PAGE 1 / 108

Image# 201601299004717218

FEC FORM 3Y

REPORT OF RECEIPTS AND DISBURSEMENTS

TONIWI 3X F	or Other Than An Aut	norized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	olitical Action Comm	ittee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	MBER ▼ CIT	Y 🛦	STATE ▲ ZIP CODE ▲
C C00033969		S THIS X NEW (N) O	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M	Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M	(Non-Election Year Only)
April 15 Quarterly Report (Q	1)	20 (M4) Jul 20 (M	
July 15 Quarterly Report (Q	(c) 12-Day PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Yi	Electio	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Electio	n on	in the State of
5. Covering Period 12	01 / 2015	through 12	M / D D / Y Y Y Y Y Y 31 2015
I certify that I have examined thi	s Report and to the best of	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasurer	Shawn O'Neail		
Signature of Treasurer Shawn	ı O'Neail	[Electronically Filed]	Date 01 / 29 / 2016
NOTE: Submission of false, errone	ous, or incomplete information	n may subject the person signir	g this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 12 01 2015 To: 12 31 2015

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2015		193839.62
	(b) Cash on Hand at Beginning of Reporting Period	139792.26	
	(c) Total Receipts (from Line 19)	16889.85	248871.75
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	156682.11	442711.37
7.	Total Disbursements (from Line 31)	5530.57	291559.83
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	151151.54	151151.54
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period				
Contributions (other than loans) From:	l				
(a) Individuals/Persons Other					
Than Political Committees		404000 40			
(i) Itemized (use Schedule A)	11371.94	101893.42			
(ii) Unitemized	5517.91	142728.33			
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	16889.85	244621.75			
(h) Political Party Committees	0.00	0.00			
(b) Political Party Committees	3.00				
(c) Other Political Committees	0.00	0.00			
(such as PACs)	7	0.00			
(d) Total Contributions (add Lines					
11(a)(iii), (b), and (c)) (Carry	16889.85	244621.75			
Totals to Line 33, page 5)	10009.03	211021110			
Transfers From Affiliated/Other		0.00			
Party Committees	0.00	0.00			
	0.00	0.00			
All Loans Received	0.00	0.00			
Loan Repayments Received	0.00	0.00			
Offsets To Operating Expenditures					
(Refunds, Rebates, etc.)					
(Carry Totals to Line 37, page 5)	0.00	0.00			
Refunds of Contributions Made					
to Federal Candidates and Other					
Political Committees	0.00	4250.00			
Other Federal Receipts	7				
(Dividends, Interest, etc.)	0.00	0.00			
Transfers from Non-Federal and Levin Funds					
(a) Non-Federal Account					
(from Schedule H3)	0.00	0.00			
	7				
(b) Levin Funds (from Schedule H5)	0.00	0.00			
(2) LOVIII I GIIGO (IIOIII OOIIOGGIE 110)	7				
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00			
Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	16889.85	248871.75			
	10003.03	240071.73			
Total Federal Receipts					
(subtract Line 18(c) from Line 19) ▶	16889.85	248871.7			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
. Operating Expenditures: (a) Allocated Federal/Non-Federal	1000 1000	Valendal Teal-to-Date			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating		7			
Expenditures	30.57	659.83			
(c) Total Operating Expenditures	20.57	050.00			
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	30.57	659.83			
Committees	0.00	0.00			
Contributions to Federal Candidates/Committees					
and Other Political Committees	5500.00	284000.00			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	0.00	0.00			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
(7				
Loan Repayments Made	0.00	0.00			
Lance Mark	0.00	0.00			
Loans MadeRefunds of Contributions To:	0.00	0.00			
(a) Individuals/Persons Other Than Political Committees	0.00	0.00			
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees (such as PACs)	0.00	0.00			
(Such as FAOS)	7				
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))▶	0.00	0.00			
Other Disbursements	0.00	6900.00			
Other Dispursements	0.00	0300.00			
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity					
(from Schedule H6)	0.00	0.00			
(i) Federal Share					
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely					
With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
2.1100 00(a)(i), 00(a)(ii) and 00(b))		7			
Total Disbursements (add Lines 21(c), 22,					
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	5530.57	291559.83			
Total Fadaval Diaburgamente					
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	5530.57	291559.83			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	16889.85	244621.75
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	16889.85	244621.75
66. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	30.57	659.83
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
88. Net Operating Expenditures (subtract Line 37 from Line 36)	30.57	659.83

FOR LINE NUMBER: **PAGE** 6 Use separate schedule(s) (check only one) X 11a 11b 11c

OF

108

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956840 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation US Country Head REFS Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Imran Ahmed Date of Receipt Mailing Address 6201 South Freeway 12 31 2015 City State Zip Code Transaction ID: A2015-2956544 Fort Worth TX 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Research Head Pharmaceutics Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) c. Laman Alani Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956519 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation VP Pharma Product & Tech Dev Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) 73.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Thomas Algozzine Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957276 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. Heather R Anderson Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957223 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Renee H Anderson Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956927 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation VP & Global Head D&I Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 8 OF 108 Use separate schedule(s) for each category of the Detailed Summary Page

FC	ואוום חי	= 110	INIDEU		FAGL	-	O	Oi	100
(ch	neck or	nly or							
>	K 11a		11b		11c		12		
	13		14		15		16		17

	nd Statements may not be sold or used by any per g the name and address of any political committee	
Novartis Corporation Politica	Il Action Committee	
Full Name (Last, First, Middle Initial) Gary J Appio Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2956836
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	27.70
Name of Employer	Occupation	_
Novartis Pharmaceuticals	Head US Safety Risk Mgmt	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 360.10	
	300.10	
Full Name (Last, First, Middle Initial) B. Andrew Arline		Date of Receipt
Mailing Address One Health Plaza		12 31 _2015 _
City	State Zip Code	Transaction ID : A2015-2957024
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.70
Name of Employer Novartis Pharmaceuticals	Occupation Sr. Cardiovascular ABL Detroit MI area	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	360.10	
Full Name (Last, First, Middle Initial) C. Jeanne E Baker		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2956722
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Area Business Leader II	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	239.98	
SUBTOTAL of Receipts This Page (optional	l)	73.86
TOTAL This Period (last page this line num		

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: (check only one) X 11a 11b 11c

PAGE 9 OF 108 Use separate schedule(s) ITEMIZED RECEIPTS for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael Banko Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956893 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Services Incorporated AD IT Service Management Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Pierre R Barbier Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956530 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Research Head IOL Design Control Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) c. Susana V Barkhausen Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956751 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Sr Cardiovascular Area Business Leader Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify)

