

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) 75 State Street  
24th Floor  
Boston MA 02109

Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

C C00486217

3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

### 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

- (b) Monthly Report Due On:
- Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)
  - Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)
  - Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)

- (c) 12-Day PRE-Election Report for the:
- Primary (12P)  General (12G)  Runoff (12R)
  - Convention (12C)  Special (12S)

Election on [MM] / [DD] / [YYYY] in the State of [ ]

- (d) 30-Day POST-Election Report for the:
- General (30G)  Runoff (30R)  Special (30S)

Election on 11 / 04 / 2014 in the State of MA

5. Covering Period 10 / 16 / 2014 through 11 / 24 / 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Trap Kloman

Signature of Treasurer Trap Kloman [Electronically Filed] Date 12 / 04 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only									
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**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2014"/>	<input type="text" value="200049.50"/>	<input type="text" value="200049.50"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="238162.10"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="22280.17"/>	<input type="text" value="212687.77"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="260442.27"/>	<input type="text" value="412737.27"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="1005.00"/>	<input type="text" value="153300.00"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="259437.27"/>	<input type="text" value="259437.27"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463  
  
Toll Free 800-424-9530  
Local 202-694-1100

**DETAILED SUMMARY PAGE**  
of Receipts

Write or Type Committee Name

**LPL Financial LLC Political Action Committee (LPL PAC)**

Report Covering the Period: From:  /  /  To:  /  /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	19909.15	176573.29
(ii) Unitemized .....	2371.02	35979.14
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	22280.17	212552.43
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	22280.17	212552.43
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	135.34
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	22280.17	212687.77
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	22280.17	212687.77

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	5.00	2050.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	5.00	2050.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	1000.00	151000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	250.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	250.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	1005.00	153300.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1005.00	153300.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	22280.17	212552.43
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	250.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	22280.17	212302.43
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	5.00	2050.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	5.00	1914.66

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. NABIL S. ABDELHAMID**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 576 TREVI LANE  
 City Ballwin State MO Zip Code 63011-2298  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Legends Financial Services Occupation President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A5B816594EA32447A948**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**B. JOHN M ABOOD, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 3334 COACHMAN RD  
 City Wilmington State DE Zip Code 19803-1942  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial Llc Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A76F238D8A1E24606B33**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**C. DAVID R ALLEN**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 113 FRONT STREET  
 City Owego State NY Zip Code 13827-1518  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial Llc Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AEDD945ED61464A2CAF1**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 124.98  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. FRANCIS XAVIER ASTORINO**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 22 MAPLE DRIVE  
 City State Zip Code  
 Fairfield NJ 07004-3209  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 The Astorino Financial Group President  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 437.43

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : AD63A385FE46C4F7AAB5**  
 Amount of Each Receipt this Period  
 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**B. MICHAEL BABYAK JR, II**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 57 IRONIA RD  
 City State Zip Code  
 Randolph NJ 07869-3901  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Precision Financial Services, Inc. Advisor  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 832.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : A8FC2D9FE1A214ADBA2F**  
 Amount of Each Receipt this Period  
 208.00  
 Payroll Deduction: \$104.00/Bi-Monthly

**C. ROBERT J. BACINO**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 262 OLD FARM ROAD  
 City State Zip Code  
 Flemington NJ 08822-6901  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 LPL Financial LLC Advisor  
 Receipt For:  
 Primary  General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 218.61

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : AB3E4602EDE134ABDA67**  
 Amount of Each Receipt this Period  
 20.82  
 Payroll Deduction: \$10.41/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	270.48
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Kathy Kathy Bakke**  
Full Name (Last, First, Middle Initial)

Mailing Address 13928 Rocky Gap Lane

City Charlotte State NC Zip Code 28278-8615

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Human Resources

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AA7DB1A39725F427FA74**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

**B. Garrett Garrett Beam**  
Full Name (Last, First, Middle Initial)

Mailing Address 3434 Paseo Ancho

City Carlsbad State CA Zip Code 92009-9258

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Marketing

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AC53C568F25BC479EA90**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

**C. HARVEY STUART BERK, CFP**  
Full Name (Last, First, Middle Initial)

Mailing Address 9 CONRAD LANE

City New City State NY Zip Code 10956-6851

FEC ID number of contributing federal political committee. **C**

Name of Employer Berk Wealth Management Occupation President

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **1749.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : ADBDD8BBE677046A6AB4**

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **229.18**

**TOTAL** This Period (last page this line number only).....





**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. David A. A. Brounley**  
Full Name (Last, First, Middle Initial)

Mailing Address 407 Calle Robles

City San Clemente State CA Zip Code 92672-2127

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Retirement Worksite

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **458.48**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AF053A28CC37C4918890**

Amount of Each Receipt this Period **62.52**

Payroll Deduction: \$20.84/Bi-Monthly

**B. Jeffrey Jeffrey Buchheister**  
Full Name (Last, First, Middle Initial)

Mailing Address 2367 Juan St

City San Diego State CA Zip Code 92103-1110

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation EVP, Chief Accounting Officer

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **550.00**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AA3542551AE504E7DAE8**

Amount of Each Receipt this Period **75.00**

Payroll Deduction: \$25.00/Bi-Monthly

**C. STEVEN ANDREW BUDIN**  
Full Name (Last, First, Middle Initial)

Mailing Address 23 HASSAYAMPA TRAIL

City Henderson State NV Zip Code 89052-6668

FEC ID number of contributing federal political committee. **C**

Name of Employer The Budin Group Occupation Financial Advisor

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **1749.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A57D6139D32FC4F3682E**

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>304.18</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. R. NEIL BURNS**  
Full Name (Last, First, Middle Initial)

Mailing Address 24151 CASCADES

City Laguna Niguel State CA Zip Code 92677-2418

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **666.56**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AEA1106A8F5374900BBC**

Amount of Each Receipt this Period **41.66**

Payroll Deduction: \$41.66/Bi-Monthly

**B. Kathryn Kathryn Busch**  
Full Name (Last, First, Middle Initial)

Mailing Address 7162-A Calabria Court Unit A

City San Diego State CA Zip Code 92122-5500

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation VP, Marketing

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AE64F6EB136AF4D3FA30**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

**C. R. CRAIG BYRD**  
Full Name (Last, First, Middle Initial)

Mailing Address 1723 SE 270TH PLACE

City Camas State WA Zip Code 98607-8585

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **4374.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A858BBF9D06C247128C9**

Amount of Each Receipt this Period **416.66**

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **489.58**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Kent A. Cameron**  
Full Name (Last, First, Middle Initial)  
Mailing Address 6648 Lavandula Court  
City San Diego State CA Zip Code 92130-6939  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial, LLC Occupation EVP, Internal Audit  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **458.48**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A1A229BF5FF74441A9BE**  
Amount of Each Receipt this Period **62.52**  
Payroll Deduction: \$20.84/Bi-Monthly

**B. KELLY PETER CAMPBELL**  
Full Name (Last, First, Middle Initial)  
Mailing Address 11727 RIVER DRIVE  
City Lorton State VA Zip Code 22079-4104  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Campbell Wealth Management Occupation CEO  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **4374.93**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AAFCE0246D81F4843900**  
Amount of Each Receipt this Period **416.66**  
Payroll Deduction: \$208.33/Bi-Monthly

**C. MICHAEL DALE JOSEPH CARPENTER**  
Full Name (Last, First, Middle Initial)  
Mailing Address 1803 BAJA LANE  
City Henderson State NV Zip Code 89012-3109  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial Occupation Advisor  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **500.04**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A95199100ED2144A49D7**  
Amount of Each Receipt this Period **83.34**  
Payroll Deduction: \$41.67/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>562.52</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ANNE CECILIA CATALANO**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 808 OLD VESTAL LANE  
 City Owego State NY Zip Code 13827  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 218.61

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A5343537A3A5D450297E**  
 Amount of Each Receipt this Period 20.82  
 Payroll Deduction: \$10.41/Bi-Monthly

**B. EDWARD FRANCIS CHAIRVOLOTTI**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 818 SOUTH PRIMROSE DRIVE  
 City Orlando State FL Zip Code 32803-6840  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Chairvolotti Financial Occupation Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A47DB830CF7844043B7C**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**C. SUZANNE H. CHRISTIAN**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 2263 LA PAZ - PO BOX 1237  
 City Claremont State CA Zip Code 91711-1237  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial Occupation Branch Manager  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 874.86

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A5DFF793617D949828CB**  
 Amount of Each Receipt this Period 83.32  
 Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional).....▶ 145.80  
**TOTAL** This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)  
**A. DON CLARK**

