

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Novartis Corporation Political Action Committee

ADDRESS (number and street)

701 Pennsylvania Ave. NW Suite 725

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2608

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00033969

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report (Q1)☐July 15  
Quarterly Report (Q2)☐October 15  
Quarterly Report (Q3)☐January 31  
Quarterly Report (YE)☐July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☒Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

11

01

2007

through

11

30

2007

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Dan P. Casserly

Signature of Treasurer

Electronically Filed by Dan P. Casserly

Date

12

17

2007

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M	D	D	Y	Y	Y	Y
1	1	0	1	2	0	0	7

To:

M	M	D	D	Y	Y	Y	Y
1	1	3	0	2	0	0	7

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <span>2007</span>		92392.10
(b) Cash on Hand at Beginning of Reporting Period .....	132861.94	
(c) Total Receipts (from Line 19) .....	30954.71	340374.86
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) .....	163816.65	432766.96
7. Total Disbursements (from Line 31) .....	900.00	269850.31
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	162916.65	162916.65
9. Debts and Obligations owed <b>TO</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	
10. Debts and Obligations owed <b>BY</b> the committee (Itemize all on Schedule C and/or Schedule D) .....	0.00	

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From:

M	M
1	1

D	D
0	1

Y	Y	Y	Y
2	0	0	7

To:

M	M
1	1

D	D
3	0

Y	Y	Y	Y
2	0	0	7

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees	16247.83	120667.71
(i) Itemized (use Schedule A) .....		
(ii) Unitemized .....	14706.88	206608.15
(iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡	30954.71	327275.86
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs) .....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡	30954.71	327275.86
12. Transfers From Affiliated/Other Party Committees .....	0.00	13099.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received .....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) .....	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.) .....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3) .....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....	30954.71	340374.86
20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....	30954.71	340374.86

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. DISBURSEMENTS		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:			
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		0.00	0.00
(i) Federal Share.....			
(ii) Non-Federal Share.....		0.00	0.00
(b) Other Federal Operating Expenditures.....		0.00	814.75
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....		0.00	814.75
22. Transfers to Affiliated/Other Party Committees.....		0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....		0.00	243500.00
24. Independent Expenditure (use Schedule E) .....		0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....		0.00	0.00
26. Loan Repayments Made.....		0.00	0.00
27. Loans Made.....		0.00	0.00
28. Refunds of Contributions To:			
(a) Individuals/Persons Other Than Political Committees .....		0.00	835.56
(b) Political Party Committees .....		0.00	0.00
(c) Other Political Committees (such as PACs) .....		0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....		0.00	835.56
29. Other Disbursements.....		900.00	24700.00
30. Federal Election Activity (2 U.S.C 431(20))			
(a) Shared Federal Election Activity (from Schedule H6)			
(i) Federal Share .....		0.00	0.00
(ii) "Levin" Share .....		0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....		0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....		0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..		900.00	269850.31
32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31).....		900.00	269850.31

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3) .....	30954.71	327275.86
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	835.56
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	30954.71	326440.30
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	0.00	814.75
37. Offsets to Operating Expenditures (from Line 15, page 3) .....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	814.75

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Robert E Ackerman Jr. Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>275.00</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2297913 Amount of Each Receipt this Period <div>25.00</div>
<b>B.</b> Full Name (Last, First, Middle Initial) Kim R Alford Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>220.00</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2297374 Amount of Each Receipt this Period <div>20.00</div>
<b>C.</b> Full Name (Last, First, Middle Initial) Ascension Almanza Jr. Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>251.26</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2298142 Amount of Each Receipt this Period <div>22.94</div>

**SUBTOTAL** of Receipts This Page (optional) .....

**67.94**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Charles E Anderson Jr.

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297516

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Gary J Appio

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297902

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

C. Frank J Arena

Mailing Address One Health Plaza

City State Zip Code  
 East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y  
 1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298017

Amount of Each Receipt this Period

50.00

SUBTOTAL of Receipts This Page (optional) .....

100.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Andrew K Arline

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298546

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**B.** Karen E Ashcraft

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

255.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298133

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C.** Michael Banko

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298016

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Catherine E Banks

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

**11** / **20** / **2007**

Transaction ID: A2007-2297845

Amount of Each Receipt this Period

20.00

**B.** Full Name (Last, First, Middle Initial)  
Elisa Bauer

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

**11** / **20** / **2007**

Transaction ID: A2007-2297235

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Andrew R Bayard

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

**11** / **20** / **2007**

Transaction ID: A2007-2297776

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michael Beck			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298251	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 825.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Timothy C Bedman			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297957	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 42.68	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 465.80		
<b>C.</b> Full Name (Last, First, Middle Initial) Jeffrey Benjamin			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298007	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Finance Corporation		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

