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Image# 201604189012588253

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

TONIN 3X F	or Other Than An Aut	norized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	olitical Action Comm	ittee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	MBER ▼ CIT	Y 🛦	STATE ▲ ZIP CODE ▲
C C00033969		S THIS X NEW (N) O	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M 20 (M4) Jul 20 (M3	(Non-Election Year Only)
April 15 Quarterly Report (Q	1)		
July 15 Quarterly Report (Q	PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (YI	Flooring	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Electio	n on	in the State of
5. Covering Period 03	01 2016	through 03	M / D D / Y T Y T Y T Y T Y T Y T Y T Y T Y T Y
I certify that I have examined thi	s Report and to the best of	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer	Shawn O'Neail		
Signature of Treasurer Shawr	ı O'Neail	[Electronically Filed]	Date 04 / 18 / 2016
NOTE: Submission of false, errone	ous, or incomplete information	n may subject the person signin	g this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name **Novartis Corporation Political Action Committee** 03 2016 03 2016 Report Covering the Period: 31 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 151151.54 January 1, 2016 (b) Cash on Hand at 88872.17 Beginning of Reporting Period..... 16834.19 49684.75 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 200836.29 105706.36 6(a) and 6(c) for Column B)..... 25009.05 120138.98 Total Disbursements (from Line 31)...... 8. Cash on Hand at Close of Reporting Period 80697.31 80697.31 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)

0.00

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

entributions (other than loans) From:	Total This Period	Calendar Year-to-Date
Individuals/Persons Other		
Than Political Committees	4225.09	000000
(i) Itemized (use Schedule A)	4223.06	6896.00
(ii) Unitemized	12609.11	42788.75
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	16834.19	49684.75
Political Party Committees	0.00	0.00
	0.00	0.00
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	16834.19	49684.75
rty Committees	0.00	0.00
Loans Received	0.00	0.00
	0.00	0.00
· ·	0.00	0.00
·	0.00	0.00
	7	7
	0.00	0.00
	7	
·	0.00	0.00
ansfers from Non-Federal and Levin Funds		
Non-Federal Account		
(from Schedule H3)	0.00	0.00
Louis Fundo (fuero Cok - tid- US)	0.00	0.00
Leviii Furius (irom Schedule H5)	0.00	0.00
Total Transfers (add 18(a) and 18(b))	0.00	0.00
	(ii) Unitemized	(ii) Unitemized (use Schedule A)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	perating Expenditures:) Allocated Federal/Non-Federal Activity (from Schedule H4)	511	3
	(i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
(b	Other Federal Operating		
(c	Expenditures) Total Operating Expenditures	9.05	138.98
(0	(add 21(a)(i), (a)(ii), and (b))▶	9.05	138.98
	ansfers to Affiliated/Other Party	0.00	0.00
3. C	ommitteesontributions to	0.00	0.00
Fe ar	ederal Candidates/Committees nd Other Political Committees	23500.00	118500.00
	dependent Expenditures	0.00	0.00
25. Co	se Schedule E)oordinated Party Expenditures	0.00	0.00
(2 (u	U.S.C. §441a(d)) se Schedule F)	0.00	0.00
)6 I 4	pan Repayments Made	0.00	0.00
.O. LC	an nepayments Made		
	oans Made efunds of Contributions To:	0.00	0.00
(a		0.00	0.00
	That I omical Committees		
(b		0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(4) Total Contribution Refunds		
(d	(add Lines 28(a), (b), and (c))▶	0.00	0.00
	B. I	4500.00	1500.00
29. O	ther Disbursements	1500.00	1500.00
	ederal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
	(i) Federal Share	0.00	0.00
	(ii) III aviall Chare	0.00	0.00
(b	(ii) "Levin" Share) Federal Election Activity Paid Entirely	3.00	3.00
,-	With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00
			7
	otal Disbursements (add Lines 21(c), 22, 3, 24, 25, 26, 27, 28(d), 29 and 30(c))		400400.00
20	5, 27, 20, 20, 21, 20(u), 28 and 30(c))	25009.05	120138.98
	otal Federal Disbursements		
	ubtract Line 21(a)(ii) and Line 30(a)(ii)	25009.05	420420.00
110	JIII ⊑III € 01)	25009.05	120138.98

