

RECEIVED  
FEDERAL ELECTION COMMISSION  
COMMUNICATIONS SECTION  
JAN 21 11 42 AM '98

1815 Capitol Avenue  
Omaha, NE 68102  
402-221-2081  
Fax: 402-221-2501



January 21, 1998

CERTIFIED MAIL  
RETURN RECEIPT REQUESTED

Federal Election Commission  
999 E. Street, NW  
Washington, D.C. 20463

RE: FEC Form 3X - Report of Receipts and  
Disbursements for UtiliCorp United Inc.  
Employee Political Action Committee  
a/k/a UCU Employee PAC

Dear Sir/Madam:

Enclosed is the FEC Form 3X to be filed with the Election Commission on behalf of the UtiliCorp United Inc. Employee Political Action Committee. The Year End Report covers the reporting period of July 1, 1997 to December 31, 1997.

If you have any questions or need additional information, please contact the undersigned.

Sincerely,

Douglas R. Clark  
Treasurer  
UCU Employee PAC  
402-221-2412

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee  
(Summary Page)

USE FEC MAILING LABEL OR TYPE OR PRINT

RECEIVED  
COMMUNICATIONS SECTION  
MAIL ROOM

JAN 21 11 42 AM '98

1. NAME OF COMMITTEE (in full)  
**UtiliCorp United Inc. Employee PAC**  
**a/k/a UtiliCorp United Employee PAC**

ADDRESS (number and street)  Check if different than previously reported  
**1815 Capitol Avenue, 2nd Floor**

CITY, STATE and ZIP CODE  
**Omaha, NE 68102**

2. FEC IDENTIFICATION NUMBER  
**C00211615**

3.  This committee has qualified as a multicandidate committee. (see FEC FORM 1M) **1/1/94**  
**satisfied criteria prior to**

4. TYPE OF REPORT

(a)  April 15 Quarterly Report  
 July 15 Quarterly Report  
 October 15 Quarterly Report  
 January 31 Year End Report  
 July 31 Mid Year Report (Non-election Year Only)  
 Termination Report

Monthly Report Due On:  
 February 20     June 20     October 20  
 March 20     July 20     November 20  
 April 20     August 20     December 20  
 May 20     September 20     January 31

Twelfth day report preceding \_\_\_\_\_ (Type of Election)  
election on \_\_\_\_\_ in the State of \_\_\_\_\_

Thirtieth day report following the General Election on \_\_\_\_\_ in the State of \_\_\_\_\_

(b) Is this Report an Amendment?  YES  NO

SUMMARY	COLUMN A This Period	COLUMN B Calendar Year-to-Date
5. Covering Period <b>July 1, 1997</b> through <b>Dec. 31, 1997</b>		
6. (a) Cash on Hand January 1, 19 <b>97</b>		\$ 4451.11
(b) Cash on Hand at Beginning of Reporting Period	\$ 6797.11	
(c) Total Receipts (from Line 19)	\$ 14,023.68	\$ 16,369.74
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	\$ 20,820.85	\$ 20,820.85
7. Total Disbursements (from Line 30)	\$ 1,500.00	\$ 1,500.00
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	\$ 19,320.85	\$ 19,320.85
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0	For further information contact: Federal Election Commission 990 E Street, NW Washington, DC 20463 Toll Free 800-424-9690 Local 202-219-3420
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	\$ 0	

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer  
**Douglas R. Clark**

Signature of Treasurer *Douglas R. Clark* Date **1/21/98**

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

# DETAILED SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

PAGE 2, FEC FORM 3X

(revised 1/1/91)

NAME OF COMMITTEE **UtiliCorp United Inc. Employee Political Action Committee a/k/a UtiliCorp United Employee** REPORT COVERING PERIOD  
 FROM **July 1, '97** Dec. 31, '97

