

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street)

75 State Street

24th Floor

Check if different  
than previously  
reported. (ACC)

Boston

MA

02109-1827

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C

C00486217

3. IS THIS  
REPORTNEW  
(N)

OR

AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

April 15  
Quarterly Report (Q1)July 15  
Quarterly Report (Q2)October 15  
Quarterly Report (Q3)January 31  
Year-End Report (YE)July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)Termination Report  
(TER)(b) Monthly  
Report  
Due On:

Feb 20 (M2)



May 20 (M5)



Aug 20 (M8)

Nov 20 (M11)  
(Non-Election  
Year Only)

Mar 20 (M3)



Jun 20 (M6)



Sep 20 (M9)

Dec 20 (M12)  
(Non-Election  
Year Only)

Apr 20 (M4)



Jul 20 (M7)



Oct 20 (M10)



Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

Primary (12P)



General (12G)



Runoff (12R)



Convention (12C)



Special (12S)

Election on

M M / D D / Y Y Y Y Y Y  
11 08 2016in the  
State of

MA

(d) 30-Day  
POST-Election  
Report for the:

General (30G)



Runoff (30R)



Special (30S)

Election on

M M / D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M / D D / Y Y Y Y Y Y  
10 01 2016

through

M M / D D / Y Y Y Y Y Y  
10 19 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Vock, Scott, , ,

Type or Print Name of Treasurer

Signature of Treasurer

Vock, Scott, , ,

[Electronically Filed]

Date

M M / D D / Y Y Y Y Y Y  
10 22 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 05/2016

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
10 / 01 / 2016 To: M M / D D / Y Y Y Y Y Y  
10 / 19 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2016</span>		<span style="border: 1px solid black; padding: 2px;">300984.72</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">88397.45</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">15115.08</span>	<span style="border: 1px solid black; padding: 2px;">237484.16</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">103512.53</span>	<span style="border: 1px solid black; padding: 2px;">538468.88</span>
7. Total Disbursements (from Line 31).....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	<span style="border: 1px solid black; padding: 2px;">434956.35</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<span style="border: 1px solid black; padding: 2px;">103512.53</span>	<span style="border: 1px solid black; padding: 2px;">103512.53</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE** of Receipts

FEC Form 3X (Rev. 05/2016)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		0	1		2	0	1	6

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	0		1	9		2	0	1	6

**I. Receipts**
**COLUMN A**  
Total This Period

**COLUMN B**  
Calendar Year-to-Date

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

## (i) Itemized (use Schedule A).....

9974.89

169297.36

## (ii) Unitemized .....

2640.19

65686.80

## (iii) TOTAL (add

Lines 11(a)(i) and (ii).....▶

12615.08

234984.16

## (b) Political Party Committees .....

0.00

0.00

## (c) Other Political Committees

(such as PACs).....

0.00

0.00

## (d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

12615.08

234984.16

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

2500.00

2500.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

## (a) Non-Federal Account

(from Schedule H3) .....

0.00

0.00

## (b) Levin Funds (from Schedule H5) .....

0.00

0.00

## (c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c)) .....

15115.08

237484.16

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) .....

15115.08

237484.16

# **DETAILED SUMMARY PAGE** of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	1315.80
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	1315.80
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	433114.55
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	526.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	526.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	434956.35
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	434956.35

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

<b>III. Net Contributions/ Operating Expenditures</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	12615.08	234984.16
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	526.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	12615.08	234458.16
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....▶	0.00	1315.80
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....▶	0.00	1315.80

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Alcorn, Lee, , ,

Mailing Address 1066 Turquoise Street

City  
San DiegoState  
CAZip Code  
92109-1267FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial LlcOccupation (for Individual)  
VP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A0D21ECBCD9534CACAC

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Audette, Matt J, , ,

Mailing Address 8183 Doug Hill

City  
San DiegoState  
CAZip Code  
92127-2568FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
Chief Financial Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

833.36

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A64F20021399743F3AA6

Amount of Each Receipt this Period

208.34

☐ Memo Item

Payroll Deduction: \$208.34/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Bock, Mimi, , ,

Mailing Address 6053 Seacrest View Road

City  
San DiegoState  
CAZip Code  
92121-4370FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
EVP, Client Insights & Engagemnt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AEF0A9E0053D54DE9A1F

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

333.34

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Cameron, Kent A., , ,

Mailing Address 6648 Lavandula Court

City  
San DiegoState  
CAZip Code  
92130-4897FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
EVP, Internal Audit

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AE2CB6371A2904F61BE5

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Carter, Tim, , ,

Mailing Address 6115 Paseo Monona

City  
CarlsbadState  
CAZip Code  
92009-2217FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
SVP, Human Resources

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AAF9D155B8B1147B387B

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Clifford, Crystal, , ,

Mailing Address 15123 Post Oak Lane

City  
El CajonState  
CAZip Code  
92021-2255FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
SVP, Technology

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

593.75

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A34F110BD9343427C98D

Amount of Each Receipt this Period

31.25

☐ Memo Item

Payroll Deduction: \$31.25/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

72.93

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Cornell, Bradford, , ,**

Mailing Address 5718 Providence Country Club Drive

City  
Charlotte

State  
NC

Zip Code  
28277-2621

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 07 / 2016

**Transaction ID : AB978BE23937A40578FB**

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Cox, Patrick E., , ,**

Mailing Address 2283 Caminito Preciosa Sur

City  
La Jolla

State  
CA

Zip Code  
92037-7233

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLC

Occupation (for Individual)  
SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 07 / 2016

**Transaction ID : A63AC89D60E0A4C67A39**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Ehlers, Marc, , ,**

Mailing Address 1258 Oak Avenue

City  
Carlsbad

State  
CA

Zip Code  
92008-1929

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
EVP, Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.96

Date of Receipt

10 / 07 / 2016

**Transaction ID : A76D9D9D0096740C2B75**

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

62.51



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Enyedi, Matthew, , ,

Mailing Address 15497 Tanner Ridge Road

City  
San DiegoState  
CAZip Code  
92127-3654FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
EVP, Natl Consulting & Mktg

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A7D226D33B14E4EC3B5B

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Figliola, Jackie C., , ,

Mailing Address P.O. Box 5000

City  
Rancho Sant FeState  
CAZip Code  
92067-5000FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
EVP, Business Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A33667969BFD04AB498A

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Gilchrist, Tara, , ,

Mailing Address 10428 Duxbury Lane

City  
San DiegoState  
CAZip Code  
92127-6880FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A92DC81CD94B84CBA96C

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

83.33

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Gordy, Emily P, , ,

Mailing Address 2825 Jutland Road

City  
KensingtonState  
MDZip Code  
20895-2819FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A954E0440E4774960BAE

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Hearn, Brad, , ,

Mailing Address 7293 Calle Conifera

City  
CarlsbadState  
CAZip Code  
92009-8699FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
EVP, Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

798.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : AFB283FBFCE744A459BF

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Ho, Peggy, , ,

Mailing Address 9 Donizetti Street

City  
WellesleyState  
MAZip Code  
02482-4822FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
EVP, Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A7FB289A9F48C459AA79

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

104.51

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Hughes, Lisa, , ,

Mailing Address 4817 St. Simons Terrace

City  
WaxhawState  
NCZip Code  
28173-6823FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
VP, Business Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A1EB4795DF6584B0F9EE

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Jacobs, Brad, , ,

Mailing Address 5 Beacham Place

City  
HinghamState  
MAZip Code  
02043-3331FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : AE3CC9B6B2DF04D958BD