142.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Sheila Bhattacharya

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298106

Amount of Each Receipt this Period

25.00

**B.** Full Name (Last, First, Middle Initial)  
Edward J Blair

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

235.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297897

Amount of Each Receipt this Period

25.00

**C.** Full Name (Last, First, Middle Initial)  
Brenda Blanchard

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4576.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297343

Amount of Each Receipt this Period

416.00

**SUBTOTAL** of Receipts This Page (optional) .....

466.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Rainer Boehm Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1650.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297985 Amount of Each Receipt this Period 150.00
<b>B.</b> Full Name (Last, First, Middle Initial) Charles R Bonanno Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297813 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Peri K Bonner Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 523.68		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297637 Amount of Each Receipt this Period 47.88

**SUBTOTAL** of Receipts This Page (optional) .....**222.88****TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Samuel J Boone			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297783	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Rhonda M Bordeaux			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298407	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Mark D Bordley			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298976	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 250.00		

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Troy L Borill		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298304	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 32.87
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 358.83		
<b>B.</b> Full Name (Last, First, Middle Initial) Daniel S Bortfeld		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297550	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 25.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Keith E Boudreau		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297223	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 25.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Corporation	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

82.87

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) John T Brady III Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">275.00</div>		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td>/</td><td>2</td><td>0</td><td>/</td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> <b>Transaction ID:</b> A2007-2297720 Amount of Each Receipt this Period <div style="text-align: right;">25.00</div>	M	M	/	D	D	/	Y	Y	Y	Y	1	1	/	2	0	/	2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1	/	2	0	/	2	0	0	7													
<b>B.</b> Full Name (Last, First, Middle Initial) Leonard J Brandt Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">550.00</div>		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td>/</td><td>2</td><td>0</td><td>/</td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> <b>Transaction ID:</b> A2007-2297995 Amount of Each Receipt this Period <div style="text-align: right;">50.00</div>	M	M	/	D	D	/	Y	Y	Y	Y	1	1	/	2	0	/	2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1	/	2	0	/	2	0	0	7													
<b>C.</b> Full Name (Last, First, Middle Initial) George G Bretz Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">330.00</div>		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td>/</td><td>2</td><td>0</td><td>/</td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table> <b>Transaction ID:</b> A2007-2298331 Amount of Each Receipt this Period <div style="text-align: right;">30.00</div>	M	M	/	D	D	/	Y	Y	Y	Y	1	1	/	2	0	/	2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1	/	2	0	/	2	0	0	7													

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 108

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Kathy E Bronshtein

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297548

Amount of Each Receipt this Period

20.00

**B.** Full Name (Last, First, Middle Initial)  
Ellen M Browne

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298089

Amount of Each Receipt this Period

50.00

**C.** Full Name (Last, First, Middle Initial)  
Lucinda A Bruere

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297438

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 108

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Thomas R Brunner

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis PharmaceuticalsOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

350.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298574

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

B. Robert S Bullock II

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis PharmaceuticalsOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297459

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

C. Deborah A Bumbaugh

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing federal political committee.

C

Name of Employer  
Novartis Services IncorporatedOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297817

Amount of Each Receipt this Period

100.00

SUBTOTAL of Receipts This Page (optional) ▶

175.00

TOTAL This Period (last page this line number only) ▶

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 108

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Brenton D Burnett Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 242.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297412 Amount of Each Receipt this Period 22.00
<b>B.</b> Full Name (Last, First, Middle Initial) Richard Burns Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297218 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Catherine T Burton Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1318.41		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298230 Amount of Each Receipt this Period 120.39

**SUBTOTAL** of Receipts This Page (optional) .....

172.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) S P Byler		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298500
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Sunny G Cade		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297420
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Norman A Caldwell		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298116
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 108

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Ronald M Califre Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4576.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297990 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) Lindsay R Callaghan Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298363 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) William B Campbell Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297753 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

461.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 108

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Todd D Cantin Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297612 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) James P Carey Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 798.79		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298240 Amount of Each Receipt this Period 73.74
<b>C.</b> Full Name (Last, First, Middle Initial) James L Carrico Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297524 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

123.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 22 / 108

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Daniel P Casserly

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297686

Amount of Each Receipt this Period

200.00

**B.** Full Name (Last, First, Middle Initial)  
Steven J Catalano

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298273

Amount of Each Receipt this Period

84.00

**C.** Full Name (Last, First, Middle Initial)  
Atindra N Chaturvedi

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297911

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

334.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Stephen A Cheren

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298100

Amount of Each Receipt this Period

25.00

**B.** Full Name (Last, First, Middle Initial)  
Barbara Christensen-Boner

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

530.53

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297275

Amount of Each Receipt this Period

48.61

**C.** Full Name (Last, First, Middle Initial)  
Christina M Clinton

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298983

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

123.61

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Jeffery C Cochrane

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

253.10

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298119

Amount of Each Receipt this Period

25.36

**B.** Full Name (Last, First, Middle Initial)  
Jeffrey L Collmar

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298224

Amount of Each Receipt this Period

25.00

**C.** Full Name (Last, First, Middle Initial)  
Burt Cook

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298212

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.36

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Paulo F Costa Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4576.00		Date of Receipt M M / D D / Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297110 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) Michael L Crisci Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297640 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Eric Dammeyer Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 520.00		Date of Receipt M M / D D / Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297569 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

