

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.
LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

 Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲
 3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports:
 April 15 Quarterly Report (Q1)
 July 15 Quarterly Report (Q2)
 October 15 Quarterly Report (Q3)
 January 31 Year-End Report (YE)
 July 31 Mid-Year Report (Non-election Year Only) (MY)
 Termination Report (TER)
(b) Monthly Report Due On:
 Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
 Mar 20 (M3) Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
 Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (YE)
(c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R)
 Convention (12C) Special (12S)
Election on / / in the State of
(d) 30-Day POST-Election Report for the: General (30G) Runoff (30R) Special (30S)
Election on / / in the State of

5. Covering Period / / through / /

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.
Type or Print Name of Treasurer Keith Shores

Signature of Treasurer *Keith Shores* [Electronically Filed] Date / /

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2014"/>		200049.50
(b) Cash on Hand at Beginning of Reporting Period.....	182155.93	
(c) Total Receipts (from Line 19)	17842.00	49950.93
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	199997.93	250000.43
7. Total Disbursements (from Line 31).....	22545.00	72547.50
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	177452.93	177452.93
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: / / To: / /

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	14778.64	33803.32
(ii) Unitemized	3063.36	16012.27
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ▶	17842.00	49815.59
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	17842.00	49815.59
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	135.34
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	17842.00	49950.93
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	17842.00	49950.93

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	45.00	1047.50
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	45.00	1047.50
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	22500.00	71500.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	22545.00	72547.50
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	22545.00	72547.50

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	17842.00	49815.59
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	17842.00	49815.59
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	45.00	1047.50
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36)	45.00	912.16

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Steve Ayala
Full Name (Last, First, Middle Initial)

Mailing Address 5014 Maynard Street

City San Diego State CA Zip Code 92122-3934

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Finance

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt 03 / 15 / 2014
Transaction ID : A8EB4237397334AF49A4

Amount of Each Receipt this Period 50.00

Payroll Deduction: \$50.00/Bi-Monthly

B. James EDWARD Bashaw
Full Name (Last, First, Middle Initial)

Mailing Address 5908 Riverview Way

City Houston State TX Zip Code 77057-1434

FEC ID number of contributing federal political committee. **C**

Name of Employer James E. Bashaw & Co. Occupation CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1249.98

Date of Receipt 03 / 31 / 2014
Transaction ID : A77D5CA400831433CA22

Amount of Each Receipt this Period 416.66

Payroll Deduction: \$208.33/Bi-Monthly

C. Harvey STUART Berk
Full Name (Last, First, Middle Initial)

Mailing Address 9 Conrad Ln

City New City State NY Zip Code 10956-6851

FEC ID number of contributing federal political committee. **C**

Name of Employer Berk Wealth Management Occupation President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 499.98

Date of Receipt 03 / 31 / 2014
Transaction ID : A737CA99ED00B4C7DACC

Amount of Each Receipt this Period 166.66

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶ 633.32

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Mimi Bock
Full Name (Last, First, Middle Initial)

Mailing Address 6053 Seacrest View Road

City San Diego State CA Zip Code 92121-4370

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation EVP, Business Consulting

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **625.02**

Date of Receipt **03 / 28 / 2014**

Transaction ID : A6EE25F0B451A4C4F92E

Amount of Each Receipt this Period **208.34**

Payroll Deduction: \$104.17/Bi-Monthly

B. Michael S Brady
Full Name (Last, First, Middle Initial)

Mailing Address 22 Plymouth Rd

City Springfield State PA Zip Code 19064-1307

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Financial Consultant

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **625.00**

Date of Receipt **03 / 15 / 2014**

Transaction ID : A3A96EAB09D754A8C9E8

Amount of Each Receipt this Period **125.00**

Payroll Deduction: \$125.00/Bi-Monthly

C. Derek Bruton
Full Name (Last, First, Middle Initial)

Mailing Address 17605 Calle Mayor

City Rancho Santa Fe State CA Zip Code 92067

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC Occupation MD, Independent Advisor Svcs

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.02**

Date of Receipt **03 / 28 / 2014**

Transaction ID : A41188F6CE38C4E9DA33

Amount of Each Receipt this Period **83.34**

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	416.68
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 8 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Bethany Bryant
Full Name (Last, First, Middle Initial)
Mailing Address 10172 Bissell Drive

City Twinsburg	State OH	Zip Code 44087-2906
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation President, PTC
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	03	/	2014

Transaction ID : A982F550F377844E3888

Amount of Each Receipt this Period

300.00

B. Steven ANDREW Budin
Full Name (Last, First, Middle Initial)
Mailing Address 25 Morninglow Pass

City Henderson	State NV	Zip Code 89052-6683
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FEC ID number of contributing federal political committee. **C**

Name of Employer The Budin Group	Occupation Financial Advisor
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.98**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AB6F49C1B891648E0B20

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$83.33/Bi-Monthly

C. Robert Burns
Full Name (Last, First, Middle Initial)
Mailing Address 24151 Cascades Dr

City Laguna Niguel	State CA	Zip Code 92677-2418
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FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc	Occupation Financial Advisor
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Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **208.30**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : A937013ACECBB4877BCE

