FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) — **TYPE OR PRINT ▼** — Example: If typing, type over the lines. 12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston | MA | 02109-1827 –

2. **FEC IDENTIFICATION NUMBER ▼** — CITY ▲ — STATE ▲ — ZIP CODE ▲

C C00486217

3. IS THIS REPORT ☐ NEW (N) **OR** ☒ AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☐ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

- ☐ Feb 20 (M2)
- ☒ Mar 20 (M3)
- ☐ Apr 20 (M4)
- ☐ May 20 (M5)
- ☐ Jun 20 (M6)
- ☐ Jul 20 (M7)
- ☐ Aug 20 (M8)
- ☐ Sep 20 (M9)
- ☐ Oct 20 (M10)
- ☐ Nov 20 (M11) (Non-Election Year Only)
- ☐ Dec 20 (M12) (Non-Election Year Only)
- ☐ Jan 31 (YE)

(c) 12-Day **PRE**-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on M M / D D / Y Y Y Y in the State of

(d) 30-Day **POST**-Election Report for the: ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on M M / D D / Y Y Y Y in the State of

5. Covering Period 02 / 01 / 2018 through 02 / 28 / 2018

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer: Bakke, Kathy, , ,

Signature of Treasurer: *Bakke, Kathy, , ,* ***[Electronically Filed]*** Date: 05 / 15 / 2018

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office Use Only							

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: 02 / 01 / 2018 To: 02 / 28 / 2018

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2018		95420.47
(b) Cash on Hand at Beginning of Reporting Period	121658.62	
(c) Total Receipts (from Line 19)	30657.80	56895.95
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	152316.42	152316.42
7. Total Disbursements (from Line 31)	29291.66	29291.66
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	123024.76	123024.76
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

[x] This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: 02 / 01 / 2018 To: 02 / 28 / 2018

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	18178.25	29568.76
(ii) Unitemized	12479.55	27327.19
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	30657.80	56895.95
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ▶	30657.80	56895.95
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	30657.80	56895.95
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ▶	30657.80	56895.95

DETAILED SUMMARY PAGE
of Disbursements

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	250.00	250.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶	250.00	250.00
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	29000.00	29000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To: (a) Individuals/Persons Other Than Political Committees	41.66	41.66
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	41.66	41.66
29. Other Disbursements (Including Non-Federal Donations)	0.00	0.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	29291.66	29291.66
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶	29291.66	29291.66

DETAILED SUMMARY PAGE
of Disbursements

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	30657.80	56895.95
34. Total Contribution Refunds (from Line 28(d))	41.66	41.66
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	30616.14	56854.29
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	250.00	250.00
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ▶	250.00	250.00

FEC MISCELLANEOUS TEXT RELATED TO A REPORT, SCHEDULE OR ITEMIZATION

Form/Schedule: **F3XA**
Transaction ID :

This amendment corrects lines 11ai and 11aii.

Form/Schedule:
Transaction ID:

Image# 201805189112136193

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) — PAGE 7 OF 29

☒ 11a ☐ 11b ☐ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MILLER, EDWARD, BRUCE, ,

Mailing Address 481 MUNN ROAD SUITE 225

City: FORT MILL | State: SC | Zip Code: 29715-8462

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 13 / 2018
Transaction ID : A68A998D2A791423E882

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City: FARMINGTON | State: CT | Zip Code: 06032-1468

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Valenti Wealth Management
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 575.00

Date of Receipt: 02 / 13 / 2018
Transaction ID : A702425CDEF0D4215AD2

Amount of Each Receipt this Period: 100.00

☐ Memo Item

Payroll Deduction: $50.00/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
WAGNER JR., WAYNE, DAVID, ,

Mailing Address 11 MIDDLETON DR

City: WILMINGTON | State: DE | Zip Code: 19808-4320

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Vizionary Wealth Management
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 375.00

