

**FEC
FORM 3X**

**REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

RECEIVED
FEC MAIL CENTER

2008 OCT 21 AM 8:58

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT Example: If typing, type over the lines. 12FE4M5

Investment Adviser Association Political Action
Committee (IAA-PAC)

ADDRESS (number and street)

1050 17th Street, NW
Suite 725

Check if different than previously reported. (ACC)

Washington

DC

20036-5514

2. FEC IDENTIFICATION NUMBER CITY STATE ZIP CODE

C00440826

3. IS THIS REPORT NEW (N) OR AMENDED (A)



4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- April 15 Quarterly Report (Q1)
- July 15 Quarterly Report (Q2)
- October 15 Quarterly Report (Q3)
- January 31 Year-End Report (YE)
- July 31 Mid-Year Report (Non-election Year Only) (MY)
- Termination Report (TER)

(b) Monthly Report Due On:

- | | | | |
|--------------------------------------|--------------------------------------|---------------------------------------|--|
| <input type="checkbox"/> Feb 20 (M2) | <input type="checkbox"/> May 20 (M5) | <input type="checkbox"/> Aug 20 (M8) | <input type="checkbox"/> Nov 20 (M11) (Non-Election Year Only) |
| <input type="checkbox"/> Mar 20 (M3) | <input type="checkbox"/> Jun 20 (M6) | <input type="checkbox"/> Sep 20 (M9) | <input type="checkbox"/> Dec 20 (M12) (Non-Election Year Only) |
| <input type="checkbox"/> Apr 20 (M4) | <input type="checkbox"/> Jul 20 (M7) | <input type="checkbox"/> Oct 20 (M10) | <input type="checkbox"/> Jan 31 (YE) |

(c) 12-Day PRE-Election Report for the:

- | | | |
|---|--|---------------------------------------|
| <input type="checkbox"/> Primary (12P) | <input type="checkbox"/> General (12G) | <input type="checkbox"/> Runoff (12R) |
| <input type="checkbox"/> Convention (12C) | <input type="checkbox"/> Special (12S) | |

Election on

MM / DD / YYYY

in the State of

(d) 30-Day POST-Election Report for the:

- | | | |
|--|---------------------------------------|--|
| <input type="checkbox"/> General (30G) | <input type="checkbox"/> Runoff (30R) | <input type="checkbox"/> Special (30S) |
|--|---------------------------------------|--|

Election on

MM / DD / YYYY

in the State of

5. Covering Period

07 / 01 / 2008

through

09 / 30 / 2008

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Neil A. Simon

Signature of Treasurer

(Handwritten Signature)

Date

10 / 15 / 2008

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office Use Only

FEC FORM 3X
Rev. 12/2004

28029883111

**SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS**

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Investment Adviser Association Political Action Committee (IAA-PAC)

Report Covering the Period: From: *07' 01' 2008* To: *09' 30' 2008*

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1, <i>2008</i> | | ϕ |
| (b) Cash on Hand at Beginning of Reporting Period..... | <i>7,500.00</i> | |
| (c) Total Receipts (from Line 19)..... | <i>35,250.00</i> | <i>42,750.00</i> |
| (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)..... | <i>42,750.00</i> | <i>42,750.00</i> |
| 7. Total Disbursements (from Line 31)..... | <i>8,000.00</i> | <i>8,000.00</i> |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))..... | <i>34,750.00</i> | <i>34,750.00</i> |
| 9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)..... | ϕ | |
| 10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)..... | ϕ | |

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

28039883112

DETAILED SUMMARY PAGE
of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Investment Adviser Association Political Action Committee (IAA-PAC)

Report Covering the Period:

From:

07' 01' 2008

To:

09' 30' 2008

I. Receipts

COLUMN A
Total This Period

COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other Than Political Committees

(i) Itemized (use Schedule A).....

35,250.00

42,750.00

(ii) Unitemized.....

0

0

(iii) TOTAL (add Lines 11(a)(i) and (ii)).....▶

35,250.00

42,750.00

(b) Political Party Committees.....

0

0

(c) Other Political Committees (such as PACs).....

0

0

(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5).....▶

35,250.00

42,750.00

12. Transfers From Affiliated/Other Party Committees.....

0

0

13. All Loans Received.....

0

0

14. Loan Repayments Received.....

0

0

15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5).....

