Mailling Address 242 D	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
Mailing Address 219 Pennsylvania Ave. SE			09 20 2018			
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003		C C00573040			
Contribution	011	C C00573949				
Candidate Name		Category/	Transaction ID: B703243 Amount of Each Disbursement this Period			
Gottheimer, Josh, , ,		Type	500.00			
	nent For: 2018 Primary 🗶 Gener	ral	500.00			
	Other (specify) Gener	ıaı	п.,			
State: NJ District: 05			Memo Item			
Full Name (Last, First, Middle Initial)			Data of Dishamour			
C. Keystone America PAC			Date of Disbursement			
Mailing Address 750 1st Street NE Suite 1070			09 20 2018			
City	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20002					
Contribution		011	C C00439992			
Candidate Name		Category/ Type	Transaction ID: B703636  Amount of Each Disbursement this Period			
Office Sought: House Disbursen	nent For: 2018	.,,,,,,	1000.00			
	Primary Gener	ral				
	Other (specify) ▼	iaabla	Memo Item			
State: District:	Not Appli	icable				
SUBTOTAL of Disbursements This Page (optional)		·····•	2500.00			
<b>TOTAL</b> This Period (last page this line number only)						

ITEMIZED DISBURSEMENTS	Llos concreto cohodulo(o)		JUMBER:     PAGE 123 OF 138       one)     22     X     23     26     27       28b     28c     29     30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	ne and address of any politica		
Full Name (Last, First, Middle Initial)  A. Montanans for Tester  Mailing Address 1140 3rd Street NE Floor 2			Date of Disbursement  Date of Disbursement  Date of Disbursement  20
Washington Purpose of Disbursement Contribution  Candidate Name  Tester, Jon, , ,  Office Sought: House Disbursem	State Zip Code 20002  nent For: 2018  Primary X General Other (specify)	011 Category/ Type	FEC Identification Number  C C00412304  Transaction ID: B703237  Amount of Each Disbursement this Period  1000.00  Memo Item
Washington Purpose of Disbursement Contribution  Candidate Name  Neal, Richard, E, ,  Office Sought:    X   House   Disbursem	State Zip Code 20003  DC 20003  The primary General Other (specify)	011 Category/ Type	Date of Disbursement  M M J 20 2018  FEC Identification Number  C C00226522  Transaction ID: B703245  Amount of Each Disbursement this Period  2500.00  Memo Item
Alexandria Purpose of Disbursement Contribution Candidate Name  Office Sought: House Disbursem Senate	State Zip Code VI 22314  nent For: 2018 Primary General Other (specify)  Not Applicable	011 Category/ Type	Date of Disbursement  M M / 20 / 2018  FEC Identification Number  C C00343947  Transaction ID: B703247  Amount of Each Disbursement this Period  1000.00  Memo Item
SUBTOTAL of Disbursements This Page (optional)  TOTAL This Period (last page this line number only).			4500.00

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE (check only	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b	22 🗶 23 26 27
	Detailed Summary Fage	28a	28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Actio			
Full Name (Last, First, Middle Initial)  A. People for Derek Kilmer			Date of Disbursement
Mailing Address 412 First Street SE Suite 100			09 20 2018
Washington	State Zip Code 20003		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00514893  Transaction ID : B703246
Candidate Name Kilmer, Derek, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought:    X   House   Disburser	ment For: 2018 Primary		2500.00
State: WA District: 06	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)  B. Robin Kelly for Congress			Date of Disbursement
Mailing Address 413 New Jersey Ave. SE			09 20 2018
City Washington	State Zip Code 20003		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00539866  Transaction ID : B703248
Candidate Name Kelly, Robin, , ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2018 Primary 🗶 General		2500.00
State: IL District: 02	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)  C. Scalise for Congress			Date of Disbursement
Mailing Address 317 15th Street NE			09 20 2018
City Washington Purpose of Disbursement Contribution	State Zip Code DC 20002	011	FEC Identification Number  C C00394957  Transaction ID: B703238
Candidate Name Scalise, Steve, , ,		Category/ Type	Amount of Each Disbursement this Period
Office Sought:    W   House   Disburser	ment For: 2018 Primary   General Other (specify) ▼		1000.00 Memo Item
State: LA District: 01			
SUBTOTAL of Disbursements This Page (optional)		<u> </u>	6000.00
TOTAL This Period (last page this line number only)	)		

Amount of Each Disbursement Contribution State: IN District: 08 Full Name (Last, First, Middle Initial) Full Name (Last, First, Middle Initial) Full Name (Last, First, Sender Disbursement For: 2018 Full Name (Last, First, Middle Initial) Full Nam	SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the		(criedit citiy crie)			
And Coronamerical purposes, other than using the name and address of any political committee  Full Name (Last, First, Middle Initial)  Bucshon for Congress  Mailing Address PO Box 40323  City Washington  Bucshon, Larry, D,  Ciffice Sought:							
