Image# 13964488119 PAGE 1 / 11

FEC

REPORT OF RECEIPTS AND DISBURSEMENTS

FORIVI 3A For	Other Than An Autl	norized Committe	ee		Office Use Only
1. NAME OF TY COMMITTEE (in full)	PE OR PRINT ▼	Example: If typin over the lines.	g, type	12FE4M5	
INVESTMENT ADVISER	R ASSOCIATION P	OLITICAL ACT	ON COM	MITTEE ((IAA-PAC)
ADDRESS (number and street)	1050 17TH ST NW SUITE 7	25			
Check if different than previously reported. (ACC)	WASHINGTON			DC	20036
2. FEC IDENTIFICATION NUM	BER ▼ CIT	Y 	S	STATE 🛦	ZIP CODE ▲
C C00440826			IEW N) OR	AM (A)	ENDED
4. TYPE OF REPORT (Choose One)	Report Due On:		May 20 (M5)	-	20 (M8) Nov 20 (M11) (Non-Election Year Only) 20 (M9) Dec 20 (M12)
(a) Quarterly Reports:			ul 20 (M7)	H	(Non-Election Year Only) 20 (M10) Jan 31 (YE)
April 15 Quarterly Report (Q1)	(c) 12-Day	Primary (12P		General	
July 15 Quarterly Report (Q2)	PRE-Election Report for the:	Convention (Special (
October 15 Quarterly Report (Q3)	Troport for the				
January 31 Year-End Report (YE)	Electio	n on	D D /	Y Y Y Y	in the State of
X July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G	i)	Runoff (3	0R) Special (30S)
Termination Report (TER)	Electio	n on	D D /	Y	in the State of
5. Covering Period 01	01 2013	through	06	/ D D /	2013
I certify that I have examined this I	Report and to the best of	my knowledge and b	elief it is true	e, correct and	I complete.
Type or Print Name of Treasurer	Mr. Neil A. Simon				
Signature of Treasurer Mr. Neil	A. Simon	[Electronically	Filed] Da	ate 07	31 / 2013
NOTE: Submission of false, erroneou	s, or incomplete information	n may subject the pers	on signing thi	s Report to the	ne penalties of 2 U.S.C. §437g.
Office Use Only					FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

01 2013 06 30 2013 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 48619.24 January 1, 2013 (b) Cash on Hand at 48619.24 Beginning of Reporting Period..... 6511.00 6511.00 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 55130.24 55130.24 6(a) and 6(c) for Column B)..... 12000.00 12000.00 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 43130.24 43130.24 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) This committee has qualified as a multicandidate committee. (see FEC FORM 1M) For further information contact:

> Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC)

I. Receipts	COLUMN A	COLUMN B
Contributions (other than loans) From:	Total This Period	Calendar Year-to-Date
(a) Individuals/Persons Other		
Than Political Committees		
(i) Itemized (use Schedule A)	1500.00	1500.00
(ii) Unitemized	0.00	0.00
(iii) TOTAL (add	1500.00	1500.00
Lines 11(a)(i) and (ii)	1300.00	, , , , , , , , , , , , , , , , , , , ,
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees		
(such as PACs)	5000.00	5000.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	6500.00	6500.00
Totals to Line 33, page 5)	0000.00	7 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3
Party Committees		0.00
rany commucocommunication		
3. All Loans Received	0.00	0.00
4. Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures		
(Refunds, Rebates, etc.)	0.00	
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made to Federal Candidates and Other		
Political Committees	0.00	0.00
7. Other Federal Receipts		
(Dividends, Interest, etc.)	11.00	11.00
8. Transfers from Non-Federal and Levin F		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
	0.00	
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b)	0.00	0.00
(c) Total Transiers (add To(a) and To(b)	y 0.00	0.00
9. Total Receipts (add Lines 11(d),		0541.00
12, 13, 14, 15, 16, 17, and 18(c))	6511.00	6511.00
20. Total Federal Receipts		
(subtract Line 18(c) from Line 19)	6511.00	6511.00
(555,650, 2010) 10(1) 2010 10)	3311.03	3311.00