Mailing Address 10710 OAKCREST LANE

City	State	Zip Code
Olathe	KS	66061-7463

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial Llc	OSJ

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **583.24**

Date of Receipt  
**11 / 15 / 2014**  
**Transaction ID : AB7B40A43E5A74F7A8F4**

Amount of Each Receipt this Period  
**83.32**

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)  
**B. Crystal Clifford**

Mailing Address 1968 Sakina St

City	State	Zip Code
El Cajon	CA	92019-3804

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	SVP, Technology

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **687.50**

Date of Receipt  
**11 / 21 / 2014**  
**Transaction ID : A9FC42C1D616941C4BB4**

Amount of Each Receipt this Period  
**93.75**

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)  
**C. HEIDI GRACE CLUTE**

Mailing Address 21 PINERIDGE DRIVE

City	State	Zip Code
Plattsburgh	NY	12962

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Clute Wealth Management	Owner

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1708.26**

Date of Receipt  
**11 / 15 / 2014**  
**Transaction ID : A0CB5C94AF4844FEDBB6**

Amount of Each Receipt this Period  
**166.66**

Payroll Deduction: \$83.33/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>343.73</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROBERT SMITH COLLINS**  
Full Name (Last, First, Middle Initial)

Mailing Address 9206 BRUSHBORO DRIVE

City Brentwood	State TN	Zip Code 37027-6122
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Registered Principal
---------------------------------------	------------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼	624.90
--------------------------	--------

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A0FC72AC365A34CF88D5**

Amount of Each Receipt this Period  

83.32
-------

Payroll Deduction: \$41.66/Bi-Monthly

**B. Scott A A Conroy**  
Full Name (Last, First, Middle Initial)

Mailing Address 15715 Tanner Ridge Road

City San Diego	State CA	Zip Code 92127-6108
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation VP, RIA Solutions
---------------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼	229.24
--------------------------	--------

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : A588487AAEEE54251851**

Amount of Each Receipt this Period  

31.26
-------

Payroll Deduction: \$10.42/Bi-Monthly

**C. Bradford Cornell**  
Full Name (Last, First, Middle Initial)

Mailing Address 5257 Fieldgate Ct

City Harrisburg	State NC	Zip Code 28075-8339
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation EVP, Sponsor Relations
---------------------------------------	--------------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼	458.48
--------------------------	--------

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : A96CC6989CDA749398EF**

Amount of Each Receipt this Period  

62.52
-------

Payroll Deduction: \$20.84/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	177.10
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. JAMES A COX, III**  
Full Name (Last, First, Middle Initial)

Mailing Address 14137 RIVERDOWNS SOUTH DRIVE

City	State	Zip Code
Midlothian	VA	23113-3796

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Harris Financial	Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1458.24**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : A0E67C3492BDA4EBA982**

Amount of Each Receipt this Period  

104.16
--------

Payroll Deduction: \$104.16/Bi-Monthly

**B. Patrick E. Cox**  
Full Name (Last, First, Middle Initial)

Mailing Address 1291 Oakwood Lane

City	State	Zip Code
Hanover	MN	55341-4102

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial, LLC	SVP, Govn, Risk & Compliance

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	21	/	2014

**Transaction ID : AD65CFF875EC54FF9896**

Amount of Each Receipt this Period  

62.49
-------

Payroll Deduction: \$20.83/Bi-Monthly

**C. GERALD GRANT CRAIG**  
Full Name (Last, First, Middle Initial)

Mailing Address 11919 FARNBOROUGH RD.

City	State	Zip Code
Huntersville	NC	28078-3769

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Carolina Planning Consultants, LLP	Managing Partner

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **218.61**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : A78E972DD6FC84F6AB16**

Amount of Each Receipt this Period  

20.82
-------

Payroll Deduction: \$10.41/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>187.47</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. KEVIN WAYNE CRUMLY, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 804 WOOD POINTE  
 City Springfield State IL Zip Code 62702-3363  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Troxell Financial Advisors Occupation President  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **218.61**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A3210CF6564FA4A4D83B**  
 Amount of Each Receipt this Period **20.82**  
 Payroll Deduction: \$10.41/Bi-Monthly

**B. WALTER CUMIFORD**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 6056 E. SUSSEX WAY  
 City Fresno State CA Zip Code 93727-7982  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **218.61**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AA2AC504E51F64E2D914**  
 Amount of Each Receipt this Period **20.82**  
 Payroll Deduction: \$10.41/Bi-Monthly

**C. Geff Daly**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 71 Longwood Circle  
 City Kingston State MA Zip Code 02364-1353  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial Llc Occupation AVP, Business Consulting  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **229.24**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A9D5D98EC23C74FB9924**  
 Amount of Each Receipt this Period **31.26**  
 Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **72.90**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 18 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ANGELA LOUISE DENHAM**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 17602 PECAN SHADOW DR  
 City Baton Rouge State LA Zip Code 70810-6581  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer A.L. Denham Wealth Management Occupation Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ **874.86**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AF2C0F48E16EE4048B6E**  
 Amount of Each Receipt this Period **83.32**  
 Payroll Deduction: \$41.66/Bi-Monthly

**B. DAVID STAPLEY DIXON**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1419 DRAKEWOOD  
 City North Las Vegas State NV Zip Code 89031-1694  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Dixon Financial Group, LLC Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ **874.86**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A04CFC19339A9427ABA5**  
 Amount of Each Receipt this Period **83.32**  
 Payroll Deduction: \$41.66/Bi-Monthly

**C. RICHARD CALVERT DONAHUE, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 810 W PACIFIC VIEW DRIVE  
 City Bellingham State WA Zip Code 98229-2180  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Asset Advisors, LLC Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ **2083.20**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A6F9D991F3408488EB24**  
 Amount of Each Receipt this Period **208.32**  
 Payroll Deduction: \$104.16/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>374.96</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 19 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial) <b>A. HEATHER MARIE DREBENSTEDT</b>		Date of Receipt
Mailing Address 2208 CALAIS RD		<input type="text" value="11"/> / <input type="text" value="15"/> / <input type="text" value="2014"/>
City	State	Zip Code
Fort Wayne	IN	46814-9179
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : <b>A2E0D029D003542F5B0F</b>
LPL Financial LLC	Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	Amount of Each Receipt this Period
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="218.61"/>	<input type="text" value="20.82"/>
		Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial) <b>B. Marc Ehlers</b>		Date of Receipt
Mailing Address 1258 Oak Avenue		<input type="text" value="11"/> / <input type="text" value="21"/> / <input type="text" value="2014"/>
City	State	Zip Code
Carlsbad	CA	92008-1929
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : <b>AAF6912357F6D419092A</b>
LPL Financial LLC	SVP, Trading	
Receipt For:	Aggregate Year-to-Date ▼	Amount of Each Receipt this Period
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="458.48"/>	<input type="text" value="62.52"/>
		Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial) <b>C. Matthew Matthew Enyedi</b>		Date of Receipt
Mailing Address 15497 Tanner Ridge Road		<input type="text" value="11"/> / <input type="text" value="21"/> / <input type="text" value="2014"/>
City	State	Zip Code
San Diego	CA	92127-4182
FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer	Occupation	Transaction ID : <b>AF2E2E427EBBC40CF9DF</b>
Lpl Financial Llc	EVP, RIA & HNW Solutions	
Receipt For:	Aggregate Year-to-Date ▼	Amount of Each Receipt this Period
<input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	<input type="text" value="458.48"/>	<input type="text" value="62.52"/>
		Payroll Deduction: \$20.84/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<input type="text" value="145.86"/>
<b>TOTAL</b> This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROBERT L ERRICO, CFP AIF**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 10 VILLENEUVE  
 City Newport Beach State CA Zip Code 92657-1023  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial Llc Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **2625.00**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A2EEAB3CF5D014EDB9F5**  
 Amount of Each Receipt this Period **250.00**  
 Payroll Deduction: \$125.00/Bi-Monthly

**B. SHARON JOY FALL, CFP(R)**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 25 E QUEEN ELIZABETH CT  
 City Chester State MD Zip Code 21619  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer SANDY SPRING INVESTMENT SVC Occupation financial planner  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **874.86**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A6C5D63944EB4489B9DD**  
 Amount of Each Receipt this Period **83.32**  
 Payroll Deduction: \$41.66/Bi-Monthly

**C. Victor P P Fetter**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1816 Funny Cide Drive  
 City Waxhaw State NC Zip Code 28173-8288  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation MD, Chief Information Officer  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **229.24**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : AE076AE1B374D4F1E86B**  
 Amount of Each Receipt this Period **31.26**  
 Payroll Deduction: \$10.42/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>364.58</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Mr. RICHARD NORTHRUP FISHER JR.**  
Full Name (Last, First, Middle Initial)  
Mailing Address 7003 WINDSOR WAY