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial)  Date of Disbursement  Op 24 2018  FEC Identification Number  Contribution  Candidate Name  Pricesion  State: IN Disinct: 08  Full Name (Last, First, Middle Initial)  City Washington  Category' Type  Disbursement For: 2018  Senate Primary X General Other (specify)  Schrader, Kurt, , , Office Sought: X House President Contribution  Candidate Name  Date of Disbursement the Per 2018  Date of Disbursement the Per 2018  Memo Item  FEC Identification Number  C C0488256  Transaction ID: 8704280  Amount of Each Disbursement the Per 2018  Isonate Disbursement  Other (specify) ▼  Date of Disbursement the Per 2018  Date of Disbursement  Other (specify)  Type  Transaction ID: 8704221  Transaction ID: 8704321  Transaction ID: 87043							
Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial)  Bucshon for Congress  Mailing Address PO Box 40323  City Washington Dc 2016  Purpose of Disbursement Contribution Candidate Name President State: IN District: 08  Full Name (Last, First, Middle Initial)  Kurt Schrader, Kurt, , Office Sought: Y House President State: OR Disbursement Contribution  Candidate Name Vashington District: 08  Full Name (Last, First, Middle Initial)  Feld Name (Last, First, Middle Initial)  State: OR Disbursement  Category/ Purpose of Disbursement  Candidate Name  Category/ Purpose of Disbursement  Category/ Pu			, ,				
Date of Disbursement  City Washington Purpose of Disbursement Contribution Candidate Name Bucshon, Larry, D, Office Sought:  It House Purpose of Disbursement Contribution City Washington District:  Senate Primary Schrader, Kurt, Office Sought:  It House President State: OR Disbursement Contribution  City State Primary Schrader, Kurt, Office Sought: Senate Primary Schrader, Kurt, Office S	Novartis Corporation Political Action	n Committee					
Mailing Address PO Box 40323  City  Mashington  DC  DC  20016  DC  20016  Category/ Type  Category/ Type  Category/ Type  Cover a first street SE  City  Mashington  Conflicts Sought:					Data of Dich	reomant	
Mailing Address PO Box 40323   Og	• Bucshon for Congress						
Washington   DC   20016   Precident   Contribution   Category/ Type   Disbursement   Contribution   Category/ Type   Disbursement   Contribution   Category/ Type   Disbursement   Category	Mailing Address PO Box 40323						
Purpose of Disbursement Contribution Candidate Name Bucshon, Larry, D, Office Sought:	Washington		<del></del>		FEC Identifica	ation Number	
Candidate Name  Bucshon, Larry, D,  Office Sought:	Purpose of Disbursement				C C0046	68256	
Bucshon, Larry, D, ,  Office Sought:				011		ion ID : B704280	
Office Sought:					Amount of Ea	ach Disbursement this Period	
Senate President Other (specify) ▼  Full Name (Last, First, Middle Initial)  Kurt Schrader for Congress  Mailing Address 412 First Street SE Suite 100  City Washington  Purpose of Disbursement Contribution  Candidate Name  Schrader, Kurt, ,  Office Sought: X House President Senate Primary X General Primary X General President State: District: Office Sought: House President Senate President State: District: Office Sought: Senate President Senate Primary X General Primary X General Primary Senate President State: District: Office Sought: Senate President State: Office Sought: Senate President State: Office Sought: Senate President State: Office Sought: Senate President Senate Presiden		nent For: 2018		уре		1500.00	
State: IN District: 08  Full Name (Last, First, Middle Initial)  Kurt Schrader for Congress  Mailing Address 412 First Street SE Suite 100  City Washington Purpose of Disbursement Contribution  Candidate Name Schrader, Kurt, ,  Office Sought: X House President State: OR District: 05  Full Name (Last, First, Middle Initial)  Mailing Address  City State Zip Code DC 20003  FEC Identification Number Category/ Type  Transaction ID: 8704321  Amount of Each Disbursement this Per  Memo Item  Date of Disbursement  Transaction ID: 8704321  Transactio			neral		7 7		
Full Name (Last, First, Middle Initial)  Kurt Schrader for Congress  Mailing Address 412 First Street SE Suite 100  City Washington Purpose of Disbursement Contribution Condidate Name Schrader, Kurt, , ,  Office Sought:  X House President State: OR District: 05  Full Name (Last, First, Middle Initial)  Mailing Address  City  State  City  State  City  State  City  State  City  State: OR District: 05  Furpose of Disbursement  Category/ Type  Office Sought:  Mailing Address  City  Furpose of Disbursement  Category/ Type  Office Sought:  Mailing Address  City  State  City  Amount of Each Disbursement this Per  City  City  Amount of Each Disbursement this Per  City  City  Amount of Each Disbursement this Per  City		Other (specify) ▼			Memo Ite	em	
Mailing Address 412 First Street SE Suite 100  City Washington Purpose of Disbursement Contribution Candidate Name Schrader, Kurt, ,  Office Sought:  Variety Purpose of Disbursement City Mailing Address  City  Mailing Address  City  Purpose of Disbursement Contribution State:  OR  Disbursement For: 2018 Primary  Category/ Type  Other (specify)  Date of Disbursement  Category/ Type  Memo Item  Date of Disbursement this Per  Category/ Type  Tesident  Date of Disbursement  Category/ Type  Tesident  Date of Disbursement  Category/ Type  Tesident  Tes							
Mailing Address 412 First Street SE Suite 100  City Washington Purpose of Disbursement Contribution Candidate Name Schrader, Kurt, , Office Sought:	3. Kurt Schrader for Congress						