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
Operating Expenditures: (a) Allocated Federal/Non-Federal		Calcillati Teal-to-Date			
Activity (from Schedule H4)					
(i) Federal Share	0.00	0.00			
#N A1 = 1 1 2	0.00	0.00			
(ii) Non-Federal Share	0.00	0.00			
(b) Other Federal Operating	0.00	0.00			
Expenditures(c) Total Operating Expenditures	0.00	0.00			
(add 21(a)(i), (a)(ii), and (b))▶	0.00	0.00			
Transfers to Affiliated/Other Party					
Committees	0.00	0.00			
Contributions to Federal Candidates/Committees					
and Other Political Committees	12000.00	12000.00			
Independent Expenditures	0.00	0.00			
(use Schedule E) Coordinated Party Expenditures	0.00	0.00			
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00			
(use Schedule F)	7	0.00			
Loan Repayments Made	0.00	0.00			
Zodii Nopayinonio Mado					
Loans Made	0.00	0.00			
Refunds of Contributions To: (a) Individuals/Persons Other					
Than Political Committees	0.00	0.00			
F					
(b) Political Party Committees	0.00	0.00			
(c) Other Political Committees	0.00	0.00			
(such as PACs)	0.00	0.00			
(d) Total Contribution Refunds					
(add Lines 28(a), (b), and (c))▶	0.00	0.00			
Other Disbursements	0.00	0.00			
_					
Federal Election Activity (2 U.S.C. §431(20))					
(a) Allocated Federal Election Activity					
(from Schedule H6) (i) Federal Share	0.00	0.00			
(i) I ederal Shale					
(ii) "Levin" Share	0.00	0.00			
(b) Federal Election Activity Paid Entirely					
With Federal Funds	0.00	0.00			
(c) Total Federal Election Activity (add					
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
Table Bible 19 19 19 19 19 19 19 19 19 19 19 19 19					
Total Disbursements (add Lines 21(c), 22,	42000 00				
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	12000.00	12000.00			
Total Federal Disbursements					
(subtract Line 21(a)(ii) and Line 30(a)(ii)					
from Line 31)	12000.00	12000.00			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures			
33. Total Contributions (other than loans) (from Line 11(d), page 3)	6500.00	6500.00	
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00	
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	6500.00	6500.00	
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	0.00	0.00	
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00	
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	0.00	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER:			PAGE	6	OF	11				
	(check only one)									
		X	11a		11b		11c	12		
			13		14		15	16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

or for commercial purposes, other than using t	he name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASS	SOCIATION POLITICAL ACTION C	OMMITTEE (IAA-PAC)
Full Name (Last, First, Middle Initial) 1. Clarence King		Date of Receipt
Mailing Address 140 Greenwich Avenue Floor 3 City Greenwich	State Zip Code CT 06830	03 27 2013 Transaction ID : SA11AI.4633
FEC ID number of contributing federal political committee. Name of Employer	Occupation Managing Director	Amount of Each Receipt this Period 500.00 Contribution
Emerson Investment Management Receipt For: Primary General Other (specify)	Managing Director Aggregate Year-to-Date ▼ 500.00	
Full Name (Last, First, Middle Initial) Jane Williams Mailing Address 245 Lytton Avenue Suite 300 City	State Zip Code	Date of Receipt 03 27 2013
Palo Alto FEC ID number of contributing federal political committee.	CA 94301-1466	Transaction ID: SA11AI.4632 Amount of Each Receipt this Period 1000.00
Name of Employer Sand Hill Global Advisors LLC Receipt For: Primary General Other (specify) ▼	Occupation President and CEO Aggregate Year-to-Date ▼ 1000.00	Contribution
Full Name (Last, First, Middle Initial) Mailing Address		Date of Receipt
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Third is 2 and 1 does it is 1 and 1
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional).		1500.00
TOTAL This Period (last page this line number	er only)	1500.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOR LINE NUMBER: PAGE 7 OF							
Use separate schedule(s) for each category of the	(check only one)							
Detailed Summary Page	11a 11b X 11c 1							
., .,	13 14 15 1	16 17						

