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#### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

			Committee			Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	=/10	mple: If typir r the lines.	ig, type	12FE4M5	
Novartis Corporation Po	olitical Action	Committee				
<u> </u>						
ADDRESS (number and street)	701 Pennsylvania	Ave. NW Suite 725	5			
Check if different						
than previously reported. (ACC)	Washington				DC	20004-2608
2. FEC IDENTIFICATION NUI	MBER ▼	CITY		;	STATE A	ZIP CODE ▲
C C00033969		3. IS THIS REPORT		IEW N) <b>OR</b>	Al (A	MENDED )
4. TYPE OF REPORT (Choose One)	(b) Monthly Report Due On:	Feb 20 (M2)		May 20 (M5)		20 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:		Mar 20 (M3)		lun 20 (M6)	H	20 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1	) (c) 12-Day	Apr 20 (M4)	Primary (12P	lul 20 (M7)	X Oct	20 (M10) Jan 31 (YE) (12G) Runoff (12R)
July 15 Quarterly Report (Q2	PRF-FI	ection	Convention (		Special	
October 15 Quarterly Report (Q3	3)		`	_		,
January 31 Year-End Report (YE	<u> </u>	Election on	M = M /	D   D /	Y   Y   Y   Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-E	Election	General (300	i)	Runoff (	30R) Special (30S)
Termination Report (TER)	riopoit	Election on	M M /	D   D /	Y	in the State of
5. Covering Period 09	/ 01 / 3	2011	through	09	30	2011
I certify that I have examined this	Report and to th	e best of my kno	wledge and b	elief it is tru	e, correct an	d complete.
Type or Print Name of Treasurer	Dan P. Casserly					
Signature of Treasurer Dan P.	Casserly		[Electronically	Filed]	ate 10	19 / 2011
NOTE: Submission of false, erroned	ous, or incomplete	information may su	bject the pers	son signing th	is Report to t	he penalties of 2 U.S.C. §437g.
Office Use Only						FEC FORM 3X Rev. 12/2004

### SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

#### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 09 01 2011 To: 09 30 2011

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand  January 1,  2011		132644.07
	(b) Cash on Hand at Beginning of Reporting Period	125813.35	
	(c) Total Receipts (from Line 19)	29141.14	247861.52
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	154954.49	380505.59
7.	Total Disbursements (from Line 31)	25090.00	250641.10
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	129864.49	129864.49
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

#### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

#### Novartis Corporation Political Action Committee

Report Covering the Period: From: 09	01 2011 To	
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees  (i) Itemized (use Schedule A)	11495.26	61647.02
(i) Iterritzea (use Scriedule A)	7 7	
(ii) Unitemized	17241.98	185560.60
(iii) TOTAL (add		
Lines 11(a)(i) and (ii)▶	28737.24	247207.62
(1) P. III . I. P	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		7
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)▶	28737.24	247207.62
. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
	0.00	0.00
. All Loans Received	0.00	0.00
Loop Beaumonts Bassined	0.00	0.00
. Loan Repayments Received	0.00	0.00
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	403.90	653.90
. Refunds of Contributions Made	7	
to Federal Candidates and Other		
Political Committees	0.00	0.00
. Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account	0.00	0.00
(from Schedule H3)	0.00	0.00
(b) Lovin Fundo (from Cobodulo LIE)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
, , , , , , , , , , , , , , , , , , , ,		
. Total Receipts (add Lines 11(d),		
12, 13, 14, 15, 16, 17, and 18(c))▶	29141.14	247861.52
12, 10, 17, 10, 17, and 10(0)/	23141.14	2-1/001.02
. Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	29141.14	247861.52

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures:  (a) Allocated Federal/Non-Federal	Total Tillo I Cilou	Calelidal Teal-to-Date
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) New Feetward Observe	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
Expenditures	90.00	1210.00
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b)) ▶	90.00	1210.00
Transfers to Affiliated/Other Party	0.00	0.00
Contributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	21000.00	231200.00
Independent Expenditures	0.00	0.00
(use Schedule E)  Coordinated Party Expenditures	0.00	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
(222 23.000.0 1 )		
Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	585.00
_		
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(30011 03 1 7 03)	7	7
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	0.00	585.00
Other Dishuman arts	4000.00	17646 10
Other Disbursements	4000.00	17646.10
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)		0.00
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely	7	
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add		
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	25090.00	250641.10
		233041.10
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)	0500000	050044.40
from Line 31)	25090.00	250641.10

#### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

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III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	28737.24	247207.62
1. Total Contribution Refunds (from Line 28(d))	0.00	585.00
6. Net Contributions (other than loans) (subtract Line 34 from Line 33)	28737.24	246622.62
. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	90.00	1210.00
Offsets to Operating Expenditures (from Line 15, page 3)	403.90	653.90
Net Operating Expenditures (subtract Line 37 from Line 36)	-313.90	556.10

Use separate schedule(s) for each category of the Detailed Summary Page

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<b>X</b> 11a		11c		12							
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Any information copied from such Reports and S or for commercial purposes, other than using the	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  A. Robert E Ackerman Jr.		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530908
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Head Business & Admin Services	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 10 11 11 11 11	
Other (specify) ▼	225.00	
Full Name (Last, First, Middle Initial)  Ascension Almanza Jr.		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2531101
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.55
Name of Employer	Occupation	
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	228.93	
Full Name (Last, First, Middle Initial)  C. Gary J Appio		Date of Receipt
Mailing Address One Health Plaza		09 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-2530900
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir US Safety/Risk	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	270.00	
SUBTOTAL of Receipts This Page (optional)	·····	80.55
TOTAL This Period (last page this line number	only)	

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	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Andrew Arline  Mailing Address One Health Plaza		Date of Receipt
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Asc Dir Multicultural Mktg  Aggregate Year-to-Date ▼  270.00	Transaction ID : A2011-2531353  Amount of Each Receipt this Period  30.00
Full Name (Last, First, Middle Initial)  Karen E Ashcraft  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  General  Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Business Relationship Mgr  Aggregate Year-to-Date ▼  225.00	Date of Receipt  109 30 2011  Transaction ID: A2011-2531095  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Michael Banko  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation IT Service Manager  Aggregate Year-to-Date ▼  450.00	Date of Receipt    M
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	105.00
TOTAL This Period (last page this line number	r only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  A. David M Barbour		Date of Receipt
Mailing Address One Health Plaza		09 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-2531857
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Regl Scientific Asc Dir	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	400.00	
Full Name (Last, First, Middle Initial)  Tracy L Baroni Allmon		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2531913
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec Dir Public Health Policy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General  Other (specify) ▼	900.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		09 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-2530432
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Medical Information Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	450.00	
SUBTOTAL of Receipts This Page (optional)	·····	250.00
TOTAL This Period (last page this line number	only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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Any information copied from such Reports and sor for commercial purposes, other than using the	Statements may not be sold or used by any perse e name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  Michael Beck  Mailing Address One Health Plaza		Date of Receipt
O'th.	Ohaka Zin Orda	09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2531188
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period  75.00
Name of Employer  Novartis Pharmaceuticals	Occupation Assoc Dir Reg Strat & Bus Plan	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 675.00	
Full Name (Last, First, Middle Initial)  Jeffrey Benjamin  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530999
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Novartis Finance Corporation	Occupation VP Litigation	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)  Sheila Bhattacharya		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City Foot Honover	State Zip Code NJ 07936	Transaction ID : A2011-2531075
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr. AD-National Accounts	_
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼  225.00	
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number	<u> </u>	125.00

