

# FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. **12FE4M5**  
UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

ADDRESS (number and street) 9201 FOREST HILL AVENUE  
STONY POINT II BUILDING  
Check if different than previously reported. (ACC) RICHMOND VA 23235

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲  
**C** C00214072 3. IS THIS REPORT  NEW (N) OR  AMENDED (A)

4. TYPE OF REPORT (Choose One)  
(a) Quarterly Reports:  
 April 15 Quarterly Report (Q1)  
 July 15 Quarterly Report (Q2)  
 October 15 Quarterly Report (Q3)  
 January 31 Year-End Report (YE)  
 July 31 Mid-Year Report (Non-election Year Only) (MY)  
 Termination Report (TER)  
(b) Monthly Report Due On:  
 Feb 20 (M2)  May 20 (M5)  Aug 20 (M8)  Nov 20 (M11) (Non-Election Year Only)  
 Mar 20 (M3)  Jun 20 (M6)  Sep 20 (M9)  Dec 20 (M12) (Non-Election Year Only)  
 Apr 20 (M4)  Jul 20 (M7)  Oct 20 (M10)  Jan 31 (YE)  
(c) 12-Day PRE-Election Report for the:  
 Primary (12P)  General (12G)  Runoff (12R)  
 Convention (12C)  Special (12S)  
Election on M M M / D D D / Y Y Y Y Y Y in the State of  
(d) 30-Day POST-Election Report for the:  
 General (30G)  Runoff (30R)  Special (30S)  
Election on M M M / D D D / Y Y Y Y Y Y in the State of

5. Covering Period 09 / 01 / 2017 through 09 / 30 / 2017

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.  
Rowe, Jennifer, S., ,  
Type or Print Name of Treasurer

Signature of Treasurer Rowe, Jennifer, S., [Electronically Filed] Date 10 / 11 / 2017

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

**SUMMARY PAGE  
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

Report Covering the Period: From:  /  /  To:  /  /

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <input type="text" value="2017"/>	<input type="text" value="3630.74"/>	<input type="text" value="3630.74"/>
(b) Cash on Hand at Beginning of Reporting Period.....	<input type="text" value="4956.74"/>	
(c) Total Receipts (from Line 19) .....	<input type="text" value="596.68"/>	<input type="text" value="5372.63"/>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<input type="text" value="5553.42"/>	<input type="text" value="9003.37"/>
7. Total Disbursements (from Line 31).....	<input type="text" value="24.68"/>	<input type="text" value="3474.63"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)).....	<input type="text" value="5528.74"/>	<input type="text" value="5528.74"/>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<input type="text" value="0.00"/>	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

**For further information contact:**

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

## DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
09 / 01 / 2017 To: M M / D D / Y Y Y Y Y Y  
09 / 30 / 2017

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	450.00	2650.00
(ii) Unitemized .....	122.00	2498.00
(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶	572.00	5148.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) .....	572.00	5148.00
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received .....	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	24.68	224.63
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3).....	0.00	0.00
(b) Levin Funds (from Schedule H5) .....	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶	596.68	5372.63
20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶	596.68	5372.63

**DETAILED SUMMARY PAGE**  
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	24.68	224.63
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	24.68	224.63
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	3250.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements (Including Non-Federal Donations).....	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) .....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	24.68	3474.63
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	24.68	3474.63

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

<b>III. Net Contributions/ Operating Expenditures</b>	<b>COLUMN A Total This Period</b>	<b>COLUMN B Calendar Year-to-Date</b>
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	572.00	5148.00
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	572.00	5148.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) .....	24.68	224.63
37. Offsets to Operating Expenditures (from Line 15, page 3).....	24.68	224.63
38. Net Operating Expenditures (subtract Line 37 from Line 36) .....	0.00	0.00

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 OF 10
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12	
	<input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Frazier, Clayton, G., Mr.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 2007 Brookrun Road  
 City Henderson State NC Zip Code 27536  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf North America Occupation (for Individual) President, Subsidiary  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 450.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6436**  
 Amount of Each Receipt this Period 50.00  
 Memo Item contribution