City	State	Zip Code
Elon	NC	27244-9404

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Fisher Wealth Management	Managing Partner

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **874.86**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A83A544B015CA46D680E**

Amount of Each Receipt this Period  

83.32
-------

Payroll Deduction: \$41.66/Bi-Monthly

**B. Fitz Fisher**  
Full Name (Last, First, Middle Initial)  
Mailing Address 867 Homestake Court

City	State	Zip Code
Castle Rock	CO	80108-9081

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	EVP, Technology Risk Mgmt

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1650.00**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : A13E143AE83C24EFBAE0**

Amount of Each Receipt this Period  

225.00
--------

Payroll Deduction: \$75.00/Bi-Monthly

**C. ROBERT IVANO FRAGASSO**  
Full Name (Last, First, Middle Initial)  
Mailing Address 611 KEITH ROAD

City	State	Zip Code
Bethel Park	PA	15102-3741

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Fragasso Financial Advisors	Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1744.07**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AAA66E53CFC0A4D88824**

Amount of Each Receipt this Period  

125.00
--------

Payroll Deduction: \$125.00/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>433.32</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. MARC STUART FREEDMAN, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 119 KARA DRIVE  
 City North Andover State MA Zip Code 01845-4834  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Freedman Financial Occupation: President & CEO  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **3499.86**

Date of Receipt: 11 / 15 / 2014  
**Transaction ID : A49B723C6D9814B8F8E6**  
 Amount of Each Receipt this Period: 333.32  
 Payroll Deduction: \$166.66/Bi-Monthly

**B. LOREEN MICHELLE GILBERT**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1605 TAHITI AVE  
 City Laguna Beach State CA Zip Code 92651-1948  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: WealthWise Financial Services Occupation: President  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **874.86**

Date of Receipt: 11 / 15 / 2014  
**Transaction ID : AD333F2DA2FAF4395922**  
 Amount of Each Receipt this Period: 83.32  
 Payroll Deduction: \$41.66/Bi-Monthly

**C. Jen Gloss**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 4143 Bachman Pl  
 City San Diego State CA Zip Code 92103-2027  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Lpl Financial LLC Occupation: SVP, Business Consulting  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **458.48**

Date of Receipt: 11 / 21 / 2014  
**Transaction ID : A147F95B8AC1C492E9D2**  
 Amount of Each Receipt this Period: 62.52  
 Payroll Deduction: \$20.84/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **479.16**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/>	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Joy Goble**  
Full Name (Last, First, Middle Initial)

Mailing Address 3217 Selwyn Farms Ln

City Charlotte State NC Zip Code 28209-4009

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Research

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **770.00**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A1F27806ECEB04D778E8**

Amount of Each Receipt this Period **105.00**

Payroll Deduction: \$35.00/Bi-Monthly

**B. DAVID C GRAHAM**  
Full Name (Last, First, Middle Initial)

Mailing Address 708 CEDAR AVE

City Haddonfield State NJ Zip Code 08033-1012

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **210.00**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A9DFAE4FCFE624F7683B**

Amount of Each Receipt this Period **20.00**

Payroll Deduction: \$10.00/Bi-Monthly

**C. PAMELA FRANCES GROFT, CFP**  
Full Name (Last, First, Middle Initial)

Mailing Address 3247 OAKGLEN COURT

City Lancaster State PA Zip Code 17601-1340

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **416.60**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AD0D2234384504CBCA8C**

Amount of Each Receipt this Period **41.66**

Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>166.66</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. JEFFREY DANIEL HAMBLLEN**  
Full Name (Last, First, Middle Initial)

Mailing Address 1410 SPRING HILL ROAD, STE 425

City	State	Zip Code
Mc Lean	VA	22102-3032

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Washington Financial Group	Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **210.00**

Date of Receipt  
**11 / 15 / 2014**  
**Transaction ID : A9C16C60C819345E4BF3**

Amount of Each Receipt this Period  
**84.00**

Payroll Deduction: \$42.00/Bi-Monthly

**B. WILLIAM E HAMM**  
Full Name (Last, First, Middle Initial)

Mailing Address 5009 SOUTH THE RIVIERA ST

City	State	Zip Code
Tampa	FL	33609-3612

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
IFP	CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3333.28**

Date of Receipt  
**11 / 15 / 2014**  
**Transaction ID : AA76307B5C7424F31895**

Amount of Each Receipt this Period  
**416.66**

Payroll Deduction: \$208.33/Bi-Monthly

**C. Michael Michael Hannah**  
Full Name (Last, First, Middle Initial)

Mailing Address 7348 Corte Hortensia

City	State	Zip Code
Carlsbad	CA	92009-8231

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Lpl Financial Llc	SVP, Govn, Risk & Compliance

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt  
**11 / 21 / 2014**  
**Transaction ID : ADF7D511145AF4C7E995**

Amount of Each Receipt this Period  
**31.26**

Payroll Deduction: \$10.42/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>531.92</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. EDWARD JAMES HARRIS**  
Full Name (Last, First, Middle Initial)

Mailing Address 60 PARK TERRACE

City Washington	State PA	Zip Code 15301-3055
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1000.86

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : AC8F7651AB2D340DD99F**

Amount of Each Receipt this Period  
83.32

Payroll Deduction: \$41.66/Bi-Monthly

**B. REX ROY HARRIS**  
Full Name (Last, First, Middle Initial)

Mailing Address 1555 STILLWATER RD

City Kalispell	State MT	Zip Code 59901-6904
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FEC ID number of contributing federal political committee. **C**

Name of Employer Harris Financial Services	Occupation Financial Advisor
---	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
252.00

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : A7FDC15B9420149F6A54**

Amount of Each Receipt this Period  
84.00

Payroll Deduction: \$42.00/Bi-Monthly

**C. JOHN THOMAS HASSELL**  
Full Name (Last, First, Middle Initial)

Mailing Address 305 NAVARRO DR.

City Gray	State LA	Zip Code 70359-5114
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FEC ID number of contributing federal political committee. **C**

Name of Employer Hassell Wealth Management	Occupation Owner/Registered Principal
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Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
210.00

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : AD6D84416AB6F40E89B7**

Amount of Each Receipt this Period  
84.00

Payroll Deduction: \$42.00/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	251.32
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. MICHAEL PATRICK HATCH**  
Full Name (Last, First, Middle Initial)

Mailing Address 755 SOUTH OAKLAND AVE

City	State	Zip Code
Pasadena	CA	91106-3722

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
The Sterling Group	Financial Advisor & Principal

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1583.27**

Date of Receipt  

M	M	/	D	D	/	Y	Y	Y	Y
11			15			2014			

**Transaction ID : AFD4DA9F4FD0246CAB4E**

Amount of Each Receipt this Period  

83.33
-------

Payroll Deduction: \$83.33/Bi-Monthly

**B. Brad Hearn**  
Full Name (Last, First, Middle Initial)

Mailing Address 7293 Calle Conifera

City	State	Zip Code
Carlsbad	CA	92009-8699

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	EVP, Business Consulting

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **924.00**

Date of Receipt  

M	M	/	D	D	/	Y	Y	Y	Y
11			21			2014			

**Transaction ID : A871BA37CC0BE4FD2A37**

Amount of Each Receipt this Period  

126.00
--------

Payroll Deduction: \$42.00/Bi-Monthly

**C. Mark Helliker**  
Full Name (Last, First, Middle Initial)

Mailing Address 700 W Harbor Drive

City	State	Zip Code
San Diego	CA	92101-7753

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial LLC	MD, Broker Dealer Support Svcs

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3300.00**

Date of Receipt  

M	M	/	D	D	/	Y	Y	Y	Y
11			21			2014			

**Transaction ID : A8A7C2116622E4B97B18**

Amount of Each Receipt this Period  

450.00
--------

Payroll Deduction: \$150.00/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>659.33</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial) <b>A. D'Arcy D'Arcy Henson</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 21 / 2014
Mailing Address 8503 Priory Ct		<b>Transaction ID : AAB8A611D5F714C2DA1A</b>
City Waxhaw	State NC	Zip Code 28173-6542
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 31.26
Name of Employer Lpl Financial Llc	Occupation SVP, Govn, Risk & Compliance	Payroll Deduction: \$10.42/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 229.24	

Full Name (Last, First, Middle Initial) <b>B. DAVID GEORGE HERBST, CFP,MBA</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 15 / 2014
Mailing Address 925 BURGESS HILL RD		<b>Transaction ID : A8BB51FA4112A48618B7</b>
City Naperville	State IL	Zip Code 60565-6106
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 333.32
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	Payroll Deduction: \$166.66/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 3499.86	