95.38

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Dimitrios Barlos Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957277 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Allison Barnett Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957309 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael C Barninger Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957029 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Strategy & Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 442.00 Other (specify) 106.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 11 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neilda A Baron Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957204 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 1560.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael J Billings Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957031 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Dir Heart Failure Comms Lead/BU Liaiso Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 338.10 Other (specify) 250.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 12 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J Blair Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956833 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Director Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa M Blizzard Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957032 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Consumer Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Mojtaba Bonakdar Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956476 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Alcon Research Hd R & D Analytical Chemistry Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) 69.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Troy L Borill Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956988 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 39.68 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 512.15 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956728 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Staffing Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Michael D Brooks Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956754 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 102.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	PAGE	•	14 OF	10	3C					
Use separate schedule(s)	(che	(check only one)									
for each category of the Detailed Summary Page	X	11a		11b		11c		12			
_ common common, cogo		13		14		15		16		17	

Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may not be sold or used by any pers the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	I Action Committee	
Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza		Date of Receipt
		12 31 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2957038
	0/930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	70.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	IT Expert 1	_
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	910.00	
Full Name (Last, First, Middle Initial) Matthew H Buckley		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2956620
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Regional Dir Acct Management	1
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	239.98	
Full Name (Last, First, Middle Initial) Mayumi K Buckoski		Date of Receipt
Mailing Address 475 Green Oaks Parkway	,	12 31 2015
City	State Zip Code	Transaction ID : A2015-2956608
Holly Springs	NC 27540	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	†
Novartis Vaccines & Diagnostics	Associate Director Supply Chain]
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	390.00	
CURTOTAL of Descints This Decry (call of		118.46
SUBTOTAL of Receipts This Page (optional	·	110.40
TOTAL This Period (last page this line num	ber only)	

FOR LINE NUMBER: PAGE 15 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) John S Burke Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956897 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Respiratory Account Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Richard Burns Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956650 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) c. Steven J Busch Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957151 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c 14 13 15 16

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Angela D Bylancik Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956723 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Timothy S Byler Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956792 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 479.78 Other (specify) Full Name (Last, First, Middle Initial) c. Kimberley J Campbell Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956606 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Sandoz Inc. MSL Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 425.00 Other (specify) 192.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	FOR LINE NUMBER:						17 OF	:	108
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

	Statements may not be sold or used by any pers name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Paul J Cannavale Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Director of Operations Aggregate Year-to-Date ▼ 208.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2957178 Amount of Each Receipt this Period 16.00
Full Name (Last, First, Middle Initial) Patricia A Cannon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director State Health Policy Aggregate Year-to-Date ▼ 910.00	Date of Receipt 12 31 2015 Transaction ID : A2015-2957267 Amount of Each Receipt this Period 70.00
Charles C Carter Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Mgr Logistics Plng & Field Skills Trng Aggregate Year-to-Date ▼ 260.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2957041 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	>	106.00
TOTAL This Period (last page this line numbe	r only)	

	FOR	PAGE		18 OF		108				
Use separate schedule(s)	(che	(check only one)								
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Daniel P Casserly Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer	State Zip Code NJ 07936 C Occupation	Date of Receipt 12 31 2015 Transaction ID: A2015-2956766 Amount of Each Receipt this Period 276.92
Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	Head of Fed Government Affairs Aggregate Year-to-Date ▼ 3599.96	
Full Name (Last, First, Middle Initial) John H Caywood Jr. Jr. Mailing Address One Health Plaza City	State Zip Code	Date of Receipt 12 31 2015 Transaction ID : A2015-2956471
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc.	NJ 07936 C Occupation Head Government Pricing	Amount of Each Receipt this Period 19.22
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 249.86	
Full Name (Last, First, Middle Initial) Cynthia C Cetani Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 12 31 2015 Transaction ID : A2015-2957042 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Expat_CH_Global Head Function Change Aggregate Year-to-Date ▼ 553.92	23.08
SUBTOTAL of Receipts This Page (optional)	· · · · · · · · · · · · · · · · · · ·	319.22
TOTAL This Period (last page this line number	r only)	

FOR LINE NUMBER: PAGE 19 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James E Chastain Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956488 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Head of Ocular PK/Disposition Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 59.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General 767.61 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew D Civiello Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957147 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation AD Regional Strategy Lead Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 97.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FO	R LINE	NU	IMBER	:	PAGE	- 2	20 OF	= 10)8
Use separate schedule(s)	(ch	eck only	or or	ne)						_
for each category of the Detailed Summary Page	>	11a		11b		11c		12		
		13		14		15		16	\square_1	7

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Full Name (Lost First Middle Island		
Full Name (Last, First, Middle Initial) Cathryn M Clary		Date of Receipt
Mailing Address One Health Plaza		12 31 _ 2015 _
City	State Zip Code	Transaction ID : A2015-2957268
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	200.00
Name of Employer	Occupation	_
Novartis Pharmaceuticals	Head US CDMA	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	2600.00	
Full Name (Last, First, Middle Initial)		Data of Dansiet
. Noelle L Cloud Dugan Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		12 31 _2015 _
City	State Zip Code	Transaction ID : A2015-2956572
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	_
Novartis Consumer Health Inc.	VP Govt. Affr. & Public Policy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1300.00	
Full Name (Last, First, Middle Initial) . Stephen R Cofone		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2957252
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	_
Novartis Services Incorporated	Strategic Programs & Roadmaps Lead	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	335.00	
CURTOTAL of Descints This Desc (anti-	onal)	330.00

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 21 OF 108 Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the nar		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C ccupation irector Digital Mktg ggregate Year-to-Date ▼ 1199.90	Date of Receipt 12 31 2015 Transaction ID: A2015-2956436 Amount of Each Receipt this Period 92.30
East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C ccupation ead of Facilities & Utility Maint. (A ggregate Year-to-Date ▼ 572.00	Date of Receipt 12 31 2015 Transaction ID : A2015-2957271 Amount of Each Receipt this Period 44.00
East Hanover FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Descript For:	State Zip Code NJ 07936 C ccupation ncology Portfolio Account Specialist ggregate Year-to-Date ▼ 239.98	Date of Receipt 12 31 2015 Transaction ID : A2015-2956586 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional)		154.76
TOTAL This Period (last page this line number only	·)	

FOR LINE NUMBER: PAGE 22 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) William W Conkling Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957044 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Pricing Access & Control** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Michael A Conley Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956800 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exe Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) **c.** Joseph J Conoshenti Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957279 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Strategic Account Alliances Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) 124.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Burt Cook Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956952 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Respiratory SalesSpecialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Seth Coombs Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2956601 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Sandoz Inc. **Executive Director Oncology Injectable** Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) c. Mary E Corcoran Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957045 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Science Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 129.22 SUBTOTAL of Receipts This Page (optional).....