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	549.98
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. R. Craig Byrd			Date of Receipt 03 / 31 / 2014 Transaction ID : ADC5C938A0DC84720832
Mailing Address 1723 SE 270th PI			Amount of Each Receipt this Period 416.66
City Camas	State WA	Zip Code 98607-8585	Payroll Deduction: \$208.33/Bi-Monthly
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 1249.98	
Name of Employer Lpl Financial Llc	Occupation Financial Advisor		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) B. Kelly PETER Campbell			Date of Receipt 03 / 31 / 2014 Transaction ID : A56A3929E6725499FB42
Mailing Address 11727 River Dr			Amount of Each Receipt this Period 416.66
City Lorton	State VA	Zip Code 22079-4104	Payroll Deduction: \$208.33/Bi-Monthly
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 1249.98	
Name of Employer Campbell Wealth Management	Occupation CEO		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

Full Name (Last, First, Middle Initial) C. Bill Chetney			Date of Receipt 03 / 28 / 2014 Transaction ID : A853C2DBC5C3347BA96D
Mailing Address 35705 Beach Road			Amount of Each Receipt this Period 200.00
City Capistrano Beach	State CA	Zip Code 92624-1711	Payroll Deduction: \$100.00/Bi-Monthly
FEC ID number of contributing federal political committee. C		Aggregate Year-to-Date 600.00	
Name of Employer LPL Financial LLC	Occupation President, Retirement Partners		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼			

SUBTOTAL of Receipts This Page (optional).....	1033.32
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 10 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Suzanne H. Christian
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 1237

City Claremont State CA Zip Code 91711-1237

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Branch Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
03 / 31 / 2014

Transaction ID : A6191F72FCC9E450B949

Amount of Each Receipt this Period
83.32

Payroll Deduction: \$41.66/Bi-Monthly

B. Heidi GRACE Clute
Full Name (Last, First, Middle Initial)

Mailing Address 21 Pine Ridge Dr

City Morrisonville State NY Zip Code 12962-9671

FEC ID number of contributing federal political committee. **C**

Name of Employer Clute Wealth Management Occupation Owner

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **416.65**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
03 / 31 / 2014

Transaction ID : AA7CD6B191B3D411B95A

Amount of Each Receipt this Period
166.66

Payroll Deduction: \$83.33/Bi-Monthly

C. James Cox III
Full Name (Last, First, Middle Initial)

Mailing Address 9116 Atlee Lake Ct

City Mechanicsville State VA Zip Code 23116-2871

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **520.80**

Date of Receipt
 M M M / D D D / Y Y Y Y Y Y
03 / 31 / 2014

Transaction ID : A30F1C4050C854B1D9D2

Amount of Each Receipt this Period
208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	458.30
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 11 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Angela LOUISE Denham
Full Name (Last, First, Middle Initial)
Mailing Address 17602 Pecan Shadows Dr
City Baton Rouge State LA Zip Code 70810-6581
FEC ID number of contributing federal political committee. **C**
Name of Employer A.L. Denham Wealth Management Occupation Advisor
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date **249.96**

Date of Receipt **03 / 31 / 2014**
Transaction ID : ADF9C7D43976441789A1
Amount of Each Receipt this Period **83.32**
Payroll Deduction: \$41.66/Bi-Monthly

B. David STAPLEY Dixon
Full Name (Last, First, Middle Initial)
Mailing Address 1419 Drakewood Ave
City North Las Vegas State NV Zip Code 89031-1694
FEC ID number of contributing federal political committee. **C**
Name of Employer Dixon Financial Group, LLC Occupation Financial Advisor
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date **249.96**

Date of Receipt **03 / 31 / 2014**
Transaction ID : A6EF8BC5E2978486F8F3
Amount of Each Receipt this Period **83.32**
Payroll Deduction: \$41.66/Bi-Monthly

C. Richard CALVERT Donahue
Full Name (Last, First, Middle Initial)
Mailing Address 2407 Princeton Ct Apt 11
City Bellingham State WA Zip Code 98229-8840
FEC ID number of contributing federal political committee. **C**
Name of Employer Asset Advisors, LLC Occupation Financial Advisor
Receipt For: Primary General Other (specify)
Aggregate Year-to-Date **624.96**

Date of Receipt **03 / 31 / 2014**
Transaction ID : A43AFFAC97D504D5A9E0
Amount of Each Receipt this Period **208.32**
Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **374.96**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 12 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Robert L Errico
Full Name (Last, First, Middle Initial)

Mailing Address 10 Villeneuve

City Newport Beach State CA Zip Code 92657-1023

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A1B7F5E26130B453E923

Amount of Each Receipt this Period **250.00**

Payroll Deduction: \$125.00/Bi-Monthly

B. Sharon JOY Fall
Full Name (Last, First, Middle Initial)

Mailing Address 25 E Queen Elizabeth Ct

City Chester State MD Zip Code 21619-0000

FEC ID number of contributing federal political committee. **C**

Name of Employer SANDY SPRING INVESTMENT SVC Occupation financial planner

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt **03 / 31 / 2014**

Transaction ID : AE2A9100EDDE34CB5B34

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

C. Frank Filippis
Full Name (Last, First, Middle Initial)

Mailing Address 205 Bristol Court

City Waxhaw State NC Zip Code 28173-6887

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC Occupation SVP, Technology