Full Name (Last, First, Middle Initial) <b>C. Peggy Ho</b>		Date of Receipt M M / D D / Y Y Y Y Y 11 / 21 / 2014
Mailing Address 6 Lilac Circle		<b>Transaction ID : A23DD9D4F0D83408D917</b>
City Wellesley	State MA	Zip Code 02482-4518
FEC ID number of contributing federal political committee. <b>C</b>		Amount of Each Receipt this Period 62.49
Name of Employer LPL Financial LLC	Occupation SVP, Business Mgmt	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 458.26	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	427.07
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 28 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial) <b>A. Lisa Lisa Hughes</b>		Date of Receipt
Mailing Address 4817 St. Simons Terrace		<input type="text" value="11"/> / <input type="text" value="21"/> / <input type="text" value="2014"/>
City Waxhaw State NC Zip Code 28173-6823		<b>Transaction ID : AE06FEB0C0FF804C6DBF4</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="62.49"/>
Name of Employer Lpl Financial Llc	Occupation VP, Research	Payroll Deduction: \$20.83/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="458.26"/>	

Full Name (Last, First, Middle Initial) <b>B. Lauren Hunt</b>		Date of Receipt
Mailing Address 181 Pine Ridge Rd		<input type="text" value="11"/> / <input type="text" value="21"/> / <input type="text" value="2014"/>
City Waban State MA Zip Code 02468-3409		<b>Transaction ID : A1556DFB5685B4084B34</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="62.52"/>
Name of Employer LPL Financial LLC	Occupation SVP, Research	Payroll Deduction: \$20.84/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="458.48"/>	

Full Name (Last, First, Middle Initial) <b>C. Brad Jacobs</b>		Date of Receipt
Mailing Address 5 Beacham Place		<input type="text" value="11"/> / <input type="text" value="21"/> / <input type="text" value="2014"/>
City Hingham State MA Zip Code 02043-3331		<b>Transaction ID : A968DE2FA55894F83AEB</b>
FEC ID number of contributing federal political committee. <input type="text" value="C"/>		Amount of Each Receipt this Period <input type="text" value="75.00"/>
Name of Employer LPL Financial LLC	Occupation SVP, Associate Counsel	Payroll Deduction: \$25.00/Bi-Monthly
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ <input type="text" value="550.00"/>	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<input type="text" value="200.01"/>
<b>TOTAL</b> This Period (last page this line number only).....▶	<input type="text"/>

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 29 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
		<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. DON ARTHUR JACOBSON**  
Full Name (Last, First, Middle Initial)

Mailing Address 9424 BELLA TERRA DR

City Fort Worth State TX Zip Code 76126-1902

FEC ID number of contributing federal political committee. **C**

Name of Employer Dean, Jacobson Financial Services Occupation Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **210.00**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A46CC8ECAEEA847C0A3E**

Amount of Each Receipt this Period **84.00**

Payroll Deduction: \$42.00/Bi-Monthly

**B. MICHAEL WILLIAM JOWDY**  
Full Name (Last, First, Middle Initial)

Mailing Address 715 MAR VISTA AVE

City Huntington Beach State CA Zip Code 90740

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation CFP

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1699.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AD83719933D184810819**

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

**c. Andy Kalbaugh**  
Full Name (Last, First, Middle Initial)

Mailing Address 6713 Seton House Lane

City Charlotte State NC Zip Code 28277-4519

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation MD, Institution Services

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2291.74**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AC1D2F02ACD7C48DFAE0**

Amount of Each Receipt this Period **312.51**

Payroll Deduction: \$104.17/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>563.17</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 30 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Craig Kamis**  
Full Name (Last, First, Middle Initial)

Mailing Address 4746 Binford's Ridge

City Charlotte State NC Zip Code 28226-4007

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Business Development

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **220.00**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A666E5874F77C477C998**

Amount of Each Receipt this Period **30.00**

Payroll Deduction: \$10.00/Bi-Monthly

**B. SUSAN CAROL KAPLAN**  
Full Name (Last, First, Middle Initial)

Mailing Address 157 OTIS ST.

City West Newton State MA Zip Code 02465-2522

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2708.29**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AB960AAF62195494CB7F**

Amount of Each Receipt this Period **416.66**

Payroll Deduction: \$208.33/Bi-Monthly

**C. ANDREW C KARLINSKI, CFP**  
Full Name (Last, First, Middle Initial)

Mailing Address 179 SADDLEBACK LANE

City Snowmass Village State CO Zip Code 81615

FEC ID number of contributing federal political committee. **C**

Name of Employer AK Financial Group Occupation President/Owner

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **624.86**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A1EEA10B82FD643FAB4E**

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **529.98**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 31 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Brent Korte**  
Full Name (Last, First, Middle Initial)

Mailing Address 49 Aquavista Way

City San Francisco	State CA	Zip Code 94131-1232
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC	Occupation SVP, Marketing
--	------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1249.98**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : ABF0C243D82A04991BDD**

Amount of Each Receipt this Period  

624.99
--------

Payroll Deduction: \$208.33/Bi-Monthly

**B. DAVID BLANDIN LA POINTE**  
Full Name (Last, First, Middle Initial)

Mailing Address 37919 LUNA DRIVE

City Temecula	State CA	Zip Code 92592-9053
------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer La Pointe Wealth Management	Occupation Financial Advisor
---	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **4374.92**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A8154FA77C5E44B7F8D2**

Amount of Each Receipt this Period  

416.66
--------

Payroll Deduction: \$208.33/Bi-Monthly

**C. Stephen Lank**  
Full Name (Last, First, Middle Initial)

Mailing Address 15936 Glen Miro Drive

City Huntersville	State NC	Zip Code 28078-2255
----------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC	Occupation EVP, Operations
--	-------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1100.00**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : A41EED857D5C0458385C**

Amount of Each Receipt this Period  

150.00
--------

Payroll Deduction: \$50.00/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>1191.65</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 32 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. MARK EDWARD LEIBMAN**  
Full Name (Last, First, Middle Initial)

Mailing Address 11543 LAKEVIEW DR  
PO BOX 165

City Papillion State NE Zip Code 68133-4794

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1050.00

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : A70B7D716DB54483CB88**

Amount of Each Receipt this Period  
100.00

Payroll Deduction: \$50.00/Bi-Monthly

**B. LAWRENCE NELSON LEITCH**  
Full Name (Last, First, Middle Initial)

Mailing Address 29 WINDFLOWER COURT

City Towson State MD Zip Code 21036

FEC ID number of contributing federal political committee. **C**

Name of Employer Synergy Financial Group Occupation Partner

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
1050.00

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : A551C5EB0BB2F4FB2ABE**

Amount of Each Receipt this Period  
100.00

Payroll Deduction: \$50.00/Bi-Monthly

**C. Mr. DANIEL W LEVERONI, CFP**  
Full Name (Last, First, Middle Initial)

Mailing Address 853 MAIN STREET

City Cotuit State MA Zip Code 02635-3111

FEC ID number of contributing federal political committee. **C**

Name of Employer Leveroni Financial Management Occupation Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
416.60

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : A901B343122DA41D5B09**

Amount of Each Receipt this Period  
41.66

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 241.66

**TOTAL** This Period (last page this line number only)..... ▶



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 33 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. TIMOTHY W LEVERONI, CFP**  
Full Name (Last, First, Middle Initial)  
Mailing Address 1100 RANDOLPH AVE.  
City Milton State MA Zip Code 02186-5235  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial LLC Occupation Financial Advisor  
Receipt For:  Primary  General  Other (specify)   
Aggregate Year-to-Date **437.43**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AC31DBE1CFE2E47979B4**  
Amount of Each Receipt this Period **41.66**  
Payroll Deduction: \$20.83/Bi-Monthly

**B. WILLIAM LEE LITTON**  
Full Name (Last, First, Middle Initial)  
Mailing Address 8875 COSTA VERDE BLVD #1217  
City San Diego State CA Zip Code 92122-6665  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Litton Financial Occupation Financial Advisor  
Receipt For:  Primary  General  Other (specify)   
Aggregate Year-to-Date **525.00**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AE4BB25419BAD4E6AAE0**  
Amount of Each Receipt this Period **50.00**  
Payroll Deduction: \$25.00/Bi-Monthly