486.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Spencer E Davidson

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297490

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B.** William S Davis IV

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298532

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C.** Clint D Degner

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297376

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Donald W DeGolyer		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298019	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 40.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 440.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Ronald E Dei Cas		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298227	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 50.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 475.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Robert J Derbes		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297458	
City East Hanover	State NJ	Zip Code 07936	Amount of Each Receipt this Period 35.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 385.00		

**SUBTOTAL** of Receipts This Page (optional) .....

125.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Kimberly A Derchak		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID: A2007-2297664
Name of Employer Novartis Pharmaceuticals		Amount of Each Receipt this Period 30.00
Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 330.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Randal Dias		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID: A2007-2297933
Name of Employer Novartis Pharmaceuticals		Amount of Each Receipt this Period 25.00
Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Candace B Dibblee		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		
City	State	Zip Code
East Hanover	NJ	07936
FEC ID number of contributing federal political committee.		Transaction ID: A2007-2298111
Name of Employer Novartis Services Incorporated		Amount of Each Receipt this Period 200.00
Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1862.66	

**SUBTOTAL** of Receipts This Page (optional) .....

255.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Thomas D Dolan		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297170
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Annette Donahey		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297510
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>C.</b> Full Name (Last, First, Middle Initial) David P Drake		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297908
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 164.01
Name of Employer Novartis Services Incorporated	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1775.91	

**SUBTOTAL** of Receipts This Page (optional) .....

214.01

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Steven L Dreamer Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharma Suffern Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">275.00</div>		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297310</b> Amount of Each Receipt this Period <div style="text-align: right;">25.00</div>
<b>B.</b> Full Name (Last, First, Middle Initial) James S Du Bois Jr. Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">220.00</div>		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297497</b> Amount of Each Receipt this Period <div style="text-align: right;">20.00</div>
<b>C.</b> Full Name (Last, First, Middle Initial) Stanley B Dudek Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div style="text-align: right;">275.00</div>		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297941</b> Amount of Each Receipt this Period <div style="text-align: right;">25.00</div>

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) William J Dwyer Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>275.00</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2297827 Amount of Each Receipt this Period <div>25.00</div>
<b>B.</b> Full Name (Last, First, Middle Initial) David M Eberenz Jr. Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>330.00</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2297767 Amount of Each Receipt this Period <div>30.00</div>
<b>C.</b> Full Name (Last, First, Middle Initial) James A Edwards Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ <div>550.00</div>		Date of Receipt <div>11 / 20 / 2007</div> <b>Transaction ID:</b> A2007-2298268 Amount of Each Receipt this Period <div>50.00</div>

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) James R Elkin Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 4576.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297347 Amount of Each Receipt this Period 416.00
<b>B.</b> Full Name (Last, First, Middle Initial) David N Elsasser Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297215 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Jeffrey J Elton Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer NIBRI Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298627 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

491.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michael R Emch		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		2	0		2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1		2	0		2	0	0	7													
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297168																				
City <u>East Hanover</u>	State NJ	Zip Code 07936																				
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00																				
Name of Employer Novartis Pharmaceuticals	Occupation Executive																					
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00																					

<b>B.</b> Full Name (Last, First, Middle Initial) Nancy E Emerson		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		2	0		2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1		2	0		2	0	0	7													
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297777																				
City <u>East Hanover</u>	State NJ	Zip Code 07936																				
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00																				
Name of Employer Novartis Pharmaceuticals	Occupation Executive																					
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00																					

<b>C.</b> Full Name (Last, First, Middle Initial) Russel A Emerson Jr.		Date of Receipt <table border="1"> <tr> <td>M</td><td>M</td><td>/</td><td>D</td><td>D</td><td>/</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> <tr> <td>1</td><td>1</td><td></td><td>2</td><td>0</td><td></td><td>2</td><td>0</td><td>0</td><td>7</td> </tr> </table>	M	M	/	D	D	/	Y	Y	Y	Y	1	1		2	0		2	0	0	7
M	M	/	D	D	/	Y	Y	Y	Y													
1	1		2	0		2	0	0	7													
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297770																				
City <u>East Hanover</u>	State NJ	Zip Code 07936																				
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00																				
Name of Employer Novartis Pharmaceuticals	Occupation Executive																					
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00																					

