Image# 13941620283 PAGE 1 / 55

### **FEC** FORM 3X

## **REPORT OF RECEIPTS** AND DISBURSEMENTS For Other Than An Authorized Committee

	or Other Inan	An Authorized	Committee	;e		Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT		ample: If typir or the lines.	g, type	12FE4M5	
Novartis Corporation P	olitical Action	Committee				
ADDRESS (number and street)	701 Pennsylvania	a Ave. NW Suite 72	5			
Check if different					<u> </u>	
than previously reported. (ACC)	Washington				DC	20004-2608
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦		5	STATE 🛦	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	$\sim$	IEW N) <b>OR</b>	AN (A)	ENDED
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)		20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:		Mar 20 (M3) Apr 20 (M4)		un 20 (M6) ul 20 (M7)		20 (M9) Dec 20 (M12) (Non-Election Year Only)  Jan 31 (YE)
April 15 Quarterly Report (Q	1) (c) 12-Day		Primary (12P		General	
July 15 Quarterly Report (Q	PRE-E	Election	Convention (		Special (	
October 15 Quarterly Report (Q	3)					
January 31 Year-End Report (Y	E)	Election on	M = M /	D D /	Y	in the State of
July 31 Mid-Year Report (Non-electior Year Only) (MY)	POST-	Election	General (30G	i)	Runoff (3	OR) Special (30S)
Termination Report (TER)	пероп	for the:  Election on	M = M /	D D /	Y = Y = Y	in the State of
5. Covering Period 08	01	2013	through	M M M	/ 31 /	2013
I certify that I have examined thi Type or Print Name of Treasurer		ne best of my kno	wledge and b	elief it is tru	e, correct and	d complete.
	ı O'Neail		[Electronically	Filed] D	ate 09	/ 20 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
NOTE: Submission of false, errone	eous, or incomplete	information may su	ubject the pers	on signing th	is Report to th	ne penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 08 01 2013 To: 08 31 2013

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand  January 1,  2013		202800.39
	(b) Cash on Hand at Beginning of Reporting Period	149797.05	
	(c) Total Receipts (from Line 19)	22054.23	212737.58
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	171851.28	415537.97
7.	Total Disbursements (from Line 31)	10000.00	253686.69
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	161851.28	161851.28
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

### Novartis Corporation Political Action Committee

Report Covering the Period: From: 08	01 2013 To	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	8741.82	67024.35
(i) Itemized (use Schedule A)	3 11.02	7
(ii) Unitemized	13312.41	139713.23
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	22054.23	206737.58
	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)(d) Total Contributions (add Lines	7 7	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	22054.23	206737.58
Transfers From Affiliated/Other		
Party Committees	0.00	0.00
F		
. All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
. Offsets To Operating Expenditures		, , , , , , , , , , , , , , , , , , , ,
(Refunds, Rebates, etc.)	0.00	0.00
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made     to Federal Candidates and Other		
Political Committees	0.00	6000.00
. Other Federal Receipts	7	7 7
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
=		
(b) Levin Funds (from Schedule H5)	0.00	0.00
=		
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	22054.23	212737.5
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	22054.23	212737.58

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	Total This Period			
. Operating Expenditures: – (a) Allocated Federal/Non-Federal		Calendar Year-to-Date		
Activity (from Schedule H4)				
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating				
Expenditures	0.00	445.01		
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))▶	0.00	445.01		
Transfers to Affiliated/Other Party	0.00	110.01		
Committees	0.00	0.00		
Contributions to Federal Candidates/Committees and Other Political Committees	10000.00	253000.00		
Independent Expenditures	0.00	0.00		
(use Schedule E)Coordinated Party Expenditures	0.00	0.00		
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
Loans Made	0.00	0.00		
Refunds of Contributions To:  (a) Individuals/Persons Other Than Political Committees	0.00	41.68		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees (such as PACs)	0.00	0.00		
(d) Total Contribution Refunds				
(add Lines 28(a), (b), and (c))▶	0.00	41.68		
Other Disbursements	0.00	200.00		
Other Disbursements	0.00	200.00		
Federal Election Activity (2 U.S.C. §431(20))				
(a) Allocated Federal Election Activity				
(from Schedule H6) (i) Federal Share	0.00	0.00		
(1)				
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add	0.00			
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00		
Total Disbursements (add Lines 21(c), 22,				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	10000.00	253686.69		
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	10000.00	253686.69		

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	22054.23	206737.58	
4. Total Contribution Refunds (from Line 28(d))	0.00	41.68	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	22054.23	206695.90	
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	445.01	
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	445.01	

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE	NUMBER	:   PAGE	E 6 OF	55			
(check only one)							
<b>X</b> 11a	11b	11c	12				
13	14	15	16	17			

Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Laman Alani  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	08 30 2013
East Hanover	NJ 07936	Transaction ID : A2013-3927924  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer Alcon Research	Occupation  Top Formulation and Pharmaceut	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  261.46	
Full Name (Last, First, Middle Initial)  Gary J Appio  Mailing Address One Health Plaza	•	Date of Receipt
		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928495
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.70
Name of Employer Novartis Pharmaceuticals	Occupation Product Sfty Risk Mgmt - Dir	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  235.45	
Full Name (Last, First, Middle Initial)  Andrew Arline	1	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3929368  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sales Mgmt-PC Sr ABL - Asoc Di	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	235.45	
SUBTOTAL of Receipts This Page (optional)		86.16
TOTAL This Period (last page this line number	er only)	