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	16834.19	49684.75
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	16834.19	49684.75
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	9.05	138.98
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	9.05	138.98

FOR LINE NUMBER: **PAGE** 6 OF 25 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Neilda A Baron Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-463142 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 03 31 2016 City State Zip Code Transaction ID: A2016-463150 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360,00 Full Name (Last, First, Middle Initial) c. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 03 31 2016 City Zip Code State Transaction ID: A2016-462977 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 70.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 290.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 7 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and or for commercial purposes, other than using the		person for the purpose of soliciting contributions be to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Angela D Bylancik Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Ex Dir BD&L Alliance Mgmt Aggregate Year-to-Date ▼ 300.00	Date of Receipt 03 31 2016 Transaction ID: A2016-462676 Amount of Each Receipt this Period 100.00 Memo Item
Full Name (Last, First, Middle Initial) Patricia A Cannon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director State Health Policy Aggregate Year-to-Date ▼ 210.00	Date of Receipt 03 31 2016 Transaction ID: A2016-463210 Amount of Each Receipt this Period 70.00 Memo Item
Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head of Fed Government Affairs Aggregate Year-to-Date ▼ 830.76	Date of Receipt 03 31 2016 Transaction ID: A2016-462719 Amount of Each Receipt this Period 276.92 Memo Item
SUBTOTAL of Receipts This Page (optional)		446.92
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: **PAGE** 8 OF 25 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Cathryn M Clary Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-463211 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 200.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals Head US CDMA Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Julie A Collins Date of Receipt Mailing Address One Health Plaza 03 31 2016 City State Zip Code Transaction ID: A2016-463295 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Memo Item Name of Employer Occupation Alcon Laboratories Inc. **Director Digital Mktg** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 276,90 Full Name (Last, First, Middle Initial) c. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 03 31 2016 City Zip Code State Transaction ID: A2016-463440 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing С 92.30 federal political committee. Memo Item Name of Employer Occupation Sandoz Inc. **Executive Director Oncology Injectable** Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 384.60 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF 25 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David R Epstein Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-462812 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer Occupation Head Pharma AG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Leo A Farber Date of Receipt Mailing Address One Health Plaza 03 31 2016 City State Zip Code Transaction ID: A2016-463247 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer Occupation **Novartis Services Incorporated** Exec Dir Fed Govt Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300,00 Full Name (Last, First, Middle Initial) c. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 03 31 2016 City Zip Code State Transaction ID: A2016-462867 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 100.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 300.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page		×	11a		11b		11c	12			
			13		14		15	16			17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

Full Name (Last, First, Middle Initial) Neely T Frye Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	03 31 2016 Transaction ID : A2016-462762
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	127.50 Memo Item
Name of Employer	Occupation	Memo item
Novartis Pharmaceuticals	AD State & External Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 379.86	
Full Name (Last, First, Middle Initial) Lisa M Goldman	•	Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zin Codo	03 31 2016
East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-463176
	110 07330	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	Memo Item
Novartis Pharmaceuticals	Exec Dir Regulatory Compliance	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.00	
Full Name (Last, First, Middle Initial)	'	
Nancy J Grande		Date of Receipt
Mailing Address One Health Plaza	Chate Tip Code	03 31 2016
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2016-463078 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	Memo Item
Novartis Pharmaceuticals	Head Proc Improv & Compliance IMS	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	300.00	