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Steven A Engelhardt

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2050.08

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297963

Amount of Each Receipt this Period

188.02

**B.** Full Name (Last, First, Middle Initial)  
Rita D Engler

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

306.17

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297874

Amount of Each Receipt this Period

27.95

**C.** Full Name (Last, First, Middle Initial)  
David R Epstein

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297965

Amount of Each Receipt this Period

100.00

**SUBTOTAL** of Receipts This Page (optional) .....

315.97

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 35 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) David J Erb		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297851	
City <u>East Hanover</u>	State NJ	Zip Code 07936	Amount of Each Receipt this Period 25.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Christopher Esposito		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298278	
City <u>East Hanover</u>	State NJ	Zip Code 07936	Amount of Each Receipt this Period 75.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 565.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Eric W Evans		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298629	
City <u>East Hanover</u>	State NJ	Zip Code 07936	Amount of Each Receipt this Period 100.00
FEC ID number of contributing federal political committee. C			
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 700.00		

**SUBTOTAL** of Receipts This Page (optional) .....

200.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Judith J Ewalt		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297713
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Philip L Faulkner		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298891
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Robert E Fee		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298092
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 54.83
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 678.08	

**SUBTOTAL** of Receipts This Page (optional) .....

99.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jeffery E Firment		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298334
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>B.</b> Full Name (Last, First, Middle Initial) James E Foley		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298093
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Andrew T Footo		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298221
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Matthew C Foster			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297699	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Paul Frederick			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297796	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 46.72	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 510.32		
<b>C.</b> Full Name (Last, First, Middle Initial) Debra E Freire			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298011	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		

**SUBTOTAL** of Receipts This Page (optional) .....

121.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Carolyn J Fromm			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298096	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Neely T Frye			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297852	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 110.55	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1190.20		
<b>C.</b> Full Name (Last, First, Middle Initial) Salvatore Fusco Jr.			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298062	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		

**SUBTOTAL** of Receipts This Page (optional) .....

150.55

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jill H Gaither			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298126	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Edward G Gajewski			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297531	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Albert Galloza			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297209	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 480.00		

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....



X	11a		11b		11c		12			
	13		14		15		16			17

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Thomas E Giles Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1925.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298018 Amount of Each Receipt this Period 175.00
<b>B.</b> Full Name (Last, First, Middle Initial) Robert Gines Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297654 Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Brian J Goldfus Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298129 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

295.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Janna S Goodman  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

273.15

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297135

Amount of Each Receipt this Period

25.09

**B.** Full Name (Last, First, Middle Initial)  
Alex Gorsky  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298648

Amount of Each Receipt this Period

200.00

**C.** Full Name (Last, First, Middle Initial)  
Ronald E Graham  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

468.59

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297506

Amount of Each Receipt this Period

42.93

**SUBTOTAL** of Receipts This Page (optional) .....

268.02

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Mark D Grebenau Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1584.01			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298274 Amount of Each Receipt this Period 151.46
<b>B.</b> Full Name (Last, First, Middle Initial) Clinton D Green Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298893 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew L Griggs Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297517 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

191.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Denise F Guillen Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 229.98		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297408 Amount of Each Receipt this Period 21.04
<b>B.</b> Full Name (Last, First, Middle Initial) Kurt Habel Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297903 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Sarah E Haller Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 856.95		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297812 Amount of Each Receipt this Period 78.55
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		149.59
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jeffrey W Hardy			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298662	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Daryl Harvey			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297442	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 440.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297857	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jerilyn Hayes			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297973	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 35.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 385.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph P Hazelton			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297887	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 29.58	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 317.58		
<b>C.</b> Full Name (Last, First, Middle Initial) Debbie L Henderson			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298506	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

89.58

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michelle A Hendrix Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 270.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298898 Amount of Each Receipt this Period 35.00
<b>B.</b> Full Name (Last, First, Middle Initial) William S Higgins Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297739 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) William P Hittel Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298005 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

85.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Cynthia Hogan Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297141 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) John A Hohneker Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297944 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) William C Hokanson Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 305.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297711 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

105.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Woodson M Hopkins

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

470.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298355

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** James P Howard

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298174

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C.** Gene M Hughes

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

450.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298266

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

120.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Mathias Hukkelhoven Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297952</b> Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Deborah C Hunter Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297507</b> Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Steven R Hvezdos Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297392</b> Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

100.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Eric J Intfen Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 260.61			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297286 Amount of Each Receipt this Period 23.73
<b>B.</b> Full Name (Last, First, Middle Initial) Karen A Jacobs Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 320.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297981 Amount of Each Receipt this Period 40.00
<b>C.</b> Full Name (Last, First, Middle Initial) Jonathan S Jaffe Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 350.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298679 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

113.73

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Mary A Jarema Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2298248</b> Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Edgar L Jarvis Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297454</b> Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Gail M Jesnig Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2298684</b> Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

A. Sara Johnson-Davis

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

**MM / DD / YYYY**  
**11 / 20 / 2007**

Transaction ID: A2007-2298346

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

B. Mark A Joines

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

**MM / DD / YYYY**  
**11 / 20 / 2007**

Transaction ID: A2007-2297657

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

C. David S Jones

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

**MM / DD / YYYY**  
**11 / 20 / 2007**

Transaction ID: A2007-2297108

Amount of Each Receipt this Period

50.00

**SUBTOTAL** of Receipts This Page (optional) .....

90.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gregory Jones Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297487</b> Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Sheldon Jones Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Finance Corporation Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 807.55		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297324</b> Amount of Each Receipt this Period 73.93
<b>C.</b> Full Name (Last, First, Middle Initial) Yatindra M Joshi Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297650</b> Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

