

# FEC FORM 3X

## REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Ameriprise Financial Inc. PAC (Ameriprise PAC)

ADDRESS (number and street) ▼

101 Constitution Ave. NW Suite 912

☐ Check if different than previously reported. (ACC)

Washington

DC

20001

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00414474

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☒

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

06

05

2012

in the State of

CA

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the State of

5. Covering Period

04

02

2012

through

05

16

2012

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Denise Ferguson

Signature of Treasurer

Denise Ferguson

[Electronically Filed]

Date

05

23

2012

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only

### FEC FORM 3X

Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
04 / 02 / 2012 To: M M / D D / Y Y Y Y Y Y  
05 / 16 / 2012

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2012</span>		55031.14
(b) Cash on Hand at Beginning of Reporting Period.....	59484.74	
(c) Total Receipts (from Line 19) .....	7802.41	38333.45
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	67287.15	93364.59
7. Total Disbursements (from Line 31) .....	10527.45	36604.89
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	56759.70	56759.70
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Report Covering the Period:

From:

M M	/	D D	/	Y Y Y Y
04	/	02	/	2012

To:

M M	/	D D	/	Y Y Y Y
05	/	16	/	2012

**I. Receipts**
**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

6714.76

31190.54

(ii) Unitemized .....

1087.65

7142.91

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

7802.41

38333.45

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ..... ▶

7802.41

38333.45

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

7802.41

38333.45

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ..... ▶

7802.41

38333.45

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	27.45	104.89
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	27.45	104.89
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	10500.00	36500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	10527.45	36604.89
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	10527.45	36604.89

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	7802.41	38333.45
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	7802.41	38333.45
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	27.45	104.89
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	27.45	104.89

: 97 'A-G79 @G B9CI G'H9LH'F9 @H98 'HC'5 'F9DCFHŽG7 <98I @ 'CF' +H9A-N5HCB  
.

Form/Schedule: F3XN

Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:

Transaction ID:

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Walter S Berman**

Mailing Address 110 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

04 / 06 / 2012

**Transaction ID : A2012-672302**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. Walter S Berman**

Mailing Address 110 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

04 / 20 / 2012

**Transaction ID : A2012-1061875**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Walter S Berman**

Mailing Address 110 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

CFO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

05 / 04 / 2012

**Transaction ID : A2012-909136**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

576.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. MICHAEL G BURTON**

Mailing Address 50601 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672278**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**B. MICHAEL G BURTON**

Mailing Address 50601 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061851**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. MICHAEL G BURTON**

Mailing Address 50601 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909112**

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

120.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Richard Bush**

Mailing Address 1163 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corporate Tax

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 06 / 2012

**Transaction ID : A2012-672304**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**B. Richard Bush**

Mailing Address 1163 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corporate Tax

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

615.36

Date of Receipt

M M / D D / Y Y Y Y Y  
04 / 20 / 2012

**Transaction ID : A2012-1061877**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**C. Richard Bush**

Mailing Address 1163 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corporate Tax

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.28

Date of Receipt

M M / D D / Y Y Y Y Y  
05 / 04 / 2012

**Transaction ID : A2012-909138**

Amount of Each Receipt this Period

76.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

230.76

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 10 OF 30

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. RICHARD B DVORAK**

Mailing Address 2525 Bay Area Boulevard

City State Zip Code  
Houston TX 77058

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

MM / DD / YYYY  
04 / 06 / 2012

**Transaction ID : A2012-672283**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. RICHARD B DVORAK**

Mailing Address 2525 Bay Area Boulevard

City State Zip Code  
Houston TX 77058

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

MM / DD / YYYY  
04 / 20 / 2012

**Transaction ID : A2012-1061856**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. RICHARD B DVORAK**

Mailing Address 2525 Bay Area Boulevard

City State Zip Code  
Houston TX 77058

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

MM / DD / YYYY  
05 / 04 / 2012

**Transaction ID : A2012-909117**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

150.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Donald E Froude**

Mailing Address P. O. Box 2048

City State Zip Code  
Dennis MA 02638

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

President-U.S. Advisor Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 06 / 2012

