

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines. 12FE4M5

LPL Financial LLC Political Action Committee (LPL PAC)

ADDRESS (number and street) ▼

75 State Street

24th Floor

☐ Check if different than previously reported. (ACC)

Boston

MA

02109

2. FEC IDENTIFICATION NUMBER ▼ CITY ▲ STATE ▲ ZIP CODE ▲

C C00486217

3. IS THIS REPORT

☒

NEW (N)

OR

☐

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☐ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☒ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐ Primary (12P)☐ Convention (12C)☐ General (12G)☐ Special (12S)☐ Runoff (12R)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐ General (30G)☐ Runoff (30R)☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y
11 25 2014

through

M M M / D D D / Y Y Y Y Y Y
12 31 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Trap Kloman

Signature of Treasurer

Trap Kloman

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y
01 29 2015

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
11 / 25 / 2014 To: M M / D D / Y Y Y Y Y Y
12 / 31 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2014		200049.50
(b) Cash on Hand at Beginning of Reporting Period.....	259437.27	
(c) Total Receipts (from Line 19)	28546.33	241234.10
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	287983.60	441283.60
7. Total Disbursements (from Line 31)	241.61	153541.61
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	287741.99	287741.99
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

LPL Financial LLC Political Action Committee (LPL PAC)

Report Covering the Period:

From:

M	M	/	D	D	/	Y	Y	Y	Y
1	1		2	5		2	0	1	4

To:

M	M	/	D	D	/	Y	Y	Y	Y
1	2		3	1		2	0	1	4

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A).....	25660.30	202233.59
(ii) Unitemized	2886.03	38865.17
(iii) TOTAL (add Lines 11(a)(i) and (ii))..... ►	28546.33	241098.76
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5)	28546.33	241098.76
12. Transfers From Affiliated/Other Party Committees.....	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received.....	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....	0.00	135.34
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.).....	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))..	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	28546.33	241234.10
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	28546.33	241234.10

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	24.95	2074.95
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	24.95	2074.95
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	151000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	216.66	466.66
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	216.66	466.66
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	241.61	153541.61
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	241.61	153541.61

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	28546.33	241098.76
34. Total Contribution Refunds (from Line 28(d))	216.66	466.66
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28329.67	240632.10
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	24.95	2074.95
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	135.34
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	24.95	1939.61

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 64

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. NABIL S. ABDELHAMID

Mailing Address 576 TREVI LANE

City

Ballwin

State

MO

Zip Code

63011-2298

FEC ID number of contributing
federal political committee.

C

Name of Employer

Legends Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AEFEC2783BF747668F2

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. JOHN M ABOOD, CFP

Mailing Address 3334 COACHMAN RD

City

Wilmington

State

DE

Zip Code

19803-1942

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A7E61746899EC4C759BF

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DAVID R ALLEN

Mailing Address 113 FRONT STREET

City

Owego

State

NY

Zip Code

13827-1518

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A09539F26F63A4538921

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

187.47

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. FRANCIS XAVIER ASTORINO

Mailing Address 22 MAPLE DRIVE

City State Zip Code
 Fairfield NJ 07004-3209

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Astorino Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AD3F87758439144D6830

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL BABYAK JR, II

Mailing Address 57 IRONIA RD

City State Zip Code
 Randolph NJ 07869-3901

FEC ID number of contributing
federal political committee.

C

Name of Employer

Precision Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1144.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A3437AA3AD0CF4B7991B

Amount of Each Receipt this Period

312.00

Payroll Deduction: \$104.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT J. BACINO

Mailing Address 262 OLD FARM ROAD

City State Zip Code
 Flemington NJ 08822-6901

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : ABD89B46F930843E6925

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

405.72

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kathy Kathy Bakke

Mailing Address 13928 Rocky Gap Lane

City

Charlotte

State

NC

Zip Code

28278-8615

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A23D45A70EAF74A81803

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Garrett Garrett Beam

Mailing Address 3434 Paseo Ancho

City

Carlsbad

State

CA

Zip Code

92009-9258

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : ACE71451B191E4275A13

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. HARVEY STUART BERK, CFP

Mailing Address 9 CONRAD LANE

City

New City

State

NY

Zip Code

10956-6851

FEC ID number of contributing
federal political committee.

C

Name of Employer

Berk Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 31 / 2014

Transaction ID : A239E2DA244624E3B81D

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

291.67

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mimi Bock

Mailing Address 6053 Seacrest View Road

City State Zip Code
 San Diego CA 92121-4370

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Client Exprnce & Training

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

12 / 19 / 2014

Transaction ID : ACC393A70ED3246459BA

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. PAUL WILLIAM BOTTE, CFP

Mailing Address 1691 BURRIS DRIVE

City State Zip Code
 El Cajon CA 92019-3596

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AF24E1D27A8CA4C0FB4C

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. MICHAEL S BRADY

Mailing Address 356 DUPONT ST.

City State Zip Code
 Philadelphia PA 19128-4714

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2875.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A6C755E0490A94C2482F

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

645.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. David A. A. Brounley

Mailing Address 407 Calle Robles

City

San Clemente

State

CA

Zip Code

92672-2127

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Worksite

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 19 / 2014

Transaction ID : ACDF02F851B0047CD9A3

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KERRICK WALTER BUBB

Mailing Address 650 SOUTH LA SALLE STREET

City

Redlands

State

CA

Zip Code

92374-6443

FEC ID number of contributing
federal political committee.

C

Name of Employer

KWB WEALTH MANAGERS GROUP

Occupation

Wealth Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

416.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A44D54BCD34E743A8BF0

Amount of Each Receipt this Period

416.00

Payroll Deduction: \$208.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Jeffrey Buchheister

Mailing Address 2367 Juan St

City

San Diego

State

CA

Zip Code

92103-1110

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, Chief Accounting Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 19 / 2014

Transaction ID : AE62FBD30559846B0953

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

507.68

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEVEN ANDREW BUDIN

Mailing Address 23 HASSAYAMPA TRAIL

City State Zip Code
Henderson NV 89052-6668

FEC ID number of contributing federal political committee.

C

Name of Employer

The Budin Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 31 2014

Transaction ID : A904AF128934C42DF96C

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. R. NEIL BURNS

Mailing Address 24151 CASCADES

City State Zip Code
Laguna Niguel CA 92677-2418

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

791.54

Date of Receipt

M M / D D / Y Y Y Y Y
12 31 2014

Transaction ID : AC095C0C106D349A8880

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Kathryn Kathryn Busch

Mailing Address 7162-A Calabria Court
Unit A

City State Zip Code
San Diego CA 92122-5500

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
12 19 2014

Transaction ID : A3DD9336ED2B243D1B78

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

395.81

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. R. CRAIG BYRD

Mailing Address 1723 SE 270TH PLACE

City State Zip Code
 Camas WA 98607-8585

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AF6AF26974CA14CA1880

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Kent A. Cameron

Mailing Address 6648 Lavandula Court

City State Zip Code
 San Diego CA 92130-6939

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Internal Audit

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 19 / 2014

Transaction ID : A9F416B8902C84731976

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. KELLY PETER CAMPBELL

Mailing Address 11727 RIVER DRIVE

City State Zip Code
 Lorton VA 22079-4104

FEC ID number of contributing
federal political committee.