	PAC	COLUMN A Total This Period	COLUMN B Calendar Year	
<b>I. Receipts</b>				
11. Contributions (other than loans) From:				
a. Individual/Persons Other Than Political Committees				
i. Itemized (use Schedule A)		10,435.00	10,915.00	11(a)(i)
ii. Unitemized		3,588.68	5,454.74	11(a)(ii)
iii. Total (add i and ii) >		14,023.68	16,369.74	11(a)(iii)
b. Political Party Committees		0	0	11(b)
c. Other Political Committees (such as PACs)		0	0	11(c)
d. Total Contributions (add a, b, and c) >		0	0	11(d)
12. Transfers From Affiliated/Other Party Committees		0	0	12
13. All Loans Received		0	0	13
14. Loan Repayments Received		0	0	14
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.)		0	0	15
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees		0	0	16
17. Other Federal Receipts (Dividends, Interest, etc.)		0	0	17
18. Transfers from Nonfederal Account for Joint Activity		0	0	18
19. Total Receipts (add 11d, 12, 13, 14, 15, 16, 17, and 18) >		14,023.68	16,369.74	19
20. Total Federal Receipts (subtract line 18 from line 19) >		14,023.68	16,369.74	20
<b>II. Disbursements</b>				
21. Operating Expenditures:				
a. Shared Federal/Non-Federal Activity (from Schedule H4)				
i. Federal Share		0	0	21(a)(i)
ii. Non-Federal Share		0	0	21(a)(ii)
b. Other Federal Operating Expenditures		0	0	21(b)
c. Total Operating Expenditures (add a i, a ii, and b) >		0	0	21(c)
22. Transfers to Affiliated/Other Party Committees		0	0	22
23. Contributions to Federal Candidates/Committees and Other Political Committees		1,500.00	1,500.00	23
24. Independent Expenditures (use Schedule E)		0	0	24
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)		0	0	25
26. Loan Repayments Made		0	0	26
27. Loans Made		0	0	27
28. Refunds of Contributions To:				
a. Individual/Persons Other Than Political Committees		0	0	28(a)
b. Political Party Committees		0	0	28(b)
c. Other Political Committees (such as PACs)		0	0	28(c)
d. Total Contribution Refunds (add a, b and c) >		0	0	28(d)
29. Other Disbursements		0	0	29
30. Total Disbursements (add 21c, 22, 23, 24, 25, 26, 27, 28d, and 29) >		1,500.00	1,500.00	30
31. Total Federal Disbursements (subtract line 21 a ii from line 30) >		1,500.00	1,500.00	31
<b>III. Net Contributions/Operating Expenditures</b>				
32. Total Contributions (other than loans)(from line 11d)		14,023.68	16,369.74	32
33. Total Contribution Refunds (from line 28d)		0	0	33
34. Net Contributions (other than loans)(subtract line 33 from 32)		14,023.68	16,369.74	34
35. Total Federal Operating Expenditures (add 21 a i and 21 b) >		0	0	35
36. Offsets to Operating Expenditures (from line 15)		0	0	36
37. Net Operating Expenditures (subtract line 36 from 35) >		0	0	37

**SCHEDULE A**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 2  
FOR LINE NUMBER 110-1

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE (in Full)** UtiliCorp United Inc. Employee Political Action Committee  
a/k/a UtiliCorp United Employee PAC

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt this Period
Douglas R. Clark 1815 Capitol Ave. Omaha, NE 68102	UtiliCorp United	12/31/97	\$550
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Manager</b> Aggregate Year-to-Date > \$ 650.00		
Charles Dempster 21 Tothill St. London England W51H9LL	UtiliCorp United U.K.	12/31/97	\$280
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Chairman &amp; Chief Exec</b> Aggregate Year-to-Date > \$ 520.00		
Jon Empson 1815 Capitol Ave. Omaha, NE 68102	UtiliCorp United	12/31/97	\$660
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Sr. Vice President</b> Aggregate Year-to-Date > \$ 780.00		
August Fromoth 815 Elm St. Manchester, NE 68101	UtiliCorp United	12/31/97	\$420
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Manager</b> Aggregate Year-to-Date > \$ 540.00		
Richard Green 20 W. 9th Kansas City, MO 64105	UtiliCorp United	12/31/97	\$5,000
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Chief Exec. Officer</b> Aggregate Year-to-Date > \$ 5,000.00		
Robert Green 20 W. 9th St. Kansas City, MO 64105	UtiliCorp United	12/31/97	\$400
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>President</b> Aggregate Year-to-Date > \$ 400.00		
Richard J. Haubensak 1815 Capitol Ave. Omaha, NE 68102	UtiliCorp United	12/31/97	\$245
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: <b>Vice President</b> Aggregate Year-to-Date > \$ 285.00		

