06/17/2011 15:07

Image# 11931670178

### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

FURINI 3X	For Oth	er Than An	Authorized	Committ	ee		Office Use On	ly
NAME OF     COMMITTEE (in full)		MAILING LABE OR PRINT		mple:If typing the lines	ı, type			
Novartis Corporation		ommittee						
ADDRESS (number and st	reet) 701 P	ennsylvania Ave.	NW Suite 725	; 	1 1 1 1			
Check if differer than previously reported. (ACC)	ı Wash	ington				DC	20004	2608
2. <b>FEC IDENTIFICATIO</b>	ON NUMBER	<b>~</b>	CITY 🛦			STATE	ZIPC	CODE A
C00033969		3	B. IS THIS REPORT		NEW (N) OR	A	MENDED A)	
4. TYPE OF REPOF (Choose One)  (a) Quarterly Repor  April 15 Quarterly F  July 15 Quarterly F  October 15 Quarterly F  January 31 Quarterly F  July 31 Mic Report(Nor Year Only)  Termination (TER)	ts:  Report(Q1)  Report(Q2) Report(Q3)  Report(YE)  d-Year n-election (MY)	PRE-Election Report for th  Barbara Support  30-Day Post -Election Report for the	e:	X	12C)	Sep	in th Stat 30R)	Special (30S)
5. Covering Period	05	1 2011		through	0.5	3 1	2011	
Type or Print Name of Tre		d to the best of m Casserly	y knowledge a	nd belief it is	true, correct	and complete		
Signature of Treasurer	Electronically File	d by Dan Cas	serly			Date 0 6	17	2011
NOTE : Submission of fal	se, erroneous, or i	ncomplete inforn	nation may sub	ject the pers	on signing th	is Report to th	e penalties of 2	U.S.C 437g.
Office Use							FEC FO	

FE6AN026

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

OF RECEIPTS AND DISBURSEMENTS

Page 2

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand  January 1 2011 Y Y Y		132644.07
	(b) Cash on Hand at Begining of Reporting Period	100493.15	
	(c) Total Receipts (from Line 19)	25825.87	131789.95
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	126319.02	264434.02
	Total Disbursements (from Line 31)	2126.10	140241.10
•	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	124192.92	124192.92
	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
0.	Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

> Toll Free 800-424-9530 Local 202-694-1100

### DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) Page 3

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period:

From: 0 5

D D D 1

2 0 1 1

Та.

м м 0 5 <sup>D</sup> 31

Y Y Y Y 2 0 1 1

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:     (a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	6878.67	22150.47
(ii) Unitemized	18947.20	
(iii) TOTAL (add Lines 11(a)(i) and (ii)	25825.87	131539.95
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)(d) Total Contributions (add Lines	0.00	0.00
11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	25825.87	131539.95
Transfers From Affiliated/Other     Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
Loan Repayments Received     Offsets To Operating Expenditures	0.00	0.00
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	250.00
to Federal candidates and Other Political Committees	0.00	0.00
7. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
<ol> <li>Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))</li> </ol>	25825.87	131789.95
. Total Federal Receipts (subtract Line 18(c) from Line 19)	25825.87	131789.95

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### DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003) Page 4

	II. DISBURSEMENTS	COLUMN A	COLUMN B
1 0	perating Expenditures:	Total This Period	Calendar Year-to-Date
1. O	) Shared Federal/Non-Federal		
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share		
	(ii) Non-Federal Share	0.00	0.00
(b	) Other Federal Operating	20.00	1045.00
/-	Expenditures	30.00	1045.00
(c	(add 21(a)(i), (a)(ii) and (b))	30.00	1045.00
2. Tr	ransfers to Affiliated/Other Party		
_	ommittees	0.00	0.00
	ontributions to ederal Candidates/Committeesd Other Political Committees	0.00	137000.00
	d Other Political Committeesd	0.00	137000.00
(u	se Schedule E)	0.00	0.00
25. C	oordinated Expenditures Made by Party	0.00	0.00
(u	ommittees (2 U.S.C. 441a(d)) se Schedule F)	0.00	0.00
.6. La	pan Repayments Made	0.00	0.00
	, .,		
	pans Madeefunds of Contributions To:	0.00	0.00
	) Individuals/Persons Other	0.00	0.00
	Than Political Committees	0.00	0.00
(b	) Political Party Committees	0.00	0.00
(c	, , , , , , , , , , , , , , , , , , ,	0.00	0.00
(d	(such as PACs)	0.00	0.00
(Δ	(add Lines 28(a), (b), and (c))	0.00	0.00
9. O	ther Disbursements	2096.10	2196.10
0. F	ederal Election Activity (2 U.S.C 431(20))		
(	a) Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share	0.00	0.00
	(ii) "Levin" Share	0.00	0.00
(	b) Federal Election Activity Paid Entirely	2.22	
,	With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add	0.00	0.00
	Lines 30(a)(i), 30(a)(ii) and 30(b))		0.00
)1 T	Total Diabura amonto (add Lines 21/a) 22		
	otal Disbursements (add Lines 21(c), 22,	2126.10	140241.10
2	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	2120.10	110271110
32.	Total Federal Disbursements		
(	subtract Line 21(a)(ii) and Line 30(a)(ii)		
f	rom Line 31)	2126.10	140241.10

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	25825.87	131539.95
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	25825.87	131539.95
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	30.00	1045.00
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	250.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	30.00	795.00

