

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) LPL Financial Corporation Political Action Committee (LPL PAC)

USE FEC MAILING LABEL OR TYPE OR PRINT

Example: If typing, type over the lines

ADDRESS (number and street) One Beacon Street, 22nd Floor, Boston, MA 02108

Check if different than previously reported. (ACC)

2. FEC IDENTIFICATION NUMBER C00486217

3. IS THIS REPORT NEW (N) OR AMENDED (A)

4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15, July 15, October 15, January 31, July 31 Mid-Year Report, Termination Report. (b) Monthly Report Due On: Feb 20, Mar 20, Apr 20, May 20, Jun 20, Jul 20, Aug 20, Sep 20, Oct 20, Nov 20, Dec 20, Jan 31. (c) 12-Day PRE-Election Report for the: Primary, General, Convention, Special, Runoff. (d) 30-Day Post-Election Report for the: General, Runoff, Special.

5. Covering Period 07 14 2010 through 09 30 2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer John E. Danton, Jr.

Signature of Treasurer Electronically Filed by John E. Danton, Jr. Date 10 14 2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Table with 8 columns and 1 row for Office Use Only.

FEC FORM 3X (Rev. 12/2004)

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

Write or Type Committee Name
LPL Financial Corporation Political Action Committee (LPL PAC)

Report Covering the Period: From: To:

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1 <input type="text" value="2010"/>	<input type="text" value="0.00"/>	<input type="text" value="0.00"/>
(b) Cash on Hand at Beginning of Reporting Period	<input type="text" value="0.00"/>	
(c) Total Receipts (from Line 19)	<input type="text" value="45900.00"/>	<input type="text" value="45900.00"/>
(d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	<input type="text" value="45900.00"/>	<input type="text" value="45900.00"/>
7. Total Disbursements (from Line 31)	<input type="text" value="1153.98"/>	<input type="text" value="1153.98"/>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	<input type="text" value="44746.02"/>	<input type="text" value="44746.02"/>
9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	
10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	<input type="text" value="0.00"/>	

This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

Write or Type Committee Name

LPL Financial Corporation Political Action Committee (LPL PAC)

Report Covering the Period: From:

M	M
0	7

D	D
1	4

Y	Y	Y	Y
2	0	1	0

 To:

M	M
0	9

D	D
3	0

Y	Y	Y	Y
2	0	1	0

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	45900.00	45900.00
(ii) Unitemized	0.00	0.00
(iii) TOTAL (add Lines 11(a)(i) and (ii)	45900.00	45900.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	45900.00	45900.00
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	45900.00	45900.00
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	45900.00	45900.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Shared Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share.....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures.....	153.98	153.98
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ▶	153.98	153.98
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	1000.00	1000.00
24. Independent Expenditure (use Schedule E)	0.00	0.00
25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))	0.00	0.00
29. Other Disbursements.....	0.00	0.00
30. Federal Election Activity (2 U.S.C 431(20))		
(a) Shared Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..	1153.98	1153.98
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	1153.98	1153.98

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) from Line 11(d), page 3)	45900.00	45900.00
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	45900.00	45900.00
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)).....	153.98	153.98
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36)	153.98	153.98

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 6 / 11
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
		<input type="checkbox"/> 15
		<input type="checkbox"/> 16
		<input type="checkbox"/> 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
LPL Financial Corporation Political Action Committee (LPL PAC)

A.

Full Name (Last, First, Middle Initial)
Dan Hogan Arnold, Jr.

Mailing Address 314 Fenton Place

City State Zip Code
Charlotte NC 28207-1916

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Managing Director of Institutional Ser

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 3000.00

Date of Receipt
M M / D D / Y Y Y Y
08 / 12 / 2010

Transaction ID: A3C490B0F893540D4ABA

Amount of Each Receipt this Period
3000.00

Political Contribution

B.