**118.93**

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Lynn A Keenan Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2298236</b> Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Corporation Occupation staff Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1846.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 9 / 2 0 0 7 <b>Transaction ID: A2007-1878797</b> Amount of Each Receipt this Period 92.30
<b>C.</b> Full Name (Last, First, Middle Initial) Michael E Kehoe Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Corporation Occupation staff Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1938.30			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 3 / 2 0 0 7 <b>Transaction ID: A2007-2215894</b> Amount of Each Receipt this Period 92.30

**SUBTOTAL** of Receipts This Page (optional) .....

204.60

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Carol A Kent Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298169 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Andrew J Kessler Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297807 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Karen L Key Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 450.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298961 Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Dennis S Keyes			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297656	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 36.98	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 402.58		
<b>B.</b> Full Name (Last, First, Middle Initial) Richard E Knapp			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297581	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 200.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2200.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Karitsa E Koski			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298693	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		

**SUBTOTAL** of Receipts This Page (optional) .....

256.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Crystal L Kuntz			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298409	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 510.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Keith A LaDue			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297892	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Sharon Larrison			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298208	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 440.00		

**SUBTOTAL** of Receipts This Page (optional) .....

140.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Robert F Lavery			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297991	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 100.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Finance Corporation		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1100.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Richard E Lemire			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297122	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 77.40	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharma Suffern		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 846.16		
<b>C.</b> Full Name (Last, First, Middle Initial) Gary D Lindenbaum			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297914	
City State Zip Code East Hanover NJ 07936			<b>Amount of Each Receipt this Period</b> 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		

**SUBTOTAL** of Receipts This Page (optional) .....

207.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Manuel B Litchman			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298102	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 215.00		
<b>B.</b> Full Name (Last, First, Middle Initial) David B Lobenberg			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 0 9 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-1876520	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 360.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 360.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Jeffrey W Lockwood			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298099	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer NIBRI		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		

**SUBTOTAL** of Receipts This Page (optional) .....

435.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michael J Lorenz Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt MM / DD / YYYY 11 / 20 / 2007 <b>Transaction ID:</b> A2007-2298357 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Don Losordo Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt MM / DD / YYYY 11 / 20 / 2007 <b>Transaction ID:</b> A2007-2298097 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Frederic D Loveland Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt MM / DD / YYYY 11 / 20 / 2007 <b>Transaction ID:</b> A2007-2297934 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Lon D Lowrey Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1375.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297312 Amount of Each Receipt this Period 125.00
<b>B.</b> Full Name (Last, First, Middle Initial) David T Mac Askill Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297669 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Richard L Maiocco Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298085 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

170.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) John Mandala			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297618	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Francis D Mangieri			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298244	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Mary L Manning			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298329	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 83.33	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 916.63		

**SUBTOTAL** of Receipts This Page (optional) .....

133.33

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Annette A Mansfield Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297986 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) William R Marshall II Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297314 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Susan E Martin Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298710 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)

Kenneth L Massey

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297726

Amount of Each Receipt this Period

50.00

**B.** Full Name (Last, First, Middle Initial)

William R Matthews

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

421.77

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297836

Amount of Each Receipt this Period

38.63

**C.** Full Name (Last, First, Middle Initial)

Arthur M Mauceri

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298715

Amount of Each Receipt this Period

20.00

**SUBTOTAL** of Receipts This Page (optional) .....

108.63

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Vivian H Mc Cain Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharma Suffern Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297124</b> Amount of Each Receipt this Period 25.00
<b>B.</b> Full Name (Last, First, Middle Initial) G. M Mc Garry Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2298086</b> Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Cheryl L Mc Intosh Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297437</b> Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

80.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Stephen W Mc Laughlin Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297434 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) William D Mc Laury Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1100.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297688 Amount of Each Receipt this Period 100.00
<b>C.</b> Full Name (Last, First, Middle Initial) Matthew C Mc Namara Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 440.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297725 Amount of Each Receipt this Period 40.00

**SUBTOTAL** of Receipts This Page (optional) .....

160.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Catharine M McGeehan			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297622	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 75.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 825.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Brian McNamara			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 1 5 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2301884	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sr. Vice President OTC		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 950.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Brian McNamara			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 3 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2302729	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Sr. Vice President OTC		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1000.00		

**SUBTOTAL** of Receipts This Page (optional) .....

175.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Wayne P Merkelson

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Finance Corporat-  
ion

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

525.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298023

Amount of Each Receipt this Period

75.00

**B.** Full Name (Last, First, Middle Initial)

Kathryn C Metcalfe

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298330

Amount of Each Receipt this Period

200.00

**C.** Full Name (Last, First, Middle Initial)

George L Miller

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Finance Corporat-  
ion

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2549.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298910

