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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

'	or other man An Addi	orized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	Political Action Commi	ttee	
ADDRESS (number and street)	801 Pennsylvania Ave. NW S	Suite 700	
▼ Ohards 'f different	1		
Check if different than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	JMBER ▼ CITY	₹ ▲	STATE ▲ ZIP CODE ▲
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5 20 (M3) Jun 20 (M6)	Sep 20 (M9) Dec 20 (M12)
(a) Quarterly Reports:			(Non-Election Year Only)
April 15 Quarterly Report (C		20 (M4) Jul 20 (M7)	Oct 20 (M10)
July 15 Quarterly Report (C	(C) 12-Day PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (C	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y	E) Election	n on	in the State of
July 31 Mid-Year Report (Non-electio Year Only) (MY)	n (d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Election	n on/	in the State of
5. Covering Period 11		through 12	31 2018
I certify that I have examined th	is Report and to the best of O'Neail, Shawn, , ,	my knowledge and belief it is t	rue, correct and complete.
Type or Print Name of Treasure			
Signature of Treasurer	ail, Shawn, , ,	[Electronically Filed]	Date 01 / 23 / 2019
NOTE: Submission of false, errone	eous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 11 27 2018 To: 12 31 2018

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2018		115984.18
	(b) Cash on Hand at Beginning of Reporting Period	84281.82	
	(c) Total Receipts (from Line 19)	19927.22	257366.68
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	104209.04	373350.86
7.	Total Disbursements (from Line 31)	- 959.58	268182.24
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	105168.62	105168.62
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

2018 31 2018 12 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 14843.22 124914.94 (i) Itemized (use Schedule A)..... 5084.00 127451.74 (ii) Unitemized (iii) TOTAL (add 19927.22 252366.68 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees (c) Other Political Committees 0.00 0.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 252366.68 19927.22 Totals to Line 33, page 5)▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 0.00 0.00 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other Political Committees..... 5000.00 0.00 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))......▶ 257366.68 19927.22 20. Total Federal Receipts 19927.22 257366.68 (subtract Line 18(c) from Line 19)▶

DETAILED SUMMARY PAGE

of Disbursements

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Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)	Total Tillo I Gliou	Calcilual Teal-tu-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	40.42	182.24
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b))	40.42	182.24
Committees	0.00	0.00
Federal Candidates/Committees and Other Political Committees	- 1000.00	231000.00
. Independent Expenditures (use Schedule E)	0.00	0.00
. Coordinated Party Expenditures (52 U.S.C. § 30116(d))		
(use Schedule F)	0.00	0.00
. Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
. Other Disbursements (Including		
Non-Federal Donations)	0.00	37000.00
 Federal Election Activity (52 U.S.C. § 30101(2 (a) Allocated Federal Election Activity (from Schedule H6) 	0))	
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
	7 7	0.00
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	050 50	268182.24
. Total Federal Disbursements	- 959.58	200102.24
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	– 959.58	268182.24

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

rsements
Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	19927.22	252366.68
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19927.22	252366.68
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	40.42	182.24
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	40.42	182.24

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name of Individual (Last, First, Middle Ackerman Jr., Robert, E, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		12 07 2018
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-2779078 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	11.54
Name of Employer (for Individual)	Occupation (for Individual) Head REFS Alcon & Head REFS Ameri	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 288.50	
Full Name of Individual (Last, First, Middle Ackerman Jr., Robert, E, ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		12 21 2018
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-2972529 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	11.54
Name of Employer (for Individual) NBS	Occupation (for Individual) Head REFS Alcon & Head REFS Amer	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 300.04	
Full Name of Individual (Last, First, Middle Algozzine, Thomas, , ,	Initial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		12 07 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2018-2779184 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	10.00
Name of Employer (for Individual) Pharma	Memo Item	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional).	>	33.08
TOTAL This Period (last page this line numb	er only)	

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Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 Use separate schedule(s) (check only one) **X** 11a 11b 11c

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Algozzine, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972549 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regl Acct MSL Director Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Althide, Julie, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779185 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 SR SPEC - BELLEVILLE IL Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Althide, Julie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972550 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - BELLEVILLE IL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779079 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Deputy Head NPMR Alcon & SDZ **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ammon, Brian, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972530 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Deputy Head NPMR Alcon & SDZ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 780.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779080 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 830.76 Other (specify) 120.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972531 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 890.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779192 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Regional Sales Director Pittsburgh Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 346.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Arline, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972557 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Sales Director Pittsburgh Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 360.10 Other (specify) 87.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