C

Name of Employer

Campbell Wealth Management

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 31 / 2014

Transaction ID : A5E7A7E5D7786479C824

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1291.66

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL DALE JOSEPH CARPENTER

Mailing Address 1803 BAJA LANE

City

Henderson

State

NV

Zip Code

89012-3109

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

625.05

Date of Receipt

12 / 31 / 2014

Transaction ID : A6642751DEE26417EBA6

Amount of Each Receipt this Period

125.01

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. ANNE CECILIA CATALANO

Mailing Address 808 OLD VESTAL LANE

City

Owego

State

NY

Zip Code

13827

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A189EA7A0974F41CA843

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. EDWARD FRANCIS CHAIRVOLOTTI

Mailing Address 818 SOUTH PRIMROSE DRIVE

City

Orlando

State

FL

Zip Code

32803-6840

FEC ID number of contributing
federal political committee.

C

Name of Employer

Chairvolotti Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : A61CCA81AAAF2414DA7D

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

218.73

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. SUZANNE H. CHRISTIAN

Mailing Address 2263 LA PAZ - PO BOX 1237

City State Zip Code
Claremont CA 91711-1237

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Branch Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : ACDF0A27E3F9C4009891

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KEVIN CICCI

Mailing Address 16 CHESTNUT ST STE 111

City State Zip Code
Foxboro MA 02035-1472

FEC ID number of contributing
federal political committee.

C

Name of Employer

DC Advisors, LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 18 / 2014

Transaction ID : ACAEFAF2F24E24681B91

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

C. DON CLARK

Mailing Address 10710 OAKCREST LANE

City State Zip Code
Olathe KS 66061-7463

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

OSJ

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

708.22

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A1B8EC8B33A2C4D01846

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

499.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Crystal Clifford

Mailing Address 1968 Sakina St

City State Zip Code
 El Cajon CA 92019-3804

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 19 / 2014

Transaction ID : AD868123B5A544F5698B

Amount of Each Receipt this Period

62.50

Payroll Deduction: \$31.25/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. HEIDI GRACE CLUTE

Mailing Address 21 PINERIDGE DRIVE

City State Zip Code
 Plattsburgh NY 12962

FEC ID number of contributing
federal political committee.

C

Name of Employer

Clute Wealth Management

Occupation

Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1958.25

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 31 / 2014

Transaction ID : A499021F9FDB9422B81F

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT SMITH COLLINS

Mailing Address 9206 BRUSHBORO DRIVE

City State Zip Code
 Brentwood TN 37027-6122

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 31 / 2014

Transaction ID : ADA3AFA3E2C0B4532863

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

437.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Scott A A Conroy

Mailing Address 15715 Tanner Ridge Road

City State Zip Code
 San Diego CA 92127-6108

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, RIA Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : ACF8424821EAE42C9A36

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Bradford Cornell

Mailing Address 5257 Fieldgate Ct

City State Zip Code
 Harrisburg NC 28075-8339

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Sponsor Relations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : A4507198C5F614CE5A53

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JAMES A COX, III

Mailing Address 14137 RIVERDOWNS SOUTH DRIVE

City State Zip Code
 Midlothian VA 23113-3796

FEC ID number of contributing federal political committee.

C

Name of Employer

Harris Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1562.40

Date of Receipt

M M / D D / Y Y Y Y Y
 12 15 2014

Transaction ID : ADA6854BE770241E0B08

Amount of Each Receipt this Period

104.16

Payroll Deduction: \$104.16/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

166.68

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Patrick E. Cox

Mailing Address 1291 Oakwood Lane

City

Hanover

State

MN

Zip Code

55341-4102

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

12 / 19 / 2014

Transaction ID : ACB68B8990EF243CBAED

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GERALD GRANT CRAIG

Mailing Address 11919 FARNBOROUGH RD.

City

Huntersville

State

NC

Zip Code

28078-3769

FEC ID number of contributing
federal political committee.

C

Name of Employer

Carolina Planning Consultants, LLP

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A811017B214CF4039997

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. KEVIN WAYNE CRUMLY, CFP

Mailing Address 804 WOOD POINTE

City

Springfield

State

IL

Zip Code

62702-3363

FEC ID number of contributing
federal political committee.

C

Name of Employer

Troxell Financial Advisors

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A7D94D951003E45B5AE5

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

104.12

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. WALTER CUMIFORD

Mailing Address 6056 E. SUSSEX WAY

City State Zip Code
 Fresno CA 93727-7982

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : A72828D6C7065474C8EC

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Geff Daly

Mailing Address 71 Longwood Circle

City State Zip Code
 Kingston MA 02364-1353

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

AVP, Busn Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : A00C0299C3B854CA785B

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ANGELA LOUISE DENHAM

Mailing Address 17602 PECAN SHADOW DR

City State Zip Code
 Baton Rouge LA 70810-6581

FEC ID number of contributing federal political committee.

C

Name of Employer

A.L. Denham Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : AF61A0223F1624EB1B9C

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

177.05

TOTAL This Period (last page this line number only)..... ►

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DAVID STAPLEY DIXON

Mailing Address 1419 DRAKEWOOD

City

North Las Vegas

State

NV

Zip Code

89031-1694

FEC ID number of contributing
federal political committee.

C

Name of Employer

Dixon Financial Group, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A69302C1E2402413A8EB

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. RICHARD CALVERT DONAHUE, CFP

Mailing Address 810 W PACIFIC VIEW DRIVE

City

Bellingham

State

WA

Zip Code

98229-2180

FEC ID number of contributing
federal political committee.

C

Name of Employer

Asset Advisors, LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A16BC957914824DF9BE2

Amount of Each Receipt this Period

208.32

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. HEATHER MARIE DREBENSTEDT

Mailing Address 2208 CALAIS RD

City

Fort Wayne

State

IN

Zip Code

46814-9179

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AD20CBE70A5C84A478C6

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

364.53

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Marc Ehlers

Mailing Address 1258 Oak Avenue

City

Carlsbad

State

CA

Zip Code

92008-1929

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : ADC470176BB8E4D668B5

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Matthew Matthew Enyedi

Mailing Address 15497 Tanner Ridge Road

City

San Diego

State

CA

Zip Code

92127-4182

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, RIA & HNW Solutions

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AF00194F6DD68484B93C

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT L ERRICO, CFP AIF

Mailing Address 10 VILLENEUVE

City

Newport Beach

State

CA

Zip Code

92657-1023

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AA37D867F189E4409BD5

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

458.36

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. SHARON JOY FALL, CFP(R)

Mailing Address 25 E QUEEN ELIZABETH CT

City State Zip Code
 Chester MD 21619

FEC ID number of contributing
federal political committee.