FE6AN026

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 32 (check only one)    X
Any information copied from such Reports a or for commercial purposes, other than using NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	nd Statements may not be sold or used by any perso g the name and address of any political committee to on Committee	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial)  Michael Banko  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936  C Occupation	Date of Receipt    M   M   D   D   Y   Y   Y   Y   Y   Y   Y   Y
Receipt For: Primary General Other (specify)	Executive  Aggregate Year-to-Date ▼  250.00	
Full Name (Last, First, Middle Initial) Tracy L Baroni Allmon  Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  0 5 3 1 2 0 1 1
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Transaction ID: A2011-1230251  Amount of Each Receipt this Period  100.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Executive  Aggregate Year-to-Date ▼  500.00	
Full Name (Last, First, Middle Initial) Elisa Bauer Mailing Address One Health Plaza		Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2011-1228819  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals	Occupation	50.00
Receipt For:  Primary General  Other (specify) ▼	Executive  Aggregate Year-to-Date ▼  250.00	
SUBTOTAL of Receipts This Page (option	al)	200.00

I	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Statomonto mo	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 32 (check only one)    X   11a
7	Any information copied from such Reports and Sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (	e name and ad	ly not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
A.	Full Name (Last, First, Middle Initial)  Michael Beck  Mailing Address One Health Plaza	Chata	7in Codo	Date of Receipt    M   M   D   D   Y   Y   Y   Y   Y   Y   Y   Y
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229556  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	07000	75.00
	Name of Employer Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executiv Aggregate		]
- В.	Full Name (Last, First, Middle Initial) Peri K Bonner Mailing Address One Health Plaza			Date of Receipt  0 5 3 1 2 0 1 1
	City	State	Zip Code	Transaction ID: A2011-1229083
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		58.99
	Name of Employer Novartis Pharmaceuticals	Occupation Executiv	е	
	Receipt For:  Primary General  Other (specify) ♥	Aggregate	e Year-to-Date ▼ 292.17	
С.	Full Name (Last, First, Middle Initial) Leonard J Brandt Mailing Address One Health Plaza			Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: A2011-1229360
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executiv	е	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	
	SUBTOTAL of Receipts This Page (optional) .			183.99
	TOTAL This Period (last page this line number	r only)		

Any information copied from such Reports and Statements may not be sold or or for commercial purposes, other than using the name and address of any polity or for commercial purposes, other than using the name and address of any polity or for commercial purposes, other than using the name and address of any polity or for commercial purposes, other than using the name and address of any polity or for commercial purposes, other than using the name and address of any polity or for committee.  Full Name (Last, First, Middle Initial)  East Hanover	chedule(s) ory of the larry Page  FOR LINE NUMBER: PAGE 8/32 (check only one)  X 11a 11b 11c 12 13 14 15 16 17
A. Ellen M Browne Mailing Address One Health Plaza  City State Zip Code East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial)  Thomas R Brunner Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  Receipt For: Aggregate Year-to-Date Aggregate Year-to-Date City State Zip Code East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Aggregate Year-to-Date City State Zip Code East Hanover Novartis Pharmaceuticals  Receipt For: Aggregate Year-to-Date City State Zip Code East Hanover Novartis Pharmaceuticals  Receipt For: Aggregate Year-to-Date City State Zip Code East Hanover Novartis Pharmaceuticals  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Cuty State Zip Code NJ 07936	ed by any person for the purpose of soliciting contributions all committee to solicit contributions from such committee.
A. Ellen M Browne Mailing Address One Health Plaza  City State Zip Code East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  C. Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  C Scupation Executive  Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  C State Zip Code NJ 07936  C C Cocupation Executive  Receipt For: Primary General Other (specify) ▼  C. Name of Employer Novartis Pharmaceuticals  C C C Cocupation Executive	
City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Aggregate Year-to-Date Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza  City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Aggregate Year-to-Date Coccupation Executive  Coccupation Executive  Coccupation Executive  Coccupation Executive  Coccupation Executive  Primary General Ofter (specify) ▼  Coccupation Executive  Coccupation Executive  Coccupation Executive  Name of Employer Novartis Pharmaceuticals  Coccupation Executive	Date of Receipt
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza  City State Zip Code East Hanover Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  C  State Zip Code NJ 07936  C  C  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code East Hanover Aggregate Year-to-Date ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  C  L  C  C  C  C  C  C  C  C  C  C  C	05 31 2011
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  Executive Aggregate Year-to-Date ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  FEC ID number of contributing federal political committee.  C Name of Employer Novartis Pharmaceuticals  C Occupation Executive	Transaction ID: A2011-1229427  Amount of Each Receipt this Period
Receipt For:  Primary Other (specify)  Full Name (Last, First, Middle Initial) Thomas R Brunner Mailing Address One Health Plaza  City East Hanover Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) General Other (specify)  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code NJ 07936  C  C  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City East Hanover  C  State Zip Code NJ 07936  C  C  C  C  C  C  C  C  C  C  C  C  C	50.00
Primary	
Thomas R Brunner  Mailing Address One Health Plaza  City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Ccupation  Ccupation  Ccupation  Ccupation  Ccupation  Executive	250.00
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify) ▼  Full Name (Last, First, Middle Initial)  Jonca C Bull  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Ccupation  Executive  State  Zip Code  NJ  07936	Date of Receipt
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull  Mailing Address One Health Plaza  City State Zip Code East Hanover  NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Ccupation  State Zip Code NJ 07936  Ccupation Ccupation Executive	05 31 7 2011
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  C C	Transaction ID: A2011-1229750
Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify) ▼  Full Name (Last, First, Middle Initial)  Jonca C Bull  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Executive  Aggregate Year-to-Date  Aggregate Year-to-Date  C primary  Aggregate Year-to-Date  Aggregate Year-to-Date  C primary  Coty  State Zip Code  NJ 07936  C primary  C primary  Occupation  Executive	Amount of Each Receipt this Period  60.00
Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial) Jonca C Bull Mailing Address One Health Plaza  City State Zip Code East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Occupation Executive	
Donca C Bull  Mailing Address One Health Plaza  City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Occupation Executive	300.00
City State Zip Code  East Hanover NJ 07936  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Occupation Executive	Date of Receipt
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Occupation Executive	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Occupation Executive	Transaction ID: A2011-1230204
Executive	Amount of Each Receipt this Period  50.00
Receipt For: Aggregate Year-to-Date	
Primary General Other (specify) ▼	250.00
SUBTOTAL of Receipts This Page (optional)	160.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	<b>^</b> )	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9/32   (check only one)   X   11a
Any information copied from such Reports are or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions oslicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee		
Full Name (Last, First, Middle Initial) James P Carey			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y O D D / 2 D 1 1
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229549  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		102.08
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 499.32	
Full Name (Last, First, Middle Initial) Daniel P Casserly	I		Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: A2011-1229116
East Hanover  FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 300.00
Name of Employer Novartis Services Incorpo-	Occupatio Executive		
rated Receipt For:  Primary General  Other (specify) ▼	<del></del> '	Year-to-Date ▼ 1400.00	
Full Name (Last, First, Middle Initial) Atindra N Chaturvedi			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229298  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 250.00	
SUBTOTAL of Receipts This Page (optional			452.08