Amount of Each Receipt this Period

233.25

**SUBTOTAL** of Receipts This Page (optional) .....

508.25

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Anthony J Mixon Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 462.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297735 Amount of Each Receipt this Period 42.00
<b>B.</b> Full Name (Last, First, Middle Initial) Elizabeth H Moisant Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298307 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Stacey L Moore Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 312.46			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297586 Amount of Each Receipt this Period 28.60

**SUBTOTAL** of Receipts This Page (optional) .....

95.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Regina C Moran			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298255	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 61.43	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 672.17		
<b>B.</b> Full Name (Last, First, Middle Initial) Glenn H Morton			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297880	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 141.46	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 1537.28		
<b>C.</b> Full Name (Last, First, Middle Initial) Marion T Morton			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298002	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 100.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 725.00		

**SUBTOTAL** of Receipts This Page (optional) .....

302.89

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Kathleen P Murphy

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1695.87

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298009

Amount of Each Receipt this Period

154.17

**B.** Full Name (Last, First, Middle Initial)  
Urs A Naegelin

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Services Incorporated

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297764

Amount of Each Receipt this Period

100.00

**C.** Full Name (Last, First, Middle Initial)  
Carol L Neidhart

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298198

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

279.17

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Philip C Newton			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298345	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Laura M Ney			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297673	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Peter Ng			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298033	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		

**SUBTOTAL** of Receipts This Page (optional) .....

65.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 108

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) John M Nitschke			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297745	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Sharon L Nobles-Wood			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297536	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Hugh M O'Dowd			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297589	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 350.00		

**SUBTOTAL** of Receipts This Page (optional) .....

100.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Dennis J O'Leary Jr. Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 483.40			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297858</b> Amount of Each Receipt this Period 44.18
<b>B.</b> Full Name (Last, First, Middle Initial) Jennifer R Orchard Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297372</b> Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Jeffrey S Osmundson Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297352</b> Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

94.18

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Serafina Oxner Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 450.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298055 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Melissa A Parker Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298135 Amount of Each Receipt this Period 30.00
<b>C.</b> Full Name (Last, First, Middle Initial) Raymond Pawlicki Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Occupation Novartis Pharmaceuticals Executive Receipt For: Aggregate Year-to-Date ▼ <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ 1100.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297979 Amount of Each Receipt this Period 100.00

**SUBTOTAL** of Receipts This Page (optional) .....

180.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Angela K Peck			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297290	
City State Zip Code East Hanover NJ 07936		Amount of Each Receipt this Period 30.00		
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Joseph H Phillips			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297810	
City State Zip Code East Hanover NJ 07936		Amount of Each Receipt this Period 20.00		
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Paul G Pochtar			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297922	
City State Zip Code East Hanover NJ 07936		Amount of Each Receipt this Period 200.26		
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 2189.30		

**SUBTOTAL** of Receipts This Page (optional) .....

250.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Diana Potter			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297918	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Kelly D Prather			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298293	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Marilyn Priestley			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297921	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 40.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 440.00		

**SUBTOTAL** of Receipts This Page (optional) .....

110.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Michelle R Prine Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 250.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298977 Amount of Each Receipt this Period 50.00
<b>B.</b> Full Name (Last, First, Middle Initial) Brian C Prout Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 470.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298980 Amount of Each Receipt this Period 50.00
<b>C.</b> Full Name (Last, First, Middle Initial) Louis Pugliano Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 225.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297838 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

125.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Teofilo D Raad		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298416
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>B.</b> Full Name (Last, First, Middle Initial) David J Rader		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297534
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Thomas D Rader		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297574
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

**SUBTOTAL** of Receipts This Page (optional) .....

75.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Jose A Ramirez

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

825.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297394

Amount of Each Receipt this Period

75.00

Full Name (Last, First, Middle Initial)

**B.** Timothy A Rancourt

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

225.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298084

Amount of Each Receipt this Period

25.00

Full Name (Last, First, Middle Initial)

**C.** Rebecca W Reid

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

473.48

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2298134

Amount of Each Receipt this Period

43.42

**SUBTOTAL** of Receipts This Page (optional) .....

143.42

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) David G Reynolds			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297364	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Sheila A Riddell			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297850	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Keith C Riddiford			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297678	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		

**SUBTOTAL** of Receipts This Page (optional) .....

60.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) David P Riedel		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297798
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 65.32
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 712.30	

<b>B.</b> Full Name (Last, First, Middle Initial) Kevin T Rigby		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297976
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 200.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 2200.00	

<b>C.</b> Full Name (Last, First, Middle Initial) Pamela Roberts		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297871
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 275.00	

**SUBTOTAL** of Receipts This Page (optional) .....

290.32

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Brandi K Robinson  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Finance Corporat-  
ion

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297247

Amount of Each Receipt this Period

30.00

**B.** Full Name (Last, First, Middle Initial)  
Rodney M Roggow  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297353

Amount of Each Receipt this Period

25.00

**C.** Full Name (Last, First, Middle Initial)  
Patrick Ronan  
Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2250.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2299005

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional) .....