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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Kathleen V Bishop  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530439
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	27.30
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Sales Consultant	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  244.84	
Full Name (Last, First, Middle Initial)  3. Edward J Blair		Date of Receipt
Mailing Address One Health Plaza		09 30 7 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530894  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer Novartis Pharmaceuticals	Occupation Asc Dir Product Management	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)	1	Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530689  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	58.99
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Dir Regl Accts Proj	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 528.13	
SUBTOTAL of Receipts This Page (optional)  TOTAL This Period (last page this line number	<u>`</u>	111.29

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Troy L Borill Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531234 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 37.56 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 336.78 Other (specify) Full Name (Last, First, Middle Initial) B. Daniel S Bortfeld Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530630 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Staffing Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Leonard J Brandt Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530986 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Business Plan'g & Analysis Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 112.56 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Ellen M Browne  Mailing Address One Health Plaza		Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-2531062  Amount of Each Receipt this Period  50.00
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation ED Information Technology  Aggregate Year-to-Date ▼  450.00	
Full Name (Last, First, Middle Initial)  3. Lucinda A Bruere  Mailing Address One Health Plaza		Date of Receipt  09 30 2011
City East Hanover  FEC ID number of contributing federal political committee.	State Zip Code NJ 07936	Transaction ID : A2011-2530563  Amount of Each Receipt this Period  25.00
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Senior District Manager  Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)  Thomas R Brunner  Mailing Address One Health Plaza		Date of Receipt  09 30 2011
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Director Systems Planning and  Aggregate Year-to-Date ▼  510.00	Transaction ID : A2011-2531378  Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)	<b>•</b>	125.00
TOTAL This Period (last page this line number	r only)	

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Any information copied from such Reports and or for commercial purposes, other than using to	I Statements may not be sold or used by any pers the name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Charles A Bruns  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2531868
		Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer	Occupation	1
Novartis Services Incorporated	Dir Communications	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  243.28	
Full Name (Last, First, Middle Initial)	10.20	
Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	09 30 2011 Transaction ID : A2011-2531859
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	50.00
Name of Employer Novartis Pharmaceuticals	Occupation VP DRA Liaison	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 450.00	
Full Name (Last, First, Middle Initial)  C. Richard Burns		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530421  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Dir Sales	
Receipt For:  Primary General  Other (consist)	Aggregate Year-to-Date ▼  270.00	
Other (specify) ▼	210.00	
SUBTOTAL of Receipts This Page (optional).	<b>&gt;</b>	110.41
TOTAL This Period (last page this line numb	er only)	

FOR LINE NUMBER: PAGE 14 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Timothy S Byler Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2530795 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. James P Carey Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531181 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 102.08 federal political committee. Name of Employer Occupation Novartis Services Incorporated VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 907.64 Other (specify) Full Name (Last, First, Middle Initial) c. Kevin M Carl Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531382 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Dir Strategic Planning Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 152.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the	(check only one)	44. 🗆 40
Detailed Summary Page	X 11a 11b 1	11c   12 15   16   17

Any information copied from such Reports a or for commercial purposes, other than usin	and Statements may not be sold or used by any pering the name and address of any political committee	rson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) James L Carrico  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530613
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Senior District Manager	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	270.00	
Full Name (Last, First, Middle Initial)  Daniel P Casserly		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530721
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	
Novartis Services Incorporated	VP Fed'l Govt Rel	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	2600.00	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2531386
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Ethics & Compliance Officr	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	250.00	
SUBTOTAL of Receipts This Page (option	al)	380.00
TOTAL This Period (last page this line nur	mber only)	

### SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 16 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Atindra N Chaturvedi Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530907 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation **ED Information Technology** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) B. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530463 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 56.58 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 506.46 Other (specify) Full Name (Last, First, Middle Initial) c. Roger S Clark Jr. Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531880 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 136.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 17 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christina M Clinton Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531725 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Director Internal Comm Receipt For: Aggregate Year-to-Date ▼ Primary General 1182.00 Other (specify) Full Name (Last, First, Middle Initial) B. Mary L Coen Date of Receipt Mailing Address Vaccine Headquarters 350 Massachus 09 2011 09 City State Zip Code Transaction ID: A2011-2430373 MA Cambridge 02139-4182 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation COMMERCIAL OPS-PRIM CARE ANDSPEC Exec Dir US Gov't Affairs & Public Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) Full Name (Last, First, Middle Initial) c. Julie A Collins Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531972 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation CIBA Vision Corporation Sr Dir Con&Prof Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 766.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

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TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 19 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) WILLIAM DARNALL Date of Receipt Mailing Address 701 Pennsylvania AveNW Ste 725 09 2011 City State Zip Code Transaction ID: A2011-2430327 DC Washington 20004 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation **Novartis Corporation** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) B. Clint D Degner Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530533 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Reg Strat & Bus Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. Randal Dias Date of Receipt Mailing Address One Health Plaza 30 09 2011 City State Zip Code Transaction ID: A2011-2530927 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Project Management Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 80.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

### SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 20 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

ITEMIZED RECEIPTS Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531081 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Asc Dir Fed'l Leg Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 2250.00 Other (specify) Full Name (Last, First, Middle Initial) B. Annette Donahey Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530602 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. David P Drake Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530906 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 325.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 21 Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David M Eberenz Jr. Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530782 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) B. James R Elkin Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530513 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated VP Head US Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 3744.00 Other (specify) Full Name (Last, First, Middle Initial) c. David N Elsasser Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530417 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 С federal political committee. Name of Employer Occupation Sr LTC Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) 476.41 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 22 OF 78 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael R Emch Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2530377 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) B. David R Epstein Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530957 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name (Last, First, Middle Initial) c. David J Erb Date of Receipt Mailing Address One Health Plaza 30 09 2011 Zip Code State Transaction ID: A2011-2530843 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 155.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE	E NUMBER	: PAGI	E 23 OF	78
Use separate schedule(s)	(check on	ly one)			
for each category of the Detailed Summary Page	<b>X</b> 11a	11b	11c	12	
	13	14	15	16	17

or for commercial purposes, other than using the	Statements may not be sold or used by any persone name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Christopher Esposito  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Managing Director  Aggregate Year-to-Date ▼  675.00	Date of Receipt  09 30 2011  Transaction ID: A2011-2531215  Amount of Each Receipt this Period  75.00
Full Name (Last, First, Middle Initial)  Judith J Ewalt  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify)   Other (specify)	State Zip Code NJ 07936  C  Occupation Sr Oncol Area Sales Manager  Aggregate Year-to-Date ▼  225.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial)  Thomas S Fellers  Mailing Address One Health Plaza  City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation VP Medl Head Operating Unit  Aggregate Year-to-Date ▼  210.00	Date of Receipt  M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	150.00
TOTAL This Period (last page this line number	only)	