- 9

TOTAL This Period (last page this line number only).....

	FOR LINE NUMBER:	PAGE	24 OF	108
Use separate schedule(s) for each category of the	(check only one)	. –	_	
Detailed Summary Page	X 11a 11b	11c	12	
, ,	13 14	15	16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Eric C Couture Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957180 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Regulatory C&G TU Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) Full Name (Last, First, Middle Initial) B. David C Creedon Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Exec Dir BIM Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Rhonda N Crichlow Date of Receipt Mailing Address One Health Plaza 31 2015 Zip Code City State Transaction ID: A2015-2957126 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **US VP Diversity** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify)

93.08

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 25 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David L Crippen Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957280 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas G Cullen Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956599 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Sandoz Inc. Advisor Scientific Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Richard D'Addabbo Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956912 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.62 С federal political committee. Name of Employer Occupation DirectorGlobal Indication Lead CLL/AML Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 216.06 Other (specify) 59.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	2	6 OI	=	10
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		717

or for commercial purposes, other than using t	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) A. Spencer E Davidson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼	Date of Receipt 12 31 2015 Transaction ID: A2015-2956713 Amount of Each Receipt this Period 9.23
Full Name (Last, First, Middle Initial) Jeanmarie Davis Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 12 31 2015 Transaction ID : A2015-2956523 Amount of Each Receipt this Period 19.22
Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	Occupation Manager Global GPD Technical Aggregate Year-to-Date ▼ 249.86	
Full Name (Last, First, Middle Initial) Timothy K De Valroger Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation AD Product Manager Aggregate Year-to-Date ▼ 239.98	Date of Receipt 12 31 2015 Transaction ID: A2015-2957048 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional)	>	46.91
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 27 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Terry H Deason Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957259 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Research Network MSL Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) Full Name (Last, First, Middle Initial) B. R. R Deck Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2956609 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Senior Dir. Government Contracts Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Clinton D Degner Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956695 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 86.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	2	28 OI	F	10
Use separate schedule(s)	(che	ck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,,g.		13		14		15		16		717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Joanne Del Rio

Date of Receipt

<u>'</u>		
Full Name (Last, First, Middle Initi	ial)	Date of Receipt
Mailing Address One Health Plaza	1	12 31 2015
City	State Zip Code	Transaction ID : A2015-2956860
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Services Incorporated	Facilities Sr. Specialist	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initi 3. Lynne M DeLisi	ial)	Date of Receipt
Mailing Address One Health Plaza	r	12 31 _2015 _
City	State Zip Code	Transaction ID : A2015-2957142
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer Novartis Pharmaceuticals	Occupation Sr Pharmaceutical Counsel	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initi	ial)	Date of Receipt
Mailing Address One Health Plaza	a	12 31 2015
City	State Zip Code	Transaction ID : A2015-2956850
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	
Novartis Services Incorporated	Glbl CoE Head for Design&Const Mgt NBS	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
SUBTOTAL of Receipts This Page	(optional)	69.24
TOTAL This Period (last page this I	ine number only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 29 OF 108

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the		rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Dwayne T Dixon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt 12 31 2015 Transaction ID: A2015-2956509 Amount of Each Receipt this Period 19.24
federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	Occupation Dir Customer Management Aggregate Year-to-Date ▼ 250.12	
Full Name (Last, First, Middle Initial) 3. Alastair M Douglas Mailing Address One Health Plaza		Date of Receipt 12 31 2015
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Head US Training Surgical Aggregate Year-to-Date ▼ 300.04	Transaction ID : A2015-2956503 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Kent Douglas Mailing Address 5984 Tripperary Drive		Date of Receipt 12 31 2015
City Plano FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code TX 75093 C Occupation Sr. Respiratory Account Manager Aggregate Year-to-Date ▼ 260.00	Transaction ID: A2015-2957255 Amount of Each Receipt this Period 20.00
SUBTOTAL of Receipts This Page (optional)	·····	62.32
TOTAL This Period (last page this line number	only)	

	FOF	R LINE	NU	MBER	:	PAGE	30 OF	10)8
Use separate schedule(s)	(che	ck only	or or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
		13		14		15	16	1	17

	Statements may not be sold or used by any pershe name and address of any political committee t	
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Monica M Dunn Mailing Address 475 Green Oaks Parkway		Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City Holly Springs FEC ID number of contributing federal political committee. Name of Employer Novartis Vaccines & Diagnostics Receipt For: Primary General Other (specify) ▼	State Zip Code NC 27540 C Occupation Head Global Bus. Ops - MS&T Aggregate Year-to-Date ▼ 390.00	Transaction ID : A2015-2956610 Amount of Each Receipt this Period 30.00
Full Name (Last, First, Middle Initial) David M Eberenz Jr. Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior Cardiovascular Sales Specialist Aggregate Year-to-Date ▼ 360.10	Date of Receipt 12 31 2015 Transaction ID : A2015-2956785 Amount of Each Receipt this Period 27.70
Full Name (Last, First, Middle Initial) Fred Ellis Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head of Professional Affairs Aggregate Year-to-Date ▼ 399.88	Date of Receipt 12 31 2015 Transaction ID : A2015-2956466 Amount of Each Receipt this Period 30.76
SUBTOTAL of Receipts This Page (optional)	•	88.46
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael R Emch Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956634 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) B. David R Epstein Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956865 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General 1200.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Judith J Ewalt Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956775 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 151.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 32 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael E Fairchild Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956481 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Head IRIS Area Bus Deployment Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) Full Name (Last, First, Middle Initial) B. Leo A Farber Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957299 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Exec Dir Fed Govt Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas S Fellers Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956924 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 230.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 33 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) James E Foley Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956916 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals WW Medical Affairs Director Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew T Footo Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956958 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew C Foster Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956769 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dermatology Sr. Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Anna M Frable Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956858 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Comm NPC CountryCommhead Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Jon S Freeland Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Dir. Business Development Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name (Last, First, Middle Initial) c. Robert M Freeman Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956437 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Alcon Research Manufacturing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 73.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 35 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956811 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 126.18 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1632.06 Other (specify) Full Name (Last, First, Middle Initial) B. Jill H Gaither Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956926 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Edward G Gajewski Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956721 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir National & Regional Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 189.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 36 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David E Gaudin Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957305 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Carolyn A Geier Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957051 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael L Gentry Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956473 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.00 С federal political committee. Name of Employer Occupation VP IT Division Head Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 312.00 Other (specify) 74.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 37 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Shaun L Gentry Date of Receipt Mailing Address 6201 South Freeway 2015 12 31 City State Zip Code Transaction ID: A2015-2956456 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. **Group Dir National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) B. Deidre T George Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957302 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 42.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 399.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Goldman Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957239 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Dir Regulatory Compliance** Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 161.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 38 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christopher K Grady Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956457 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Sr Product Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) Full Name (Last, First, Middle Initial) B. Nancy J Grande Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957141 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Benjamin A Grossman Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957056 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Area Sales Manager I Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 143.06 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 39 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Harold R Grossmann Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956864 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Real Estate Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Kris Grzegorzewski Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957217 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 80.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Onco Ex Dir Clinical Res Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 1040.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph M Guidi Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957201 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Commercial Strategy** Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 140.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 40 OF 108 Use separate sch for each category Detailed Summar

