

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00486217

3. IS THIS REPORT



NEW (N)

OR



AMENDED (A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☒ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Keith Shores

Signature of Treasurer

Keith Shores

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
11 / 27 / 2012 To: M M / D D / Y Y Y Y Y Y  
12 / 31 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2012</span>		<span style="border: 1px solid black; padding: 2px;">99717.25</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">88167.83</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">18267.83</span>	<span style="border: 1px solid black; padding: 2px;">165288.12</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">106435.66</span>	<span style="border: 1px solid black; padding: 2px;">265005.37</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">4.20</span>	<span style="border: 1px solid black; padding: 2px;">158573.91</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">106431.46</span>	<span style="border: 1px solid black; padding: 2px;">106431.46</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	1		2	7		2	0	1	2

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	2		3	1		2	0	1	2

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	17335.51	148482.69
(ii) Unitemized .....	932.32	16035.43
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	18267.83	164518.12
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	18267.83	164518.12
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	770.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	18267.83	165288.12
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	18267.83	165288.12

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	4.20	1490.55
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	4.20	1490.55
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	157000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	83.36
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	83.36
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	4.20	158573.91
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	4.20	158573.91

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	18267.83	164518.12
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	83.36
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	18267.83	164434.76
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	4.20	1490.55
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	770.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	4.20	720.55

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. NABIL S. ABDELHAMID

Mailing Address 510 INVERRARY CT.

City

Eureka

State

MO

Zip Code

63025-2076

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : ADCF0A59B90FB4864BD8

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN M ABOOD

Mailing Address 3334 COACHMAN RD

City

Wilmington

State

DE

Zip Code

19803-1942

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : AF93959AE57CE43708F3

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID R ALLEN

Mailing Address 113 FRONT STREET

City

Owego

State

NY

Zip Code

13827-1518

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : A8528E12962004CD38F6

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

187.47

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Steve Ayala**

Mailing Address 5014 Maynard St

City

San Diego

State

CA

Zip Code

92122-3934

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Account Systems

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

12 / 21 / 2012

**Transaction ID : A6F414B971F7243DF8CF**

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. JAMES EDWARD BASHAW**

Mailing Address 5908 RIVERVIEW WAY

City

Houston

State

TX

Zip Code

77057-1434

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

12 / 26 / 2012

**Transaction ID : AFC76214BF73B4E2BAA9**

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. HARVEY STUART BERK**

Mailing Address 9 CONRAD LANE

City

New City

State

NY

Zip Code

10956-6851

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 26 / 2012

**Transaction ID : A15BB6811D17B4127A9F**

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

974.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ANDREW CLARK BLEASE**

Mailing Address 1964 W. MUIRHEAD LOOP

City State Zip Code  
Tucson AZ 85737-7040

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

11 / 29 / 2012

Transaction ID : AF371607A9F5C4CA084F

Amount of Each Receipt this Period

84.00

Full Name (Last, First, Middle Initial)

**B. ANDREW CLARK BLEASE**

Mailing Address 1964 W. MUIRHEAD LOOP

City State Zip Code  
Tucson AZ 85737-7040

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

420.00

Date of Receipt

12 / 29 / 2012

Transaction ID : A6F823474647843F58C2

Amount of Each Receipt this Period

84.00

Full Name (Last, First, Middle Initial)

**C. PAUL WILLIAM BOTTE**

Mailing Address 1691 BURRIS DRIVE

City State Zip Code  
El Cajon CA 92019-3596

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 26 / 2012

Transaction ID : ACDC31F0C5D8D491C80D

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

230.49



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Derek Bruton**

Mailing Address PO Box 3070

City State Zip Code  
 San Diego CA 92163-1070

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, National Sales IAS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 21 2012

