

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **USE FEC MAILING LABEL OR TYPE OR PRINT** Example: If typing, type over the lines
Chicago Board Options Exchange/PAC

ADDRESS (number and street) 400 S. LaSalle Street
Check if different than previously reported. (ACC) Chicago IL 60605

2. **FEC IDENTIFICATION NUMBER** C00100693
CITY **STATE** **ZIP CODE**
3. **IS THIS REPORT** **NEW (N)** **OR** **X** **AMENDED (A)**

4. **TYPE OF REPORT (Choose One)**
(a) Quarterly Reports:
Feb 20 (M2) May 20 (M5) Aug 20 (M8) Nov 20 (M11) (Non-Election Year Only)
Mar 20 (M3) X Jun 20 (M6) Sep 20 (M9) Dec 20 (M12) (Non-Election Year Only)
Apr 20 (M4) Jul 20 (M7) Oct 20 (M10) Jan 31 (M13)
April 15 Quarterly Report(Q1)
July 15 Quarterly Report(Q2)
October 15 Quarterly Report(Q3)
January 31 Quarterly Report(YE)
Election on in the State of
July 31 Mid-Year Report(Non-election Year Only) (MY)
(c) 12-Day PRE-Election Report for the: Primary (12P) General (12G) Runoff (12R)
Convention (12C) Special (12S)
(d) 30-Day Post-Election Report for the: General (30G) Runoff (30R) Special (30S)
Election on in the State of
Termination Report (TER) in the State of

5. Covering Period 05 01 2002 through 05 31 2002

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Alan J. Dean

Signature of Treasurer Electronically Filed by Alan J. Dean Date 10 18 2002

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

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Page 2

Write or Type Committee Name
Chicago Board Options Exchange/PAC

Report Covering the Period: From: 05 01 2002 To: 05 31 2002

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 2002		640391.36
(b) Cash on Hand at Beginning of Reporting Period	560605.67	
(c) Total Receipts (from Line 19)	1578.54	44792.85
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	562184.21	685184.21
7. Total Disbursements (from Line 30)	14000.00	137000.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	548184.21	548184.21
9. Debts and Obligations owed TO the committee (itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations owed BY the committee (itemize all on Schedule C and/or Schedule D)	0.00	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

**DETAILED SUMMARY PAGE
OF RECEIPTS**

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Page 3

Write or Type Committee Name

Chicago Board Options Exchange/PAC

Report Covering the Period: From: ^{MM}05 ^{DD}01 ^{YYYY}2002 To: ^{MM}05 ^{DD}31 ^{YYYY}2002

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	800.00	
(ii) Unitemized	200.00	
(iii) TOTAL (add Lines 11(a)(i) and (ii)	1000.00	41750.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 32, page 4)	1000.00	41750.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 36, page 4)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	578.54	3042.85
18. Transfers from Nonfederal Account for Joint Activity	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18)	1578.54	44792.85
20. Total Federal Receipts (subtract Line 18 from Line 19)	1578.54	44792.85

DETAILED SUMMARY PAGE

of Disbursements

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II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	14000.00	137000.00
24. Independent Expenditure (use Schedule E).....	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees.....	0.00	0.00
(b) Political Party Committees.....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... ▶	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), and 29)..... ▶	14000.00	137000.00
31. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30)..... ▶	14000.00	137000.00
III. Net Contributions/Operating Expenditures		
32. Total Contributions (other than loans) from Line 11(d), page 3).....	1000.00	41750.00
33. Total Contribution Refunds (from Line 28(d)).....	0.00	0.00
34. Net Contributions (other than loans) (subtract Line 33 from Line 32).....	1000.00	41750.00
35. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... ▶	0.00	0.00
36. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
37. Net Operating Expenditures (subtract Line 36 from Line 35)..... ▶	0.00	0.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)		PAGE 5 / 9	
	<input checked="" type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

A. Full Name (Last, First, Middle Initial)
John M. Kennedy

Mailing Address
141 W. Jackson Blvd.

City State Zip Code
Chicago IL 60604-

Date of Receipt
M M / D D / Y Y Y Y
05 / 31 / 2002

Amount of Each Receipt this Period
500.00

FEC ID number of contributing federal political committee.

Name of Employer Self-Employed
Occupation
Trader

Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 500.00

Transaction ID: 0620200246C12731

B. Full Name (Last, First, Middle Initial)
Philip M. Sloum

Mailing Address
25 Union Circle

City State Zip Code
Wheaton IL 60187-

Date of Receipt
M M / D D / Y Y Y Y
05 / 31 / 2002

Amount of Each Receipt this Period
300.00

FEC ID number of contributing federal political committee.