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **500.00**

Date of Receipt **03 / 25 / 2014**

Transaction ID : AE5C05522F14947219CD

Amount of Each Receipt this Period **500.00**

SUBTOTAL of Receipts This Page (optional).....▶	833.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 13 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Mr. Richard NORTHRUP Fisher Jr.
 Full Name (Last, First, Middle Initial)
 Mailing Address 7003 Windsor Way
 City State Zip Code
 Elon NC 27244-9404
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 Fisher Wealth Management Managing Partner
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 249.96

Date of Receipt
 03 / 31 / 2014
Transaction ID : AE2BD539552A14DFAB78
 Amount of Each Receipt this Period
 83.32
 Payroll Deduction: \$41.66/Bi-Monthly

B. Fitz Fisher
 Full Name (Last, First, Middle Initial)
 Mailing Address 867 Homestake Court
 City State Zip Code
 Castle Rock CO 80108-9081
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 LPL Financial LLC EVP, Technology Risk Mgmt
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 450.00

Date of Receipt
 03 / 28 / 2014
Transaction ID : A4A5C20AB539B468A9C6
 Amount of Each Receipt this Period
 150.00
 Payroll Deduction: \$75.00/Bi-Monthly

C. Robert IVANO Fragasso
 Full Name (Last, First, Middle Initial)
 Mailing Address 611 Keith Rd
 City State Zip Code
 Bethel Park PA 15102-3741
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Occupation
 LPL Financial LLC Financial Advisor
 Receipt For:
 Primary General
 Other (specify) ▼
 Aggregate Year-to-Date ▼
 500.00

Date of Receipt
 03 / 15 / 2014
Transaction ID : A37B81B88BE344E0CB57
 Amount of Each Receipt this Period
 125.00
 Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	358.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 14 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Marc STUART Freedman
Full Name (Last, First, Middle Initial)

Mailing Address 119 Kara Dr

City North Andover State MA Zip Code 01845-4834

FEC ID number of contributing federal political committee. **C**

Name of Employer: Freedman Financial Occupation: President & CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **999.96**

Date of Receipt: **03 / 31 / 2014**

Transaction ID : A60D3892586B9476DABE

Amount of Each Receipt this Period: **333.32**

Payroll Deduction: \$166.66/Bi-Monthly

B. Loreen MICHELLE Gilbert
Full Name (Last, First, Middle Initial)

Mailing Address 250 Beverly St

City Laguna Beach State CA Zip Code 92651-1402

FEC ID number of contributing federal political committee. **C**

Name of Employer: WealthWise Financial Services Occupation: President

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt: **03 / 31 / 2014**

Transaction ID : AE54BAC3E62FA4E22B8F

Amount of Each Receipt this Period: **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

C. Joy Goble
Full Name (Last, First, Middle Initial)

Mailing Address 3217 Selwyn Farms Ln

City Charlotte State NC Zip Code 28209-4009

FEC ID number of contributing federal political committee. **C**

Name of Employer: LPL Financial LLC Occupation: SVP, Product Management

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **210.00**

Date of Receipt: **03 / 28 / 2014**

Transaction ID : AF41569CA703B4B24BEC

Amount of Each Receipt this Period: **70.00**

Payroll Deduction: \$35.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	486.64
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 15 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. William E Hamm Jr.		Date of Receipt MM / DD / YYYY 03 / 31 / 2014 Transaction ID : A33198754DCB2439B86E
Mailing Address 657 Saxony Blvd		Amount of Each Receipt this Period 416.66
City Saint Petersburg	State FL	Zip Code 33716-1297
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$208.33/Bi-Monthly
Name of Employer IFP	Occupation CEO	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 833.32	

Full Name (Last, First, Middle Initial) B. EDWARD JAMES HARRIS		Date of Receipt MM / DD / YYYY 03 / 31 / 2014 Transaction ID : ABA3E390EE6F243F0908
Mailing Address 60 Park Ter		Amount of Each Receipt this Period 83.32
City Washington	State PA	Zip Code 15301-3055
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$41.66/Bi-Monthly
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 249.96	

Full Name (Last, First, Middle Initial) C. Michael PATRICK Hatch		Date of Receipt MM / DD / YYYY 03 / 31 / 2014 Transaction ID : A7110BE96CC0045C68E5
Mailing Address 3618 San Pasqual St		Amount of Each Receipt this Period 166.66
City Pasadena	State CA	Zip Code 91107-5419
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$83.33/Bi-Monthly
Name of Employer The Sterling Group	Occupation Financial Advisor & Principal	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 499.98	

SUBTOTAL of Receipts This Page (optional).....▶	666.64
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 16 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Brad Hearn
Full Name (Last, First, Middle Initial)