C

Name of Employer

SANDY SPRING INVESTMENT SVC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A946D29E7191C4D719E8

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Victor P P Fetter

Mailing Address 1816 Funny Cide Drive

City State Zip Code
 Waxhaw NC 28173-8288

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Chief Information Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A183648CD2EFD45918B8

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. RICHARD NORTHRUP FISHER JR.

Mailing Address 7003 WINDSOR WAY

City State Zip Code
 Elon NC 27244-9404

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fisher Wealth Management

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A41259A7257754562852

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

270.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Fitz Fisher

Mailing Address 867 Homestake Court

City

Castle Rock

State

CO

Zip Code

80108-9081

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Technology Risk Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1800.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : A4C2B4E63C1CB4DEEA43

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$75.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WILLIAM ROBERT FLYNN, CLU

Mailing Address 6027 SKYLINE DRIVE

City

East Lansing

State

MI

Zip Code

48823-1603

FEC ID number of contributing
federal political committee.

C

Name of Employer

Michigan Financial Group

Occupation

Financial Consultant

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

220.45

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A61E03D7DCB4E485A904

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT IVANO FRAGASSO

Mailing Address 611 KEITH ROAD

City

Bethel Park

State

PA

Zip Code

15102-3741

FEC ID number of contributing
federal political committee.

C

Name of Employer

Fragasso Financial Advisors

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2119.07

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A691B5534025D403FA08

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

556.23

TOTAL This Period (last page this line number only)..... ▶

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARC STUART FREEDMAN, CFP

Mailing Address 119 KARA DRIVE

City State Zip Code
North Andover MA 01845-4834

FEC ID number of contributing
federal political committee.

C

Name of Employer

Freedman Financial

Occupation

President & CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 31 2014

Transaction ID : AD4172FEB1161439AA20

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. LOREEN MICHELLE GILBERT

Mailing Address 1605 TAHITI AVE

City State Zip Code
Laguna Beach CA 92651-1948

FEC ID number of contributing
federal political committee.

C

Name of Employer

WealthWise Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 31 2014

Transaction ID : AE64AB51EE6EE4245822

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jen Gloss

Mailing Address 4143 Bachman Pl

City State Zip Code
San Diego CA 92103-2027

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M / D D / Y Y Y Y Y
12 19 2014

Transaction ID : AF4E7BBBB84D7450C89D

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

666.64

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Joy Goble

Mailing Address 3217 Selwyn Farms Ln

City State Zip Code
Charlotte NC 28209-4009

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

840.00

Date of Receipt

12 / 19 / 2014

Transaction ID : AE08C249F9AFA4EBD8E4

Amount of Each Receipt this Period

70.00

Payroll Deduction: \$35.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID C GRAHAM

Mailing Address 708 CEDAR AVE

City State Zip Code
Haddonfield NJ 08033-1012

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A63D2D7EB397C48DD9CE

Amount of Each Receipt this Period

30.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. PAMELA FRANCES GROFT, CFP

Mailing Address 3247 OAKGLEN COURT

City State Zip Code
Lancaster PA 17601-1340

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.09

Date of Receipt

12 / 31 / 2014

Transaction ID : A265CEE469FEE4525BBE

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

162.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JEFFREY DANIEL HAMBLÉN

Mailing Address 1410 SPRING HILL ROAD, STE 425

City State Zip Code
 Mc Lean VA 22102-3032

FEC ID number of contributing
federal political committee.

C

Name of Employer

Washington Financial Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A44A586E0359D4104889

Amount of Each Receipt this Period

126.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WILLIAM E HAMM

Mailing Address 5009 SOUTH THE RIVIERA ST

City State Zip Code
 Tampa FL 33609-3612

FEC ID number of contributing
federal political committee.

C

Name of Employer

IFP

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

12 / 15 / 2014

Transaction ID : AF6556111E1D84B1FA8A

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Michael Michael Hannah

Mailing Address 7348 Corte Hortensia

City State Zip Code
 Carlsbad CA 92009-8231

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A225B4A2DC77E45A9B5F

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

563.50

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. EDWARD JAMES HARRIS

Mailing Address 60 PARK TERRACE

City

Washington

State

PA

Zip Code

15301-3055

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1125.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AFE04A060480A4E2B886

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. REX ROY HARRIS

Mailing Address 1555 STILLWATER RD

City

Kalispell

State

MT

Zip Code

59901-6904

FEC ID number of contributing
federal political committee.

C

Name of Employer

Harris Financial Services

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

378.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AB2A708EC67C740DB958

Amount of Each Receipt this Period

126.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JOHN THOMAS HASSELL

Mailing Address 305 NAVARRO DR.

City

Gray

State

LA

Zip Code

70359-5114

FEC ID number of contributing
federal political committee.

C

Name of Employer

Hassell Wealth Management

Occupation

Owner/Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A4343C22214584022B64

Amount of Each Receipt this Period

126.00

Payroll Deduction: \$42.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

376.98

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL PATRICK HATCH

Mailing Address 755 SOUTH OAKLAND AVE

City

Pasadena

State

CA

Zip Code

91106-3722

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Sterling Group

Occupation

Financial Advisor & Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A5088AC64434E45598F9

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Brad Hearn

Mailing Address 7293 Calle Conifera

City

Carlsbad

State

CA

Zip Code

92009-8699

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1008.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AEED16F3BF88D4768818

Amount of Each Receipt this Period

84.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mark Helliker

Mailing Address 700 W Harbor Drive

City

San Diego

State

CA

Zip Code

92101-7753

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Broker Dealer Support Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3600.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : A481A18B006CF4B6DB91

Amount of Each Receipt this Period

300.00

Payroll Deduction: \$150.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

633.99

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. D'Arcy D'Arcy Henson

Mailing Address 8503 Priory Ct

City

Waxhaw

State

NC

Zip Code

28173-6542

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A22FAB3A2BFF54F768A1

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID GEORGE HERBST, CFP,MBA

Mailing Address 925 BURGESS HILL RD

City

Naperville

State

IL

Zip Code

60565-6106

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3666.52

Date of Receipt

11 / 30 / 2014

Transaction ID : A5299F838FC9C44C98F9

Amount of Each Receipt this Period

166.66

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Peggy Ho

Mailing Address 6 Lilac Circle

City

Wellesley

State

MA

Zip Code

02482-4518

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 19 / 2014

Transaction ID : A5CCEED7FFD9343E58E1

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

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TOTAL This Period (last page this line number only)..... ►