		AGE	7	OF 55				
	(check only one)							
Use separate schedule(s) for each category of the Detailed Summary Page	X 11a 11b 11c	;	12					
, 0			16	1 17				

	Statements may not be sold or used by any pers ne name and address of any political committee to	
NAME OF COMMITTEE (In Full)	Nation Co	
Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  A. Michael Banko		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928719
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Database Analysis - Manager	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	0.0	
Other (specify) ▼	392.36	
Full Name (Last, First, Middle Initial)  Tracy L Baroni Allmon		Date of Receipt
Mailing Address One Health Plaza		08 31 _2013 _
City	State Zip Code	Transaction ID : A2013-3928883
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Government Affairs - Executive	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	784.55	
Full Name (Last, First, Middle Initial)  C. Ted R Benson		Date of Receipt
Mailing Address One Health Plaza		08 30 _ 2013 _
City	State Zip Code	Transaction ID : A2013-3928035
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	-
Sandoz Inc.	Sales-PH-Spec - Sr Conslt	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	255.00	
SUBTOTAL of Receipts This Page (optional)		168.46
	<u></u>	
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

				PAGE		8	OF		55	
(check only one)										
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	13		14		15		16	6		17

	the name and address of any political committee t	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  A. Eduardo Blas		Date of Receipt
Mailing Address One Health Plaza		08 30 2013
City	State Zip Code	Transaction ID : A2013-3927874
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer	Occupation	7
Alcon Laboratories Inc.	Information Systems - Vice Pre	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	5	
Other (specify) ▼	261.46	
Full Name (Last, First, Middle Initial)  Peri K Bonner		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928924
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	56.54
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Sales-Reg Act Mgmt-Sr AM - Sr	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General Other (specify) ▼	Aggregate rear-to-Date •	
Full Name (Last, First, Middle Initial)	-	Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	08 31 2013 Transaction ID : A2013-3929073
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	37.48
Name of Employer	Occupation	+
Novartis Pharmaceuticals	Sales-Pharma-Oncology - Sr Spe	_
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	316.64	
SUBTOTAL of Receints This Page (optional	)	124.78
, , ,	<u>,                                      </u>	
TOTAL This Period (last page this line number)	ber only)	

FOR LINE NUMBER: **PAGE** 9 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Leonard J Brandt Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928507 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Ellen M Browne Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928509 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928721 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sys Plan and Architecture - Di Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 138.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Burns Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928755 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) Full Name (Last, First, Middle Initial) B. James P Carey Date of Receipt Mailing Address One Health Plaza 08 30 2013 City State Zip Code Transaction ID: A2013-3929466 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 99.20 federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 838.10 Other (specify) Full Name (Last, First, Middle Initial) c. James L Carrico Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928518 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Sales Mgmt-PC Sr ABL - Asoc Di Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) 154.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 55 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3929460 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation Fed and Legislative Affairs -Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 2353.82 Other (specify) Full Name (Last, First, Middle Initial) B. Cynthia C Cetani Date of Receipt Mailing Address One Health Plaza 80 31 2013 City State Zip Code Transaction ID: A2013-3928519 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Compliance - Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) c. Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928520 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Info Sys- Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 369.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	•	12 OF	=	5
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for each category of the Detailed Summary Page		11a		11b		11c		12		
in the second second		13		14		15		16		1

Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
angle Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  A. Barbara Christensen-Boner		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3929009
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	54.18
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Senior Sp	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	458.51	
Full Name (Last, First, Middle Initial)  Sean T Clark		Date of Receipt
Mailing Address One Health Plaza		08 30 _ 2013 _
City	State Zip Code	08 30 2013 Transaction ID : A2013-3927988
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	
CIBA Vision Corporation	Product/Brand Mgmt - VP	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  265.00	
Full Name (Last, First, Middle Initial)  C. Julie A Collins		Date of Receipt
Mailing Address One Health Plaza		08 30 _2013 _
City	State Zip Code	Transaction ID : A2013-3927989
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
CIBA Vision Corporation	Professional Svcs-Mktg - Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	784.55	
SUBTOTAL of Receipts This Page (optional)		206.48
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 13 OF 55 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael A Conley Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928529 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Sales-RAM-Pharma Acct Mgmt - E Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3928099 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product Marketing - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) c. David C Creedon Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928745 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Mgmt - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 168.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

				MBER	:	PAGE	: 1	4	OF	55
Use separate schedule(s) for each category of the	`	ck only	or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
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or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)	ig the name and address of any political committee	
Novartis Corporation Politica	Al Action Committee	
Full Name (Last, First, Middle Initial)  William E Darnall  Mailing Address One Health Plaza Ste 725  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Med Science Liaison (MD) - Dir  Aggregate Year-to-Date ▼  850.00	Date of Receipt  08 30 2013  Transaction ID : A2013-3928061  Amount of Each Receipt this Period  100.00
Full Name (Last, First, Middle Initial)  Candace B Dibblee  Mailing Address One Health Plaza		Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	08 30 2013  Transaction ID : A2013-3929464  Amount of Each Receipt this Period  230.76
Name of Employer  Novartis Services Incorporated  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Government Affairs - Associate  Aggregate Year-to-Date ▼  1961.46	
Full Name (Last, First, Middle Initial) Anthony Diorio Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General	State Zip Code NJ 07936  C  Occupation District Sales Manager  Aggregate Year-to-Date ▼	Date of Receipt  M M M / D J D / Y J Y J Y J Y J Y J Y J Y J Y J Y J Y
Other (specify) ▼	255.00	360.76
TOTAL This Period (last page this line nur	mber only)	