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	d Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Kris Grzegorzewski		Date of Receipt
Mailing Address One Health Plaza		03 31 2016
City	State Zip Code	Transaction ID : A2016-463154
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer	Occupation	Memo Item
Novartis Pharmaceuticals	Onco Ex Dir Clinical Res Phys	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	00 0	
Other (specify) ▼	240.00	
Full Name (Last, First, Middle Initial) 3. Sarah E Haller		Date of Receipt
Mailing Address One Health Plaza		03 31 2016
City	State Zip Code	Transaction ID : A2016-462750
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	154.00
Name of Employer	Occupation	Memo Item
Novartis Services Incorporated	VP Intl Public Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 462.00	
Full Name (Last, First, Middle Initial) C. Kathy-Jo B Hayden	•	Date of Receipt
Mailing Address One Health Plaza		03 31 _ 2016 _
City	State Zip Code	Transaction ID : A2016-462766
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	70.00
Name of Employer	Occupation	Memo Item
Novartis Pharmaceuticals	Director Public Health Policy	
Receipt For:	Aggregate Year-to-Date ▼	_
Primary General	55 0	
Other (specify) ▼	210.00	
SUBTOTAL of Receipts This Page (optional)	_	304.00
, ,		
TUTAL This Period (last page this line numb	per only)	

	F	OR	LINE	NU	MBER	:	PAGE	1	12	OF	25
Use separate schedule(s)	(c	he	ck only	or	ne)						
for each category of the Detailed Summary Page		×	11a		11b		11c		12		
			13		14		15		16		17

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TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) PAGE 13 OF Use separate schedule(s)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Statem or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	tate Zip Code NJ 07936 Cupation Health Policy gregate Year-to-Date ▼ 462.00	Date of Receipt 03 31 2016 Transaction ID : A2016-463065 Amount of Each Receipt this Period 154.00 Memo Item
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	tate Zip Code J 07936 cupation ad US Oncology Mgd Markets & Mkt Acc gregate Year-to-Date ▼ 276.90	Date of Receipt 31 2016 Transaction ID: A2016-463135 Amount of Each Receipt this Period 92.30 Memo Item
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Persoint For:	tate Zip Code NJ 07936 Cupation State & External Affairs gregate Year-to-Date ▼ 300.00	Date of Receipt 03
SUBTOTAL of Receipts This Page (optional)	>	346.30

FOR LINE NUMBER: PAGE 14 OF 25 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward D McGough Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-463329 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Memo Item Name of Employer Occupation SVP Global Mfg & Tech Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 692.28 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 03 31 2016 City State Zip Code Transaction ID: A2016-463168 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 156.00 federal political committee. Memo Item Name of Employer Occupation **Novartis Services Incorporated** Ex Dir Legislative Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 380,00 Full Name (Last, First, Middle Initial) c. Bin Peng Date of Receipt Mailing Address One Health Plaza 03 31 2016 City Zip Code State Transaction ID: A2016-463156 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 100.00 federal political committee. Memo Item Name of Employer Occupation Head Of OTM China Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 486.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	LINE N	NUMBER	:	PAGE	. 1	5 O	F	25		
Use separate schedule(s) for each category of the Detailed Summary Page	(che	ck only	one)								
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		13	14		15		16		17		
not be said or used by any person for the purpose of soliciting contributions											

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using to NAME OF COMMITTEE (In Full) Novartis Corporation Political	the name and address of any political committee to Action Committee	o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Candice C Phipps Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Director Federal Government Affairs Aggregate Year-to-Date ▼	Date of Receipt 03 31 2016 Transaction ID: A2016-463241 Amount of Each Receipt this Period 220.00 Memo Item
Full Name (Last, First, Middle Initial) Jason T Russell Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Assoc Dir National Accts Spec Pharm Aggregate Year-to-Date ▼	Date of Receipt M
Teull Name (Last, First, Middle Initial) Joseph M Ryan Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Therapeutic Area Head Aggregate Year-to-Date ▼ 300.00	Date of Receipt 03 31 2016 Transaction ID: A2016-463207 Amount of Each Receipt this Period 100.00 Memo Item
SUBTOTAL of Receipts This Page (optional).	<u> </u>	388.08

	FOR LINE NUMBER:					PAGE		16 OF	:	25
Use separate schedule(s) for each category of the Detailed Summary Page	(check only one)									
	X	11a		11b		11c		12		
,g.		13		14		15		16		17
not be sold or used by any person for the purpose of soliciting contributions										