nedule(s)	(check only		FAGL	- 40 01	100
of the V Page	X 11a	11b	11c	12	
,	13	14	15	16	17

	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Joseph Guido Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation IT Head of Operations NBS Aggregate Year-to-Date ▼ 260.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2957057 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) David E Gulick Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Startegic Market Acc Aggregate Year-to-Date ▼ 780.00	Date of Receipt 12 31 2015 Transaction ID : A2015-2956680 Amount of Each Receipt this Period 60.00
Full Name (Last, First, Middle Initial) Kurt Habel Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Asc Dir Incentive Modeling/Des Aggregate Year-to-Date ▼ 600.08	Date of Receipt 12 31 2015 Transaction ID: A2015-2956838 Amount of Each Receipt this Period 46.16
SUBTOTAL of Receipts This Page (optional	l) >	126.16
TOTAL This Period (last page this line num	ber only)	

FOR LINE NUMBER: PAGE 41 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles L Haberthur Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956702 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 285.60 Other (specify) Full Name (Last, First, Middle Initial) B. James P Hafner Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957240 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) c. Laura A Hagan Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957210 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Head Clinical Disclosure Office Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 75.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) X 11a 11b 11c

42 OF 108 Use separate schedule(s) for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Paul R Hallen Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956467 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Dir Global Marketing Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah E Haller Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956798 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2002.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Thomas E Harper Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956765 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Leader - Account Management Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 203.22 SUBTOTAL of Receipts This Page (optional).....

- 9

TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 43 OF 108 Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and State or for commercial purposes, other than using the na		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	on Committee	
Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Decupation Dir Global Graphics Aggregate Year-to-Date ▼ 249.86	Date of Receipt 12 31 2015 Transaction ID : A2015-2956540 Amount of Each Receipt this Period 19.22
Novartis Pharmaceuticals Receipt For:	State Zip Code NJ 07936 C Description Description AD Product Management Aggregate Year-to-Date ▼ 300.04	Date of Receipt 12 31 2015 Transaction ID: A2015-2957205 Amount of Each Receipt this Period 23.08
Alcon Laboratories Inc.	State Zip Code NJ 07936 C Decupation Mgr Srg Sls Trng Global Fran Aggregate Year-to-Date ▼ 250.12	Date of Receipt 12 31 2015 Transaction ID : A2015-2956496 Amount of Each Receipt this Period 19.24
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number only		61.54

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sherri J Hatch Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957060 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Science Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Kathy-Jo B Hayden Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956815 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 885.00 Other (specify) Full Name (Last, First, Middle Initial) c. Sarah Hersey Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957292 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Head NAT Diagnostics Developmnt Sr. GP Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 108.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 45 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert J Hilkert Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957169 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Medical Unit Head Critcl Care Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. William Hinshaw Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957186 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec VP Oncology US Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) c. William C Hokanson Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956772 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Melanoma Expert Liaisons Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 96.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Xin Hong Date of Receipt Mailing Address One Health Plaza 2015 12 31 City State Zip Code Transaction ID: A2015-2956500 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Head Optics COE Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) B. Jeanne P Hood Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Associate Dir IT Software Dev Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) c. Otto C Horstmann II II Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956908 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Dir Employee Benefits Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 47 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles F Hough Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957197 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Head Strategy and Stakeholder Engageme Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) Full Name (Last, First, Middle Initial) B. Linda M Howe Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 254J 12 31 2015 City State Zip Code Transaction ID: A2015-2956449 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 19.38 federal political committee. Name of Employer Occupation Alcon Research Quality Head Receipt For: Aggregate Year-to-Date ▼ Primary General 251.94 Other (specify) Full Name (Last, First, Middle Initial) c. Kirk R Huber Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956857 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation HR Business Partner Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 77.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Donald Hughes Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957310 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Insurance Exchange Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 330.00 Other (specify) Full Name (Last, First, Middle Initial) B. Gene M Hughes Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956969 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 24.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 312.00 Other (specify) Full Name (Last, First, Middle Initial) c. Melody Hughson Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Ex Director Public Policy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 184.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 49 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edgar L Jarvis Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956709 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Cardiovascular Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 419.90 Other (specify) Full Name (Last, First, Middle Initial) B. Mark J Jeffers Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956487 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) c. Kathryn E Jennings Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957065 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 50 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jason Jimenez Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956438 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Director Hospital & Corp Accts Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bruce S Johnson Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956638 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Heather L Johnson Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956430 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Director of Regional Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 56.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 51 OF (check only one) X 11a 11b 12 11c

108 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sara Johnson-Davis Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957004 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Mark A Joines Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956756 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Inflammatory Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** Deborah Juterbock Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956975 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **Director Of Global Operations** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 52 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956872 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IACH-Capability Bldg Academy Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dean B Kameros Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957190 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Mktg Res/Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) c. Michael A Kapin Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956475 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Dir CTM Medical Writing Grou Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) 89.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 53 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957069 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MS Sr Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) B. Claudia M Kelly Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2956607 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics Head Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Sara Kelly Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956515 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Head PMO Transform Projects Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 54 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Carey P Kelson Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956525 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.84 federal political committee. Name of Employer Occupation Global Product Director Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 270.92 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956632 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VPGeneral CounselNPCCountry Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) c. Edward W Kettler III Date of Receipt Mailing Address 6201 South Freeway 31 2015 City Zip Code State Transaction ID: A2015-2956546 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Assoc Dir IT Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) 136.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 55 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Michael C Kincaid Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957071 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) B. Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956602 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.38 federal political committee. Name of Employer Occupation Sandoz Inc. Global Head Lgl & Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1384.56 Other (specify) Full Name (Last, First, Middle Initial) c. Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957218 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 С federal political committee. Name of Employer Occupation Expat_CH_Global Head DRA Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 923.00 Other (specify) 189.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 56 OF 108