Date of Receipt: 02 / 01 / 2018
Transaction ID : A108AB9528ACB4729821

Amount of Each Receipt this Period: 125.00

☐ Memo Item

Payroll Deduction: $125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 641.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136194

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☒ 11a ☐ 11b ☐ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Audette, Matt, J, ,

Mailing Address 8183 Doug Hl

City: San Diego | State: CA | Zip Code: 92127-2568

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial, LLC
Occupation (for Individual): Chief Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 624.86

Date of Receipt: 02 / 09 / 2018
Transaction ID : A14DAD448BFD945AEA03

Amount of Each Receipt this Period: 208.18

☐ Memo Item

Payroll Deduction: $208.18/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hecht, Matthew, , ,

Mailing Address 7208 Brice Knoll Ln

City: Charlotte | State: NC | Zip Code: 28269-2962

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Lpl Financial Llc
Occupation (for Individual): VP, Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : AB97361CFEF0240A1BC1

Amount of Each Receipt this Period: 208.32

☐ Memo Item

Payroll Deduction: $104.16/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ho, Peggy, , ,

Mailing Address 9 Donizetti St

City: Wellesley | State: MA | Zip Code: 02482-4822

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): EVP, Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 416.68

Date of Receipt: 02 / 23 / 2018
Transaction ID : A5FE834C4C8864B3085D

Amount of Each Receipt this Period: 208.34

☐ Memo Item

Payroll Deduction: $104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 624.84

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136195

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
PAGE 9 OF 29

☒ 11a ☐ 11b ☐ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kalbaugh, Andy, , ,

Mailing Address 6713 Seton House Ln

City: Charlotte | State: NC | Zip Code: 28277-4519

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): MD, Divisional President NSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 416.68

Date of Receipt: 02 / 23 / 2018
Transaction ID : A20CAF956CC5046B8819

Amount of Each Receipt this Period: 208.34

☐ Memo Item

Payroll Deduction: $104.17/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mochal, Jeff R, , ,

Mailing Address 133 Forsythia Ln

City: Tega Cay | State: SC | Zip Code: 29708-0027

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial, LLC
Occupation (for Individual): SVP, Corporate Communication

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 416.68

Date of Receipt: 02 / 23 / 2018
Transaction ID : A014B0374E3D84D64B84

Amount of Each Receipt this Period: 208.34

☐ Memo Item

Payroll Deduction: $104.17/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oroschakoff, Michelle B, , ,

Mailing Address PO Box 9216

City: Rancho Santa Fe | State: CA | Zip Code: 92067-4216

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial
Occupation (for Individual): MD, Chief Legal Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A5C93E3FB927D4CC7B8B

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 833.34

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136196

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12 [ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Seese, Scott, , ,

Mailing Address 287 Textile Way
Apt 301

City: Fort Mill | State: SC | Zip Code: 29715-4519

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL
Occupation (for Individual): MD, Chief Information Officer

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : AC2811C00CAFE440CB91

Amount of Each Receipt this Period: 416.66

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Waterfield, Brett, , ,

Mailing Address 2533 Stonehenge Dr

City: Aurora | State: IL | Zip Code: 60502-4707

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): SVP, Business Development

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼: 400.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : AFB0EF1D2FA7C4A908E8

Amount of Each Receipt this Period: 200.00

[ ] Memo Item
Payroll Deduction: $100.00/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ARMSTRONG, DAVID, BRUCE, ,

Mailing Address 1701 Duke St
Ste 425

City: Alexandria | State: VA | Zip Code: 22314-3429

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Monument Wealth Management
Occupation (for Individual): Financial Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : AE684E4B072874596A36

Amount of Each Receipt this Period: 166.66

[ ] Memo Item
Payroll Deduction: $83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 783.32

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136197

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
BERK CFP, HARVEY, STUART, ,

Mailing Address 244 NORTH MAIN STREET
BERK WEALTH MANAGEMENT

City: NEW CITY | State: NY | Zip Code: 10956-5302

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Berk Wealth Management
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A0FA4A46D9D8040CE911

Amount of Each Receipt this Period: 166.66

☐ Memo Item

Payroll Deduction: $83.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
BRADY, MICHAEL, S, ,

Mailing Address 780 PARKWAY BLVD.

