

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐ Check if different than previously reported. (ACC)

Washington

DC

20004-2608

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00033969

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15
Quarterly Report (Q1)☐ July 15
Quarterly Report (Q2)☐ October 15
Quarterly Report (Q3)☐ January 31
Year-End Report (YE)☐ July 31 Mid-Year
Report (Non-election
Year Only) (MY)☐ Termination Report
(TER)(b) Monthly
Report
Due On:☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11)
(Non-Election
Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☒ Sep 20 (M9)☐ Dec 20 (M12)
(Non-Election
Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M /

D D D /

Y Y Y Y Y Y Y Y

in the
State of

5. Covering Period

M M M /

D D D /

Y Y Y Y Y Y Y Y

08

01

2013

through

M M M /

D D D /

Y Y Y Y Y Y Y Y

08

31

2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Shawn O'Neil

Signature of Treasurer

Shawn O'Neil

[Electronically Filed]

Date

M M M /

D D D /

Y Y Y Y Y Y Y Y

09

20

2013

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
08 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y
08 / 31 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2013		202800.39
(b) Cash on Hand at Beginning of Reporting Period.....	149797.05	
(c) Total Receipts (from Line 19)	22054.23	212737.58
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	171851.28	415537.97
7. Total Disbursements (from Line 31)	10000.00	253686.69
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	161851.28	161851.28
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		0	1		2	0	1	3

To:

M	M	/	D	D	/	Y	Y	Y	Y
0	8		3	1		2	0	1	3

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	8741.82	67024.35
(ii) Unitemized	13312.41	139713.23
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	22054.23	206737.58
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	22054.23	206737.58
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	6000.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	22054.23	212737.58
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	22054.23	212737.58

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	0.00	445.01
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	0.00	445.01
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	10000.00	253000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	41.68
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	41.68
29. Other Disbursements	0.00	200.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	10000.00	253686.69
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	10000.00	253686.69

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	22054.23	206737.58
34. Total Contribution Refunds (from Line 28(d))	0.00	41.68
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	22054.23	206695.90
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	0.00	445.01
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	0.00	445.01

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 55
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laman Alani

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Top Formulation and Pharmaceut

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927924

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Gary J Appio

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Product Sfty Risk Mgmt - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928495

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Andrew Arline

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-PC Sr ABL - Assoc Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929368

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

86.16

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 55

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael Banko

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Database Analysis - Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928719

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Tracy L Baroni Allmon

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928883

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Ted R Benson

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Sales-PH-Spec - Sr Conslt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 30 / 2013

Transaction ID : A2013-3928035

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

168.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 OF 55

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Eduardo Blas

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Information Systems - Vice Pre

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 30 / 2013

Transaction ID : A2013-3927874

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Peri K Bonner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-Sr AM - Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.22

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928924

Amount of Each Receipt this Period

56.54

Full Name (Last, First, Middle Initial)

C. Troy L Borill

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Pharma-Oncology - Sr Spe

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

316.64

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3929073

Amount of Each Receipt this Period

37.48

SUBTOTAL of Receipts This Page (optional)..... ►

124.78

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Leonard J Brandt

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Financial Analysis - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928507

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Ellen M Browne

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928509

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Thomas R Brunner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sys Plan and Architecture - Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928721

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

138.48

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 55
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Burns

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Sales Management - Director

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y
 08 31 2013

Transaction ID : A2013-3928755

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. James P Carey

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Services Incorporated Government Affairs - Vice Pres

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

838.10

Date of Receipt

M M / D D / Y Y Y Y Y
 08 30 2013

Transaction ID : A2013-3929466

Amount of Each Receipt this Period

99.20

Full Name (Last, First, Middle Initial)

C. James L Carrico

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Sales Mgmt-PC Sr ABL - Asoc Di

Receipt For:
☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y
 08 31 2013

Transaction ID : A2013-3928518

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

154.60

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Daniel P Casserly

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Fed and Legislative Affairs -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2353.82

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929460

Amount of Each Receipt this Period

276.92

Full Name (Last, First, Middle Initial)

B. Cynthia C Cetani

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Compliance - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928519

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Atindra N Chaturvedi

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928520

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

369.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 55
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Barbara Christensen-Boner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

458.51

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929009

Amount of Each Receipt this Period

54.18

Full Name (Last, First, Middle Initial)

B. Sean T Clark

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Product/Brand Mgmt - VP

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

265.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927988

Amount of Each Receipt this Period

60.00

Full Name (Last, First, Middle Initial)