229.16

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Lisa Lisa Hughes

Mailing Address 4817 St. Simons Terrace

City

Waxhaw

State

NC

Zip Code

28173-6823

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 19 / 2014

Transaction ID : A8E1470CE8FE8431D99D

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lauren Hunt

Mailing Address 181 Pine Ridge Rd

City

Waban

State

MA

Zip Code

02468-3409

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Research

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

12 / 19 / 2014

Transaction ID : AE7A1F087551C4CAE9A5

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Brad Jacobs

Mailing Address 5 Beacham Place

City

Hingham

State

MA

Zip Code

02043-3331

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Associate Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 19 / 2014

Transaction ID : A431F135759844F7C8AD

Amount of Each Receipt this Period

50.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

133.34

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DON ARTHUR JACOBSON

Mailing Address 9424 BELLA TERRA DR

City

Fort Worth

State

TX

Zip Code

76126-1902

FEC ID number of contributing
federal political committee.

C

Name of Employer

Dean, Jacobson Financial Services

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

336.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A94DA296CEACA4124B3C

Amount of Each Receipt this Period

126.00

Payroll Deduction: \$42.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL WILLIAM JOWDY

Mailing Address 715 MAR VISTA AVE

City

Huntington Beach

State

CA

Zip Code

90740

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

CFP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1949.92

Date of Receipt

12 / 31 / 2014

Transaction ID : ADAEE9A1940804555AA0

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Andy Kalbaugh

Mailing Address 6713 Seton House Lane

City

Charlotte

State

NC

Zip Code

28277-4519

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

MD, Institution Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A46BFA640C85245EB992

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

584.33

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Craig Kamis

Mailing Address 4746 Binford's Ridge

City State Zip Code
Charlotte NC 28226-4007

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : A5789AD3E7D454564B0D

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SUSAN CAROL KAPLAN

Mailing Address 157 OTIS ST.

City State Zip Code
West Newton MA 02465-2522

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3333.28

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A5A9031D83FAF475495A

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ANDREW C KARLINSKI, CFP

Mailing Address 179 SADDLEBACK LANE

City State Zip Code
Snowmass Village CO 81615

FEC ID number of contributing
federal political committee.

C

Name of Employer

AK Financial Group

Occupation

President/Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A64D268ABAA924AE7B3E

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

769.97

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Brent Korte

Mailing Address 49 Aquavista Way

City State Zip Code
 San Francisco CA 94131-1232

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

SVP, Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.64

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 19 / 2014

Transaction ID : A422FBC472E454BD3943

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID BLANDIN LA POINTE

Mailing Address 37919 LUNA DRIVE

City State Zip Code
 Temecula CA 92592-9053

FEC ID number of contributing
federal political committee.

C

Name of Employer

La Pointe Wealth Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.91

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 31 / 2014

Transaction ID : A18C45103A0E8407C854

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Steve LaChance

Mailing Address 245 Trotter Run

City State Zip Code
 Milton GA 30004-3737

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 19 / 2014

Transaction ID : A9EDF3A8B291D40B3B40

Amount of Each Receipt this Period

26.00

Payroll Deduction: \$13.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1067.65

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

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PAGE 33 OF 64

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Stephen Lank

Mailing Address 15936 Glen Miro Drive

City State Zip Code
Huntersville NC 28078-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AC379D8390C9B4FB59CE

Amount of Each Receipt this Period

100.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MARK EDWARD LEIBMAN

Mailing Address 11543 LAKEVIEW DR
PO BOX 165

City State Zip Code
Papillion NE 68133-4794

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A928B1A5C45FC424C951

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. LAWRENCE NELSON LEITCH

Mailing Address 29 WINDFLOWER COURT

City State Zip Code
Towson MD 21036

FEC ID number of contributing
federal political committee.

C

Name of Employer

Synergy Financial Group

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A06EE02AA77D14344824

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

400.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Mr. DANIEL W LEVERONI, CFP

Mailing Address 853 MAIN STREET

City

State

Zip Code

Cotuit

MA

02635-3111

FEC ID number of contributing
federal political committee.

C

Name of Employer

Leveroni Financial Management

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.26

Date of Receipt

12 / 15 / 2014

Transaction ID : AFEFB71B3EB75476BA95

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. TIMOTHY W LEVERONI, CFP

Mailing Address 1100 RANDOLPH AVE.

City

State

Zip Code

Milton

MA

02186-5235

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : A01921BDB96A1483CBB2

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WILLIAM LEE LITTON

Mailing Address 8875 COSTA VERDE BLVD #1217

City

State

Zip Code

San Diego

CA

92122-6665

FEC ID number of contributing
federal political committee.

C

Name of Employer

Litton Financial

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A8FE0CD55547C47D084A

Amount of Each Receipt this Period

75.00

Payroll Deduction: \$25.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

179.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Tom Lux

Mailing Address 8875 Costa Verde Blvd Apt 912
Unit B203

City State Zip Code
San Diego CA 92122-7683

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Finance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A1858CFA4B6FE4E3F902

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. ROBERT D LYMAN JR.

Mailing Address 792 WORTHAM DR

City State Zip Code
Mundelein IL 60060-3377

FEC ID number of contributing
federal political committee.

C

Name of Employer

SEQUOIA FINANCIAL NETWORK

Occupation

MANAGING PARTNER

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

458.24

Date of Receipt

11 / 30 / 2014

Transaction ID : A890EB06AAEFC4E568BC

Amount of Each Receipt this Period

20.83

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Mr. CAM JOEL MADER

Mailing Address 10660 GLEN EAGLE RD

City State Zip Code
Saint Paul MN 55129-4223

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

12 / 31 / 2014

Transaction ID : A239284DAA6964744BCA

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

354.15

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Verne Verne Marble

Mailing Address 9103 W. 157th Terrace

City

Overland Park

State

KS

Zip Code

66221-9316

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AFDA037E5F0F14725AA3

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. LAILA SOBHI MARSHALL-PENCE

Mailing Address 10572 VILLA DEL CERRO

City

Santa Ana

State

CA

Zip Code

92705-1486

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pence Wealth Management

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A6D069E1EB4BF47A6919

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. THEODORE ROCCO MASSARO, CHFC

Mailing Address 36 HARVEST LANE

City

Medford

State

NJ

Zip Code

08055-8450

FEC ID number of contributing
federal political committee.