Name of Employer
CBOE
Occupation
Officer

Receipt For: Aggregate Year-to-Date ▼
Primary General
Other (specify) ▼ 300.00

Transaction ID: 0620200246C12743

C.

SUBTOTAL of Receipts This Page (optional)	▶	800.00
TOTAL This Period (last page this line number only)	▶	800.00

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) or each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)				PAGE 6 / 9
	<input type="checkbox"/> 11a 13	<input type="checkbox"/> 11b 14	<input type="checkbox"/> 11c 15	<input type="checkbox"/> 12 16	<input checked="" type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

Full Name (Last, First, Middle Initial)
A. Harris Bank

Mailing Address
P. O. Box 755

City State Zip Code
Chicago IL 60690-

FEC ID number of contributing federal political committee.

Name of Employer Occupation
Other Receipt

Receipt For: Aggregate Year-to-Date ▼
Primary General Other (specify) ▼

Date of Receipt
M / D / Y Y Y Y
05 / 31 / 2002

Amount of Each Receipt this Period
578.54

Transaction ID: 0815200233C1403

B.

C.

SUBTOTAL of Receipts This Page (optional)	▶	578.54
TOTAL This Period (last page this line number only)	▶	578.54

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 7 / 9

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
<input type="checkbox"/> 29				

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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

Full Name (Last, First, Middle Initial) A. Gephardt In Congress Committee			Date of Disbursement 05 / 28 / 2002	
Mailing Address 7435 Watson Rd. Suite 107 City State Zip Code Saint Louis MO 63119-			Amount of Each Disbursement this Period 2000.00	
Purpose of Disbursement 2 HOUSE-DEMOCRAT		002 Category/ Type	2 HOUSE-DEMOCRAT	
Candidate Name			Transaction ID: 0820200248E612	
Office Sought: House Senate President	Disbursement For: <input checked="" type="checkbox"/> Primary General Other (specify) ▼			
State: District:				

Full Name (Last, First, Middle Initial) B. Gephardt In Congress Committee			Date of Disbursement 05 / 28 / 2002	
Mailing Address 7435 Watson Rd. Suite 107 City State Zip Code Saint Louis MO 63119-			Amount of Each Disbursement this Period 5000.00	
Purpose of Disbursement 2-HOUSE-DEMOCRAT		002 Category/ Type	2-HOUSE-DEMOCRAT	
Candidate Name			Transaction ID: 0820200248E611	
Office Sought: House Senate President	Disbursement For: Primary General <input checked="" type="checkbox"/> Other (specify) ▼ General			
State: District:				

Full Name (Last, First, Middle Initial) C. Grassley Committee			Date of Disbursement 05 / 08 / 2002	
Mailing Address P. O. Box 6193 City State Zip Code Alexandria VA 22306-			Amount of Each Disbursement this Period 2000.00	
Purpose of Disbursement 2 Primary S-R-IA		004 Category/ Type	2 Primary S-R-IA	
Candidate Name			Transaction ID: 0815200234E764	
Office Sought: House Senate President	Disbursement For: <input checked="" type="checkbox"/> Primary General Other (specify) ▼			
State: District:				

SUBTOTAL of Disbursements This Page (optional) ▶	9000.00
TOTAL This Period (last page this line number only) ▶	

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 6 / 9

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25
<input type="checkbox"/> 26	<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c
				<input type="checkbox"/> 29

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NAME OF COMMITTEE (In Full)
Chicago Board Options Exchange/PAC

Full Name (Last, First, Middle Initial)
A. Hasburt For Congress Committee

Date of Disbursement

05^N / 26^M / 2002^Y

Mailing Address

P. O. Box 625

City

Batavia

State

IL

Zip Code

60510-

Amount of Each Disbursement this Period

5000.00

Purpose of Disbursement

2 GENERAL HOUSE DIS 14 REP

002

Candidate Name

Category/
Type

2 GENERAL HOUSE DIS 14
REP

Office Sought:

House

Senate

President

Disbursement For:

Primary

General

X Other (specify) ▼

General

Transaction ID: 0820200248E613

State:

District:

B.

C.

SUBTOTAL of Disbursements This Page (optional) ▶

5000.00

TOTAL This Period (last page this line number only) ▶

14000.00

