

9785 Towne Centre Drive San Diego, CA 92121-1968

858 450 9606 office

July 14, 2010

○ Thank you,

To Whom It May Concern:

Please find, enclosed, the Statement of Organization for LPL Financial Corporation Political Action Committee.

Any questions, please feel free to contact me at the information below.

John Danton
LPL Financial
Senior Vice President, Reporting
MDirect: (858) 909-7831
Toll-free: (800) 877-7210 | ext. 7831
E-mail: john.danton@lpl.com

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STATEMENT OF

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FORM 1		(See instructio			1				
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LPL Financial	Corporation Polit	ical Action Com	ımittee (LPL	PAC)	لبلا	نب			لــــــا
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			CITY		STATE	_	ZIP (CODE 🛧	
COMMITTEE'S E-MA	IL ADDRESS (Please	provide only one e	-mail address)						
(Check if address	, John.	danton@ipi.com	n				1 1 4		. 1
is changed)									
	<u> </u>					اجاليا			
COMMITTEE'S WEB	PAGE ADDRESS (U	RL)							
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2. DATE M.	., <u> </u>	- 							
2. DATE 0.7	13'	2010							
3. FEC IDENTIFICA	ATION NUMBER	[C 0000000	000	7				
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4. IS THIS STATEN	MENT X NEW	/ (N) OR		AMENDED (A)					
I certify that I have exam	ined this Statement and	to the best of my kno	wledge and beli	ef it is true, correct ar	nd complet	te ·			
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Type of Time Name o		$\overline{}$							
Signature of Treasure	or Sul	E Was	$\Delta \angle$		Date	07	13	201	ı Q
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NOTE: Submission of fa	lse erroneous, or incom	plete information may	y subject the per	son signing this State	ement to th	e penalties	of 2 U.S.C.	§437g.	
	ANY CHA	NGE IN INFORMA	TION SHOULD	BE REPORTED	WITHIN 1	0 DAYS	_		
Office Use				further information of			FEC F	ORM 1	
Only		<u> </u>	Toll i	Free 800-424-9530 I 202-694-1100				02/2009)	

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FEC Form 1 (Revised 02/2009)

J.	ITE	OF CC	MMITTEE (CHECK CHE)
	Cand	id <u>ate</u> C	ommittee:
	(a)	Ц	This committee is a principal campaign committee. (Complete the candidate information below.)
	(b)		This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)
	Name Cand		\ <u></u>
	-	lidate Affiliation	on Office Sought: House Senate President District
	(c)		This committee supports/opposes only one candidate, and is NOT an authorized committee.
	Name Cand	e of lidate	
	Party	Comm	littee:
	(d)		This committee is a (National, State (Democratic, Republican, etc.) Party.
	Politi	ical Act	ion Committee (PAC):
	(e)	X	This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
		لــا	X Corporation Corporation w/o Capital Stock Labor Organization
			Membership Organization Trade Association Cooperative
			In addition, this committee is a Lobbyist/Registrant PAC.
	(f)		This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
			In addition, this committee is a Lobbyist/Registrant PAC.
			In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)
	Joint	Fundra	Ising Representative:
	(g)	Ш	This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
	(h)		This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.
		Com	mittees Participating in Joint Fundraiser
			1. FEC ID number
			2. FEC ID number
			3. FEC ID number
			FEC ID number

Write	Or	Туре	Committee	Name

Name of Any Connected O	rganization, Affiliated Committee, Joint F	Fundraising Representative, or	Leadership PAC Sponsor
LPL Financial Corporati	οη	 	
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Malling Address	One Beacon Street	 - 	· · · · · · · · · · · · · · · · · · ·
	22nd Floor		
	Boston	MA]	02108 _ [-, , ,
	CITY▲	STATE A	ZIP CODE
Relationship: X Connected Organization	n Affiliated Committee .	Joint Fundraising Representative	Leadership PAC Sponsor
Custodian of Records: Id possession of Committee	lentify by name, address, (phone numb b books and records.	ber optional), and position of	of the person in
Full Name Zacha	ry Drew		
Full Name Zacha Malling Address	LPL Financial		
Full Name		2nd Floor	
Full Name	LPL Financial	2nd Floor MA	02108
Full Name	LPL Financial One Beacon Street, 22		02108
Malling Address	LPL Financial One Beacon Street, 22 Boston CITY A		ZIP CODE A
Title or Position ♥ Chairman Treasurer: List the name	LPL Financial One Beacon Street, 22 Boston CITY A	STATE A Telephone number 61 al) of the treasurer of the cor	ZIP CODE & 7 - 897 - 4575
Title or Position V Chairman Treasurer: List the name name and address of any	LPL Financial One Beacon Street, 22 Boston CITY A	STATE A Telephone number 61 al) of the treasurer of the cor	ZIP CODE & 7 - 897 - 4575
Title or Position V Chairman Treasurer: List the name name and address of any Full Name	LPL Financial One Beacon Street, 22 Boston CITY A and address (phone number – option y designated agent (e.g., assistant treat	STATE A Telephone number 61 al) of the treasurer of the cor	ZIP CODE & 7 - 897 - 4575
Title or Position Chairman Treasurer: List the name name and address of any Full Name of Treasurer John	LPL Financial One Beacon Street, 22 Boston CITY A and address (phone number – option y designated agent (e.g., assistant treates) E. Danton, Jr.	STATE A Telephone number 61 all) of the treasurer of the corasurer).	ZIP CODE & 7 - 897 - 4575
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Page 4

ZIP CODE A

STATE

FEC Form 1 (Revised 02/2009)

Gary Klein

Full Name of Designated

Mailing Address

Agent

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Federal Election Commission ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS The FEC added this page to the end of this filing to indicate how it was received. **Date of Receipt Hand Delivered** Postmarked **USPS First Class Mail** Postmarked (R/C) **USPS** Registered/Certified Postmarked **USPS Priority Mail** Delivery Confirmation™ or Signature Confirmation™ Label Postmarked **USPS Express Mail** Postmark Illegible No Postmark Shipping Date Overnight Delivery Service (Specify): FFDEX 7/14/10 **Next Business Day Delivery Date of Receipt** Received from House Records & Registration Office **Date of Receipt** Received from Senate Public Records Office Date of Receipt Received from Electronic Filing Office Date of Receipt or Postmarked Other (Specify): 7/15/10. DATE PREPARED