FOR LINE NUMBER: PAGE 15 OF 55 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David P Drake Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3929462 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Name of Employer Occupation Government Affairs - Executive Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1469.24 Other (specify) Full Name (Last, First, Middle Initial) B. Carter L Dutch Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928757 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management - Executive D Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) c. Carol D DuVall Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3927863 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Auditing - Vice President Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) 330.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAGE 16 OF	55
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Detailed Summary Page	X 11a 11b 11c 12	
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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  A. David M Eberenz Jr.		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928541
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales-Med-PH Sales Rep - Spec_	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	235.45	
Full Name (Last, First, Middle Initial)  Fred Ellis	•	Date of Receipt
Mailing Address One Health Plaza		M M / D D / Y Y Y Y Y
City	State Zip Code	08 30 2013 Transaction ID : A2013 2027853
East Hanover	NJ 07936	Transaction ID : A2013-3927853  Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	30.76
Name of Employer	Occupation	
Alcon Laboratories Inc.	Sales Management-Alcon - Vice	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	261.46	
Full Name (Last, First, Middle Initial)  C. Michael R Emch		Date of Receipt
Mailing Address One Health Plaza		08 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-3928543
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales Mgmt-Oncology Sr ASM - A	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	238.68	
SUBTOTAL of Receipts This Page (optional).		86.54
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TOTAL This Period (last page this line number	er only)	

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	d Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  David R Epstein  Mailing Address One Health Plaza	Date of Receipt  08 30 2013	
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer	State Zip Code NJ 07936  C Occupation	Transaction ID : A2013-3929463  Amount of Each Receipt this Period  100.00
Novartis Services Incorporated  Receipt For:  Primary General  Other (specify)	COMMERCIAL & GEN MGMT - CEG RO  Aggregate Year-to-Date ▼  800.00	
Full Name (Last, First, Middle Initial)  Michael E Fairchild  Mailing Address One Health Plaza		Date of Receipt  08 30 2013
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2013-3927871  Amount of Each Receipt this Period  30.76
Name of Employer Alcon Laboratories Inc.  Receipt For:  Primary General  Other (specify) ▼	Occupation FINANCE - DEV ROLE  Aggregate Year-to-Date ▼  261.46	
Full Name (Last, First, Middle Initial) Thomas S Fellers  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt    M
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General	NJ 07936  C  Occupation  Med Science Liaison (Non-MD) -  Aggregate Year-to-Date ▼	Amount of Each Receipt this Period  100.00
Other (specify) ▼  SUBTOTAL of Receipts This Page (optional)	473.12	230.76
TOTAL This Period (last page this line numb	per only)	

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NAME OF COMMITTEE (In Full)		
angle Novartis Corporation Political Ac	ction Committee	
Full Name (Last, First, Middle Initial)  A. Patrick G Francke		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928792
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales-Med-PH Sr Conslt - Sr As	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	238.68	
Full Name (Last, First, Middle Initial)  H. P Frederick		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928555
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	51.66
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales Mgmt-PC Sr ABL - Asoc Di	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 439.11	
Full Name (Last, First, Middle Initial)		Date of Date in
Debra E Freire		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City  East Hangyer	State Zip Code	Transaction ID : A2013-3929036
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals	External Comms - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	392.36	
SUBTOTAL of Receipts This Page (optional)		125.90
TOTAL This Period (last page this line number of	only)	

	FOR LINE NUMBER:	PAGE	19 OF	55
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for each category of the Detailed Summary Page	X 11a 11b	11c	12	
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929422 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 1020.71 Other (specify) Full Name (Last, First, Middle Initial) B. Steven J Gabor Date of Receipt Mailing Address One Health Plaza 08 30 2013 City State Zip Code Transaction ID: A2013-3928107 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Product/Brand Mgmt - Asoc Dir

Full Name (Last, First, Middle Initial)

C. Michael L Gentry

Mailing Address One Health Plaza

City State Zip Code Tran

East Hanover NJ 07936

FEC ID number of contributing federal political committee.

Occupation

Programmer/Analyst - Executive

Aggregate Year-to-Date ▼

Aggregate Year-to-Date ▼

297.50

229.90

SUBTOTAL of Receipts This Page (optional)....

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			7	I		7	I		79.7		
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Receipt For:

Primary

Name of Employer

Primary

Receipt For:

Alcon Laboratories Inc.

Other (specify)

Other (specify)

General

General

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for each category of the Detailed Summary Page	X 11a 11b 11c	12
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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Erwin A Gomez Valladares  Mailing Address One Health Plaza		Date of Receipt
		08 30 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928024
	140 07900	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	1
Novartis Latin America Service	Procurement - Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  784.55	
	704.00	
Full Name (Last, First, Middle Initial)  3. Roger K Gravitte		Date of Receipt
Mailing Address One Health Plaza		08 30 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928021
FEC ID number of contributing federal political committee.	C 07930	Amount of Each Receipt this Period  28.08
Name of Employer Novartis Consumer Health Inc.	Occupation Sales Management-OTC - Vice Pr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  238.68	
Full Name (Last, First, Middle Initial)	1	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3929396  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Info Sys- Ex Dir	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	250.00	
SUBTOTAL of Receipts This Page (optional)		170.38
TOTAL This Period (last page this line numbe	<u> </u>	

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David E Gulick Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929083 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Kurt Habel Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3929224 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Operations Research - Sr Consl Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) c. James P Hafner Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928569 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Management-MS ABL II- Ma Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) 120.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

		R LINE	PAGE	2	22	OF	55			
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Detailed Summary Page	>	<b>X</b> 11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Philip D Hall Date of Receipt Mailing Address 6201 South Freeway 30 2013 City State Zip Code Transaction ID: A2013-3927835 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sales-Medical Devices - Manage Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Paul R Hallen Date of Receipt Mailing Address One Health Plaza 08 30 2013 City State Zip Code Transaction ID: A2013-3927855 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Alcon Research Business Development - Vice Pr Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) Full Name (Last, First, Middle Initial) c. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3929461 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 123.08 SUBTOTAL of Receipts This Page (optional).....