FOR LINE NUMBER: PAGE 24 OF (check only one) X 11a 11b 12 11c

78 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Christopher Fikry Date of Receipt Mailing Address 350 Massachusetts Ave 350 MA # 234C 09 2011 City Zip Code State Transaction ID: A2011-2430315 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Vaccines & Diagnostics Sr Dir Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name (Last, First, Middle Initial) B. James E Foley Date of Receipt Mailing Address One Health Plaza 09 2011 30 City State Zip Code Transaction ID: A2011-2531065 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Principle MSE (Non-MD) Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. Matthew C Foster Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530729 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 75.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Use separate schedule(s) for each category of the	(check only one)  X 11a 11b 11c 12	
Detailed Summary Page	13 14 15 16	17

	and Statements may not be sold or used by any per- g the name and address of any political committee t	
NAME OF COMMITTEE (In Full)  Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)  Patrick G Francke  Mailing Address One Health Plaza	Patrick G Francke	
Mailing Address One Health Flaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2531744
East Hanover	NJ 07936	_ Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.41
Name of Employer	Occupation	7
Novartis Pharmaceuticals	Sr Sales Consultant	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  273.69	
Full Name (Last, First, Middle Initial)  H. P Frederick		Date of Receipt
Mailing Address One Health Plaza		09 30 7 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530803
	113 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	54.35
Name of Employer	Occupation	
Novartis Pharmaceuticals	Senior District Manager	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify) ▼	485.99	
Full Name (Last, First, Middle Initial)  Debra E Freire		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2531001  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Ex Dir Advocacy & Access	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General  Other (specify) ▼	450.00	
SUBTOTAL of Receipts This Page (optional	al)	134.76
	<u>`</u>	
TOTAL This Period (last page this line nun	nber only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530846 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 125.06 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 1120.64 Other (specify) Full Name (Last, First, Middle Initial) B. Salvatore Fusco Jr. Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531040 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Jill H Gaither Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531093 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 180.06 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 27 OF 78 Use separate schedule(s) (check only one) X 11a 11b 12 11c

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Valerie L Gerbino Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531110 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Sr Director Sales Training Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) **B.** Lisa Gleavy Date of Receipt Mailing Address 350 Massachusetts Ave 45 SS # 5103G 09 2011 09 City State Zip Code Transaction ID: A2011-2430324 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Mgr Medical Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) **c.** Brian M Goff Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531819 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Head Primary Care** Receipt For: Aggregate Year-to-Date ▼ Primary General 550.00 Other (specify) 180.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR	LINE	NU	MBER	:	PAGE	. 2	28 OF	=	78
Use separate schedule(s)	(ched	k only	or	ıe)						
for each category of the Detailed Summary Page		11a		11b		11c		12		
		13		14		15		16		17

Any information copied from such Reports a or for commercial purposes, other than usir	and Statements may not be sold or used by any per- ng the name and address of any political committee t	son for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial)  Erwin A Gomez Valladares  Mailing Address One Health Plaza	Date of Receipt	
		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2531943
FEC ID number of contributing federal political committee.	C	Amount of Each Receipt this Period
Name of Employer  Novartis Pharmaceuticals	Occupation  Dir Strategic Sourcing	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  300.00	
Full Name (Last, First, Middle Initial)  Janna S Goodman  Mailing Address One Health Plaza	,	Date of Receipt
		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530349
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period  27.55
Name of Employer Novartis Pharmaceuticals	Occupation Sr Sales Consultant	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  247.15	
Full Name (Last, First, Middle Initial)  C. Roger K Gravitte		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530319  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	Amount of Each Receipt this Period  30.41
Name of Employer	Occupation	-
Novartis Consumer Health Inc.	NA Head of Sales	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  243.28	
SUBTOTAL of Receipts This Page (option	al)	157.96
	<u> </u>	
TOTAL This Period (last page this line nur	mber only)	

FOR LINE NUMBER: PAGE 29 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Joseph Guido Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531432 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation **ED Information Technology** Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. David E Gulick Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530503 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Dir Customer Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. Joseph A Gunning Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530427 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using the	Statements may not be sold or used by any pers he name and address of any political committee to	
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Kurt Habel  Mailing Address One Health Plaza	Kurt Habel	
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Asc Director Ops Research  Aggregate Year-to-Date ▼  450.00	Transaction ID: A2011-2530902  Amount of Each Receipt this Period  50.00
Full Name (Last, First, Middle Initial)  Sarah E Haller  Mailing Address One Health Plaza  City State Zip Code  East Hanover NJ 07936		Date of Receipt  09 30 2011  Transaction ID : A2011-2530818
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer Novartis Services Incorporated  Receipt For:	Occupation  VP International Public Policy  Aggregate Year-to-Date ▼	Amount of Each Receipt this Period  50.00
Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial)	450.00	
Mailing Address One Health Plaza  City	State Zip Code	Date of Receipt  09 30 2011  Transaction ID : A2011-2531439
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  Other (specify) ▼	NJ 07936  C  Occupation  Area Sales Manager II - MS  Aggregate Year-to-Date ▼  270.00	Amount of Each Receipt this Period  30.00
SUBTOTAL of Receipts This Page (optional)		130.00
TOTAL This Period (last page this line number	or only)	

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675.00

Other (specify)

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William S Higgins Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530758 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 268.28 Other (specify) Full Name (Last, First, Middle Initial) B. Cynthia Hogan Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530355 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP IQP (Innovat Quality Prod) Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. John A Hohneker Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530940 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Franchise Head IHC Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 105.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William C Hokanson Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530736 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Sr Oncol Area Sales Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) B. Woodson M Hopkins Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531273 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. Herve J Hoppenot Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531451 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head BU Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Steven R Hvezdos Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530541 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Eric J Intfen Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530472 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.54 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 228.94 Other (specify) Full Name (Last, First, Middle Initial) c. Karen A Jacobs Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530975 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Director Marketing Science** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) 90.54 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	City	State Zip Code	Transaction ID : A2011-2530497
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	155.77
	Name of Employer Novartis Services Incorporated	Occupation Global Head of Corp Comm	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  1382.87	
C.	Full Name (Last, First, Middle Initial) Tawfik Kamal		Date of Receipt
	Mailing Address One Health Plaza		09 30 2011
	City	State Zip Code	Transaction ID : A2011-2530966
	East Hanover	NJ 07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	40.00
	Name of Employer	Occupation	
	Novartis Pharmaceuticals	VP Oncology BU Head	
	Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 360.00	
S	SUBTOTAL of Receipts This Page (optional	)	230.77

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Bella B Karbachinskaya Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531199 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Ops Research Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531467 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) c. Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530371 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation VP & General Counsel Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 155.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Steven D Lau Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530631 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Leigh A Leas Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531686 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530334 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 90.54 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 776.66 Other (specify) 145.95 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Frederic D Loveland Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530931 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Executive Director R&D Ops Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Brenda Luckritz Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation **Novartis Services Incorporated** VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 3744.00 Other (specify) Full Name (Last, First, Middle Initial) c. David T Mac Askill Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530707 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Sr LTC Account Manager Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 466.00 SUBTOTAL of Receipts This Page (optional).....