Amount of Each Receipt this Period

25.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Kalbaugh, Andy, , ,

Mailing Address 6713 Seton House Lane

City  
CharlotteState  
NCZip Code  
28277-4519FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
MD, Divisional President RMBC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A09573C9237F547E3BAC

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

150.00

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Lank, Stephen, , ,

Mailing Address 15936 Glen Miro Drive

City  
HuntersvilleState  
NCZip Code  
28078-2255FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
EVP, Operations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

950.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A85647BEAAB8D430DA1A

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Ludlow, John K, , ,

Mailing Address 222 East Bland St

City  
CharlotteState  
NCZip Code  
28203-6142FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
Sr Ops Specialist

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.04

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AF95AB2D5C3374C13BBB

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Melton, Christopher, Christopher, ,

Mailing Address 672 Southern Hills Dr

City  
EurekaState  
MOZip Code  
63025-3608FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial LlcOccupation (for Individual)  
VP, Bus Dev Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AD8EAAEE28665B4F51B2A

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

133.33

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Petrosino, Nicole, , ,

Mailing Address 2328 Champlain Street NW

City  
Washington

State  
DC

Zip Code  
20009-8601

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLC

Occupation (for Individual)  
VP, Government Relations

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A8020F0C546A9431C891

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Pettman, Robert, , ,

Mailing Address 11850 Blanton Court

City  
San Diego

State  
CA

Zip Code  
92128-5201

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
EVP, Product & Platform Mgmt

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A397B3CB374AA4EA6A6C

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Reich, David, , ,

Mailing Address 7995 Entrada De Luz West

City  
San Diego

State  
CA

Zip Code  
92127-2512

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
EVP, Retirement Ptnrs, HNW,PTC.

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : AABC743C81DD847D8A18

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

145.83

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Rosplock, Philip, , ,

Mailing Address 6822 Williams Road

City  
ConcordState  
OHZip Code  
44077-9513FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
VP, Trust Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : A6E3B42DF47454C60B3C

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. Socci, Melissa, , ,

Mailing Address 12836 Caminito De Las Olas

City  
Del MarState  
CAZip Code  
92014-3739FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
SVP, Marketing

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : AF238D41AF7E84DEFB01

Amount of Each Receipt this Period

20.84

☐ Memo Item

Payroll Deduction: \$20.84/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. Vock, Scott, , ,

Mailing Address 4943 Park Rd

City  
CharlotteState  
NCZip Code  
28209-3574FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
VP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 07 / 2016

Transaction ID : AA4D39E0A9559486A8E4

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

62.51

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 15 OF 68

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. Warren, Jeffrey, , ,**

Mailing Address 7137 Sherbourne Lane

City  
San Diego

State  
CA

Zip Code  
92129-4655

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
SVP, IAS Business Consulting

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

570.00

Date of Receipt

10 / 07 / 2016

**Transaction ID : AE6AE99C8F71E426493A**

Amount of Each Receipt this Period

30.00

☐ Memo Item

Payroll Deduction: \$30.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. Waterfield, Brett, , ,**

Mailing Address 2533 Stonehenge Dr.

City  
Aurora

State  
IL

Zip Code  
60502-4707

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
SVP, Business Development

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1900.00

Date of Receipt

10 / 07 / 2016

**Transaction ID : AD67FC92E4E514860AEB**

Amount of Each Receipt this Period

100.00

☐ Memo Item

Payroll Deduction: \$100.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. Woods, Greg, , ,**

Mailing Address 116 Pembroke Street

City  
Boston

State  
MA

Zip Code  
02118-1208

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLC

Occupation (for Individual)  
EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 07 / 2016

**Transaction ID : A4C43F7C23EC24C9CB82**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

171.67



# SCHEDULE A (FEC Form 3X)

## ITEMIZED RECEIPTS

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. Wright, David Bryan, , ,

Mailing Address 8509 English Turn Lane

City  
WaxhawState  
NCZip Code  
28173-6649FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial, LLCOccupation (for Individual)  
EVP, BTS

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 07 / 2016

Transaction ID : A099F401C2918481ABC0

Amount of Each Receipt this Period

104.17

☐ Memo Item

Payroll Deduction: \$104.17/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. AARON, VERONICA, MARIE, ,

Mailing Address 10 POINTE DRIVE, SUITE 100  
Ste 100City  
BreaState  
CAZip Code  
92821-7621FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A2ED9A114CA804B4FBA6

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ABOOD, CFP, JOHN, M, ,

Mailing Address 20 MONTCHANIN RD, STE 270  
Ste 270City  
GREENVILLEState  
DEZip Code  
19807-2174FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial LlcOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A8783B7D7E0594607939

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

136.00

TOTAL This Period (last page this line number only).....▶



**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER: PAGE 17 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. ALLEN, DAVID, R, ,

Mailing Address 923 STATE ROUTE 17C

City  
OWEGOState  
NYZip Code  
13827-4819FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AEC54DC85E6D94770AC6

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. ANGELO, CFP, MARK, JOSEPH, ,

Mailing Address 616 WASHINGTON ST.

City  
Toms RiverState  
NJZip Code  
08753-6789FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A23C3D5A232534307BFE

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. APOSTOLIDIS, ANTHONY, JAMES, ,

Mailing Address 10100 Trinity Pkwy  
Ste 430City  
StocktonState  
CAZip Code  
95219-7241FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A592F75D521A54B8EBF7

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

83.33

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. ASTORINO, FRANCIS, XAVIER, ,**

Mailing Address 155 PASSAIC AVE, SUITE 400  
Ste 400

City  
FAIRFIELD

State  
NJ

Zip Code  
07004-3566

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

The Astorino Financial Group

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A849D4370D0A147559D4**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. BERK, CFP, HARVEY, STUART, ,**

Mailing Address 244 NORTH MAIN STREET

City

NEW CITY

State

NY

Zip Code

10956-5302

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Berk Wealth Management

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AC7BDC591F84C447E8FD**

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. BICKLING, DOROTHY, INEZ, ,**

Mailing Address 35 BEDFORD ST, STE 15  
Ste 15

City

LEXINGTON

State

MA

Zip Code

02420-4439

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Bickling Financial Services, Inc.

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

541.71

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AB91A5F6BF3E5438087B**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

145.83

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. BLEASE, ANDREW, CLARK, ,**

Mailing Address 7358 N LA CHOLLA BLVD STE 100  
Ste 100

City  
TUCSON

State  
AZ

Zip Code  
85741-2305

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Blease Financial Services

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A95DB10C0A0184E048F2**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. BOTTE, CFP, PAUL, WILLIAM, ,**

Mailing Address 7777 ALVARADO ROAD, STE 320  
Ste 320

City  
LA MESA

State  
CA

Zip Code  
91942-8247

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A4A679FCBB187462EA68**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. BRADY, MICHAEL, S, ,**

Mailing Address 780 PARKWAY BLVD.

