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FEC

# REPORT OF RECEIPTS AND DISBURSEMENTS

| FORM 3X  | For Other Than                      | An Authorized                         | Committee                        |                      | Office Use Only                             |
|--|-------------------------------------|---------------------------------------|----------------------------------|----------------------|---|
| NAME OF     COMMITTEE (in full)  | TYPE OR PRINT ▼                     |                                       | nple: If typing, type the lines. | 12FE4M5              |   |
| Ameriprise Financial I   | nc. PAC (Amer                       | riprise PAC)                          |                                  |                      |   |
|  |                                     |                                       |                                  |                      |   |
| ADDRESS (number and street)  | 101 Constitution A                  | Ave. NW Suite 912                     |                                  |                      |   |
| Check if different than previously reported. (ACC)   | Washington                          |                                       |                                  | DC                   | 20001                                       |
| 2. FEC IDENTIFICATION N  | UMBER ▼                             | CITY A                                |                                  | STATE ▲              | ZIP CODE ▲                                  |
| C C00414474  |                                     | 3. IS THIS<br>REPORT                  | NEW (N) OI                       |                      | NDED  |
| 4. TYPE OF REPORT (Choose One)  (a) Quarterly Reports:  April 15                                   | (b) Monthly<br>Report<br>Due On:    | Feb 20 (M2)  Mar 20 (M3)  Apr 20 (M4) | May 20 (M  Jun 20 (M  Jul 20 (M7 | 6) Sep 20            | Year Only)                                  |
| Quarterly Report (0  July 15 Quarterly Report (0  October 15 Quarterly Report (0  January 31       | Q2) (C) 12-Day<br>PRE-Ele<br>Report | ection<br>for the:                    | Primary (12P) Convention (12C)   | General (1           | es) in the                                  |
| Year-End Report (  July 31 Mid-Year Report (Non-election Year Only) (MY)  Termination Report (TER) | on (d) 30-Day POST-E Report         | Election                              | 11 08  General (30G)             | 2016<br>Runoff (30   | State of MT  Special (30S)  in the State of |
| 5. Covering Period 1   |                                     | 2016                                  | through 10                       | M / D D / 19         | 2016  |
| I certify that I have examined the Type or Print Name of Treasure                                  | Varley, Elizabeth,                  |                                       | ledge and belief it is           | true, correct and    | complete.                                   |
| Signature of Treasurer   | ey, Elizabeth, , ,                  | [.                                    | Electronically Filed]            | Date 10              | 25 / 2016                                   |
| NOTE: Submission of false, error   | neous, or incomplete i              | information may sub                   | ject the person signin           | g this Report to the | penalties of 52 U.S.C. § 30109              |
| Office<br>Use  |                                     |                                       |                                  |                      | FEC FORM 3X<br>Rev. 05/2016                 |

## SUMMARY PAGE

| FEC Form 3X (Rev. 05/2016)   | OF RECEIPTS AND DISBURSEMENTS | Page <b>2</b> |
|------------------------------|-------------------------------|---------------|
| Write or Type Committee Name |                               |               |

Ameriprise Financial Inc. PAC (Ameriprise PAC) 01 10 2016 10 19 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 37849.39 January 1, 2016 (b) Cash on Hand at 22059.33 Beginning of Reporting Period..... 4223.32 128290.42 Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 166139.81 26282.65 6(a) and 6(c) for Column B)..... 2500.00 142357.16 7. Total Disbursements (from Line 31)...... 8. Cash on Hand at Close of Reporting Period 23782.65 23782.65 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