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 10 / 32 (check only one)    X
Any information copied from such Reports ar or for commercial purposes, other than using  NAME OF COMMITTEE (In Full)  Novartis Corporation Political Actio	d Statements may not be sold or used by any personant the name and address of any political committee to a Committee	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Barbara Christensen-Boner Mailing Address One Health Plaza		Date of Receipt  0 5 3 1 2 0 1 1
City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID: A2011-1228849  Amount of Each Receipt this Period
federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify)	Occupation Executive  Aggregate Year-to-Date  280.14	56.58
Full Name (Last, First, Middle Initial) Christina M Clinton Mailing Address One Health Plaza		Date of Receipt    M
City  East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2011-1230078  Amount of Each Receipt this Period  50.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive  Aggregate Year-to-Date   250.00	
Full Name (Last, First, Middle Initial)  Mary Coen  Mailing Address 608 Fifth Avenue		Date of Receipt  0 5 0 6 2 0 1 1
City New York	State Zip Code NY 10020	Transaction ID: A2011-1237918  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Novartis Corporation Receipt For:	Occupation Manager  Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	
SUBTOTAL of Receipts This Page (optional	I)	356.58

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 32 (check only one)  X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports a or for commercial purposes, other than using NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	nd Statements may not be sold or used by any perso the name and address of any political committee to on Committee	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Julie A Collins  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer CIBA Vision Corporation  Receipt For: Primary General	State Zip Code NJ 07936  C  Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt  M M M / D D / Y Y Y Y Y  Transaction ID: A2011-1228702  Amount of Each Receipt this Period  100.00
Full Name (Last, First, Middle Initial) Michael A Conley Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals	State Zip Code NJ 07936  C  Occupation Executive	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Receipt For: Primary General Other (specify)  Full Name (Last, First, Middle Initial) Eric Dammeyer Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.	Aggregate Year-to-Date ▼  250.00  State Zip Code NJ 07936  C	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Name of Employer Novartis Pharmaceuticals  Receipt For: Primary General Other (specify)	Occupation Executive  Aggregate Year-to-Date   300.00	
SUBTOTAL of Receipts This Page (options	al)	210.00

SCHEDULE A (FEC Form	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 12 / 32 (check only one)    X
Any information copied from such Reports or for commercial purposes, other than us  NAME OF COMMITTEE (In Full)  Novartis Corporation Political Act	and Statements may not be sold or used by any persong the name and address of any political committee to tion Committee	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Candace B Dibblee Mailing Address One Health Plaze	1	Date of Receipt
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2011-1229447  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:  Primary General	Occupation Executive Aggregate Year-to-Date ▼	250.00
Other (specify) ▼  Full Name (Last, First, Middle Initial) David P Drake  Mailing Address One Health Plaze	1250.00	Date of Receipt
City  East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID: A2011-1229297  Amount of Each Receipt this Period  50.00
Name of Employer Novartis Services Incorporated Receipt For:  Primary General Other (specify) ▼	Occupation Executive  Aggregate Year-to-Date   250.00	
Full Name (Last, First, Middle Initial)  James R Elkin  Mailing Address One Health Plaza	a l	Date of Receipt  0 5 3 1 2 0 1 1
City East Hanover	State Zip Code NJ 07936	Transaction ID: A2011-1228898  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer	Occupation	416.00
Novartis Services Incorporated Receipt For: Primary General Other (specify)	Executive  Aggregate Year-to-Date   2080.00	]
SUBTOTAL of Receipts This Page (option	onal)	716.00