City  
BROOMALL

State  
PA

Zip Code  
19008-4212

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Financial Consultant

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AC16F428751C848C8988**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

187.49

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. BRICKLEY, STEPHEN, MARK, ,

Mailing Address 1900 Ofarrell St  
Ste 330

City  
San Mateo

State  
CA

Zip Code  
94403-1376

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Brickley Wealth Management

Occupation (for Individual)

Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A6611D57A90414E33A9A

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. BROWN, CFP, DAVID, KEITH, ,

Mailing Address 1605 FOURTH STREET

City

SANTA ROSA

State

CA

Zip Code

95404-4036

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Encore Wealth Management

Occupation (for Individual)

Registered Principle

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A356AE44C8E9544B49C3

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. BUBB, KERRICK, WALTER, ,

Mailing Address 1782 ORANGE TREE LANE

City

REDLANDS

State

CA

Zip Code

92374-2856

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

KWB WEALTH MANAGERS GROUP

Occupation (for Individual)

Wealth Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3952.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A8081967B7E1A44DD8B2

Amount of Each Receipt this Period

208.00

☐ Memo Item

Payroll Deduction: \$208.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

239.83

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. BUDIN, STEVEN, ANDREW, ,**

Mailing Address 3145 E. WARM SPRINGS RD. #400  
Ste 400

City  
LAS VEGAS

State  
NV

Zip Code  
89120-3140

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

The Budin Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.94

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A5ED1796FF09B4C66BC0**

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. BURNS, R. NEIL, , ,**

Mailing Address 30131 TOWN CENTER DR, STE 270  
Ste 270

City  
LAGUNA NIGUEL

State  
CA

Zip Code  
92677-2082

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A598092F5302443E194F**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. BYRNE, JOSEPH, GERARD, ,**

Mailing Address 11 EAST ST.

City  
Franklin

State  
MA

Zip Code  
02038-2110

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

208.30

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A68A12002395E4AA38DC**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.65

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 22 OF 68

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. CAMPBELL, KELLY, PETER, ,**

Mailing Address 700 S WASHINGTON ST STE 220  
Ste 220

City  
Alexandria

State  
VA

Zip Code  
22314-4287

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Campbell Wealth Management

Occupation (for Individual)  
CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

10 / 15 / 2016

**Transaction ID : AC3BF5D8E27BD498AA96**

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. CARMAN, RICHARD, LLOYD, ,**

Mailing Address 6800 POPLAR AVE, STE 105  
Ste 105

City

MEMPHIS

State

TN

Zip Code

38138-7404

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Carman Financial Services

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

**Transaction ID : AC452F4AB6D51407ABC1**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. CARPENTER, MICHAEL, DALE JOSEPH, ,**

Mailing Address 3027 E. WARM SPRINGS RD #100  
Ste 100

City

LAS VEGAS

State

NV

Zip Code

89120-3765

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 15 / 2016

**Transaction ID : ACF55A7A7A73F4D9B992**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. CARTOLANO, JOHN, MICHAEL, ,**

Mailing Address 9059 E Bahia Dr

City  
Scottsdale

State  
AZ

Zip Code  
85260-1554

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2708.29

Date of Receipt

10 / 15 / 2016

Transaction ID : ADD2BA0E883FC41CFAD1

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. CASSERLY, JULIE, M, ,**

Mailing Address 1017 W. WASHINGTON UNIT 3-E  
Unit 3E

City  
Chicago

State  
IL

Zip Code  
60607-2107

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
JMC Wealth Management, Inc.

Occupation (for Individual)  
Business Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

583.24

Date of Receipt

10 / 15 / 2016

Transaction ID : A7C75F7CAEF8A438AA43

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. CHRISTIAN, SUZANNE, H., ,**

Mailing Address 419 YALE AVE

City  
CLAREMONT

State  
CA

Zip Code  
91711-4340

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

Transaction ID : A5A7058417E6A453C87E

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.65



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. CLARK, DON, , ,**

Mailing Address 7007 COLLEGE BLVD STE 270  
Ste 270

City OVERLAND PARK State KS Zip Code 66211-2440

FEC ID number of contributing federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

OSJ

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

10 / 15 / 2016

Transaction ID : AC8F7CA17F98E4B07BEC

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. CLUTE, HEIDI, GRACE, ,**

Mailing Address 152 TOM MILLER ROAD

City PLATTSBURGH State NY Zip Code 12901-6425

FEC ID number of contributing federal political committee.

C

Name of Employer (for Individual)  
Clute Wealth Management

Occupation (for Individual)  
Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1083.29

Date of Receipt

10 / 15 / 2016

Transaction ID : A7B5539DA9331485493E

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. COLLINS, ROBERT, SMITH, ,**

Mailing Address 751 COOL SPRINGS BLVD STE 100  
Ste 100

City FRANKLIN State TN Zip Code 37067-2740

FEC ID number of contributing federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

Transaction ID : A245277D7607D410E88F

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.65



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. COX, III, JAMES, A, ,**

Mailing Address 9100 ARBORETUM PKWY STE 255  
Ste 255

City  
RICHMOND

State  
VA

Zip Code  
23236-5408

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Harris Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1562.40

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A8292D0AEEC7447B29A1**

Amount of Each Receipt this Period

104.16

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. DALEY, URSULA, ROBINSON, ,**

Mailing Address 1211 W 22ND ST. STE. 400  
Ste 245

City  
Oak Brook

State  
IL

Zip Code  
60523-2110

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A38EF9C34C56B40FA91D**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. DANIELS, RICHARD, , ,**

Mailing Address 16 COMPUTER DRIVE WEST

City  
Albany

State  
NY

Zip Code  
12205-1686

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Daniels Financial Partners, Inc.

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : ABDC954600CE34B82840**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

126.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DAVIS, JOHN, P., ,

Mailing Address 2506 E VISTOSO COMMERCE LOOP  
 Ste 180

City  
 Oro Valley

State  
 AZ

Zip Code  
 85755-9114

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 LPL Financial LLC

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A55EA65A336924B2895A

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DAVIS, JOHN, ANDREW, ,

Mailing Address 1005 S. 6TH STREET

City  
 Springfield

State  
 IL

Zip Code  
 62703-2403

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 Davis Financial Group

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AA611DE79A40F41F78AB

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DENHAM, ANGELA, LOUISE, ,

Mailing Address 8048 ONE CALAIS AVE, STE F  
 Ste F

City  
 BATON ROUGE

State  
 LA

Zip Code  
 70809-3483

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 A.L. Denham Wealth Management

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A4CAB1CA01FC342779A7

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

104.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DENOYIOR, JOSEPH, FREDRICK, ,

Mailing Address 1410 SPRING HILL ROAD, STE 425  
Ste 425

City  
MCLEAN

State  
VA

Zip Code  
22102-3032

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.66

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A4AA3A49934C442C797D

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DEPROSPERO, KIMBERLY, JOAN, ,

Mailing Address 1525 N PARK DR, STE 104  
Ste 104

City  
WESTON

State  
FL

Zip Code  
33326-3225

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Financial Strategies Group

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1768.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A39E2745BA6D440329EA

Amount of Each Receipt this Period

104.00

☐ Memo Item

Payroll Deduction: \$104.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. DICKSON, RICHARD, DEAN, ,

Mailing Address 1700 WEST LOOP S STE 255  
Ste 255

City  
Houston

State  
TX

Zip Code  
77027-3029

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : AD5ECA7BAC5340F189C

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

156.66

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. DIXON, DAVID, STAPLEY, ,**

Mailing Address 7472 W SAHARA AVE, STE #101  
Ste 101

City  
LAS VEGAS

State  
NV

Zip Code  
89117-2748

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Dixon Financial Group, LLC

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A88C803B809C14564A3A**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. DONAHUE, CFP, RICHARD, CALVERT, ,**

Mailing Address 5060 PACIFIC HIGHWAY, #101  
Ste 101

City  
FERNDAL

State  
WA

Zip Code  
98248-7821

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Asset Advisors, LLC

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1033.27

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A72782E1D8F08487EBC0**

Amount of Each Receipt this Period

104.16

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. DRAGOTTA, RICHARD, GASPARE, ,**

Mailing Address 461 FROM RD, 3RD FL, STE 385  
Ste 385

City  
Paramus

State  
NJ

Zip Code  
07652-3526

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial Llc

Occupation (for Individual)  
Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A9B78BC3F9CD3413B88B**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