**Transaction ID : A2012-672310**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. Donald E Froude**

Mailing Address P. O. Box 2048

City State Zip Code  
Dennis MA 02638

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

President-U.S. Advisor Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 20 / 2012

**Transaction ID : A2012-1061883**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Donald E Froude**

Mailing Address P. O. Box 2048

City State Zip Code  
Dennis MA 02638

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

President-U.S. Advisor Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
05 / 04 / 2012

**Transaction ID : A2012-909144**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

576.90

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Kelli A Hunter**

Mailing Address 7 World Trade Center

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672299**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. Kelli A Hunter**

Mailing Address 7 World Trade Center

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061872**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Kelli A Hunter**

Mailing Address 7 World Trade Center

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909133**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

576.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 13 OF 30

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. DAVID J KASSING**

Mailing Address 707 2nd Ave South

City

Minneapolis

State

MN

Zip Code

55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Sr. Business Systems Analyst

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

05 / 04 / 2012

Transaction ID : A2012-909121

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**B. DOUGLAS A LENNICK**

Mailing Address 707 2nd Ave South

City

Minneapolis

State

MN

Zip Code

55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-Sales Effectiveness

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

280.00

Date of Receipt

04 / 06 / 2012

Transaction ID : A2012-672265

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**C. DOUGLAS A LENNICK**

Mailing Address 707 2nd Ave South

City

Minneapolis

State

MN

Zip Code

55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-Sales Effectiveness

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

320.00

Date of Receipt

04 / 20 / 2012

Transaction ID : A2012-1061839

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

105.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER:  
(check only one)

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. DOUGLAS A LENNICK**

Mailing Address 707 2nd Ave South

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Ameriprise Financial Inc.

Occupation  
 VP-Sales Effectiveness

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

360.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909100**

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

**B. JENINNE MCGEE**

Mailing Address 9504 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Ameriprise Financial Inc.

Occupation  
 VP-PMO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

269.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672291**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**C. JENINNE MCGEE**

Mailing Address 9504 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Ameriprise Financial Inc.

Occupation  
 VP-PMO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

307.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061864**

Amount of Each Receipt this Period

38.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

116.92

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 15 OF 30  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. JENINNE MCGEE**

Mailing Address 9504 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

VP-PMO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.14

Date of Receipt

05 / 04 / 2012

**Transaction ID : A2012-909125**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**B. Deirdre Davey N McGraw**

Mailing Address 108 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corp Comm & Community Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

04 / 06 / 2012

**Transaction ID : A2012-672297**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Deirdre Davey N McGraw**

Mailing Address 108 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corp Comm & Community Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

04 / 20 / 2012

**Transaction ID : A2012-1061870**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

423.06

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Deirdre Davey N McGraw**

Mailing Address 108 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP-Corp Comm & Community Rel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

MM / DD / YYYY  
 05 / 04 / 2012

**Transaction ID : A2012-909131**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. Thomas R Moore**

Mailing Address 1098 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Corporate Secretary

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

269.22

Date of Receipt

MM / DD / YYYY  
 04 / 06 / 2012

**Transaction ID : A2012-672305**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**C. Thomas R Moore**

Mailing Address 1098 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Corporate Secretary

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

307.68

Date of Receipt

MM / DD / YYYY  
 04 / 20 / 2012

**Transaction ID : A2012-1061878**

Amount of Each Receipt this Period

38.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

269.22



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Thomas R Moore**

Mailing Address 1098 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Corporate Secretary

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.14

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909139**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**B. PATRICK H O'CONNELL**

Mailing Address 185 Asylum St Cityplace II

City State Zip Code  
 Hartford CT 06103

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

269.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672257**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**C. PATRICK H O'CONNELL**