C

Name of Employer

M Financial Planning Services, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A909F5B8D3739488A8C4

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

1020.83

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. ROBERT ARTHUR MATSON

Mailing Address 333 ORCHARD STREET

City State Zip Code
 Millis MA 02054-1025

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Robert A Matson CLU, ChFC

Occupation
 Owner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : A1C11BD1CCA4044F5B46

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID JOHN MC MENAMIN, CFP

Mailing Address 5100 W. RHYOLITE LOOP

City State Zip Code
 Tucson AZ 85745-8967

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial

Occupation
 Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1666.60

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : A6A3D0C7BAC8A4478B25

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Tim McGough

Mailing Address 7014 Thirsty Cactus Lane

City State Zip Code
 Scottsdale AZ 85266-7305

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 EVP, Human Resources

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : AC6FE1D99E4D543F7BE0

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

395.81

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. W. DAIL MEIKLE, CFP

Mailing Address 17239 N 19TH AVE APT 2001

City State Zip Code
 Phoenix AZ 85023-2439

FEC ID number of contributing
federal political committee.

C

Name of Employer

Meikle Financial Group

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AC3EC9C229247454CA83

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. ROBERT EDWARD MICONE

Mailing Address 360 EAST FIRST ST

City State Zip Code
 Tustin CA 92780-3211

FEC ID number of contributing
federal political committee.

C

Name of Employer

AFP LPL

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

12 / 31 / 2014

Transaction ID : A45DB05CD47A64CDB813

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Paul Paul Middlemiss

Mailing Address 7201 Seton House Lane

City State Zip Code
 Charlotte NC 28277-3339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

EVP, CCO Advisory

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A2B1C80580C1F43C0950

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

458.33

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTSUse separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
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<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
<input type="checkbox"/> 13	<input type="checkbox"/> 14	<input type="checkbox"/> 15	<input type="checkbox"/> 16 <input type="checkbox"/> 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. John John Milder

Mailing Address 1031 N Marion St

City

Oak Park

State

IL

Zip Code

60302-1374

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Regional Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	19	/	2014

Transaction ID : A4644FC1B9CEB4DDE916

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. EDWARD BRUCE MILLER

Mailing Address 1721 BARDSTOWN RD

City

Charlotte

State

NC

Zip Code

28226-0915

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2014

Transaction ID : A4EF5E4CE4BAA4188C0

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. SHARI DIANE MILLER

Mailing Address 4250 SHOREPOINTE WAY

City

San Diego

State

CA

Zip Code

92130-8634

FEC ID number of contributing
federal political committee.

C

Name of Employer

Symbio Financial Partners

Occupation

manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M M	/	D D D	/	Y Y Y Y Y Y
12	/	31	/	2014

Transaction ID : A74E3A5C35219426EA5E

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

770.81

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Robert Moore

Mailing Address PO Box 2764

City

Rancho Santa Fe

State

CA

Zip Code

92067-2764

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

12 / 19 / 2014

Transaction ID : A2683B5B5D2F34E7891E

Amount of Each Receipt this Period

416.66

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. THOMAS W MORAN

Mailing Address 12 COMMODORE HULL DRIVE

City

Derby

State

CT

Zip Code

06418-2255

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

12 / 31 / 2014

Transaction ID : A1E2CE2CAF77249B8A5B

Amount of Each Receipt this Period

83.32

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Thomas Murphy

Mailing Address 4475 Osprey St

City

San Diego

State

CA

Zip Code

92107-4030

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A5B95B09139574B90A36

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

520.82

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Paul Paul Nalbandian

Mailing Address 15475 Pinehurst Place

City

San Diego

State

CA

Zip Code

92131-4331

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Growth Management

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A30ECBA21587A422F81F

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. HAROLD FRANCIS NEVILLE, JR.

Mailing Address 94A ATLANTIC AVE

City

North Hampton

State

NH

Zip Code

03862-2309

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Financial Adviser

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

312.45

Date of Receipt

12 / 31 / 2014

Transaction ID : A2E90AB95A5554C089AF

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. BRIAN H. NEYLAND

Mailing Address 33 HEALY STREET

City

Huntington

State

NY

Zip Code

11743-5323

FEC ID number of contributing
federal political committee.

C

Name of Employer

Financial Resources Group(FRG)

Occupation

Vice President

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A1283D67B06154192AC2

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

114.56

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARY LOUISE NOTHELFER

Mailing Address 731 DORSET ROAD

City

Allentown

State

PA

Zip Code

18104-3385

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1562.40

Date of Receipt

12 / 31 / 2014

Transaction ID : A6C9B260D36F44F198AA

Amount of Each Receipt this Period

312.48

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. BRIAN OETTINGER, CFP

Mailing Address 17805 BUEHLER RD. #116

City

Olney

State

MD

Zip Code

20832-2353

FEC ID number of contributing
federal political committee.

C

Name of Employer

Sandy Spring Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AB8B2C0343DC54F539E0

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

c. Michelle B Oroschakoff

Mailing Address P.O. Box 9216

City

Rancho Santa Fe

State

CA

Zip Code

92067-7437

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

MD, Chief Risk Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

208.40

Date of Receipt

12 / 19 / 2014

Transaction ID : A39DDB4676A7F43349CE

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

395.81

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Arthur Osman

Mailing Address 9611 Hanover Ridge C
 # C

City State Zip Code
 Charlotte NC 28210-7738

FEC ID number of contributing
 federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Clearing Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 19 / 2014

Transaction ID : A49AE758210524C0A90B

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. CARLO ANTHONY PANACCIONE, CFP

Mailing Address 12760 DIANNE DRIVE

City State Zip Code
 Los Altos Hills CA 94022-4606

FEC ID number of contributing
 federal political committee.

C

Name of Employer

Navigation Group

Occupation

Private Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2666.56

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 31 / 2014

Transaction ID : A4CD825AAC20E4C40984

Amount of Each Receipt this Period

333.32

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT ALLEN PAUL

Mailing Address 29 WYNDRIDGE CIRCLE

City State Zip Code
 Pelham NH 03076-3286

FEC ID number of contributing
 federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

333.36

Date of Receipt

M M / D D / Y Y Y Y Y
 12 / 31 / 2014

Transaction ID : AA12E006983514F4CA37

Amount of Each Receipt this Period

125.01

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

479.17

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. VICKIE LINN PETIX-REICHERT

Mailing Address 2529 CASTELLON TERRACE

City State Zip Code
 El Cajon CA 92019-3859

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

479.09

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : AEF0D690688B34C03B93

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. DAVID ANTHONY PICKLER

Mailing Address 2268 BIRCHTON DRIVE

City State Zip Code
 Germantown TN 38139-5251

FEC ID number of contributing
federal political committee.