C. Julie A Collins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

Professional Svcs-Mktg - Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927989

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

206.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Michael A Conley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-RAM-Pharma Acct Mgmt - E

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928529

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Seth Coombs

Mailing Address 350 Massachusetts Avenue

City

Cambridge

State

MA

Zip Code

02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Product Marketing - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928099

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. David C Creedon

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Relationship Mgmt - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928745

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

168.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William E Darnall

Mailing Address One Health Plaza
Ste 725

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Med Science Liaison (MD) - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928061

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Candace B Dibblee

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Associate

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929464

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Anthony Diorio

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

District Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928121

Amount of Each Receipt this Period

30.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

360.76

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David P Drake

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1469.24

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929462

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

B. Carter L Dutch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Management - Executive D

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928757

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

C. Carol D DuVall

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Auditing - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927863

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

330.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David M Eberenz Jr.

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Med-PH Sales Rep - Spec_

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928541

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Fred Ellis

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sales Management-Alcon - Vice

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927853

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Michael R Emch

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Oncology Sr ASM - A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928543

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

86.54

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 55

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David R Epstein

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

800.00

Date of Receipt

M M / D D / Y Y Y Y Y
 08 30 2013

Transaction ID : A2013-3929463

Amount of Each Receipt this Period

100.00

Full Name (Last, First, Middle Initial)

B. Michael E Fairchild

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

FINANCE - DEV ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y
 08 30 2013

Transaction ID : A2013-3927871

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Thomas S Fellers

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Med Science Liaison (Non-MD) -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

473.12

Date of Receipt

M M / D D / Y Y Y Y Y
 08 31 2013

Transaction ID : A2013-3928549

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

230.76

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Patrick G Francke

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Med-PH Sr Conslt - Sr As

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928792

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. H. P Frederick

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-PC Sr ABL - Asoc Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

439.11

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928555

Amount of Each Receipt this Period

51.66

Full Name (Last, First, Middle Initial)

C. Debra E Freire

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

External Comms - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929036

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

125.90

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Neely T Frye

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1020.71

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 31 / 2013

Transaction ID : A2013-3929422

Amount of Each Receipt this Period

120.70

Full Name (Last, First, Middle Initial)

B. Steven J Gabor

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Product/Brand Mgmt - Asoc Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

297.50

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3928107

Amount of Each Receipt this Period

35.00

Full Name (Last, First, Middle Initial)

C. Michael L Gentry

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Programmer/Analyst - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.90

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3927862

Amount of Each Receipt this Period

24.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

179.70

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Erwin A Gomez Valladares

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Latin America Service

Occupation

Procurement - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928024

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Roger K Gravitte

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

Sales Management-OTC - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928021

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Joseph Guido

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Info Sys- Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929396

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

170.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. David E Gulick

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3929083

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Kurt Habel

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Sr Consl

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3929224

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. James P Hafner

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Management-MS ABL II- Ma

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928569

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

120.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Philip D Hall

Mailing Address 6201 South Freeway

City

Fort Worth

State

TX

Zip Code

76134-2001

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Sales-Medical Devices - Manage

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3927835

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Paul R Hallen

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Business Development - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3927855

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Sarah E Haller

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3929461

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

123.08

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joseph P Hazelton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Managed Care Marketing - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

345.01

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928576

Amount of Each Receipt this Period

40.90

Full Name (Last, First, Middle Initial)

B. William S Higgins

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Med-PH Sales Rep - Spec_

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929003

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Robert J Hilkert

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Med AFFAIRS Ex (MD) - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928580

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

115.14

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William C Hokanson

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-Sales Ops -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928582

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Herve J Hoppenot

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928474

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Melody Hughson

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928901

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional)..... ►

173.86

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 55
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Karen A Jacobs

Mailing Address 4560 Horton Street

City State Zip Code
 Emeryville CA 94608-2916

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Operations Research - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

313.82

Date of Receipt

M M / D D / Y Y Y Y Y
 08 31 2013

Transaction ID : A2013-3928589

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

B. Edgar L Jarvis

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Pharmaceuticals Sales Mgmt-PC Sr ABL - Asoc Di

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

274.55

Date of Receipt

M M / D D / Y Y Y Y Y
 08 31 2013

Transaction ID : A2013-3928592

Amount of Each Receipt this Period

32.30

Full Name (Last, First, Middle Initial)

C. Scott R Jauch

Mailing Address 508 Ellworth Dr.

City State Zip Code
 Silver Spring MD 20910

FEC ID number of contributing
federal political committee.