Mailing Address 7293 Calle Conifera

City Carlsbad State CA Zip Code 92009-8699

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation EVP, Insurance Associates

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 252.00

Date of Receipt 03 / 28 / 2014
Transaction ID : ABD6A731146AB4892B5D

Amount of Each Receipt this Period 84.00

Payroll Deduction: \$42.00/Bi-Monthly

B. Mark Helliker
Full Name (Last, First, Middle Initial)

Mailing Address 700 W Harbor Drive

City San Diego State CA Zip Code 92101-7753

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation MD, Broker Dealer Support Svcs

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 900.00

Date of Receipt 03 / 28 / 2014
Transaction ID : A273E8244DF4148F58F9

Amount of Each Receipt this Period 300.00

Payroll Deduction: \$150.00/Bi-Monthly

C. David GEORGE Herbst
Full Name (Last, First, Middle Initial)

Mailing Address 925 Burgess Hill Rd

City Naperville State IL Zip Code 60565-6106

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ 999.96

Date of Receipt 03 / 31 / 2014
Transaction ID : A135A3B91251F4DFC84A

Amount of Each Receipt this Period 333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶ 717.32

TOTAL This Period (last page this line number only).....▶

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 17 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Michael WILLIAM Jowdy
Full Name (Last, First, Middle Initial)

Mailing Address 715 Marvista Ave

City Seal Beach State CA Zip Code 90740-5835

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation CFP

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.98**

Date of Receipt
03 / 31 / 2014

Transaction ID : A0D37EDBE0FEE4DCFBD

Amount of Each Receipt this Period
166.66

Payroll Deduction: \$83.33/Bi-Monthly

B. Andy Kalbaugh
Full Name (Last, First, Middle Initial)

Mailing Address 6713 Seton House Lane

City Charlotte State NC Zip Code 28277-4519

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation MD, Institution Services

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **625.02**

Date of Receipt
03 / 28 / 2014

Transaction ID : A67084D4D008149BFB32

Amount of Each Receipt this Period
208.34

Payroll Deduction: \$104.17/Bi-Monthly

C. David BLANDIN La Pointe
Full Name (Last, First, Middle Initial)

Mailing Address 37919 Luna Dr

City Temecula State CA Zip Code 92592-9053

FEC ID number of contributing federal political committee. **C**

Name of Employer La Pointe Wealth Management Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1249.98**

Date of Receipt
03 / 31 / 2014

Transaction ID : A06766BBAFDBE4A20AFC

Amount of Each Receipt this Period
416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **791.66**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 18 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Stephen Lank
Full Name (Last, First, Middle Initial)

Mailing Address 15936 Glen Miro Drive

City Huntersville	State NC	Zip Code 28078-2255
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC	Occupation EVP, Operations
--	-------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	28	/	2014

Transaction ID : A556FE57FB2784364B85

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

B. Mark EDWARD Leibman
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 165

City Cedar Creek	State NE	Zip Code 68016-0165
---------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation Advisor
---------------------------------------	-----------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : A1C5F5B7962734C2E8A3

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

C. Lawrence NELSON Leitch
Full Name (Last, First, Middle Initial)

Mailing Address 29 Windflower Ct

City Reisterstown	State MD	Zip Code 21136-5651
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FEC ID number of contributing federal political committee. **C**

Name of Employer Synergy Financial Group	Occupation Partner
---	-----------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : A2728AEB1BB8F4F7A9D8

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	300.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 19 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Tom Lux
Full Name (Last, First, Middle Initial)

Mailing Address 8875 Costa Verde Blvd Apt 912
Unit B203

City San Diego State CA Zip Code 92122-7683

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation EVP, Chief Accounting Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **625.02**

Date of Receipt
03 / 28 / 2014
Transaction ID : **A99511FF21E364BDFB3C**

Amount of Each Receipt this Period
208.34

Payroll Deduction: \$104.17/Bi-Monthly

B. Mr. Cam JOEL Mader
Full Name (Last, First, Middle Initial)

Mailing Address 10660 Glen Eagle Rd

City Saint Paul State MN Zip Code 55129-4223

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **208.30**

Date of Receipt
03 / 31 / 2014
Transaction ID : **A379F8CFAA9CC46EAAC5**

Amount of Each Receipt this Period
83.32

Payroll Deduction: \$41.66/Bi-Monthly

C. Laila SOBHI Marshall-Pence
Full Name (Last, First, Middle Initial)

Mailing Address 10572 Villa Del Cerro

City Santa Ana State CA Zip Code 92705-1486

FEC ID number of contributing federal political committee. **C**

Name of Employer Pence Wealth Management Occupation President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **1249.98**

Date of Receipt
03 / 31 / 2014
Transaction ID : **A01A378E823434B80B1B**

Amount of Each Receipt this Period
416.66

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **708.32**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 20 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Theodore ROCCO Massaro
 Full Name (Last, First, Middle Initial)
 Mailing Address 36 Harvest Ln
 City Medford State NJ Zip Code 08055-8450
 FEC ID number of contributing federal political committee. **C**
 Name of Employer M Financial Planning Services Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **750.00**