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 13 / 32 (check only one)    X   11a
Any information copied from such Reports ar or for commercial purposes, other than using NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	d Statements may not be sold or used by any pers the name and address of any political committee to n Committee	son for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) David R Epstein Mailing Address One Health Plaza		Date of Receipt
City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID: A2011-1229340 Amount of Each Receipt this Period
federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:  Primary General Other (specify) ▼	Occupation Executive  Aggregate Year-to-Date   500.00	100.00
Full Name (Last, First, Middle Initial) Christopher Esposito Mailing Address One Health Plaza		Date of Receipt  0 5 3 1 2 0 1 1
City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID: A2011-1229580  Amount of Each Receipt this Period
federal political committee.  Name of Employer Novartis Pharmaceuticals	Occupation Executive	75.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  375.00	
Full Name (Last, First, Middle Initial) H. P Frederick		Date of Receipt
Mailing Address One Health Plaza  City	State Zip Code	0 5 3 1 2 0 1 1 Transaction ID: A2011-1229200
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 54.35
Name of Employer Novartis Pharmaceuticals	Occupation Executive	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  268.59	
SUBTOTAL of Receipts This Page (options	l)	229.35

	EDULE A (FEC Form 3X) IZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 32 (check only one)    X
or for co	rmation copied from such Reports and S mmercial purposes, other than using the IE OF COMMITTEE (In Full)	tatements may name and add	y not be sold or used by any persidress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Nov	artis Corporation Political Action C	Committee		
Debr	Name (Last, First, Middle Initial) a E Freire			Date of Receipt
	ng Address One Health Plaza			05 31 2011
City Fas	t Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229373  Amount of Each Receipt this Period
FEC	ID number of contributing all political committee.	C	0.000	50.00
Nam Nova	e of Employer rrtis Pharmaceuticals	Occupatio Executive		
Rece	eipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	
. Neely	Name (Last, First, Middle Initial) y T Frye ng Address One Health Plaza			Date of Receipt
iviaiiii ——	ng Address One Health Plaza			05 31 2011
City	t Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229242  Amount of Each Receipt this Period
FEC	ID number of contributing all political committee.	C	07330	125.06
Nam Nova	e of Employer rtis Pharmaceuticals	Occupatio Executive		
Rece	eipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 620.40	
	Name (Last, First, Middle Initial)			Date of Receipt
Maili	ng Address One Health Plaza			05 31 YYYY 2011
City	t Llanavor	State	Zip Code	Transaction ID: A2011-1229090
FEC	t Hanover  ID number of contributing ral political committee.	C	07936	Amount of Each Receipt this Period
Nova <u>ratec</u>		Occupatio Executive	e	
Rece	eipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 500.00	
SUBTO	<b>DTAL</b> of Receipts This Page (optional)			275.06

	CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 32 (check only one)    X
0	ny information copied from such Reports and r for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	Novartis Corporation Political Action	Committee		
	Full Name (Last, First, Middle Initial) Brian M Goff			Date of Receipt
	Mailing Address One Health Plaza			05 31 2011
	City	State	Zip Code	Transaction ID: A2011-1230166
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	
_	Full Name (Last, First, Middle Initial) David E Gulick			Date of Receipt
	Mailing Address One Health Plaza			05 31 7 2011
	City	State	Zip Code	Transaction ID: A2011-1228888
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive	е	
	Receipt For: Primary General	Aggregate	e Year-to-Date ▼	-
	Other (specify)	0 0	250.00	
	Full Name (Last, First, Middle Initial) Kurt Habel			Date of Receipt
	Mailing Address One Health Plaza			05 31 YYYY 2011
	City	State	Zip Code	Transaction ID: A2011-1229293
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive	е	
	Receipt For:  Primary  General	Aggregate	e Year-to-Date ▼	-
	Other (specify)		250.00	
	SUBTOTAL of Receipts This Page (optional) .	1		150.00

ITEMIZED RE		monte mou	Use separate schedule(s) for each category of the Detailed Summary Page	(check (X) 11	3 14 15 16 17
or for commercial pu	rposes, other than using the nam	ne and add	ress of any political committee t	o solicit con	ourpose of soliciting contributions stributions from such committee.
Full Name (Last, Sarah E Haller Mailing Address City	First, Middle Initial) One Health Plaza	State	Zip Code	M 0 5	e of Receipt  5
East Hanover FEC ID number of	f contributing	NJ C	07936		punt of Each Receipt this Period 50.00
Name of Employe Novartis Services rated Receipt For: Primary Other (spec	r Incorpo- E A General	Occupation Executive			
Full Name (Last, Joseph P Hazelton Mailing Address	First, Middle Initial) One Health Plaza				e of Receipt  3 1 2 0 1 1
City		State	Zip Code	_	saction ID: A2011-1229276
East Hanover FEC ID number of federal political co	f contributing	C C	07936	Amo	ount of Each Receipt this Period 40.93
Name of Employe Novartis Pharmac Receipt For:		Decupation Executive			
Primary Other (spec	General	riggrogato	201.95		
Full Name (Last, Debbie L Henderso Mailing Address	First, Middle Initial) on One Health Plaza				e of Receipt  3 1
City		State	Zip Code		saction ID: A2011-1229705
East Hanover FEC ID number of federal political co	f contributing	NJ C	07936	Amo	ount of Each Receipt this Period 75.00
Name of Employe Novartis Pharmac	er Ceuticals	Dccupation Executive			
Receipt For: Primary Other (spec	General		Year-to-Date ▼ 375.00		
SUBTOTAL of Rec	eipts This Page (optional)			<u> </u>	165.93
TOTAL This Period	(last page this line number only)	)		$\overline{}$	