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FOR LINE NUMBER: PAGE 23 OF 55 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joseph P Hazelton Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928576 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.90 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managed Care Marketing - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 345.01 Other (specify) Full Name (Last, First, Middle Initial) B. William S Higgins Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3929003 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Med-PH Sales Rep - Spec Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) **c.** Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928580 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Med AFFAIRS Ex (MD) - VP Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 115.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and or for commercial purposes, other than using to	Statements may not be sold or used by any pers he name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  William C Hokanson  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	08 31 2013 Transaction ID : A2013-3928582
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales-Reg Act Mgmt-Sales Ops -	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  235.45	
Full Name (Last, First, Middle Initial)  Herve J Hoppenot  Mailing Address Over Health Plane	1	Date of Receipt
Mailing Address One Health Plaza		08 31 _2013 _
City	State Zip Code	Transaction ID : A2013-3928474
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Novartis Pharmaceuticals	Occupation COMMERCIAL & GEN MGMT - CEG RO	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  392.36	
Full Name (Last, First, Middle Initial)  C. Melody Hughson		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928901
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer	Occupation	
Novartis Pharmaceuticals	Government Affairs - Executive	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	850.00	
SUBTOTAL of Receipts This Page (optional)		173.86
TOTAL This Period (last page this line number	er only)	

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any information copied from such Reports of for commercial purposes, other than using	and Statements may not be sold or used by any pering the name and address of any political committee	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Politication	al Action Committee	
Full Name (Last, First, Middle Initial)  Karen A Jacobs  Mailing Address 4560 Horton Street		Date of Receipt
		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928589
Emeryville	CA 94608-2916	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	36.92
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Operations Research - Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 313.82	
Full Name (Last, First, Middle Initial)	5.502	
Edgar L Jarvis  Mailing Address One Health Plaza		Date of Receipt  08 31 2013
City	State Zip Code	Transaction ID : A2013-3928592
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	32.30
Name of Employer Novartis Pharmaceuticals	Occupation Sales Mgmt-PC Sr ABL - Asoc Di	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  274.55	
Full Name (Last, First, Middle Initial)  Scott R Jauch		Date of Receipt
Mailing Address 508 Ellworth Dr.		08 30 2013
City Silver Spring	State Zip Code MD 20910	Transaction ID : A2013-3928122  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	†
Novartis Vaccines & Diagnostics	Government Affairs - Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	425.00	
SUBTOTAL of Receipts This Page (option	al)	119.22
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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James P Jogerst Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3927912 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Acquist Allianc & Licens - Ex Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) Full Name (Last, First, Middle Initial) B. Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3929222 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing - Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 680.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mutlu Karakelle Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3927865 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Pharma/Bio Proc- VP Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) 141.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Full Name (Last, First, Middle Initial) Thomas N Kendris	•	Date of Receipt
Mailing Address One Health Plaza		08 31 _ 2013 _
City	State Zip Code	Transaction ID : A2013-3928481
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Pharmaceuticals	LEGAL & IP - CEG ROLE	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  784.55	
Full Name (Last, First, Middle Initial)  Michael C Kincaid	•	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928600
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	28.08
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sales Mgmt-Oncology - Ex Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	3334.0 104.10 24.0 7	

238.68

Other (specify)

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Shannon T Klinger  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt    M
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Sandoz Inc.  Receipt For:  Primary General Other (specify) ▼	NJ 07936  C  Occupation Global Head Lgl & Gen Counsel  Aggregate Year-to-Date ▼  923.04	Amount of Each Receipt this Period  115.38
Full Name (Last, First, Middle Initial)  Richard E Knapp  Mailing Address One Health Plaza  City	Date of Receipt    M	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:	Occupation Government Affairs - Executive	Amount of Each Receipt this Period  230.76
Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  1961.46	
Full Name (Last, First, Middle Initial) Robert W Kowalski Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)	Occupation COMMERCIAL & GEN MGMT - CEG RO  Aggregate Year-to-Date ▼  784.55	Transaction ID : A2013-3928483  Amount of Each Receipt this Period  92.30
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	438.44
TOTAL This Period (last page this line number	or only)	