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Joanne K Machalaba Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530942 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Head Compliance & Risk Mgmt Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Judith Madsen Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530493 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) c. Frank Maness Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531837 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 С federal political committee. Name of Employer Occupation VP HR **Novartis Finance Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) 80.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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167.10 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

225.00

С

Occupation

Sr Sales Consultant

Aggregate Year-to-Date ▼

federal political committee.

Novartis Pharmaceuticals

Other (specify)

General

Name of Employer

Primary

Receipt For:

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stefanie L Maurer Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531078 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Neuro-Psych Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) B. Matthew C Mc Namara Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530749 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. John C Mc Partland Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531491 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Ex Dir IT Division Head Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 95.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 46 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 11c 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Vivian H McCain Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2530337 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharma Suffern Dir Unit Lead Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Catharine M McGeehan Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530680 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 725.00 Other (specify) Full Name (Last, First, Middle Initial) c. Paul J McGinley Date of Receipt Mailing Address One Health Plaza 30 09 2011 Zip Code City State Transaction ID: A2011-2531496 NJ East Hanover 07936 Amount of Each Receipt this Period

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273.69

С

Occupation Sr Product Director

Aggregate Year-to-Date ▼

30.41

FEC ID number of contributing

General

federal political committee.

Novartis Pharmaceuticals

Other (specify)

Name of Employer

Primary

Receipt For:

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NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Edward J McKenna Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2531790 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) B. William D McLaury Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530722 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Supply Chain Mngmt Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name (Last, First, Middle Initial) c. Brian J McNamara Date of Receipt Mailing Address One Health Plaza 30 09 2011 City State Zip Code Transaction ID: A2011-2530318 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Name of Employer Occupation OTC Region BU Head Americas Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 230.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Wayne P Merkelson Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2531009 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 federal political committee. Name of Employer Occupation VP Tax Law **Novartis Finance Corporation** Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas E Michaels Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530648 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) c. Laurie C Mills Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531502 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Clinical Research Asc **Novartis Clinical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 135.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Stacey L Moore Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530656 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 36.73 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Xolair Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 320.93 Other (specify) Full Name (Last, First, Middle Initial) B. Led R Morehead Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531687 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Sales Consultant Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn H Morton Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530875 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 156.12 С federal political committee. Name of Employer Occupation ED IT Risk and Compliance Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 1401.98 Other (specify) 222.85 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... - 9

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Marion T Morton Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530995 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) Full Name (Last, First, Middle Initial) B. David Scott Murdoch Date of Receipt Mailing Address 350 Massachusetts Ave 350 MA #256B 09 2011 09 City State Zip Code Transaction ID: A2011-2430321 MA Cambridge 02139 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation **Novartis Vaccines & Diagnostics** Dir Communications Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) c. Polly L Murphy Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Xolair Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) 160.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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or for commercial purposes, other than using  NAME OF COMMITTEE (In Full)  Novartis Corporation Political	the name and address of any political committee  Action Committee	to solicit contributions from such committee.
Full Name (Last, First, Middle Initial)  Michael E Nanfito  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Senior District Manager  Aggregate Year-to-Date ▼  270.00	Date of Receipt  09 30 2011  Transaction ID: A2011-2530423  Amount of Each Receipt this Period  30.00
Full Name (Last, First, Middle Initial)  Vas Narasimhan  Mailing Address 350 Massachusetts Ave 350 MA # 222  City  Cambridge  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary  General  Other (specify)	State Zip Code MA 02139  C  Occupation Region Head of North America  Aggregate Year-to-Date ▼  900.00	Date of Receipt  M M M / D D / 2011  Transaction ID: A2011-2430307  Amount of Each Receipt this Period  100.00
Full Name (Last, First, Middle Initial)  John Chong Neal  Mailing Address 350 Massachusetts Ave 350 MA # 212F  City Cambridge  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Vaccines & Diagnostics  Receipt For:  Primary General Other (specify)   Other (specify)	State Zip Code MA 02139  C  Occupation Dir Marketing  Aggregate Year-to-Date ▼  590.00	Date of Receipt  09 09 2011  Transaction ID : A2011-2430310  Amount of Each Receipt this Period  70.00
SUBTOTAL of Receipts This Page (optional).	<u> </u>	200.00
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NAME OF COMMITTEE (In Full)  Novartis Corporation Political Action	on Committee	
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary  Other (specify)   Other (specify)	State Zip Code NJ 07936  C    Coccupation   r Oncol Area Sales Manager   r Oncol Area Sales Manager	Date of Receipt  09 30 2011  Transaction ID: A2011-2531781  Amount of Each Receipt this Period  25.00
Name of Employer  Novartis Pharmaceuticals  Receipt For:	State Zip Code NJ 07936  C ccupation D Sr Application Manager ggregate Year-to-Date ▼	Date of Receipt  M M J J J J J J J J J J J J J J J J J
Name of Employer  Novartis Pharmaceuticals  Descript For:	State Zip Code NJ 07936  C  ccupation ir Operations ggregate Year-to-Date ▼  273.69	Date of Receipt  99 30 2011  Transaction ID: A2011-2530823  Amount of Each Receipt this Period  30.41
SUBTOTAL of Receipts This Page (optional)		80.41
TOTAL This Period (last page this line number only	v)	