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a
Any information copied from such Reports and or for commercial purposes, other than using t	Statements may not be sold or used by any pe he name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Kathleen M Kulesher Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Assoc. Dir. State and External Affairs Aggregate Year-to-Date ▼ 650.00	Date of Receipt 12 31 2015 Transaction ID : A2015-2957284 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Keith A LaDue Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP IT Division Head Aggregate Year-to-Date ▼ 276.96	Date of Receipt 12 31 2015 Transaction ID: A2015-2956830 Amount of Each Receipt this Period 23.08
Full Name (Last, First, Middle Initial) Francis C Landrus Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Research Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Project Manager II Aggregate Year-to-Date ▼ 249.86	Date of Receipt 12 31 2015 Transaction ID: A2015-2956469 Amount of Each Receipt this Period 19.22
SUBTOTAL of Receipts This Page (optional).	•	92.30
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 57 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Robert D Larsen Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956521 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Hd Process Dev & API Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn Laverty Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956845 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Leigh Anne A Leas Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957128 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 С federal political committee. Name of Employer Occupation VP Health Policy Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1677.00 Other (specify) 191.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 58 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard E Lemire Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956618 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 86.52 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 1124.76 Other (specify) Full Name (Last, First, Middle Initial) B. Leslie E Lemke-Boutcher Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956493 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Research Sr Project Toxicologist Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) c. Vinson G Leslie Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957072 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 124.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 59 OF (check only one) X 11a 11b 11c 12

108 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Richard Lloyd Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957198 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head US Oncology Mgd Markets & Mkt Acc Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey W Lockwood Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956577 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation **NIBRI** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956899 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) 166.54 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE Use separate schedule(s) (check only one) X 11a 11b 11c

60 OF

108

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Donald R Losordo Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956917 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Frederic D Loveland Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956852 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director R&D Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Paul O Lund Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956441 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.84 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 270.92 Other (specify) 62.38 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 61 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David T Mac Askill Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956760 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Hospital Executive Account Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Kimberly A MacKay Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957075 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Deputy Compliance Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. John Mandala Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956747 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 64.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 62 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Frank Maness Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957183 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP North Amer Cross Div HR Svc Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) B. Frank Manolios Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956778 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Annette A Mansfield Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956880 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Account Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 76.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sridhar V Mantha Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956516 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Head of Qty SysStds and Aff QA Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Michael G Marks Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956492 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head R and D QA Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) c. Penny A Marks Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956739 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 62.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 64 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Kerry L Markwardt Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956464 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Project Head IV - Pharma Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) **B.** Charles J Marshall Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956482 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Head of Cataract Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) **c.** Julie Masow Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957199 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global HeadOncology Public Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 69.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 65 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William R Matthews Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956805 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 44.82 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 577.84 Other (specify) Full Name (Last, First, Middle Initial) B. Anne W Mauzy Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957078 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brian M Mayhew Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957275 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Regulatory Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 94.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 66 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Aaron A Mays Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956499 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Global Product Director Monofocal IOLs Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) B. Stephen W Mc Laughlin Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Director Patient Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Arlene J Mc Leer Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956997 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Junior Global Regulatory Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 67.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 67 OF Use separate schedule(s) (check only one) X 11a 11b 11c

108

for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Catharine M McBride Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956750 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1273.05 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher R McBurney Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957079 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ED Oncology Sales** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Edward D McGough Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956472 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation SVP Global Mfg & Tech Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2999.88 Other (specify) 349.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 68 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edward J McKenna Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957158 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew A McNally Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957188 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956570 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Novartis Consumer Health Inc. Head Europe and Americas Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) 138.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NOMB
(check only one)

	FOR LINE NUMBER:			PAGE	. (5 9	OF	108		
(check only one)										
	$\mid \; \mid \times$	11a		11b		11c		12		
		13		14		15		16		17

		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	nd Statements may not be sold or used by any pe g the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Kathy E Meissner Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head of Health Safety and Envi Aggregate Year-to-Date ▼ 249.86	Date of Receipt 12 31 2015 Transaction ID: A2015-2956524 Amount of Each Receipt this Period 19.22
Full Name (Last, First, Middle Initial) Gary J Menichini Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation VP/GM U.S. Pharmaceuticals Aggregate Year-to-Date ▼ 650.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2956435 Amount of Each Receipt this Period 50.00
Full Name (Last, First, Middle Initial) Susan J Millard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head HR Alcon R&D Aggregate Year-to-Date ▼ 650.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2956442 Amount of Each Receipt this Period 50.00
SUBTOTAL of Receipts This Page (optional	ul)	119.22
TOTAL This Period (last page this line num	nber only)	

FOR LINE NUMBER: PAGE 70 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Donald J Miller Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957081 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Alejandro Minetto Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956502 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Finance Business Process Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) Full Name (Last, First, Middle Initial) c. Stacey L Moore Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956735 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.60 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Resp Integrated Account Spec Receipt For: Aggregate Year-to-Date ▼ Primary General 474.22 Other (specify) 78.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 71 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Polly L Murphy Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2957083 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) B. Donna H Myrie Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956827 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Director Contracting Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name (Last, First, Middle Initial) c. Alissa J Nagler Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956967 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation ED PAP Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 98.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 72 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Jyun J Nakamura Date of Receipt Mailing Address One Health Plaza 2015 12 31 City State Zip Code Transaction ID: A2015-2957161 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Strategic Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Vasant K Narasimhan Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2957253 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IACH-Head Gbl Dev Receipt For: Aggregate Year-to-Date ▼ Primary General 553.80 Other (specify) Full Name (Last, First, Middle Initial) **c.** Mary E Naughton Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957085 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regulatory Affairs - TA Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 84.61 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 73 OF 108

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
	Statements may not be sold or used by any pe he name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Thomas B Neumeyer Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Date of Receipt 12 31 2015 Transaction ID: A2015-2956604 Amount of Each Receipt this Period 24.00
Name of Employer Sandoz Inc. Receipt For: Primary General Other (specify) ▼	Occupation Associate II IPQA Aggregate Year-to-Date ▼ 312.00	
Full Name (Last, First, Middle Initial) 3. An V Nguyen Mailing Address One Health Plaza		Date of Receipt 12 31 2015
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2015-2957133 Amount of Each Receipt this Period 23.08
Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	Occupation AD Sr Application Manager Aggregate Year-to-Date ▼ 300.04	
Full Name (Last, First, Middle Initial) James R Niebanck Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Ethics and Compliance Aggregate Year-to-Date ▼ 365.04	12 31 2015 Transaction ID: A2015-2956803 Amount of Each Receipt this Period 28.08
SUBTOTAL of Receipts This Page (optional).	•	75.16
TOTAL This Period (last page this line number	er only)	