C

Name of Employer Occupation
 Novartis Vaccines & Diagnostics Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y
 08 30 2013

Transaction ID : A2013-3928122

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

119.22

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 26 OF 55

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. James P Jogerst

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Acquist Allianc & Licens - Ex

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927912

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

B. Tawfik Kamal

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Marketing - Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

680.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929222

Amount of Each Receipt this Period

80.00

Full Name (Last, First, Middle Initial)

C. Mutlu Karakelle

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation

Pharma/Bio Proc- VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927865

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

141.52

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 55
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Erik L Karlsons

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Management-MS ABL II- Ma

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928598

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Thomas N Kendris

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LEGAL & IP - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928481

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Michael C Kincaid

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Oncology - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928600

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

148.08

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Shannon T Klinger

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Global Head Lgl & Gen Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

923.04

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928048

Amount of Each Receipt this Period

115.38

Full Name (Last, First, Middle Initial)

B. Richard E Knapp

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928923

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

C. Robert W Kowalski

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928483

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

438.44

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Leigh A Leas

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929362

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Richard E Lemire

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Engineering-Facilities - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

732.61

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928604

Amount of Each Receipt this Period

86.52

Full Name (Last, First, Middle Initial)

C. Richard Lloyd

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Consumer Health Inc.

Occupation
 COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928019

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

206.90

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffrey W Lockwood

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

NIBRI

Occupation

External Communications - Vice

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928030

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Konstantine G Lolos

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Oncology Sr ASM - A

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929010

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Brenda Luckritz

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

2425.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929458

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Frank Maness

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Top Shared Svcs Ex- VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928615

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Frank Manolios

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Sales-Med-PH Sales Rep - Spec_

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3929166

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Teresa Mason

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928619

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

150.38

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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PAGE 32 OF 55

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William R Matthews

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Oncology Sr ASM - A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

348.17

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928620

Amount of Each Receipt this Period

41.22

Full Name (Last, First, Middle Initial)

B. Stefanie L Maurer

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-PH-Spec-Neuro-Psych - Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

223.75

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929100

Amount of Each Receipt this Period

16.00

Full Name (Last, First, Middle Initial)

C. J'ai B McClellan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

Government Affairs - Vice Pres

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927852

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

87.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 33 OF 55

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Catharine M McGeehan

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Senior Sp

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929355

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Paul J McGinley

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Marketing - Director II

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928624

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Edward D McGough

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

PRODUCTION - CEG ROLE

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927861

Amount of Each Receipt this Period

230.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

351.14

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Edward J McKenna

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-Onco II - S

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928999

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. William D McLaury

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Supply Chain Plan - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928629

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Brian J McNamara

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Consumer Health Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928018

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

212.30

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gary J Menichini

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927987

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Wayne P Merkelson

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Finance Corporation

Occupation

Tax - Vice President

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

588.54

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928632

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

C. Susan J Millard

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

CIBA Vision Corporation

Occupation

HR - CEG ROLE

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

425.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927994

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

169.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Laurie C Mills

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Clinical Operations

Occupation

CRM - Spec II

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928027

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

B. Stacey L Moore

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

LTC Account Manager I

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

298.86

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929112

Amount of Each Receipt this Period

35.32

Full Name (Last, First, Middle Initial)

C. Glenn H Morton

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Project Management - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1055.79

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928635

Amount of Each Receipt this Period

20.00

SUBTOTAL of Receipts This Page (optional)..... ►

83.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Polly L Murphy

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-PH-Spec-Exec Sales - Spe

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3929346

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Vasant Narasimhan

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 30 / 2013

Transaction ID : A2013-3928110

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. James R Niebanck

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Operations Research - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y
 08 / 31 / 2013

Transaction ID : A2013-3928642

Amount of Each Receipt this Period

28.08

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

148.46

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Hugh M O'Dowd

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928485

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Shawn O'Neil

Mailing Address 608 Fifth Avenue

City State Zip Code
 New York NY 10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929473

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Michael S Oehrlein

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Pharma I - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928758

Amount of Each Receipt this Period

150.00

SUBTOTAL of Receipts This Page (optional)..... ►

288.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sharon N Olmstead

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Fed and Legislative Affairs -

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928645

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Craig S Osten

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Controller Corporate - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928051

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Serafina Oxner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Strategic Planning - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928648

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

138.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. John M Pakulski

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Reg Aff Approval - Ex Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928046