156.82

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. DUBOSE, TONY, EDWARD, ,

Mailing Address 1250 S PINE ISLAND RD STE 350  
 Ste 350

City  
 PLANTATION

State  
 FL

Zip Code  
 33324-4440

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 Legacy Wealth Management

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A153DBE0F4C1B4787813

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. DUDASH, STEVEN, , ,

Mailing Address 123 N. WACKER DR. STE 2300  
 Ste 2300

City  
 Chicago

State  
 IL

Zip Code  
 60606-1872

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 LPL Financial LLC

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A156F980FE7B14D119B4

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ELMORE CFP, DAVID, LEE, ,

Mailing Address 230 S. WAYNE AVE

City  
 Waynesboro

State  
 VA

Zip Code  
 22980-4622

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 LPL Financial

Occupation (for Individual)  
 financial planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AD18E4CA875A54234B4D

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

73.50

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. ENGEL, ROBERT, PATRICK, ,**

Mailing Address 9841 IRVINE CENTER DR, STE 130  
Ste 130

City  
IRVINE

State  
CA

Zip Code  
92618-4314

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Wealth Planning Group

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A9F3F5E9B0F0C41BD8A0

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. ERRICO, CFP AIF, ROBERT, L, ,**

Mailing Address 3991 MACARTHUR BLVD, STE 250  
Ste 250

City

Newport Beach

State

CA

Zip Code

92660-3039

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial Llc

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A44C2094CF7864C2C9B8

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. FINN, JOHN, MICHAEL, ,**

Mailing Address 294 VALLEY ROAD

City

Middletown

State

RI

Zip Code

02842-5531

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

270.79

Date of Receipt

10 / 15 / 2016

Transaction ID : AD46828F105034897BD2

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

156.83

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 31 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. FISHER JR., RICHARD, NORTHRUP, Mr.,**

Mailing Address 1205 S MAIN ST

City  
Burlington

State  
NC

Zip Code  
27215-5762

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Fisher Wealth Management

Occupation (for Individual)  
Managing Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

Transaction ID : AEB0F08DF90C3410FBFB

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. FLAUTT JR., MEREDITH, EZELL, ,**

Mailing Address 750 OLD HICKORY BOULEVARD  
Ste 115

City  
Brentwood

State  
TN

Zip Code  
37027-4544

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Flautt Hare Davis LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

10 / 15 / 2016

Transaction ID : AFD01DE73E067427F940

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. FORBES, JOHN, , ,**

Mailing Address 1160 CEDAR COURT

City  
CARBONDALE

State  
IL

Zip Code  
62901-5300

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Forbes Financial Group

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A8DD1A2B4AB6F4732823

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

94.32



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. FOUSEK, PAUL, ALAN, ,**

Mailing Address 22 CALENDAR CT 2ND FL  
FI 2

City  
La Grange

State  
IL

Zip Code  
60525-2373

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Horizon Wealth Management

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

10 / 15 / 2016

Transaction ID : AD3A22287648B47D8814

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. FRAGASSO, ROBERT, IVANO, ,**

Mailing Address 610 SMITHFIELD ST, STE 400  
Ste 400

City

PITTSBURGH

State  
PA

Zip Code  
15222-2512

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Fragasso Financial Advisors

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1625.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A00CC81587CA049D09DE

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. FREEDMAN, CFP, MARC, STUART, ,**

Mailing Address 8 ESSEX CENTER DR, 3RD FLOOR  
FI 3

City

PEABODY

State  
MA

Zip Code  
01960-2911

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Freedman Financial

Occupation (for Individual)  
President & CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

10 / 15 / 2016

Transaction ID : A10F628FB8B8448718F8

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

302.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. FRIGON, ANN, CHERYL, ,**

Mailing Address 1206 FRONTVIEW, STE 210  
Ste 210

City  
DODGE CITY

State  
KS

Zip Code  
67801-2095

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL

Occupation (for Individual)

Registered Rep

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.95

Date of Receipt

10 / 15 / 2016

**Transaction ID : A36BEB5F9225E400A897**

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. GILBERT, LOREEN, MICHELLE, ,**

Mailing Address 9070 IRVINE CENTER DR, STE 230  
Ste 230

City  
IRVINE

State  
CA

Zip Code  
92618-4687

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
WealthWise Financial Services

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

**Transaction ID : A839C8CDD80ED46D8901**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. GOODMAN, BRUCE, NEIL, ,**

Mailing Address 200 BROADWAY SUITE 305  
Ste 305

City  
LYNNFIELD

State  
MA

Zip Code  
01940-2349

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

10 / 15 / 2016

**Transaction ID : A26E28396EF864C21B15**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

166.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. GREEN, GRAHAM, CHARLES, ,**

Mailing Address 48 GOLD ST

City

San Francisco

State

CA

Zip Code

94133-5103

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

229.13

Date of Receipt

10 / 15 / 2016

Transaction ID : A60CC5A5D99614B4CAE6

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. GROFT, CFP, PAMELA, FRANCES, ,**

Mailing Address 621 FRANKLIN STREET

City

WEST READING

State

PA

Zip Code

19611-1027

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2016

Transaction ID : AE0627B511ED8460BABB

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. HAMBLÉN, JEFFREY, DANIEL, ,**

Mailing Address 1410 SPRING HILL ROAD, STE 425  
Ste 425

City

MCLEAN

State

VA

Zip Code

22102-3032

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Washington Financial Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

798.00

Date of Receipt

10 / 15 / 2016

Transaction ID : AD6FAA76435C647E9B7A

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

83.66

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. HAMM, WILLIAM, E, ,**

Mailing Address 3030 N ROCKY POINT DR W #700  
Ste 700

City  
Tampa

State  
FL

Zip Code  
33607-5907

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
IFP

Occupation (for Individual)  
CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3541.61

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A68ADC52D1A904D10B57**

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. HARRIS, EDWARD, JAMES, ,**

Mailing Address 4160 WASHINGTON RD STE#1  
Ste 1

City

MC MURRAY

State  
PA

Zip Code  
15317-2533

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial Llc

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AC371D4AC68B54EE79AA**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. HASSELL, JOHN, THOMAS, ,**

Mailing Address 300 LAFAYETTE ST STE 200  
Ste 200

City

HOUMA

State  
LA

Zip Code  
70360-4368

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Hassell Wealth Management

Occupation (for Individual)  
Owner/Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

756.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AE7E3DDBAC0E149DA9A8**

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

291.99

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. HATCH, MICHAEL, PATRICK, ,**Mailing Address 225 S LAKE AVE SUITE 600  
Ste 600City  
PasadenaState  
CAZip Code  
91101-4860FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

The Sterling Group

Occupation (for Individual)

Financial Advisor &amp; Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1416.60

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		15		2016

**Transaction ID : A5510D8F4A7614B17A9C**

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. HERBST, CFP,MBA, DAVID, GEORGE, ,**

Mailing Address 15003 SOUTH ROUTE 59

City

PLAINFIELD

State

IL

Zip Code

60544-9539

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		15		2016

**Transaction ID : A0A4F0DFD2F7A426C9E6**

Amount of Each Receipt this Period

104.16

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. JACOBSON, CRPC, WAYNE, ALLAN, ,**

Mailing Address 411 CLAY STREET

City

CEDAR FALLS

State

IA

Zip Code

50613-2837

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Jacobson Financial Services, LLC

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
10		15		2016

**Transaction ID : AF45A4992B59A411F9EF**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

208.32

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. JACOBSON, DON, ARTHUR, ,

Mailing Address 3112 WEST 4TH STREET

City  
FORT WORTHState  
TXZip Code  
76107-2113FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Dean, Jacobson Financial ServicesOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