	FO	R LINE	NU	IMBER	:	PAGE	7	74 OI	F	10
Use separate schedule(s)	(che	eck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
		13		14		15		16		717

r for commercial purposes, other than using	the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Michael A Niesel Mailing Address One Health Plaza		Date of Receipt
One nearn Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2957087
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Dermatology Executive Specialist	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	239.98	
Full Name (Last, First, Middle Initial) Sharon L Nobles		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2956724
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	23.08
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Dermatology Executive Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	300.04	
Full Name (Last, First, Middle Initial) Kevin A Nugent		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2956542
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	19.24
Name of Employer	Occupation	1
Alcon Research	Head of Regulatory CMC	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.12	
		60.78
SUBTOTAL of Receipts This Page (optional).	· · · · · · · · · · · · · · · · · · ·	

FOR LINE NUMBER: PAGE 75 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Hugh M O'Dowd Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956737 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals IAGB-CPO Head UK & Ireland Receipt For: Aggregate Year-to-Date ▼ Primary General 369.28 Other (specify) Full Name (Last, First, Middle Initial) B. Shawn O'Neail Date of Receipt Mailing Address 608 Fifth Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2957232 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 112.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** Ex Dir Legislative Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1434.00 Other (specify) Full Name (Last, First, Middle Initial) c. Shawn M O'Neil Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956479 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Dir of Pharmaceutical Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) 158.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 76 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sharon N Olmstead Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957245 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP GL.Hd Reg Policy & Intelli Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey S Osmundson Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956688 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Lead Field Bus Relations Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Craig S Osten Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956637 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 С federal political committee. Name of Employer Occupation Vice President & Treasurer **Novartis Finance Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 110.78 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

FOR LINE NUMBER: PAGE 77 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Serafina Oxner Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956904 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Healthcare Contract Adm Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph S Palumbo Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956878 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Org. Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Melissa A Parker Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956928 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) 96.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 78 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bin Peng Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957219 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head Of OTM China Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name (Last, First, Middle Initial) B. Bret D Peterson Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956993 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **ExecRespiratorySalesSpecialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** Efthimios Petroutsas Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957152 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Director Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) 93.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 79 OF 108

Use separate schedule(s)	(check only one)										
for each category of the Detailed Summary Page	X	11a		11b		11c		12			
		13		14		15		16		17	

		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	nd Statements may not be sold or used by any per the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) Candice C Phipps Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General	State Zip Code NJ 07936 C Occupation Director Federal Government Affairs Aggregate Year-to-Date ▼	Date of Receipt 12 31 2015 Transaction ID : A2015-2957293 Amount of Each Receipt this Period 200.00
Full Name (Last, First, Middle Initial) Bradley J Pierson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date 260.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2957262 Amount of Each Receipt this Period 20.00
Full Name (Last, First, Middle Initial) Elizabeth A Pinamonti Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼ 239.98	Date of Receipt 12 31 2015 Transaction ID : A2015-2956780 Amount of Each Receipt this Period 18.46
SUBTOTAL of Receipts This Page (optional	l) >	238.46
TOTAL This Period (last page this line num	her only)	

FOR LINE NUMBER: PAGE 80 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bernard M Porter Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956903 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Account Mgt Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Elizabeth Power Date of Receipt Mailing Address 350 Massachusetts Avenue 12 31 2015 City State Zip Code Transaction ID: A2015-2957254 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Dir. Global Media Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) Full Name (Last, First, Middle Initial) c. Lisa M Praeger Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956468 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 С federal political committee. Name of Employer Occupation VP Head US Sales Marketing Ops Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) 109.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 81 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Kelly D Prather Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956984 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Jeffrey P Prejean Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956987 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Cardiovascular Sr. Area Business Leade Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) **c.** J. C Proctor Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957123 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 55.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 82 OF Use separate schedule(s) (check only one)

108

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Stator for commercial purposes, other than using the r		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) Shumei Qiu Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NIBRI Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Scientific Associate II Aggregate Year-to-Date ▼	Date of Receipt 12 31 2015 Transaction ID: A2015-2956580 Amount of Each Receipt this Period 16.78
Full Name (Last, First, Middle Initial) Bileen M Quinn Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Sr Oncology Specialist Aggregate Year-to-Date ▼	Date of Receipt 12 31 2015 Transaction ID : A2015-2956736 Amount of Each Receipt this Period 18.46
Full Name (Last, First, Middle Initial) William Redman Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Laboratories Inc. Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Brand Manager Aggregate Year-to-Date ▼ 208.00	Date of Receipt 12 31 2015 Transaction ID: A2015-2956450 Amount of Each Receipt this Period 16.00
SUBTOTAL of Receipts This Page (optional)		51.24
TOTAL This Period (last page this line number of	nly)	

FOR LINE NUMBER: PAGE 83 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susan R Rendon Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956507 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Head Global Total Rewards Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name (Last, First, Middle Initial) B. Elizabeth Renz Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956605 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Name of Employer Occupation Sandoz Inc. Director Communications for Biopharma Receipt For: Aggregate Year-to-Date ▼ Primary General 570.00 Other (specify) Full Name (Last, First, Middle Initial) c. Margaret Riccobono Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956597 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Sandoz Inc. Associate Director Talent Management Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 102.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

- 9

	FOR LINE NUMBER:	PAGE 84 OF 108
Use separate schedule(s) for each category of the	(check only one)	
Detailed Summary Page	X 11a 11b	11c 12
, ,		15 16 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sheila A Riddell Date of Receipt Mailing Address One Health Plaza 31 2015 City State Zip Code Transaction ID: A2015-2956809 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Keith C Riddiford Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956762 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation

	Novartis Pharmaceuticals Receipt For:	Head CS&O	
	Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 239.98	
C.	Full Name (Last, First, Middle Initial) David J Robertson		Date of Receipt
	Mailing Address One Health Plaza		12 31 2015
	City	State Zip Code	Transaction ID : A2015-2956490
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	19.24
	Name of Employer	Occupation	
	Novartis Services Incorporated	GCM Wholesalers & Distribution	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.12	
		·	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