0

0

16. Refunds of Contributions Made to Federal Candidates and Other Political Committees.....

0

0

17. Other Federal Receipts (Dividends, Interest, etc.).....

0

0

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account (from Schedule H3).....

0

0

(b) Levin Funds (from Schedule H5).....

0

0

(c) Total Transfers (add 18(a) and 18(b))..

0

0

19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)).....▶

35,250.00

42,750.00

20. Total Federal Receipts (subtract Line 18(c) from Line 19).....▶

35,250.00

42,750.00

28039883113

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

28039883114

| II. Disbursements | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | |
| (a) Allocated Federal/Non-Federal Activity (from Schedule H4) | | |
| (i) Federal Share | Ø | Ø |
| (ii) Non-Federal Share..... | Ø | Ø |
| (b) Other Federal Operating Expenditures | Ø | Ø |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) | Ø | Ø |
| 22. Transfers to Affiliated/Other Party Committees..... | Ø | Ø |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 8,000.00 | 8,000.00 |
| 24. Independent Expenditures (use Schedule E)..... | Ø | Ø |
| 25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F)..... | Ø | Ø |
| 26. Loan Repayments Made..... | Ø | Ø |
| 27. Loans Made..... | Ø | Ø |
| 28. Refunds of Contributions To: | | |
| (a) Individuals/Persons Other Than Political Committees | Ø | Ø |
| (b) Political Party Committees | Ø | Ø |
| (c) Other Political Committees (such as PACs)..... | Ø | Ø |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c))..... | Ø | Ø |
| 29. Other Disbursements | Ø | Ø |
| 30. Federal Election Activity (2 U.S.C. §431(20)) | | |
| (a) Allocated Federal Election Activity (from Schedule H6) | | |
| (i) Federal Share | Ø | Ø |
| (ii) "Levin" Share..... | Ø | Ø |
| (b) Federal Election Activity Paid Entirely With Federal Funds | Ø | Ø |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))..... | Ø | Ø |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | 8,000.00 | 8,000.00 |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 8,000.00 | 8,000.00 |

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) (from Line 11(d), page 3) | 35,250.00 | 47,750.00 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0 | 0 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 35,250.00 | 47,750.00 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) | 0 | 0 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0 | 0 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0 | 0 |

28039883115

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE | OF | 15 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) A. Prickett, Elizabeth E. | | Date of Receipt 09' 26' 2008 |
| Mailing Address 75 State Street | | Amount of Each Receipt this Period 2,500.00 |
| City Boston | State Zip Code MA 02109 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Wellington Mgmt. Co. LLP | Occupation Vice President | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 2,500.00 | |

| | | |
|---|---|--|
| Full Name (Last, First, Middle Initial) B. Bowden, Andrew J. | | Date of Receipt 09' 24' 2008 |
| Mailing Address 100 Light Street, 7th Floor | | Amount of Each Receipt this Period 25000 |
| City Baltimore | State Zip Code MD 21202 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Legg Mason Capital Mgmt. | Occupation SVP, COO & General Counsel | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 2500.00 | |

| | | |
|---|---|---|
| Full Name (Last, First, Middle Initial) c. Bieger, Lo George | | Date of Receipt 09' 09' 2008 |
| Mailing Address 67 MASON Street | | Amount of Each Receipt this Period 1,000.00 |
| City Greenwich | State Zip Code CT 06830-3104 | |
| FEC ID number of contributing federal political committee. C | | |
| Name of Employer Greenwich Investment Mgmt. Inc. | Occupation Principal | |
| Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼ 1,000.00 | |

| | |
|---|--|
| SUBTOTAL of Receipts This Page (optional).....▶ | |
| TOTAL This Period (last page this line number only).....▶ | |

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**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 2 OF 15

| | | | | | | | | |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A. Browne, Christopher H.

Date of Receipt

09' 04' 2008

Mailing Address
350 Park Avenue, 9th Floor

City New York State NY Zip Code 10022

Amount of Each Receipt this Period

5,000.00

FEC ID number of contributing federal political committee.
C

Name of Employer Tweedy Browne Co. LLC Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5,000.00

Full Name (Last, First, Middle Initial)
B. Shrager, Thomas H.