Full Name (Last, First, Middle Initial)
J Mark Barnett

Mailing Address One Beacon Street

City State Zip Code
Boston MA 02108-3107

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation EVP IR

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt
M M / D D / Y Y Y Y
09 / 10 / 2010

Transaction ID: A405F13EEBF7E4C4F956

Amount of Each Receipt this Period
1000.00

Political Contribution

C.

Full Name (Last, First, Middle Initial)
Stephanie L. Brown

Mailing Address 99 Revere Street

City State Zip Code
Boston MA 02114-3307

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation General Counsel & MD

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt
M M / D D / Y Y Y Y
07 / 28 / 2010

Transaction ID: A8D930F7944DF49CFAB4

Amount of Each Receipt this Period
5000.00

Political Contribution

SUBTOTAL of Receipts This Page (optional) ► 9000.00

TOTAL This Period (last page this line number only) ►

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 11
 (check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
LPL Financial Corporation Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
Mark S. Casady

Mailing Address One Beacon Street
22nd Floor

City Boston State MA Zip Code 02108-3107

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Chariman and CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 09 / 03 / 2010
Transaction ID: A941754E04AAE401295A
 Amount of Each Receipt this Period 5000.00
 Political Contribution

B. Full Name (Last, First, Middle Initial)
Bradford L. Cornell

Mailing Address 5257 Fieldgate Court

City Harrisburg State NC Zip Code 28075-8339

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation Senior VP - Sponsor Relations

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt 08 / 19 / 2010
Transaction ID: A48C90503A8064DC8906
 Amount of Each Receipt this Period 400.00
 Political Contribution

C. Full Name (Last, First, Middle Initial)
Jonathan Eaton

Mailing Address 12510 Grevillea Place

City San Diego State CA Zip Code 92130-4896

FEC ID number of contributing federal political committee. **C**

Name of Employer LPL Financial Occupation MD, National Sales

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt 07 / 23 / 2010
Transaction ID: A0D7BC EE5A74D4FAC907
 Amount of Each Receipt this Period 2500.00
 Political Contribution

SUBTOTAL of Receipts This Page (optional) ► 7900.00

TOTAL This Period (last page this line number only) ►

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 11
(check only one)
 11a 11b 11c 12
 13 14 15 16 17

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NAME OF COMMITTEE (In Full)
LPL Financial Corporation Political Action Committee (LPL PAC)

A. Full Name (Last, First, Middle Initial)
Christopher Feeny

Mailing Address 100 Overleigh Road

City State Zip Code
Bernardsville NJ 07924-1510

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
LPL Financial Managing Director

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt 08 / 19 / 2010
Transaction ID: AD7E231CB73414E7A91E

Amount of Each Receipt this Period
5000.00

Political Contribution

B. Full Name (Last, First, Middle Initial)
Fay Fisher

Mailing Address 867 Homestake Court

City State Zip Code
Castle Rock CO 80108-9081

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
LPL Financial EVP, Technology Risk Mgmt

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt 09 / 01 / 2010
Transaction ID: A901479CB0062443B971

Amount of Each Receipt this Period
500.00

Political Contribution

C. Full Name (Last, First, Middle Initial)
Stephen R. Langlois

Mailing Address 126 Newton Street

City State Zip Code
Weston MA 02493-2315

FEC ID number of contributing federal political committee. C

Name of Employer Occupation
LPL Financial Executive Vice President

Receipt For: Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt 08 / 12 / 2010
Transaction ID: AC6143E0694034A94804

Amount of Each Receipt this Period
1000.00

Political Contribution

SUBTOTAL of Receipts This Page (optional) 6500.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 9 / 11
	<input checked="" type="checkbox"/> 11a	<input type="checkbox"/> 11b
	<input type="checkbox"/> 13	<input type="checkbox"/> 14
	<input type="checkbox"/> 11c	<input type="checkbox"/> 12
	<input type="checkbox"/> 15	<input type="checkbox"/> 16
	<input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial Corporation Political Action Committee (LPL PAC)