Washington Purpose of Disbursement Contribution  Candidate Name Schrader, Kurt, ,  Office Sought:	Mailing Address 412 First Street SE Suite 100						
Washington Purpose of Disbursement Contribution  Candidate Name Schrader, Kurt, , Office Sought:	•	'	e		FEC Identifica	ation Number	
Contribution  Candidate Name  Schrader, Kurt, , ,  Office Sought:		DC 20003	<u> </u>		1.1		
Candidate Name  Schrader, Kurt, ,  Office Sought:				011	Transaction ID : B704321		
Schrader, Kurt, , , Office Sought:			Cat	egory/			
State: OR District: 05  Full Name (Last, First, Middle Initial)  Mailing Address  City State Zip Code FEC Identification Number  Candidate Name Category/ Type  Office Sought: House Primary General Other (specify)  State: District: Memo Item  Date of Disbursement  Category/ Type  Amount of Each Disbursement this Per Senate Primary General Other (specify) Memo Item  State: District: Memo Item							
State: OR District: 05  Full Name (Last, First, Middle Initial)  Mailing Address  City State Zip Code Purpose of Disbursement  Candidate Name Category/ Type  Office Sought: House Primary General Other (specify) Memo Item  State: District: Memo Item  Date of Disbursement  Category/ Type  Amount of Each Disbursement this Per Memo Item  State: District: Memo Item  Amount of Each Disbursement this Per Memo Item  2500.00						1000.00	
State: OR District: 05  Full Name (Last, First, Middle Initial)  Mailing Address  City State Zip Code FEC Identification Number  Purpose of Disbursement  Candidate Name Category/ Type  Office Sought: House Disbursement For:  Senate Primary General Other (specify)  State: District:  Substotal of Disbursements This Page (optional)		, ,	neral				
Mailing Address  City		Canon (openiny)			Memo Ite	em	
Mailing Address  City State Zip Code FEC Identification Number  Candidate Name Category/ Type  Office Sought: House Senate Primary General Other (specify) ▼  Subtrotal of Disbursements This Page (optional) Memo Item  MM	Full Name (Last, First, Middle Initial)				Date of Dish	ursement	
Mailing Address  City							
Purpose of Disbursement  Candidate Name  Category/ Type  Office Sought: House Disbursement For: Senate Primary General President Other (specify)   State: District:  Substruct: Memo Item	Mailing Address						
Candidate Name  Category/ Type  Office Sought: House Senate Primary General President Other (specify) ▼  Substruct: Memo Item  2500.00	City	State Zip Code	Э		FEC Identifica	ation Number	
Office Sought: House Disbursement For: Senate Primary General President Other (specify)   State: District:  Substitution Each Disbursement this Ferman Substitution of Each Disbursement this Ferman Substitut	Purpose of Disbursement			-	С		
Office Sought: House Disbursement For: Senate Primary General President Other (specify) ▼  SUBTOTAL of Disbursements This Page (optional)	Candidate Name				Amount of Ea	ach Disbursement this Period	
State: District: Other (specify)   SUBTOTAL of Disbursements This Page (optional)	Office Sought: House Disbursem	nent For:	I			4 4 4	
State: District: Memo Item  SUBTOTAL of Disbursements This Page (optional)			neral				
SUBTOTAL of Disbursements This Page (optional)		Other (specify) ▼			Memo Ite	em	
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SCHEDULE B (FEC Form 3X)	11	, FOR LINE	NUMBER: PAGE 126 OF 138
TEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orlook orling	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Statem	nents may not be sold or i		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			
<sup>A.</sup> Phil Berger Campaign			Date of Disbursement
Mailing Address P.O. Box 1309			09 21 2018
	1		
City S Eden	State Zip Code NC 27289		FEC Identification Number
Purpose of Disbursement	27209		С
G-2018 State Senate 30 NC		011	Transaction ID : B703254
Candidate Name		Category/	Amount of Each Disbursement this Period
Berger, Phillip, , ,		Type	1000.00
	nent For: 2018  Primary		1000.00
	Primary		П.,
State: NC District: 30	(-		Memo Item
Full Name (Last, First, Middle Initial)			
B. Chaudhuri for New North Carolina			Date of Disbursement
Mailing Address 404 F 44 11 Oc O 11 0000			M M / D D / Y Y Y Y
Mailing Address 434 Fayetteville St. Suite 2020			09 21 2018
,	State Zip Code		FEC Identification Number
Raleigh Purpose of Disbursement	NC 27601		0
G-2018 State Senate 16 NC		011	C
Candidate Name		Category/	Transaction ID : B703635  Amount of Each Disbursement this Period
Chaudhuri, Jay, , ,		Type	
	ment For: 2018		1000.00
	Primary General Other (specify)		
State: NC District: 16	Other (opcomy)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Josh Dobson for NC House Cmte			Date of Disbursement
Mailing Address CAS Courth County Board			09 21 2018
Mailing Address 649 South Creek Road			09 21 2010
City	State Zip Code		FEC Identification Number
Nebo Purpose of Disbursement	NC 28761		
G-2018 State House 85 NC		011	C
Candidate Name		Category/	Transaction ID: B703259  Amount of Each Disbursement this Period
Dobson, Josh, , ,		Type	
	ment For: 2018		1000.00
	Primary		
State: NC District: 85	Calor (opcony) ▼		Memo Item
<u>'</u>			
SUBTOTAL of Disbursements This Page (optional)		······	3000.00
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SCHEDULE B (FEC Form 3X)	Lloo concrete col	andula(a)	FOR LINE N	IUMBER:	PAGE 127 OF 138	