ITEMI	EDULE A (FEC Form 3X) ZED RECEIPTS  rmation copied from such Reports and St	atements ma	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17/32 (check only one)  X 11a 11b 11c 12 13 14 15 16 17 on for the purpose of soliciting contributions
or for co	mmercial purposes, other than using the E OF COMMITTEE (In Full) artis Corporation Political Action C	name and add	dress of any political committee to	solicit contributions from such committee.
A. Cynth	Name (Last, First, Middle Initial) nia Hogan ng Address One Health Plaza			Date of Receipt    M
City		State	Zip Code	Transaction ID: A2011-1228744
FEC	t Hanover  ID number of contributing al political committee.	NJ C	07936	Amount of Each Receipt this Period  50.00
	e of Employer ritis Pharmaceuticals  ipt For: Primary General Other (specify)	Occupatio Executive Aggregate		
B. Wood	Name (Last, First, Middle Initial) dson M Hopkins ng Address One Health Plaza			Date of Receipt  0 5 3 1 2 0 1 1
City		State	Zip Code	Transaction ID: A2011-1229635
East	t Hanover	NJ	07936	Amount of Each Receipt this Period
	ID number of contributing al political committee.	C		50.00
	e of Employer rrtis Pharmaceuticals	Occupatio Executive	е	
Hece	ipt For: Primary General Other (specify) ♥	Aggregate	e Year-to-Date ▼ 250.00	]
C. Sheld	Name (Last, First, Middle Initial) don Jones ng Address One Health Plaza			Date of Receipt
	Ig Addicess Offe Health Flaza			05 31 7 2011
City	t Hanover	State	Zip Code	Transaction ID: A2011-1228882
FEC	ID number of contributing al political committee.	NJ C	07936	Amount of Each Receipt this Period  155.77
<u>rated</u>		Occupatio Executive		
Rece	ipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 759.79	
SUBTO	TAL of Receipts This Page (optional)			255.77
TOTAL	. This Period (last page this line number of	only)		

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 18 / 32 (check only one)  X 11a 11b 11c 12 13 14 15 16 17
\	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action C	name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
∠ <b>A</b> .	Full Name (Last, First, Middle Initial)  Karen L Key	Johnnitee		Date of Receipt
м.	Mailing Address One Health Plaza			0 5 3 1 2 0 1 1
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1230029  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary  General  Other (specify)	Aggregate	e Year-to-Date ▼ 250.00	
В.	Full Name (Last, First, Middle Initial) Richard E Knapp  Mailing Address One Health Plaza			Date of Receipt
	City	State	Zip Code	0 5 3 1 2 0 1 1 Transaction ID: A2011-1229043
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		200.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 1000.00	
_ С.	Full Name (Last, First, Middle Initial) Mildred O Kowalski			Date of Receipt
	Mailing Address One Health Plaza			05 31 YYYYY 2011
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229562  Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive		
	Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 250.00	
	SUBTOTAL of Receipts This Page (optional)			300.00
t	TOTAL This Period (last page this line number		<u> </u>	

CHEDULE A (FEC Form 3X) FEMIZED RECEIPTS	for each o	rate schedule(s) category of the Summary Page	FOR LINE NUMBER: PAGE 19 / 32 (check only one)  X 11a 11b 11c 12 15 16
ny information copied from such Reports and r for commercial purposes, other than using to NAME OF COMMITTEE (In Full)	ne name and address of any p	or used by any person political committee to s	for the purpose of soliciting contributions
Novartis Corporation Political Action	Committee		
Full Name (Last, First, Middle Initial) Keith A LaDue			Date of Receipt
Mailing Address One Health Plaza			05 31 2011
City	State Zip Cod	le	Transaction ID: A2011-1229283
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:	Aggregate Year-to-Date	e <b>▼</b>	1
Primary General Other (specify) ▼		250.00	
Full Name (Last, First, Middle Initial) Richard E Lemire			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Cod	le	Transaction ID: A2011-1228723
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		90.54
Name of Employer Novartis Pharma Suffern	Occupation Executive		
Receipt For:	Aggregate Year-to-Date	e <b>▼</b>	1
Primary General Other (specify)		447.42	
Full Name (Last, First, Middle Initial) Jeffrey W Lockwood	1		Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Cod	le	Transaction ID: A2011-1229434
East Hanover	NJ 07936		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		50.00
Name of Employer NIBRI	Occupation Executive		
Receipt For:	Aggregate Year-to-Date	e <b>▼</b>	
Primary ☐ General Other (specify) ▼	0 0 0 0	250.00	
SUBTOTAL of Receipts This Page (optional)	l		190.54

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	λ)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 20/32   (check only one)   X   11a
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) Brenda Luckritz			Date of Receipt
Mailing Address One Health Plaza			05 31 2011
City	State	Zip Code	Transaction ID: A2011-1228895
East Hanover FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 416.00
Name of Employer Novartis Services Incorpo- rated	Occupatio Executive	e	
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 2080.00	
Full Name (Last, First, Middle Initial) Mary L Manning			Date of Receipt
Mailing Address One Health Plaza			05 31 YYYY 2011
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229615
FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period 83.33
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 416.65	
Full Name (Last, First, Middle Initial) William R Matthews			Date of Receipt
Mailing Address One Health Plaza			0 5 3 1 2 0 1 1
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229227
FEC ID number of contributing federal political committee.	C	0/936	Amount of Each Receipt this Period 42.10
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 209.66	
SUBTOTAL of Receipts This Page (optional	l)	<b>_</b>	541.43

