

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5
UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

ADDRESS (number and street) 9201 FOREST HILL AVENUE STONY POINT II BUILDING
Check if different than previously reported. (ACC) RICHMOND VA 23235

2. FEC IDENTIFICATION NUMBER C C00214072
3. IS THIS REPORT NEW OR AMENDED (N) (A)

4. TYPE OF REPORT (Choose One)
(a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year, Termination Report
(b) Monthly Report Due On: Feb 20 (M2), May 20 (M5), Aug 20 (M8), Nov 20 (M11), Mar 20 (M3), Jun 20 (M6), Sep 20 (M9), Dec 20 (M12), Apr 20 (M4), Jul 20 (M7), Oct 20 (M10), Jan 31 (YE)
(c) 12-Day PRE-Election Report for the: Primary (12P), General (12G), Runoff (12R), Convention (12C), Special (12S)
(d) 30-Day POST-Election Report for the: General (30G), Runoff (30R), Special (30S)

5. Covering Period 10 / 01 / 2013 through 10 / 31 / 2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete. Type or Print Name of Treasurer Jennifer S. Rowe

Signature of Treasurer Jennifer S. Rowe [Electronically Filed] Date 01 / 07 / 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2013"/>	<input type="text" value="14327.10"/>	<input type="text" value="14327.10"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="17056.74"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="747.00"/>	<input type="text" value="7476.64"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="17803.74"/>	<input type="text" value="21803.74"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="500.00"/>	<input type="text" value="4500.00"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="17303.74"/>	<input type="text" value="17303.74"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

## DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

Report Covering the Period: From: M M / D D / Y Y Y Y 10 / 01 / 2013 To: M M / D D / Y Y Y Y 10 / 31 / 2013

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	600.00	3850.00
(ii) Unitemized .....	147.00	3626.64
(iii) TOTAL (add Lines 11(a)(i) and (ii)..... ▶	747.00	7476.64
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	747.00	7476.64
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))..... ▶	747.00	7476.64
20. Total Federal Receipts (subtract Line 18(c) from Line 19)..... ▶	747.00	7476.64

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	500.00	4500.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	500.00	4500.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	500.00	4500.00

**DETAILED SUMMARY PAGE**  
of Disbursements

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Page 5

<b>III. Net Contributions/Operating Expenditures</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	747.00	7476.64
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	747.00	7476.64
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 10  
(check only one)  
 11a     11b     11c     12  
 13     14     15     16     17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Mr. William Keith Brewer**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 404 Wellfield Road  
 City Manakin-Sabot State VA Zip Code 23103  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Universal Leaf Tobacco Company Executive Vice President  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 250.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 22 / 2013  
**Transaction ID : SA11AI.5116**  
 Amount of Each Receipt this Period  
 250.00  
 contributor

**B. Mr. David M. Chilton**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 12205 Bothwell Court  
 City Richmond State VA Zip Code 23233  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Universal Leaf Tobacco Company Director, Analytical Services  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 750.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 22 / 2013  
**Transaction ID : SA11AI.5117**  
 Amount of Each Receipt this Period  
 75.00  
 contributor

**C. Ms Catherine H. Claiborne**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 118 S. Wilton Road  
 City Richmond State VA Zip Code 23226  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Occupation  
 Universal Leaf Tobacco Co. Inc VP, Associate General Counsel & Sec.  
 Receipt For:  
 Primary     General  
 Other (specify) ▼  
 Aggregate Year-to-Date ▼  
 250.00

Date of Receipt  
 M M M / D D D / Y Y Y Y Y Y  
 10 / 22 / 2013  
**Transaction ID : SA11AI.5118**  
 Amount of Each Receipt this Period  
 25.00  
 contributor

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 125.00  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 10  
(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Mr. Clayton G. Frazier**  
Full Name (Last, First, Middle Initial)  
Mailing Address 2007 Brookrun Road  
City Henderson State NC Zip Code 27536  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Universal leaf north America U Occupation President, Subsidiary  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 500.00

Date of Receipt 10 / 22 / 2013  
**Transaction ID : SA11AI.5119**  
Amount of Each Receipt this Period 50.00  
contribuitor

**B. Mr. George C. Freeman III**  
Full Name (Last, First, Middle Initial)  
Mailing Address 29 Twin Lake Lane  
City Richmond State VA Zip Code 23229  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Universal Leaf Tobacco Co. Inc Occupation Chairman, President & CFO  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 2500.00

Date of Receipt 10 / 22 / 2013  
**Transaction ID : SA11AI.5120**  
Amount of Each Receipt this Period 250.00  
contribuitor