56.16

	FOF	R LINE	NU	IMBER	:	PAGE	. 8	35 OF	:	108
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
cannon, rage		13		14		15		16		17

	and Statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) Renee C Rodgers Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City	State Zip Code	Transaction ID : A2015-2957247
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	60.00
Name of Employer	Occupation	+
Novartis Pharmaceuticals	Head Digital Strategy And Svc	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	780.00	
Full Name (Last, First, Middle Initial) Laurie J Roemisch	•	Date of Receipt
Mailing Address One Health Plaza		12 31 _ 2015 _
City	State Zip Code	12 31 2015 Transaction ID : A2015-2956935
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	18.46
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr Oncology Specialist	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	239.98	
Full Name (Last, First, Middle Initial) 2. Jason T Russell	'	Date of Receipt
Mailing Address One Health Plaza		12 31 2015
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2015-2956584
	07 930	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	66.35
Name of Employer	Occupation	
Sandoz Inc.	Assoc Dir National Accts Spec Pharm	4
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	841.79	
SUBTOTAL of Receipts This Page (optional	al)	144.81
TOTAL This Period (last page this line nun	nber only)	

FOR LINE NUMBER: PAGE 86 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Alan D Ryan Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956591 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph M Ryan Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957264 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Therapeutic Area Head Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) c. Peter Rzewnicki Date of Receipt Mailing Address 350 Massachusetts Avenue 31 2015 350 MA # 234F City Zip Code State Transaction ID: A2015-2956598 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation Sandoz Inc. **Director Marketing Branded Injectables** Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 163.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 87 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Ahmad M Saad Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956531 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Alcon Research Principal Engineer Test Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Harry J Sacks Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957270 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Medl & Scientific Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) Full Name (Last, First, Middle Initial) c. Roger F Samartino Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956885 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Sr. Strategic Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 81.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 88 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Adwoa A Sanderson Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957289 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Advocacy & Access Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name (Last, First, Middle Initial) B. Cristina L Santos Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957230 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Dir Glbl Sales Excellence & Traini Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Robert J Sardinha Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957094 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Associate Dir Payor Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 86.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 89 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Corey Schmidt Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957122 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Director Labeling Specification Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. David A Schoening Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956527 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. VP Mfg Global Pharma & LC Op Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) Full Name (Last, First, Middle Initial) c. Nancy S Schwartz Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956563 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Dir Managed Care Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) 69.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 90 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Mark G Schweitzer Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957298 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Gbl Head Analytical Science & Technolog Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Russell E Seay Jr. Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957095 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regl Med Lead NS Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. S. M Seeland Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation **RD MS Sales** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 153.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 91 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Dawn M Shaffer Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956745 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Christi L Shaw Date of Receipt Mailing Address 44 Guinea Hollow Rd 12 31 2015 City State Zip Code Transaction ID: A2015-2957233 NJ Lebanon 08833 Amount of Each Receipt this Period FEC ID number of contributing 120.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals US Country President & President NPC Receipt For: Aggregate Year-to-Date ▼ Primary General 1560.00 Other (specify) Full Name (Last, First, Middle Initial) c. Deborah B Shertzer Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957097 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director CIA Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 156.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 92 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Sherrie L Simms Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957100 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Global HR Business Partner Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph M Simon Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956758 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.92 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals MS Exec Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 479.96 Other (specify) Full Name (Last, First, Middle Initial) c. William E Simon Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Cardiovascular Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 78.46 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 93 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David P Sipe Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956789 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. John G Slick Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956951 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Hospital Executive Account Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. James E Sluck Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956478 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Director of US Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) 59.98 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 94 OF 108 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Gregory R Slyfield Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957101 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals ED State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 326.53 Other (specify) Full Name (Last, First, Middle Initial) B. William S Spelta Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956652 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) c. Robert A Spurr Date of Receipt Mailing Address 608 Fifth Avenue 31 2015 City Zip Code State Transaction ID: A2015-2957272 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 200.00 С federal political committee. Name of Employer Occupation US Co Head & VP Patient Access & Healt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 2600.00 Other (specify) 258.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

FOR LINE NUMBER: PAGE 95 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Demetre A Stamatis Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957290 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Reg Affairs-TA Asc Dir Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) B. Danney M Stanley Jr. Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956526 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Alcon Research Mgr Materials Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Donald E Stecher Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956938 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation AD State & External Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 96 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Philip G Stehle Date of Receipt Mailing Address One Health Plaza 2015 31 City Zip Code State Transaction ID: A2015-2956522 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) Full Name (Last, First, Middle Initial) B. Ashley D Stephens Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956955 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956698 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Director State&External Affrs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 900.12 Other (specify) 106.94 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	9)7 (OF	10
Use separate schedule(s)	(che	ck only	or or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
in it is in the		13		14		15		16		71

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Lesley J Stickley Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956732 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew C Stillwell Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956704 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals National Leader - Institute Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Peter N Streit Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956894 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Professional Strat & Bus Developme Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) 69.24

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 98 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

108

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Edson J Stricker Date of Receipt Mailing Address 6201 South Freeway 2015 12 31 City State Zip Code Transaction ID: A2015-2956547 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 23.06 federal political committee. Name of Employer Occupation Alcon Research Sr Dir Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) Full Name (Last, First, Middle Initial) B. John Suchorsky Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Demand Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas A Suter Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957202 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 С federal political committee. Name of Employer Occupation Dir State & External Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 731.56 Other (specify) 106.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 99 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sonya R Taylor Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2957107 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Respiratory Sr. Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Gretchen S Trout Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957265 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head NA Policy & FDA Liaison Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name (Last, First, Middle Initial) c. Nancy N Tuffin Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956569 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.06 С federal political committee. Name of Employer Occupation Head Health Policy/Govt Rel Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.83 Other (specify) 61.52 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 100 OF 108

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	(check only one) X 11a 11b 11c 12 13 14 15 16 17
	Statements may not be sold or used by any per the name and address of any political committee	rson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Thomas A Urban Mailing Address One Health Plaza City East Hanover	State Zip Code NJ 07936	Date of Receipt 12 31 2015 Transaction ID: A2015-2956628 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee. Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	Occupation ExecRespiratorySalesSpecialist Aggregate Year-to-Date ▼ 415.41	32.02
Full Name (Last, First, Middle Initial) 3. Lisa R Utt Mailing Address One Health Plaza City	State Zip Code	Date of Receipt M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID : A2015-2956681 Amount of Each Receipt this Period 27.70
Name of Employer Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼	Occupation Patient Services Liaison II Aggregate Year-to-Date ▼ 360.10	
Full Name (Last, First, Middle Initial) Jason Van Pelt Mailing Address One Health Plaza		Date of Receipt
City East Hanover FEC ID number of contributing federal political committee. Name of Employer Novartis Services Incorporated Receipt For: Primary General Other (specify) ▼	State Zip Code NJ 07936 C Occupation Exec Dir Fed Govt Affairs Aggregate Year-to-Date ▼ 1694.00	Transaction ID : A2015-2957300 Amount of Each Receipt this Period 154.00
SUBTOTAL of Receipts This Page (optional).	·····	213.72
TOTAL This Period (last page this line number	er only)	