FOR LINE NUMBER: PAGE 11 OF 210 Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baker, Jeanne, E,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972562 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Area Business Leader II Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barbier, Pierre, R, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779045 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Barbier, Pierre, R., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973269 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Director Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) 28.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barkhausen, Susana, V, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779199 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Miami Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Barkhausen, Susana, V, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972564 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 Sr ABL Miami Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779083 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1222.11 Other (specify) 87.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Barnett, Allison, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972534 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1279.80 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Barninger, Michael, C, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779200 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology **Director Breast Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 425.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Barninger, Michael, C., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972565 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 17.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director Breast Marketing** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 442.00 Other (specify) 91.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779201 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972566 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779084 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1500.00 Other (specify) 160.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 16 OF 210 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972535 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED State Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1560.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Billings, Michael, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779213 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Director - Global Onc. Portfolio Comm. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Billings, Michael, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972579 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director - Global Onc. Portfolio Comm. Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

C.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

210 FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Birkel, Gwynne, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972580 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV2 EXEC SPEC - CHICAGO N IL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 208.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bischoff, Paul, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972581 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 SR SPEC - MANSFILED OH Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼		208.00	
Full Name of Individual (Last, First, Middle In Bishop, Joni, D, , Mailing Address One Health Plaza	Date of Receipt 12 07 2018		
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2018-2779233 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.		10.00	
Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify)	Sr. O	pation (for Individual) ncology Specialist /ear-to-Date ▼ 250.00	Memo Item
			26.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 210 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bishop, Joni, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972583 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blair, Edward, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779235 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Indication Lead Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Blair, Edward, J, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972585 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Indication Lead Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 33.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF 210 Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Booth, Taylor, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779086 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Fed Gov't Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.95 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Booth, Taylor, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972537 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Fed Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1346.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Borill, Troy, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779241 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 536.14 Other (specify) 213.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Borill, Troy, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972591 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.63 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 557.77 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bortfeld, Daniel, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779242 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head HR Operational Excellenc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bortfeld, Daniel, S., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972592 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head HR Operational Excellence Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 44.71 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779252 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Brooks, Michael, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972602 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 520.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Buckley, Matthew, H, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779256 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strategic Market Access Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 49.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buckley, Matthew, H,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972606 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director Strategic Market Access** Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Burke, John, S,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business Leader I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Burke, John, S, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972610 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular Area Business Leader II Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 23 OF 210 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779264 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972614 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1001.97 Other (specify) 157.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Calabrese, Ashley, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972538 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Director State Government Affair Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1059.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Caldwell, Julie, D,, Date of Receipt Mailing Address One Health Plaza 2018 Ste 725 City State Zip Code Transaction ID: A2018-2779267 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 892.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Caldwell, Julie, D, Date of Receipt Mailing Address One Health Plaza 2018 Ste 725 City State Zip Code Transaction ID: A2018-2972617 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 949.94 Other (specify) 173.07 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Callahan Jr, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779268 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Rheumatology Executive Sales Speciali Receipt For: Aggregate Year-to-Date ▼ Primary General 248.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Callahan Jr, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972618 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Rheumatology Executive Sales Special Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 258.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Campbell, Kimberley, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779155 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Head Oncology Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 625.00 Other (specify) 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Campbell, Kimberley, J, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973151 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Head Oncology Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cannavale, Paul, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972622 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Dir. Cust Experience & Digital Solns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Carl, Kevin, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779274 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Sr. Global Program Head Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 44.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Carl, Kevin, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972624 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Global Program Head Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Carpenter, Matthew, D, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779275 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Carpenter, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972625 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Catalano, David, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972630 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Prod Dir Brand Max & Est. Meds Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779223 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chastain, James, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973209 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR Executive Director** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) 29.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779089 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1787.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972540 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1884.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Civiello, Matthew, D., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779283 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Respiratory Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 201.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Civiello, Matthew, D, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972633 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Respiratory Area Business Leader Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Clark, Jeanne, K,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779092 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS Technical Operations Expert DR** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clark, Jeanne, K, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973087 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS Technical Operations Expert DR** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779287 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1925.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Clary, Cathryn, M, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972637 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2002.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Cohen, Seth, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779093 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Business Insights - Cardiovascular Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional).....