Date of Receipt

09' 04' 2008

Mailing Address
350 Park Avenue, 9th Floor

City New York State NY Zip Code 10022

Amount of Each Receipt this Period

1,500.00

FEC ID number of contributing federal political committee.
C

Name of Employer Tweedy Browne Co. LLC Occupation Managing Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1,500.00

Full Name (Last, First, Middle Initial)
C. Frels, William B.

Date of Receipt

09' 03' 2008

Mailing Address
W. 1520 First National Bank Building

City 332 Minnesota Street State MN Zip Code 55101-1363
St. Paul

Amount of Each Receipt this Period

1,000.00

FEC ID number of contributing federal political committee.
C

Name of Employer Mairs & Power Inc. Occupation Chairman & CEO

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1,000.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

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| |
| |

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**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | | | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE <u>3</u> OF <u>15</u> | | | | | |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A. *Spears, John D.*

Mailing Address
350 Park Avenue, 9th Floor

City *New York* State *NY* Zip Code *10022*

FEC ID number of contributing federal political committee. *C*

Date of Receipt
08' 14' 2008

Amount of Each Receipt this Period
500000

Name of Employer
Tweedy Browne Co. LLC Occupation *Managing Director*

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
5000.00

Full Name (Last, First, Middle Initial)
B. *W.C. Koff, Jr., Robert Q.*

Mailing Address
350 Park Avenue, 9th Floor

City *New York* State *NY* Zip Code *10022*

FEC ID number of contributing federal political committee. *C*

Date of Receipt
07' 30' 2008

Amount of Each Receipt this Period
1500.00

Name of Employer
Tweedy Browne Co. LLC Occupation *Managing Director*

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1500.00

Full Name (Last, First, Middle Initial)
C. *Blakely, Christopher M.*

Mailing Address
50 Federal Street, Floor 7

City *Boston* State *MA* Zip Code *02110-2309*

FEC ID number of contributing federal political committee. *C*

Date of Receipt
08' 19' 2008

Amount of Each Receipt this Period
1000.00

Name of Employer
Taylor Investment Counselors Occupation *Portfolio Head of Operations & Manager*

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1000.00

| | |
|---|----------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="text"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="text"/> |

28039883118

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE <u>4</u> OF <u>15</u> | |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A. Boone III, Daniel W.
Mailing Address
Two Midtown Plaza, 1349 W. Peachtree St., Suite 1600
City Atlanta State GA Zip Code 30309

Date of Receipt
08' 22' 2008

Amount of Each Receipt this Period
1,000.00

FEC ID number of contributing federal political committee. C

Name of Employer
Atlanta Capital Mgmt. Co LLC Occupation Managing Partner
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date 1,000.00

Full Name (Last, First, Middle Initial)
B. Harker, Elene S.
Mailing Address
385 East Colorado Boulevard
City Pasadena State CA Zip Code 91101

Date of Receipt
08' 21' 2008

Amount of Each Receipt this Period
250.00

FEC ID number of contributing federal political committee. C

Name of Employer
Western Asset Mgmt. Co. Occupation Head of Enterprise Risk
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date 250.00

Full Name (Last, First, Middle Initial)
c. Taylor, Hugh R.
Mailing Address
50 Federal Street, Floor 7
City Boston State MA Zip Code 02110-2509

Date of Receipt
08' 14' 2008

Amount of Each Receipt this Period
1000.00

FEC ID number of contributing federal political committee. C

Name of Employer
Taylor Investment Counselors Occupation Chief Investment Officer
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date 1000.00

| | |
|--|--|
| SUBTOTAL of Receipts This Page (optional)..... | |
| TOTAL This Period (last page this line number only)..... | |

28039883119 6158865082

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|--|--------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 5 OF 15 |
| | <input checked="" type="checkbox"/> 11a <input type="checkbox"/> 11b <input type="checkbox"/> 11c <input type="checkbox"/> 12 <input type="checkbox"/> 13 <input type="checkbox"/> 14 <input type="checkbox"/> 15 <input type="checkbox"/> 16 <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A Whitney, Michael T.
Mailing Address
50 Federal Street, Floor 7
City
Boston State
MA Zip Code
02110-2509

Date of Receipt
08' 11' 2008

FEC ID number of contributing federal political committee.
C

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors Occupation
Portfolio Head of Research + Manager
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date ▼
100.00