FOR LINE NUMBER: PAGE 29 OF 55 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Leigh A Leas Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929362 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928604 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.52 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Engineering-Facilities - Direc Receipt For: Aggregate Year-to-Date ▼ Primary General 732.61 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3928019 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) 206.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Jeffrey W Lockwood  Mailing Address One Health Plaza  City  East Hanover	State Zip Code NJ 07936	Date of Receipt  08 30 2013  Transaction ID : A2013-3928030  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer  NIBRI  Receipt For:  □ Primary □ General  Other (specify) ▼	Occupation External Communications - Vice  Aggregate Year-to-Date ▼  392.36	46.16
Full Name (Last, First, Middle Initial)  Konstantine G Lolos  Mailing Address One Health Plaza  City	Date of Receipt  08 31 2013  Transaction ID : A2013-3929010	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals	Occupation Sales Mgmt-Oncology Sr ASM - A	Amount of Each Receipt this Period  28.08
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  238.68	
Full Name (Last, First, Middle Initial)  Brenda Luckritz  Mailing Address One Health Plaza		Date of Receipt  M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Services Incorporated  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Government Affairs - Executive  Aggregate Year-to-Date ▼  2425.00	Transaction ID : A2013-3929458  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional).	<u> </u>	124.24
TOTAL This Period (last page this line number	er only)	

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TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William R Matthews Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928620 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 41.22 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales Mgmt-Oncology Sr ASM - A Receipt For: Aggregate Year-to-Date ▼ Primary General 348.17 Other (specify) Full Name (Last, First, Middle Initial) B. Stefanie L Maurer Date of Receipt Mailing Address One Health Plaza 80 31 2013 City State Zip Code Transaction ID: A2013-3929100 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-PH-Spec-Neuro-Psych - Sr Receipt For: Aggregate Year-to-Date ▼ Primary General 223.75 Other (specify) Full Name (Last, First, Middle Initial) c. J'ai B McClellan Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3927852 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Government Affairs - Vice Pres Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) 87.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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55 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McGeehan Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929355 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Senior Sp Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) B. Paul J McGinley Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928624 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Marketing - Director II Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) c. Edward D McGough Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3927861 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation PRODUCTION - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1961.46 Other (specify) 351.14 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J McKenna Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928999 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-Reg Act Mgmt-Onco II - S Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) Full Name (Last, First, Middle Initial) B. William D McLaury Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928629 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Supply Chain Plan - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3928018 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) 212.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee		
Full Name (Last, First, Middle Initial)  Gary J Menichini  Meiling Address Con Health Plans	Date of Receipt		
Mailing Address One Health Plaza		08 30 2013	
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3927987  Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	50.00	
Name of Employer  CIBA Vision Corporation  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation COMMERCIAL & GEN MGMT - CEG RO  Aggregate Year-to-Date ▼  425.00		
Full Name (Last, First, Middle Initial)  Wayne P Merkelson  Mailing Address One Health Plaza		Date of Receipt	
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928632  Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	С	69.24	
Name of Employer Novartis Finance Corporation	Occupation Tax - Vice President		
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  588.54		
Full Name (Last, First, Middle Initial)  C. Susan J Millard		Date of Descript	
Mailing Address One Health Plaza		Date of Receipt  08 30 2013	
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3927994  Amount of Each Receipt this Period	
FEC ID number of contributing federal political committee.	C	50.00	
Name of Employer	Occupation	-	
CIBA Vision Corporation Receipt For:	HR - CEG ROLE	_	
Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 425.00		
SUBTOTAL of Receipts This Page (optional).		169.24	
TOTAL This Period (last page this line number	er only)		

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Laurie C Mills Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3928027 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation CRM - Spec II **Novartis Clinical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) Full Name (Last, First, Middle Initial) B. Stacey L Moore Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3929112 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 35.32 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals LTC Account Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 298.86 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn H Morton Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928635 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Project Management - Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1055.79 Other (specify) 83.02 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Polly L Murphy Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929346 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-PH-Spec-Exec Sales - Spe Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) B. Vasant Narasimhan Date of Receipt Mailing Address 350 Massachusetts Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3928110 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) c. James R Niebanck Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928642 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Operations Research - Director Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) 148.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928485 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 08 30 2013 City State Zip Code Transaction ID: A2013-3929473 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) c. Michael S Oehrlein Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928758 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 150.00 С federal political committee. Name of Employer Occupation Sales Mgmt-Pharma I - VP Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) 288.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	Statements may not be sold or used by any perse e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	action Committee	
Full Name (Last, First, Middle Initial)  Sharon N Olmstead  Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	08 31 2013  Transaction ID : A2013-3928645  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation Fed and Legislative Affairs -  Aggregate Year-to-Date ▼  392.36	
Full Name (Last, First, Middle Initial)  Craig S Osten  Mailing Address One Health Plaza		Date of Receipt  08 30 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928051  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer Novartis Vaccines & Diagnostics	Occupation Controller Corporate - Vice Pr	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 392.36	
Full Name (Last, First, Middle Initial)  Serafina Oxner		Date of Receipt
Mailing Address One Health Plaza	Chata Zin Ocale	08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928648  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	
Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Strategic Planning - Executive  Aggregate Year-to-Date ▼  392.36	
SUBTOTAL of Receipts This Page (optional)	•	138.48
TOTAL This Period (last page this line number	only)	