798.00

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AE0CFB55405FE443EBBD

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. JOWDY, MICHAEL, WILLIAM, ,

Mailing Address 7777 CENTER AVE. #120  
Ste 120City  
HUNTINGTON BEACHState  
CAZip Code  
92647-3017FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
CFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A3EF62CB302344B938D0

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. KAPLAN, SUSAN, CAROL, ,

Mailing Address 2227 WASHINGTON ST, STE 300  
2 NEWTON EXECUTIVE PARKCity  
NEWTONState  
MAZip Code  
02462-1417FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A24D3F88ECA8F46C5BA8

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

333.66

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. KARLINSKI, CFP, ANDREW, C, ,**

Mailing Address 6 VENTURE, SUITE 390  
Ste 390

City  
Irvine

State  
CA

Zip Code  
92618-7367

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
AK Financial Group

Occupation (for Individual)  
President/Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

**Transaction ID : A17888F40A5B44AD3868**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. KEEVER, IV, G. WARD, , ,**

Mailing Address 15 MIDDLETON DR.

City

WILMINGTON

State

DE

Zip Code

19808-4320

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

399.00

Date of Receipt

10 / 15 / 2016

**Transaction ID : A757C3EEF54FF497C9B5**

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. KIRKLAND, BEDFORD 'TAB', FORREST, ,**

Mailing Address 1032 W Main St

City

Lebanon

State

TN

Zip Code

37087-3345

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

10 / 15 / 2016

**Transaction ID : A33C954DD22E044DC909**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

104.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. KNIGHT, CFP, DIANE, M, ,**

Mailing Address 116 HENSHAW

City  
CHICO

State  
CA

Zip Code  
95973-7211

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
RedRock Financial

Occupation (for Individual)  
Registered Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

399.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A68ED4AE9CF0E4D55AD0

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. KOBERT, CFP, CAIN, BENJAMIN, ,**

Mailing Address 14143 DENVER WEST PKWY #510  
Ste 501

City  
GOLDEN

State  
CO

Zip Code  
80401-1049

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Rocky Mountain Wealth Management

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

10 / 15 / 2016

Transaction ID : A7CBDF7A144734C5A83E

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. KOEHLER, JOSEPH, FRANCIS, ,**

Mailing Address 370 OLD COUNTRY RD STE C20  
Ste C20

City  
Garden City

State  
NY

Zip Code  
11530-1765

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

249.96

Date of Receipt

10 / 15 / 2016

Transaction ID : A92713732F74B436BA52

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

62.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. KUHLENBECK, SUSAN, CHRISTINE, ,**

Mailing Address N19 W24200 RIVERWOOD DR, #150  
Ste 150

City  
WAUKESHA

State  
WI

Zip Code  
53188-1193

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

798.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A94D5899F7493404693A**

Amount of Each Receipt this Period

42.00

☐ Memo Item

Payroll Deduction: \$42.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. KUPRINA, KRISTINA, P, ,**

Mailing Address 99 ALMADEN BLVD #925  
Ste 925

City  
SAN JOSE

State  
CA

Zip Code  
95113-1614

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Sequoia Wealth Advisors & Investment M

Occupation (for Individual)  
Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

354.11

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A9D3158822905431EA5F**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. LA POINTE, DAVID, BLANDIN, ,**

Mailing Address 28544 OLD TOWN FRONT ST #201  
Ste 201

City  
TEMECULA

State  
CA

Zip Code  
92590-2756

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
La Pointe Wealth Management

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3591.12

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A554399D77AE84555B88**

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

271.16



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEE, CFP, KAREN, JUDITH, ,

Mailing Address 200 Ashford Ctr N  
Ste 400

City  
Atlanta

State  
GA

Zip Code  
30338-2669

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Karen Lee and Associates

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A72685692D3944062832

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LEIBMAN, MARK, EDWARD, ,

Mailing Address 228 MAIN ST.

City  
Louisville

State  
NE

Zip Code  
68037-6032

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A1431D54F706047B797C

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LEITCH, LAWRENCE, NELSON, ,

Mailing Address 401 WASHINGTON AVE 7TH FL  
FI 7

City  
TOWSON

State  
MD

Zip Code  
21204-4821

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Synergy Financial Group

Occupation (for Individual)  
Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1083.32

Date of Receipt

M M / D D / Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A7E101D7609A44973A17

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

154.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LEVERONI, CFP, TIMOTHY, W, ,

Mailing Address 50 BRAINTREE HILL OFFICE PRK  
Ste 205

City  
Braintree

State  
MA

Zip Code  
02184-8735

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : AC20015027B644D23B54

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LITTON, WILLIAM, LEE, ,

Mailing Address 4225 EXECUTIVE SQUARE, #1030  
(BR 1)

City  
La Jolla

State  
CA

Zip Code  
92037-1486

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Litton Financial

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A96074F8BB0EB42558A6

Amount of Each Receipt this Period

25.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LIVINGSTON, CFP, FREDRICK, JOSEPH, ,

Mailing Address 11720 AMBER PARK DR, STE 150  
Ste 150

City  
ALPHARETTA

State  
GA

Zip Code  
30009-2276

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Planmark Financial Group, Inc.

Occupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

399.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : ADB1C91ADC0F64EA9A19

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

66.83

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. LONE, STEVEN, ANDRE, Mr.,

Mailing Address 28411 Northwestern Hwy  
Ste 1200

City  
Southfield

State  
MI

Zip Code  
48034-5521

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial Corporation

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

541.58

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A21E4CB4EC3EE4108BB9

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. LONG, CFP, GREG, DEATS, ,

Mailing Address 280 S. PROSPECT AVE. SUITE A  
Ste A

City  
TUSTIN

State  
CA

Zip Code  
92780-1513

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL

Occupation (for Individual)  
financial planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A9CF89C0C9A7A4D1192F

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. LYMAN JR., ROBERT, D, ,

Mailing Address 2500 W. HIGGINS RD., STE #360  
Ste 360

City  
Hoffman Estates

State  
IL

Zip Code  
60169-7207

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
SEQUOIA FINANCIAL NETWORK

Occupation (for Individual)  
MANAGING PARTNER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A67D6376A7B33430CACF

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

73.49

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. LYONS, CFP, JOSEPH, A, ,**

Mailing Address 1 EXECUTIVE COURT STE 5  
Ste 5

City  
South Barrington

State  
IL

Zip Code  
60010-9533

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Joe Lyons LLC

Occupation (for Individual)

Registered Representative

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A02D18B5FD2D44605B68**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. MALAKOFF, ERNY, GLENN, ,**

Mailing Address 20444 REDWOOD RD

City

Castro Valley

State

CA

Zip Code

94546-4316

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial LLC

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A582A1C9368E24021846**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. MARSHALL-PENCE, LAILA, SOBHI, ,**

Mailing Address 5000 BIRCH STREET #8000  
Ste 8000

City

Newport Beach

State

CA

Zip Code

92660-2158

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Pence Wealth Management

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AE01C53EF3B3445B48EE**

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

240.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. MASSARO, CHFC, THEODORE, ROCCO, ,**

Mailing Address 57 SOUTH MAPLE AVENUE

City  
Marlton

State  
NJ

Zip Code  
08053-2031

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
M Financial Planning Services, Inc.