Any information copied from such Reports and Statements may or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mark G Schweitzer Date of Receipt Mailing Address One Health Plaza 31 2016 City State Zip Code Transaction ID: A2016-463246 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer Occupation Gbl Head Analytical Science & Technolog Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 03 31 2016 City State Zip Code Transaction ID: A2016-463169 NJ Lebanon 08833 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals US Country President & President NPC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360,00 Full Name (Last, First, Middle Initial) c. Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 03 31 2016 City State Zip Code Transaction ID: A2016-463215 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 200.00 federal political committee. Memo Item Name of Employer Occupation US Co Head & VP Patient Access & Healt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 420.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER: PAG						1	17 OF	:	25
Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

	the name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Donald P Stevens Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Full Name (Last, First, Middle Initial)	State Zip Code NJ 07936 C Occupation Director State&External Affrs Aggregate Year-to-Date ▼ 207.72	Date of Receipt 03 31 2016 Transaction ID : A2016-462652 Amount of Each Receipt this Period 69.24 Memo Item
Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Exec Dir Fed Govt Affairs Aggregate Year-to-Date ▼ 462.00	Date of Receipt 03 31 2016 Transaction ID : A2016-463248 Amount of Each Receipt this Period 154.00 Memo Item
Full Name (Last, First, Middle Initial) Mailing Address City FEC ID number of contributing federal political committee. Name of Employer Receipt For: Primary General Other (specify)	State Zip Code C Occupation Aggregate Year-to-Date ▼	Date of Receipt M M / D D / Y Y Y Y Y Amount of Each Receipt this Period Memo Item
SUBTOTAL of Receipts This Page (optional)	223.24
TOTAL This Period (last page this line num	per only)	4225.08

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	7 one) 22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and States or for commercial purposes, other than using the nar			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			
A. Scott Peters for Congress			Date of Disbursement
Mailing Address 412 First Street SE Suite 100			03 21 2016
Washington	State Zip Code DC 20003		Transaction ID : B597014
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Scott Peters Office Sought: House Disburse	ment For: 2016	Туре	
Senate President	Primary General Other (specify) ▼		Memo Item
State: CA District: 52			
Full Name (Last, First, Middle Initial) B. Carper for Senate			Date of Disbursement
Mailing Address 303 Massachusetts Ave. NE			03 21 2016
City Washington	State Zip Code DC 20002		Transaction ID : B597039
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Tom Carper Office Sought: House Disburse	ment For: 2018	Type	
	Primary General Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) C. Friends of Patrick Murphy			Date of Disbursement
Mailing Address 420 C Street NE			03 21 2016
City Washington	State Zip Code DC 20002		Transaction ID : B597023
Purpose of Disbursement Contribution		011	Assessed of Early Dichesses and this Decirely
Candidate Name		Category/	Amount of Each Disbursement this Period
Patrick Murphy		Type	1000.00
Office Sought: House Senate President State: FL District:	nent For: 2016 Primary General Other (specify)		Memo Item
oldo. TE Biotiot.			
SUBTOTAL of Disbursements This Page (optional)		·····•	3000.00
TOTAL This Period (last page this line number only			