Date of Receipt **03 / 31 / 2014**
Transaction ID : AEA7417A8E18E4D3884C
 Amount of Each Receipt this Period **250.00**
 Payroll Deduction: \$125.00/Bi-Monthly

B. Robert ARTHUR Matson
 Full Name (Last, First, Middle Initial)
 Mailing Address 333 Orchard St
 City Millis State MA Zip Code 02054-1025
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Robert A Matson CLU, ChFC Occupation Owner
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **249.96**

Date of Receipt **03 / 31 / 2014**
Transaction ID : ADBB1C6BBBAE4433BB1E
 Amount of Each Receipt this Period **83.32**
 Payroll Deduction: \$41.66/Bi-Monthly

C. Jamie K McIntyre
 Full Name (Last, First, Middle Initial)
 Mailing Address 13427 Haddonfield Lane
 City Darnestown State MD Zip Code 20878-3621
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation CEO, Fortigent
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **500.00**

Date of Receipt **03 / 11 / 2014**
Transaction ID : A170F1BFD2A0C4BB4A15
 Amount of Each Receipt this Period **500.00**

SUBTOTAL of Receipts This Page (optional)..... **833.32**
TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 21 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. David JOHN McMenamin
 Full Name (Last, First, Middle Initial)
 Mailing Address 5100 W Rhyolite Loop
 City Tucson State AZ Zip Code 85745-8967
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial Occupation Financial Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **333.32**

Date of Receipt **03 / 31 / 2014**
Transaction ID : A7A42CCFC2CDF445AA46
 Amount of Each Receipt this Period **166.66**
 Payroll Deduction: \$83.33/Bi-Monthly

B. Robert EDWARD Micone
 Full Name (Last, First, Middle Initial)
 Mailing Address 360 E 1st St
 City Tustin State CA Zip Code 92780-3211
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL-IAS Occupation Registered Principal
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **750.00**

Date of Receipt **03 / 31 / 2014**
Transaction ID : A118F12AAE35D4D7DB99
 Amount of Each Receipt this Period **250.00**
 Payroll Deduction: \$125.00/Bi-Monthly

C. EDWARD BRUCE MILLER
 Full Name (Last, First, Middle Initial)
 Mailing Address 1721 Bardstown Rd
 City Charlotte State NC Zip Code 28226-0915
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation Advisor
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **1249.98**

Date of Receipt **03 / 31 / 2014**
Transaction ID : AD9F5C098C830492CBC0
 Amount of Each Receipt this Period **416.66**
 Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	833.32
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 22 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Shari DIANE Miller
Full Name (Last, First, Middle Initial)

Mailing Address 4250 Shorepointe Way

City San Diego	State CA	Zip Code 92130-8634
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FEC ID number of contributing federal political committee. **C**

Name of Employer Symbio Financial Partners	Occupation manager
---	-----------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼	249.96
--------------------------	--------

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AFAAC4E3F5BCF4F33805

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

B. Robert Moore
Full Name (Last, First, Middle Initial)

Mailing Address PO Box 2764

City Rancho Santa Fe	State CA	Zip Code 92067-2764
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FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC	Occupation President
---------------------------------------	-------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼	1249.98
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Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	28	/	2014

Transaction ID : A7136FC7A17974FF3BE0

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

C. Carlo ANTHONY Panaccione
Full Name (Last, First, Middle Initial)

Mailing Address 12760 Dianne Dr

City Los Altos Hills	State CA	Zip Code 94022-4606
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FEC ID number of contributing federal political committee. **C**

Name of Employer Navigation Group, Inc.	Occupation Private Wealth Advisor
--	--------------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼	666.64
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Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AD687E89C12214FBBAB9

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	833.30
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 23 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. David ANTHONY Pickler
 Full Name (Last, First, Middle Initial)
 Mailing Address 2268 Birchton Dr
 City Germantown State TN Zip Code 38139-5251
 FEC ID number of contributing federal political committee. **C**
 Name of Employer Pickler Wealth Advisors Occupation CEO
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 999.96

Date of Receipt 03 / 31 / 2014
Transaction ID : A5FC968BD644942DFB34
 Amount of Each Receipt this Period 333.32
 Payroll Deduction: \$166.66/Bi-Monthly

B. David Reich
 Full Name (Last, First, Middle Initial)
 Mailing Address 7995 Entrada De Luz West
 City San Diego State CA Zip Code 92127-2512
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation EVP, Retirement Platform Dev
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 625.02

Date of Receipt 03 / 28 / 2014
Transaction ID : A96B5DCD2CCA447ED99E
 Amount of Each Receipt this Period 208.34
 Payroll Deduction: \$104.17/Bi-Monthly

C. Lee Richardson
 Full Name (Last, First, Middle Initial)
 Mailing Address 14823 Asheton Creek Dr
 City Charlotte State NC Zip Code 28273-3448
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation VP, Technology
 Receipt For: Primary General Other (specify) ▼
 Aggregate Year-to-Date ▼ 250.02