ITEMIZED DISBURSEMENTS	Use separate sch for each category		(check only 21b	one) 22 23	26 27	
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or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)						
A. Dollar for House				Date of Disburse	ment	
Mailing Address P.O. Box 1352				09 / 2	2018	
City	State Zip Co	ode		FEC Identification	n Number	
Cary	NC 2751	2			i Number	
Purpose of Disbursement G-2018 State House 36 NC			011	C		
Candidate Name					ID : B703257	
Dollar, Nelson, , ,			Category/ Type	Amount of Each	Disbursement this Period	
	nent For: 2018		.,,,,,		1000.00	
Senate	Primary X	General				
	Other (specify) ▼			Memo Item		
State: NC District: 36  Full Name (Last, First, Middle Initial)						
B. Jean Farmer-Butterfield Campaign				Date of Disburse	ement	
Jean ranner-butterneid Campaign					D / Y Y Y Y	
Mailing Address 434 Fayetteville St. Suite 2020				09 2	2018	
,	State Zip Co			FEC Identification	n Number	
Raleigh Purpose of Disbursement	NC 2760	)1				
G-2018 State House 24 NC		- 1	011	C		
Candidate Name			Category/		ID: B703262 Disbursement this Period	
Farmer-Butterfield, Jean, , ,			Type	Amount of Edon	Dissurdernent triis i criod	
	nent For: 2018	·			1000.00	
	, ,,,	General				
State: NC District: 24	Other (specify)			Memo Item		
Full Name (Last, First, Middle Initial)						
C. Rick Horner for NC Senate				Date of Disburse	ement	
				M   M / D		
Mailing Address P.O. Box 8144				09 2	2018	
City	State Zip Co	ode		FEC Identification	n Number	
Wilson	NC 2789	06		I LO Identification	i Number	
Purpose of Disbursement G-2018 State Senate 11 NC			044			
Candidate Name			011		ID : B703252	
Horner, Rick, , ,			Category/ Type	Amount of Each	Disbursement this Period	
	nent For: 2018		- 7100		1000.00	
<b>✗</b> Senate	Primary 🗶 G	General		7	7	
	Other (specify) ▼			Memo Item		
State: NC District: 11						
SUBTOTAL of Disbursements This Page (optional)			·····	45	3000.00	
TOTAL This Period (last page this line number only).						

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 128 OF 138
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(orlook orliy	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
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or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			
A. Darren Jackson for NC House			Date of Disbursement
Mailing Address 5530 Munford Road Suite 105			09 21 7 2018
City	State Zip Code		FEO. I
Raleigh	NC 27612		FEC Identification Number
Purpose of Disbursement G-2018 State House 39 NC	·	100	
		011	Transaction ID : B703263
Candidate Name  Jackson, Darren, , ,		Category/	Amount of Each Disbursement this Period
	nent For: 2018	Туре	1000.00
	Primary		
	Other (specify) ▼		Memo Item
State: NC District: 39			
Full Name (Last, First, Middle Initial)			
B. Joyce Krawiec for State Senate			Date of Disbursement
Mailing Address 7030 Interlaken Drive			09 21 2018
Mailing Address 7030 Interlaken Drive			09 21 2018
,	State Zip Code		FEC Identification Number
Kernersville Purpose of Disbursement	NC 27284		
G-2018 State Senate 31 NC		011	C
Candidate Name			Transaction ID : B703250
Krawiec, Joyce, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018		1000.00
	Primary General		
	Other (specify)		Memo Item
State: NC District: 31			
Full Name (Last, First, Middle Initial)	h		Date of Disbursement
Committee to Elect Donny Lambeth	11		M M / D D / Y Y Y Y
Mailing Address 4627 S. Main Street			09 21 2018
City	State Zip Code		FEC Identification Number
Winston Salem	NC 27127		
Purpose of Disbursement G-2018 State House 75 NC		011	C
Candidate Name			Transaction ID : B703261
Lambeth, Donny, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018	. , , , ,	1000.00
	Primary General		7 7 7
	Other (specify) ▼		Memo Item
State: NC District: 75			ш
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SCHEDULE B (FEC Form 3X)			FOR LINE	NUMBER:	PAG	E 129 OF 138		
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		ategory of the Summary Page	21b	22	23 26	27		
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NAME OF COMMITTEE (In Full)								
Novartis Corporation Political Actio	n Comm	ittee						
Full Name (Last, First, Middle Initial)								
A. Committee to Elect David Lewis				Date of Di	isbursement	YYYY		
Mailing Address P.O. Box 1826				09	21	2018		
,	State NC	Zip Code		FEC Ident	ification Number			
Dunn Purpose of Disbursement	INC	28335						
G-2018 State House 53 NC			011	C				
Candidate Name					action ID : B7032 Each Disbursem			
Lewis, David, L, ,			Category/ Type	Amount of	Lacii Disbuiseiii	ent this renou		
	nent For: 20	018				1000.00		
Senate	Primary	<b>✗</b> General			7			
State: NC District: 53	Other (speci	fy) 🔻		Memo	Item			
Full Name (Last, First, Middle Initial)								
B. Friends of Dr. Greg Murphy				Date of Di	isbursement	- Y - Y - Y		
Mailing Address P.O. Box 562	ing Address P.O. Box 562					2018		
,	State	Zip Code		FEC Ident	ification Number			
Greenville Purpose of Disbursement	NC	27835						
G-2018 State House 9 NC			011	C				
Candidate Name					action ID : B7032			
Murphy, Gregory, , ,			Category/ Type	Amount of Each Disbursement this Per				
	nent For: 20	 018	71-	1		1000.00		
Senate	Primary	<b>✗</b> General			7-1-1-1	4		
President	Other (speci	fy)		Memo	Item			
State: NC District: 09				<u> </u>				
Full Name (Last, First, Middle Initial)				D-4 ( D)	!			
