

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines.

**Managed Funds Association Political Action Committee**

ADDRESS (number and street)   
  
 Check if different than previously reported. (ACC)

2. **FEC IDENTIFICATION NUMBER ▼**  **CITY ▲** **STATE ▲** **ZIP CODE ▲**

3. IS THIS REPORT  **NEW (N)** OR  **AMENDED (A)**

4. **TYPE OF REPORT (Choose One)**

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

- Feb 20 (M2)
- Mar 20 (M3)
- Apr 20 (M4)
- Jun 20 (M6)
- Jul 20 (M7)
- Aug 20 (M8)
- Sep 20 (M9)
- Oct 20 (M10)
- Nov 20 (M11) (Non-Election Year Only)
- Dec 20 (M12) (Non-Election Year Only)
- Jan 31 (YE)

(c) 12-Day **PRE-Election** Report for the:

- Primary (12P)
- Convention (12C)
- General (12G)
- Special (12S)
- Runoff (12R)

Election on  /  /  in the State of

(d) 30-Day **POST-Election** Report for the:

- General (30G)
- Runoff (30R)
- Special (30S)

Election on  /  /  in the State of

5. Covering Period  /  /  through  /  /

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Mr. Marc Charon

Signature of Treasurer Mr. Marc Charon [Electronically Filed] Date  /  /

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

**Managed Funds Association Political Action Committee**

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2016"/>		<input type="text" value="53555.91"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="41234.08"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="20333.32"/>	<input type="text" value="27616.60"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="61567.40"/>	<input type="text" value="81172.51"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="638.14"/>	<input type="text" value="20243.25"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="60929.26"/>	<input type="text" value="60929.26"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
  
Toll Free 800-424-9530  
Local 202-694-1100

## DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

**Managed Funds Association Political Action Committee**

Report Covering the Period: From: M M / D D / Y Y Y Y 05 / 01 / 2016 To: M M / D D / Y Y Y Y 05 / 31 / 2016

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	20333.32	22616.60
(ii) Unitemized .....	0.00	0.00
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	20333.32	22616.60
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	5000.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	20333.32	27616.60
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	20333.32	27616.60
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	20333.32	27616.60

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	138.14	1243.25
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	138.14	1243.25
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	500.00	19000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	638.14	20243.25
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	638.14	20243.25

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	20333.32	27616.60
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	20333.32	27616.60
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	138.14	1243.25
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	138.14	1243.25

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 6 OF 11
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

**A. William Goodell**  
Full Name (Last, First, Middle Initial)

Mailing Address 3046 California Street

City San Francisco State CA Zip Code 94115-2411

FEC ID number of contributing federal political committee. **C**

Name of Employer Partner Fund Management, LP Occupation Chief Operating Officer

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
05 / 06 / 2016  
Transaction ID : 71355335

Amount of Each Receipt this Period  
5000.00

Memo Item

Contribution to MFA-PAC

**B. Christopher M. James**  
Full Name (Last, First, Middle Initial)

Mailing Address 4 Embarcadero Center 35th Floor

City San Francisco State CA Zip Code 94111-4185

FEC ID number of contributing federal political committee. **C**

Name of Employer Partner Fund Management, LP Occupation Finance Executive

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
05 / 10 / 2016  
Transaction ID : 71355337

Amount of Each Receipt this Period  
5000.00

Memo Item

Contribution to MFA-PAC

**C. Brian D. Grossman**  
Full Name (Last, First, Middle Initial)

Mailing Address 465 Darrell Road

City Hillsborough State CA Zip Code 94010-6709

FEC ID number of contributing federal political committee. **C**

Name of Employer Partner Fund Management Occupation Fund Executive

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
05 / 06 / 2016  
Transaction ID : 71355344

Amount of Each Receipt this Period  
5000.00

Memo Item

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 15000.00

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 11
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

**A. Lou Costantino**  
Full Name (Last, First, Middle Initial)  
Mailing Address 5225 Manning PI, NW  
City Washington State DC Zip Code 20016-5348  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Managed Funds Association Occupation Vice President, Government Relations  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **374.94**

Date of Receipt **05 / 31 / 2016**  
**Transaction ID : 71563346**  
Amount of Each Receipt this Period **41.66**  
 Memo Item  
Semi-Monthly Payroll Deduction