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Any information copied from such Reports and or for commercial purposes, other than using th	Statements may not be sold or used by any persone name and address of any political committee to	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Sharon L Nobles  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530623
FEC ID number of contributing federal political committee.	C 07936	Amount of Each Receipt this Period 25.00
Name of Employer  Novartis Pharmaceuticals	Occupation District Manager II	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)  Hugh M O'Dowd		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530659  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer Novartis Pharmaceuticals	Occupation  Latam Region Head Oncology	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 450.00	
Full Name (Last, First, Middle Initial)  Shawn O'Neail		Date of Receipt
Mailing Address 608 Fifth Avenue		09 30 2011
City New York	State Zip Code NY 10020	Transaction ID : A2011-2531933  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	100.00
Name of Employer	Occupation	-
Novartis Services Incorporated	Ex Dir Fed Leg Affairs	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	800.00	
SUBTOTAL of Receipts This Page (optional)	<b></b>	175.00
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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Craig S Osten Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2530385 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Corp Plan & Sls Dmnd Anlys Receipt For: Aggregate Year-to-Date ▼ Primary General 240.00 Other (specify) Full Name (Last, First, Middle Initial) B. Serafina Oxner Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2531036 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Strategic Planning Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) Full Name (Last, First, Middle Initial) c. Melissa A Parker Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531097 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Regional Dir Acct Management Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Gregory A Peters Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2530627 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Account Mgr Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Paul G Pochtar Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530918 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Oncology Managed Markets Receipt For: Aggregate Year-to-Date ▼ Primary General 1030.00 Other (specify) Full Name (Last, First, Middle Initial) c. Diana Potter Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530913 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Dir Scientific Operations/TTD Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 175.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Marilyn Priestley  Mailing Address One Health Plaza  City East Hanover  FEC ID number of contributing	State Zip Code NJ 07936	Date of Receipt    M
federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General  □ Other (specify) ▼	Occupation US VP Diversity  Aggregate Year-to-Date   360.00	40.00
Full Name (Last, First, Middle Initial)  Brian C Prout  Mailing Address One Health Plaza  City	Date of Receipt  09 30 2011  Transaction ID : A2011-2531714	
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals	NJ 07936  C Occupation Sr Product Manager	Amount of Each Receipt this Period  50.00
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  450.00	
Full Name (Last, First, Middle Initial) Thomas D Rader Mailing Address One Health Plaza  City East Hanover	State Zip Code NJ 07936	Date of Receipt  09 30 2011  Transaction ID : A2011-2530644
FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	C Occupation Senior District Manager  Aggregate Year-to-Date ▼  225.00	Amount of Each Receipt this Period  25.00
SUBTOTAL of Receipts This Page (optional)	<b>&gt;</b>	115.00
TOTAL This Period (last page this line number	r only)	7

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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Allan P Robinson  Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	09 30 2011 Transaction ID : A2011-2531786
East Hanover  FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 25.00
Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General  Other (specify) ▼	Occupation Senior District Manager  Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)  Rodney M Roggow  Mailing Address One Health Plaza		Date of Receipt  09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530518  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	25.00
Name of Employer Novartis Pharmaceuticals	Occupation Executive Sales Consultant	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  225.00	
Full Name (Last, First, Middle Initial)  C. Bruce Ruscio		Date of Receipt
Mailing Address 608 Fifth Avenue		09 09 2011
City New York	State Zip Code NY 10020	Transaction ID : A2011-2430306  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	80.00
Name of Employer  Novartis Corporation	Occupation  Manager	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  720.00	
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\	ME OF COMMITTEE (In Full) ovartis Corporation Political Ac	tion Committee	
A. S. Mai	ost Hanover  C ID number of contributing leral political committee.	State Zip Code NJ 07936	Date of Receipt  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Nov	me of Employer vartis Pharmaceuticals ceipt For:  Primary General Other (specify) ▼	Occupation  Associate Dir Customer Mktg  Aggregate Year-to-Date ▼  225.00	
B. <u>C</u> l	I Name (Last, First, Middle Initial) hristopher Sellin iling Address One Health Plaza	State Zip Code	Date of Receipt    M
FE(	st Hanover  C ID number of contributing leral political committee.  me of Employer	NJ 07936  C Occupation	Amount of Each Receipt this Period 30.00
Nov	vartis Pharmaceuticals ceipt For: Primary General Other (specify)	Dir Sales Force Tech Strat  Aggregate Year-to-Date ▼  270.00	
C. C Mai	Il Name (Last, First, Middle Initial) Christi L Shaw illing Address 44 Guinea Hollow Rd  y ebanon	State Zip Code NJ 08833	Date of Receipt    M
FE0 fed Nar	C ID number of contributing eral political committee.  me of Employer vartis Pharmaceuticals ceipt For: Primary General Other (specify)	Occupation  Exec VP & N Amer Region Hd  Aggregate Year-to-Date ▼  250.00	Amount of Each Receipt this Period  50.00
SUB	TOTAL of Receipts This Page (optional)	<u> </u>	105.00
TOTA	AL This Period (last page this line number o	nly)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Deborah Siakel Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2531559 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Account Mgr II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. Joseph M Simon Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530703 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Xolair Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) c. Harold T Smith Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530898 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 90.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 63 OF 78 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c

Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Katherine E Solon Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531671 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated Ex Dir Fed Leg Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 2250.00 Other (specify) Full Name (Last, First, Middle Initial) B. William S Spelta Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530426 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) c. Charles A Speranzo Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531063 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 305.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Victoria M Spry Date of Receipt Mailing Address One Health Plaza 30 2011 City State Zip Code Transaction ID: A2011-2531823 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 360.00 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530361 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 1035.00 Other (specify) Full Name (Last, First, Middle Initial) c. Donald P Stevens Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530537 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 75.00 С federal political committee. Name of Employer Occupation Director State Gov't Affairs Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 675.00 Other (specify) 230.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X 11a 111	b 1	11c	12	
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Any information copied from such Reports and or for commercial purposes, other than using	d Statements may not be sold or used by any pers the name and address of any political committee t	son for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial)  Lesley J Stickley  Mailing Address One Health Plaza		Date of Receipt
		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530639
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	25.00
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr Account Mgr	
Receipt For:  Primary General  Other (specify) —	Aggregate Year-to-Date ▼	
Other (specify) ▼	225.00	
Full Name (Last, First, Middle Initial)  3. Peter N Streit		Date of Receipt
Mailing Address One Health Plaza	7.0	09 30 7 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2531006  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	30.00
Name of Employer Novartis Pharmaceuticals	Occupation Dir Sales	-
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼  270.00	
Full Name (Last, First, Middle Initial)  2. John Suchorsky		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2011-2530967  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Dir BIM	
Receipt For:  Primary  General	Aggregate Year-to-Date ▼	
Other (specify)	450.00	
SUBTOTAL of Receipts This Page (optional)		105.00
TOTAL This Period (last page this line numb	<u> </u>	