A.	Full Name (Last, First, Middle Initial) Thomas Lux	Date of Receipt MM / DD / YYYY 09 / 27 / 2010
	Mailing Address 9785 Towne Centre Drive	Transaction ID: AE5A8DE8C2BBA46DB975
	City San Diego State CA Zip Code 92121-1968	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Political Contribution
	Name of Employer LPL Financial Occupation EVP, Chief Accounting Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date 5000.00	

B.	Full Name (Last, First, Middle Initial) John J. McDermott	Date of Receipt MM / DD / YYYY 07 / 23 / 2010
	Mailing Address 4 Coddington Lane	Transaction ID: A2ADA05BF4A164408A2B
	City Califon State NJ Zip Code 07830-3440	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Political Contribution
	Name of Employer LPL Financial Occupation Chief Risk Officer Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date 5000.00	

C.	Full Name (Last, First, Middle Initial) Robert J. Moore	Date of Receipt MM / DD / YYYY 08 / 12 / 2010
	Mailing Address 9785 Towne Centre Drive	Transaction ID: A9DAAE9C373B94CBEAED
	City San Diego State CA Zip Code 92121-1968	Amount of Each Receipt this Period 5000.00
	FEC ID number of contributing federal political committee. C	Political Contribution
	Name of Employer LPL Financial Occupation CFO Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) Aggregate Year-to-Date 5000.00	

SUBTOTAL of Receipts This Page (optional)	15000.00
TOTAL This Period (last page this line number only)	

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: (check only one)	PAGE 10 / 11
	<input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17	

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NAME OF COMMITTEE (In Full)
LPL Financial Corporation Political Action Committee (LPL PAC)

A.	Full Name (Last, First, Middle Initial) Keith Shores		Date of Receipt
	Mailing Address 4923 Bradshaw Court		<input type="text" value="08"/> / <input type="text" value="12"/> / <input type="text" value="2010"/>
	City	State	Zip Code
	San Diego	CA	92130-2751
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer LPL Financial		Occupation SVP/Treasurer	Transaction ID: A5358E98A09B4423AA69
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	Amount of Each Receipt this Period <input type="text" value="2500.00"/>
		<input type="text" value="2500.00"/>	Political Contribution

B.	Full Name (Last, First, Middle Initial) Esther M. Stearns		Date of Receipt
	Mailing Address 6902 The Preserve Terrace		<input type="text" value="08"/> / <input type="text" value="12"/> / <input type="text" value="2010"/>
	City	State	Zip Code
	San Diego	CA	92130-6858
	FEC ID number of contributing federal political committee.		<input type="text" value="C"/>
Name of Employer LPL Financial		Occupation Corp President	Transaction ID: A8B191CFC449D41E587A
Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼		Aggregate Year-to-Date ▼	Amount of Each Receipt this Period <input type="text" value="5000.00"/>
		<input type="text" value="5000.00"/>	Political Contribution

SUBTOTAL of Receipts This Page (optional)	<input type="text" value="7500.00"/>
TOTAL This Period (last page this line number only)	<input type="text" value="45900.00"/>

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 / 11

<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

LPL Financial Corporation Political Action Committee (LPL PAC)

A.

Full Name (Last, First, Middle Initial)
MIKE CRAPO FOR US SENATE

Mailing Address P.O. BOX 1948

City BOISE State ID Zip Code 83701

Purpose of Disbursement
ID US Senate

Candidate Name
Sen. Mike Crapo

Office Sought: House
 Senate
 President

State: ID District:

Disbursement For: 2010
 Primary General
 Other (specify) ▼

Category/
Type

Transaction ID: B32EE0A5AAB4B436FA0D

Date of Disbursement

09 / 21 / 2010

Amount of Each Disbursement this Period

1000.00

SUBTOTAL of Disbursements This Page (optional)

1000.00

TOTAL This Period (last page this line number only)

1000.00