C

Name of Employer

Pickler Wealth Advisors

Occupation

CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4010.25

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : AC61FF959BAE54E279D2

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. DANIEL WALTER PINKERTON

Mailing Address 14701 S. HERITAGE DR.

City State Zip Code
 Coeur D Alene ID 83814-8613

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2014

Transaction ID : A721EBF20304B498E95A

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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687.45

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. GREGORY R. POWELL, CIMA

Mailing Address 505 RUSSET BEND DRIVE

City State Zip Code
 Hoover AL 35244-4330

FEC ID number of contributing
federal political committee.

C

Name of Employer

fi-Plan Partners

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3749.94

Date of Receipt

12 / 31 / 2014

Transaction ID : A5CCC3D810D4B4A9F9E5

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. David Reich

Mailing Address 7995 Entrada De Luz West

City State Zip Code
 San Diego CA 92127-2512

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

EVP, Head of Retirement Ptnrs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.08

Date of Receipt

12 / 19 / 2014

Transaction ID : AEF37E466182444E296C

Amount of Each Receipt this Period

208.34

Payroll Deduction: \$104.17/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. STEPHEN REICH

Mailing Address 830 GRANDVIEW AVE

City State Zip Code
 Duluth MN 55812-1170

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Investment Planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : AA9879A1D9CEA40B3A1E

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

864.56

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. BARRY JONATHAN REID

Mailing Address 27604 MARINE VIEW DRIVE S.

City State Zip Code
Des Moines WA 98198-9347

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A03ED1ED9A30A4D59B64

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Lee Richardson

Mailing Address 14823 Asheton Creek Dr

City State Zip Code
Charlotte NC 28273-3448

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

12 / 19 / 2014

Transaction ID : ADF22C2EF4FC142AF892

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. RICHARD ROBERTS ROBIE, CFP (R)

Mailing Address 106 MIST FLOWER

City State Zip Code
Lake Jackson TX 77566-6031

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

12 / 31 / 2014

Transaction ID : A8B8948CFDD244E57949

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

145.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CAROL L. ROGERS, MBA

Mailing Address 1306 CONWAY OAKS DR.

City

Chesterfield

State

MO

Zip Code

63017-1958

FEC ID number of contributing
federal political committee.

C

Name of Employer

Rogers & Company, A Wealth Management

Occupation

President, CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

916.52

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A3882F57B32F04C79B91

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Michelle Michelle Rohrbach

Mailing Address 5661 La Jolla Hermosa Avenue

City

San Diego

State

CA

Zip Code

92037-7549

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Business Consulting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AB0526737291F497FB73

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. KARL HORACE ROMERO

Mailing Address 1221 WEST COAST HWY SUITE 407

City

Newport Beach

State

CA

Zip Code

92663-5048

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1916.59

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AE8E61D5A306C429D8BA

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

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395.81

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Philip Philip Rosplock

Mailing Address 6822 Williams Road

City State Zip Code
Concord OH 44077-9513

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Trust Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.16

Date of Receipt

M M / D D / Y Y Y Y
12 / 19 / 2014

Transaction ID : AF00F4237A1DD475FBE3

Amount of Each Receipt this Period

41.68

Payroll Deduction: \$20.84/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. THOMAS E ROYCE

Mailing Address 27W507 WALLACE RD.

City State Zip Code
Wheaton IL 60189-7866

FEC ID number of contributing
federal political committee.

C

Name of Employer

Voyage Financial Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y
12 / 31 / 2014

Transaction ID : A2451FDA86DF7476A821

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ARTHUR R. SALOMON

Mailing Address 459 WOODLAKE WAY

City State Zip Code
Lexington KY 40502-2535

FEC ID number of contributing
federal political committee.

C

Name of Employer

Salomon & Co.

Occupation

Financial Advisor-CEO

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y
12 / 31 / 2014

Transaction ID : A2DE6D7062C3D4547B6E

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

254.17

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Dan Schuck

Mailing Address 10370 Crosscreek Terrace

City State Zip Code
 San Diego CA 92131-1341

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Technology

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2400.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : A2C9620464C0E48C2890

Amount of Each Receipt this Period

200.00

Payroll Deduction: \$100.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Charles Schwab

Mailing Address 3501 Lone Hill Lane

City State Zip Code
 Encinitas CA 92024-7276

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, BTS

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : ACDE898FF8B7E4951B37

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Thomas Seeburger

Mailing Address 423 South Nevada Street

City State Zip Code
 Oceanside CA 92054-4038

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Operations

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
 12 19 2014

Transaction ID : A838E93D49CB74CF8AA1

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

325.00

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JERRY ALAN SEIBERT

Mailing Address 5001 WILDCAT RUN

City State Zip Code
Springfield IL 62711-7835

FEC ID number of contributing
federal political committee.

C

Name of Employer

Troxell Financial Advisors

Occupation

Owner/Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

239.43

Date of Receipt

M M / D D / Y Y Y Y
12 31 2014

Transaction ID : AE4196DC3FE8B445B841

Amount of Each Receipt this Period

20.82

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. GARREN JOSEPH SEPEDE

Mailing Address 224 DESERT VIEW ST.

City State Zip Code
Las Vegas NV 89107-2355

FEC ID number of contributing
federal political committee.

C

Name of Employer

Strategic Wealth Mgt, Inc.

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M / D D / Y Y Y Y
12 31 2014

Transaction ID : ADE06E4A6ECC74A6197D

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jim Shorris

Mailing Address 19 Kingsbury Street

City State Zip Code
Wellesley Hills MA 02481-3504

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y
12 19 2014

Transaction ID : A4EFBA847AA7949668F9

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

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93.71

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JOHN FRED SHREWSBURY

Mailing Address 7325 PALM BEACH AVE

City

Bryant

State

AR

Zip Code

72019-1849

FEC ID number of contributing
federal political committee.

C

Name of Employer

GenWealth Financial Advisors

Occupation

Managing Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2014

Transaction ID : AF287FEF6A0394E58BF6

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Adam Sokolic

Mailing Address 5125 Marlborough Dr.

City

San Diego

State

CA

Zip Code

92116-4909

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

SVP, Retirement Partners

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 19 / 2014

Transaction ID : AF6A95BA1744848EB899

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. GREG ROBERT SOLIS

Mailing Address 52806 VIA DONA

City

La Quinta

State

CA

Zip Code

92253-7565

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 31 / 2014

Transaction ID : A02C31EF6E03F4643B5F

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

354.14

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. James James Sorey

Mailing Address 13523 Robert Walker Dr

City

Davidson

State

NC

Zip Code

28036-6008

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

SVP, Business Development

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : A9AE27052CBC14993B99

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Joe Sovcik

Mailing Address 2007 18th Ave. NE

City

Issaquah

State

WA

Zip Code

98029-7375

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Strategy

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 19 / 2014

Transaction ID : AB13EF574403747798F4

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. GARY M STANISLAWSKI

Mailing Address 2992 E 78TH ST

City

Tulsa

State

OK

Zip Code

74136-8732

FEC ID number of contributing
federal political committee.