Mailing Address 185 Asylum St Cityplace II

City State Zip Code  
 Hartford CT 06103

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

307.68

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061831**

Amount of Each Receipt this Period

38.46

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

115.38

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. PATRICK H O'CONNELL**

Mailing Address 185 Asylum St Cityplace II

City State Zip Code  
Hartford CT 06103

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Group VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

346.14

Date of Receipt

M M / D D / Y Y Y Y Y Y  
05 / 04 / 2012

**Transaction ID : A2012-909092**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**B. Rosendo Palacios**

Mailing Address 707 2nd Ave South

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 06 / 2012

**Transaction ID : A2012-672311**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**C. Rosendo Palacios**

Mailing Address 707 2nd Ave South

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

615.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 20 / 2012

**Transaction ID : A2012-1061884**

Amount of Each Receipt this Period

76.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

192.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Ameriprise Financial Inc. PAC (Ameriprise PAC)

Full Name (Last, First, Middle Initial)

**A. Rosendo Palacios**

Mailing Address 707 2nd Ave South

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.28

Date of Receipt

M M / D D / Y Y Y Y Y  
 05 04 2012

Transaction ID : A2012-909145

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**B. Douglas J Parish**

Mailing Address 101 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

General Auditor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 06 2012

Transaction ID : A2012-672303

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**c. Douglas J Parish**

Mailing Address 101 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

General Auditor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 20 2012

Transaction ID : A2012-1061876

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

176.92

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
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PAGE 20 OF 30

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Douglas J Parish**

Mailing Address 101 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

General Auditor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

MM / DD / YYYY  
 05 / 04 / 2012

**Transaction ID : A2012-909137**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. Glen Salow**

Mailing Address 250 Greenwich Street Suite 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Technology & Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

MM / DD / YYYY  
 04 / 06 / 2012

**Transaction ID : A2012-672300**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Glen Salow**

Mailing Address 250 Greenwich Street Suite 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Technology & Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

MM / DD / YYYY  
 04 / 20 / 2012

**Transaction ID : A2012-1061873**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

434.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Glen Salow**

Mailing Address 250 Greenwich Street Suite 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP Technology & Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909134**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. BRIAN J SEASTONE**

Mailing Address 4337 Siena Drive

City State Zip Code  
 Frisco TX 75034

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

RVP-Insurance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672258**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**C. BRIAN J SEASTONE**

Mailing Address 4337 Siena Drive

City State Zip Code  
 Frisco TX 75034

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

RVP-Insurance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061832**

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

292.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. BRIAN J SEASTONE**

Mailing Address 4337 Siena Drive

City State Zip Code  
 Frisco TX 75034

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

RVP-Insurance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909093**

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B. KIM SHARAN**

Mailing Address 7 World Trade Center Ste 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP-Chief Marketing Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672252**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. KIM SHARAN**

Mailing Address 7 World Trade Center Ste 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP-Chief Marketing Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061826**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

434.60

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. KIM SHARAN**

Mailing Address 7 World Trade Center Ste 3900

City State Zip Code  
 New York NY 10007

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP-Chief Marketing Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909087**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. Joseph E Sweeney**

Mailing Address 687 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres Fin Plng Prod & Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672301**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Joseph E Sweeney**

Mailing Address 687 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres Fin Plng Prod & Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061874**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

576.90

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Joseph E Sweeney**

Mailing Address 687 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres Fin Plng Prod & Svcs

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909135**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. KAREN WILSON Thissen**

Mailing Address 5223 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP & Asst General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.76

Date of Receipt

M M / D D / Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909128**

Amount of Each Receipt this Period

38.46

Full Name (Last, First, Middle Initial)

**C. David H Weiser**

Mailing Address 1099 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP & Assistant General Cnsl

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672309**

Amount of Each Receipt this Period

76.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

307.68



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. David H Weiser**

Mailing Address 1099 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP & Assistant General Cnsl