**B. Lou Costantino**  
Full Name (Last, First, Middle Initial)  
Mailing Address 5225 Manning PI, NW  
City Washington State DC Zip Code 20016-5348  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Managed Funds Association Occupation Vice President, Government Relations  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **416.60**

Date of Receipt **05 / 31 / 2016**  
**Transaction ID : 71563347**  
Amount of Each Receipt this Period **41.66**  
 Memo Item  
Semi-Monthly Payroll Deduction

**C. Russ Nuzum**  
Full Name (Last, First, Middle Initial)  
Mailing Address 925 H Street N.W. #602  
City Washington State DC Zip Code 20001-4981  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Managed Funds Association Occupation Senior Director, Operations  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **175.00**

Date of Receipt **05 / 31 / 2016**  
**Transaction ID : 71563348**  
Amount of Each Receipt this Period **25.00**  
 Memo Item  
Semi-Monthly Payroll Deduction

**SUBTOTAL** of Receipts This Page (optional)..... **108.32**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 11
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

**A. Russ Nuzum**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 925 H Street N.W.  
 #602  
 City Washington State DC Zip Code 20001-4981  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Managed Funds Association Occupation Senior Director, Operations  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **200.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 05 / 31 / 2016  
**Transaction ID : 71563349**  
 Amount of Each Receipt this Period  
 25.00  
 Memo Item  
 Semi-Monthly Payroll Deduction

**B. Roger Hollingsworth**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 925 H Street NW  
 City Washington State DC Zip Code 20001-4974  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Managed Funds Association Occupation EVP and MD, GR  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **900.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 05 / 31 / 2016  
**Transaction ID : 71563350**  
 Amount of Each Receipt this Period  
 100.00  
 Memo Item  
 Semi-Monthly Payroll Deduction

**C. Roger Hollingsworth**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 925 H Street NW  
 City Washington State DC Zip Code 20001-4974  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Managed Funds Association Occupation EVP and MD, GR  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **1000.00**

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 05 / 31 / 2016  
**Transaction ID : 71563351**  
 Amount of Each Receipt this Period  
 100.00  
 Memo Item  
 Semi-Monthly Payroll Deduction

**SUBTOTAL** of Receipts This Page (optional)..... **225.00**  
**TOTAL** This Period (last page this line number only).....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 11  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

**A. Richard H. Baker**  
Full Name (Last, First, Middle Initial)

Mailing Address 9550 Highland Rd.

City Baton Rouge State LA Zip Code 70810-4027

FEC ID number of contributing federal political committee. **C**

Name of Employer Managed Funds Association Occupation President and CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
5000.00

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 05 / 31 / 2016  
**Transaction ID : 71563352**

Amount of Each Receipt this Period  
 5000.00

Memo Item

Contribution to MFA-PAC

**B.**  
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Memo Item

**C.**  
Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  
 M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	5000.00
<b>TOTAL</b> This Period (last page this line number only).....▶	20333.32

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. PNC Bank**

Mailing Address 1920 L STREET, NW

City Washington State DC Zip Code 20036

Purpose of Disbursement  
Bank Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 71717933**

Amount of Each Disbursement this Period

Memo Item  
Bank Fees

Full Name (Last, First, Middle Initial)

**B. PNC Bank**

Mailing Address 1920 L STREET, NW

City Washington State DC Zip Code 20036

Purpose of Disbursement  
Bank Fees

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 71717934**

Amount of Each Disbursement this Period

Memo Item  
Bank Fees

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
**Managed Funds Association Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. George Holding For Congress Inc.**

Mailing Address PO Box 97187

City Raleigh State NC Zip Code 27624

Purpose of Disbursement  
FY 2016 Political Contribution

Category/  
Type

Candidate Name

**Rep. George E.B. Holding**

Office Sought:  House  
 Senate  
 President  
State: NC District: 13

Disbursement For: 2016  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

**Transaction ID : 71332597**

Amount of Each Disbursement this Period

Memo Item  
FY 2016 Political Contribution

Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

Memo Item

Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Category/  
Type

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

/  /

Amount of Each Disbursement this Period

Memo Item

**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