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) INVESTMENT ADVISER ASSOCIATION POLITICAL ACTION COMMITTEE (IAA-PAC) Full Name (Last, First, Middle Initial) JPMORGAN CHASE & CO. PAC Date of Receipt Mailing Address 10 S. DEARBORN STREET IL1-0520 2013 19 City State Zip Code Transaction ID: SA11C.4636 **CHICAGO** IL 60603 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 C00128512 federal political committee. Contribution Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name (Last, First, Middle Initial) B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 5000.00 SUBTOTAL of Receipts This Page (optional)..... 5000.00 TOTAL This Period (last page this line number only).....

SCHEI	DULE B (FEC Form 3X)		EOD LINE	NUMBER: PAGE 8 OF 11					
	ZED DISBURSEMENTS	Use separate schedule(s	edule(s) (check only one)						
1 1 = IVII 2	LD DISDURSEMENTS	for each category of the	21b	22 🔀 23 24 25 26					
		Detailed Summary Page	27	28a 28b 28c 29 30b					
Any infor	mation copied from such Reports and Staten	nents may not be sold or u	sed by any perso	on for the purpose of soliciting contributions					
	mmercial purposes, other than using the nam								
NAME	OF COMMITTEE (In Full)								
I \	ESTMENT ADVISER ASSOCIA	ATION POLITICAL	ACTION CO	OMMITTEE (IAA-PAC)					
/ " "	201W2117 / 102117 / 10000 //	THOM SELLIONE	, (01101101	31111111111111111111111111111111111111					
Full N	ame (Last, First, Middle Initial)								
A. FITZ	ZPATRICK FOR CONGRESS			Date of Disbursement					
				M M / D D / Y Y Y Y					
Mailin	g Address PO BOX 185			04 25 2013					
0:4.		7:- 0-d-							
City	HORNE	State Zip Code PA 19047		Transaction ID : SB23.4677					
	se of Disbursement	19047							
i dipo	oo or biobarooment		011	Amount of Each Disbursement this Period					
Candi	date Name			The state of the s					
	ZPATRICK FOR CONGRESS		Category/ Type	1000.00					
		nent For: 2014	.,,,,						
		Primary X General							
	President	Other (specify) ▼							
State:	PA District: 08								
Full N	ame (Last, First, Middle Initial)								
B. FRE	EEDOM FUND			Date of Disbursement					
				M = M / D = D / Y = Y = Y					
Mailin	g Address 701 8TH STREET, NW			03 18 2013					
	SUITE 500								
City		State Zip Code DC 20001		Transaction ID : SB23.4673					
_	HINGTON se of Disbursement	DC 20001							
	50 0. <u>2.65</u> a. 666		011	Amount of Each Disbursement this Period					
Candi	date Name		Catamani						
FRE	EEDOM FUND		Category/ Type	2000.00					
		nent For: 2014	71	, ,					
	X Senate	Primary General							
	President	Other (specify) ▼							
State:	ID District:								
Full N	ame (Last, First, Middle Initial)								
C. FRI	ENDS FOR GREGORY MEEK	S		Date of Disbursement					
				M M / D D / Y Y Y Y Y					
Mailin	g Address 153-01 JAMAICA AVE. SUITE 535	i		03 18 2013					
City		State Zip Code NY 11432		Transaction ID : SB23.4674					
JAMA Purpo	se of Disbursement	11432							
			011	Amount of Each Disbursement this Period					
Candi	date Name			Amount of Each Disbursement this Fellou					
FRI	ENDS FOR GREGORY MEEK	S	Category/ Type	1000.00					
		ment For: 2014	71						
	Senate	Primary General							
	President	Other (specify) ▼							
State:	NY District: 05								
	,								
SUBTO	TAL of Disbursements This Page (optional)			4000.00					
			<u> </u>						
TOTAL	This Period (last page this line number only)								