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

B. Melissa A Parker

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-RDAM - Dir

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928650

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Elizabeth Power

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

External Communications - Dire

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928116

Amount of Each Receipt this Period

60.00

SUBTOTAL of Receipts This Page (optional)..... ►

180.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Anne Ramsey

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 District Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

340.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928118

Amount of Each Receipt this Period

40.00

Full Name (Last, First, Middle Initial)

B. Marc B Reuss

Mailing Address 350 Massachusetts Avenue

City State Zip Code
 Cambridge MA 02139

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 HR - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928112

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

C. Edward C Richards

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Research

Occupation
 Mftg Plant Mgmt - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927943

Amount of Each Receipt this Period

30.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

120.76

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Kevin T Rigby

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

CORPORATE AFFAIRS - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928487

Amount of Each Receipt this Period

230.76

Full Name (Last, First, Middle Initial)

B. Randi C Roberts

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Pharma I - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928664

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Diane M Rogers

Mailing Address 608 Fifth Avenue

City State Zip Code
 New York NY 10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sales Management-V&D - Vice Pr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928108

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

304.62

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jason T Russell

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Reg Act Mgmt-Sr AM - Sr

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

493.70

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 31 / 2013

Transaction ID : A2013-3929182

Amount of Each Receipt this Period

58.48

Full Name (Last, First, Middle Initial)

B. David A Schoening

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

QA Mgmt-Plant - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.46

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3927936

Amount of Each Receipt this Period

30.76

Full Name (Last, First, Middle Initial)

C. Christopher Sellin

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Business Process Anlys - Sr Co

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 31 / 2013

Transaction ID : A2013-3928813

Amount of Each Receipt this Period

27.70

SUBTOTAL of Receipts This Page (optional)..... ►

116.94

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Christi L Shaw

Mailing Address 44 Guinea Hollow Rd

City
Lebanon

State Zip Code
NJ 08833

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928489

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Joseph M Simon

Mailing Address One Health Plaza

City
East Hanover

State Zip Code
NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-PH-Spec-Exec MS - Spec_0

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

313.82

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928951

Amount of Each Receipt this Period

36.92

Full Name (Last, First, Middle Initial)

C. Katherine E Solon

Mailing Address One Health Plaza

City
East Hanover

State Zip Code
NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Government Affairs - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1961.46

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929470

Amount of Each Receipt this Period

230.76

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

313.84

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. William S Spelta

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Oncology Sr ASM - A

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928685

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

B. Robert A Spurr

Mailing Address 608 Fifth Avenue

City

New York

State

NY

Zip Code

10020

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales Mgmt-Onco Mgd Mkts - VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1400.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928974

Amount of Each Receipt this Period

200.00

Full Name (Last, First, Middle Initial)

C. Lisa A Steelman

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

902.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929351

Amount of Each Receipt this Period

106.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

334.24

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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for each category of the
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Donald P Stevens

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

588.54

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929318

Amount of Each Receipt this Period

69.24

Full Name (Last, First, Middle Initial)

B. Peter N Streit

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

MARKETING - DEV ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928691

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

c. Mary R Strickland

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandoz Inc.

Occupation

Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928045

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

143.10

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joanne Strunk-Walsh

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Vaccines & Diagnostics

Occupation
 Sales-Pharmaceutical - Senior

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928105

Amount of Each Receipt this Period

180.00

Full Name (Last, First, Middle Initial)

B. John Suchorsky

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Relationship Management - Dire

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928739

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

C. Thomas A Suter

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Novartis Pharmaceuticals

Occupation
 Government Affairs - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928869

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

272.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard Tessier

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Engineering Facilities - Direc

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928696

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Barbara A Tombros

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Strategic Planning - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

601.42

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929360

Amount of Each Receipt this Period

71.06

Full Name (Last, First, Middle Initial)

C. Christina M Tremains

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sr LTC Account Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

528.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929002

Amount of Each Receipt this Period

62.42

SUBTOTAL of Receipts This Page (optional)..... ►

179.64

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas A Urban

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-PH-Spec-Exec Sales - Spe

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

261.00

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929158

Amount of Each Receipt this Period

30.82

Full Name (Last, First, Middle Initial)

B. Lisa R Utt

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-PH-Spec-Exec Neuro-Psych

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.45

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3929214

Amount of Each Receipt this Period

27.70

Full Name (Last, First, Middle Initial)