**B. Freeman, George, C., Mr., III**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 29 Twin Lake Lane  
 City Richmond State VA Zip Code 23229  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf Tobacco Co. Inc Occupation (for Individual) Chairman, President & CFO  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 2250.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6437**  
 Amount of Each Receipt this Period 250.00  
 Memo Item contribution

**C. Haymore, Michael, T., ,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 3737 Flat Rock Drive  
 City Battleboro State NC Zip Code 27809  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf North America U Occupation (for Individual) Senior Vice President, Operation  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6438**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....	325.00
<b>TOTAL</b> This Period (last page this line number only).....	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 7 OF 10
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Ligon, Henry, Michael, Mr.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 805 Colony Bluff Place  
 City Richmond State VA Zip Code 23238  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf Tobacco Co. Inc Occupation (for Individual) Vice President  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6439**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

**B. Peebles, Robert, M., Mr.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 14420 Gildenborough Drive  
 City Midlothian State VA Zip Code 23113  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf Tobacco Co. Inc Occupation (for Individual) Vice President & Controller  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6444**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

**C. Sanford, Robin, , Ms.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 4812 Ashley Drive  
 City Battleboror State NC Zip Code 27809  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf North America U Occupation (for Individual) Vice President, Financial Analysis  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6446**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	75.00
<b>TOTAL</b> This Period (last page this line number only).....▶	

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 8 OF 10
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A. Stephenson, Thomas, E., Mr.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 1327 Beaconfield Lane  
 City Lancaster State PA Zip Code 17601  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Lancaster Leaf Tobacco Co - PA Occupation (for Individual) employee  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6448**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

**B. Warren, Gary, F., Mr.,**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address 3904 Volunteer Road  
 City Elm City State NC Zip Code 27822  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Universal Leaf North America Occupation (for Individual) Manager, Purchasing  
 Receipt For:  Primary  General  Other (specify) ▼  
 Aggregate Year-to-Date ▼ 225.00

Date of Receipt 09 / 26 / 2017  
**Transaction ID : SA11AI.6449**  
 Amount of Each Receipt this Period 25.00  
 Memo Item contribution

**C.**  
 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
 Mailing Address  
 City State Zip Code  
 FEC ID number of contributing federal political committee. **C**  
 Name of Employer (for Individual) Occupation (for Individual)  
 Receipt For:  Primary  General  Other (specify)  
 Aggregate Year-to-Date ▼

Date of Receipt  
 Amount of Each Receipt this Period  
 Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....▶	50.00
<b>TOTAL</b> This Period (last page this line number only).....▶	450.00



**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: (check only one)	PAGE 9 OF 10
<input type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input checked="" type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)  
**UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)**

**A.** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name  
UNIVERSAL LEAF TOBACCO COMPANY, INCORPORATED POLITICAL ACTION COMMITTEE (FEDERAL)

Mailing Address 9201 FOREST HILL AVENUE  
STONY POINT II BUILDING

City RICHMOND	State VA	Zip Code 23235
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FEC ID number of contributing federal political committee. **C** C00214072

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼  
224.63

Date of Receipt  

M M	/	D D	/	Y Y Y Y
09		26		2017

**Transaction ID : SA15.6431**

Amount of Each Receipt this Period  
24.68

Memo Item  
Bank Fee reimbursement by corporation

**B.** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
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FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:  
 Primary  General  
 Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt  

M M	/	D D	/	Y Y Y Y

Amount of Each Receipt this Period

Memo Item

**C.** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City	State	Zip Code
------	-------	----------

FEC ID number of contributing federal political committee. **C**

Name of Employer (for Individual) Occupation (for Individual)

Receipt For:  
 Primary  General  
 Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt  

M M	/	D D	/	Y Y Y Y

Amount of Each Receipt this Period

Memo Item

<b>SUBTOTAL</b> of Receipts This Page (optional).....	24.68
<b>TOTAL</b> This Period (last page this line number only).....	24.68