ľ

S	CHEDULE B (FEC Form 3X)		FOR LINE I	
IT	EMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(check only	one)
		Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
۸.	ny information copied from such Reports and Staten	pents may not be sold or !!		
	for commercial purposes, other than using the nam			
	NAME OF COMMITTEE (In Full)			
$ \rangle$	INVESTMENT ADVISER ASSOCIA	ATION POLITICAL	ACTION CO	OMMITTEE (IAA-PAC)
\angle	Full Name (Last, First, Middle Initial)		ı	
Α.	HIMES FOR CONGRESS			Date of Disbursement
				M M / D D / Y Y Y Y
	Mailing Address 857 POST ROAD, #312			04 16 2013
	City	State Zip Code		
	FAIRFIELD	CT 06824		Transaction ID : SB23.4675
	Purpose of Disbursement			
	Condidate Name		011	Amount of Each Disbursement this Period
	Candidate Name HIMES FOR CONGRESS		Category/	1000.00
		nent For: 2014	Туре	
		Primary Seneral		
		Other (specify) ▼		
_	State: CT District: 04			
В	Full Name (Last, First, Middle Initial) JEB HENSARLING VICTORY FUN	חו		Date of Disbursement
٥.	JED HEINSAKLING VICTORY FUN	טו		M M / D D / Y Y Y Y
	Mailing Address PO BOX 30844			05 15 2013
	City S BETHESDA	State Zip Code MD 20824		Transaction ID : SB23.4678
	Purpose of Disbursement	20024		
			011	Amount of Each Disbursement this Period
	Candidate Name	ID	Category/	2000.00
	JEB HENSARLING VICTORY FUN Office Sought: House Disbursen	ID nent For: 2014	Туре	2000.00
		Primary X General		
		Other (specify) ▼		
_	State: TX District: 05	· ·		
_	Full Name (Last, First, Middle Initial)			
C.	JOHANNS FOR SENATE INCORP	ORATED		Date of Disbursement
	Mailing Address 5555 SOUTH STREET			02 11 2013
	•	State Zip Code		Transaction ID : SB23.4669
	LINCOLN Purpose of Disbursement	NE 68506		
	,		011	Amount of Each Disbursement this Period
	Candidate Name	Category/		
	JOHANNS FOR SENATE INCORF		Type	1000.00
		nent For: 2013 Primary General		
		Other (specify)		
	State: NE District: 00	₹		
	'			
5	SUBTOTAL of Disbursements This Page (optional)			4000.00
-				
۱.	'OTAL This Period (last page this line number only)			