**Transaction ID : AB91BA6E45173423BBC3**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KERRICK WALTER BUBB**

Mailing Address 650 SOUTH LA SALLE STREET

City State Zip Code  
 Redlands CA 92374-6443

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 26 2012

**Transaction ID : A1E050421F3144CDF92D**

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Jeffrey Buchheister**

Mailing Address 2367 Juan St

City State Zip Code  
 San Diego CA 92103-1110

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Reg Cntrls & Commissions

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 21 2012

**Transaction ID : A132464BC1A0A4ED9B2E**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

758.33

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER:  
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PAGE 10 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. STEVEN ANDREW BUDIN**

Mailing Address 25 MORNINGLOW PASS

City

Henderson

State

NV

Zip Code

89052-6683

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 26 / 2012

**Transaction ID : A04AFF215A33D4598805**

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. R. NEIL BURNS**

Mailing Address 24151 CASCADES

City

Laguna Niguel

State

CA

Zip Code

92677-2418

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

906.70

Date of Receipt

12 / 26 / 2012

**Transaction ID : A35525197738942EC92A**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. R. CRAIG BYRD**

Mailing Address 1723 SE 270TH PLACE

City

Camas

State

WA

Zip Code

98607-8585

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 26 / 2012

**Transaction ID : A0E96289B100A46FF8AC**

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

999.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 11 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KELLY PETER CAMPBELL**

Mailing Address 11727 RIVER DRIVE

City  
Lorton

State  
VA

Zip Code  
22079-4104

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4791.59

Date of Receipt

12 / 26 / 2012

**Transaction ID : AB6E16F1B18CC4B52A66**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. ANNE CECILIA CATALANO**

Mailing Address 808 OLD VESTAL LANE

City  
Owego

State  
NY

Zip Code  
13827

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 26 / 2012

**Transaction ID : A2D1107A192734F01A88**

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Bill R. Chetney**

Mailing Address 30671 Steeple Chase

City  
San Diego

State  
CA

Zip Code  
92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Partners

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2400.00

Date of Receipt

12 / 21 / 2012

**Transaction ID : AB33862BCA4D7410592B**

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

647.89

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. SUZANNE H. CHRISTIAN

Mailing Address 2263 LA PAZ - PO BOX 1237

City State Zip Code  
 Claremont CA 91711-1237

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Certified Financial Planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : AD91E84DCC89E416E968

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Crystal Clifford

Mailing Address 19562 Hidden Glen Road

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

656.25

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : ADB201D30E60B4897A3B

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. George Daly

Mailing Address 71 Longwood Circle

City State Zip Code  
 Boston MA 02108

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Lead Relationship Mgr

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : AA27ECE363C484755B42

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

208.32

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. Joe DeVerno**

Mailing Address 13129 Wildflower Lane

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Govn, Risk, &amp; Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : A7E62A035C382442B83D

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DAVID STAPLEY DIXON**

Mailing Address 1419 DRAKEWOOD

City State Zip Code  
 North Las Vegas NV 89031-1694

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1041.50

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : A74F725134A494C7CAAF

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD CALVERT DONAHUE**

Mailing Address 2407 Princeton Ct #11

City State Zip Code  
 Bellingham WA 98229-8840

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2241.90

Date of Receipt

M M / D D / Y Y Y Y Y  
 11 30 2012

Transaction ID : AC9ABBD25CE3D4A00B25

Amount of Each Receipt this Period

54.54

Payroll Deduction: \$54.54/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

199.52

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. RICHARD CALVERT DONAHUE

Mailing Address 2407 Princeton Ct #11

City State Zip Code  
Bellingham WA 98229-8840

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2450.22

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
12 26 2012

Transaction ID : A555E7FB740054E48BFF

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Bill Dwyer III

Mailing Address 75 State Street  
24th Floor

City State Zip Code  
Boston MA 02109-1827

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, President Natl Sales Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.44

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
12 21 2012

Transaction ID : A47259EFE4DF14A38A86

Amount of Each Receipt this Period

416.68

Payroll Deduction: \$208.34/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Matthew Enyedi