City: BROOMALL | State: PA | Zip Code: 19008-4212

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial
Occupation (for Individual): Financial Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 500.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : A525838905EFF4A81870

Amount of Each Receipt this Period: 250.00

☐ Memo Item

Payroll Deduction: $125.00/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
BUBB, KERRICK, WALTER, ,

Mailing Address 1782 ORANGE TREE LANE

City: REDLANDS | State: CA | Zip Code: 92374-2856

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): KWB WEALTH MANAGERS GROUP
Occupation (for Individual): Wealth Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 832.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : AE54CC222D85C4FF4840

Amount of Each Receipt this Period: 416.00

☐ Memo Item

Payroll Deduction: $208.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 832.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136198

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
BUDIN, STEVEN, ANDREW, ,

Mailing Address 3145 E. WARM SPRINGS RD. #400

City: LAS VEGAS | State: NV | Zip Code: 89120-3140

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): The Budin Group
Occupation (for Individual): Financial Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : A551AE7FBF7934EDCBD4

Amount of Each Receipt this Period: 208.32

[ ] Memo Item
Payroll Deduction: $104.16/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
CAMPBELL, KELLY, PETER, ,

Mailing Address 700 S WASHINGTON ST STE 220

City: ALEXANDRIA | State: VA | Zip Code: 22314-4287

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Campbell Wealth Management
Occupation (for Individual): CEO

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : AFDFEF4DC7AAB4E82AFA

Amount of Each Receipt this Period: 416.66

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
CARTOLANO, JOHN, MICHAEL, ,

Mailing Address 9059 E BAHIA DR

City: SCOTTSDALE | State: AZ | Zip Code: 85260-1554

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Lpl Financial Llc
Occupation (for Individual): Financial Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 624.99

Date of Receipt: 02 / 12 / 2018
Transaction ID : A72101F5798AA40E897A

Amount of Each Receipt this Period: 208.33

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 833.31

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136199

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
PAGE 13 OF 29

[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DEPROSPERO, KIMBERLY, JOAN, ,

Mailing Address 1525 N PARK DR STE 104

City	State	Zip Code
WESTON	FL	33326-3225

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Financial Strategies Group
Occupation (for Individual): Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 416.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : AED4E06A1B2394AECAC5

Amount of Each Receipt this Period: 208.00

[ ] Memo Item
Payroll Deduction: $104.00/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DUBOSE, TONY, EDWARD, ,

Mailing Address 1250 S PINE ISLAND RD STE 350

City	State	Zip Code
PLANTATION	FL	33324-4440

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Legacy Wealth Management
Occupation (for Individual): Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A693AC531BB214873B60

Amount of Each Receipt this Period: 416.66

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ERRICO CFP AIF, ROBERT, L, ,

Mailing Address 3991 MACARTHUR BLVD STE 250

City	State	Zip Code
NEWPORT BEACH	CA	92660-3039

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Lpl Financial Llc
Occupation (for Individual): Financial Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date: 500.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : A6003255605944B76ADE

Amount of Each Receipt this Period: 250.00

[ ] Memo Item
Payroll Deduction: $125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 874.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136200

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
FREEDMAN CFP, MARC, STUART, ,

Mailing Address 8 ESSEX CENTER DR 3RD FLOOR

City	State	Zip Code
PEABODY	MA	01960-2911

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual)	Occupation (for Individual)
Freedman Financial	President & CEO

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 666.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : A172260D76F8E4FF093B

Amount of Each Receipt this Period: 333.32

☐ Memo Item

Payroll Deduction: $166.66/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
HAMM, WILLIAM, E, ,

Mailing Address 3030 N ROCKY POINT DR W #700

City	State	Zip Code
TAMPA	FL	33607-5907

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual)	Occupation (for Individual)
IFP	CEO