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	Statements may not be sold or used by any person e name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  1. Thomas A Suter		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2531877
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	50.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Asc Director Gov't Relations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	450.00	
Full Name (Last, First, Middle Initial)  Barbara A Tombros		Date of Receipt
Mailing Address One Health Plaza		09 30 2011
City	State Zip Code	Transaction ID : A2011-2530905
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	74.07
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Strategic Alliance	
Receipt For:  Primary General  Other (specify) ▼	Aggregate Year-to-Date ▼ 664.45	
Full Name (Last, First, Middle Initial) Christina M Tremains		Date of Receipt
Mailing Address One Health Plaza		09 30 _ 2011 _
City	State Zip Code	Transaction ID : A2011-2531132
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	65.21
Name of Employer	Occupation	
Novartis Pharmaceuticals	Sr Business Relationship Mgr	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	583.71	
SUBTOTAL of Receipts This Page (optional)	·····	189.28
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas A Urban Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530363 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 32.58 federal political committee. Name of Employer Occupation Exec Xolair Specialist Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 291.70 Other (specify) Full Name (Last, First, Middle Initial) B. Lisa R Utt Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530504 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Executive Sales Consultant** Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) c. Edwin Valeriano Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531591 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation **Novartis Corporation** Dir Investor Rel Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 112.58 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Russell E Veitenheimer Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2530535 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) Full Name (Last, First, Middle Initial) B. William W Voegtli Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530496 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.41 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior Reimbursement Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 273.69 Other (specify) Full Name (Last, First, Middle Initial) c. Andrew J Volante Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530810 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Bus Franchise Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 900.00 Other (specify) 155.41 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page	<b>X</b> 11a		11b	11c		12
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or for commercial purposes, other than using the	Statements may not be sold or used by any person and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial)  Cynthia K Walker  Mailing Address One Health Plaza		Date of Receipt  M = M / D = D / Y = Y = Y = Y = Y = Y = Y = Y = Y = Y
City East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  Primary General Other (specify)	State Zip Code NJ 07936  C  Occupation Asc Dir Regl Accts Proj  Aggregate Year-to-Date ▼  270.00	Transaction ID : A2011-2530826  Amount of Each Receipt this Period  30.00
Full Name (Last, First, Middle Initial)  Keith H Watson  Mailing Address One Health Plaza  City  East Hanover	State Zip Code NJ 07936	Date of Receipt  09 30 2011  Transaction ID : A2011-2530760  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer Novartis Pharmaceuticals  Receipt For:  □ Primary □ General □ Other (specify) ▼	Occupation Executive Sales Consultant Aggregate Year-to-Date ▼  225.00	25.00
Full Name (Last, First, Middle Initial)  Stephan M Webb  Mailing Address One Health Plaza  City State Zip Code		Date of Receipt  09 30 2011  Transaction ID : A2011-2531228
East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Pharmaceuticals  Receipt For:  □ Primary □ General □ Other (specify) ▼	NJ 07936  C Occupation Asc Dir Training  Aggregate Year-to-Date ▼  450.00	Amount of Each Receipt this Period  50.00
SUBTOTAL of Receipts This Page (optional)		105.00
TOTAL This Period (last page this line number	only)	

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael D Webster Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531102 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 45.67 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 409.23 Other (specify) Full Name (Last, First, Middle Initial) B. Jane E Welborn Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530569 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 53.19 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 477.67 Other (specify) Full Name (Last, First, Middle Initial) c. Vernon E Wellington Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2530980 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals District Manager II Receipt For: Aggregate Year-to-Date ▼ Primary General 225.00 Other (specify) 123.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) James E Williams Date of Receipt Mailing Address One Health Plaza 30 2011 City Zip Code State Transaction ID: A2011-2531866 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Dir Clinical Research Phys Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name (Last, First, Middle Initial) B. Robert T Wohlgamuth Date of Receipt Mailing Address One Health Plaza 09 30 2011 City State Zip Code Transaction ID: A2011-2530733 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Sr Oncol Area Sales Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 270.00 Other (specify) Full Name (Last, First, Middle Initial) c. Stephen A Woolford Date of Receipt Mailing Address One Health Plaza 30 09 2011 City Zip Code State Transaction ID: A2011-2531032 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Ex Dir Business Plan'g & Analy Receipt For: Aggregate Year-to-Date ▼ Primary General 450.00 Other (specify) 180.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using the	he name and address of any political committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial)  Robert Yates  Mailing Address One Health Plaza		Date of Receipt
City  East Hanover  FEC ID number of contributing federal political committee.  Name of Employer  Novartis Clinical Operations  Receipt For:  Primary General  Other (specify) ▼	State Zip Code NJ 07936  C  Occupation Clinical Research Asc Expert  Aggregate Year-to-Date ▼  225.00	Transaction ID: A2011-2530425  Amount of Each Receipt this Period  25.00
Full Name (Last, First, Middle Initial)  Mailing Address  City  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For:	State Zip Code  C Occupation  Aggregate Year-to-Date ▼	Date of Receipt  M M / D D / Y Y Y Y Y  Amount of Each Receipt this Period
Primary General Other (specify) ▼  Full Name (Last, First, Middle Initial)  Mailing Address		Date of Receipt
City  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For:  □ Primary □ General □ Other (specify) ▼	State Zip Code  C Occupation  Aggregate Year-to-Date ▼	Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional)		25.00
TOTAL This Period (last page this line number	r only)	11495.26

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	)	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 73 OF 78 (check only one)  11a 11b 11c 12 13 14 X 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using			erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee	
Full Name (Last, First, Middle Initial) Cmte to Elect Earl Ray Tomblin Mailing Address PO Box 116  City Chapmanville  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For: 2011 Primary General	State WV  C Occupation Aggregate	Zip Code 25508 Year-to-Date ▼	Date of Receipt  09 30 2011  Transaction ID : A*  Amount of Each Receipt this Period  403.90  Refund from NonFed. Cmte
Other (specify)   Full Name (Last, First, Middle Initial)		403.90	Partial Refund from Candidate
Mailing Address  City  FEC ID number of contributing federal political committee.	State	Zip Code	Amount of Each Receipt this Period
Name of Employer  Receipt For:  Primary General  Other (specify) ▼	Occupation Aggregate	Year-to-Date ▼	]
Full Name (Last, First, Middle Initial)  Mailing Address			Date of Receipt
City	State	Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.  Name of Employer	Occupation		
Receipt For: Primary General Other (specify)		Year-to-Date ▼	
SUBTOTAL of Receipts This Page (optional).	'		403.90

TOTAL This Period (last page this line number only).....

403.90

SCHEDULE B (FE	EC Form 3X)			FOR LINE	NUMBER:		PAGE	74 OF 7	'8
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			mmary Page	21b		X 23	24		26
				27	28a	28b	28c		30b
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NAME OF COMMITTEE	(In Full)								
Novartis Corpora	tion Political Actior	n Commit	tee						
Full Name (Last, First, M	iddle Initial)								
A. Dave Camp for C	ongress				Date of	Disbursemen		YYY	
Mailing Address 20 F Stre	eet NW Suite 500				09	29	_ 20	11	
City	S	tate Z	Zip Code		Tranca	ction ID : B3	05501		
Washington		DC 2	20001		IIalisa	ction ib . bs	33331		
Purpose of Disbursement Contribution				011	Amount	of Each Disb	ursement	this Period	
Candidate Name				Category/				5000.00	1
Dave Camp				Туре		7	7	3000.00	4
S	enate X I	ent For: 201 Primary [ Other (specify	General						
State: MI District	: 04		•						
Full Name (Last, First, Mi	iddle Initial)								
B. Rely on Your Bel	iefs Fund				Date of	Disbursemen		YYY	
Mailing Address 209 Pen	nsylvania Ave. SE				09	29		)11	
City Washington	1		Zip Code 20003		Transa	ction ID : B3	95590		
Purpose of Disbursement Contribution				011	Amount	of Each Disb	ursement	this Period	
Candidate Name				Category/ Type		4	4	5000.00	1
Office Sought: He	ouse Disbursem	ent For: 20	11	71		,	,		
		Primary	General						
		Other (specify							
State: MO District			Not Applicable						
Full Name (Last, First, M					Doto of	Disbursemen			
<sup>C.</sup> Frelinghuysen for	Congress								
Mailing Address P.O. Box	29576				M M	29		11	
City			Zip Code		Transa	ction ID : B3	95592		
Washington Purpose of Disbursement Contribution		DC 2	20017						
Candidate Name				011	Amount	of Each Disb	ursement	this Period	
Rodney P Freling	nhuveen			Category/				2500.00	1
		ent For: 201	2	Туре		7	7		4
S	enate resident	Primary [ Other (specify	General						
State: NJ District	: 11								
SUBTOTAL of Disbursemen	nts This Page (optional)			·····•		7	1	2500.00	
TOTAL This Period (last pa	age this line number only).								