Mailing Address 16058 Wayne Hill

City State Zip Code  
San Diego CA 92127-4182

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, RIA Business Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.44

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
12 21 2012

Transaction ID : A85FA8206998945538C1

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

666.68

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. ROBERT L ERRICO**

Mailing Address 10 VILLENEUVE

City State Zip Code  
 Newport Coast CA 92657-1023

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 26 / 2012

**Transaction ID : AF85E97E3B008424B9E7**

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. SHARON JOY FALL**

Mailing Address 25 E QUEEN ELIZABETH CT

City State Zip Code  
 Chester MD 21619

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

CFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 26 / 2012

**Transaction ID : A1B7474531A154C9A80B**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. RICHARD NORTHRUP FISHER JR.**

Mailing Address 7003 WINDSOR WAY

City State Zip Code  
 Elon NC 27244-9404

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 / 26 / 2012

**Transaction ID : AF0BD68C7B56E47A3A54**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

624.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Fitz Fisher**

Mailing Address 867 Homestake Court

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

12 / 21 / 2012

**Transaction ID : A5C0CFADAFD3A4668C5**

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. WILLIAM ROBERT FLYNN**

Mailing Address 6027 SKYLINE DRIVE

City State Zip Code  
 East Lansing MI 48823-1603

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 26 / 2012

**Transaction ID : A6522DE0CC4AC4AACB2A**

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ROBERT IVANO FRAGASSO**

Mailing Address 611 KEITH ROAD

City State Zip Code  
 Bethel Park PA 15102-3741

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1625.00

Date of Receipt

12 / 26 / 2012

**Transaction ID : A4D1D2D0D749246FF87F**

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

556.23



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARC STUART FREEDMAN

Mailing Address 119 KARA DRIVE

City

North Andover

State

MA

Zip Code

01845-4834

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.52

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : A8F6C52402FA34817B40

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID JAMES GARNER

Mailing Address 1239 REDBUD DRIVE

City

Macomb

State

IL

Zip Code

61455-3513

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : AFFE0DEFDDE6749BE880

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City

Charlotte

State

NC

Zip Code

28209-4009

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Banking Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
12 / 21 / 2012

Transaction ID : A82757BFEA61C4C44937

Amount of Each Receipt this Period

70.00

Payroll Deduction: \$35.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

528.30

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. WILLIAM E HAMM**

Mailing Address 657 SAXONY BLVD

City

Saint Petersburg

State

FL

Zip Code

33716-1297

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

CEO IFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.64

Date of Receipt

12 / 26 / 2012

**Transaction ID : AC88F418AC7C84FBEEA8**

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Melanie Hardin**

Mailing Address 800 Auburn Ave

City

San Diego

State

CA

Zip Code

92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Strategic Transactions

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

12 / 21 / 2012

**Transaction ID : AF0F3C10726954E918BC**

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. EDWARD JAMES HARRIS**

Mailing Address 60 PARK TERRACE

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 26 / 2012

**Transaction ID : A6160DE4D41234FA8823**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

769.97

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL PATRICK HATCH

Mailing Address 3618 SAN PASQUAL ST.

City State Zip Code  
Pasadena CA 91107-5419

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1333.28

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : AF4925681C3D34F72ADC

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brad Hearn

Mailing Address 7293 Calle Conifera

City State Zip Code  
San Diego CA 92121

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1008.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 21 / 2012

Transaction ID : A5B4F778AF4774994AF6

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mark Helliker

Mailing Address 688 Caudor St.