**C. Tom Lux**  
Full Name (Last, First, Middle Initial)  
Mailing Address 8875 Costa Verde Blvd Apt 912 Unit B203  
City San Diego State CA Zip Code 92122-7683  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial LLC Occupation EVP, Finance  
Receipt For:  Primary  General  Other (specify)   
Aggregate Year-to-Date **2291.74**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A980FC94F8EB24C3E99E**  
Amount of Each Receipt this Period **312.51**  
Payroll Deduction: \$104.17/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **404.17**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 34 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROBERT D LYMAN JR.**  
Full Name (Last, First, Middle Initial)  
Mailing Address 792 WORTHAM DR

City Mundelein	State IL	Zip Code 60060-3377
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FEC ID number of contributing federal political committee. **C**

Name of Employer SEQUOIA FINANCIAL NETWORK	Occupation MANAGING PARTNER
---	--------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
437.41

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AA6BFD14E4A9C42D5A0E**

Amount of Each Receipt this Period  
41.66

Payroll Deduction: \$20.83/Bi-Monthly

**B. Mr. CAM JOEL MADER**  
Full Name (Last, First, Middle Initial)  
Mailing Address 10660 GLEN EAGLE RD

City Saint Paul	State MN	Zip Code 55129-4223
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Advisor
---------------------------------------	-----------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
791.54

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : ADA1FF6F0E8C54DECB09**

Amount of Each Receipt this Period  
83.32

Payroll Deduction: \$41.66/Bi-Monthly

**C. Verne Verne Marble**  
Full Name (Last, First, Middle Initial)  
Mailing Address 9103 W. 157th Terrace

City Overland Park	State KS	Zip Code 66221-9316
-----------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation SVP, Advisory Consulting
---------------------------------------	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
229.24

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : A8E3BA9255BED484CAE1**

Amount of Each Receipt this Period  
31.26

Payroll Deduction: \$10.42/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	156.24
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 35 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. LAILA SOBHI MARSHALL-PENCE**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 10572 VILLA DEL CERRO  
 City Santa Ana State CA Zip Code 92705-1486  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Pence Wealth Management Occupation President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 4374.93

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AAD7CB0C61EB546E2902**  
 Amount of Each Receipt this Period 416.66  
 Payroll Deduction: \$208.33/Bi-Monthly

**B. THEODORE ROCCO MASSARO, CHFC**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 36 HARVEST LANE  
 City Medford State NJ Zip Code 08055-8450  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer M Financial Planning Services, Inc. Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2625.00

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A5FE680F0785D4D7EA69**  
 Amount of Each Receipt this Period 250.00  
 Payroll Deduction: \$125.00/Bi-Monthly

**C. ROBERT ARTHUR MATSON**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 333 ORCHARD STREET  
 City Millis State MA Zip Code 02054-1025  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Robert A Matson CLU, ChFC Occupation Owner  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 874.86

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A590D720B206544B1A43**  
 Amount of Each Receipt this Period 83.32  
 Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 749.98  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 36 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. DAVID JOHN MC MENAMIN, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 5100 W. RHYOLITE LOOP  
 City Tucson State AZ Zip Code 85745-8967  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1416.61

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A8750C9373EEF4B4CA8E**  
 Amount of Each Receipt this Period 166.66  
 Payroll Deduction: \$83.33/Bi-Monthly

**B. Tim McGough**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 7014 Thirsty Cactus Lane  
 City Scottsdale State AZ Zip Code 85266-7305  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation SVP, Human Resources  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 229.24

Date of Receipt 11 / 21 / 2014  
**Transaction ID : A520147325AB147A1B8C**  
 Amount of Each Receipt this Period 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**C. W. DAIL MEIKLE, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 17239 N 19TH AVE APT 2001  
 City Phoenix State AZ Zip Code 85023-2439  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Meikle Financial Group Occupation President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : ACA7111DB2EC848BFB39**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	239.58
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 37 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROBERT EDWARD MICONE**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 360 EAST FIRST ST  
 City Tustin State CA Zip Code 92780-3211  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: AFP LPL Occupation: Registered Principal  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **2625.00**

Date of Receipt: 11 / 15 / 2014  
**Transaction ID : AC8A1ED66C73A4B0C8DE**  
 Amount of Each Receipt this Period: 250.00  
 Payroll Deduction: \$125.00/Bi-Monthly

**B. Paul Paul Middlemiss**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 7201 Seton House Lane  
 City Charlotte State NC Zip Code 28277-3339  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Lpl Financial Llc Occupation: EVP, CCO Advisory  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **229.24**

Date of Receipt: 11 / 21 / 2014  
**Transaction ID : A1B6B03DF013441F8964**  
 Amount of Each Receipt this Period: 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**C. John John Milder**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1031 N Marion St  
 City Oak Park State IL Zip Code 60302-1374  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer: Lpl Financial Llc Occupation: VP, Regional Adv Consultant  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **229.24**

Date of Receipt: 11 / 21 / 2014  
**Transaction ID : AFD35FCCB23D74C0C82F**  
 Amount of Each Receipt this Period: 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **312.52**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 38 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. EDWARD BRUCE MILLER**  
Full Name (Last, First, Middle Initial)

Mailing Address 1721 BARDSTOWN RD

City Charlotte State NC Zip Code 28226-0915

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **4374.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AB23470928B624CB1936**

Amount of Each Receipt this Period **416.66**

Payroll Deduction: \$208.33/Bi-Monthly

**B. SHARI DIANE MILLER**  
Full Name (Last, First, Middle Initial)

Mailing Address 4250 SHOREPOINTE WAY

City San Diego State CA Zip Code 92130-8634

FEC ID number of contributing federal political committee. **C**

Name of Employer Symbio Financial Partners Occupation manager

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **791.54**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : ACA545098260245C1B23**

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

**C. Robert Moore**  
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 2764

City Rancho Santa Fe State CA Zip Code 92067-2764

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **4583.26**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A6FF50CA07E274B9EB01**

Amount of Each Receipt this Period **624.99**

Payroll Deduction: \$208.33/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>1124.97</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 39 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. THOMAS W MORAN**  
Full Name (Last, First, Middle Initial)

Mailing Address 12 COMMODORE HULL DRIVE

City Derby	State CT	Zip Code 06418-2255
---------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Advisor
---------------------------------------	-----------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **291.62**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	31	/	2014

**Transaction ID : ADA7F7325816640ACA1F**

Amount of Each Receipt this Period  

41.66
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Payroll Deduction: \$41.66/Bi-Monthly

**B. Thomas Thomas Murphy**  
Full Name (Last, First, Middle Initial)

Mailing Address 4475 Osprey St

City San Diego	State CA	Zip Code 92107-4030
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation SVP, Business Mgmt
---------------------------------------	----------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : AA8832352B34849049D3**

Amount of Each Receipt this Period  

31.26
-------

Payroll Deduction: \$10.42/Bi-Monthly

**C. Paul Paul Nalbandian**  
Full Name (Last, First, Middle Initial)

Mailing Address 15475 Pinehurst Place

City San Diego	State CA	Zip Code 92131-4331
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation SVP, Growth Management
---------------------------------------	--------------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : AE7D394A53DFA4943B2B**

Amount of Each Receipt this Period  

31.26
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Payroll Deduction: \$10.42/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>104.18</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 40 OF 62  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. HAROLD FRANCIS NEVILLE, JR.**  
Full Name (Last, First, Middle Initial)  
Mailing Address 94A ATLANTIC AVE

City North Hampton	State NH	Zip Code 03862-2309
-----------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial	Occupation Financial Adviser
-----------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AC0B67FD41CB248039C8**

Amount of Each Receipt this Period  

166.64
--------

Payroll Deduction: \$20.83/Bi-Monthly

**B. BRIAN H. NEYLAND**  
Full Name (Last, First, Middle Initial)  
Mailing Address 33 HEALY STREET

City Huntington	State NY	Zip Code 11743-5323
--------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Financial Resources Group(FRG)	Occupation Vice President
--	------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **218.61**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A3B77692888A348F78E3**

Amount of Each Receipt this Period  

20.82
-------

Payroll Deduction: \$10.41/Bi-Monthly

**C. MARY LOUISE NOTHELFER**  
Full Name (Last, First, Middle Initial)  
Mailing Address 731 DORSET ROAD

City Allentown	State PA	Zip Code 18104-3385
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Advisor
---------------------------------------	-----------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1249.92**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A949AEF2CD6E6492D90C**

Amount of Each Receipt this Period  

104.16
--------

Payroll Deduction: \$104.16/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>166.64</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 41 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. BRIAN OETTINGER, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 17805 BUEHLER RD. #116  
 City Oney State MD Zip Code 20832-2353  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Sandy Spring Wealth Management Occupation Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : ACF7D05C2B943426C8EE**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