SCHEDULE B (FEC Form 3X)				FOR LINE NUMBER: PAGE 75 OF 78					
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			Summary Page	21b		X 23	24	25	26
_				27	28a	28b	28c	29	30b
	ny information copied from such Reports and Statem for commercial purposes, other than using the name								
$\setminus$	NAME OF COMMITTEE (In Full)								
	Novartis Corporation Political Action	n Comi	mittee						
_	Full Name (Last, First, Middle Initial)								
Α.	Menendez for Senate				Date of	of Disbursen		Y	Υ
	Mailing Address 236 Massachusetts Ave. NE Suite				09	29	2	2011	
		State	Zip Code		Tran	saction ID :	B395596		
	Washington Purpose of Disbursement	DC	20002		_				
	Contribution			011	Amour	nt of Each D	Disbursemer	nt this F	Period
	Candidate Name			Category/				2500	.00
	Robert Menendez  Office Sought: House Disbursem	ont For:	2012	Туре	-	7	7		
	Senate President	Primary Other (spe	X General						
_	State: NJ District:								
D	Full Name (Last, First, Middle Initial)				Data	f Diahaan			
О.	Pascrell for Congress					of Disbursen			
	Mailing Address 38 Ivy Street SE				09	29		2011	Y
	Washington	State DC	Zip Code 20003		Tran	saction ID :	B395584		
	Purpose of Disbursement Contribution			011	Amour	nt of Each D	Disbursemer	nt this F	Period
	Candidate Name			Category/				1000	.00
	William J Pascrell Jr.			Type		7		1000	.00
		nent For: Primary Other (spe	General						
_	Full Name (Last, First, Middle Initial)								
C.	Rothman for New Jersey				Date of	of Disbursen	nent		
	Mailing Address 209 Pennsylvania Ave. SE				09	29		2011	Y
	,	State DC	Zip Code 20003		Tran	saction ID :	B395598		
	Purpose of Disbursement		20000		-				
	Contribution	011	Amour	nt of Each D	Disbursemer	nt this F	Period		
	Candidate Name			Category/				2500	00
	Steven R Rothman			Туре				2300	.00
	President	nent For: Primary Other (spe	General						
	State: NJ District: 09								
\$	SUBTOTAL of Disbursements This Page (optional)			<b>&gt;</b>				6000	.00
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SCHEDULE B (FEC Form 3X)	Han annual colored C.	FOR LINE NUMBER: PAGE 76 OF				
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Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)						
A. Friends of Nan Hayworth			Date of Disburseme	ent / Y Y Y Y Y Y Y Y		
Mailing Address 1006 Pendleton Street			09 29 2011			
•	State Zip Code		Transaction ID : I	3395595		
Alexandria Purpose of Disbursement	VA 22314					
Contribution		011	Amount of Each Di	sbursement this Period		
Candidate Name		Category/		1000.00		
Nan Hayworth  Office Sought:  House Disbursen	nent For: 2012	Туре				
Senate	Primary General Other (specify)					
State: NY District: 19						
Full Name (Last, First, Middle Initial)						
B. Manchin for West Virginia			Date of Disbursement			
Mailing Address 426 C Street NE			09 29 2011			
,	State Zip Code DC 02000		Transaction ID : I	3395593		
Purpose of Disbursement Contribution		011	Amount of Each Di	sbursement this Period		
Candidate Name		Category/				
Joseph Manchin		Type		1500.00		
Senate President	nent For: 2012  Primary General  Other (specify)					
State: WV District:  Full Name (Last, First, Middle Initial)						
C.			Date of Disburseme	_		
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Candidate Name	Category/ Type	Amount of Each Disbursement to Category/ Type				
	nent For: Primary General Other (specify)	71		, , , , , ,		
				2500.00		
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$\setminus$	NAME OF COMMITTEE (In Full)					
	Novartis Corporation Political Actio	n Committee				
_	Full Name (Last, First, Middle Initial)			D. (D.)		
Α.	Friends of Shannon Jones			Date of Disbursement		
	Mailing Address 800 Valley View Point	tate Zip Code		09 28 2011		
	,			Transaction ID : B395616		
	Sp955.5	OH 45066		Transaction id . 6393010		
	Purpose of Disbursement P-2014 State Senate 7 OH		011	Amount of Each Disbursement this Period	d	
	Candidate Name		Category/	250.00	7	
	Shannon Jones Office Sought: House Disbursen	nent For: 2014	Туре	, , ,	-	
	Senate	Primary General Other (specify) ▼				
_	State: District:					
В.	Full Name (Last, First, Middle Initial)  Citizens to Elect John Patrick Carn	ev		Date of Disbursement		
	Onizeris to Elect John Father Cam	Clect John Father Camey		M M / D D / Y Y Y Y		
	Mailing Address 357 E Torrence Road			09 29 2011		
	,	State Zip Code OH 43214		Transaction ID : B395641		
	Purpose of Disbursement	40214				
P-2012 State House 22 OH  Candidate Name		011		Amount of Each Disbursement this Period		
			Category/	250.00	П	
	John Patrick Carney Office Sought: House Disbursen	eest For: 0040	Type	100.00		
		nent For: 2012 Primary General				
		Other (specify)				
	State: District:	(-11 7)				
	Full Name (Last, First, Middle Initial)					
C.	Citizens for Anne Gonzales			Date of Disbursement		
	Matter Address See 11			M M / D D / Y Y Y Y		
	Mailing Address 865 Macon Alley		09 29 2011			
	City State Zip Code		Towns of the ID Decrees			
	Columbus	OH 43206		Transaction ID: B395602		
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	Condidate Name		Amount of Each Disbursement this Period			
	Anne Gonzales  Category/ Type		500.00			
		nent For: 2012	Type	7		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions from such committee.  NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee  Full Name (Last, First, Middle Initial) A. Oelslager for Ohio Committee  Mailing Address 6706 Lake Cable Ave. NW  City State Zip Code North Canton Parisident State Senate President State:  Disbursement For: 2014  Senate President State:  Full Name (Last, First, Middle Initial)  B. Cmte to Elect Lynn Wachtmann  Mailing Address 550 Euclid Avenue  Date of Disbursement this Period  City State Zip Code Other (specify) Type  Transaction ID: B395643  Amount of Each Disbursement  Date of Disbursement  Transaction ID: B395643  Amount of Each Disbursement  Date of Disbursement  Date of Disbursement  Transaction ID: B395643  Amount of Each Disbursement  Date of Disbur		CHEDULE B (FEC Form 3X)	Hoo concrete sale adult (-)	FOR LINE		PAGE 78 OF 78	