Full Name (Last, First, Middle Initial)
B Cornfeld, Michael S.
Mailing Address
7101 Wisconsin Avenue, Suite 1112
City
Bethesda State
MD Zip Code
20814-4878

Date of Receipt
08' 08' 2008

FEC ID number of contributing federal political committee.
C

Amount of Each Receipt this Period
100.00

Name of Employer
Heritage Investors Mgmt. Corp. Occupation
President
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date ▼
100.00

Full Name (Last, First, Middle Initial)
c. Rose, Barbara
Mailing Address
50 Federal Street, Floor 7
City
Boston State
MA Zip Code
02110-2509

Date of Receipt
08' 08' 2008

FEC ID number of contributing federal political committee.
C

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors Occupation
Portfolio Administrator
Receipt For:
 Primary General
 Other (specify) ▼
Aggregate Year-to-Date ▼
100.00

| | |
|---|--|
| SUBTOTAL of Receipts This Page (optional).....▶ | |
| TOTAL This Period (last page this line number only).....▶ | |

28039883129

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 6 OF 15 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A. Dorsey, Scott

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 07' 2008

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors

Occupation
BACK OFFICE MANAGER

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
100.00

Full Name (Last, First, Middle Initial)
B. PAN Ph.D, Vivian

Mailing Address
477 Madison Avenue, Suite 520

City State Zip Code
New York NY 10022

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 06' 2008

Amount of Each Receipt this Period
2000.00

Name of Employer
Hamlin Capital Mgmt. LLC

Occupation
President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2000.00

Full Name (Last, First, Middle Initial)
C. Wilkinson, Jeannette

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 01' 2008

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors

Occupation
Office Manager

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
100.00

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

171E8865087

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE 7 OF 15 | |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | | | | <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

A. Full Name (Last, First, Middle Initial)
Niedermeyer, Bernard E.

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 01' 2008

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors

Occupation
Chief Strategist + Manager *Portfolio*

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date
100.00

B. Full Name (Last, First, Middle Initial)
Mairard, Christopher B.

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 01' 2008

Amount of Each Receipt this Period
100.00

Name of Employer
Taylor Investment Counselors Assoc.

Occupation
Portfolio Administrator

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date
100.00

C. Full Name (Last, First, Middle Initial)
Gofen, William H.

Mailing Address
455 Cityfront Plaza, Suite 3000

City State Zip Code
Chicago IL 60611

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 01' 2008

Amount of Each Receipt this Period
250.00

Name of Employer
Gofen & Glossberg LLC

Occupation
Director

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date
250.00

| | |
|--|--|
| SUBTOTAL of Receipts This Page (optional)..... | |
| TOTAL This Period (last page this line number only)..... | |

28039883122

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

| | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE 8 OF 15 | |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

A. Full Name (Last, First, Middle Initial)
Goldstein, William A.

Mailing Address
150 South Wacker Drive, Suite 3050

City State Zip Code
Chicago IL 60606

FEC ID number of contributing federal political committee.
C

Date of Receipt
07 ' 31 ' 2008

Amount of Each Receipt this Period
1,000.00

Name of Employer
Lodestar Investment Counsel

Occupation
President

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1,000.00

B. Full Name (Last, First, Middle Initial)
Young, George V.

Mailing Address
601 Poydras Street, Suite 1808

City State Zip Code
New Orleans LA 70130-6308

FEC ID number of contributing federal political committee.
C

Date of Receipt
07 ' 24 ' 2008

Amount of Each Receipt this Period
500.00

Name of Employer
St. Denis J. Villere & Co. LLC

Occupation

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
500.00

C. Full Name (Last, First, Middle Initial)
Spano, Peter F.

Mailing Address
5100 Town Center Circle, Suite 550

City State Zip Code
Boca Raton FL 33486

FEC ID number of contributing federal political committee.
C

Date of Receipt
07 ' 29 ' 2008

Amount of Each Receipt this Period
3,500.00

Name of Employer
Mercator Asset Mgmt. L.P.