305.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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FOR LINE NUMBER: PAGE 86 / 108

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Gary E Rosenthal		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298082
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 416.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 4576.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Jason T Russell		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297258
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 51.42
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 560.09	

<b>C.</b> Full Name (Last, First, Middle Initial) Tricia R Russo		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297160
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 75.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 825.00	

**SUBTOTAL** of Receipts This Page (optional) .....

542.42

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Roger F Samartino			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297996	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Gregory H Schofield			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297232	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Jacqueline B Scott			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297499	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) S. M Seeland Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298269 Amount of Each Receipt this Period 25.00
<b>B.</b> Full Name (Last, First, Middle Initial) Dawn M Shaffer Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297616 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Kathleen K Shields Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharma Suffern Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298281 Amount of Each Receipt this Period 25.00

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12	<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16	<input type="checkbox"/> 17
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Joseph A Simmons

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
Novartis PharmaceuticalsOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

209.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1	/	2	0	/	2	0	0	7

Transaction ID: A2007-2297791

Amount of Each Receipt this Period

19.00

Full Name (Last, First, Middle Initial)

**B.** David P Sipe

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
Novartis PharmaceuticalsOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1	/	2	0	/	2	0	0	7

Transaction ID: A2007-2297778

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C.** Ronald B Sira

Mailing Address One Health Plaza

City	State	Zip Code
East Hanover	NJ	07936

FEC ID number of contributing  
federal political committee.**C**Name of Employer  
Novartis PharmaceuticalsOccupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

440.00

Date of Receipt

M	M	/	D	D	/	Y	Y	Y	Y
1	1	/	2	0	/	2	0	0	7

Transaction ID: A2007-2298076

Amount of Each Receipt this Period

40.00

**SUBTOTAL** of Receipts This Page (optional) .....

79.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Harold T Smith			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297900	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) James L Smith			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298321	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 210.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Eric M Snedecor			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297109	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Katherine E Solon Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1697.25		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298953 Amount of Each Receipt this Period 155.25
<b>B.</b> Full Name (Last, First, Middle Initial) Curtis Sosnowski Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297576 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) William S Spelta Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297226 Amount of Each Receipt this Period 20.00

**SUBTOTAL** of Receipts This Page (optional) .....

195.25

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Charles A Speranzo Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298090 Amount of Each Receipt this Period 25.00
<b>B.</b> Full Name (Last, First, Middle Initial) Carmen A St. John Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298796 Amount of Each Receipt this Period 20.00
<b>C.</b> Full Name (Last, First, Middle Initial) Lisa A Steelman Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1265.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297146 Amount of Each Receipt this Period 115.00

**SUBTOTAL** of Receipts This Page (optional) .....

160.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Thomas E Steffie

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298246

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**B.** Ashley D Stephens

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298217

Amount of Each Receipt this Period

20.00

Full Name (Last, First, Middle Initial)

**C.** Donald P Stevens

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

825.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297387

Amount of Each Receipt this Period

75.00

**SUBTOTAL** of Receipts This Page (optional) .....

115.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Lesley J Stickley			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297562	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Robert J Stippel			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 3 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2331047	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation EXECUTIVE		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Gloria C Stone			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297978	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 64.50	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 705.14		

**SUBTOTAL** of Receipts This Page (optional) ..... ►

109.50

**TOTAL** This Period (last page this line number only) ..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** John Suchorsky

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

550.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297972

Amount of Each Receipt this Period

50.00

Full Name (Last, First, Middle Initial)

**B.** Dennis Sullivan

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

330.00

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297644

Amount of Each Receipt this Period

30.00

Full Name (Last, First, Middle Initial)

**C.** Joseph E Talbott

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

891.09

Date of Receipt

11 / 20 / 2007

Transaction ID: A2007-2297705

Amount of Each Receipt this Period

81.51

**SUBTOTAL** of Receipts This Page (optional) .....

161.51

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Eileen T Tallent			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297912	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Kamran Tavangar			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297243	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Jeffrey W Thompson			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297623	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

75.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Pamela H Tolar		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297761
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 20.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 220.00	

<b>B.</b> Full Name (Last, First, Middle Initial) Barbara A Tombros		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2297907
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 67.84
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 1613.34	

<b>C.</b> Full Name (Last, First, Middle Initial) Christina M Tremains		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7
Mailing Address One Health Plaza		<b>Transaction ID:</b> A2007-2298189
City East Hanover	State NJ	Zip Code 07936
FEC ID number of contributing federal political committee. C		Amount of Each Receipt this Period 56.78
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼	Aggregate Year-to-Date ▼ 619.48	

**SUBTOTAL** of Receipts This Page (optional) .....

144.62

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Randolph A Troxell III			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297734	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Thomas A Urban			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297147	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 28.31	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 308.81		
<b>C.</b> Full Name (Last, First, Middle Initial) Lisa R Utt			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297337	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 30.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 330.00		