### Ameriprise Financial Inc. PAC (Ameriprise PAC)

| Report Covering the Period: From:  | 01 2016                       | To: 10 19 2016                    |
|--|-------------------------------|-----------------------------------|
| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
| . Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other  |                               |                                   |
| Than Political Committees  | 4029.12                       | 100211 20                         |
| (i) Itemized (use Schedule A)  | 4029.12                       | 109311.30                         |
| (ii) Unitemized  | 194.20                        | 18979.12                          |
| (iii) TOTAL (add   |                               |                                   |
| Lines 11(a)(i) and (ii)▶   | 4223.32                       | 128290.42                         |
| (b) Political Porty Committees   | 0.00                          | 0.00                              |
| (b) Political Party Committees   | 5,50                          | 4 4                               |
| (such as PACs)   | 0.00                          | 0.00                              |
| (d) Total Contributions (add Lines   |                               |                                   |
| 11(a)(iii), (b), and (c)) (Carry   |                               | 100000 10                         |
| Totals to Line 33, page 5)   | 4223.32                       | 128290.42                         |
| 2. Transfers From Affiliated/Other   | 2.00                          | 0.00                              |
| Party Committees   | 0.00                          | 0.00                              |
| 3. All Loans Received  | 0.00                          | 0.00                              |
| _  | 4 4                           | 45 45                             |
| Loan Repayments Received   | 0.00                          | 0.00                              |
| 5. Offsets To Operating Expenditures   | 7 7                           | 4 4                               |
| (Refunds, Rebates, etc.)   |                               |                                   |
| (Carry Totals to Line 37, page 5)  | 0.00                          | 0.00                              |
| 6. Refunds of Contributions Made   |                               |                                   |
| to Federal Candidates and Other  | 0.00                          | 0.00                              |
| Political Committees   | 0.00                          | 0.00                              |
| 7. Other Federal Receipts (Dividends, Interest, etc.)  | 0.00                          | 0.00                              |
| 3. Transfers from Non-Federal and Levin Funds  | 0.00                          | 0.00                              |
| (a) Non-Federal Account  |                               |                                   |
| (from Schedule H3)   | 0.00                          | 0.00                              |
| =  |                               |                                   |
| (b) Levin Funds (from Schedule H5)   | 0.00                          | 0.00                              |
|  |                               |                                   |
| (c) Total Transfers (add 18(a) and 18(b))  | 0.00                          | 0.00                              |
| (c) Total Transfers (add 18(a) and 18(b))  D. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶ | 4223.32                       | 128290.42                         |
| ). Total Federal Receipts  |                               |                                   |
| (subtract Line 18(c) from Line 19)▶  | 4223.32                       | 128290.42                         |

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

| II. Disbursements  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)                                    |                               | Carstina. 1941 to Buto            |
| (i) Federal Share  | 0.00                          | 0.00                              |
| (ii) Non-Federal Share   | 0.00                          | 0.00                              |
| (b) Other Federal Operating  |                               |                                   |
| Expenditures(c) Total Operating Expenditures   | 0.00                          | 337.16                            |
| (add 21(a)(i), (a)(ii), and (b))▶  | 0.00                          | 337.16                            |
| Transfers to Affiliated/Other Party Committees   | 0.00                          | 0.00                              |
| Contributions to Federal Candidates/Committees and Other Political Committees  | 2500.00                       | 142000.00                         |
| Independent Expenditures   | 200000                        |                                   |
| (use Schedule E)<br>Coordinated Party Expenditures<br>(52 U.S.C. § 30116(d))   | 0.00                          | 0.00                              |
| (use Schedule F)   | 0.00                          | 0.00                              |
| Loan Repayments Made   | 0.00                          | 0.00                              |
| Loans MadeRefunds of Contributions To:   | 0.00                          | 0.00                              |
| (a) Individuals/Persons Other Than Political Committees  | 0.00                          | 20.00                             |
| (b) Political Party Committees   | 0.00                          | 0.00                              |
| (such as PACs)   | 0.00                          | 0.00                              |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))   | 0.00                          | 20.00                             |
| Other Disbursements (Including   | ,                             |                                   |
| Non-Federal Donations)   | 0.00                          | 0.00                              |
| Federal Election Activity (52 U.S.C. § 30101(20)  (a) Allocated Federal Election Activity  (from Schedule H6)  (i) Federal Share |                               |                                   |
| ·  | 0.00                          | 0.00                              |
| (ii) "Levin" Share(b) Federal Election Activity Paid   | 0.00                          | 0.00                              |
| Entirely With Federal Funds  | 0.00                          | 0.00                              |
| Lines 30(a)(i), 30(a)(ii) and 30(b))   | 0.00                          | 0.00                              |
| Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))   |                               |                                   |
|  | 2500.00                       | 142357.16                         |
| Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)  |                               |                                   |
| from Line 31)  | 2500.00                       | 142357.16                         |