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A69405114B4EC4BE9848**

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. MATSON, ROBERT, ARTHUR, ,**

Mailing Address 333 ORCHARD STREET

City  
MILLIS

State  
MA

Zip Code  
02054-1025

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Robert A Matson CLU, ChFC

Occupation (for Individual)  
Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : ACBB15F7743774821877**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. MCKENNA, TIMOTHY, JOHN, ,**

Mailing Address 930 W US Highway 30

City  
Schererville

State  
IN

Zip Code  
46375-1551

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial Corporation

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1083.29

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : ABA78DC2F25D74D7D927**

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.99

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**

 Use separate schedule(s)  
 for each category of the  
 Detailed Summary Page

FOR LINE NUMBER: PAGE 46 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MCMENAMIN, CFP, DAVID, JOHN, ,

Mailing Address 7490 N. LA CHOLLA BLVD

City  
TUCSONState  
AZZip Code  
85741-2306FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.65

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A1EDE0AC4A5D24A97AD

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MEIKLE, CFP, W. DAIL, , ,

Mailing Address 22601 NORTH 19TH AVE, STE. 118  
(BR 2)City  
PHOENIXState  
AZZip Code  
85027-1321FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Meikle Financial GroupOccupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A49709D63DED8456387A

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MICONE, ROBERT, EDWARD, ,

Mailing Address 100 PACIFICA #400  
Ste 400City  
IRVINEState  
CAZip Code  
92618-7449FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
AFP LPLOccupation (for Individual)  
Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

 M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AA7905FA877524A0BA1A

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

229.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. MILLER, EDWARD, BRUCE, ,

Mailing Address 481 Munn Rd E  
Ste 225

City  
Fort Mill

State  
SC

Zip Code  
29715-8462

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A9D199B1EFC534D5492A

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. MILLER, SHARI, DIANE, ,

Mailing Address 7777 FAY AVE STE 315  
Ste 315

City  
La Jolla

State  
CA

Zip Code  
92037-4336

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Symbio Financial Partners

Occupation (for Individual)  
manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

666.56

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : AE815384D87A54B9AB78

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. MONROE, STEVEN, R, ,

Mailing Address 758 Fulton St W

City  
Grand Rapids

State  
MI

Zip Code  
49504-6320

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A47419AD881FA47D99E2

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

270.82

TOTAL This Period (last page this line number only).....▶



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. MYERS, LESLIE, ANN, ,**

Mailing Address 650 S Cherry St  
Ste 1250

City  
Denver

State  
CO

Zip Code  
80246-1842

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2016

**Transaction ID : A3673B55D09274557B4B**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. NEAL, BRADLEY, EUGENE, ,**

Mailing Address 303 SOUTH KICKAPOO

City  
LINCOLN

State  
IL

Zip Code  
62656-2864

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

10 / 15 / 2016

**Transaction ID : ADAFDFBA069514ACFA43**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. NEVILLE, JR., HAROLD, FRANCIS, ,**

Mailing Address ONE MERRILL DR. #15  
TOWLE OFFICE PARK

City  
HAMPTON

State  
NH

Zip Code  
03842-1983

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Financial Adviser

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

10 / 15 / 2016

**Transaction ID : A69E44404C5A54981947**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

52.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. NG, SALLY, WOO, ,**

Mailing Address 1350 TREAT BLVD., SUITE 390  
Ste 390

City  
Walnut Creek

State  
CA

Zip Code  
94597-7960

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
CFP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

399.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A1017641A55574063958**

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. OETTINGER, CFP, BRIAN, , ,**

Mailing Address 611 ROCKVILLE PIKE

City  
ROCKVILLE

State  
MD

Zip Code  
20852-1130

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Sandy Spring Wealth Management

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A007A40D52297400D82E**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. PANACCIONE, CFP, CARLO, ANTHONY, ,**

Mailing Address 3 LAGOON DRIVE #110  
Ste 110

City  
REDWOOD SHORES

State  
CA

Zip Code  
94065-1566

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Navigation Group

Occupation (for Individual)  
Private Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

2166.58

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AF6F458E9DAFE45F3A46**

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

208.49

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. PATRICK, DONALD, WARREN, ,**

Mailing Address 200 ASHFORD CENTER N, STE 400  
Ste 400

City  
ATLANTA

State  
GA

Zip Code  
30338-2669

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Integrated Financial Group

Occupation (for Individual)  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AE40C30484F094C32BD6**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. PAUL, ROBERT, ALLEN, ,**

Mailing Address 70 BRIDGE STREET, UNIT 9  
Unit 9

City  
PELHAM

State  
NH

Zip Code  
03076-3419

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AACA5C0366D57448BB7C**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. PETERS, P. MARTIN, , ,**

Mailing Address 215 S. HWY 101, SUITE 206  
Ste 206

City  
SOLANA BEACH

State  
CA

Zip Code  
92075-1849

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

541.58

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A889054C0E20A4C80B8A**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

124.99

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. PETIX-REICHERT, VICKIE, LINN, ,**

Mailing Address 7777 ALVARADO ROAD, STE 320  
Ste 320

City  
LA MESA

State  
CA

Zip Code  
91942-8247

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.28

Date of Receipt

10 / 15 / 2016

Transaction ID : AB5D0D8405E664892BD7

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. POWELL, CIMA, GREGORY, R., ,**

Mailing Address 5825 FELDSPAR WAY

City

BIRMINGHAM

State

AL

Zip Code

35244-4503

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

fi-Plan Partners

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2916.62

Date of Receipt

10 / 15 / 2016

Transaction ID : A1F8B1852B5C245DBAC9

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. PRICE, LORI, RESNICK, Mrs.,**

Mailing Address 43 DANBURY RD

City

WILTON

State

CT

Zip Code

06897-4400

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Price Financial Group

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

10 / 15 / 2016

Transaction ID : A52F28AE5067F49E591A

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

240.16

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. PULTRO, MICHAEL, FRANCIS, ,

Mailing Address 11 IROQUOIS AVENUE

City  
New BritainState  
PAZip Code  
18901-5133FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
First Financial PlannersOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A414D5C4CDECC45278E9

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. RIGNEY, T. WAYNE, , ,

Mailing Address 3358 S 2ND ST, SUITE E  
Ste ECity  
CABOTState  
ARZip Code  
72023-7876FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Rigney Financial ServicesOccupation (for Individual)  
Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : AE2BA5149620A4E5FB5C

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. ROGERS, MBA, CAROL, L., ,

Mailing Address THREE CITY PLACE DRIVE # 550  
Ste 550City  
Saint LouisState  
MOZip Code  
63141-7061FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Rogers & Company, A Wealth ManagementOccupation (for Individual)  
President, CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A004E55E9EC6A41338B1

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

83.49

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 53 OF 68

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. ROTH JR., ERIC, DONALD, ,**

Mailing Address 420 TRUMAN BLVD, SUITE C  
Ste

City  
CRYSTAL CITY

State  
MO

Zip Code  
63019-1726

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A80C3A981F2594FFBA92**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. RUSH, THOMAS, M, Mr.,**

Mailing Address 182 E 16th St  
Ste D

City  
Yuma

State  
AZ

Zip Code  
85364-4738

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Yuma Investment Group

Occupation (for Individual)  
Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AC78E664783064A1D946**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. RUSSO, JOSEPH, ROY, ,**

Mailing Address 230 2nd St SE  
Ste 200

City  
Cedar Rapids

State  
IA

Zip Code  
52401-1426

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial Corporation

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

583.24

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A918BCE05205E4D59914**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

94.32

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. RYAN, JR., CFP, WILLIAM, EDWARD, ,

Mailing Address 490 SCHOOLEYS MOUNTAIN RD  
Ste 9

City  
HACKETTSTOWN

State  
NJ

Zip Code  
07840-4002

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Ryan Wealth Management Services

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : AEC241C07E4FD45ECBAC

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SACHS, MICHAEL, AUBREY, ,

Mailing Address 1700 WEST LOOP S STE 255  
Ste 255

City  
Houston

State  
TX

Zip Code  
77027-3029

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Wallstreet Texas

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A87A04A208A8A47A3A8D

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SALAS, NOEMI, XOCHITL, ,

Mailing Address 1600 Ruben Torres Sr Blvd

City  
Brownsville

State  
TX

Zip Code  
78521-1104

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A69D5F2A9F7574187827

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

52.66

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. SALOMON, ARTHUR, R., ,

Mailing Address 3217 SUMMIT SQUARE PL STE 250  
 Ste 250

City  
 LEXINGTON

State  
 KY

Zip Code  
 40509-2638

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)

Salomon &amp; Co.