Occupation
General Partner

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
3,500.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

28039883123

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

| | | |
|---|---|------------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 9 OF 15 |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b |
| | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 |
| | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)
A. Theobald, Jon A.
Mailing Address
W. 1520 First National Bank Building, 332 Minnesota St.
City
St. Paul State
MN Zip Code
55101-1363

Date of Receipt
M M' D D' Y Y V V
07' 21' 2008

Amount of Each Receipt this Period
50000

FEC ID number of contributing federal political committee.
C

Name of Employer
Mairs & Power Inc. Occupation
President & COO
Receipt For:
 Primary General
 Other (specify) Aggregate Year-to-Date
500.00

Full Name (Last, First, Middle Initial)
B. Simon, Neil A.
Mailing Address
1050 17th Street, N.W., Suite 725
City
Washington State
DC Zip Code
20036

Date of Receipt
M M' D D' Y Y V V
07' 21' 2008

Amount of Each Receipt this Period
25000

FEC ID number of contributing federal political committee.
C

Name of Employer
Investment Adviser Assn. Occupation
VP for Govt. Relations
Receipt For:
 Primary General
 Other (specify) Aggregate Year-to-Date
250.00

Full Name (Last, First, Middle Initial)
c. Corby, John M.
Mailing Address
3930 Two Union Square, 601 Union St.
City
Seattle State
WA Zip Code
98101-4054

Date of Receipt
M M' D D' Y Y V V
08' 29' 2008

Amount of Each Receipt this Period
50000

FEC ID number of contributing federal political committee.
C

Name of Employer
Riesel Capital LLC Occupation
EVP - Investments
Receipt For:
 Primary General
 Other (specify) Aggregate Year-to-Date
500.00

| | |
|---|--------------------------|
| SUBTOTAL of Receipts This Page (optional).....▶ | <input type="checkbox"/> |
| TOTAL This Period (last page this line number only).....▶ | <input type="checkbox"/> |

28039883124

SCHEDULE A (FEC Form 3X)

ITEMIZED RECEIPTS

| | | | | |
|---|---|------------------------------|------------------------------|-----------------------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | | PAGE 10 OF 15 | |
| | <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 |
| | <input type="checkbox"/> 17 | | | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

A. Full Name (Last, First, Middle Initial)
Sheehan, Jennifer

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 13' 2008

Amount of Each Receipt this Period
1,000.00

Name of Employer
Taylor Investment Counselors

Occupation
Office Administrator

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1,000.00

B. Full Name (Last, First, Middle Initial)
Stewart, Deborah A.

Mailing Address
50 Federal Street, Floor 7

City State Zip Code
Boston MA 02110-2509

FEC ID number of contributing federal political committee.
C

Date of Receipt
08' 01' 2008

Amount of Each Receipt this Period
1,000.00

Name of Employer
Taylor Investment Counselors

Occupation
Head of Compliance

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
1,000.00

C. Full Name (Last, First, Middle Initial)
Sawyer III, H. M.

Mailing Address
1220 N. Market St., Suite 800

City State Zip Code
Wilmington DE 19801

FEC ID number of contributing federal political committee.
C

Date of Receipt
07' 21' 2008

Amount of Each Receipt this Period
250.00

Name of Employer
Westover Capital Advisors

Occupation
Chief Compliance Officer

Receipt For:
 Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼
2,500.00

SUBTOTAL of Receipts This Page (optional)..... ▶

TOTAL This Period (last page this line number only)..... ▶

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 11 OF 15

| | | | | |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 17 |
| 13 | 14 | 15 | 16 | |

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NAME OF COMMITTEE (In Full)

Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. Galloway, Christine K
Mailing Address
80 South Eighth St, 1800 IDS Center ^{18th Fl.}
City State Zip Code
Minneapolis MN 55402-4523

Date of Receipt

07' 18' 2008

FEC ID number of contributing federal political committee.

C

Amount of Each Receipt this Period

, , 150.00

Name of Employer

Occupation

Okabena Investment Svcs CEO

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

15000

Full Name (Last, First, Middle Initial)

B. Freed, SUSAN G.
Mailing Address
Two Wisconsin Circle, Suite 530
City State Zip Code
Chevy Chase MD 20815

Date of Receipt

07' 18' 2008

FEC ID number of contributing federal political committee.