**B. Arthur Osman**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 9611 Hanover Ridge C # C  
 City Charlotte State NC Zip Code 28210-7738  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation EVP, Clearing Services  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 229.24

Date of Receipt 11 / 21 / 2014  
**Transaction ID : A8300E66992C146CEA27**  
 Amount of Each Receipt this Period 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**C. CARLO ANTHONY PANACCIONE, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 12760 DIANNE DRIVE  
 City Los Altos Hills State CA Zip Code 94022-4606  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Navigation Group Occupation Private Wealth Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2333.24

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A4F42167411BE4E158A5**  
 Amount of Each Receipt this Period 166.66  
 Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 239.58  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 42 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROBERT ALLEN PAUL**  
Full Name (Last, First, Middle Initial)

Mailing Address 29 WYNDRIDGE CIRCLE

City Pelham	State NH	Zip Code 03076-3286
----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **208.35**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A98436BB49A2144B99EC**

Amount of Each Receipt this Period  

83.34
-------

Payroll Deduction: \$41.67/Bi-Monthly

**B. VICKIE LINN PETIX-REICHERT**  
Full Name (Last, First, Middle Initial)

Mailing Address 2529 CASTELLON TERRACE

City El Cajon	State CA	Zip Code 92019-3859
------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **416.60**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A06C6AB16E66B4712B14**

Amount of Each Receipt this Period  

41.66
-------

Payroll Deduction: \$20.83/Bi-Monthly

**C. DAVID ANTHONY PICKLER**  
Full Name (Last, First, Middle Initial)

Mailing Address 2268 BIRCHTON DRIVE

City Germantown	State TN	Zip Code 38139-5251
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FEC ID number of contributing federal political committee. **C**

Name of Employer Pickler Wealth Advisors	Occupation CEO
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Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **3510.27**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : A0351625977F340D1813**

Amount of Each Receipt this Period  

333.32
--------

Payroll Deduction: \$166.66/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>458.32</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 43 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. DANIEL WALTER PINKERTON**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 14701 S. HERITAGE DR.  
 City Coeur D Alene State ID Zip Code 83814-8613  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation Advisor  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **624.90**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : ACDB67927F7C14E5C857**  
 Amount of Each Receipt this Period **83.32**  
 Payroll Deduction: \$41.66/Bi-Monthly

**B. GREGORY R. POWELL, CIMA**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 505 RUSSET BEND DRIVE  
 City Hoover State AL Zip Code 35244-4330  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer fi-Plan Partners Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **3124.95**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A4603F22A22F0493CB5C**  
 Amount of Each Receipt this Period **416.66**  
 Payroll Deduction: \$208.33/Bi-Monthly

**C. Andrew Andrew Putterman**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 6603 Glenbrook Road  
 City Chevy Chase State MD Zip Code 20815-6514  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial Llc Occupation Chairman Emeritus  
 Receipt For:  Primary  General  Other (specify)   
 Aggregate Year-to-Date **416.80**

Date of Receipt **10 / 24 / 2014**  
**Transaction ID : AA5A807E5A8B3445283E**  
 Amount of Each Receipt this Period **20.84**  
 Payroll Deduction: \$20.84/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>520.82</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 44 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/>	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. David Reich**  
Full Name (Last, First, Middle Initial)

Mailing Address 7995 Entrada De Luz West

City San Diego	State CA	Zip Code 92127-2512
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation EVP, Head of Retirement Prtnrs
---------------------------------------	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
2291.74

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : AC8E4FD8383CA4C16A37**

Amount of Each Receipt this Period  
312.51

Payroll Deduction: \$104.17/Bi-Monthly

**B. STEPHEN REICH**  
Full Name (Last, First, Middle Initial)

Mailing Address 830 GRANDVIEW AVE

City Duluth	State MN	Zip Code 55812-1170
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial	Occupation Investment Planner
-----------------------------------	----------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
218.61

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AA0A2ABB22ABA45B6B2I**

Amount of Each Receipt this Period  
20.82

Payroll Deduction: \$10.41/Bi-Monthly

**C. BARRY JONATHAN REID**  
Full Name (Last, First, Middle Initial)

Mailing Address 27604 MARINE VIEW DRIVE S.

City Des Moines	State WA	Zip Code 98198-9347
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial	Occupation Registered Principal
-----------------------------------	------------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
218.61

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AB21B4B0C3DAE4F8BB52**

Amount of Each Receipt this Period  
20.82

Payroll Deduction: \$10.41/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	354.15
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 45 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Lee Richardson**  
Full Name (Last, First, Middle Initial)

Mailing Address 14823 Asheton Creek Dr

City Charlotte State NC Zip Code 28273-3448

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation VP, Technology

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **916.74**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A15ED04D49B3E4638B31**

Amount of Each Receipt this Period **125.01**

Payroll Deduction: \$41.67/Bi-Monthly

**B. RICHARD ROBERTS ROBIE, CFP (R)**  
Full Name (Last, First, Middle Initial)

Mailing Address 106 MIST FLOWER

City Lake Jackson State TX Zip Code 77566-6031

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Occupation Financial Advisor

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **208.20**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A66B022CE2B7B46558C1**

Amount of Each Receipt this Period **20.82**

Payroll Deduction: \$10.41/Bi-Monthly

**C. CAROL L. ROGERS, MBA**  
Full Name (Last, First, Middle Initial)

Mailing Address 1306 CONWAY OAKS DR.

City Chesterfield State MO Zip Code 63017-1958

FEC ID number of contributing federal political committee. **C**

Name of Employer Rogers & Company, A Wealth Management Occupation President, CEO

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **791.54**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : ABA649EE3474F4749BC9**

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **229.15**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 62  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)  
**A. Michelle Michelle Rohrbach**

Mailing Address 5661 La Jolla Hermosa Avenue

City San Diego State CA Zip Code 92037-7549

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation SVP, Business Consulting

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A52EFC3223D7D46C39E6**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)  
**B. KARL HORACE ROMERO**

Mailing Address 1221 WEST COAST HWY SUITE 407

City Newport Beach State CA Zip Code 92663-5048

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Principal

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **1666.60**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A813D72E282C241318D2**

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)  
**C. Philip Philip Rosplock**

Mailing Address 6822 Williams Road

City Concord State OH Zip Code 44077-9513

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation VP, Trust Officer

Receipt For:  Primary  General  Other (specify) ▼

Aggregate Year-to-Date ▼ **458.48**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : AC45C1E118FC74290BFE**

Amount of Each Receipt this Period **62.52**

Payroll Deduction: \$20.84/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **260.44**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 47 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. THOMAS E ROYCE**  
Full Name (Last, First, Middle Initial)

Mailing Address 27W507 WALLACE RD.

City Wheaton State IL Zip Code 60189-7866

FEC ID number of contributing federal political committee. **C**

Name of Employer Voyage Financial Group Occupation Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.13**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A20358EF3C8EB48F5832**

Amount of Each Receipt this Period **41.66**

Payroll Deduction: \$20.83/Bi-Monthly

**B. ARTHUR R. SALOMON**  
Full Name (Last, First, Middle Initial)

Mailing Address 459 WOODLAKE WAY

City Lexington State KY Zip Code 40502-2535

FEC ID number of contributing federal political committee. **C**

Name of Employer Salomon & Co. Occupation Financial Advisor-CEO

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1050.00**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : AC424696B85204314BFC**

Amount of Each Receipt this Period **100.00**

Payroll Deduction: \$50.00/Bi-Monthly

**C. Dan Schuck**  
Full Name (Last, First, Middle Initial)

Mailing Address 10370 Crosscreek Terrace

City San Diego State CA Zip Code 92131-1341

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Technology

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **2200.00**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A843D16D6813D4426929**

Amount of Each Receipt this Period **300.00**

Payroll Deduction: \$100.00/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>441.66</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 48 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Charles Schwab**  
Full Name (Last, First, Middle Initial)  
Mailing Address 3501 Lone Hill Lane  
City Encinitas State CA Zip Code 92024-7276  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial, LLC Occupation EVP, BTS  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **916.74**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : AD68FFDA495AD46E9B0A**  
Amount of Each Receipt this Period **125.01**  
Payroll Deduction: \$41.67/Bi-Monthly

**B. Thomas Seeburger**  
Full Name (Last, First, Middle Initial)  
Mailing Address 423 South Nevada Street  
City Oceanside State CA Zip Code 92054-4038  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Lpl Financial LLC Occupation SVP, Operations  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **458.26**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A5ACD7D6BE7384C20A7A**  
Amount of Each Receipt this Period **62.49**  
Payroll Deduction: \$20.83/Bi-Monthly