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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.  NAME OF COMMITTEE (in Pall) Novartis Corporation Political Action Committee  Full Name (Last, Frist, Middle Initial)  City State Zip Code OH 44720  Purpose of Disbursement Port 2014  Scott W Oelslager  Office Sought: House Sonate Primary General State: Zip Code OH 43545  Full Name (Last, Frist, Middle Initial)  B. Critte to Elect Lynn Wachtmann  Office Sought: House Sonate Primary General State: Zip Code OH 43545  Full Name (Last, Frist, Middle Initial)  City State Zip Code OH 43545  Purpose of Disbursement OH 43545  Full Name (Last, Frist, Middle Initial)  City State Zip Code OH 43545  Purpose of Disbursement OH 43545  Full Name (Last, Frist, Middle Initial)  City State Zip Code OH 43545  Purpose of Disbursement OH 43545  Full Name (Last, Frist, Middle Initial)  City State Sonate Primary General Disbursement Fort 2012  State: Disfinct: Primary General Disbursement Fort 2012  Full Name (Last, Frist, Middle Initial)  City State Sonate Primary General Disbursement Fort 2012  Full Name (Last, Frist, Middle Initial)  City State Sonate Primary General Disbursement Fort 2012  Full Name (Last, Frist, Middle Initial)  City State Sonate Primary General Disbursement Fort 2012  Full Name (Last, Frist, Middle Initial)  City State Sonate Primary General Disbursement Fort 2012  State: Disfinct: Transaction ID: B395637  Amount of Each Disbursement This Page (optional)  Transaction ID: B395637  Amount of Each Disbursement This Page (optional)  Transaction ID: B395637  Amount of Each Disbursement This Page (optional)  State: Distinct: State Senate 10 OH Cardegory/ Type  Transaction ID: B395637  Amount of Each Disbursement This Page (optional)  State: Distinct: State Senate 10 OH Cardegory/ Type  Transaction ID: B395637  Amount of Each Disbursement This Page (optional)	An	v information copied from such Reports and Statem	l nents may not be sold or u	sed by any nerso			
Novartis Corporation Political Action Committee							
Full Name (Last, First, Middle Initial)  A. Oelslager for Ohio Committee  Mailing Address 6706 Lake Cable Ave, NW  City  City  State  OH  44720  OH  Candidate Name  Candidate Name  Scott W Oelslager  Office Sought:  Full Name (Last, First, Middle Initial)  B. Crnte to Elect Lynn Wachtmann  Mailing Address 500 Euclid Avenue  City  Napoleon  OH  43545  Primose of Disbursement this Period  Category' Spread  OH  43545  Transaction ID: B395640  Amount of Each Disbursement this Period  Category' Spread  OB 29  2011  Date of Disbursement this Period  Category' Spread  Transaction ID: B395643  Amount of Each Disbursement  OB 29  2011  Date of Disbursement  OB 29  2011  City  State Zip Code Napoleon  OH  43545  Primose of Disbursement  P-2012 State House 75 OH  Candidate Name  Lynn Wachtmann  Office Sought:  House  Prissident  State:  District:  Full Name (Last, First, Middle Initial)  C. Crnte to Elect Chris Widener  Mailing Address 23 South Center Street  City  Springfield  OH  45502  Purpose of Disbursement  P-2012 State Senate 10 OH  Candidate Name  Chris Widener  Office Sought:  House  OH  45502  Purpose of Disbursement  Office Sought:  President  State:  District:  State  District:  State  OH  45502  Purpose of Disbursement  Office Sought:  President  State:  District:  State  OH  45502  Purpose of Disbursement  Office Sought:  OH  Category' Type  OH  Category'		• • •					
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Mailing Address 6706 Lake Cable Ave. NW   Gib   Category	A.	Oelslager for Ohio Committee					
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Norm Canton Purpose of Disbursement P-2014 State Senate 29 OH Candidate Name Scott W Oelslager Office Sought: House President State: District:  Full Name (Last, First, Middle Initial) B. Crnte to Elect Lynn Wachtmann  Mailing Address 550 Euclid Avenue  City State House 75 OH Candidate Name Lynn Wachtmann  Office Sought: House President State: Disbursement P-2012 State House 75 OH Candidate Name Lynn Wachtmann  Office Sought: House President State: Disbursement For: 2012 Senate President State: Disbursement For: 2012 Senate President State: Disbursement For: 2012 Senate President State: Disbursement Other (specify) ▼  Date of Disbursement this Period  Amount of Each Disbursement  Og 29 29 2011  Transaction ID: B395643  Amount of Each Disbursement  Date of Disbursement  Date of Disbursement this Period  Category/ Type  Date of Disbursement  Og 29 2011  Transaction ID: B395643  Amount of Each Disbursement  Og 29 2011  Transaction ID: B395643  Amount of Each Disbursement  Og 29 2011  Amount of Each Disbursement this Period  Category/ Type  Office Sought: House President  Other (specify) ▼  State: District:  District: Category/ Type  Office Sought: House President  Other (specify) ▼  State: District: Disbursement For: 2012 Senate President  Other (specify) ▼  State: District: Disbursement For: 2012 Senate President  Other (specify) ▼  SubtOtAL of Disbursements This Page (optional)		City	tate Zip Code		Terroration ID D005040		
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Springfield OH 45502  Purpose of Disbursement P-2012 State Senate 10 OH  Candidate Name Chris Widener  Office Sought: House Senate President State: District:  State: District: Page (optional)  Substitute Senate OH 45502  Amount of Each Disbursement this Period  Category/ Type  Category/ Type  Other (specify)   State: Oisbursements This Page (optional)  3000.00		Mailing Address 23 South Center Street		09 29	2011		
Springfield OH 45502  Purpose of Disbursement P-2012 State Senate 10 OH  Candidate Name Chris Widener  Office Sought: House Senate President President State: District:  Substrict: Transaction ID: B39563/  Amount of Each Disbursement this Period  Category/ Type  Category/ Type  Other (specify)   State: District:  Substrict: 3000.00		City	State Zip Code		Transaction ID D	205627	
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Candidate Name Chris Widener  Office Sought: House Senate Primary General Other (specify) ▼  State: District:  Amount of Each Disbursement this Period  Category/ Type  1000.00  Amount of Each Disbursement this Period  2012  Senate Primary General Other (specify) ▼  3000.00		D 2012 State Senate 10 OH					
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