#### **DETAILED SUMMARY PAGE**

of Disbursements

| -                  |
|--------------------|
| IN B<br>ar-to-Date |
| 128290.42          |
| 20.00              |
| 128270.42          |
| 337.16             |
| 0.00               |
| 337.16             |
|                    |

FOR LINE NUMBER: PAGE 6 Use separate schedule(s) (check only one) **X** 11a 11b 11c

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OF

for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Alvero, Gumer, C,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002001 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 115.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EVP-Annuities** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2423.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Armada, Francisco, J, , Date of Receipt Mailing Address 40 Burton Hills Blvd Ste 200 10 2016 City State Zip Code Transaction ID: A2016-2002002 TN Nashville 37215-5902 Amount of Each Receipt this Period FEC ID number of contributing 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P2 Field Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 605.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bennett, Debra, S, , Date of Receipt Mailing Address 1585 Kapiolani Blvd Ste 1304 14 2016 City Zip Code State Transaction ID: A2016-2002004 HI Honolulu 96814-4523 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P2 Field Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) 163.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Berman, Walter, S,, Date of Receipt Mailing Address 250 Greenwich St Ste 3900 2016 City Zip Code State Transaction ID: A2016-2002005 NY New York 10007-2140 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EVP-Chief Financial Officer** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4038.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Blaske, Stephen, P, , Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002006 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Actuary** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blockhus, Gregory, R,, Date of Receipt Mailing Address 901 3rd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002007 MN Minneapolis 55402-3367 Amount of Each Receipt this Period FEC ID number of contributing C 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP-Clearing** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 605.85 Other (specify) 251.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the

| ı | FOI              | R LINE | NUMBER | :   PAGE | E 8 OF | 32 |  |  |
|---|------------------|--------|--------|----------|--------|----|--|--|
|   | (check only one) |        |        |          |        |    |  |  |
|   | X                | 11a    | 11b    | 11c      | 12     |    |  |  |
|   |                  | 13     | 14     | 15       | 16     | 17 |  |  |

Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Boettcher, Michael, , , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002008 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir-App Development Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Boudani, Nabil, I,, Date of Receipt Mailing Address 707 2nd Ave. S. 10 2016 City State Zip Code Transaction ID: A2016-2002009 MN Minneapolis 55474 Amount of Each Receipt this Period FEC ID number of contributing 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. VP-Finance HR & Tech Infra Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1038.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bresler, David, R., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002010 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. VP-ABDG Desk Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 77.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bubke, Rod, L,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002011 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Actuary** Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Buff, Stephen, O, , Date of Receipt Mailing Address 225 Franklin St 10 2016 City State Zip Code Transaction ID: A2016-2002012 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-Global Hd of AM Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 630.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Burns, Ryan, P, Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002013 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. VP-Assistant General Auditor Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) 64.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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10 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Burton, Michael, G,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002014 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 125.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **SVP-Asst General Counsel** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2625.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bush, Richard, , , Date of Receipt Mailing Address 250 Greenwich St 10 2016 Ste 3900 City State Zip Code Transaction ID: A2016-2002015 NY New York 10007-2140 Amount of Each Receipt this Period FEC ID number of contributing 76.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **SVP-Corporate Tax** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1615.32 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Christensen, Gary, A, , Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002016 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Occupation (for Individual) Name of Employer (for Individual) Ameriprise Financial Inc. Sr Business Analyst Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 211.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ciak, Kenneth, J,, Date of Receipt Mailing Address 3500 Packerland Drive 2016 City Zip Code State Transaction ID: A2016-2002017 WI 54115-9034 De Pere Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **EVP-Auto & Home** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Connolly, Steven, J, , Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002018 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Sr Dir-Communications Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creutzmann, Scott, E, , Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002019 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Chief Compliance Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 49.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Danley, Bryce, R,, Date of Receipt Mailing Address 1612 Summit Ave Ste 410 2016 City Zip Code State Transaction ID: A2016-2002020 TX Fort Worth 76102-5916 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P1Advisor Exempt Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Dave, Manish, P,, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002021 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **SVP-Business Development** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1278.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Dearborn, James, I, , Date of Receipt Mailing Address 225 Franklin St 14 2016 City Zip Code State Transaction ID: A2016-2002022 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Sr PM/Head of Investment Area Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 97.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Demont, Melanie, M,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002023 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-HR Business Partner Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Emptage, William, J,, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002025 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-Strategy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 808.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Evans, Jeryl, A, , Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002067 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. VP/LFO-Finance Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 78.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Exner, Joseph, P,, Date of Receipt Mailing Address 5 Bedford Farms 2016 City Zip Code State Transaction ID: A2016-2002027 NH Bedford 03110-6531 Amount of Each Receipt this Period FEC ID number of contributing C 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional VP Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1038.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Faria, Lee, , , Date of Receipt Mailing Address 225 Franklin St 10 2016 City State Zip Code Transaction ID: A2016-2002028 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Chief Compliance Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 403.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Feiler, Mark, , , Date of Receipt Mailing Address 32255 Northwestern Hwy 14 2016 Ste 200 City State Zip Code Transaction ID: A2016-2002029 MI Farmington Hills 48334-1574 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Complex Director Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 101.93 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gathje, Steve, M, , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002031 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **SVP-Chief Actuary** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1050.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goucher, Paul, B,, Date of Receipt Mailing Address 100 Park Ave 10 2016 City State Zip Code Transaction ID: A2016-2002032 NY New York 10017-5516 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Chief Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1260.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Greene, Michael, R., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002033 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-Advisor Bus Dev Group Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harasimowicz, Stephen, J,, Date of Receipt Mailing Address 225 Franklin St 2016 City Zip Code State Transaction ID: A2016-2002036 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing C 57.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) CM-SVP-Head of Trading American Enterprise Inv. Srvcs Receipt For: Aggregate Year-to-Date ▼ Primary General 1035.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Hughes, Sharon, L,, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002042 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-Corp Com & Comm Relations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1446.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **C.** Hunter, Kelli, A, , Date of Receipt Mailing Address 250 Greenwich St 14 2016 Ste 3900 City Zip Code State Transaction ID: A2016-2002043 NY New York 10007-2140 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **EVP-Human Resources** Receipt For: Aggregate Year-to-Date ▼ Primary General 4038.30 Other (specify) 326.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... \_\_\_