Occupation (for Individual)

Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

898.10

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A62278498EC804796B67

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SCHMELTEKOPF, JEFFREY, JAMES, ,

Mailing Address 3112 WEST 4TH STREET

City

FORT WORTH

State

TX

Zip Code

76107-2113

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)

Dean, Jacobson Financial Services, LLC

Occupation (for Individual)

Owner / Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AF64F0868DD8E4BE2B44

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. SHREWSBURY, JOHN, FRED, ,

Mailing Address 612 W. COMMERCE ST, STE 2  
 Ste 2

City

BRYANT

State

AR

Zip Code

72022-7517

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)

GenWealth Financial Advisors

Occupation (for Individual)

Managing Partner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AB9413DAE161C4AAB8F9

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

91.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. SHUMATE BROWN, TAMARA, LYNN, ,**

Mailing Address 4890 W KENNEDY BLVD STE 320  
Ste 320

City  
Tampa

State  
FL

Zip Code  
33609-1869

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

270.79

Date of Receipt

10 / 15 / 2016

**Transaction ID : A21B308960FAD4B07B4C**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. SIMONE, AIF, JOHN, EMMANUEL, ,**

Mailing Address 100 WITMER RD STE 170  
Ste 170

City

Horsham

State

PA

Zip Code

19044-2648

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

475.00

Date of Receipt

10 / 15 / 2016

**Transaction ID : A808A1D08CD60429C851**

Amount of Each Receipt this Period

25.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. SMITH JR., CHARLES, D, ,**

Mailing Address 320 S POLK ST STE 701  
Ste 701

City

Amarillo

State

TX

Zip Code

79101-1426

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Genesis Financial Group

Occupation (for Individual)  
Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

312.45

Date of Receipt

10 / 15 / 2016

**Transaction ID : AE55E9BB2AFF940E69F3**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

66.66



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. SOLIS, GREG, ROBERT, ,**

Mailing Address 78-075 MAIN STREET, SUITE 204  
Ste 204

City  
LA QUINTA

State  
CA

Zip Code  
92253-8961

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Lpl Financial Llc

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

10 / 15 / 2016

Transaction ID : A4BE1AD2074E54B9B926

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. SPOLTMAN, STEPHEN, JOHN, ,**

Mailing Address 7912 CARNEGIE BLVD.

City

Fort Wayne

State

IN

Zip Code

46804-5781

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

LPL Financial

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

10 / 15 / 2016

Transaction ID : AB9995C0E90344AE491A

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. STANISLAWSKI, GARY, M, ,**

Mailing Address 7134 S. YALE AVE, STE 700  
Ste 700

City

TULSA

State

OK

Zip Code

74136-6354

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Regent Financial Services

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

1583.27

Date of Receipt

10 / 15 / 2016

Transaction ID : ABC1C5F23A4E24172A71

Amount of Each Receipt this Period

83.33

☐ Memo Item

Payroll Deduction: \$83.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

187.49

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STEINMETZ, MARILYN, S, ,

Mailing Address 30 TOWER LANE, SUITE 135  
 Ste 135

City  
 Avon

State  
 CT

Zip Code  
 06001-4231

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 Mutual Service Associates

Occupation (for Individual)  
 Owner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.06

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AEDE321BD7A7C47F08A0

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. STEPHENSON, HAROLD, DAVID, ,

Mailing Address 1220 DIAMOND WAY STE 260  
 Ste 260

City  
 Concord

State  
 CA

Zip Code  
 94520-5270

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 The Stephenson Co./LPL Financial

Occupation (for Individual)  
 Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A3498C0EB49EF4E92A6D

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. STEVENS, JOHN, P, ,

Mailing Address 8194 LIBERTY WAY

City  
 WEST CHESTER

State  
 OH

Zip Code  
 45069-2517

FEC ID number of contributing  
 federal political committee.

C

Name of Employer (for Individual)  
 Stevens Investment Group, Inc

Occupation (for Individual)  
 Wealth Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A239391F04882487EA0E

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

104.16

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 59 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. STONE, MICHAEL, LOUIS, ,

Mailing Address 551 Newnan St

City  
CarrolltonState  
GAZip Code  
30117-3335FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AA2826C024D0E440D8C2

Amount of Each Receipt this Period

25.00

☐ Memo Item

Payroll Deduction: \$25.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. SUPKA, KEVIN, PETER, ,

Mailing Address 100 WITMER ROAD STE 140  
Ste 140City  
HorshamState  
PAZip Code  
19044-2648FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL FinancialOccupation (for Individual)  
Registered Principal

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AB1BC05C603F54186803

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. TIPTON, TREENA, LAYNE, ,

Mailing Address 1200 HARRIS AVE., STE 409  
Ste 409City  
BELLINGHAMState  
WAZip Code  
98225-7148FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

378.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : AFB32E9CB7B64793B16

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

212.66

TOTAL This Period (last page this line number only)..... ►

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 60 OF 68

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. TOCCO, CFP, JUDITH, ANN, ,**Mailing Address 6236 E. PIMA ST. SUITE 190  
Ste 190City  
TUCSONState  
AZZip Code  
85712-3163FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M	D D	Y Y Y Y
10	15	2016

**Transaction ID : A59C2F19F3950417A8C1**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. TYE, CFP, TOM, MICHAEL, ,**

Mailing Address 141 NORTH 3RD STREET

City

DANVILLE

State  
KYZip Code  
40422-1655FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Tye Financial groupOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M	D D	Y Y Y Y
10	15	2016

**Transaction ID : A0F3CC42EE56843F683B**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. VALENTI, JOAN, MARIE, ,**

Mailing Address 3 FOREST PARK DRIVE

City

FARMINGTON

State  
CTZip Code  
06032-1468FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Valenti Wealth ManagementOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

950.00

Date of Receipt

M M	D D	Y Y Y Y
10	15	2016

**Transaction ID : AD552B3096419489089B**

Amount of Each Receipt this Period

50.00

☐ Memo Item

Payroll Deduction: \$50.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional).....▶

91.66

**TOTAL** This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. VAN HOUTEN, STEVEN, LEE, ,

Mailing Address 2210 ENCINITAS BLVD. STE. C  
Ste C

City  
ENCINITAS

State  
CA

Zip Code  
92024-4359

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3958.27

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A2C74F65A12EB431B841

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 777 ALEXANDER ROAD, SUITE 203  
Ste 203

City  
PRINCETON

State  
NJ

Zip Code  
08540-6300

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Van Leeuwen & Company

Occupation (for Individual)  
Managing Director

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2166.66

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A7FE861A2CE3D49DA9F4

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. VANARSDALE, JUDY, ANN, ,

Mailing Address 21925 W. FIELD PKWY STE 245  
Ste 245

City  
Deer Park

State  
IL

Zip Code  
60010-7208

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
enRich Private Wealth Management