ľ

SCHEDULE B (FEC Form 3X)			T = C	JB I	INE N	I IMRED			PA	AGE 1	0 OF	11
	EMIZED DISBURSEMENTS	Use separate schedule(s)	(s) (check only one)									
		for each category of the Detailed Summary Page			21b [22		23	24		25	26
_					27	28a		28b	28c		29	30b
	ny information copied from such Reports and Staten											5
or	for commercial purposes, other than using the name	ie and address of any politica	aı com	imitte	ee to s	SOIICIT CO	ווניוטע	uons	irom su	cn com	шπιπее.	
	NAME OF COMMITTEE (In Full)	ATION DOLUTIONS	۱ОТІ	ا ر ا	1 00	\	TC:	- //	۸ ۸ D	۸۵۱		
/	INVESTMENT ADVISER ASSOCIA	ATION POLITICAL F	1 011	IOI	v CC	I IIVIIVII		⊏ (I <i>i</i>	AA-P	4C)		
_	Full Name (Last, First, Middle Initial)											
A.	MALONEY FOR CONGRESS					Date of	f Disb	ourser	ment			
						M = M	/	D		Y		
	Mailing Address 49 EAST 92ND ST					02		22		201	3	
	City	State Zip Code										
	NEW YORK	NY 10128				Trans	actio	n ID	SB23.4	l 67 1		
	Purpose of Disbursement		_	-	_							
			0	11		Amoun	t of E	ach I	Disburse	ment t	nis Perio	bc
	Candidate Name		Cate		//						00.00	
	MALONEY FOR CONGRESS Office Sought: House Disburser	ant Fore Codd	Ту	/pe			7		7		330.00	
	Office Sought: House Disburser Senate	nent For: 2014 Primary X General										
	President	Other (specify)										
	State: NY District: 14	Canon (opcomy)										
	Full Name (Last, First, Middle Initial)											
В.	MCHENRY FOR CONGRESS					Date of	f Disb	ourser	ment			
						M - M	/	D	D /	ΥΥ	Y Y	
	Mailing Address PO BOX 1406					04		16	5	201	3	
	City	State Zip Code										
	HICKORY	NC 28603				Trans	sactio	n ID	: SB23.4	1676		
	Purpose of Disbursement			-	_							
			0	11		Amoun	t of E	ach I	Disburse	ment t	nis Perio	bc
	Candidate Name		Cate		//						1000.00	
	MCHENRY FOR CONGRESS Office Sought:	ant For 2011	Ту	/pe			7		7			
	Office Sought: House Disbursen Senate	nent For: 2014 Primary X General										
	President	Other (specify)										
	State: NC District: 10	(-II)// \										
_	Full Name (Last, First, Middle Initial)											
C.	ROBERT HURT FOR CONGRESS	}				Date of	f Disb	ourser	ment			
						M = M	/	D		Y		
	Mailing Address PO BOX 8					02		22		201	3	
	City	State Zip Code										
		VA 24531				Trans	sactio	n ID	: SB23.4	1672		
	Purpose of Disbursement		_	-	_							
			01	11		Amoun	t of E	ach I	Disburse	ment t	nis Perio	bc
	Candidate Name		Cate		//						000.00	
	Office Sought:	nent For: 2014	Ту	/pe			7		7			
	Senate Disburser	Primary Seneral										
	President	Other (specify)										
	State: VA District: 05	• • • •										
Г							-	-		-		_
s	SUBTOTAL of Disbursements This Page (optional)				•		,		7	3	00.00	
										-		
	OTAL This Period (last page this line number only)											

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 11 OF						
ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	nly one)					
	for each category of the Detailed Summary Page	21b	22 🔀 23 🔲 24 📗 25 🖂 26					
		27	28a 28b 28c 29 30b					
Any information copied from such Reports and Statem								
or for commercial purposes, other than using the name	ne and address of any politica	ii committee to	solicit contributions from such committee.					
NAME OF COMMITTEE (In Full)		OTION OF						
│ INVESTMENT ADVISER ASSOCIA	ATION POLITICAL A	CHON C	JIVIIVII I EE (IAA-PAC)					
Full Name (Last, First, Middle Initial)		İ						
A. SCOTT GARRETT FOR CONGRE	SS		Date of Disbursement					
-			M M / D D / Y Y Y Y					
Mailing Address P.O. Box 905			02 13 2013					
City	State Zip Code							
Newton	NJ 07860		Transaction ID : SB23.4670					
Purpose of Disbursement								
		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	1000.00					
SCOTT GARRETT FOR CONGRE		Type	1000.00					
	nent For: 2013							
	Primary							
State: NJ District: 05	Other (specify)							
Full Name (Last, First, Middle Initial)								
B.			Date of Disbursement					
			M M / D D / Y Y Y Y					
Mailing Address								
Oih.	75.0.1							
City	State Zip Code							
Purpose of Disbursement								
			Amount of Each Disbursement this Period					
Candidate Name		Category/						
	_	Type	7 7 7					
Office Sought: House Disbursen								
	Primary General Other (specify) ▼							
State: District:	Cirior (opcomy)							
Full Name (Last, First, Middle Initial)								
C.			Date of Disbursement					
			M M M / D D / Y M Y M Y					
Mailing Address								
City	State Zip Code							
Oity	orate ZIP Code							
Purpose of Disbursement								
			Amount of Each Disbursement this Period					
Candidate Name		Category/						
Office Cought	ant Far	Туре						
Office Sought: House Disbursen Senate	nent For: Primary General							
	Other (specify)							
State: District:	(Spoon)/ \							
SUBTOTAL of Disbursements This Page (optional)			1000.00					
TOTAL This Period (last page this line number only)			12000.00					