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hutt, John, R,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002044 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **SVP-Corporate Finance** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Janz, Sara, S,, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002045 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. VP-Insurance/Annuity Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 605.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Keckler, Marcy, A, Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002049 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Financ Plan & Advice Strat Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 605.85 Other (specify) 117.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Koleske, Carolyn, A,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002052 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 11.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sr Dir-HR Compliance Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 231.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kornmann, Brittany, J, , Date of Receipt Mailing Address 5151 San Felipe St 10 2016 Ste 2100 City State Zip Code Transaction ID: A2016-2002053 TX Houston 77056-3727 Amount of Each Receipt this Period FEC ID number of contributing 38.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P1Advisor Exempt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 807.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kostuk, Gerard, B., SR Date of Receipt Mailing Address 227 W Monroe St 14 2016 Ste 3700 City State Zip Code Transaction ID: A2016-2002054 IL Chicago 60606-5067 Amount of Each Receipt this Period FEC ID number of contributing C 9.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Complex Director Receipt For: Aggregate Year-to-Date ▼ Primary General 202.02 Other (specify) 59.09 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Krakow, Nikki, , , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002055 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 15.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP-Business Unit Controller** Ameriprise Financial Services Receipt For: Aggregate Year-to-Date ▼ Primary General 315.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kringdon, Joseph, D,, Date of Receipt Mailing Address 225 Franklin St 10 2016 City State Zip Code Transaction ID: A2016-2002056 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. MD-Head of Intermediary Dist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 403.83 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Landree, Joe, , , Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002057 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Dir-Centralized Sup Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 44.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Langner, Janet, C,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002058 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP/LFO-Finance Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lewis, Lisa, M,, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002060 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Chief Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Malloy, Luke, J,, Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002061 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) American Enterprise Inv. Srvcs **VP-Compensation** Receipt For: Aggregate Year-to-Date ▼ Primary General 525.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McGee, Jeninne, , , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002063 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 115.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP-Ent Impl & Oper Rsk Assess Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2423.19 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. McGraw, Deirdre, D,, Date of Receipt Mailing Address 250 Greenwich St 10 2016 Ste 3900 City State Zip Code Transaction ID: A2016-2002064 NY New York 10007-2140 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. EVP-Mktg Comm & Com Rel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4038.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McGuire, Thomas, P, , Date of Receipt Mailing Address 225 Franklin St 14 2016 City Zip Code State Transaction ID: A2016-2002065 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Chief Compliance Officer** Receipt For: Aggregate Year-to-Date ▼ Primary General 840.00 Other (specify) 347.69 SUBTOTAL of Receipts This Page (optional).....