C. Donna White for NC House					isbursement			
Mailing Address P.O. Box 1351				09	21	2018		
City	State	Zip Code			ifi a a ti a sa Ni sua la a su			
Clayton	NC	27528		rec identi	ification Number			
Purpose of Disbursement G-2018 State House 26 NC				C				
Candidate Name			011	Trans	action ID : B7032	255		
White, Donna, M, ,			Category/	Amount of	f Each Disbursem	ent this Period		
	nent For: 20	118	Туре			1000.00		
	Primary	General			7	45.		
	Other (speci	••		Memo	ltem			
State: NC District: 26				ivieiilo				
SUBTOTAL of Disbursements This Page (optional)					T   T	3000.00		
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SCHEDULE B (FEC Form 3X)	Llas samarata ashadula/a	, FOR LINE	NUMBER: PAGE 130 OF 138				
TEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only					
	Detailed Summary Page	28a	22 23 26 27 28b 28c <b>x</b> 29 30b				
Any information copied from such Reports and Statem	l nents may not be sold or u	sed by any nerso					
or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	n Committee						
<u>'</u>							
Full Name (Last, First, Middle Initial)			Date of Disbursement				
A. The Cmte to Elect Mike Woodard							
Mailing Address 732 9th Street			09 21 2018				
City	State Zip Code		FEC Identification Number				
2 44	NC 27705						
Purpose of Disbursement G-2018 State Senate 22 NC		011	C				
Candidate Name		Category/	Transaction ID: B703256  Amount of Each Disbursement this Period				
Woodard, Mike, , ,		Type	Amount of Each disbursement this Fellou				
	nent For: 2018		1000.00				
	Primary General						
State: NC District: 22	Other (specify) ▼		Memo Item				
Full Name (Last, First, Middle Initial)							
B. Friends of Nickie J. Antonio			Date of Disbursement				
Therias of Money 6.7 whome			M = M / D = D / Y = Y = Y				
Mailing Address 1305 Belle Avenue			09 21 2018				
,	State Zip Code		FEC Identification Number				
Lakewood Purpose of Disbursement	OH 44107		0				
G-2018 State Senate 23 OH		011	C				
Candidate Name		Category/	Transaction ID: B703626  Amount of Each Disbursement this Period				
Antonio, Nickie, , ,		Type	Amount of Each Biobarcoment this Felica				
	nent For: 2018		500.00				
	Primary General						
State: OH District: 23	Other (specify)		Memo Item				
Full Name (Last, First, Middle Initial)							
C. Citizens for Blessing			Date of Disbursement				
			M M / D D / Y Y Y Y				
Mailing Address 3378 Dolomar Drive			09 21 2018				
City	State Zip Code		FFO Islandification Number				
Cincinnati	OH 45239		FEC Identification Number				
Purpose of Disbursement G-2018 State House 29 OH	•						
Candidate Name		011	Transaction ID : B703628				
Blessing, Louis, , , Jr.		Category/ Type	Amount of Each Disbursement this Period				
	nent For: 2018	Туре	500.00				
	Primary General		4 4				
President	Other (specify) ▼		Memo Item				
State: OH District: 29			ш				
SUBTOTAL of Disbursements This Page (optional)							
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SCHEDULE B (FEC Form 3X)			FOR LINE N	IUMBER:	PAGE 131 OF 138
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or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	n Committee	)			
Full Name (Last, First, Middle Initial)					
A. Friends of Jonathan Dever				Date of Disburser	ment
Mailing Address 255 E. 5th St. Suite 1900				09 21	
City	State Zip C	Code		FEC Identification	Number
	OH 452	02			Number
Purpose of Disbursement G-2018 State House 28 OH		l l r	011	C	
Candidate Name				Transaction I	
Dever, Jonathan, , ,		'	Category/ Type	Amount of Each L	Disbursement this Period
	nent For: 2018		71-		500.00
Senate	Primary <b>x</b>	General			
	Other (specify)	7		Memo Item	
State: OH District: 28					
Full Name (Last, First, Middle Initial)  B. Friends of Jay Edwards				Date of Disburser	ment
- Therias of Jay Lawards				M M / D	
Mailing Address 35950 Union Ridge Road	_			09 21	2018
,	State Zip (			FEC Identification	Number
Albany Purpose of Disbursement	OH 457	10			
G-2018 State House 94 OH		- 1	011	C	
Candidate Name			Category/	Transaction I Amount of Each [	D: B703624 Disbursement this Period
Edwards, Jay, , ,			Type		
	nent For: 2018				500.00
	Primary X Other (specify)	General		_	
State: OH District: 94	Other (specify)			Memo Item	
Full Name (Last, First, Middle Initial)					
C. Citizens for Gavarone				Date of Disburser	ment
				M M / D	
Mailing Address 1537 Cedar Lane				09 21	2018
City	State Zip (	Code		FEC Identification	Number
Bowling Green	OH 434	102		TEO Identification	Number
Purpose of Disbursement G-2018 State House 03 OH		l l r	011	C	
Candidate Name			011	Transaction I	
Gavarone, Theresa, , ,		'	Category/ Type	Amount of Each I	Disbursement this Period
	nent For: 2018		71		500.00
	,	General			,
	Other (specify)	7		Memo Item	
State: OH District: 03					
SUBTOTAL of Disbursements This Page (optional)				7	1500.00