Date of Receipt 03 / 28 / 2014
Transaction ID : AEC1E1F5D11C048929DF
 Amount of Each Receipt this Period 83.34
 Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	625.00
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 24 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Carol L. Rogers
Full Name (Last, First, Middle Initial)

Mailing Address 1306 Conway Oaks Dr

City Chesterfield State MO Zip Code 63017-1958

FEC ID number of contributing federal political committee. **C**

Name of Employer Rogers & Company, A Wealth Management Occupation President, CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A40A5F24748F341ABAE1

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

B. Karl HORACE Romero
Full Name (Last, First, Middle Initial)

Mailing Address 6 De Soto Way

City Trabuco Canyon State CA Zip Code 92679-3600

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Principal

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **416.65**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A05831135DEFF4DD6B97

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

C. Arthur R. Salomon
Full Name (Last, First, Middle Initial)

Mailing Address 459 Woodlake Way

City Lexington State KY Zip Code 40502-2535

FEC ID number of contributing federal political committee. **C**

Name of Employer Salomon & Co. Occupation Financial Advisor-CEO

Receipt For: Primary General Other (specify) ▼

Aggregate Year-to-Date ▼ **300.00**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A4B8F877F55A5450690E

Amount of Each Receipt this Period **100.00**

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... **349.98**

TOTAL This Period (last page this line number only).....

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 25 OF 36
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

<input type="checkbox"/> 12	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Dan Schuck
 Full Name (Last, First, Middle Initial)
 Mailing Address 10370 Crosscreek Terrace
 City San Diego State CA Zip Code 92131-1341
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation SVP, Technology
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **600.00**

Date of Receipt **03 / 28 / 2014**
Transaction ID : A37E8CA89BFD940D9928
 Amount of Each Receipt this Period **200.00**
 Payroll Deduction: \$100.00/Bi-Monthly

B. Charles Schwab
 Full Name (Last, First, Middle Initial)
 Mailing Address 3501 Lone Hill Lane
 City Encinitas State CA Zip Code 92024-7276
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial, LLC Occupation EVP, BTS
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.02**

Date of Receipt **03 / 28 / 2014**
Transaction ID : ADA70D6426536476CA87
 Amount of Each Receipt this Period **83.34**
 Payroll Deduction: \$41.67/Bi-Monthly

C. Keith Shores
 Full Name (Last, First, Middle Initial)
 Mailing Address 4923 Bradshaw Court
 City San Diego State CA Zip Code 92130-2751
 FEC ID number of contributing federal political committee. **C**
 Name of Employer LPL Financial LLC Occupation SVP, Treasurer
 Receipt For: Primary General Other (specify)
 Aggregate Year-to-Date **250.02**

Date of Receipt **03 / 28 / 2014**
Transaction ID : A09E7419F4456439EBF5
 Amount of Each Receipt this Period **83.34**
 Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....	366.68
TOTAL This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 26 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Gerry Soderstrom
Full Name (Last, First, Middle Initial)

Mailing Address 5518 Bellevue Ave

City La Jolla State CA Zip Code 92037-7626

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial LLC Occupation SVP, Internal Audit

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.00**

Date of Receipt **03 / 15 / 2014**

Transaction ID : A0C055F789B5E494EA61

Amount of Each Receipt this Period **50.00**

Payroll Deduction: \$50.00/Bi-Monthly

B. Greg ROBERT Solis
Full Name (Last, First, Middle Initial)

Mailing Address 49335 Avenida Fernando

City La Quinta State CA Zip Code 92253-2744

FEC ID number of contributing federal political committee. **C**

Name of Employer Lpl Financial Llc Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.98**

Date of Receipt **03 / 31 / 2014**

Transaction ID : AB814F787FB1E4651AB0

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

C. Gary M Stanislawski
Full Name (Last, First, Middle Initial)

Mailing Address 2992 E 78th St

City Tulsa State OK Zip Code 74136-8732

FEC ID number of contributing federal political committee. **C**

Name of Employer Regent Financial Services Occupation President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **499.98**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A2ACF120981AD46E981E

Amount of Each Receipt this Period **166.66**

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	383.32
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 27 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. John P Stevens
Full Name (Last, First, Middle Initial)
Mailing Address 6697 Mahogany Ct

City	State	Zip Code
Liberty Township	OH	45044-8894

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
Stevens Investment Group, Inc	Wealth Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : A8769F0F4D0FB4D37A3E

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

B. Kevin PETER Supka
Full Name (Last, First, Middle Initial)
Mailing Address 1408 Stocton Rd

City	State	Zip Code
Jenkintown	PA	19046-1131

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial	Registered Principal

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **999.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : A2D4A06AF40FB4DCF8CB

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

C. Todd Tharp
Full Name (Last, First, Middle Initial)
Mailing Address 6209 Beaumont

City	State	Zip Code
La Jolla	CA	92037-4838

FEC ID number of contributing federal political committee. **C**

Name of Employer	Occupation
LPL Financial, LLC	EVP, Broker Dealer Supt Svcs

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.02**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	28	/	2014

