Image# 12951801107 PAGE 1 / 28

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

	or Other Than An Auth	orized Committee	C	Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Novartis Corporation P	olitical Action Commi	ttee		
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	Suite 725		
Charle if different				
Check if different than previously reported. (ACC)	Washington		DC _	20004-2608
2. FEC IDENTIFICATION NU	IMBER ▼ CITY	(▲	STATE A	ZIP CODE ▲
C C00033969	3. IS	THIS NEW (N) OR	AMEN (A)	NDED
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) X May 20 (M5 20 (M3) Jun 20 (M6)	Aug 20	Year Only)
(a) Quarterly Reports:			=	(Non-Election Year Only)
April 15 Quarterly Report (Q		20 (M4) Jul 20 (M7)	Oct 20	
July 15 Quarterly Report (Q	(C) 12-Day	Primary (12P)	General (12	Runoff (12R)
October 15	Report for the:	Convention (12C)	Special (12	S)
Quarterly Report (Q January 31 Year-End Report (Y)	Floories	on/	Y W Y W Y	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day	General (30G)	Runoff (30F	Special (30S)
Termination Report (TER)	Election	on M - M / D - D /	Y Y Y Y Y	in the State of
5. Covering Period 04	01 2012	through 04	30,	2012
I certify that I have examined thi	s Report and to the best of i	my knowledge and belief it is to	rue, correct and c	omplete.
Type or Print Name of Treasurer	Daniel P. Casserly			
Signature of Treasurer Danie	l P. Casserly	[Electronically Filed]	Date 05	18 2012
NOTE: Submission of false, errone	eous, or incomplete information	may subject the person signing	this Report to the	penalties of 2 U.S.C. §437g.
Office Use Only				FEC FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 04 01 2012 To: 04 30 2012

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2012		217107.27
	(b) Cash on Hand at Beginning of Reporting Period	136959.16	
	(c) Total Receipts (from Line 19)	25022.23	109724.12
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	161981.39	326831.39
7.	Total Disbursements (from Line 31)	29117.72	193967.72
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	132863.67	132863.67
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts	I. Receipts COLUMN A Total This Period			
Contributions (other than loans) From:		Calendar Year-to-Date		
(a) Individuals/Persons Other				
Than Political Committees	5004.00	40200 22		
(i) Itemized (use Schedule A)	5094.98	16299.32		
(ii) Unitemized(iii) TOTAL (add	19927.25	88424.80		
Lines 11(a)(i) and (ii)	25022.23	104724.12		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees	0.00	0.00		
(such as PACs)	0.00	0.00		
(d) Total Contributions (add Lines				
11(a)(iii), (b), and (c)) (Carry	25022.23	104724.12		
Totals to Line 33, page 5)	20022.23			
Transfers From Affiliated/Other Party Committees	0.00	0.00		
Turty Committees	0.00			
. All Loans Received	0.00	0.00		
. Loan Repayments Received	0.00	0.00		
Offsets To Operating Expenditures	7			
(Refunds, Rebates, etc.)				
(Carry Totals to Line 37, page 5)	0.00	0.00		
. Refunds of Contributions Made				
to Federal Candidates and Other				
Political Committees	0.00	5000.00		
. Other Federal Receipts				
(Dividends, Interest, etc.)	0.00	0.00		
. Transfers from Non-Federal and Levin Funds				
(a) Non-Federal Account				
(from Schedule H3)	0.00	0.00		
	0.00			
(b) Levin Funds (from Schedule H5)	0.00	0.00		
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00		
(c) Total Transfers (add To(a) and To(b))	0.00	0.00		
. Total Receipts (add Lines 11(d),				
12, 13, 14, 15, 16, 17, and 18(c))▶	25022.23	109724.12		
. Total Federal Receipts				
(subtract Line 18(c) from Line 19)▶	25022.23	109724.12		