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A466C321A2FD549B4A83

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

437.49

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. VICKERS, III, THOMAS, HENRY, ,**

Mailing Address 1215 W. BALTIMORE PIKE, STE 4  
Ste 4

City  
MEDIA

State  
PA

Zip Code  
19063-5540

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Financial Planning Associates, LLC

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A48C144E891934352B82**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. VINCENT, JEFFREY P., , ,**

Mailing Address 308 E. MAIN STREET

City

RIVERTON

State

WY

Zip Code

82501-4338

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Vincent Financial Services

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AEB026A5972AF477F9CA**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. VON BORSTEL CFP, WAYNE, , ,**

Mailing Address 308 E 3RD ST

City

THE DALLES

State

OR

Zip Code

97058-2204

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Lpl Financial LLC

Occupation (for Individual)  
financial planner

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A3227FD9D94744FE6A67**

Amount of Each Receipt this Period

208.33

☐ Memo Item

Payroll Deduction: \$208.33/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

230.33

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WAGNER, JR., WAYNE, DAVID, ,

Mailing Address 11 MIDDLETON DR

City  
WilmingtonState  
DEZip Code  
19808-4320FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Vizionary Wealth ManagementOccupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2375.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A094A7FEA375C4ABF93F

Amount of Each Receipt this Period

125.00

☐ Memo Item

Payroll Deduction: \$125.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WARD, CFP, MICHAEL, JAMES, ,

Mailing Address 94 NEW KARNER ROAD  
WardCity  
AlbanyState  
NYZip Code  
12203-7355FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLCOccupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A601821341EAC41CBB7B

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WATERS, DAVID, S., ,

Mailing Address 400 MARKET ST STE 360  
Ste 360City  
PhiladelphiaState  
PAZip Code  
19106-2507FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Professional Planning AssociatesOccupation (for Individual)  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 10 / 15 / 2016

Transaction ID : A1639578A7B8C4F59BF8

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

166.66

TOTAL This Period (last page this line number only)..... ►



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. WAYBRIGHT, JASON, ROBERT, ,**

Mailing Address 1314 TRINITY STREET

City  
REDDING

State  
CA

Zip Code  
96001-0703

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AE72BCBCDF11842A68F0**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. WEDEL, STEPHEN, H, ,**

Mailing Address THREE CITY PLACE DR. STE. 590  
Ste

City  
CREVE COEUR

State  
MO

Zip Code  
63141-7081

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A9DEC5FCF708949829F0**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. WILBURN, DAN, , ,**

Mailing Address 554 VALE PARK RD.

City  
VALPARAISO

State  
IN

Zip Code  
46385-2505

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AB8A432EB4C4D4725954**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

73.50



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

A. WILLIAMS, CFP, MICHAEL, C. F., ,

Mailing Address 224 VALLEY CREEK BLVD, STE 330  
Ste 330

City  
EXTON

State  
PA

Zip Code  
19341-2386

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

First Financial Networks, Inc

Occupation (for Individual)

President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3166.54

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A1ED5779F88F343DCA2D

Amount of Each Receipt this Period

166.66

☐ Memo Item

Payroll Deduction: \$166.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

B. WISE, WARREN, DAVID, ,

Mailing Address 3515 WEST MARKET ST. STE 100  
Ste 100

City

GREENSBORO

State

NC

Zip Code

27403-4439

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Market St. Wealth Management Group

Occupation (for Individual)

Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1979.04

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A27A41C0335754031BD6

Amount of Each Receipt this Period

104.16

☐ Memo Item

Payroll Deduction: \$104.16/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

C. WONG CFP, CARY, ANN, ,

Mailing Address 16201 E. INDIANA AVE STE 5220  
Ste 5220

City

Spokane Valley

State

WA

Zip Code

99216-2842

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Wong Financial Services, Inc.

Occupation (for Individual)

Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

Transaction ID : A5DFB2E6DD11147EB8EE

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional).....▶

312.49

TOTAL This Period (last page this line number only).....▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 OF 68

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. WORKMAN, DAVID, JOHN, Mr.,**

Mailing Address 1529 EAST MARKET

City  
LOGANSPOUT

State  
IN

Zip Code  
46947-3227

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
LPL Financial LLC

Occupation (for Individual)  
Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.73

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AD1DCAB0763214BA79A4**

Amount of Each Receipt this Period

41.67

☐ Memo Item

Payroll Deduction: \$41.67/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. YANKER, SCOTT, W, ,**

Mailing Address 13611 BARRETT OFFICE DR #100  
Ste 100

City  
MANCHESTER

State  
MO

Zip Code  
63021-7833

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
The Yanker Group

Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : AFA9E8D9021C6480BB7C**

Amount of Each Receipt this Period

21.00

☐ Memo Item

Payroll Deduction: \$21.00/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C. ZIMMER, KEVIN, , ,**

Mailing Address 102 LA ENCINAL CT.

City  
CLAYTON

State  
CA

Zip Code  
94517-1750

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
FRG

Occupation (for Individual)  
VP

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
10 / 15 / 2016

**Transaction ID : A8E3BE5CFE00D4286BF1**

Amount of Each Receipt this Period

11.00

☐ Memo Item

Payroll Deduction: \$11.00/Bi-Monthly

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

73.67

**SCHEDULE A (FEC Form 3X)**  
**ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER: PAGE 67 OF 68  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16
<input type="checkbox"/> 17			

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**A. ZINS, CFP CHFC, JERRY, J, ,**Mailing Address 400 CAMARILLO RANCH RD #202  
Ste 202City  
CAMARILLOState  
CAZip Code  
93012-5902FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Summit Wealth Management GroupOccupation (for Individual)  
Branch Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

312.45

Date of Receipt

M M	D D	Y Y Y Y Y Y
10	15	2016

**Transaction ID : AA36CF473EE7747EABDC**

Amount of Each Receipt this Period

20.83

☐ Memo Item

Payroll Deduction: \$20.83/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**B. ZITZELSBERGER, MARK, G, ,**

Mailing Address 118 W. MICHIGAN ST.

City

Mount Pleasant

State

MI

Zip Code

48858-2508

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)  
Total Investment Planners, Inc.Occupation (for Individual)  
Financial Advisor

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M	D D	Y Y Y Y Y Y
10	15	2016

**Transaction ID : A22F7A38966DC4A3893F**

Amount of Each Receipt this Period

41.66

☐ Memo Item

Payroll Deduction: \$41.66/Bi-Monthly

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

**C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

C

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

M M	D D	Y Y Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item**SUBTOTAL** of Receipts This Page (optional)..... ►

62.49

**TOTAL** This Period (last page this line number only)..... ►

9974.89

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 OF 68

(check only one)

☐ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☒ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

**LPL Financial LLC Political Action Committee (LPL PAC)**

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **A. SCOTT PETERS FOR CONGRESS**

Mailing Address c/o Molly Allen Associates LLC

412 First Street SE, Suite 100

City

Washington

State  
DC

Zip Code

20003-1804

FEC ID number of contributing  
federal political committee.

**C** C00503110

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For: 2016

☐ Primary ☐ General  
☒ Other (specify) ▼  
Other

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

**10** / **11** / **2016**

**Transaction ID : A25F989E2022347CBA33**

Amount of Each Receipt this Period

2500.00

☐ Memo Item

Refund of excessive contribution made

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **B.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

## **C.**

Mailing Address

City

State

Zip Code

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer (for Individual)

Occupation (for Individual)

Receipt For:

☐ Primary ☐ General  
☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt

Amount of Each Receipt this Period

☐ Memo Item

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

2500.00

2500.00