Transaction ID : A572B729433064618B10

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	499.98
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 28 OF 36
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial) A. Joan MARIE Valenti		Date of Receipt 03 / 31 / 2014 Transaction ID : A4F413DE907684EC98AD
Mailing Address 6 Morgan Pl		Amount of Each Receipt this Period 100.00
City Unionville	State CT	Zip Code 06085-1178
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$50.00/Bi-Monthly
Name of Employer Lpl Financial Llc	Occupation Financial Advisor	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	

Full Name (Last, First, Middle Initial) B. Kenneth WILLIAM Van Leeuwen		Date of Receipt 03 / 31 / 2014 Transaction ID : A9E1921888F1245939B1
Mailing Address 1010 Barclay Blvd		Amount of Each Receipt this Period 250.00
City Princeton	State NJ	Zip Code 08540-5883
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$125.00/Bi-Monthly
Name of Employer Van Leeuwen& Co. LLC	Occupation Managing Director	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	

Full Name (Last, First, Middle Initial) C. Wayne von Borstel		Date of Receipt 03 / 31 / 2014 Transaction ID : A8C2D4C218DFC448C9FA
Mailing Address 1603 Morton St		Amount of Each Receipt this Period 416.66
City The Dalles	State OR	Zip Code 97058-4006
FEC ID number of contributing federal political committee. C		Payroll Deduction: \$208.33/Bi-Monthly
Name of Employer Lpl Financial LLC	Occupation financial planner	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1249.98	

SUBTOTAL of Receipts This Page (optional).....▶	766.66
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 29 OF 36
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Wayne DAVID Wagner Jr.
Full Name (Last, First, Middle Initial)

Mailing Address 8 Leap Dr

City Pennsville	State NJ	Zip Code 08070-1117
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FEC ID number of contributing federal political committee. **C**

Name of Employer Vizionary Wealth Management	Occupation President
---	-------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **750.00**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AC2149D1952924CE2B1E

Amount of Each Receipt this Period

250.00

Payroll Deduction: \$125.00/Bi-Monthly

B. Michael C. F. Williams
Full Name (Last, First, Middle Initial)

Mailing Address 15 Ivy Ln

City Chester Springs	State PA	Zip Code 19425-3316
-------------------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer First Financial Networks. Inc	Occupation President
---	-------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **999.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AF861D9EDD2C140AA9A3

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

C. Warren DAVID Wise
Full Name (Last, First, Middle Initial)

Mailing Address 437 Wedgewood Ct

City Eden	State NC	Zip Code 27288-2841
--------------	-------------	------------------------

FEC ID number of contributing federal political committee. **C**

Name of Employer Market St. Wealth Management Group	Occupation Financial Advisor
--	---------------------------------

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **624.96**

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
03	/	31	/	2014

Transaction ID : AC740971FFAF24DBC879

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶	791.64
TOTAL This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 36
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)
A. Gregory M. Woods

Mailing Address 116 Pembroke Street

City Boston State MA Zip Code 02118-1208

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial, LLC Occupation EVP, Deputy General Counsel

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **250.02**

Date of Receipt **03 / 28 / 2014**

Transaction ID : A005FE8EA0C214274971

Amount of Each Receipt this Period **83.34**

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)
B. Mark G Zitzelsberger

Mailing Address 1048 Clubhouse Dr

City Weidman State MI Zip Code 48893-9339

FEC ID number of contributing federal political committee. **C**

Name of Employer Total Investment Planners, Inc. Occupation Financial Advisor

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ **249.96**

Date of Receipt **03 / 31 / 2014**

Transaction ID : A408ED109611F4670925

Amount of Each Receipt this Period **83.32**

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)
C.

Mailing Address

City State Zip Code

FEC ID number of contributing federal political committee. **C**

Name of Employer Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional).....▶	166.66
TOTAL This Period (last page this line number only).....▶	14778.64

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input checked="" type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
03 / 17 / 2014

Transaction ID : B6AC0F0C6BE4E4F45A8D

Amount of Each Disbursement this Period

15.00

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City Washington State DC Zip Code 20003-1164

Purpose of Disbursement
Credit Card Processing Fees

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY
03 / 24 / 2014

Transaction ID : BEB323932F04A44B6A79

Amount of Each Disbursement this Period

30.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House Senate President
State: District:

Disbursement For: Primary General Other (specify) ▼

Category/Type

Date of Disbursement

MM / DD / YYYY

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

45.00

45.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ALEXANDER FOR SENATE 2014 INC

Mailing Address 228 S WASHINGTON STREET SUITE 115

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement
TN US Senate

Candidate Name
Sen. Lamar Alexander

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: TN District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
03 / 21 / 2014

Transaction ID : **B277D794CE7944541833**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. CROWLEY FOR CONGRESS