FOR LINE NUMBER: PAGE 101 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Richard Van Tassel Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956834 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Drug Supply Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 208.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward S Vander Veen Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals North America Comm Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Erwin Vanhaecke Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956501 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 С federal political committee. Name of Employer Occupation IACH-Head NVS Group Qlty Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 69.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 102 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William W Voegtli Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956677 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name (Last, First, Middle Initial) B. Christen L Volk Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957266 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr. AD-National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name (Last, First, Middle Initial) c. Denise A Vollert-Parrotto Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957112 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Business Planning & Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 78.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 103 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Matthew M von Wellsheim Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956474 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation VP IT & CIO Alcon Laboratories Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Robert K Warner Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956480 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Global Franchise Head Vision Care Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) c. Stephanie B Waugh Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956565 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Alcon Laboratories Inc. Glb Mktg Dir Ext Eye Disease Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 122.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 104 OF 108 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Randy S Wilbur Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956919 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Manager Process Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) B. Edward S Williams Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956784 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Kenneth K Williams Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956491 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.22 С federal political committee. Name of Employer Occupation Alcon Research Sr Project Toxicologist Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) 56.14 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 105 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

108

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Brad C Willie Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956690 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Medicaid/GPO Account Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) B. Karen M Wilshire Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2956700 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 18.46 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name (Last, First, Middle Initial) c. Amy B Wilson Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2957137 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 С federal political committee. Name of Employer Occupation Perf Mgmt. & Standards Lead Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 61.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 106 OF (check only one) X 11a 11b 11c 12

108 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 2015 12 31 City Zip Code State Transaction ID: A2015-2956902 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.16 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Business Plang & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) Full Name (Last, First, Middle Initial) B. Christine D Wyble Date of Receipt Mailing Address One Health Plaza 12 31 2015 City State Zip Code Transaction ID: A2015-2957221 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Name of Employer Occupation **Novartis Pharmaceuticals** Glbl Hd Medical Info & Sci Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name (Last, First, Middle Initial) c. Fan Zhang Date of Receipt Mailing Address One Health Plaza 31 2015 City Zip Code State Transaction ID: A2015-2956508 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 19.24 С federal political committee. Name of Employer Occupation Head PCS Lab Services Alcon Research Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) 88.48 SUBTOTAL of Receipts This Page (optional)..... 11371.94

TOTAL This Period (last page this line number only).....

S 17

SCHEDULE B (FEC Form 3X)		EOD LINE	NI IMPED: DA	AGE 107 OF 108		
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	-	OR LINE NUMBER: Check only one) PAGE 107 OF 108			
THE DISCOUNT OF THE PROPERTY O	for each category of the Detailed Summary Page	X 21b	22 23 24	25 26		
	Solution outlinary rage	27	28a 28b 28c	29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)	as and dadress of any point					
Novartis Corporation Political Actio	n Committee					
Full Name (Last, First, Middle Initial) A. Capital One Bank			Date of Disbursement			
			M M / D D / Y Y Y Y			
Mailing Address 701 Pennsylvania Ave. NW			12 18	2015		
City	State Zip Code		Transaction ID : B5929	07		
Washington	DC 20004		Transaction iD . B3929	07		
Purpose of Disbursement Bank Service Charge		001	Amount of Each Disburse	ement this Period		
Candidate Name		Category/				
		Type		30.57		
	nent For: 2015					
	Primary General					
State: District:	Other (specify) ▼ Not Applicable	e				
Full Name (Last, First, Middle Initial)		-				
В.			Date of Disbursement			
			M = M / D = D /	Y = Y = Y		
Mailing Address						
City	State Zip Code					
Purpose of Disbursement						
			Amount of Each Disburse	ement this Period		
Candidate Name		Category/				
Office Sought: House Disbursen	ant For	Туре				
	Primary General					
	Other (specify) ▼					
State: District:						
Full Name (Last, First, Middle Initial)						
C.			Date of Disbursement			
Mailing Address			_ M = M / D = D / Y = Y = Y			
	State Zip Code					
Oity	state Zip Oode					
Purpose of Disbursement						
Candidate Name			Amount of Each Disburse	ement this Period		
Candidate Name		Category/ Type				
Office Sought: House Disbursen	nent For:	Type	7			
	Primary General					
	Other (specify) ▼					
State: District:						
CURTOTAL of Dishumananta This Day (asti				30.57		
SUBTOTAL of Disbursements This Page (optional)		······		55.57		
TOTAL This Period (last page this line number only)				30.57		

ľ

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE I		F 108
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only 21b 27	y one) 22 X 23 24 25 28a 28b 28c 29	26 30
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee			
Full Name (Last, First, Middle Initial)				
A. Bluegrass Committee			Date of Disbursement 12 15 2015	Y
Mailing Address 228 S. Washington St. Ste. 115			12 13 2013	_
Alexandria	tate Zip Code VA 22314		Transaction ID : B590146	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Pe	eriod
Candidate Name		Category/ Type	2500.0	00
Senate	ent For: 2015 Primary General Other (specify)			
State: District:	Not Applicable			
Full Name (Last, First, Middle Initial) Friends of Kelly Ayotte			Date of Disbursement	Y
Mailing Address 499 S. Capitol St. SW Suite 420			12 15 2015	┛
Washington	tate Zip Code DC 20003		Transaction ID : B590148	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Pe	eriod
Candidate Name Kelly Ayotte		Category/ Type	1000.0	00
∑ Senate ∑ I	ent For: 2016 Primary ☐ General Other (specify) ▼			
Full Name (Last, First, Middle Initial) - Alamo PAC			Date of Disbursement	_
Mailing Address 1020 N. Fairfax St. Suite 201			12 15 2015	
Alexandria	tate Zip Code VA 22314		Transaction ID : B590145	
Purpose of Disbursement Contribution Candidate Name		011 Category/	Amount of Each Disbursement this Pe	
Senate	ent For: 2015 Primary General Other (specify) ▼ Not Applicable	Туре		
SUBTOTAL of Disbursements This Page (optional)			5500.0	00
JUDIO IAL OI DISDUISEMENTS THIS I due tobutonam				