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A6AF8C58ED7AE48C6B85

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
HERBST CFP MBA, DAVID, GEORGE, ,

Mailing Address 15003 SOUTH ROUTE 59

City	State	Zip Code
PLAINFIELD	IL	60544-9539

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual)	Occupation (for Individual)
Lpl Financial Llc	Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : AF8265A2720D94015B84

Amount of Each Receipt this Period: 208.32

☐ Memo Item

Payroll Deduction: $104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 958.30

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136201

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12 ☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
HILLIS, JOHN, L, ,

Mailing Address 333 W SANTA CLARA #604 - BR#2

City: SAN JOSE | State: CA | Zip Code: 95113

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Hillis Financial Services
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 500.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : AF87F1645BFB84AD79A3

Amount of Each Receipt this Period: 250.00

☐ Memo Item
Payroll Deduction: $125.00/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
JOWDY, MICHAEL, WILLIAM, ,

Mailing Address 7777 CENTER AVE. #120

City: HUNTINGTON BEACH | State: CA | Zip Code: 92647-3017

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial
Occupation (for Individual): CFP

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : ACD1451E5C52E498FB02

Amount of Each Receipt this Period: 166.66

☐ Memo Item
Payroll Deduction: $83.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
KAPLAN, SUSAN, CAROL, ,

Mailing Address 2227 WASHINGTON ST STE 300
2 NEWTON EXECUTIVE PARK

City: NEWTON | State: MA | Zip Code: 02462-1417

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A83091BC511FB4AE5AD0

Amount of Each Receipt this Period: 416.66

☐ Memo Item
Payroll Deduction: $208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 833.32

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136202

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
KEEVER IV, G. WARD, , ,

Mailing Address 15 MIDDLETON DR.

City: WILMINGTON | State: DE | Zip Code: 19808-4320

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : A2BC227527C9C4B2A86D

Amount of Each Receipt this Period: 208.32

☐ Memo Item

Payroll Deduction: $104.16/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LA POINTE, DAVID, BLANDIN, ,

Mailing Address 28544 OLD TOWN FRONT ST #201

City: TEMECULA | State: CA | Zip Code: 92590-2756

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): La Pointe Wealth Management
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A2F4694D1C51346CFAF3

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LEIBMAN, MARK, EDWARD, ,

Mailing Address 228 MAIN ST.

City: LOUISVILLE | State: NE | Zip Code: 68037-6032

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : AF785F2DA48034708A5C

Amount of Each Receipt this Period: 208.32

☐ Memo Item

Payroll Deduction: $104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 833.30

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136203

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LEITCH, LAWRENCE, NELSON, ,

Mailing Address 401 WASHINGTON AVE 7TH FL

City: TOWSON | State: MD | Zip Code: 21204-4821

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Synergy Financial Group
Occupation (for Individual): Partner

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 500.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : A30177A6AB502404AA09

Amount of Each Receipt this Period: 250.00

☐ Memo Item

Payroll Deduction: $125.00/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MARSHALL-PENCE, LAILA, SOBHI, ,

Mailing Address 5000 BIRCH STREET #8000

City: NEWPORT BEACH | State: CA | Zip Code: 92660-2158

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Pence Wealth Management
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A15E9500AA6D74C388B6

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MASSARO CHFC, THEODORE, ROCCO, ,

Mailing Address 57 SOUTH MAPLE AVENUE

City: MARLTON | State: NJ | Zip Code: 08053-2031

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): M Financial Planning Services,Inc.
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 500.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : A31D6B9D8D0F940C5912

Amount of Each Receipt this Period: 250.00

☐ Memo Item

Payroll Deduction: $125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 916.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136204

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MCKENNA, TIMOTHY, JOHN, ,

Mailing Address 930 WEST U.S. HWY 30

City: SCHERERVILLE | State: IN | Zip Code: 46375-1551

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial Corporation
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018