C. Edwin Valeriano

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Services Incorporated

Occupation

Investor Relations - Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

369.28

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3929469

Amount of Each Receipt this Period

23.08

SUBTOTAL of Receipts This Page (optional)..... ►

81.60

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Erwin Vanhaecke

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

PRODUCTION - CEG ROLE

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.80

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 30 / 2013

Transaction ID : A2013-3927898

Amount of Each Receipt this Period

230.80

Full Name (Last, First, Middle Initial)

B. William W Voegtli

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Reimbursement-Sr Mgr - Senior

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

238.68

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 31 / 2013

Transaction ID : A2013-3928929

Amount of Each Receipt this Period

28.08

Full Name (Last, First, Middle Initial)

C. Andrew J Volante

Mailing Address One Health Plaza

City State Zip Code
East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

M M / D D / Y Y Y Y Y
08 / 31 / 2013

Transaction ID : A2013-3928705

Amount of Each Receipt this Period

92.30

SUBTOTAL of Receipts This Page (optional)..... ►

143.46

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 OF 55
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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew M von Wellsheim

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

IT - CEG ROLE

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927864

Amount of Each Receipt this Period

46.16

Full Name (Last, First, Middle Initial)

B. Anthony Wallace

Mailing Address One Health Plaza
Ste 725

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Vaccines & Diagnostics

Occupation

Sales Mgr-Reg-V&D - Ex Dir

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

255.00

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3928065

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Robert K Warner

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Alcon Laboratories Inc.

Occupation

COMMERCIAL & GEN MGMT - CEG RO

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 30 / 2013

Transaction ID : A2013-3927870

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

122.32

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jane E Welborn

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Sales-Pharma-Oncology - Sr Spe

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

417.35

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928710

Amount of Each Receipt this Period

49.10

Full Name (Last, First, Middle Initial)

B. James E Williams

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Clinical Research (MD) - Princ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

784.55

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928819

Amount of Each Receipt this Period

92.30

Full Name (Last, First, Middle Initial)

C. Stephen A Woolford

Mailing Address One Health Plaza

City State Zip Code
 East Hanover NJ 07936

FEC ID number of contributing
federal political committee.

C

Name of Employer

Novartis Pharmaceuticals

Occupation

Financial Analysis - Executive

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

392.36

Date of Receipt

08 / 31 / 2013

Transaction ID : A2013-3928714

Amount of Each Receipt this Period

46.16

SUBTOTAL of Receipts This Page (optional)..... ►

187.56

TOTAL This Period (last page this line number only)..... ►

8741.82

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Committee To Re-elect Linda Sanchez

Mailing Address 410 1st St SE Suite 310

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

Candidate Name

Linda Sanchez

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President
State: CA	District: 38

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Transaction ID : B470962

Amount of Each Disbursement this Period

1000.00

Full Name (Last, First, Middle Initial)

B. Fearless PAC

Mailing Address 1919 14th Street/Suite 707

City	State	Zip Code
Boulder	CO	80302

Purpose of Disbursement
Contribution

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President
State: CO	District:

Disbursement For: 2013
<input type="checkbox"/> Primary <input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼
Not Applicable

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Transaction ID : B470967

Amount of Each Disbursement this Period

2500.00

Full Name (Last, First, Middle Initial)

C. Capuano for Congress

Mailing Address 38 Ivy Street SE

City	State	Zip Code
Washington	DC	20003

Purpose of Disbursement
Contribution

Candidate Name

Michael E Capuano

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President
State: MA	District: 07

Disbursement For: 2014
<input checked="" type="checkbox"/> Primary <input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Transaction ID : B470965

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

PAGE 54 OF 55

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. McPAC (More Conservatives PAC)

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Mailing Address 228 S. Washington St. Suite 115

City	State	Zip Code
Alexandria	VA	22314

Transaction ID : B470966Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

1000.00

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Not Applicable

State: NC

District:

Full Name (Last, First, Middle Initial)

B. Price for Congress Committee

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Mailing Address P.O. Box 1986

City	State	Zip Code
Raleigh	NC	27602

Transaction ID : B470961Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

1000.00

David E Price

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: NC

District: 04

Full Name (Last, First, Middle Initial)

C. Steve Israel for Congress Cmte

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		19		2013

Mailing Address PO Box 1400

City	State	Zip Code
Melville	NY	11747

Transaction ID : B470964Purpose of Disbursement
Contribution

011

Amount of Each Disbursement this Period

Candidate Name

Category/
Type

2500.00

Steve Israel

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

State: NY

District: 03

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

4500.00

	21b		22	X	23		24		25		26
	27		28a		28b		28c		29		30b

Novartis Corporation Political Action Committee

10000.00