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Full Name of Individual (Last, First, Middle III. Conley, Michael, A, ,	nitial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		12 07 2018
City	State Zip Code	Transaction ID : A2018-2779292
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	23.08	
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item
Pharma	Vice President Trade Ops & Analytics	
Receipt For: Primary General	Aggregate Year-to-Date ▼ 577.00	
Other (specify)	3/7.00	
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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779294 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Strat. Market Access RD Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972644 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Director Strat. Market Access RD Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 780.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Conry, Scott, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779295 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) National Executive Director Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Deason, Terry, H,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779321 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Director SA Research Networks Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Deason, Terry, H, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972671 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr. Director SA Research Networks Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 520.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. DeBen, Douglas, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972666 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 7.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - TACOMA WA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 200.20 Other (specify) 47.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Douglas, Alastair, M, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973226 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director US Surgical Sales Training** Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Eberenz Jr., David, M,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779339 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - GREENVILLE NC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Eberenz Jr., David, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972688 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - GREENVILLE NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fernandez-Chamberlin, Susan, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779348 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - ALBUQUERQUE N Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Fernandez-Chamberlin, Susan, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972697 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 EXEC SPEC - ALBUQUERQUE N Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Fletcher, Tiffany, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID : A2018-2779160 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec. Dir. Gov't. Affairs Biosimilars Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 865.35 Other (specify) 116.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gaudin, David, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972709 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779101 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1925.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973096 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 2002.00 Other (specify) 169.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972726 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Global Program Safety Lead Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Grossman, Benjamin, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779381 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD Regional Acct. Manager Colorado Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grossman, Benjamin, A., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972730 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD Regional Acct. Manager Colorado Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grossmann, Harold, R,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779103 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Real Estate Advisor **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grossmann, Harold, R, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973098 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Real Estate Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779382 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP USOM Solid Tumors Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 60.00 SUBTOTAL of Receipts This Page (optional).....

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Full Name of Individual (Last, First, Mid., Grzegorzewski, Kris, , ,	dle Initial) or Full Organization	on Name	D	ate of	Red	ceipt			
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779104 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 653.82 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Guilbault, Matthew, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973099 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 711.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779385 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director New Products** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 145.38 SUBTOTAL of Receipts This Page (optional).....

SCHEDULE A (FEC Form 3X) 210 FOR LINE NUMBER: PAGE 65 OF Use separate schedule(s) (check only one) ITEMIZED RECEIPTS for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gulick, David, E,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972734 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **Director New Products** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Habel, Kurt, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779106 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Goaling Design and Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General