Transaction ID : A24DE2E370507492AB68

Amount of Each Receipt this Period: 166.66

☐ Memo Item

Payroll Deduction: $83.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
PANACCIONE CFP, CARLO, ANTHONY, ,

Mailing Address 3 LAGOON DRIVE #110

City: REDWOOD SHORES | State: CA | Zip Code: 94065-1566

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Navigation Group
Occupation (for Individual): Private Wealth Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 12 / 2018

Transaction ID : A15DE17870BA04119A43

Amount of Each Receipt this Period: 166.66

☐ Memo Item

Payroll Deduction: $166.66/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
POWELL CIMA, GREGORY, R., ,

Mailing Address 5825 FELDSPAR WAY

City: BIRMINGHAM | State: AL | Zip Code: 35244-4503

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): fi-Plan Partners
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 416.66

Date of Receipt: 02 / 12 / 2018

Transaction ID : ADFFA120019C64290908

Amount of Each Receipt this Period: 208.33

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 541.65

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136205

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
SHANAHAN, MICHAEL, DENNIS, ,

Mailing Address 12520 High Bluff Dr
Ste 120

City: San Diego | State: CA | Zip Code: 92130-7002

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial LLC
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : ABAF805755FF949B5895

Amount of Each Receipt this Period: 208.32

☐ Memo Item

Payroll Deduction: $104.16/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
SHEPHERD AIF, R. MARK, , ,

Mailing Address 1004 MAIN STREET

City: WINCHESTER | State: MA | Zip Code: 01890-1929

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Shepherd Financial Partners
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 208.00

Date of Receipt: 02 / 12 / 2018
Transaction ID : A3078A99A5C09495497D

Amount of Each Receipt this Period: 104.00

☐ Memo Item

Payroll Deduction: $104.00/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
SOLIS, GREG, ROBERT, ,

Mailing Address 78-075 MAIN STREET SUITE 204

City: LA QUINTA | State: CA | Zip Code: 92253-8961

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Lpl Financial Llc
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 249.99

Date of Receipt: 02 / 12 / 2018
Transaction ID : ABEC411605AFD465C88A

Amount of Each Receipt this Period: 83.33

☐ Memo Item

Payroll Deduction: $83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 395.65

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136206

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
STANISLAWSKI, GARY, M, ,

Mailing Address 7134 S. YALE AVE STE 700

City: TULSA | State: OK | Zip Code: 74136-6354

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Regent Financial Services
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 333.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A1156FC423C1B4138A04

Amount of Each Receipt this Period: 166.66

☐ Memo Item

Payroll Deduction: $83.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
SUPKA, KEVIN, PETER, ,

Mailing Address 100 WITMER ROAD STE 140

City: HORSHAM | State: PA | Zip Code: 19044-2648

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial
Occupation (for Individual): Registered Principal

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A15BD6C420083421493C

Amount of Each Receipt this Period: 416.66

☐ Memo Item

Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
TYE CFP, TOM, MICHAEL, ,

Mailing Address 141 NORTH 3RD STREET

City: DANVILLE | State: KY | Zip Code: 40422-1655

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Tye Financial group
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 666.68

Date of Receipt: 02 / 23 / 2018
Transaction ID : A7005751F078742E293A

Amount of Each Receipt this Period: 333.34

☐ Memo Item

Payroll Deduction: $166.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 916.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136207

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Valenti, Joan, MARIE, ,

Mailing Address 3 FOREST PARK DRIVE

City: FARMINGTON | State: CT | Zip Code: 06032-1468

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Valenti Wealth Management
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 700.00

Date of Receipt: 02 / 23 / 2018
Transaction ID : A4D4C78713F2E484EBBB

Amount of Each Receipt this Period: 250.00

☐ Memo Item
Payroll Deduction: $125.00/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VAN LEEUWEN, KENNETH, WILLIAM, ,

Mailing Address 281 WITHERSPOON ST STE 220

City: PRINCETON | State: NJ | Zip Code: 08540-6300

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Van Leeuwen & Company
Occupation (for Individual): Managing Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 416.66