SCHEDULE A (FEC Form 3 ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 21 / 32 (check only one)  X 11a 11b 11c 12 13 14 15 16 11
Any information copied from such Reports a or for commercial purposes, other than usin NAME OF COMMITTEE (In Full)  Novartis Corporation Political Acti	nd Statements may not be sold or used by any pers g the name and address of any political committee to on Committee	son for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Catharine M McGeehan Mailing Address One Health Plaza  City East Hanover	State Zip Code NJ 07936	Date of Receipt    M M M
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  75.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Executive  Aggregate Year-to-Date ▼  375.00	
Full Name (Last, First, Middle Initial) William D McLaury Mailing Address One Health Plaza		Date of Receipt  0 5 3 1 2 0 1 1
City  East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Transaction ID: A2011-1229117  Amount of Each Receipt this Period  100.00
federal political committee.  Name of Employer Novartis Pharmaceuticals	Occupation Executive	100.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Brian J McNamara  Mailing Address One Health Plaza		Date of Receipt
Mailing Address One Health Plaza  City	State Zip Code	0 5 3 1 2 0 1 1 Transaction ID: A2011-1228710
East Hanover FEC ID number of contributing	NJ 07936	Amount of Each Receipt this Period
federal political committee.  Name of Employer Novartis Consumer Health	Occupation Sr. Vice President OTC	100.00
Inc. Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼  500.00	
SUBTOTAL of Receipts This Page (option	al)	275.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 / 32 (check only one)  X 11a 11b 11c 12  13 14 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action C	name and address of any political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Wayne P Merkelson Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Finance Corporation Receipt For: Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Executive  Aggregate Year-to-Date   375.00	Date of Receipt  M M M / D D / Y Y Y Y Y  Transaction ID: A2011-1229381  Amount of Each Receipt this Period  75.00
Full Name (Last, First, Middle Initial) Glenn H Morton  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Executive Aggregate Year-to-Date  777.50	Date of Receipt  M M M / D D / Y Y Y Y Y  0 5 3 1 2 0 1 1  Transaction ID: A2011-1229270  Amount of Each Receipt this Period  156.12
Full Name (Last, First, Middle Initial) Marion T Morton  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C Occupation Executive Aggregate Year-to-Date  500.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	331.12

	E A (FEC Form 3X) RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 23 / 32 (check only one)    X
or for commerci	al purposes, other than using the OMMITTEE (In Full)	name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (L	orporation Political Action C ast, First, Middle Initial)	committee		
Vas Narasiml Mailing Addr		/e		Date of Receipt  0 5 0 6 2 0 1 1
City		State	Zip Code	Transaction ID: A2011-1237858
<u>Cambridge</u>	9	MA	02139	Amount of Each Receipt this Period
	ber of contributing cal committee.	C		100.00
Name of Em Novartis Vac ostics Receipt For:	ployer cines & Diagn-		n Head of North America e Year-to-Date ▼	
Primar	y General (specify) <b>▼</b>	Aggregate	500.00	
John Chong I				Date of Receipt
Mailing Addr	ess 350 Massachusetts Av 350 MA # 212F			05 06 2011
City Cambridge	,	State MA	Zip Code 02139	Transaction ID: A2011-1237861
FEC ID num	ber of contributing cal committee.	C	02139	Amount of Each Receipt this Period  70.00
Name of Em Novartis Vac ostics	ployer ccines & Diagn-	Occupation Dir Mark		
Receipt For: Primar Other	y General (specify) ♥	Aggregate	e Year-to-Date ▼ 310.00	
Full Name (L Hugh M O'Do	ast, First, Middle Initial)	1		Date of Receipt
Mailing Addr	ess One Health Plaza			05 31 YYYYY 2011
City		State	Zip Code	Transaction ID: A2011-1229051
East Hano		NJ	07936	Amount of Each Receipt this Period
	ber of contributing cal committee.	C		50.00
	ployer irmaceuticals	Occupation Executive	e	
Receipt For: Primar Other	y General (specify) ♥	Aggregate	e Year-to-Date ▼ 250.00	
OUDTOTAL	Receipts This Page (optional)			220.00

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 24 / 32 (check only one)    X
Any information copied from such Reports and or for commercial purposes, other than using to NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	the name and add	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions
Full Name (Last, First, Middle Initial) Shawn O'Neal Mailing Address 14491 Creek Branch City	ı Ct	Zip Code	Date of Receipt    M
Fairfax  FEC ID number of contributing federal political committee.	VA C	20120	Transaction ID: A2011-1230255  Amount of Each Receipt this Period  100.00
Name of Employer COMMERCIAL OPS-PRIM CARE ANDSPEC Receipt For:  ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Executive Aggregate		
Full Name (Last, First, Middle Initial) Serafina Oxner  Mailing Address One Health Plaza			Date of Receipt  0 5 3 1 2 0 1 1
City East Hanover  FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2011-1229404  Amount of Each Receipt this Period  50.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Executive Aggregate		
Full Name (Last, First, Middle Initial) Paul G Pochtar Mailing Address One Health Plaza			Date of Receipt
City  East Hanover  FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2011-1229308  Amount of Each Receipt this Period  100.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Executive Aggregate		
SUBTOTAL of Receipts This Page (optional)	)		250.00

	SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 25 / 32 (check only one)    X
C	Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	on for the purpose of soliciting contributions o solicit contributions from such committee.		
	Novartis Corporation Political Action	Committee		
۸.	Full Name (Last, First, Middle Initial) Diana Potter  Mailing Address One Health Plaza	Date of Receipt		
				05 31 2011
	City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229304
	FEC ID number of contributing federal political committee.	C	07930	Amount of Each Receipt this Period  50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	
- 3.	Full Name (Last, First, Middle Initial) Brian C Prout	Date of Receipt		
	Mailing Address One Health Plaza	05 31 YYYY 2011		
	City	State	Zip Code	Transaction ID: A2011-1230067
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		50.00
	Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼	0 0	250.00	
	Full Name (Last, First, Middle Initial) Rebecca W Reid	Date of Receipt		
	Mailing Address One Health Plaza	05 31 2011		
	City	State	Zip Code	Transaction ID: A2011-1229462
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		47.90
	Name of Employer Novartis Pharmaceuticals  Occupation Executive		е	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 239.50	
Г	SUBTOTAL of Receipts This Page (optional)			147.90

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 / 32 (check only one)    X   11a		
Any information copied from such Reports and sor for commercial purposes, other than using the NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action (In Full)	e name and ad	y not be sold or used by any pers dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.		
Full Name (Last, First, Middle Initial)  Marc Britton Reuss  Mailing Address 350 Massachusetts A  350 MA # 274	Marc Britton Reuss Mailing Address 350 Massachusetts Ave				
City	State	Zip Code	Transaction ID: A2011-1237859		
Cambridge	MA	02139	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C		50.00		
Name of Employer Novartis Vaccines & Diagn- ostics	Occupatio Executive				
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00			
Full Name (Last, First, Middle Initial) Randi C Roberts  Mailing Address One Health Plaza			Date of Receipt		
-	05 31 2011				
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229904		
FEC ID number of contributing federal political committee.	C	07330	Amount of Each Receipt this Period 50.00		
Name of Employer Novartis Pharmaceuticals	Occupatio Executive				
Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 250.00			
Full Name (Last, First, Middle Initial) Bruce Ruscio			Date of Receipt		
Mailing Address 608 Fifth Avenue	05 06 2011				
City	State	Zip Code	Transaction ID: A2011-1237856		
New York	NY	10020	Amount of Each Receipt this Period		
FEC ID number of contributing federal political committee.	C		80.00		
Name of Employer Novartis Corporation	Occupatio Manager				
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 400.00			
SUBTOTAL of Receipts This Page (optional) .			180.00		

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	<b>(</b> )	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:   PAGE 27/32   (check only one)     X   11a     11b     11c     12     13     14     15     16
Any information copied from such Reports ar or for commercial purposes, other than using	nd Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions oslicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Actio	n Committee		
Full Name (Last, First, Middle Initial) Jason T Russell			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y O D D / Y 2 D 1 1
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1228837  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07330	59.78
Name of Employer Novartis Pharmaceuticals	Occupation		
Receipt For: Primary General Other (specify)	Aggregate	e Year-to-Date ▼ 298.90	
Full Name (Last, First, Middle Initial) Katherine E Solon	Date of Receipt		
Mailing Address One Health Plaza	0 5 3 1 2 0 1 1		
City	State	Zip Code	Transaction ID: A2011-1230024
East Hanover  FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period 250.00
Name of Employer Novartis Services Incorpo- rated	Occupation Executive		
Receipt For: Primary General Other (specify)		e Year-to-Date ▼ 1250.00	
Full Name (Last, First, Middle Initial) Lisa A Steelman			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1228749  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		115.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive		
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 575.00	
SUBTOTAL of Receipts This Page (optional	1		424.78

SCHEDULE A (FEC Form 3) ITEMIZED RECEIPTS	<b>X</b> )	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:   PAGE 28/32   (check only one)				
Any information copied from such Reports a or for commercial purposes, other than using	Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicity or for commercial purposes, other than using the name and address of any political committee to solicit contributions from						
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	on Committee						
Full Name (Last, First, Middle Initial)  Donald P Stevens			Date of Receipt				
Mailing Address One Health Plaza			05 31 2011				
City	State	Zip Code	Transaction ID: A2011-1228922				
East Hanover  FEC ID number of contributing federal political committee.	NJ C	07936	Amount of Each Receipt this Period  75.00				
Name of Employer Novartis Pharmaceuticals	Occupatio Executive						
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 375.00					
Full Name (Last, First, Middle Initial) John Suchorsky	Date of Receipt						
Mailing Address One Health Plaza	05 / 05 / 2011						
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229347  Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	07930	50.00				
Name of Employer Novartis Pharmaceuticals	Occupatio Executive						
Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 250.00					
Full Name (Last, First, Middle Initial) Thomas A Suter	Date of Receipt						
Mailing Address One Health Plaza			0 5 3 1 2 0 1 1				
City East Hanover	State NJ	Zip Code	Transaction ID: A2011-1230220				
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period  50.00				
Name of Employer Novartis Pharmaceuticals	Occupatio Public Af						
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00					
SUBTOTAL of Receipts This Page (options	al)		175.00				