**SUBTOTAL** of Receipts This Page (optional) ..... \$7,555.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A**

**ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page

PAGE 1 OF 2  
FOR LINE NUMBER 11a 3

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**NAME OF COMMITTEE (In Full)** UtiliCorp United Inc. Employee Political Action Committee  
a/k/a UtiliCorp United Employee PAC

A. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Robert Howell 20 W. 9th Kansas City, MO 64105	UtiliCorp United	12/31/97	\$880
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Vice President Bus. Dev.	Aggregate Year-to-Date > \$ 1,040.00	
B. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
James Miller 20 W. 9th Kansas City, MO 64105	UtiliCorp United	12/31/97	\$280
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Sr. Vice President	Aggregate Year-to-Date > \$520.00	
C. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Leo Morton 20 W. 9th Kansas City, MO 64105	UtiliCorp United	12/31/97	\$440
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Sr. Vice President	Aggregate Year-to-Date > \$520.00	
D. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Jerry Myers 20 W. 9th St. Kansas City, MO 64105	UtiliCorp United	12/31/97	\$220
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Manager	Aggregate Year-to-Date > \$ 260.00	
E. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Harvey Padewer 20 W. 9th St. Kansas City, MO 64105	UtiliCorp United	12/31/97	\$160.00
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Sr. Vice President	Aggregate Year-to-Date > \$ 280.00	
F. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Stephen Wertz 1750 E. 350 Hwy Kansas City, MO 64138	UtiliCorp United	12/31/97	\$350
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Manager	Aggregate Year-to-Date > \$ 650.00	
G. Full Name, Mailing Address and ZIP Code	Name of Employer	Date (month, day, year)	Amount of Each Receipt This Period
Dale Wolf 20 W. 9th St. Kansas City, MO 64105	UtiliCorp United	12/31/97	\$550
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input checked="" type="checkbox"/> Other (specify): Fundraising	Occupation: Vice President	Aggregate Year-to-Date > \$ 650.00	

<b>SUBTOTAL</b> of Receipts This Page (optional) .....	\$2,880.00
<b>TOTAL</b> This Period (last page this line number only) .....	\$10,435.00

**SCHEDULE B**

**ITEMIZED DISBURSEMENTS**

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

**NAME OF COMMITTEE (In Full)** UtiliCorp United Inc. Employee Political Action Committee  
a/k/a UtiliCorp United Employee PAC

A. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement	Date (month, day, year)	Amount of Each Disbursement This Period
Gil Gutknecht Gutknecht For Congress P.O. Box 6428 Rochester, MN 55903	Fundraising Disbursement for: <input checked="" type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	10/29/97	\$1500
B. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
C. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
D. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
E. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
F. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
G. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
H. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
I. Full Name, Mailing Address and ZIP Code	Purpose of Disbursement Disbursement for: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify)	Date (month, day, year)	Amount of Each Disbursement This Period
<b>SUBTOTAL of Disbursements This Page (optional)</b>			<b>\$1500.00</b>
<b>TOTAL This Period (last page this line number only)</b>			<b>\$1500.00</b>

Federal Election Commission

**ENVELOPE REPLACEMENT PAGE  
FOR INCOMING DOCUMENTS**

The Commission has added this page to the end of this filing to indicate how it was received.

<input type="checkbox"/> Hand Delivered	Date of Receipt
<input type="checkbox"/> First Class Mail	POSTMARKED
<input checked="" type="checkbox"/> Registered/Certified Mail	POSTMARKED <i>1-21-98</i>
<input type="checkbox"/> No Postmark	
<input type="checkbox"/> Postmark Illegible	
<input type="checkbox"/> Received from the House office of Records and Registration	Date of Receipt
<input type="checkbox"/> Received from the Senate Office of Public Records	Date of Receipt
<input type="checkbox"/> Other ( Specify):	Postmarked <hr/> and/or Date of Receipt
<input type="checkbox"/> Electronic Filing	
<i>JCS</i> PREPARER	<i>1-27-98</i> DATE PREPARED