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Melander, Lori, A, , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002066 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-HR Business Partner Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 252.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Moll, Patricia, M, Date of Receipt Mailing Address 227 W Monroe St Ste 3700 10 2016 City State Zip Code Transaction ID: A2016-2002068 IL Chicago 60606-5067 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Sr Franchise Field VP Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 307.68 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, Thomas, R, Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002069 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Corporate Secretary Receipt For: Aggregate Year-to-Date ▼ Primary General 807.66 Other (specify) 69.69 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Newman, Michael, J,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002071 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP-Chief Counsel** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 403.83 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'connell, Patrick, H,, Date of Receipt Mailing Address 185 Asylum St 10 2016 Cityplace II 8th Floor City State Zip Code Transaction ID: A2016-2002073 Hartford CT 06103-3408 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **EVP-Ameriprise Advisor Group** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 4038.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Palacios, Rosendo, P., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002075 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 76.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP/LFO-Finance Receipt For: Aggregate Year-to-Date ▼ Primary General 1615.32 Other (specify) 288.45 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Parish, Douglas, J, , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002076 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **SVP-Chief Compliance Officer** Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1260.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Petersen, Christopher, O, , Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID : A2016-2002077 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. President Mutual Fund Boards Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1211.70 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Porter, Mark, A, Date of Receipt Mailing Address 353 Sacramento St 14 2016 Ste 2300 City State Zip Code Transaction ID: A2016-2002079 CA San Francisco 94111-3685 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P1Advisor Exempt Receipt For: Aggregate Year-to-Date ▼ Primary General 905.79 Other (specify) 167.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Rasula, Jay, R, , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002081 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Global HR Services Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 630.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Riley, Jill, M, , Date of Receipt Mailing Address 901 3rd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002082 MN Minneapolis 55402-3367 Amount of Each Receipt this Period FEC ID number of contributing 38.47 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Project Management** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 807.87 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Sabin, Brent, C, Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002083 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Benefit Plans** Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 93.47 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sinjem, Jacqueline, M,, Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002088 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Office of Chairman & CEO Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Soderstrom, Jeff, , , Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002089 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 38.46 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Risk Mitigation** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 807.66 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Steglich, David, , , Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002090 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-CInt ExpStrat & Analytics Receipt For: Aggregate Year-to-Date ▼ Primary General 1211.70 Other (specify) 116.16 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

32 FOR LINE NUMBER: PAGE 27 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stellmacher, Laura, E, , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002091 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP-Field Comp & Incentives Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 605.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Sterner, Greg, , , Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City State Zip Code Transaction ID: A2016-2002092 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 28.85 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Clearing** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 605.85 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Stockdale, Barry, L, Date of Receipt Mailing Address 14902 Preston Rd. 14 2016 City State Zip Code Transaction ID: A2016-2002093 TX **Dallas** 65891 Amount of Each Receipt this Period FEC ID number of contributing C 80.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Group VP Receipt For: Aggregate Year-to-Date ▼ Primary General 1440.00 Other (specify) 137.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

32 FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Sweeney, Joseph, E,, Date of Receipt Mailing Address 250 Greenwich St Ste 3900 2016 City Zip Code State Transaction ID: A2016-2002095 NY New York 10007-2140 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pres-AWM Products & Services Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 4038.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Taylor, Mark, J, , Date of Receipt Mailing Address 255 Shoreline Dr 10 2016 Ste 400 City State Zip Code Transaction ID: A2016-2002096 CA Redwood Shores 94065-1430 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Branch Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 420.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Taylor, Suzanne, M., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002097 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 9.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Group Counsel-Mkt/Regulatory Receipt For: Aggregate Year-to-Date ▼ Primary General 201.81 Other (specify) 221.91 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