SCHEDULE B (FEC Form 3X)	Han annual colored (A	FOR LINE	NUMBER: PAGE 19 OF 25
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and Statem	ente may not be cold or use		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	· ·		
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			B (B)
Mike Crapo for US Senate			Date of Disbursement
Mailing Address 25 E. Masonic View Avenue	7: 0		03 21 2016
	State Zip Code VA 22301		Transaction ID : B597032
Alexandria Purpose of Disbursement	VA 22301		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Michael D Crapo		Type	1000.00
X Senate	nent For: 2016 Primary General		Memo Item
	Other (specify) ▼		
State: ID District:			
Full Name (Last, First, Middle Initial) Roskam for Congress Cmte			Date of Disbursement
Mailing Address 1006 Pendleton Street			03 21 2016
•	State Zip Code VA 22314		Transaction ID : B597028
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name			C. 2351 Dissurstanti tilis i ellou
Peter J Roskam		Category/ Type	1000.00
Office Sought: House Disbursem	nent For: 2016 Primary General Other (specify)	76-	Memo Item
State: IL District: 06			
Full Name (Last, First, Middle Initial)			Data of Diskursary
C. Donnelly for Indiana			Date of Disbursement
Mailing Address 1433 R Street NW #2			03 21 7 2016
City	State Zip Code		Transaction ID Decrees
Washington	DC 20009		Transaction ID : B597038
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Each Dispulsement this Fellou
Joseph S Donnelly		Type	2500.00
X Senate	nent For: 2018 Primary General		Memo Item
	Other (specify) ▼		
State: IN District:			
SUBTOTAL of Disbursements This Page (optional)			4500.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)	Llea caparata ashadula/a\	FOR LINE	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	v one)
	Detailed Summary Page	27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam		d by any perso	on for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full)			The state of the s
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			Data of Distance
Guthrie for Congress			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			03 21 2016
	State Zip Code DC 20003		Transaction ID : B597034
Washington Purpose of Disbursement	DC 20003		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name Brett Guthrie		Category/	1000.00
	nent For: 2016	Туре	
Senate	Primary General Other (specify) ▼		Memo Item
State: KY District: 02	outor (opeony) ▼		l.
Full Name (Last, First, Middle Initial)			
3. McConnell Senate Committee			Date of Disbursement
Mailing Address PO Box 1496			03 21 2016
Louisville	State Zip Code KY 40201		Transaction ID : B597036
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Mitch McConnell		Type	1000.00
X Senate	nent For: 2020 Primary General Other (specify)		Memo Item
State: KY District:			
Full Name (Last, First, Middle Initial) McHenry Leadership Fund			Date of Disbursement
Mailing Address 228 S. Washngton St. Ste. 115			03 21 2016
City	State Zip Code		
Alexandria	VA 22314		Transaction ID : B597118
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/ Type	1000.00
Senate	nent For: 2016 Primary General	· ypc	Memo Item
State: District:	Other (specify) ▼ Not Applicable		
State. District.	NOT Applicable		
SUBTOTAL of Disbursements This Page (optional)			3000.00
TOTAL This Period (last nage this line number only)			