Other (specify) ▼		577.00	
Full Name of Individual (Last, First, Middle Habel, Kurt, , ,	Initial) or Full Or	ganization Name	Date of Receipt
Mailing Address One Health Plaza			12 21 2018
City	State	Zip Code	Transaction ID : A2018-2973101
East Hanover	NJ	07936	Amount of Each Receipt this Period
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Name of Employer (for Individual)	Occu	pation (for Individual)	Memo Item
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haberthur, Charles, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779386 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SPEC - SAN ANTONIO W TX Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 312.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haberthur, Charles, L, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972735 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SPEC - SAN ANTONIO W TX Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 325.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779388 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Clinical Disclosure Office Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 36.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hagan, Laura, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972737 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Clinical Disclosure Office Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hallen, Paul, R,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2779470 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head VITRet & Glaucoma Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 384.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hallen, Paul, R., Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973231 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head VITRet & Glaucoma Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 399.88 Other (specify) 42.30 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779107 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1925.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973102 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2002.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hankins, Tamsin, F, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779471 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 164.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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69 OF 210 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hankins, Tamsin, F,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973232 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Sr Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hargrave, Jennifer, M, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779394 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 SR SPEC - DAYTONA BEACH FL Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hargrave, Jennifer, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972743 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - DAYTONA BEACH FL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
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Full Name of Individual (Last, First, Middle I Hatch, Sherri, J, ,	illilial) or Full Organization Name	Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
	louis les ou	12 07 2018
City East Hanover		Transaction ID : A2018-2779396
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	9.23
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Name of Employer (for Individual) Oncology	Occupation (for Individual) MSL Director	Memo Item
Receipt For:		
Primary General		
Other (specify) ▼	230.75	
Full Name of Individual (Last, First, Middle I	nitial) or Full Organization Name	
Hatch, Sherri, J, ,		Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zin Codo	12 21 2018
City East Hanover	l	Transaction ID : A2018-2972745
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	9.23
Name of Employer (for Individual)	Occupation (for Individual)	Memo Item
Name of Employer (for Individual) Oncology	MSL Director	
Receipt For:	State Zip Code O7936 C Occupation (for Individual) MSL Director Aggregate Year-to-Date 230.75 e Initial) or Full Organization Name State Zip Code O7936 C Occupation (for Individual) MSL Director Aggregate Year-to-Date 239.98 Aggregate Year-to-Date 239.98	
Primary General	33 3	
Other (specify) ▼	239.98	
Full Name of Individual (Last, First, Middle I	nitial) or Full Organization Name	
. Hawkins, Adrian, , ,		Date of Receipt
Mailing Address One Health Plaza		12 07 2018
City		Transaction ID : A2018-2779398
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing	C	10.00
federal political committee.	\vee	10.00
Name of Employer (for Individual)	, , ,	Memo Item
Oncology	Sr Oncology Specialist	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hawkins, Adrian, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972747 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779108 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy&Reimbursem Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1915.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Hayden, Kathy-Jo, B, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973103 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy&Reimburseme Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2030.00 Other (specify) 240.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hellberg, Mark, R,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973210 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Chemical Technolog NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Henderson, Richard, N, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779404 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Henderson, Richard, N., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Sr Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 70.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Herpin, Misty, B,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972756 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hilkert, Robert, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779410 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 577.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hilkert, Robert, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972759 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Program Clinical Head Receipt For: Aggregate Year-to-Date ▼ Primary General 600.08 Other (specify) 61.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hill, Holli,,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779110 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hill, Holli, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973105 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 546.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hohenwarter, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 692.28 Other (specify) 99.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hughes, Gene, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972770 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 312.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779113 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate ED Federal Public Policy & Reimburser Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Hughson, Melody, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973108 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) ED Federal Public Policy & Reimbursem Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) 112.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jarvis, Edgar, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972779 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 16.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL - HOUSTON Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 419.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jeffers, Mark, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779474 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jeffers, Mark, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973235 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Srg Sls Trng Global Fran Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) 35.39 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for De Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Jennings, Kathryn, E, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779430 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Cardiovascular Sales Specialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Jesudas, Dinesh, C,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779225 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Principal Data Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Jesudas, Dinesh, C, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973211 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Principal Data Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972792 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Glb Head of Bus. Exc & Oper Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kameros, Dean, B,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779444 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head-FF Execution & Effectiveness Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 625.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kameros, Dean, B, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972793 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head-FF Execution & Effectiveness Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 650.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kolega, Randall, R,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972504 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Principal Scientist / CMC Lead Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kowalski, Robert, W, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779521 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head RA US Head Developmen Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1153.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kowalski, Robert, W., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972815 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head RA US Head Development Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) 102.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779118 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 145.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP and U.S. Country Head Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 3085.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973113 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 145.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP and U.S. Country Head Public Polic Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3230.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Leatherman, Nancy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779541 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma NS Sr Area Business Leader - Denver Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 305.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leatherman, Nancy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972835 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Sr Area Business Leader - Denver Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779162 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 865.35 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lehman, Brian, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973158 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir Medical Acc Mngmt & Strategic Alli Receipt For: Aggregate Year-to-Date ▼ Primary General 961.50 Other (specify) 207.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779119 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) President AveXis Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1800.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973114 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President AveXis Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1900.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lesko, Alan, J., Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779546 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Exec Specialist St Louis MC Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 210.00 SUBTOTAL of Receipts This Page (optional).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lesko, Alan, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972840 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Exec Specialist St Louis Me Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leslie, Vinson, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2779547 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Leslie, Vinson, G, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972841 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lindemon, Susan, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779554 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lindemon, Susan, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972848 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Hematology Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Litts, Carl, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779555 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - ZANESVILLE OH Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 109 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Litts, Carl, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972849 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - ZANESVILLE OH Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779556 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Global Head Marketing Value & Access Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1153.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018