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A			political committee				om odom o		·
Full Name (Last, First, Middle Initial)  John M Pakulski					Date of	Receipt			
Mailing Address One Health Plaza					08	30		2013	
City	State NJ	Zip Code 07936			Transa	action ID :	A2013-392	8046	
East Hanover	INJ	07936			Amount	of Each Re	eceipt this	Period	
FEC ID number of contributing federal political committee.	С					-	-	92.3	80
Name of Employer	Occupation								
Sandoz Inc.	Reg Aff App	roval - Ex Dir							
Receipt For:	Aggregate `	Year-to-Date ▼	,						
Primary General Other (specify) ▼		<u>, , , , , , , , , , , , , , , , , , , </u>	784.55						
Full Name (Last, First, Middle Initial)  3. Melissa A Parker	1				Date of	Receipt			
Mailing Address One Health Plaza					08	31		2013	
City	State	Zip Code				action ID : A			
East Hanover	NJ	07936			Amount	of Each Re	eceipt this	Period	
FEC ID number of contributing federal political committee.	С					-		27.7	0
Name of Employer	Occupation								
Novartis Pharmaceuticals	Sales-Reg A	Act Mgmt-RDAN	Л - Dir						
Receipt For:  Primary General  Other (specify) ▼	Aggregate `	Year-to-Date ▼	235.45						
Full Name (Last, First, Middle Initial)  C. Elizabeth Power		<u> </u>			Date of	Receipt			
Mailing Address 350 Massachusetts Avenue					08	30	/ Y 2	2013	
City Cambridge	State MA	Zip Code 02139				action ID:			
FEC ID number of contributing federal political committee.	С			ĺ	, unounc	or Lucii III	occipi unic	60.0	00
Name of Employer	Occupation								
Novartis Vaccines & Diagnostics	External Co	mmunications -	Dire						
Receipt For:	Aggregate `	Year-to-Date ▼	,						
Primary General Other (specify) ▼		7	240.00						
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		13		14		15		16		117

	d Statements may not be sold or used by any pers the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Kevin T Rigby  Mailing Address One Health Plaza		Date of Receipt
		08 31 2013
City	State Zip Code	Transaction ID : A2013-3928487
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	
Novartis Pharmaceuticals	CORPORATE AFFAIRS - CEG ROLE	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	1961.46	
Full Name (Last, First, Middle Initial)  Randi C Roberts		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code NJ 07936	Transaction ID : A2013-3928664
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer Novartis Pharmaceuticals	Occupation	
	Sales Mgmt-Pharma I - VP	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	392.36	
Full Name (Last, First, Middle Initial)  Diane M Rogers		Date of Receipt
Mailing Address 608 Fifth Avenue		08 30 2013
City New York	State Zip Code NY 10020	Transaction ID : A2013-3928108  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	+
Novartis Vaccines & Diagnostics	Sales Management-V&D - Vice Pr	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General		
Other (specify) ▼	235.45	
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	304.62
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Detailed Summary Page	X   11a   11b   11c   12   15   16	17					

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NAME OF COMMITTEE (In Full) Novartis Corporation Politica	Il Action Committee	
Full Name (Last, First, Middle Initial)  Jason T Russell  Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City	State Zip Code	Transaction ID : A2013-3929182
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	58.48
Name of Employer	Occupation	+
Novartis Pharmaceuticals	Sales-Reg Act Mgmt-Sr AM - Sr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	493.70	
Full Name (Last, First, Middle Initial)  David A Schoening	•	Date of Receipt
Mailing Address One Health Plaza		08 30 _2013 _
City	State Zip Code	Transaction ID : A2013-3927936
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.76
Name of Employer	Occupation	+
Alcon Laboratories Inc.	QA Mgmt-Plant - VP	
Receipt For:    Primary   General	Aggregate Year-to-Date ▼	
Other (specify) ▼	261.46	
Full Name (Last, First, Middle Initial)  Christopher Sellin	1	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928813
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer	Occupation	
Novartis Pharmaceuticals	Business Process Anlys - Sr Co	
Receipt For:    Primary   General	Aggregate Year-to-Date ▼	
Other (specify) ▼	235.45	
SUBTOTAL of Receipts This Page (optional	1)	116.94
TOTAL This Period (last page this line num	oher only)	

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 2013 31 City State Zip Code Transaction ID: A2013-3928489 Lebanon NJ 08833 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals COMMERCIAL & GEN MGMT - CEG RO Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph M Simon Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928951 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.92 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-PH-Spec-Exec MS - Spec\_0 Receipt For: Aggregate Year-to-Date ▼ Primary General 313.82 Other (specify) Full Name (Last, First, Middle Initial) c. Katherine E Solon Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3929470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Government Affairs - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 1961.46 Other (specify) 313.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

# SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 45 OF Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X   11a
Any information copied from such Reports and Stateme or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	Committee	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify) ▼  Novartis Pharmaceuticals  Agg	ate Zip Code J 07936  upation s Mgmt-Oncology Sr ASM - A regate Year-to-Date ▼  238.68	Date of Receipt  08 31 2013  Transaction ID: A2013-3928685  Amount of Each Receipt this Period  28.08
New York  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Perseint For:	ate Zip Code Y 10020  Lupation s Mgmt-Onco Mgd Mkts - VP regate Year-to-Date ▼  1400.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Coccurrence  Occurrence  Occurre	ate Zip Code J 07936  Upation ernment Affairs - Director regate Year-to-Date ▼  902.36	Date of Receipt  08 31 2013  Transaction ID : A2013-3929351  Amount of Each Receipt this Period  106.16
SUBTOTAL of Receipts This Page (optional)	·····	334.24

	FOR LINE NU	IMBER:	PAGE	46 OF	-		
Use separate schedule(s)	(check only or	(check only one)					
for each category of the Detailed Summary Page	X 11a	11b	11c	12			
	13	14	15	16			