City State Zip Code  
San Diego CA 92121

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 21 / 2012

Transaction ID : AD983783EFD5946058E2

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$150.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

633.99

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID GEORGE HERBST**

Mailing Address 925 BURGESS HILL RD

City  
Naperville

State Zip Code  
IL 60565-6106

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

12 / 26 / 2012

**Transaction ID : A8C4B6CEE6CF343FCB87**

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Brad Jacobs**

Mailing Address 5 Beacham Place

City  
Hingham

State Zip Code  
MA 02043-3331

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 21 / 2012

**Transaction ID : AF3F02F5FFDE044D99D3**

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**c. Andy Kalbaugh**

Mailing Address 6713 Seton House Ln

City  
Charlotte

State Zip Code  
NC 28277-4519

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, IS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1145.87

Date of Receipt

12 / 21 / 2012

**Transaction ID : A923ACE0DA2994D23B44**

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

758.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID BLANDIN LA POINTE**

Mailing Address 37919 LUNA DRIVE

City

Temecula

State

CA

Zip Code

92592-9053

FEC ID number of contributing  
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 26 / 2012

Transaction ID : A04E5941E7A9D41FAA58

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Stephen Lank**

Mailing Address 15936 Glen Miro Drive

City

Charlotte

State

NC

Zip Code

28217

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

12 / 21 / 2012

Transaction ID : A15C1A23AA88448688D5

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WILLIAM LEE LITTON**

Mailing Address 10309 AVENIDA MAGNIFICA

City

San Diego

State

CA

Zip Code

92131-1402

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 26 / 2012

Transaction ID : AB4E9E837631D4A968B6

Amount of Each Receipt this Period

75.00

Payroll Deduction: \$25.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

799.99

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 22 OF 34  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Tom Lux**

Mailing Address 1309 Caminito Batea

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1458.38

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : AEFD63E166A614EE7957

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. LAILA SOBHI MARSHALL-PENCE**

Mailing Address 10572 VILLA DEL CERRO

City State Zip Code  
 Santa Ana CA 92705-1486

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Wealth Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : A94031DE123AA4420A90

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. THEODORE ROCCO MASSARO**

Mailing Address 36 HARVEST LANE

City State Zip Code  
 Medford NJ 08055-8450

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : A921DCE7A965648F0945

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

1208.33

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 23 OF 34

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. ROBERT ARTHUR MATSON**

Mailing Address 333 ORCHARD STREET

City State Zip Code  
 Millis MA 02054-1025

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

**Transaction ID : AA768FB4C3C89429999F**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. DAVID JOHN MC MENAMIN**

Mailing Address 5100 W. RHYOLITE LOOP

City State Zip Code  
 Tucson AZ 85745-8967

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

**Transaction ID : AD7B9590253394C52B68**

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. SHARI DIANE MILLER**

Mailing Address 4250 SHOREPOINTE WAY

City State Zip Code  
 San Diego CA 92130-8634

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

958.18

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

**Transaction ID : AE9C94ABC6F2F4448A83**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

416.62

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Robert Moore**

Mailing Address PO Box 2764

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3541.61

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

**Transaction ID : AD074C5F682E24944BD7**

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. CARLO ANTHONY PANACCIONE**

Mailing Address 12760 DIANNE DRIVE

City State Zip Code  
 Los Altos Hills CA 94022-4606

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.52

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

**Transaction ID : A93599163FA8B4176A8F**

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. VICKIE LINN PETIX**

Mailing Address 2529 CASTELLON TERRACE

City State Zip Code  
 El Cajon CA 92019-3859

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

**Transaction ID : A413FF1C88CA74E4F8A2**

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

979.13



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 34

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. DAVID ANTHONY PICKLER**

Mailing Address 2268 BIRCHTON DRIVE

City State Zip Code  
 Germantown TN 38139-5251

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1166.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : A7744E4AF6BD54D11ACD

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. David Reich**

Mailing Address 14397 Caminito Lanza

City State Zip Code  
 San Diego CA 92127-3635

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Retirement Platform

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.72

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : A2A11240969C24EB19CC

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. RICHARD ROBERTS ROBIE**

Mailing Address 106 MIST FLOWER

City State Zip Code  
 Lake Jackson TX 77566-6031

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 26 2012

Transaction ID : AD7E225A3D2E04CDEB51

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

739.55

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 26 OF 34

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. CAROL L. ROGERS**

Mailing Address 1306 CONWAY OAKS DR.