City Zip Code State Transaction ID: A2018-2972850 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Marketing Value & Access Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 1199.90 Other (specify) 102.30 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779226 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head NIBR Communications **NIBR** Receipt For: Aggregate Year-to-Date ▼ Primary General 577.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lockwood, Jeffrey, W, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973212 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 23.08 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NIBR** Head NIBR Communications Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 600.08 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lolos, Konstantine, G., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779557 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 60.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lolos, Konstantine, G, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972851 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 365.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Losordo, Donald, R, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779562 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Losordo, Donald, R., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972856 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 32.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lund, Paul, O, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779484 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 260.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lund, Paul, O, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973245 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 270.92 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Lusso, Steven, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779568 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS MS SR ABL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 41.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lusso, Steven, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972912 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS MS SR ABL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 546.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mac Askill, David, T,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779571 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Cardiovascular Area Business Leader I Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mac Askill, David, T, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972915 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular Area Business Leader H Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 44.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name MacKay, Kimberly, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779122 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Legal and Compliance **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. MacKay, Kimberly, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973117 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Head Legal and Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Mandala, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779576 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Lead Specialty Channels Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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PAGE 117 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Marinac, Jacqueline, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972924 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Associate Director Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Marks, Michael, G, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779486 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head R and D QA Surgical Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.25 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Marks, Michael, G, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973247 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head R and D QA Surgical Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 249.86 Other (specify) 34.22 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Marks, Penny, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779499 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncol Executive Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Marks, Penny, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972926 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncol Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Masow, Julie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779503 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Gbl Head Ext Comms CoE & Breast Coi Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 253.88 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	NAME OF COMMITTEE (In Full)														
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779124 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2126.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGowan, Joseph, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973119 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2223.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McKenna, Edward, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779582 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology AD-Regional Acct. Management Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) 206.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mennilli, David, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779165 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Key Customers** Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Mennilli, David, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973161 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Key Customers** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 780.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Meyer, Stephanie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779589 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 ABL I - Pittsburgh Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Miller, Donald, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779591 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Miller, Donald, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972949 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Minetto, Alejandro, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779489 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Finance Business Head IRIS Program Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) 32.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mui-Lipnik, Shelly, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779128 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Exec Dir Fed Govt Affairs & Tax Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2219.15 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Mui-Lipnik, Shelly, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973123 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Exec Dir Fed Govt Affairs & Tax Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 2315.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Mullins, Anthony, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779604 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Sr Area Business Leader - Dallas Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 207.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Mullins, Anthony, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972962 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Sr Area Business Leader - Dallas Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Murthy, Narashima, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-2779129 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS Enterprise Application Archite** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 375.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Murthy, Narashima, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2973124 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NBS **Enterprise Application Archite** Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) 45.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Naessens, Robert, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779610 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV2 EXEC SPEC - SAGINAW MI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Naessens, Robert, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2972968 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 EXEC SPEC - SAGINAW MI Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nagler, Alissa, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779611 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED PAP** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nagler, Alissa, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972969 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **ED PAP** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Nelson, Keith, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972506 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Head OpEx Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 208.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID : A2018-2779130 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) 68.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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PAGE 136 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Neylon, Thomas, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973125 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP & Head Tax for Int IP TP M&A Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Nguyen, An, V, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779131 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Service Operations Manager (F&P IT) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 288.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Nguyen, An, V,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973126 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Service Operations Manager (F&P IT) Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) 73.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Niesel, Michael, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779620 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Niesel, Michael, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2972978 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialis** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Nobles, Sharon, L, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779621 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Dermatology Executive Sales Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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		13		14		15		16	17