**C. JERRY ALAN SEIBERT**  
Full Name (Last, First, Middle Initial)  
Mailing Address 5001 WILDCAT RUN  
City Springfield State IL Zip Code 62711-7835  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Troxell Financial Advisors Occupation Owner/Principal  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **218.61**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A9C453556B834463CB22**  
Amount of Each Receipt this Period **20.82**  
Payroll Deduction: \$10.41/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>208.32</b>
<b>TOTAL</b> This Period (last page this line number only).....	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 49 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. GARREN JOSEPH SEPEDE**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 224 DESERT VIEW ST.  
 City Las Vegas State NV Zip Code 89107-2355  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Strategic Wealth Mgt, Inc. Occupation President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 218.61

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A75E1920121104ACF851**  
 Amount of Each Receipt this Period 20.82  
 Payroll Deduction: \$10.41/Bi-Monthly

**B. Jim Shorris**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 19 Kingsbury Street  
 City Wellesley Hills State MA Zip Code 02481-3504  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial LLC Occupation EVP, Deputy General Counsel  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 458.26

Date of Receipt 11 / 21 / 2014  
**Transaction ID : A4C93078D3ECC4E9FBE3**  
 Amount of Each Receipt this Period 62.49  
 Payroll Deduction: \$20.83/Bi-Monthly

**C. JOHN FRED SHREWSBURY**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 7325 PALM BEACH AVE  
 City Bryant State AR Zip Code 72019-1849  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer GenWealth Financial Advisors Occupation Managing Partner  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AD9924E5E06B14ACC9FF**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	124.97
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 50 OF 62
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Adam Sokolic**  
Full Name (Last, First, Middle Initial)

Mailing Address 5125 Marlborough Dr.

City San Diego	State CA	Zip Code 92116-4909
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial LLC	Occupation SVP, Retirement Partners
---------------------------------------	--

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **458.26**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : AEBE25EA61B104C0896E**

Amount of Each Receipt this Period  

62.49
-------

Payroll Deduction: \$20.83/Bi-Monthly

**B. GREG ROBERT SOLIS**  
Full Name (Last, First, Middle Initial)

Mailing Address 52806 VIA DONA

City La Quinta	State CA	Zip Code 92253-7565
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
---------------------------------------	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1749.93**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	15	/	2014

**Transaction ID : AB7C67FA89F5B445AB2B**

Amount of Each Receipt this Period  

166.66
--------

Payroll Deduction: \$83.33/Bi-Monthly

**C. James James Sorey**  
Full Name (Last, First, Middle Initial)

Mailing Address 13523 Robert Walker Dr

City Davidson	State NC	Zip Code 28036-6008
------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation SVP, Business Development
---------------------------------------	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **458.26**

Date of Receipt  

M M M	/	D D D	/	Y Y Y Y Y Y
11	/	21	/	2014

**Transaction ID : AE444001CD62C47F2BC2**

Amount of Each Receipt this Period  

62.49
-------

Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>291.64</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 51 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Joe Sovcik**  
Full Name (Last, First, Middle Initial)

Mailing Address 2007 18th Ave. NE

City Issaquah State WA Zip Code 98029-7375

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation VP, Strategy

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A88021C81EF9D491B887**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

**B. GARY M STANISLAWSKI**  
Full Name (Last, First, Middle Initial)

Mailing Address 2992 E 78TH ST

City Tulsa State OK Zip Code 74136-8732

FEC ID number of contributing federal political committee. **C**

Name of Employer Regent Financial Services Occupation President

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1749.93**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A4C20F82209DB475EA8A**

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

**C. JOHN P STEVENS**  
Full Name (Last, First, Middle Initial)

Mailing Address 6697 MAHOGANY COURT

City Liberty Township State OH Zip Code 45044-8894

FEC ID number of contributing federal political committee. **C**

Name of Employer Stevens Investment Group, Inc Occupation Wealth Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **874.86**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A7E38C11D408E4E52BB6**

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>281.24</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 52 OF 62  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. KEVIN PETER SUPKA**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1408 STOCTON ROAD  
 City State Zip Code  
 Jenkintown PA 19046-1131  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 LPL Financial Registered Principal  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 3499.86

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : A1CF46C0BB59247A7A66**  
 Amount of Each Receipt this Period  
 333.32  
 Payroll Deduction: \$166.66/Bi-Monthly

**B. Cindy Cindy Swank**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 5138 Bevington Place  
 City State Zip Code  
 Charlotte NC 28277-5505  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 LPL Financial LLC EVP, Service Value Commitment  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 229.24

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 11 / 21 / 2014  
**Transaction ID : ABE5913E294C54AB88B1**  
 Amount of Each Receipt this Period  
 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**C. Kimberly Kimberly Sweet**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 11153 Gatemoore Way  
 City State Zip Code  
 San Diego CA 92131-3645  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Lpl Financial Llc SVP, Business Mgmt  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 229.24

Date of Receipt  
 M M / D D / Y Y Y Y Y Y  
 11 / 21 / 2014  
**Transaction ID : A5712BC3FF7084F7D9F8**  
 Amount of Each Receipt this Period  
 31.26  
 Payroll Deduction: \$10.42/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 395.84  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 53 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Todd Tharp**  
Full Name (Last, First, Middle Initial)

Mailing Address 6209 Beaumont

City La Jolla State CA Zip Code 92037-4838

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC Occupation EVP, Broker Dealer Supt Svcs

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **916.74**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A1E5D4C3FC6EE47A4A79**

Amount of Each Receipt this Period **125.01**

Payroll Deduction: \$41.67/Bi-Monthly

**B. Cinda Cinda Todd**  
Full Name (Last, First, Middle Initial)

Mailing Address 9320 Praful Court

City Santee State CA Zip Code 92071-2237

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation VP, Business Mgmt

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **229.24**

Date of Receipt **11 / 21 / 2014**

**Transaction ID : A24E8129E2868459B8FB**

Amount of Each Receipt this Period **31.26**

Payroll Deduction: \$10.42/Bi-Monthly

**C. TOM MICHAEL TYE, CFP**  
Full Name (Last, First, Middle Initial)

Mailing Address 3185 AIRPORT RD

City Danville State KY Zip Code 40422-9434

FEC ID number of contributing federal political committee. **C**

Name of Employer Tye Financial group Occupation Financial Advisor

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **437.43**

Date of Receipt **11 / 15 / 2014**

**Transaction ID : A5BC0661DB4DE4230928**

Amount of Each Receipt this Period **41.66**

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **197.93**

**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 54 OF 62  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. JOAN MARIE VALENTI**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 6 MORGAN PLACE  
 City Unionville State CT Zip Code 06085-1178  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Valentini Wealth Management Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 1050.00

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AAC4E14CC6B7942FDB08**  
 Amount of Each Receipt this Period 100.00  
 Payroll Deduction: \$50.00/Bi-Monthly

**B. STEVEN LEE VAN HOUTEN**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1428 CALLE CHRISTOPHER  
 City Encinitas State CA Zip Code 92024-5520  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial Occupation Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2499.96

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AFB22176324C945E5953**  
 Amount of Each Receipt this Period 416.66  
 Payroll Deduction: \$208.33/Bi-Monthly

**C. KENNETH WILLIAM VAN LEEUWEN**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1010 BARCLAY BLVD  
 City Princeton State NJ Zip Code 08540-5883  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Van Leeuwen & Company Occupation Managing Director  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2391.13

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A59BF49E1D1C743D689B**  
 Amount of Each Receipt this Period 125.00  
 Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional).....▶ 641.66  
**TOTAL** This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 55 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. JUDY ANN VANARSDALE**  
Full Name (Last, First, Middle Initial)

Mailing Address 22216 W. CHESTNUT RIDGE RD.