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

615.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 20 / 2012

**Transaction ID : A2012-1061882**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**B. David H Weiser**

Mailing Address 1099 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

SVP & Assistant General Cnsl

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.28

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 05 / 04 / 2012

**Transaction ID : A2012-909143**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**C. WILLIAM J WILLIAMS**

Mailing Address 50561 Ameriprise Financial Center

City State Zip Code  
 Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP - Franchise Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 04 / 06 / 2012

**Transaction ID : A2012-672253**

Amount of Each Receipt this Period

76.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

230.76

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 26 OF 30

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. WILLIAM J WILLIAMS**

Mailing Address 50561 Ameriprise Financial Center

City	State	Zip Code
Minneapolis	MN	55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP - Franchise Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

615.36

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
04	/	20	/	2012

**Transaction ID : A2012-1061827**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**B. WILLIAM J WILLIAMS**

Mailing Address 50561 Ameriprise Financial Center

City	State	Zip Code
Minneapolis	MN	55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

EVP - Franchise Group

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

692.28

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
05	/	04	/	2012

**Transaction ID : A2012-909088**

Amount of Each Receipt this Period

76.92

Full Name (Last, First, Middle Initial)

**C. JOHN R WOERNER**

Mailing Address 138 Ameriprise Financial Center

City	State	Zip Code
Minneapolis	MN	55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres-Ins &amp; Chief Strat Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1346.10

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
04	/	06	/	2012

**Transaction ID : A2012-672295**

Amount of Each Receipt this Period

192.30

**SUBTOTAL** of Receipts This Page (optional)..... ►

346.14

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 30  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. JOHN R WOERNER**

Mailing Address 138 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres-Ins & Chief Strat Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1538.40

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 20 / 2012

**Transaction ID : A2012-1061868**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**B. JOHN R WOERNER**

Mailing Address 138 Ameriprise Financial Center

City State Zip Code  
Minneapolis MN 55474

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

Pres-Ins & Chief Strat Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1730.70

Date of Receipt

M M / D D / Y Y Y Y Y Y  
05 / 04 / 2012

**Transaction ID : A2012-909129**

Amount of Each Receipt this Period

192.30

Full Name (Last, First, Middle Initial)

**C. Linda Wondrack**

Mailing Address One Financial Center

City State Zip Code  
Boston MA 02339

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Ameriprise Financial Inc.

Occupation

CCO Columbia Management

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.44

Date of Receipt

M M / D D / Y Y Y Y Y Y  
04 / 06 / 2012

**Transaction ID : A2012-672317**

Amount of Each Receipt this Period

76.92

**SUBTOTAL** of Receipts This Page (optional)..... ►

461.52

**TOTAL** This Period (last page this line number only)..... ►

6714.76

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 28 OF 30

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Kevin McCarthy for Congress**

Mailing Address PO Box 12667

City	State	Zip Code
Bakersfield	CA	93389

Purpose of Disbursement  
Contribution

011

Candidate Name

**Kevin McCarthy**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CA District: 22

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
05		09		2012

**Transaction ID : B410247**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**B. Carper for Senate**

Mailing Address 303 Massachusetts Ave., NE

City	State	Zip Code
Washington	DC	20002

Purpose of Disbursement  
Contribution

011

Candidate Name

**Tom Carper**Category/  
TypeOffice Sought: ☐ House  
☒ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: DE District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		17		2012

**Transaction ID : B408872**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**C. Dave Camp for Congress**

Mailing Address 20 F Street NW Ste 500

City	State	Zip Code
Washington	DC	20001

Purpose of Disbursement  
Contribution

011

Candidate Name

**Dave Camp**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MI District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		17		2012

**Transaction ID : B408870**

Amount of Each Disbursement this Period

1000.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

3500.00
---------

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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 29 OF 30