C

Amount of Each Receipt this Period

50000

Name of Employer

Occupation

Freed Advisors

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

50000

Full Name (Last, First, Middle Initial)

C. Forster, David T.
Mailing Address
228 Charles Avenue, Suite 512
City State Zip Code
New Orleans LA 70130

Date of Receipt

07' 21' 2008

FEC ID number of contributing federal political committee.

C

Amount of Each Receipt this Period

100000

Name of Employer

Occupation

Waters, Parkersal & Co. President

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

1,00000

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

| |
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| |
| |

28039883126

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: (check only one)
 11a 11b 11c 12
 13 14 15 16 17

PAGE 2 OF 15

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NAME OF COMMITTEE (In Full)

Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. Whealdon, Michael B.

Mailing Address

728 Charles Avenue, Suite 512

City

New Orleans

State

LA

Zip Code

70130

FEC ID number of contributing federal political committee.

C

Date of Receipt

07 / 18 / 2008

Amount of Each Receipt this Period

1,000.00

Name of Employer

Waters, Parkerson + Co.

Occupation

EVP

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

1,000.00

Full Name (Last, First, Middle Initial)

B. Templeton, Robert W.

Mailing Address

11600 Washington Place, Suite 203

City

Los Angeles

State

CA

Zip Code

90066

FEC ID number of contributing federal political committee.

C

Date of Receipt

07 / 21 / 2008

Amount of Each Receipt this Period

750.00

Name of Employer

RWT Investment Advisers

Occupation

President

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

Full Name (Last, First, Middle Initial)

C. Rosenberger, M. Gervase

Mailing Address

350 Park Avenue, 9th Floor

City

New York

State

NY

Zip Code

10022

FEC ID number of contributing federal political committee.

C

Date of Receipt

07 / 15 / 2008

Amount of Each Receipt this Period

2,000.00

Name of Employer

Tweedy, Braune Co.

Occupation

COO Mutual Funds & IA Firm ^{EVP of}

Receipt For:

Primary General
 Other (specify) ▼

Aggregate Year-to-Date ▼

2,000.00

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

Empty boxes for subtotal and total amounts.

28039883127

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE **3** OF **15**

| | | | | | | | | |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. Hester, E. Craig

Mailing Address

1301 S. Mopac Expressway, Suite 350

City

AUSTIN

State

TX

Zip Code

78746

FEC ID number of contributing federal political committee.

C

Date of Receipt

07' 08' 2008

Amount of Each Receipt this Period

1,000.00

Name of Employer

Hester Capital Mgmt Co. President, CEO + Principal

Receipt For:

Primary
 General
 Other (specify) ▼

Occupation

Aggregate Year-to-Date ▼

1,000.00

Full Name (Last, First, Middle Initial)

B. Carriuolo, Richard A.

Mailing Address

24 City Center

City

Portland

State

ME

Zip Code

04101-4069

FEC ID number of contributing federal political committee.

C

Date of Receipt

07' 01' 2008

Amount of Each Receipt this Period

500.00

Name of Employer

R. M. DAVIS, INC.

Receipt For:

Primary
 General
 Other (specify) ▼

Occupation

Aggregate Year-to-Date ▼

500.00

Full Name (Last, First, Middle Initial)

C.

Mailing Address

City

State

Zip Code

FEC ID number of contributing federal political committee.

C

Date of Receipt

MM / DD / YYYY

Amount of Each Receipt this Period

Name of Employer

Occupation

Receipt For:

Primary
 General
 Other (specify) ▼

Aggregate Year-to-Date ▼

SUBTOTAL of Receipts This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

Amount of Each Receipt this Period

Amount of Each Receipt this Period

28039883128

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

| | | |
|---|--|---------------|
| Use separate schedule(s) for each category of the Detailed Summary Page | FOR LINE NUMBER: (check only one) | PAGE 14 OF 15 |
| | <input type="checkbox"/> 21b <input type="checkbox"/> 22 <input checked="" type="checkbox"/> 23 <input type="checkbox"/> 24 <input type="checkbox"/> 25 <input type="checkbox"/> 26 <input type="checkbox"/> 27 <input type="checkbox"/> 28a <input type="checkbox"/> 28b <input type="checkbox"/> 28c <input type="checkbox"/> 29 <input type="checkbox"/> 30b | |

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NAME OF COMMITTEE (In Full)
Investment Adviser Association Political Action Committee (IAA-PAC)