32 FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Truman, Ralph, T, , Jr Date of Receipt Mailing Address 13830 Ballantyne Corporate PI Ste 200 2016 City Zip Code State Transaction ID: A2016-2002098 NC Charlotte 28277-3688 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. P1Advisor Exempt Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Varley, Elizabeth, , , Date of Receipt Mailing Address 101 Constitution Ave NW 10 2016 Ste 912W City State Zip Code Transaction ID: A2016-2002099 DC Washington 20001-2133 Amount of Each Receipt this Period FEC ID number of contributing 150.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **VP-Government Relations** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 3150.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Weiser, David, H., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID : A2016-2002100 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 76.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **SVP-Asst General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 1615.32 Other (specify) 246.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

32 FOR LINE NUMBER: PAGE 30 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Weiss, David, A,, Date of Receipt Mailing Address 225 Franklin St 2016 City Zip Code State Transaction ID: A2016-2002101 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing C 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Hd of Sub-Advisory Mgmt AM Receipt For: Aggregate Year-to-Date ▼ Primary General 326.91 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** West, Thomas, J, , Date of Receipt Mailing Address 225 Franklin St 10 2016 City State Zip Code Transaction ID: A2016-2002102 MA **Boston** 02110-2804 Amount of Each Receipt this Period FEC ID number of contributing 57.70 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. SVP-Head Gbl Equity Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 865.50 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Williams, William, J., Date of Receipt Mailing Address 707 2nd Ave S 14 2016 City Zip Code State Transaction ID: A2016-2002104 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 115.39 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **EVP-Ameriprise Franchise Group** Receipt For: Aggregate Year-to-Date ▼ Primary General 2423.19 Other (specify) 192.32 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

32 FOR LINE NUMBER: PAGE 31 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Ameriprise Financial Inc. PAC (Ameriprise PAC) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wilson Thissen, Karen, , , Date of Receipt Mailing Address 707 2nd Ave S 2016 City Zip Code State Transaction ID: A2016-2002105 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing C 76.92 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. **EVP & Deputy General Counsel** Receipt For: Aggregate Year-to-Date ▼ Primary General 1615.32 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Woerner, John, R, Date of Receipt Mailing Address 707 2nd Ave S 10 2016 City Zip Code State Transaction ID: A2016-2002106 MN Minneapolis 55474-2405 Amount of Each Receipt this Period FEC ID number of contributing 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ameriprise Financial Inc. Pres-Ins & AnnChief Strat Off Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 4038.30 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Zambri, Anthony, S., Date of Receipt Mailing Address 200 Broadhollow Rd 14 2016 Ste 302 City Zip Code State Transaction ID: A2016-2002108 NY Melville 11747-4806 Amount of Each Receipt this Period FEC ID number of contributing C 10.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) P1Advisor Exempt Ameriprise Financial Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 279.22 SUBTOTAL of Receipts This Page (optional)..... 4029.12 TOTAL This Period (last page this line number only).....