City Kildeer	State IL	Zip Code 60047-3052
-----------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer enRich Private Wealth Management	Occupation Advisor
--	-----------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **312.45**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : AB4768756D59E451FB0B**

Amount of Each Receipt this Period  

11	15	2014
62.45		

**41.66**

Payroll Deduction: \$20.83/Bi-Monthly

**B. SANDEEP VARMA**  
Full Name (Last, First, Middle Initial)

Mailing Address 688 CAMINO EL DORADO

City Encinitas	State CA	Zip Code 92024-3822
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer ATS Advanced Trustee Strategies	Occupation ATS Wealth Strategist
---	-------------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **624.90**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	15	/	2014

**Transaction ID : A30F5C3C6181141D7940**

Amount of Each Receipt this Period  

11	15	2014
62.45		

**83.32**

Payroll Deduction: \$41.66/Bi-Monthly

**C. Scott Vock**  
Full Name (Last, First, Middle Initial)

Mailing Address 2503 Roswell Avenue

City Charlotte	State NC	Zip Code 28209-1659
-------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation VP, Govn, Risk & Compliance
---------------------------------------	---

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼ **458.26**

Date of Receipt  

M M	/	D D	/	Y Y Y Y
11	/	21	/	2014

**Transaction ID : A86A04BE2147D4D5B80F**

Amount of Each Receipt this Period  

11	21	2014
62.45		

**62.49**

Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>187.47</b>
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 56 OF 62
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. WAYNE VON BORSTEL CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1603 MORTON  
 City The Dalles State OR Zip Code 97058-4006  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Lpl Financial LLC Occupation financial planner  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 4374.93

Date of Receipt 11 / 15 / 2014  
**Transaction ID : AB604085A02114493883**  
 Amount of Each Receipt this Period 416.66  
 Payroll Deduction: \$208.33/Bi-Monthly

**B. WAYNE DAVID WAGNER, JR.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 34 WATER VIEW DRIVE  
 City Pilesgrove State NJ Zip Code 08098-2649  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Vizionary Wealth Management Occupation President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2625.00

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A657599B3C98744A3963**  
 Amount of Each Receipt this Period 250.00  
 Payroll Deduction: \$125.00/Bi-Monthly

**C. MICHAEL JAMES WARD, CFP**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1491 BERNE-ALTAMONT ROAD  
 City Albany State NY Zip Code 12209  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer LPL Financial LLC Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 437.43

Date of Receipt 11 / 15 / 2014  
**Transaction ID : A3A57FAB6C06D451AAA0**  
 Amount of Each Receipt this Period 41.66  
 Payroll Deduction: \$20.83/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	708.32
<b>TOTAL</b> This Period (last page this line number only).....▶	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 57 OF 62
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. Jeffrey Warren**  
Full Name (Last, First, Middle Initial)  
Mailing Address 7137 Sherbourne Lane  
City San Diego State CA Zip Code 92129-4655  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial LLC Occupation SVP, Service  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **660.00**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A1984B5B4284C49AFB40**  
Amount of Each Receipt this Period **90.00**  
Payroll Deduction: \$30.00/Bi-Monthly

**B. STEPHEN H WEDEL**  
Full Name (Last, First, Middle Initial)  
Mailing Address 13350 BUCKLAND HALL ROAD  
City Saint Louis State MO Zip Code 63131-1214  
FEC ID number of contributing federal political committee. **C**  
Name of Employer LPL Financial LLC Occupation Advisor  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **312.45**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : A7FB61493A00F416B87B**  
Amount of Each Receipt this Period **41.66**  
Payroll Deduction: \$20.83/Bi-Monthly

**C. Geoffrey Geoffrey White**  
Full Name (Last, First, Middle Initial)  
Mailing Address 7454 Sundial Place  
City Carlsbad State CA Zip Code 92011-4688  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Lpl Financial Llc Occupation SVP, Retirement Recruiting  
Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **220.00**

Date of Receipt **11 / 21 / 2014**  
**Transaction ID : A94472441159F4046992**  
Amount of Each Receipt this Period **30.00**  
Payroll Deduction: \$10.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... **161.66**  
**TOTAL** This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 58 OF 62  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
			<input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. ROGER PATRICK WHITNEY**  
Full Name (Last, First, Middle Initial)  
Mailing Address 1304 KILLIAN DR

City Mansfield	State TX	Zip Code 76063-6038
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FEC ID number of contributing federal political committee. **C**

Name of Employer WWK Wealth Advisors	Occupation Partner
---	-----------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
218.61

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : A5142D424CB534521A87**

Amount of Each Receipt this Period  
20.82

Payroll Deduction: \$10.41/Bi-Monthly

**B. MICHAEL C. F. WILLIAMS, CFP**  
Full Name (Last, First, Middle Initial)  
Mailing Address 15 IVY LANE

City Chester Springs	State PA	Zip Code 19425-3316
-------------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer First Financial Networks. Inc	Occupation President
---	-------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
3333.20

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : A8C8193DA675848BA975**

Amount of Each Receipt this Period  
333.32

Payroll Deduction: \$166.66/Bi-Monthly

**C. WARREN DAVID WISE**  
Full Name (Last, First, Middle Initial)  
Mailing Address 437 WEDGEWOOD CT

City Eden	State NC	Zip Code 27288-2841
--------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Market St. Wealth Management Group	Occupation Financial Advisor
--	---------------------------------

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
2187.36

Date of Receipt  
11 / 15 / 2014  
**Transaction ID : AAB6665D3393F4566B20**

Amount of Each Receipt this Period  
208.32

Payroll Deduction: \$104.16/Bi-Monthly

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	562.46
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 59 OF 62  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. CARY ANN WONG**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 23015 EAST COLT LANE  
 City State Zip Code  
 Liberty Lake WA 99019-8520  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Wong Financial Services, Inc. Advisor  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 374.91

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : AA6C33B8580CB4FD48B5**  
 Amount of Each Receipt this Period  
 83.34  
 Payroll Deduction: \$41.67/Bi-Monthly

**B. Gregory M. Woods**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 116 Pembroke Street  
 City State Zip Code  
 Boston MA 02118-1208  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 LPL Financial, LLC EVP, Deputy General Counsel  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 916.74

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 21 / 2014  
**Transaction ID : A8E568B032D164EB5AE6**  
 Amount of Each Receipt this Period  
 125.01  
 Payroll Deduction: \$41.67/Bi-Monthly

**C. ROBERT ALAN YOUNG**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 14504 COUNTY ROAD 96B  
 City State Zip Code  
 Woodland CA 95695-9221  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Main Street Wealth Group Financial Advisor  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 395.77

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 11 / 15 / 2014  
**Transaction ID : ADBDF72648534462993C**  
 Amount of Each Receipt this Period  
 20.83  
 Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 229.18  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 60 OF 62  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

**A. MARK G ZITZELSBERGER**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 1048 CLUBHOUSE DRIVE  
 City Weidman State MI Zip Code 48893-9339  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Total Investment Planners, Inc. Occupation Financial Advisor  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ **874.86**

Date of Receipt **11 / 15 / 2014**  
**Transaction ID : AFED8C22F75994819883**  
 Amount of Each Receipt this Period **83.32**  
 Payroll Deduction: \$41.66/Bi-Monthly

**B.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address  
 City State Zip Code  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼

Date of Receipt  
 Amount of Each Receipt this Period

**C.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address  
 City State Zip Code  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼

Date of Receipt  
 Amount of Each Receipt this Period

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	<b>83.32</b>
<b>TOTAL</b> This Period (last page this line number only).....▶	<b>19909.15</b>

# SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

### A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement  
Credit Card Processing Fee

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
11		14		2014

Transaction ID : B437D9E05D23042F59C2

Amount of Each Disbursement this Period

5.00
------

Full Name (Last, First, Middle Initial)

### B.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

--

Full Name (Last, First, Middle Initial)

### C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  Senate  President  
State: District:

Disbursement For:  Primary  General  Other (specify) ▼

Category/  
Type

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y

Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

5.00
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5.00
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**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name (Last, First, Middle Initial)

**A. FITZPATRICK FOR CONGRESS**

Mailing Address PO BOX 185

City LANGHORNE State PA Zip Code 19047

Purpose of Disbursement  
PA US House

Candidate Name  
**Rep. Mike G. Fitzpatrick**

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify) ▼  
State: PA District: 08

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			21			2014			

Transaction ID : B303A0F4FB78C4BBFBF6

Amount of Each Disbursement this Period

1000.00
---------

Full Name (Last, First, Middle Initial)

**B. FRIENDS OF FRANK GUINTA**

Mailing Address PO BOX 877

City MANCHESTER State NH Zip Code 03105

Purpose of Disbursement  
In-Kind for Friends of Frank Guinta

Candidate Name  
**Rep. Frank Guinta**

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify) ▼  
State: NH District: 01

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			17			2014			

Transaction ID : BB27BE08A454C4CC5956

Amount of Each Disbursement this Period

497.10
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Full Name (Last, First, Middle Initial)

**C. Lpl Financial LLC**

Mailing Address 75 State St  
FI 24

City Boston State MA Zip Code 02109-1827

Purpose of Disbursement  
In-Kind for Frank Guinta (via drawdown)

Candidate Name

Office Sought:  House  Senate  President  
Disbursement For: 2014  Primary  General  Other (specify) ▼  
State: District:

Date of Disbursement

M	M	/	D	D	/	Y	Y	Y	Y
10			17			2014			

Transaction ID : B16955F55FE904C0F9AB

Amount of Each Disbursement this Period

-497.10
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**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

1000.00
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1000.00
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