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	<b>.</b> )	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER:   PAGE 29/32   (check only one)
Any information copied from such Reports an or for commercial purposes, other than using	d Statements may the name and add	y not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions osolicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	n Committee		
Full Name (Last, First, Middle Initial) Barbara A Tombros			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y O D D / 2011
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229296
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 74.07
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼		e Year-to-Date ▼ 368.17	
Full Name (Last, First, Middle Initial) Christina M Tremains	Date of Receipt		
Mailing Address One Health Plaza	0 5 3 1 2 0 1 1		
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229499
FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 65.21
Name of Employer Novartis Pharmaceuticals	Occupatio Executive		
Receipt For:  Primary General  Other (specify) ▼	<del>-   '</del>	e Year-to-Date ▼ 322.87	
Full Name (Last, First, Middle Initial) Edwin Valeriano			Date of Receipt
Mailing Address One Health Plaza			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover	State NJ	Zip Code 07936	Transaction ID: A2011-1229949  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	07330	50.00
Name of Employer Novartis Services Incorpo- rated	Occupatio Executive	е	
Receipt For:  Primary General  Other (specify) ▼	Aggregate	e Year-to-Date ▼ 250.00	]
			189.28

SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	.)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 30 / 32 (check only one)    X
Any information copied from such Reports and or for commercial purposes, other than using NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	the name and add	γ not be sold or used by any persodress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Andrew J Volante Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing	State NJ	Zip Code 07936	0 5 3 1 2 0 1 1  Transaction ID: A2011-1229207  Amount of Each Receipt this Period  100.00
Receipt For:  Primary  Other (specify)	Occupation Executive		]
Full Name (Last, First, Middle Initial) Stephan M Webb Mailing Address One Health Plaza	Date of Receipt    M   M   D   D   Y   Y   Y   Y   Y   Y   Y   Y		
City <u>East Hanover</u> FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2011-1229592  Amount of Each Receipt this Period  50.00
Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	Occupation Executive Aggregate		]
Full Name (Last, First, Middle Initial) Michael D Webster  Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing federal political committee.	State NJ	Zip Code 07936	Transaction ID: A2011-1229468  Amount of Each Receipt this Period  45.67
Name of Employer Novartis Pharmaceuticals	Occupation Executive	e	
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 226.55	
SUBTOTAL of Receipts This Page (optional	)		195.67

A.

PAGE 31/32 FOR LINE NUMBER: SCHEDULE A (FEC Form 3X) Use separate schedule(s) (check only one) for each category of the **ITEMIZED RECEIPTS** 11a 11b 11c **Detailed Summary Page** 13 17 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Date of Receipt Jane E Welborn Mailing Address One Health Plaza 05 3 1 2011 City State Zip Code Transaction ID: A2011-1228954 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.19 C federal political committee. Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: Aggregate Year-to-Date Primary General 264.91 Other (specify) Full Name (Last, First, Middle Initial) В. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 0 5 31 2011 City Transaction ID: A2011-1229400 State Zip Code East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Name of Employer Novartis Pharmaceuticals Occupation Executive Receipt For: Aggregate Year-to-Date Primary General

		102.10
SUBTOTAL of Receipts This Page (optional)	•	103.19
TOTAL This Period (last page this line number only)	<b></b>	6878.67

250.00

Other (specify)

SCHEDULE B (FEC Form 3X)	Use separate schedule(s	21 -	NUMBER: PAGE 32/32		
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(Crieck only	7 one)		
Any Information copied from such Reports and S		ed by any person f	or the purpose of soliciting contributions		
or for commercial purposes, other than using the	name and address of any politic	al committee to so	icit contributions from such committee		
NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action C	Committee				
Full Name (Last, First, Middle Initial) Citizens for Lehner			Transaction ID: B347409 Date of Disbursement		
Mailing Address 533 Lockerbie Lane	Mailing Address 533 Lockerbie Lane				
City Kettering Lane	State Zip Code OH 45429		Amount of Each Disbursement this Period		
Purpose of Disbursement P-2012 State House 37 OH		011	500.00		
Candidate Name Peggy Lehner		Category/ Type			
Senate President	bursement For: 2012  X Primary General  Other (specify) ▼				
State: District:					
Full Name (Last, First, Middle Initial) Friends of Jay Goyal			<b>Transaction ID:</b> B372738  Date of Disbursement		
Mailing Address 2584 Wahl Drive			$\begin{bmatrix} \begin{smallmatrix} M & M \\ 0 & 5 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 4 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 1 & 1 \end{smallmatrix} \end{bmatrix}$		
City Lexington	State Zip Code OH 44904		Amount of Each Disbursement this Period		
Purpose of Disbursement P-2012 State House 73 OH		011	1000.00		
Candidate Name Jay Goyal		Category/ Type			
Senate President	bursement For: 2012  X Primary General  Other (specify) ▼	•			
State: District: Full Name (Last, First, Middle Initial)			Transaction ID: B384161		
Cmte to Elect Earl Ray Tomblin 2011		Date of Disbursement			
Mailing Address P.O. Box 11530		$\begin{bmatrix} \begin{smallmatrix} M & M \\ O & S \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} D & D \\ O & 4 \end{smallmatrix} \end{bmatrix} \ / \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ Z & O & 1 & 1 \end{smallmatrix} \end{bmatrix}$			
City Charleston	State Zip Code WV 25339		Amount of Each Disbursement this Period		
Purpose of Disbursement O-2011 Governor WV		011	596.10		
Candidate Name Earl Ray Tomblin		Category/ Type			
Senate President	bursement For: 2011 Primary General X Other (specify) ▼				
State: District: Sp	ecial Primary				
SUBTOTAL of Disbursements This Page (option	onal)	<b>&gt;</b>	2096.10		
TOTAL This Period (last page this line number	only)		2096.10		