**SUBTOTAL** of Receipts This Page (optional) .....

78.31

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Brenda B VanSant Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 220.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298823 Amount of Each Receipt this Period 20.00
<b>B.</b> Full Name (Last, First, Middle Initial) Russell E Veitenheimer Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297383 Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) James W Ventura Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2298364 Amount of Each Receipt this Period 30.00

**SUBTOTAL** of Receipts This Page (optional) .....

75.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Peter C Viebrock			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297930	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Kathleen Vineyard			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297241	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 24.17	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 264.19		
<b>C.</b> Full Name (Last, First, Middle Initial) William W Voegtli			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297323	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. <b>C</b>				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

69.17

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 108

(check only one)

<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Andrew J Volante Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 700.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297803 Amount of Each Receipt this Period 100.00
<b>B.</b> Full Name (Last, First, Middle Initial) Ross D Volk Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Services Incorporated Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 2750.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297832 Amount of Each Receipt this Period 250.00
<b>C.</b> Full Name (Last, First, Middle Initial) Cynthia K Walker Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 330.00		Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID:</b> A2007-2297822 Amount of Each Receipt this Period 30.00
<b>SUBTOTAL</b> of Receipts This Page (optional) ..... ▶		380.00
<b>TOTAL</b> This Period (last page this line number only) ..... ▶		

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Barbara E Washington Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 1992.75			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297826</b> Amount of Each Receipt this Period 182.35
<b>B.</b> Full Name (Last, First, Middle Initial) Keith H Watson Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 275.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2297741</b> Amount of Each Receipt this Period 25.00
<b>C.</b> Full Name (Last, First, Middle Initial) Stephan M Webb Mailing Address One Health Plaza City East Hanover State NJ Zip Code 07936 FEC ID number of contributing federal political committee. <b>C</b> Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ Aggregate Year-to-Date ▼ 550.00			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7 <b>Transaction ID: A2007-2298292</b> Amount of Each Receipt this Period 50.00

**SUBTOTAL** of Receipts This Page (optional) .....

257.35

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.** Full Name (Last, First, Middle Initial)  
Michael D Webster

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

421.47

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298145

Amount of Each Receipt this Period

38.65

**B.** Full Name (Last, First, Middle Initial)

Jane E Welborn

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

538.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297448

Amount of Each Receipt this Period

49.16

**C.** Full Name (Last, First, Middle Initial)

Vernon E Wellington

Mailing Address One Health Plaza

City State Zip Code  
East Hanover NJ 07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation  
Executive

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2297988

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

112.81

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Jill A Werry			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297950	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Donna N Wilhelm			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297625	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Edward S Williams			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297762	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		

**SUBTOTAL** of Receipts This Page (optional) .....

70.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

<b>A.</b> Full Name (Last, First, Middle Initial) Brad C Willie			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297357	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 20.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 220.00		
<b>B.</b> Full Name (Last, First, Middle Initial) Stephen A Woolford			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2298048	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 50.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Pharmaceuticals		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 550.00		
<b>C.</b> Full Name (Last, First, Middle Initial) Robert Yates			Date of Receipt M M / D D / Y Y Y Y Y 1 1 / 2 0 / 2 0 0 7	
Mailing Address One Health Plaza			<b>Transaction ID:</b> A2007-2297224	
City State Zip Code East Hanover NJ 07936			Amount of Each Receipt this Period 25.00	
FEC ID number of contributing federal political committee. C				
Name of Employer Novartis Clinical Operations		Occupation Executive		
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼ 275.00		

**SUBTOTAL** of Receipts This Page (optional) .....

95.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 108

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John B Zieger

Mailing Address One Health Plaza

City

East Hanover

State

NJ

Zip Code

07936

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Novartis Pharmaceuticals

Occupation

Executive

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

275.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 7

Transaction ID: A2007-2298325

Amount of Each Receipt this Period

25.00

**SUBTOTAL** of Receipts This Page (optional) .....

25.00

**TOTAL** This Period (last page this line number only) .....

16247.83

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 107 / 108

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input checked="" type="checkbox"/> 29	<input type="checkbox"/> 30b

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)

Novartis Corporation Political Action Committee

Full Name (Last, First, Middle Initial)

**A.** Committee to Elect Rick Taylor

Mailing Address P.O. Box 866

City  
Ambler

State  
PA

Zip Code  
19002

Purpose of Disbursement  
P-2008 State House 151 PA

Candidate Name  
Rick Taylor

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President

Disbursement For: 2008  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: PA District: 15

Transaction ID: B205011

Date of Disbursement

/   /

Amount of Each Disbursement this Period

900.00

**SUBTOTAL** of Disbursements This Page (optional) .....

900.00

**TOTAL** This Period (last page this line number only) .....

900.00

Form/Schedule: **SA11A1**

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.