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

**Ameriprise Financial Inc. PAC (Ameriprise PAC)**

Full Name (Last, First, Middle Initial)

**A. Friends of Erik Paulsen**

Mailing Address P.O. Box 44369

City	State	Zip Code
Eden Prairie	MN	55344

Purpose of Disbursement  
Contribution

011

Candidate Name

**Erik Paulsen**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MN District: 03

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		30		2012

**Transaction ID : B409778**

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. Kline for Congress**

Mailing Address 101 W Burnsville Pkwy #104

City	State	Zip Code
Burnsville	MN	55337

Purpose of Disbursement  
Contribution

011

Candidate Name

**John Kline**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: MN District: 02

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
05		09		2012

**Transaction ID : B410248**

Amount of Each Disbursement this Period

1500.00
---------

Full Name (Last, First, Middle Initial)

**C. Friends of Carolyn McCarthy**

Mailing Address P.O. Box 190

City	State	Zip Code
Mineola	NY	11501

Purpose of Disbursement  
Contribution

011

Candidate Name

**Carolyn McCarthy**Category/  
TypeOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2012  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NY District: 04

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
04		17		2012

**Transaction ID : B408873**

Amount of Each Disbursement this Period

2500.00
---------

**SUBTOTAL** of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

5000.00
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	21b		22	<b>X</b>	23		24		25		26
	27		28a		28b		28c		29		30b

Ameriprise Financial Inc. PAC (Ameriprise PAC)

### A. Friends of Dave Reichert

Mailing Address P.O. Box 53322

City	State	Zip Code
Bellevue	WA	98015

Purpose of Disbursement	Contribution
1. To provide for the maintenance and repair of the building	10%
2. To provide for the maintenance and repair of the furniture and fixtures	10%
3. To provide for the maintenance and repair of the equipment	10%
4. To provide for the maintenance and repair of the vehicles	10%
5. To provide for the maintenance and repair of the other assets	10%
6. To provide for the maintenance and repair of the land	10%
7. To provide for the maintenance and repair of the other assets	10%
8. To provide for the maintenance and repair of the other assets	10%
9. To provide for the maintenance and repair of the other assets	10%
10. To provide for the maintenance and repair of the other assets	10%

Candidate Name

Dave Reichert

Office Sought:	<input checked="" type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President
State: WA	District: 08	

Disbursement For: 2012

☒ Primary ☐ General

☐ Other (specify) ▼

Date of Disbursement

Transaction ID : B408871

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

### B. Duffy for Congress

Mailing Address P.O. Box 538

City	State	Zip Code
Wausau	WI	54402

Purpose of Disbursement	Contribution
1. To provide for the maintenance and repair of the building	10%
2. To provide for the maintenance and repair of the furniture and fixtures	5%
3. To provide for the maintenance and repair of the equipment	5%
4. To provide for the maintenance and repair of the vehicles	5%
5. To provide for the maintenance and repair of the other assets	5%
6. To provide for the maintenance and repair of the land	5%
7. To provide for the maintenance and repair of the other assets	5%
8. To provide for the maintenance and repair of the other assets	5%
9. To provide for the maintenance and repair of the other assets	5%
10. To provide for the maintenance and repair of the other assets	5%

Candidate Name

Sean Duffy

Office Sought: ☒ House  
☐ Senate  
☐ President  
 State: WI District: 07

Disbursement For: 2012

☒ Primary ☐ General

☐ Other (specify) ▼

Date of Disbursement

Transaction ID : B410433

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City	State	Zip Code
------	-------	----------

### Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/>	House
	<input type="checkbox"/>	Senate
	<input type="checkbox"/>	President
State:	District:	

Disbursement For:

☐ Primary ☐ General

☐ Other (specify) ▼

Date of Disbursement

Amount of Each Disbursement this Period

**SUBTOTAL** of Disbursements This Page (optional).....

**TOTAL** This Period (last page this line number only).....

2000.00

10500.00