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Comm	nittee	
Full Name of Individual (Last, First, Middle II Parker, Melissa, A, , Mailing Address One Health Plaza	Date of Receipt 12 07 2018		
City East Hanover	Transaction ID : A2018-2779639 Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee. Name of Employer (for Individual)	C	tion (for Individual)	13.85 Memo Item
Pharma Receipt For: Primary General Other (specify) ▼	'	al Dir Acct Management	
Full Name of Individual (Last, First, Middle In Parker, Melissa, A, , Mailing Address One Health Plaza	nitial) or Full Orga	nization Name	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	Transaction ID : A2018-2972997 Amount of Each Receipt this Period 13.85		
Name of Employer (for Individual) Pharma		tion (for Individual) al Dir Acct Management	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Yea	ar-to-Date ▼ 360.10	
Full Name of Individual (Last, First, Middle In Payne, Erin, , , Mailing Address One Health Plaza	nitial) or Full Orga	nization Name	Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	Transaction ID : A2018-2779645 Amount of Each Receipt this Period 10.00		
Name of Employer (for Individual) Oncology Receipt For: Primary General Other (specify)		tion (for Individual) blogy Specialist ar-to-Date ▼ 250.00	Memo Item
SUBTOTAL of Receipts This Page (optional)		•	37.70
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Payne, Erin, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972865 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Peterson, Bret, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779650 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Peterson, Bret, D, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972870 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779134 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2845.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973129 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2960.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Phyne, Bethany, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779652 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 7.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - INDIANAPOLIS N IN Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 246.87 Other (specify) 237.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phyne, Bethany, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972872 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 7.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - INDIANAPOLIS N IN Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 254.56 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pierson, Bradley, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779653 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pierson, Bradley, J., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972873 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 27.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pinamonti, Elizabeth, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779654 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Pinamonti, Elizabeth, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972874 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Porter, Anna, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779657 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - WHEELING WV Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Porter, Anna, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972877 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 SR SPEC - WHEELING WV Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Porter, Bernard, M., Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779658 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director National Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Porter, Bernard, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972878 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director National Accounts** Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779169 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973165 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **VP Communications** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Potts, Jeffrey, D., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779660 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **ED Regional Account Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 110.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Potts, Jeffrey, D, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972880 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma **ED Regional Account Management** Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Prather, Kelly, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779661 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Prather, Kelly, D., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972881 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Riccobono, Margaret, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779171 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director Talent Management Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riccobono, Margaret, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973167 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Associate Director Talent Management Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Richert, Jayson, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID : A2018-2779172 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 519.21 Other (specify) 80.77 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Richert, Jayson, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973168 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Account Manager** Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Riddell, Sheila, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779681 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 EXEC SPEC - SALISBURY NC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Riddell, Sheila, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972901 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 EXEC SPEC - SALISBURY NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 76.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robertson, David, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779057 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Materials Head FWN Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Robertson, David, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972508 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Materials Head FWN Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Robinson, Melissa, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779685 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) 34.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Robinson, Melissa, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972905 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 390.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rodgers, Renee, C, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779686 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head Digital Strategy And Svc Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Rodgers, Renee, C, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972906 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category **Detailed Summary** Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roemisch, Laurie, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779687 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roemisch, Laurie, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2972907 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Rouyer, Marc, G, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779058 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 39.46 SUBTOTAL of Receipts This Page (optional).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rouyer, Marc, G, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972509 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Principal Engineer Receipt For: Aggregate Year-to-Date ▼ Primary General 546.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ryan, Alan, D,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779173 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ryan, Alan, D., Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973169 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Dir. US Advocacy Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 61.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 350 MA # 234F 2018 City Zip Code State Transaction ID: A2018-2779174 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Executive Director Digital Franchise** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rzewnicki, Peter, , , Date of Receipt Mailing Address 350 Massachusetts Avenue 2018 350 MA # 234F City State Zip Code Transaction ID: A2018-2973170 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Executive Director Digital Franchise** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Saad, Ahmad, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779059 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Principal Engineer Test Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 34.62 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Saad, Ahmad, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972510 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Principal Engineer Test Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Saffer, Elizabeth, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779699 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - TUCSON AZ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Saffer, Elizabeth, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973007 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - TUCSON AZ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 31.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Samartino, Roger, F,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779700 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Samartino, Roger, F, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973008 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr. Strategic Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Santanastasio Krahling, Helene, M, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779139 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Public Policy** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 342.27 Other (specify) 37.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ITEMIZED RECEIPTS

SCHEDULE A (FEC Form 3X) FOR LINE NUMBER: PAGE 169 OF 210 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schmidt, Corey, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779705 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GL Systems and Compliance Team Lea Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schmidt, Corey, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973013 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development GL Systems and Compliance Team Lea Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schoening, David, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779494 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 15.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual)

Head Global Quality Assurance Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 384.50 Other (specify) 35.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... FEC Schedule A (Form 3X) Rev. 06/2016