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SCHEDULE B (FEC Form 3X)		-4	FOR LINE 1	NUMBER:	PAGE 132 OF 138
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		ummary Page	21b 28a	22 28b	23 26 27 28c <b>x</b> 29 30b
Any information copied from such Reports and Staten	nents may no	nt he sold or use			
or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Actio	n Comm	ittee			
/					
Full Name (Last, First, Middle Initial)  A. Steve Huffman for State Rep.				Date of Dis	bursement
Steve Humman for State Kep.				M M /	D D / Y Y Y Y
Mailing Address PO Box 739				09	21 2018
	Г				
City STROY	State OH	Zip Code 45373		FEC Identifi	ication Number
Purpose of Disbursement	OH	45575		С	
G-2018 State House 80 OH			011		otion ID - B702622
Candidate Name			Category/		ction ID: B703623 Each Disbursement this Period
Huffman, Stephen, , ,			Type		500.00
	ment For: 20				500.00
	Primary Other (speci	General			
State: OH District: 80	Other (open	·y) <b>\</b>		Memo I	Item
Full Name (Last, First, Middle Initial)					
B. Friends of Derek Merrin				Date of Dis	bursement
				M = M /	D D / Y Y Y Y
Mailing Address P.O. Box 75				09	21 2018
City	State	Zip Code			
Monclova	ОН	43524		FEC Identifi	ication Number
Purpose of Disbursement G-2018 State House 47 OH			044		
Candidate Name			011		ction ID : B703631
Merrin, Derek, , ,			Category/ Type	Amount of I	Each Disbursement this Period
	nent For: 20		Турс		500.00
· ·	Primary	<b>✗</b> General			4- 4-
President	Other (speci	fy)		Memo I	ltem
State: OH District: 47				ш	
Full Name (Last, First, Middle Initial)				Date of Dis	huraamant
C. Citizens for Obhof				Date of Dis	D D / Y Y Y Y
Mailing Address 88 E. Broad St. Suite 1650				09	21 2018
City Columbus	State OH	Zip Code 43215		FEC Identifi	ication Number
Purpose of Disbursement	011	43213		С	
P-2020 State Senate 22 OH			011		ction ID : B703632
Candidate Name			Category/		Each Disbursement this Period
Obhof, Larry, , ,			Туре		500.00
Conoto	nent For: 20 Primary	020 General			300.00
Y Senate X President	Other (speci			NA:	ltam
State: OH District: 22	<b>(1</b>	•		Memo I	item
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SUBTOTAL of Disbursements This Page (optional)					1500.00
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<b>TOTAL</b> This Period (last page this line number only)					

SCHEDULE B (FEC Form 3X)	Lies sements ashedule(s)	FOR LINE	NUMBER: PAGE 133 OF 138
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	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Statem	nents may not be sold or u		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			
A. Oelslager for Ohio Committee			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 6706 Lake Cable Ave. NW			09 21 2018
,	State Zip Code		FEC Identification Number
riorin camen	OH 44720		
Purpose of Disbursement G-2018 State Senate 29 OH		011	C
Candidate Name		Category/	Transaction ID: B703629  Amount of Each Disbursement this Period
Oelslager, Scott, W, ,		Type	
	nent For: 2018		500.00
	Primary <b>x</b> General Other (specify) ▼		
State: OH District: 29	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
B. Seitz for State Representative			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address 4401 Abby Court			09 21 2018
,	State Zip Code		FEC Identification Number
Cincinnati Purpose of Disbursement	OH 45248		
G-2018 State House 30 OH		011	C
Candidate Name		Category/	Transaction ID: B703621  Amount of Each Disbursement this Period
Seitz, Bill, , ,		Type	500.00
	nent For: 2018 Primary 🗶 General		500.00
	Other (specify) General		П
State: OH District: 30	77		Memo Item
Full Name (Last, First, Middle Initial)			
<sup>C.</sup> Emilia Sykes Campaign			Date of Disbursement
Mailing Address 109 North Howard St. Unit A			09 21 2018
,	State Zip Code OH 44308		FEC Identification Number
Akron Purpose of Disbursement	OH 44308		C
G-2018 State House 34 OH		011	Transaction ID : B703630
Candidate Name		Category/	Amount of Each Disbursement this Period
Sykes, Emilia, , ,  Office Sought: House Disbursem	nent For: 2018	Type	500.00
	Primary General		500.00
	Other (specify) ▼		Memo Item
State: OH District: 34			Mono Rom
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<u> </u>				
Full Name (Last, First, Middle Initial)  A. Friends of Lou Terhar  Mailing Address 5595 Boomer Rd.				Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City Cincinnati	State OH	Zip Code 45247		FEC Identification Number
Purpose of Disbursement P-2020 State Senate 8 OH			011	C Transaction ID : B703633
Candidate Name  Terhar, Lou, , ,  Office Sought:  House Senate President  Disburser	ment For: 2 Primary Other (spec	General	Category/ Type	Amount of Each Disbursement this Period  500.00  Memo Item
State: OH District: 08  Full Name (Last, First, Middle Initial)  B. Brinkman Campaign Committee  Mailing Address 3215 Hardisty Ave.				Date of Disbursement  09 21 2018