City	State	Zip Code
Chesterfield	MO	63017-1958

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	26	/	2012

**Transaction ID : A11A3244F088045B3897**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. KARL HORACE ROMERO**

Mailing Address 6 De Soto

City	State	Zip Code
Trabuco Canyon	CA	92679-3600

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1916.59

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	26	/	2012

**Transaction ID : AD80527A6C4CC439F934**

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. ARTHUR R. SALOMON**

Mailing Address 459 WOODLAKE WAY

City	State	Zip Code
Lexington	KY	40502-2535

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1150.00

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	26	/	2012

**Transaction ID : ADF5CE64401744A4C86E**

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

524.97

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

**A. Mimi Schott**

Mailing Address 9785 Towne Centre Dr

City State Zip Code  
 San Diego CA 92121-1968

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.63

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : A2EA88E3088AB408E842

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Dan Schuck**

Mailing Address 12745 Westly Ln

City State Zip Code  
 San Diego CA 92131-4207

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : A74EC8A28EC1B434080D

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. Gerry Soderstrom**

Mailing Address 5518 Bellevue Ave

City State Zip Code  
 La Jolla CA 92037-7626

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Chief Audit Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 12 21 2012

Transaction ID : A70692032CEB749478F5

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

466.66

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Adam Sokolic

Mailing Address 2752 29th St

City

San Diego

State

CA

Zip Code

92104-4909

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Retirement Partners

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 21 / 2012

Transaction ID : A99570147E8AB4B7DAF4

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GREG ROBERT SOLIS

Mailing Address 49335 AVENIDA FERNANDO

City

La Quinta

State

CA

Zip Code

92253-2744

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : A0D59C6F3952A48A59C1

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. GARY M STANISLAWSKI

Mailing Address 2992 E 78TH ST

City

Tulsa

State

OK

Zip Code

74136-8732

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : A1310282992724111BEE

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

541.64

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 34  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN P STEVENS**

Mailing Address 6697 MAHOGANY COURT

City State Zip Code  
Liberty Township OH 45044-8894

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : A3F6440FD15BB491A853

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Cindy Swank**

Mailing Address 5138 Bevington Place

City State Zip Code  
Charlotte NC 28277-5505

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Service Value Commitment

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

962.52

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 21 / 2012

Transaction ID : A159CAE3B4D8649AF9EC

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. JOAN MARIE VALENTI**

Mailing Address 6 MORGAN PLACE

City State Zip Code  
Unionville CT 06085-1178

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y  
12 / 26 / 2012

Transaction ID : AA00B74DE2DEF40B6A1C

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

770.81

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. KENNETH WILLIAM VAN LEEUWEN**