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
TEMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 🔀 23 🔲 24 🔲 25 🦳 26
	Detailed Summary Page	27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			B (B)
A. Friends of Kelly Ayotte			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			03 21 2016
•	State Zip Code DC 20003		Transaction ID : B597037
Purpose of Disbursement	20003		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name Kelly Ayotte		Category/ Type	1000.00
	nent For: 2016	rype	Memo Item
	Primary General		
State: NH District:	Other (specify) ▼		
Full Name (Last, First, Middle Initial)			
3. New Millennium PAC			Date of Disbursement
Mailing Address 300 New Jersey Ave. NW Suite 90	00		03 21 2016
,	State Zip Code DC 20001		Transaction ID : B597022
Purpose of Disbursement Contribution			
Candidate Name		011	Amount of Each Disbursement this Period
Candidate Name		Category/ Type	1000.00
Office Sought: House Disburser	nent For: 2016	71	Memo Item
	Primary General		_
State: President State:	Other (specify) ▼ Not Applicable	,	
Full Name (Last, First, Middle Initial)	.,		
Bonnie Watson Coleman for Congr	ess		Date of Disbursement
Mailing Address 918 Pennsylvania Ave SE			03 21 2016
City	State Zip Code		
Washington	DC 20003		Transaction ID : B597015
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Bonnie Coleman	and Fam. 2012	Type	1000.00
	nent For: 2016 Primary General		Memo Item
	Other (specify) ▼		
State: NJ District: 12			
SUPTOTAL of Dichurcoments This Dags (options)			3000.00
SUBTOTAL of Disbursements This Page (optional)		·····	7
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 22 OF 25
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)
- -	Detailed Summary Page	21b	22 🗙 23 24 25 26
	1	27	28a 28b 28c 29 30b
Any information copied from such Reports and Stat or for commercial purposes, other than using the n	ements may not be sold or us ame and address of any politic	ed by any personal committee to	on for the purpose of soliciting contributions solicit contributions from such committee
NAME OF COMMITTEE (In Full)	and address of any point	00	The second secon
Novartis Corporation Political Act	ion Committee		
/ Novarus Corporation i citical /tet			
Full Name (Last, First, Middle Initial)			
A. Frelinghuysen for Congress			Date of Disbursement
Moiling Address 2200 Mount Varian Ava #227			M M / D D / Y Y Y Y Y
Mailing Address 2308 Mount Vernon Ave. #337			03 21 2016
City	State Zip Code		Transaction ID D507004
Alexandria	VA 22301		Transaction ID: B597031
Purpose of Disbursement Contribution		100	
		011	Amount of Each Disbursement this Period
Candidate Name Rodney P Frelinghuysen		Category/	1000.00
Rodney P Frelinghuysen Office Sought: House Disburs	ement For: 2016	Туре	Manua kana
Senate Sought.	Primary General		Memo Item
President	Other (specify)		
State: NJ District: 11			
Full Name (Last, First, Middle Initial)			
B. Lance for Congress			Date of Disbursement
N. 11.			M M / D D / Y Y Y Y
Mailing Address 1006 Pendleton Street			03 21 2016
City	State Zip Code		Transaction ID : B597040
Alexandria Purpose of Disbursement	VA 22314		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Leonard Lance		Type	1500.00
Office Sought: House Disburs	ement For: 2016		Memo Item
Senate	Primary General		_
President	Other (specify) ▼		
State: NJ District: 07			
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Donald Norcross for Congress			
Mailing Address 499 S. Capitol St. SW Suite 422			03 21 2016
City	State Zip Code		Transaction ID : B597024
Washington Purpose of Disbursement	DC 20003		
Contribution		011	Amount of Fook Dishuraness this Book
Candidate Name			Amount of Each Disbursement this Period
Donald W Norcross		Category/ Type	1000.00
	ement For: 2016		Memo Item
Senate	Primary General		The means term
President	Other (specify) ▼		
State: NJ District: 01			
			3500.00
SUBTOTAL of Disbursements This Page (optional)	·····•	3300.00
TOTAL This Period (last page this line number on	lv)		
	.,,		

SCHEDULE B (FEC Form 3X)	Llea caparata cabadula(a)	FOR LINE	
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 💢 23 📄 24 📄 25 📄 26
	Detailed Summary Page	27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem			
or for commercial purposes, other than using the name	ne and address of any politic	al committee to	o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)	n Committoo		
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			Data of Dishuraneset
A. Searchlight Leadership Fund			Date of Disbursement
Mailing Address 426 C St. NE Rear Building			03 21 2016
	State Zip Code		Transaction ID : B597117
· · · · · · · · · · · · · · · · · · ·	DC 20002		Transaction is . Boot 117
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Office Cought	ant Fam. 2012	Туре	1000.00
	nent For: 2016 Primary General		Memo Item
	Other (specify)		
State: District:	Not Applicable	e	
Full Name (Last, First, Middle Initial)			
B. Portman for Senate Committee			Date of Disbursement
Mailing Address 1111 19th Street NW Suite 1100			03 21 2016
,	State Zip Code DC 20036		Transaction ID : B597035
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name			Amount of Each Dispursement this Period
Rob Portman		Category/ Type	1500.00
	nent For: 2016	-77-	Memo Item
X Senate	Primary General		
	Other (specify) ▼		
State: OH District:			
Full Name (Last, First, Middle Initial) Pat Meehan for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 402 A S. Capitol Street SE			03 21 2016
,	State Zip Code		Transaction ID : B597029
	DC 20003		Transaction ib . B337023
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Each dispulsement this Penou
Patrick Meehan		Type	1000.00
	nent For: 2016		Memo Item
	Primary General		
	Other (specify) ▼		
State: PA District: 07			
SUBTOTAL of Disbursements This Page (optional)			3500.00
ODDITINE OF DISDUISEMENTS THIS Page (optional)		<u> </u>	
TOTAL This Period (last nage this line number only)			