**C. Michael T. Haymore**  
Full Name (Last, First, Middle Initial)  
Mailing Address 3737 Flat Rock Drive  
City Battleboro State NC Zip Code 27809  
FEC ID number of contributing federal political committee. **C**  
Name of Employer Universal Leaf North America U Occupation Senior Vice President, Operation  
Receipt For:  Primary  General  Other (specify) ▼  
Aggregate Year-to-Date ▼ 250.00

Date of Receipt 10 / 22 / 2013  
**Transaction ID : SA11AI.5121**  
Amount of Each Receipt this Period 25.00  
contribuitor

**SUBTOTAL** of Receipts This Page (optional)..... ▶ 325.00  
**TOTAL** This Period (last page this line number only)..... ▶

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 10
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 13	<input type="checkbox"/> 11b <input type="checkbox"/> 14

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Mr. Henry Michael Ligon**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address P.O. Box 29397  
 City Richmond State VA Zip Code 23242  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Universal Leaf Tobacco Co. Inc Occupation Vice President  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **250.00**

Date of Receipt **10 / 22 / 2013**  
**Transaction ID : SA11AI.5122**  
 Amount of Each Receipt this Period **25.00**  
 contributor

**B. Mr. Mike Oberschmidt Jr.**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address P.O. Box 1751  
 City Midlothian State VA Zip Code 23113  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Universal Leaf Tobacco Co. Inc Occupation Vice President, Human Resources  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **250.00**

Date of Receipt **10 / 22 / 2013**  
**Transaction ID : SA11AI.5127**  
 Amount of Each Receipt this Period **25.00**  
 contributor

**C. Mr. Robert M. Peebles**  
 Full Name (Last, First, Middle Initial)  
 Mailing Address 14420 Gildenborough Drive  
 City Midlothian State VA Zip Code 23113  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer Universal Leaf Tobacco Co. Inc Occupation Vice President & Controller  
 Receipt For:  Primary  General  Other (specify)  Aggregate Year-to-Date **250.00**

Date of Receipt **10 / 22 / 2013**  
**Transaction ID : SA11AI.5129**  
 Amount of Each Receipt this Period **25.00**  
 contributor

<b>SUBTOTAL</b> of Receipts This Page (optional).....	<b>75.00</b>
<b>TOTAL</b> This Period (last page this line number only).....	



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 10
<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

Full Name (Last, First, Middle Initial) <b>A. Ms. Robin Sanford</b>		Date of Receipt 10 / 22 / 2013 <b>Transaction ID : SA11AI.5131</b>
Mailing Address 4812 Ashley Drive		Amount of Each Receipt this Period 25.00
City Battleboror	State NC	Zip Code 27809
FEC ID number of contributing federal political committee. C		contribution
Name of Employer Universal Leaf North America U	Occupation Vice President, Financial Analysis	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) <b>B. Mr. Thomas K. Walsh Jr.</b>		Date of Receipt 10 / 22 / 2013 <b>Transaction ID : SA11AI.5134</b>
Mailing Address 16 Deerfield Road		Amount of Each Receipt this Period 25.00
City Lancaster	State PA	Zip Code 17603
FEC ID number of contributing federal political committee. C		contribution
Name of Employer Lancaster Leaf Tobacco Co-PA	Occupation Senior Vice President	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

Full Name (Last, First, Middle Initial) <b>C. Mr. Gary F. Warren</b>		Date of Receipt 10 / 22 / 2013 <b>Transaction ID : SA11AI.5135</b>
Mailing Address 3904 Volunteer Road		Amount of Each Receipt this Period 25.00
City Elm City	State NC	Zip Code 27822
FEC ID number of contributing federal political committee. C		contribution
Name of Employer Universal Leaf North America	Occupation Manager, Purchasing	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	75.00
<b>TOTAL</b> This Period (last page this line number only).....▶	600.00

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

Full Name (Last, First, Middle Initial)

**A. ALEXANDER FOR SENATE 2014 INC**

Mailing Address 228 S WASHINGTON STREET SUITE 115

City ALEXANDRIA State VA Zip Code 22314

Purpose of Disbursement  
Contribution

Candidate Name  
**LAMAR ALEXANDER**

Office Sought:  House  
 Senate  
 President  
State: TN District: 00

Disbursement For: 2014  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
10	/	16	/	2013

**Transaction ID : SB23.5109**

Amount of Each Disbursement this Period

500.00
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Full Name (Last, First, Middle Initial)

**B.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Amount of Each Disbursement this Period

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Full Name (Last, First, Middle Initial)

**C.**

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought:  House  
 Senate  
 President  
State: District:

Disbursement For:  
 Primary  General  
 Other (specify) ▼

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
	/		/	

Amount of Each Disbursement this Period

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**SUBTOTAL** of Disbursements This Page (optional)..... ▶

**TOTAL** This Period (last page this line number only)..... ▶

500.00
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500.00
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