C

Name of Employer

Regent Financial Services

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A12C94D20F0DB462E9C1

Amount of Each Receipt this Period

249.99

Payroll Deduction: \$83.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

312.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. JOHN P STEVENS

Mailing Address 6697 MAHOGANY COURT

City State Zip Code
 Liberty Township OH 45044-8894

FEC ID number of contributing
federal political committee.

C

Name of Employer
 Stevens Investment Group, Inc

Occupation
 Wealth Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A210D4CAF61E64563926

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KEVIN PETER SUPKA

Mailing Address 1408 STOCTON ROAD

City State Zip Code
 Jenkintown PA 19046-1131

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial

Occupation
 Registered Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3999.84

Date of Receipt

12 / 31 / 2014

Transaction ID : A903E9F11A8A0482CA6E

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Cindy Cindy Swank

Mailing Address 5138 Bevington Place

City State Zip Code
 Charlotte NC 28277-5505

FEC ID number of contributing
federal political committee.

C

Name of Employer
 LPL Financial LLC

Occupation
 EVP, Service Value Commitment

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A4193D607FDF148538A2

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

645.80

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Kimberly Kimberly Sweet

Mailing Address 11153 Gatemoore Way

City

San Diego

State

CA

Zip Code

92131-3645

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : AFECB981F92E643AAB90

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Todd Tharp

Mailing Address 6209 Beaumont

City

La Jolla

State

CA

Zip Code

92037-4838

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Broker Dealer Supt Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A5CA93AA00869461A8BF

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Cinda Cinda Todd

Mailing Address 9320 Praful Court

City

Santee

State

CA

Zip Code

92071-2237

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

VP, Business Mgmt

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.08

Date of Receipt

12 / 19 / 2014

Transaction ID : A3CED15CE61334FE5B5F

Amount of Each Receipt this Period

20.84

Payroll Deduction: \$10.42/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

125.02

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. CRIS WALTER TUFT

Mailing Address 6061 S EMPORIA CIRCLE

City State Zip Code
Englewood CO 80111-5416

FEC ID number of contributing
federal political committee.

C

Name of Employer
Coordinated Financial Services Inc.

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 05 / 2014

Transaction ID : A96B9FBEDA153400AB6F

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

B. TOM MICHAEL TYE, CFP

Mailing Address 3185 AIRPORT RD

City State Zip Code
Danville KY 40422-9434

FEC ID number of contributing
federal political committee.

C

Name of Employer
Tye Financial group

Occupation
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A7023FA7607D64BBC9E2

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JOAN MARIE VALENTI

Mailing Address 6 MORGAN PLACE

City State Zip Code
Unionville CT 06085-1178

FEC ID number of contributing
federal political committee.

C

Name of Employer
Valenti Wealth Management

Occupation
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AB60E1D39698D485F977

Amount of Each Receipt this Period

150.00

Payroll Deduction: \$50.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

462.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEVEN LEE VAN HOUTEN

Mailing Address 1428 CALLE CHRISTOPHER

City State Zip Code
Encinitas CA 92024-5520

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3124.95

Date of Receipt

12 / 31 / 2014

Transaction ID : AC4C0A7EF428D4F2EB8A

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. KENNETH WILLIAM VAN LEEUWEN

Mailing Address 1010 BARCLAY BLVD

City State Zip Code
Princeton NJ 08540-5883

FEC ID number of contributing
federal political committee.

C

Name of Employer

Van Leeuwen & Company

Occupation

Managing Director

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2766.13

Date of Receipt

12 / 31 / 2014

Transaction ID : AE7F9CF17AFD140EC9CA

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. JUDY ANN VANARSDALE

Mailing Address 22216 W. CHESTNUT RIDGE RD.

City State Zip Code
Kildeer IL 60047-3052

FEC ID number of contributing
federal political committee.

C

Name of Employer

enRich Private Wealth Management

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

12 / 31 / 2014

Transaction ID : A2BE63DEA8D6146928D1

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1062.48

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. SANDEEP VARMA

Mailing Address 688 CAMINO EL DORADO

City

Encinitas

State

CA

Zip Code

92024-3822

FEC ID number of contributing
federal political committee.

C

Name of Employer

ATS Advanced Trustee Strategies

Occupation

ATS Wealth Strategist

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

749.88

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AC9385DF2F71349DC813

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Scott Vock

Mailing Address 2503 Roswell Avenue

City

Charlotte

State

NC

Zip Code

28209-1659

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

VP, Govn, Risk & Compliance

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 19 / 2014

Transaction ID : ACED907A48D934755A4E

Amount of Each Receipt this Period

41.66

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. WAYNE VON BORSTEL CFP

Mailing Address 1603 MORTON

City

The Dalles

State

OR

Zip Code

97058-4006

FEC ID number of contributing
federal political committee.

C

Name of Employer

Lpl Financial LLC

Occupation

financial planner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A37260E5C989C4F3A98E

Amount of Each Receipt this Period

624.99

Payroll Deduction: \$208.33/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

791.63

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. WAYNE DAVID WAGNER, JR.

Mailing Address 34 WATER VIEW DRIVE

City State Zip Code
Pilesgrove NJ 08098-2649

FEC ID number of contributing
federal political committee.

C

Name of Employer
Vizionary Wealth Management

Occupation
President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y
12 31 2014

Transaction ID : A801479A32EBE4A1F9D6

Amount of Each Receipt this Period

375.00

Payroll Deduction: \$125.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. MICHAEL JAMES WARD, CFP

Mailing Address 1491 BERNE-ALTAMONT ROAD

City State Zip Code
Albany NY 12209

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y
12 31 2014

Transaction ID : AE8B5F43116FC4BB3B2D

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. Jeffrey Warren

Mailing Address 7137 Sherbourne Lane

City State Zip Code
San Diego CA 92129-4655

FEC ID number of contributing
federal political committee.

C

Name of Employer
LPL Financial LLC

Occupation
SVP, Service

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

720.00

Date of Receipt

M M / D D / Y Y Y Y
12 19 2014

Transaction ID : A681B1B6D83974C318AA

Amount of Each Receipt this Period

60.00

Payroll Deduction: \$30.00/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

497.49

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. STEPHEN H WEDEL

Mailing Address 13350 BUCKLAND HALL ROAD

City State Zip Code
 Saint Louis MO 63131-1214

FEC ID number of contributing federal political committee.