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seeland, Stephen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779175 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Oncology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Seeland, Stephen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973171 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **Director Oncology Marketing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.04 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Shaffer, Dawn, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779708 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director- Regional Account M Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 32.31 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shaffer, Dawn, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973016 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Associate Director- Regional Account M Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sharkey, Bonnie, J, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779709 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Comm Contract & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sharkey, Bonnie, J,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973017 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Dir Comm Contract & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Shertzer, Deborah, B, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779710 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director CIA Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Shertzer, Deborah, B, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973018 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Director CIA Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 239.98 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Simon, Joseph, M, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779714 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS Exec Sales Specialist - Phoenix Eas Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Simpson-Hunt, Stephen, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779717 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Development QA Senior GCP Auditor Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Simpson-Hunt, Stephen, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973025 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Development QA Senior GCP Auditor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Slick, John, G, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779719 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Columbia SC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 39.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Slick, John, G,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973027 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV2 Sr ABL Columbia SC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Smith, Brian, P, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779229 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 750.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Smith, Brian, P,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973215 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Director Biostatistics Receipt For: Aggregate Year-to-Date ▼ Primary General 780.00 Other (specify) 69.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Snapp, Jacob, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973033 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 57.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** Sr. Director Commercial Supply Chain Receipt For: Aggregate Year-to-Date ▼ Primary General 807.66 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Sondhi, Manu, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779736 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Clinical Development Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sondhi, Manu, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973038 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Clinical Development Medical Director Receipt For: Aggregate Year-to-Date ▼ Primary General 520.00 Other (specify) 97.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Soules, Shane, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779035 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Field Service Manager Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Soules, Shane, A,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973259 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Regional Field Service Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Spelta, William, S., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779738 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 14.04 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Reg Dir Acct Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General 351.00 Other (specify) 44.04 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sperlik, Leslie, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973172 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Regional Accounts** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Spinale, Craig, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779741 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 EXEC SPEC GREENSBORO NC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spinale, Craig, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC GREENSBORO NC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stafford, Todd, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779177 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **AD Regional Accounts** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stafford, Todd, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972542 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. **AD Regional Accounts** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stamatis, Demetre, A., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779727 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Senior Global Program Regulatory Mana Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stamatis, Demetre, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973045 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Global Program Regulatory Man Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stanley Jr., Danney, M, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779064 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Mfg Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Stanley Jr., Danney, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972515 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Dir Mfg Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) 28.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stehle, Philip, G, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779036 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Assoc Dir Glb Ops Excellence Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.50 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Stehle, Philip, G, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973260 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Assoc Dir Glb Ops Excellence Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stein, Richard, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973049 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 7.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR ABL Manhattan NY Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 201.50 Other (specify) 26.99 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

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Mailing Address 6201 South Freeway			12 07 2018
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Fort Worth	TX	76134-2001	Amount of Each Receipt this Period
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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stricker, Edson, J,, Date of Receipt Mailing Address 6201 South Freeway 2018 City Zip Code State Transaction ID: A2018-2972516 TX Fort Worth 76134-2001 Amount of Each Receipt this Period FEC ID number of contributing 11.53 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Materials Head HOU Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 299.78 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-2779146 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Dir Strategic Alliance Dev. Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2139.15 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Subasinghe, Nishani, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2973142 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir Strategic Alliance Dev. Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2235.30 Other (specify) 203.83 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sullivan, Jessica, L, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779751 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Account Manager-Academic Develor Receipt For: Aggregate Year-to-Date ▼ Primary General 375.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sullivan, Jessica, L,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973060 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Sr Account Manager-Academic Develo Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 390.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Summers, James, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779753 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - MADISON WI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 40.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Summers, James, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973062 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - MADISON WI Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779148 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director State Government Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 2057.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973144 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Director State Government Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 2154.00 Other (specify) 202.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taraszka, John, A, , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973216 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) **NIBR** Investigator III Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Taylor, David, J,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973069 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Dir Strategy & Oper Patient Solutions Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Taylor, Troy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779761 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 EXEC SPEC - PINE HILL FL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 28.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Taylor, Troy, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973070 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV1 EXEC SPEC - PINE HILL FL Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Thomas, David, G,, Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779765 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Thomas, David, G, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973074 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 30.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Toth, Michael, L,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973084 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.25 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Executive Director Promacta/Jadneu Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 205.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Trollan, Vincent, P, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973173 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 8.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 208.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Trout, Gretchen, S., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779779 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head North America Policy & FDA Liaiso Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 26.25 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Trout, Gretchen, S,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973174 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head North America Policy & FDA Liais Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Urban, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID : A2018-2779781 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 22.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 537.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Urban, Thomas, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973176 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 22.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Respiratory Executive Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 560.35 Other (specify) 55.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Utt, Lisa, R,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779783 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Area Leader Patient Services Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 346.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Utt, Lisa, R, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973178 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 13.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Area Leader Patient Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 360.10 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Valchev, Zorie, , , Date of Receipt Mailing Address 801 Pennsylvania Avenue NW 2018 Suite 700 City State Zip Code Transaction ID : A2018-2779150 DC Washington 20006 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager PAC Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 47.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 750.00	
Full Name of Individual (Last, First, Midd Van Meter, Jennifer, , , Mailing Address One Health Plaza		Date of Receipt 12 21 2018
City East Hanover FEC ID number of contributing federal political committee.	State Zip Code 07936	Transaction ID : A2018-2973147 Amount of Each Receipt this Period 30.00
Name of Employer (for Individual) Corporate Receipt For: Primary Other (specify)	Occupation (for Individual) Director Quality Ext Affairs Aggregate Year-to-Date 780.00	Memo Item
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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Whitt, Dawn, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779799 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV2 SR SPEC Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Whitt, Dawn, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973194 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV2 SR SPEC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilkinson, Erik, A, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779800 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS MS Exec Spec Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 312.50 Other (specify) 32.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilkinson, Erik, A,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973195 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) NS MS Exec Spec Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 325.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779153 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Director Federal Public Policy** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1380.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973149 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Federal Public Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1440.00 Other (specify) 132.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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PAGE 204 OF 210 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Kenneth, K,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779068 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr. Principal Scientist Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 240.25 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Williams, Kenneth, K, , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2972519 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Sr. Principal Scientist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 249.86 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Wilshire, Karen, M., Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779805 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Sr Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 28.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilshire, Karen, M,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973200 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Oncology Sales Specialist Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 239.98 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Wilson, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779806 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 SR SPEC - SHREVEPORT LA Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wilson, John, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973201 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CV1 SR SPEC - SHREVEPORT LA Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 260.00 Other (specify) 29.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City Zip Code State Transaction ID: A2018-2779179 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Legal Ops. & Ass. Gen. Counse Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2018 City State Zip Code Transaction ID: A2018-2972544 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wyble, Christine, D, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779217 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Gbl Head Scientific Engagement & Com Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 288.50 Other (specify) 111.54 SUBTOTAL of Receipts This Page (optional).....