	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Donald P Stevens  Mailing Address One Health Plaza		Date of Receipt
		08 31 2013
City Fact Hangyer	State Zip Code NJ 07936	Transaction ID : A2013-3929318
East Hanover	110 0/930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Government Affairs - Director	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	588.54	
Full Name (Last, First, Middle Initial)  Peter N Streit	•	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City  Fact Hanaver	State Zip Code NJ 07936	Transaction ID : A2013-3928691
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer  Novartis Pharmaceuticals	Occupation MARKETING - DEV ROLE	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  235.45	
Full Name (Last, First, Middle Initial)  C. Mary R Strickland		Date of Receipt
Mailing Address One Health Plaza		08 30 2013
City	State Zip Code	Transaction ID : A2013-3928045
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	1
Sandoz Inc.	Government Affairs - Director	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	392.36	
SUBTOTAL of Receipts This Page (optional).		143.10
TOTAL This Period (last page this line number	er only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joanne Strunk-Walsh Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3928105 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 180.00 federal political committee. Name of Employer Occupation Sales-Pharmaceutical - Senior Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Suchorsky Date of Receipt Mailing Address One Health Plaza 80 31 2013 City State Zip Code Transaction ID: A2013-3928739 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Relationship Management - Dire Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Suter Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928869 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Government Affairs - Director Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 272.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

	I FOR LINE	= NOMBER	: PAGI	E 48 OF
Use separate schedule(s)	(check or	ly one)		
for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12
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or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  A. Richard Tessier		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3928696  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	46.16
Name of Employer  Novartis Pharmaceuticals	Occupation Engineering Facilities - Direc	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  392.36	
Full Name (Last, First, Middle Initial)  Barbara A Tombros  Mailing Address Co., Mr. 191	•	Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3929360  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	71.06
Name of Employer Novartis Pharmaceuticals	Occupation Strategic Planning - Director	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 601.42	
Full Name (Last, First, Middle Initial)  Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		08 31 2013
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2013-3929002  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	62.42
Name of Employer	Occupation	_
Novartis Pharmaceuticals Receipt For:	Sr LTC Account Manager	_
Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 528.55	
SUBTOTAL of Receipts This Page (optional)		179.64
TOTAL This Period (last page this line number		

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Urban Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3929158 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.82 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-PH-Spec-Exec Sales - Spe Receipt For: Aggregate Year-to-Date ▼ Primary General 261.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3929214 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sales-PH-Spec-Exec Neuro-Psych Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) Full Name (Last, First, Middle Initial) c. Edwin Valeriano Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3929469 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Investor Relations - Director Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 369.28 Other (specify) 81.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3927898 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation PRODUCTION - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 230.80 Other (specify) Full Name (Last, First, Middle Initial) B. William W Voegtli Date of Receipt Mailing Address One Health Plaza 08 31 2013 City State Zip Code Transaction ID: A2013-3928929 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Reimbursement-Sr Mgr - Senior Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928705 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) 143.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 30 2013 City Zip Code State Transaction ID: A2013-3927864 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation IT - CEG ROLE Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) Full Name (Last, First, Middle Initial) B. Anthony Wallace Date of Receipt Mailing Address One Health Plaza Ste 725 08 30 2013 City State Zip Code Transaction ID: A2013-3928065 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Sales Mgr-Reg-V&D - Ex Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert K Warner Date of Receipt Mailing Address One Health Plaza 30 80 2013 City Zip Code State Transaction ID: A2013-3927870 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation COMMERCIAL & GEN MGMT - CEG RO Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 122.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jane E Welborn Date of Receipt Mailing Address One Health Plaza 2013 31 City Zip Code State Transaction ID: A2013-3928710 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 49.10 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Sales-Pharma-Oncology - Sr Spe Receipt For: Aggregate Year-to-Date ▼ Primary General 417.35 Other (specify) Full Name (Last, First, Middle Initial) B. James E Williams Date of Receipt Mailing Address One Health Plaza 80 31 2013 City State Zip Code Transaction ID: A2013-3928819 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Clinical Research (MD) - Princ Receipt For: Aggregate Year-to-Date ▼ Primary General 784.55 Other (specify) Full Name (Last, First, Middle Initial) c. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 80 31 2013 City Zip Code State Transaction ID: A2013-3928714 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Financial Analysis - Executive Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 187.56 SUBTOTAL of Receipts This Page (optional)..... 8741.82 TOTAL This Period (last page this line number only).....

S	CHEDULE B (FEC Form 3X)			FOR LINE N	NUMBER:		PAGE	= 53 (	OF 55
IT	EMIZED DISBURSEMENTS	Use separate schedule for each category of the		s) (check only one)					
		Detailed Summary Pag		21b 27	22	X 23 28b	24 28c	25 29	26 30b
·					28a				
	ly information copied from such Reports and Statem for commercial purposes, other than using the name								
$\setminus$	NAME OF COMMITTEE (In Full)								
	Novartis Corporation Political Actio	n Committee							
$\overline{}$	Full Name (Last, First, Middle Initial)								
Α.				Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y					
	Mailing Address 410 1st St SE Suite 310								
	,	State Zip Code			Trans	action ID	: B470962		
	Washington Purpose of Disbursement	DC 20003							
	Contribution			011	Amount	t of Each	Disburseme	ent this	Period
	Candidate Name			Category/				1000	00
	Linda Sanchez			Туре		7	7	1000	
	Senate	nent For: 2014  Primary Genera  Other (specify) ▼	al						
	State: CA District: 38								
_	Full Name (Last, First, Middle Initial)								
В.	Fearless PAC				Date of Disbursement				Y
	Mailing Address 1919 14th Street/Suite 707				08 19 2013				
	Boulder	State Zip Code CO 80302			Trans	action ID	: B470967		
	Purpose of Disbursement Contribution		П	011	Amount	t of Each	Disburseme	ent this	Period
	Candidate Name		1.	Category/ Type	2				0.00
	Senate	nent For: 2013  Primary Genera  Other (specify) ▼  Not Applic							
	Full Name (Last, First, Middle Initial)								
C.	Capuano for Congress				Date of	Disburse		V	V
	Mailing Address 38 Ivy Street SE			08 19 2013					
	,	State Zip Code DC 20003			Trans	action ID	: B470965		
	Purpose of Disbursement								
	Contribution 011			Amount of Each Disbursement this Period				Period	
	Candidate Name			Category/				1000	00
	Michael E Capuano			Туре		-		1000	.00
	Senate President	nent For: 2014  Primary Genera  Other (specify) ▼	al						
	State: MA District: 07								
s	UBTOTAL of Disbursements This Page (optional)			·····•			-	4500	.00
1	OTAL This Period (last page this line number only)			······ <b>&gt;</b>	L				الت