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| SCHEDULE B (FEC Form 3X)   |                        | 4b - 1 1 7 7                 | FOR LINE NUMBER: PAGE 32 |   |                        |  |  |  |  |
|--|------------------------|------------------------------|--------------------------|---|------------------------|--|--|--|--|
| ITEMIZED DISBURSEMENTS   |                        | te schedule(s) tegory of the | (check only              | one)  |                        |  |  |  |  |
|  |                        | ımmary Page                  | 21b<br>28a               | 22 <b>x</b> 23 28c  | 26 27<br>29 30b        |  |  |  |  |
| Any information conied from such Departs and States  | nonto mou not          | t he cold or use             |                          |   |                        |  |  |  |  |
| Any information copied from such Reports and Stater or for commercial purposes, other than using the nan |                        |                              |                          |   |                        |  |  |  |  |
| NAME OF COMMITTEE (In Full)  |                        |                              |                          |   |                        |  |  |  |  |
| Ameriprise Financial Inc. PAC (Am  | eriprise P             | AC)                          |                          |   |                        |  |  |  |  |
| Full Name (Last, First, Middle Initial)  |                        |                              |                          |   |                        |  |  |  |  |
| A. Ann Wagner for Congress   |                        |                              |                          | Date of Disbursem   | ent<br>                |  |  |  |  |
| Mailing Address 499 S. Capitol St SW Ste 420   |                        |                              |                          | 10 06 7 2016  |                        |  |  |  |  |
| City   | State                  | Zip Code                     |                          | FEC Identification I  | Number                 |  |  |  |  |
| Washington   | DC                     | 20003                        |                          |   | Variabol               |  |  |  |  |
| Purpose of Disbursement<br>Contribution  |                        |                              | 011                      | C C00495846   |                        |  |  |  |  |
| Candidate Name   |                        |                              |                          | Transaction ID  |                        |  |  |  |  |
| Wagner, Ann, , ,   |                        |                              | Category/<br>Type        | Amount of Each Di   | sbursement this Period |  |  |  |  |
|  | ment For: 20           | 16                           | 7.                       |   | 1000.00                |  |  |  |  |
| Senate   | Primary                | <b>✗</b> General             |                          |   | ,                      |  |  |  |  |
| State: MO District: 02   | Other (specify         | /) ▼                         |                          | Memo Item   |                        |  |  |  |  |
| State: MO District: 02  Full Name (Last, First, Middle Initial)  |                        |                              |                          |   |                        |  |  |  |  |
| B. Montanans for Tester  |                        |                              |                          | Date of Disburseme  | ent                    |  |  |  |  |
| Wortanans for Tester   |                        |                              |                          | M M / D D / Y Y Y Y   |                        |  |  |  |  |
| Mailing Address 303 Massachusetts Ave NE   |                        |                              |                          | 10 06 2016  |                        |  |  |  |  |
|  |                        | Zip Code                     |                          | FEC Identification I  | Number                 |  |  |  |  |
| Washington Purpose of Disbursement   | DC                     | 20002                        |                          | 000440004   |                        |  |  |  |  |
| Contribution   |                        |                              | 011                      | C C00412304   |                        |  |  |  |  |
| Candidate Name   |                        |                              | Category/                | Transaction ID : B630077  Amount of Each Disbursement this Period |                        |  |  |  |  |
| Tester, Jon, , ,   |                        |                              | Type                     | Tanodik of Eden Blobal comon and Tollow                           |                        |  |  |  |  |
|  | nent For: 20           |                              |                          |   | 1500.00                |  |  |  |  |
| Senate President   | Primary Other (specify | <b>∡</b> General             |                          | _   |                        |  |  |  |  |
| State: MT District:  | Other (specify         | ()                           |                          | Memo Item   |                        |  |  |  |  |
| Full Name (Last, First, Middle Initial)  |                        |                              |                          |   |                        |  |  |  |  |
| C.   |                        |                              |                          | Date of Disbursem   | ent                    |  |  |  |  |
|  |                        |                              |                          | M M / D D   | / Y Y Y Y Y            |  |  |  |  |
| Mailing Address  |                        |                              |                          |   |                        |  |  |  |  |
| City   | State                  | Zip Code                     |                          | FEC Identification I  | Number                 |  |  |  |  |
| Purpose of Disbursement  |                        |                              |                          | C   |                        |  |  |  |  |
|  |                        |                              |                          |   |                        |  |  |  |  |
| Candidate Name   |                        |                              | Category/                | Amount of Each Di   | sbursement this Period |  |  |  |  |
| Office Cought  |                        |                              | Туре                     |   |                        |  |  |  |  |
| Office Sought: House Disburser Senate  | nent For: Primary      | General                      |                          |   | 4 4                    |  |  |  |  |
| President  | Other (specify         |                              |                          | п   |                        |  |  |  |  |
| State: District:   | ( <b>epoo</b> n)       | · , ▼                        |                          | Memo Item   |                        |  |  |  |  |
| <u> </u>   |                        |                              |                          |   |                        |  |  |  |  |
| SUBTOTAL of Disbursements This Page (optional)   |                        |                              |                          |   | 2500.00                |  |  |  |  |
|  |                        |                              |                          |   | 2500.00                |  |  |  |  |
| TOTAL This Period (last page this line number only)  |                        |                              |                          |   | 2500.00                |  |  |  |  |