Mailing Address 50 E Street, SE - Suite 1

City Washington State DC Zip Code 20003

Purpose of Disbursement
NY US House

Candidate Name
Rep. Joseph Crowley

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NY District: 14

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
03 / 21 / 2014

Transaction ID : **B397310D9C3E04DB3AFB**

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

C. FRIENDS OF JOHN BOEHNER

Mailing Address 7908 CINCINNATI DAYTON ROAD
SUITE I

City WEST CHESTER State OH Zip Code 45069

Purpose of Disbursement
OH US House

Candidate Name
Rep. John A. Boehner

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: OH District: 08

Date of Disbursement

M M M / D D D / Y Y Y Y Y Y
03 / 21 / 2014

Transaction ID : **BFC3C919737144B1EA45**

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

5500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. FRIENDS OF MARK WARNER

Mailing Address 201 NORTH UNION STREET SUITE 300

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement
VA US Senate

Candidate Name
Sen. Mark R. Warner

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: VA District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y
03 / 21 / 2014

Transaction ID : BDD2501191C2D4DCE870

Amount of Each Disbursement this Period

2000.00

Full Name (Last, First, Middle Initial)

B. FRIENDS OF PAT TOOMEY

Mailing Address 228 S. WASHINGTON ST., SUITE 115

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement
PA US Senate

Candidate Name
Sen. Pat J. Toomey

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: PA District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y
03 / 21 / 2014

Transaction ID : B52336BF579E84D31854

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. HAGAN FOR US SENATE INC

Mailing Address PO BOX 29103

City GREENSBORO State NC Zip Code 27429

Purpose of Disbursement
NC US Senate

Candidate Name
Sen. Kay R. Hagan

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NC District:

Date of Disbursement

M M M / D D D / Y Y Y Y Y
03 / 21 / 2014

Transaction ID : BC825A18AB0454E8BBF6

Amount of Each Disbursement this Period

2500.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

6000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. HELLER FOR SENATE

Mailing Address PO BOX 371907

City Las Vegas State NV Zip Code 89137-1907

Purpose of Disbursement
NV US Senate

Candidate Name
Sen. Dean Heller

Office Sought: House Senate President
Disbursement For: 2018 Primary General Other (specify) ▼
State: NV District:

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **BE891ACA821904F89A87**

Amount of Each Disbursement this Period

2000.00

Category/
Type

Full Name (Last, First, Middle Initial)

B. HUDSON FOR CONGRESS

Mailing Address PO BOX 5053

City Concord State NC Zip Code 28027-1500

Purpose of Disbursement
NC US House

Candidate Name
Rep. Richard L. Hudson Jr.

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: NC District: 08

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **B2EA6DAD9A94A403B81C**

Amount of Each Disbursement this Period

1000.00

Category/
Type

Full Name (Last, First, Middle Initial)

C. JOHN CARNEY FOR CONGRESS

Mailing Address PO BOX 2162

City WILMINGTON State DE Zip Code 19899

Purpose of Disbursement
DE US House

Candidate Name
Rep. John C. Carney Jr.

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: DE District: 01

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **BC6732BCA88284329823**

Amount of Each Disbursement this Period

1000.00

Category/
Type

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

4000.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. KIRK FOR SENATE

Mailing Address P.O. BOX 8

City Winnetka State IL Zip Code 60093-0008

Purpose of Disbursement
IL US Senate

Candidate Name
Sen. Mark Steven Kirk

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼
State: IL District:

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **B4390C4E227F947FFB2F**

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. MCCONNELL SENATE COMMITTEE

Mailing Address 220 1/2 E Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement
KY US Senate

Candidate Name
Sen. Mitch McConnell

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: KY District:

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **B6967008579B64993989**

Amount of Each Disbursement this Period

500.00

Full Name (Last, First, Middle Initial)

C. MCCONNELL SENATE COMMITTEE

Mailing Address 220 1/2 E Street NE

City Washington State DC Zip Code 20002

Purpose of Disbursement
KY US Senate

Candidate Name
Sen. Mitch McConnell

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: KY District:

Date of Disbursement

MM / DD / YYYY
03 / 21 / 2014

Transaction ID : **BDE84A756C42849FC89B**

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3500.00

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MIKE CRAPO FOR US SENATE

Mailing Address P.O. BOX 1948

City BOISE State ID Zip Code 83701

Purpose of Disbursement
ID US Senate

Candidate Name
Sen. Mike D. Crapo

Office Sought: House Senate President
Disbursement For: 2016 Primary General Other (specify) ▼
State: ID District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
03	/	21	/	2014

Transaction ID : B6A93E86534404CC6A58

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

B. TIM SCOTT FOR SENATE

Mailing Address 1405 ASHLEY RIVER ROAD

City Charleston State SC Zip Code 29407-5305

Purpose of Disbursement
SC US Senate

Candidate Name
Sen. Tim E. Scott

Office Sought: House Senate President
Disbursement For: 2014 Primary General Other (specify) ▼
State: SC District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
03	/	21	/	2014

Transaction ID : BEAE524344DBA48DCA68

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: House Senate President
Disbursement For: Primary General Other (specify) ▼
State: District:

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
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Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

3500.00

22500.00