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PAGE 207 OF 210 Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wyble, Christine, D,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973203 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 11.54 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Gbl Head Scientific Engagement & Com Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 300.04 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2779070 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Fellow - PCD-Toxicology and Preclinica Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Zhang, Fan, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2972521 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Fellow - PCD-Toxicology and Preclinica Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 250.12 Other (specify) 30.78 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zimmermann, Erik, , , Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779221 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) CV1 EXEC SPEC - FLAGSTAFF AZ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zimmermann, Erik, , , Date of Receipt Mailing Address One Health Plaza 2018 City State Zip Code Transaction ID: A2018-2973207 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma CV1 EXEC SPEC - FLAGSTAFF AZ Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2779222 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 41.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

SCHEDULE A (FEC Form 3X)

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zuluaga, Juan, C,, Date of Receipt Mailing Address One Health Plaza 2018 City Zip Code State Transaction ID: A2018-2973208 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 21.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Cardiovascular ABL Central NJ Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 546.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 21.00 SUBTOTAL of Receipts This Page (optional).....

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NAME OF COMMITTEE (In Full)			
Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) - Donald Norcross for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 160			12 03 2018
City Collingswood	State Zip Code NJ 08108		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00558320
Candidate Name		Catagony	Transaction ID : B709166 Amount of Each Disbursement this Period
Norcross, Donald, W, ,		Category/ Type	Amount of Lacif Disbursement this Feriod
	nent For: 2018	71	- 1000.00
Senate	Primary X General Other (specify) ▼		Voided: Original check date Memo Item 10/17/2018
State: NJ District: 01			I werne kem
Full Name (Last, First, Middle Initial) 3.			Date of Disbursement
Mailing Address			M M / D D / Y Y Y Y
City	State Zip Code		FEC Identification Number
Purpose of Disbursement	·	· · ·	C
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For:	71	
Senate	Primary General		4-1-4-1-4-1-4-1-4-1-4-1-4-1-4-1-4-1-4-1
State: President District:	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address	1		
City	State Zip Code		FEC Identification Number
Purpose of Disbursement	'		C
Candidate Name		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For:	1,750	
Senate	Primary General		7 7
	Other (specify) ▼		Memo Item
State: District:			
SUBTOTAL of Disbursements This Page (optional)			- 1000.00
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