	State OH	Zip Code 45208	011	FEC Identification Number
Candidate Name  Tom, Brinkman, , ,  Office Sought:  Senate President  State: OH  District: 27	ment For: 2 Primary Other (spec	<b>✗</b> General	Category/ Type	Transaction ID: B703634 Amount of Each Disbursement this Period 500.00  Memo Item
Full Name (Last, First, Middle Initial)  - PA Senate Republican Campaign	Cmte			Date of Disbursement
Mailing Address P.O. Box 792  City	State	Zip Code		09 21 2018
Harrisburg Purpose of Disbursement State Party Cmte Candidate Name	PA	17108	011 Category/ Type	FEC Identification Number  C  Transaction ID: B703618  Amount of Each Disbursement this Period
Office Sought:    House   Disburser	ment For: 2 Primary Other (spec	General		1000.00  Memo Item
SUBTOTAL of Disbursements This Page (optional)			······	2000.00

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SCHEDULE B (FEC Form 3X)	Llea caparata cabadula/a	FOR LINE	
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the Detailed Summary Page	(check only 21b 28a	/ one) 22 23 26 27 28b 28c <b>x</b> 29 30b
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NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Baker for Senate Cmte			M M / D D / Y Y Y Y
Mailing Address P.O. Box 59			09 21 2018
City Lehman	State Zip Code PA 18627		FEC Identification Number
Purpose of Disbursement	10021		C
G-2018 State Senate 20 PA		011	Transaction ID : B703607
Candidate Name		Category/	Amount of Each Disbursement this Period
Baker, Lisa, , ,		Type	
	ement For: 2018		500.00
Senate President	Primary <b>x</b> General Other (specify) ▼		п.,
State: PA District: 20			Memo Item
Full Name (Last, First, Middle Initial)			
3. People to Elect Brooks			Date of Disbursement
Mailing Address P.O. Box 792			09 21 2018
City	State Zip Code		FEC Identification Number
Harrisburg	PA 17108		
Purpose of Disbursement G-2018 State Senate 50 PA		011	C
Candidate Name		Catagony	Transaction ID : B703611 Amount of Each Disbursement this Period
Brooks, Michele, , ,		Category/ Type	Amount of Each disbursement this Feriod
	ement For: 2018	1	500.00
x Senate	Primary <b>x</b> General		
President State: PA District: 50	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
Citizens for Patrick Browne			M M / D D / Y Y Y Y
Mailing Address P.O. Box 792			09 21 2018
City	State Zip Code		FEC Identification Number
Harrisburg	PA 17108		
Purpose of Disbursement G-2018 State Senate 16 PA  011			C
Candidate Name			Transaction ID : B703616  Amount of Each Disbursement this Period
Browne, Patrick, M, ,		Category/ Type	, another the bisbursoment this relieu
	ement For: 2018	<u>'</u>	500.00
x Senate	Primary General		
President President	Other (specify) ▼		Memo Item
State: PA District: 16			
SUBTOTAL of Disbursements This Page (optional).		·····	1500.00
TOTAL TIL D. 1.4			
TOTAL This Period (last page this line number only	/)		

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUM (check only one 21b 28a	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)  A. Friends of Jake Corman  Mailing Address P.O. Box 421			Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Bellefonte Purpose of Disbursement G-2018 State Senate 34 PA  Candidate Name  Corman, Jacob, D, ,  Office Sought:  House Senate President	PA Zip Code 16823  nent For: 2018 Primary  General Other (specify)	011	Transaction ID: B703613 mount of Each Disbursement this Period 500.00  Memo Item
State: PA District: 34  Full Name (Last, First, Middle Initial)  B. Friends of Bryan Cutler  Mailing Address P.O. Box 7365			pate of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Steelton Purpose of Disbursement G-2018 State House 100 PA  Candidate Name  Cutler, Bryan, ,,  Office Sought:	State Zip Code 17113  nent For: 2018 Primary X General Other (specify)	011	Transaction ID: B703606 mount of Each Disbursement this Period 500.00  Memo Item
Full Name (Last, First, Middle Initial)  C. Friends of Longietti  Mailing Address 3660 Clearview Drive		_	Date of Disbursement  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Hermitage Purpose of Disbursement G-2018 State House 7th PA  Candidate Name  Longietti, Mark, , ,  Office Sought:     Mark   Mark   Disbursem   Senate   Mark   Disbursem   Mark   Mark   Disbursem   Mark   Mark   Mark   Disbursem   Mark   Mark   Disbursem   Mark   Mark	PA Zip Code 16148  nent For: 2018 Primary  General Other (specify)	011	Transaction ID: B703614 mount of Each Disbursement this Period 500.00  Memo Item
SUBTOTAL of Disbursements This Page (optional)			1500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s	FOR LINE (check only	
	for each category of the Detailed Summary Page	21b 28a	22 23 26 27 28b 28c <b>x</b> 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	and the second of any point		23. 23. 23. 23. 23. 33. 33. 33. 33. 33.