Mailing Address 1010 Barclay Blvd

City  
Princeton

State  
NJ

Zip Code  
08540-5883

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

12 / 26 / 2012

**Transaction ID : A311C0D42874B48FF9CA**

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Scott Vock**

Mailing Address 2503 Roswell Ave Suite 207  
Unit 207

City  
Charlotte

State  
NC

Zip Code  
28209-1682

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

12 / 21 / 2012

**Transaction ID : A58182D61AD0A4F8BB6B**

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WAYNE DAVID WAGNER, JR.**

Mailing Address 8 LEAP DRIVE

City  
Pennsville

State  
NJ

Zip Code  
08070-1117

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

12 / 26 / 2012

**Transaction ID : A830F1EC4825A4DBAA4B**

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

791.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. MICHAEL JAMES WARD**

Mailing Address 1491 BERNE-ALTAMONT ROAD

City State Zip Code  
 Albany NY 12209

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 26 2012

**Transaction ID : ADFE3E80D1C234254B04**

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. Jeffrey Warren**

Mailing Address 7137 Sherbourne Ln

City State Zip Code  
 San Diego CA 92129-4655

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Service Center

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 21 2012

**Transaction ID : A106A02CFB4824B91B68**

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. KELLY RAE WELKER**

Mailing Address 22332 Fossil Ridge

City State Zip Code  
 San Antonio TX 78261-3015

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 12 26 2012

**Transaction ID : AD1765CA8FD8D4149BEC**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

247.47

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. Geoffrey White**

Mailing Address 7454 Sundial Place

City State Zip Code  
 San Diego CA 92121

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Partners

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

12 / 21 / 2012

Transaction ID : A6FFF6F40E0CC4516B08

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

**B. MICHAEL C. F. WILLIAMS**

Mailing Address 15 IVY LANE

City State Zip Code  
 Chester Springs PA 19425-3316

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

12 / 26 / 2012

Transaction ID : A9D742252C849456291D

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

**C. WARREN DAVID WISE**

Mailing Address 437 WEDGEWOOD CT

City State Zip Code  
 Eden NC 27288-2841

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.84

Date of Receipt

12 / 26 / 2012

Transaction ID : AE1F54B40B92E43CB975

Amount of Each Receipt this Period

312.48

Payroll Deduction: \$104.16/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

832.46



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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FOR LINE NUMBER:  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

## **A. Gregory Woods**

Mailing Address 116 Pembroke Street

City  
Boston

State  
MA

Zip Code  
02118-1208

FEC ID number of contributing  
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Marketing Practice Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.38

Date of Receipt

12 / 21 / 2012

**Transaction ID : A28B9076CF79D42D3B82**

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **B. ROBERT ALAN YOUNG**

Mailing Address 14504 COUNTY ROAD 96B

City  
Woodland

State  
CA

Zip Code  
95695-9221

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.09

Date of Receipt

12 / 26 / 2012

**Transaction ID : A35400214C13646D3840**

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

## **C. MARK G ZITZELSBERGER**

Mailing Address 1048 CLUBHOUSE DRIVE

City  
Weidman

State  
MI

Zip Code  
48893-9339

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 26 / 2012

**Transaction ID : A8F62838012D04FDCBF3**

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

270.81

17335.51

<input checked="" type="checkbox"/>	21b	<input type="checkbox"/>	22	<input type="checkbox"/>	23	<input type="checkbox"/>	24	<input type="checkbox"/>	25	<input type="checkbox"/>	26
<input type="checkbox"/>	27	<input type="checkbox"/>	28a	<input type="checkbox"/>	28b	<input type="checkbox"/>	28c	<input type="checkbox"/>	29	<input type="checkbox"/>	30b

LPL Financial LLC Political Action Committee (LPL PAC)

### A. Aristotle International

Date of Disbursement

**Transaction ID : B8B122B0F750B4FB3B4D**

Category/  
Type

Amount of Each Disbursement this Period

Fruit	Number of People
Apple	4.20
Banana	3.50
Orange	3.00
Watermelon	2.50

Disbursement For: ☐ Primary ☐ General  
☐ Other (specify) ▼

State:  District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

### Purpose of Disbursement

Candidate Name

Category/  
Type

Amount of Each Disbursement this Period

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

State:  District:

Full Name (Last, First, Middle Initial)

Date of Disbursement

Mailing Address

City	State	Zip Code
------	-------	----------

Purpose of Disbursement	
1	2
3	4
5	6
7	8
9	10
11	12
13	14
15	16
17	18
19	20
21	22
23	24
25	26
27	28
29	30
31	32
33	34
35	36
37	38
39	40
41	42
43	44
45	46
47	48
49	50
51	52
53	54
55	56
57	58
59	60
61	62
63	64
65	66
67	68
69	70
71	72
73	74
75	76
77	78
79	80
81	82
83	84
85	86
87	88
89	90
91	92
93	94
95	96
97	98
99	100

Candidate Name

Category/  
Type

Amount of Each Disbursement this Period

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

State:  District:

**SUBTOTAL** of Disbursements This Page (optional).....

4.20

**TOTAL** This Period (last page this line number only).....

4.20