Date of Receipt: 02 / 23 / 2018
Transaction ID : AE7C5648289E646838E7

Amount of Each Receipt this Period: 416.66

☐ Memo Item
Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VANARSDALE, JUDY, ANN, ,

Mailing Address 21925 W. FIELD PKWY STE 245

City: DEER PARK | State: IL | Zip Code: 60010-7208

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): enRich Private Wealth Management
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 384.60

Date of Receipt: 02 / 23 / 2018
Transaction ID : A5DDE2272FC4B416DB7C

Amount of Each Receipt this Period: 192.30

☐ Memo Item
Payroll Deduction: $96.15/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 858.96

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136208

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VON BORSTEL CFP, WAYNE, , ,

Mailing Address 308 E 3RD ST

City: THE DALLES | State: OR | Zip Code: 97058-2204

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Lpl Financial LLC
Occupation (for Individual): financial planner

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 833.32

Date of Receipt: 02 / 23 / 2018
Transaction ID : A770682EF4175443BA00

Amount of Each Receipt this Period: 416.66

☐ Memo Item
Payroll Deduction: $208.33/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
WILLIAMS CFP, MICHAEL, C. F., ,

Mailing Address 224 VALLEY CREEK BLVD STE 330

City: EXTON | State: PA | Zip Code: 19341-2386

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): First Financial Networks. Inc
Occupation (for Individual): President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼: 666.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : AD8DA656BC60249C8BD4

Amount of Each Receipt this Period: 333.32

☐ Memo Item
Payroll Deduction: $166.66/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
WISE, WARREN, DAVID, ,

Mailing Address 3515 WEST MARKET ST. STE 100

City: GREENSBORO | State: NC | Zip Code: 27403-4439

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Market St. Wealth Management Group
Occupation (for Individual): Financial Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼: 416.64

Date of Receipt: 02 / 23 / 2018
Transaction ID : A386FFBCFB8FC46B8810

Amount of Each Receipt this Period: 208.32

☐ Memo Item
Payroll Deduction: $104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 958.30

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136209

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
PAGE 23 OF 29

[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MUSCHICK, CPA, CFP, MARK STAHLMANN, , ,

Mailing Address 2997 CLARKSON ROAD
SUITE 100

City: CHESTERFIELD | State: MO | Zip Code: 63017-7321

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial
Occupation (for Individual): Business Owner

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼: 5000.00

Date of Receipt: 02 / 15 / 2018
Transaction ID : A77F295C7BD0C437699A

Amount of Each Receipt this Period: 5000.00

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Audette, Matt, J, ,

Mailing Address 8183 Doug Hl

City: San Diego | State: CA | Zip Code: 92127-2568

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): LPL Financial, LLC
Occupation (for Individual): Chief Financial Officer

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼: 833.19

Date of Receipt: 02 / 23 / 2018
Transaction ID : AF2EB01A4453D44048F1

Amount of Each Receipt this Period: 208.33

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
COX III, JAMES, A, ,

Mailing Address 9100 ARBORETUM PKWY STE 255

City: RICHMOND | State: VA | Zip Code: 23236-5408

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Harris Financial
Occupation (for Individual): Advisor

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼: 624.99

Date of Receipt: 02 / 23 / 2018
Transaction ID : AA874639C2A0543FDA31

Amount of Each Receipt this Period: 208.33

[ ] Memo Item
Payroll Deduction: $208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional) ▶ 5416.66

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136210

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
PAGE 24 OF 29

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
WONG CFP, CARY, ANN, ,

Mailing Address 16201 E. INDIANA AVE STE 5220

City: SPOKANE VALLEY | State: WA | Zip Code: 99216-2842

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Wong Financial Services, Inc.
Occupation (for Individual): Advisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 02 / 23 / 2018