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 54 OF 55				
ITEMIZED DISBURSEMENTS	Use separate schedule(s	s) (check only	TYOMBET.				
	for each category of the Detailed Summary Page	21h	22 🗙 23 24 25 26				
		27	28a 28b 28c 29 30b				
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or for commercial purposes, other than using the	name and address of any poli	tical committee to	solicit contributions from such committee.				
NAME OF COMMITTEE (In Full)							
$ \; angle$ Novartis Corporation Political Ac	tion Committee						
Full Name (Last, First, Middle Initial)							
McPAC (More Conservatives PAC)			Date of Disbursement				
			M M / D D / Y Y Y				
Mailing Address 228 S. Washington St. Suite 11	5		08 19 2013				
City State Zip Code							
City Alexandria	State Zip Code VA 22314		Transaction ID: B470966				
Purpose of Disbursement							
Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	1000.00				
		Type	1000.00				
	sement For: 2013						
Senate President	Primary General  ✓ Other (specify) ▼						
State: NC District:	Not Applicat	ble					
Full Name (Last, First, Middle Initial)							
B. Price for Congress Committee			Date of Disbursement				
			M = M / D = D / Y = Y = Y				
Mailing Address P.O. Box 1986	Mailing Address P.O. Box 1986		08 19 2013				
0::							
City Raleigh	State Zip Code NC 27602		Transaction ID : B470961				
Purpose of Disbursement	27002						
Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	1000.00				
David E Price		Туре	1000.00				
	sement For: 2014						
Senate President	Y Primary General Other (specify) ▼						
State: NC District: 04	Other (specify)						
Full Name (Last, First, Middle Initial)							
Steve Israel for Congress Cmte			Date of Disbursement				
			M M / D D / Y Y Y				
Mailing Address PO Box 1400			08 19 2013				
City	State Zip Code						
City Melville	NY 11747		Transaction ID: B470964				
Purpose of Disbursement							
Contribution		011	Amount of Each Disbursement this Period				
Candidate Name		Category/	2500.00				
Steve Israel		Туре	2500.00				
Office Sought: House Disbut	sement For: 2014						
President	Primary General Other (specify)						
State: NY District: 03	Strict (Specify)						
SUBTOTAL of Disbursements This Page (optional	I)		4500.00				
	,						
TOTAL This Period (last page this line number o	nly)						

SCHEDULE B (FEC Form 3X)	Han annount of 1997	FOR LINE	NUMBER:	PAGE 55 OF 55			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	,				
	Detailed Summary Page	21b 27	22 X 23 28b	24 25 26 28c 29 30			
Any information copied from such Reports and Statem	nents may not be sold or us						
or for commercial purposes, other than using the name							
NAME OF COMMITTEE (In Full)	_						
Novartis Corporation Political Actio	n Committee						
Full Name (Last, First, Middle Initial)							
A. Kenny Marchant for Congress			Date of Disburser				
Mailing Address PO Box 110187				08 19 2013			
City State Zip Code			Transaction ID : B470959				
Carrollton Purpose of Disbursement	TX 75011		Transaction ID	D-1 0939			
Contribution		011	Amount of Each [	Disbursement this Period			
Candidate Name		Category/		1000.00			
Kenny Marchant  Office Sought:	nent For: 2014	Туре		1000.00			
	Primary General						
	Other (specify) ▼						
State: TX District: 24							
Full Name (Last, First, Middle Initial) <b>B.</b>			Date of Disburser	nent			
			M = M / D = 1				
Mailing Address							
City	State Zip Code						
Purpose of Disbursement							
Candidate Name			Amount of Each [	Disbursement this Period			
Saladato Harro		Category/ Type					
Office Sought: House Disbursen	nent For:						
	Primary General						
State: President State:	Other (specify) ▼						
Full Name (Last, First, Middle Initial)							
C.			Date of Disburser	nent			
Mailing Address			M M / D D / Y Y Y Y				
	7. 0 :						
City	State Zip Code						
Purpose of Disbursement							
Candidate Name			Amount of Each [	Disbursement this Period			
Candidate Name		Category/ Type					
Office Sought: House Disbursen	nent For:	1,700					
	Primary General						
	Other (specify) ▼						
State: District:							
SUBTOTAL of Disbursements This Page (optional)				1000.00			
- Control of Biobaroomente Time Fage (optionar)							
TOTAL This Period (last page this line number only).			1	10000.00			