C

Name of Employer

LPL Financial LLC

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

374.94

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 12 31 2014

Transaction ID : A9167B9C2473D4910A39

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. Geoffrey Geoffrey White

Mailing Address 7454 Sundial Place

City State Zip Code
 Carlsbad CA 92011-4688

FEC ID number of contributing federal political committee.

C

Name of Employer

Lpl Financial Llc

Occupation

SVP, Retirement Recruiting

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

240.00

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 12 19 2014

Transaction ID : AD2BDD712238E45DA965

Amount of Each Receipt this Period

20.00

Payroll Deduction: \$10.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROGER PATRICK WHITNEY

Mailing Address 1304 KILLIAN DR

City State Zip Code
 Mansfield TX 76063-6038

FEC ID number of contributing federal political committee.

C

Name of Employer

WWK Wealth Advisors

Occupation

Partner

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.84

Date of Receipt

M M M / D D D / Y Y Y Y Y Y
 12 31 2014

Transaction ID : AE262CE87F68843BD996

Amount of Each Receipt this Period

31.23

Payroll Deduction: \$10.41/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

113.72

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MICHAEL C. F. WILLIAMS, CFP

Mailing Address 15 IVY LANE

City

Chester Springs

State

PA

Zip Code

19425-3316

FEC ID number of contributing
federal political committee.

C

Name of Employer

First Financial Networks, Inc

Occupation

President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

3833.18

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A8C534657821245C5966

Amount of Each Receipt this Period

499.98

Payroll Deduction: \$166.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. WARREN DAVID WISE

Mailing Address 437 WEDGEWOOD CT

City

Eden

State

NC

Zip Code

27288-2841

FEC ID number of contributing
federal political committee.

C

Name of Employer

Market St. Wealth Management Group

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2499.84

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : A3D22EE648F374B318E6

Amount of Each Receipt this Period

312.48

Payroll Deduction: \$104.16/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. CARY ANN WONG

Mailing Address 23015 EAST COLT LANE

City

Liberty Lake

State

WA

Zip Code

99019-8520

FEC ID number of contributing
federal political committee.

C

Name of Employer

Wong Financial Services, Inc.

Occupation

Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AE06F83CE1D1C4CAEB2B

Amount of Each Receipt this Period

125.01

Payroll Deduction: \$41.67/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

937.47

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 61 OF 64

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Gregory M. Woods

Mailing Address 116 Pembroke Street

City

Boston

State

MA

Zip Code

02118-1208

FEC ID number of contributing
federal political committee.

C

Name of Employer

LPL Financial, LLC

Occupation

EVP, Deputy General Counsel

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1000.08

Date of Receipt

12 / 19 / 2014

Transaction ID : AF5227DEDDA994391A45

Amount of Each Receipt this Period

83.34

Payroll Deduction: \$41.67/Bi-Monthly

Full Name (Last, First, Middle Initial)

B. SCOTT W YANKER

Mailing Address 719 FOREST CIRCLE TRAIL RD.

City

Ballwin

State

MO

Zip Code

63021-7266

FEC ID number of contributing
federal political committee.

C

Name of Employer

The Yanker Group

Occupation

Financial Advisor

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

252.00

Date of Receipt

12 / 31 / 2014

Transaction ID : ABE27F2948D55421F9FF

Amount of Each Receipt this Period

63.00

Payroll Deduction: \$21.00/Bi-Monthly

Full Name (Last, First, Middle Initial)

C. ROBERT ALAN YOUNG

Mailing Address 14504 COUNTY ROAD 96B

City

Woodland

State

CA

Zip Code

95695-9221

FEC ID number of contributing
federal political committee.

C

Name of Employer

Main Street Wealth Group

Occupation

Financial Advisor

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

458.26

Date of Receipt

12 / 31 / 2014

Transaction ID : A7F55C56ADF014BFDA22

Amount of Each Receipt this Period

62.49

Payroll Deduction: \$20.83/Bi-Monthly

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

208.83

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. MARK G ZITZELSBERGER

Mailing Address 1048 CLUBHOUSE DRIVE

City

Weidman

State

MI

Zip Code

48893-9339

FEC ID number of contributing
federal political committee.

C

Name of Employer

Total Investment Planners, Inc.

Occupation

Financial Advisor

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y Y
12 / 31 / 2014

Transaction ID : AED914B82588F4A4891E

Amount of Each Receipt this Period

124.98

Payroll Deduction: \$41.66/Bi-Monthly

Full Name (Last, First, Middle Initial)

B.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing
federal political committee.

C

Name of Employer

Occupation

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

Date of Receipt

M M / D D / Y Y Y Y Y Y

Amount of Each Receipt this Period

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

124.98

25660.30

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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☒ 21b ☐ 22 ☐ 23 ☐ 24 ☐ 25 ☐ 26
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fee

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y
12 12 2014
Transaction ID : B67684BAE8C1E40C89E8

Amount of Each Disbursement this Period

12.45

Full Name (Last, First, Middle Initial)

B. Aristotle International

Mailing Address 205 Pennsylvania Ave SE

City
WashingtonState
DCZip Code
20003-1164Purpose of Disbursement
Credit Card Processing Fee

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y
12 24 2014
Transaction ID : B0863718873A84337A21

Amount of Each Disbursement this Period

12.50

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President
State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ►

24.95

TOTAL This Period (last page this line number only)..... ►

24.95

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input checked="" type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial LLC Political Action Committee (LPL PAC)

Full Name (Last, First, Middle Initial)

A. DAVID GEORGE HERBST, CFP,MBA

Mailing Address 925 BURGESS HILL RD

City	State	Zip Code
Naperville	IL	60565-6106

Purpose of Disbursement
Refund of Contribution Made

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:	<input type="checkbox"/> Primary	<input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		15		2014

Transaction ID : B0D5E131CE5A34C5FAD9

Amount of Each Disbursement this Period

166.66

Full Name (Last, First, Middle Initial)

B. PETER E LEDEBUHR, CFP

Mailing Address 1723 CHADWICKE CIRCLE

City	State	Zip Code
Naperville	IL	60540-0398

Purpose of Disbursement
Refund

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:	<input type="checkbox"/> Primary	<input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		30		2014

Transaction ID : B62DE1B8B22B74A02A55

Amount of Each Disbursement this Period

50.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City	State	Zip Code
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Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For:	<input type="checkbox"/> Primary	<input type="checkbox"/> General
	<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
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Amount of Each Disbursement this Period

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SUBTOTAL of Disbursements This Page (optional).....▶

216.66

TOTAL This Period (last page this line number only).....▶

216.66