Transaction ID : A4FDB0CEE907C4659A5F

Amount of Each Receipt this Period: 125.00

☐ Memo Item

Payroll Deduction: $62.50/Bi-Monthly

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City | State | Zip Code

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual) | Occupation (for Individual)

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt: M M / D D / Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name

Mailing Address

City | State | Zip Code

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual) | Occupation (for Individual)

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼

Date of Receipt: M M / D D / Y Y Y Y

Amount of Each Receipt this Period

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶ 18178.25

Image# 201805189112136211

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A.
Full Name (Last, First, Middle Initial)
Aristotle International
Mailing Address 205 Pennsylvania Ave SE
City: Washington | State: DC | Zip Code: 20003-1164
Purpose of Disbursement: Credit Card Processing Fees
Candidate Name
Category/ Type
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 02 / 21 / 2018
FEC Identification Number: C
Transaction ID : B0D0C1F2DF
Amount of Each Disbursement this Period: 250.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial)
Mailing Address
City | State | Zip Code
Purpose of Disbursement
Candidate Name
Category/ Type
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

C.
Full Name (Last, First, Middle Initial)
Mailing Address
City | State | Zip Code
Purpose of Disbursement
Candidate Name
Category/ Type
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 250.00

TOTAL This Period (last page this line number only) ▶ 250.00

Image# 201805189112136212

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
BRIAN FITZPATRICK FOR CONGRESS

Mailing Address PO BOX 939

City: LANGHORNE | State: PA | Zip Code: 19047

Purpose of Disbursement: Contribution to Committee

Candidate Name: Fitzpatrick, Brian, K., ,

Category/ Type:

Office Sought: ☒ House ☐ Senate ☐ President
State: PA District: 08

Disbursement For: 2018
☒ Primary ☐ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00607416
Transaction ID : BA2AC91B1F

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
DUFFY FOR CONGRESS

Mailing Address PO BOX 538

City: WAUSAU | State: WI | Zip Code: 54402

Purpose of Disbursement: Contribution to Committee

Candidate Name: Duffy, Sean, P., ,

Category/ Type:

Office Sought: ☒ House ☐ Senate ☐ President
State: WI District: 07

Disbursement For: 2018
☐ Primary ☒ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00464339
Transaction ID : B4EB3C3753(

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Hoyer for Congress

Mailing Address 4201 Northview Drive
Suite 220

City: Bowie | State: MD | Zip Code: 20716-2604

Purpose of Disbursement: Contribution to Committee

Candidate Name: Hoyer, Steny, H., ,

Category/ Type:

Office Sought: ☒ House ☐ Senate ☐ President
State: MD District: 05

Disbursement For: 2018
☐ Primary ☒ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00140715
Transaction ID : BF4A4AAD2(

Amount of Each Disbursement this Period: 2000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136213

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
Hoyer for Congress

Mailing Address 4201 Northview Drive
Suite 220

City: Bowie | State: MD | Zip Code: 20716-2604

Purpose of Disbursement: Contribution to Committee

Candidate Name: Hoyer, Steny, H., ,

Office Sought: ☒ House ☐ Senate ☐ President
State: MD District: 05

Disbursement For: 2018
☒ Primary ☐ General
☐ Other (specify)

Category/ Type:

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00140715
Transaction ID : B64546B2069

Amount of Each Disbursement this Period: 3000.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
MODERATE DEMOCRATS PAC

Mailing Address 303 MASSACHUSETTS AVENUE, NE

City: WASHINGTON | State: DC | Zip Code: 20002

Purpose of Disbursement: Contribution to Committee

Candidate Name: MODERATE DEMOCRATS PAC

Office Sought: ☐ House ☐ Senate ☐ President
State: District:

Disbursement For: 2018
☐ Primary ☐ General
☒ Other (specify) Other

Category/ Type:

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00436022
Transaction ID : B748465CEDI

Amount of Each Disbursement this Period: 5000.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
NEW DEMOCRAT COALITION PAC