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 24 OF 25
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and Statem	nents may not be sold or use		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	6 1		
Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial)			
A. Tim Scott For US Senate			Date of Disbursement
Mailing Address 499 S. Capitol St. SW Suite 420			03 21 2016
	State Zip Code		Transaction ID : B597030
Washington Purpose of Disbursement	DC 20003		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Tim Scott Office Sought: House Disburser	nent For: 2016	Туре	
	Primary General		Memo Item
	Other (specify) ▼		
State: SC District:			
Full Name (Last, First, Middle Initial)			
3. Tenn Political Action Committee Inc	c (Tenn PAC)		Date of Disbursement
Mailing Address 1015 Stonebridge Park Drive			03 21 2016
			2010
,	State Zip Code TN 37069		Transaction ID : B597033
Purpose of Disbursement	TN 37069		
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Office County House		Туре	
	nent For: 2016 Primary General		Memo Item
	Other (specify)		
State: District:	Not Applicable	,	
Full Name (Last, First, Middle Initial)			
Jenkins for Congress			Date of Disbursement
Mailing Address 402 S. Capital St. CE Suita A			03 21 2016
Mailing Address 402 S. Capitol St. SE Suite A			03 21 2010
,	State Zip Code		Transaction ID : B597042
	DC 20003		Transaction is . Bootone
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Each Dispursement this Period
Evan Jenkins		Type	1000.00
	nent For: 2016		Memo Item
	Primary General		
State: WV District: 03	Other (specify) ▼		
State. WW District. U3			
SUBTOTAL of Disbursements This Page (optional)			3000.00
			22522.22
TOTAL This Period (last nage this line number only)			23500.00

SCHEDULE B (FEC Form 3X)	Harris I I I I I I I	FOR LINE	NUMBER: PAGE 25 OF 25
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 27	22 23 24 25 26 28a 28b 28c X 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	and dualous of any points		
Novartis Corporation Political Action	n Committee		
•			
Full Name (Last, First, Middle Initial)			
A. Friends of Gene DiGirolamo			Date of Disbursement
Mailing Address 5806 Wharton Circle			03 21 2016
City	State Zip Code		
•	PA 19020		Transaction ID : B597043
Purpose of Disbursement			
P-2016 State House 18 PA		011	Amount of Each Disbursement this Period
Candidate Name Gene DiGirolamo		Category/	500.00
	nent For: 2016	Туре	□ Manua Isana
	Primary General		Memo Item
	Other (specify) ▼		
State: PA District: 18			
Full Name (Last, First, Middle Initial)			
Friends of Joe Scarnati			Date of Disbursement
Mailian Address D.O.D. 177			M M / D D / Y Y Y Y Y
Mailing Address P.O. Box 177			03 21 2016
,	State Zip Code PA 15824		Transaction ID : B597041
Purpose of Disbursement	10024		
P-2016 State Senate 25 PA		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Joseph B Scarnati III		Type	
	nent For: 2016 Primary General		Memo Item
	Other (specify)		
State: PA District: 25	• (open.y) •		
Full Name (Last, First, Middle Initial)			
) .			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address			
City	State Zip Code		
Purpose of Disbursement			
raipose of Bisbarsement			Amount of Each Disbursement this Period
Candidate Name		Category/	Amount of Each disbursement this Feriou
		Type	
Office Sought: House Disbursem			Memo Item
	Primary General		
	Other (specify) ▼		
State: District:			
SUBTOTAL of Disbursements This Page (optional)			1500.00
ODITION OF DISDUISEMENTS THIS Page (optional)		·····	
TOTAL This Period (last page this line number only)			1500.00