A. *Friends of Chris Dodd*

Mailing Address: *1607 Bellevue Blvd., #510*

City: *Alexandria* State: *VA* Zip Code: *22307*

Purpose of Disbursement: *Political Contribution* Category/Type: *011*

Candidate Name: *Chris Dodd*

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement: *09/11/2008*

Amount of Each Disbursement this Period: *200000*

B. *Pennsylvanians for Kanjorski*

Mailing Address: *233 Massachusetts Avenue, NE*

City: *Washington* State: *DC* Zip Code: *2002*

Purpose of Disbursement: *Political Contribution* Category/Type: *011*

Candidate Name: *Kanjorski, Paul*

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement: *09/11/2008*

Amount of Each Disbursement this Period: *200000*

C. *Enzi for U.S. Senate*

Mailing Address: *P.O. Box 2775*

City: *Cody* State: *WY* Zip Code: *82414*

Purpose of Disbursement: *Political Contribution* Category/Type: *011*

Candidate Name: *Enzi, Michael*

Office Sought: House Senate President

Disbursement For: Primary General Other (specify) ▼

Date of Disbursement: *09/18/2008*

Amount of Each Disbursement this Period: *200000*

SUBTOTAL of Disbursements This Page (optional).....▶

TOTAL This Period (last page this line number only).....▶

28039883129

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

| | | | | | |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22 | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24 | <input type="checkbox"/> 25 | <input type="checkbox"/> 26 |
| <input type="checkbox"/> 27 | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Investment Adviser Association Political Action Committee (IAA-PAC)

Full Name (Last, First, Middle Initial)

A. Shelby for U.S. Senate

Date of Disbursement

09' 23' 2008

Mailing Address

P.O. Box 1091

City

TUSCALOOSA

State

AL

Zip Code

35403

Purpose of Disbursement

Political Contribution

Candidate Name

Shelby, Richard

Category/
Type

11

Amount of Each Disbursement this Period

20,000.00

Office Sought:

House
 Senate
 President

Disbursement For:

Primary General
 Other (specify) ▼

State:

District:

Full Name (Last, First, Middle Initial)

B.

Date of Disbursement

MM / DD / YYYY

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Amount of Each Disbursement this Period

Office Sought:

House
 Senate
 President

Disbursement For:

Primary General
 Other (specify) ▼

State:

District:

Full Name (Last, First, Middle Initial)

C.

Date of Disbursement

MM / DD / YYYY

Mailing Address

City

State

Zip Code

Purpose of Disbursement

Candidate Name

Category/
Type

Amount of Each Disbursement this Period

Office Sought:

House
 Senate
 President

Disbursement For:

Primary General
 Other (specify) ▼

State:

District:

SUBTOTAL of Disbursements This Page (optional).....▶


TOTAL This Period (last page this line number only).....▶

MM / DD / YYYY

2003082120

Federal Election Commission
ENVELOPE REPLACEMENT PAGE FOR INCOMING DOCUMENTS
 The FEC added this page to the end of this filing to indicate how it was received.

| | |
|--|-------------------------------|
| <input type="checkbox"/> Hand Delivered | Date of Receipt |
| <input checked="" type="checkbox"/> USPS First Class Mail | Postmarked 10/15/08 |
| <input type="checkbox"/> USPS Registered/Certified | Postmarked (R/C) |
| <input type="checkbox"/> USPS Priority Mail | Postmarked |
| Delivery Confirmation™ or Signature Confirmation™ Label <input type="checkbox"/> | |
| <input type="checkbox"/> USPS Express Mail | Postmarked |
| <input type="checkbox"/> Postmark Illegible | |
| <input type="checkbox"/> No Postmark | |
| <input type="checkbox"/> Overnight Delivery Service (Specify): | Shipping Date |
| Next Business Day Delivery <input type="checkbox"/> | |
| <input type="checkbox"/> Received from House Records & Registration Office | Date of Receipt |
| <input type="checkbox"/> Received from Senate Public Records Office | Date of Receipt |
| <input type="checkbox"/> Received from Electronic Filing Office | Date of Receipt |
| <input type="checkbox"/> Other (Specify): | Date of Receipt or Postmarked |

| | |
|---|----------------------|
|  | 10/21/08 |
| PREPARER (3/2005) | DATE PREPARED |

28039883131