Mailing Address 700 13TH STREET, NW
SUITE 600

City: Washington | State: DC | Zip Code: 20005-5998

Purpose of Disbursement: Contribution to Committee

Candidate Name: NEW DEMOCRAT COALITION PAC

Office Sought: ☐ House ☐ Senate ☐ President
State: District:

Disbursement For: 2018
☐ Primary ☐ General
☒ Other (specify) Other

Category/ Type:

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00409730
Transaction ID : B4928070CD

Amount of Each Disbursement this Period: 5000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 13000.00

TOTAL This Period (last page this line number only) ▶

Image# 201805189112136214

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
21b 22 ✘ 23 26 27
28a 28b 28c 29 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
ROGER WILLIAMS FOR U S CONGRESS COMMITTEE

Mailing Address P.O. BOX 91061

City: AUSTIN | State: TX | Zip Code: 78709-1061

Purpose of Disbursement: Contribution to Committee

Candidate Name: Williams, Roger, , ,

Category/ Type:

Office Sought: ✘ House ☐ Senate ☐ President
State: TX District: 25

Disbursement For: 2018
☐ Primary ✘ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00498121
Transaction ID : BA63DC4BD8

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
ROGER WILLIAMS FOR U S CONGRESS COMMITTEE

Mailing Address P.O. BOX 91061

City: AUSTIN | State: TX | Zip Code: 78709-1061

Purpose of Disbursement: Contribution to Committee

Candidate Name: Williams, Roger, , ,

Category/ Type:

Office Sought: ✘ House ☐ Senate ☐ President
State: TX District: 25

Disbursement For: 2018
✘ Primary ☐ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00498121
Transaction ID : BE068370599

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
STIVERS FOR CONGRESS

Mailing Address 217 3rd St., SE

City: Washington | State: DC | Zip Code: 20003-1904

Purpose of Disbursement: Contribution to Committee

Candidate Name: Stivers, Steve, E., ,

Category/ Type:

Office Sought: ✘ House ☐ Senate ☐ President
State: OH District: 15

Disbursement For: 2018
☐ Primary ✘ General ☐ Other (specify)

Date of Disbursement: 02 / 27 / 2018

FEC Identification Number: C C00441352
Transaction ID : BFA30BC82

Amount of Each Disbursement this Period: 4000.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 9000.00

TOTAL This Period (last page this line number only) ▶ 29000.00

Image# 201805189112136215

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
21b | 22 | 23 | 26 | 27
✘ 28a | 28b | 28c | 29 | 30b

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NAME OF COMMITTEE (In Full)
LPL Financial LLC Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
OETTINGER, CFP, BRIAN, , ,

Mailing Address 611 Rockville Pike

City: Rockville | State: MD | Zip Code: 20852-1130

Purpose of Disbursement: Refund of Contribution

Candidate Name

Category/ Type

Office Sought: House / Senate / President — State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 02 / 01 / 2018

FEC Identification Number: C

Transaction ID : B61ABAEDF4

Amount of Each Disbursement this Period: 20.83

Memo Item

B. Full Name (Last, First, Middle Initial)
OETTINGER, CFP, BRIAN, , ,

Mailing Address 611 Rockville Pike

City: Rockville | State: MD | Zip Code: 20852-1130

Purpose of Disbursement: Refund of contribution

Candidate Name

Category/ Type

Office Sought: House / Senate / President — State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: 02 / 01 / 2018

FEC Identification Number: C

Transaction ID : BA221786B7E

Amount of Each Disbursement this Period: 20.83

Memo Item

C. Full Name (Last, First, Middle Initial)

Mailing Address

City | State | Zip Code

Purpose of Disbursement

Candidate Name

Category/ Type

Office Sought: House / Senate / President — State: District:

Disbursement For: Primary / General / Other (specify)

Date of Disbursement: M M / D D / Y Y Y Y

FEC Identification Number: C

Amount of Each Disbursement this Period:

Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 41.66

TOTAL This Period (last page this line number only) ▶ 41.66