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial)			D. (D.)
A. Kathy Rapp for Representative			Date of Disbursement
Mailing Address 3780 Follett Run Road			09 21 2018
Warren	State Zip Code PA 16365		FEC Identification Number
Purpose of Disbursement G-2018 State House 65 PA		011	C Proposition ID - P703040
Candidate Name		Category/	Transaction ID: B703610  Amount of Each Disbursement this Period
Rapp, Kathy, , ,		Туре	500.00
	nent For: 2018 Primary <b>x</b> General		300.00
President State: PA District: 65	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
3. Citizens for Saylor			Date of Disbursement
Mailing Address 2601 North Front St. Suite 101			09 21 2018
,	State Zip Code		FEC Identification Number
Harrisburg Purpose of Disbursement	PA 17110		
G-2018 State House 94 PA		011	C Transposition ID + B703645
Candidate Name		Category/	Transaction ID: B703615  Amount of Each Disbursement this Period
Saylor, Stanley, , ,	To the second se	Type	500.00
	ment For: 2018 Primary <b>x</b> General		500.00
	Other (specify)		Maria lari
State: PA District: 94			Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Friends of Joe Scarnati			Mam / Dab / Yayayay
Mailing Address PO Box 177			09 21 2018
City	State Zip Code		FEC Identification Number
Brockway Purpose of Disbursement	PA 15824		
P-2020 State Senate 25 PA		011	C Transaction ID : B703617
Candidate Name		Category/	Amount of Each Disbursement this Period
Scarnati, Joe, , , III	. =	Туре	500.00
Conoto	ment For: 2020 Primary General		500.00
Senate x	Other (specify)		Mome Item
State: PA District: 25			Memo Item
SUBTOTAL of Disbursements This Page (optional)		······	1500.00
TOTAL This Period (last page this line number only)			

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SCHEDULE B (FEC Form 3X)	Llee concrete ashedula(-)	FOR LINE NUMBER: PAGE 138 OF
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)       21b     22     23     26     27       28a     28b     28c     29     30b
		d by any person for the purpose of soliciting contributions al committee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political Actio	on Committee	
Full Name (Last, First, Middle Initial) - Friends of Mike Turzai		Date of Disbursement
		M = M / D = D / Y = Y = Y
Mailing Address P.O. Box 92		09 21 2018
City Harrisburg	State Zip Code PA 17108	FEC Identification Number
Purpose of Disbursement G-2018 State House 28 PA		O11 C
Candidate Name		Category/ Amount of Each Disbursement this Period
Turzai, Mike, , ,  Office Sought:	ment For: 2018	Type 500.00
Senate President	Primary General Other (specify)	
State: PA District: 28	Other (specify)	Memo Item
Full Name (Last, First, Middle Initial) 3.		Date of Disbursement
NATURE Address		M = M / D = D / Y = Y = Y
Mailing Address		
City	State Zip Code	FEC Identification Number
Purpose of Disbursement		C
Candidate Name	I	Category/ Amount of Each Disbursement this Period
Office Sought: House Disburser	ment For:	Туре
Senate President	Primary General Other (specify)	
State: District:	(-	Memo Item
Full Name (Last, First, Middle Initial)		Date of Disbursement
Mailing Address		M M M / D D / Y W Y W Y
City	State Zip Code	FEC Identification Number
Purpose of Disbursement		C
Candidate Name		Category/ Amount of Each Disbursement this Period
Office Sought: House Disburser Senate	ment For:  Primary General	
President	Other (specify) ▼	Memo Item
State: District:		
SUBTOTAL of Disbursements This Page (optional)		500.00
TOTAL This Period (last page this line number only)	)	25500.00