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
. Operating Expenditures: (a) Allocated Federal/Non-Federal	Iotal IIIIS Fellou	Calellual Teal-10-Date
Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(") No Follow Observe	0.00	0.00
(ii) Non-Federal Share(b) Other Federal Operating	0.00	0.00
Expenditures	45.00	345.00
(c) Total Operating Expenditures		
(add 21(a)(i), (a)(ii), and (b)) ▶	45.00	345.00
Transfers to Affiliated/Other Party	0.00	0.00
CommitteesContributions to	0.00	0.00
Federal Candidates/Committees and Other Political Committees	28000.00	180000.00
Independent Expenditures	0.00	0.00
(use Schedule E) Coordinated Party Expenditures	7	0.00
(2 U.S.C. §441a(d)) (use Schedule F)	0.00	0.00
,		
Loan Repayments Made	0.00	0.00
Loans Made	0.00	0.00
Refunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	97.72	97.72
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	9 9
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))▶	97.72	97.72
Other Birth	075.00	12525.00
Other Disbursements	975.00	13525.00
Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity		
(from Schedule H6)	0.00	0.00
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely		
With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add	0.00	222
Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	29117.72	193967.72
	7	7
Total Federal Disbursements		
(subtract Line 21(a)(ii) and Line 30(a)(ii)	20117 72	102067 72
from Line 31)	29117.72	193967.72

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	25022.23	104724.12
4. Total Contribution Refunds (from Line 28(d))	97.72	97.72
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24924.51	104626.40
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	45.00	345.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
3. Net Operating Expenditures (subtract Line 37 from Line 36)	45.00	345.00

1mage# 12951801112 PAGE 6 / 28

: 97 A = G7 9 @ G5 B9 CI G'H9 LHF9 @ 5 H98 'HC '5 F9 DCF HZ G7 < 98 I @ 'CF' + H9 A = N5 H= CB

Form/Schedule: F3XN Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) David M Barbour Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-973147 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Real Scientific Asc Dir Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) B. Tracy L Baroni Allmon Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-973199 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Exec Dir Public Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) c. Michael Beck Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State Transaction ID: A2012-972525 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Assoc Dir Reg Strat & Bus Plan Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) 253.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

TOTAL MONDER.				PAGE		8	OF		28	
(check only one)										
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	13		14		15		16	6		17

	I Statements may not be sold or used by any pers the name and address of any political committee t	
NAME OF COMMITTEE (In Full)	A. C	
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) A. Peri K Bonner		Date of Receipt
Mailing Address One Health Plaza		04 30 2012
City	State Zip Code	Transaction ID : A2012-972046
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	55.92
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Asc Dir Regl Accts Proj	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General	00 0	
Other (specify) ▼	220.50	
Full Name (Last, First, Middle Initial) 3. James P Carey		Date of Receipt
Mailing Address One Health Plaza		04 30 _2012 _
City	State Zip Code	04 30 2012 Transaction ID : A2012-972519
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		sant of Eduli Hosoipt this I ellou
federal political committee.	C	96.88
Name of Employer	Occupation	7
Novartis Services Incorporated	VP Health Policy	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	381.84	
Full Name (Last, First, Middle Initial) C. Daniel P Casserly		Date of Receipt
Mailing Address One Health Plaza		04 30 2012
City	State Zip Code	Transaction ID : A2012-972076
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	276.92
Name of Employer	Occupation	-
Novartis Services Incorporated	VP Fed'l Govt Rel]
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	1107.68	
SUBTOTAL of Receipts This Page (optional).		429.72
TOTAL This Period (last page this line number	er only)	

Use separate schedule(s) for each category of the Detailed Summary Page

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	and Statements may not be sold or used by any per g the name and address of any political committee	
NAME OF COMMITTEE (In Full)	1.4.6.	
Novartis Corporation Politica	al Action Committee	
Full Name (Last, First, Middle Initial) A. Barbara Christensen-Boner		Date of Receipt
Mailing Address One Health Plaza		04 30 2012
City	State Zip Code	Transaction ID : A2012-971836
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	53.26
Name of Employer	Occupation	†
Novartis Pharmaceuticals	Asc Director Gov't Relations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	210.81	
Full Name (Last, First, Middle Initial) Christina M Clinton		Date of Receipt
Mailing Address One Health Plaza		04 30 _2012 _
City	State Zip Code	Transaction ID : A2012-973022
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	416.00
Name of Employer	Occupation	
Novartis Pharmaceuticals	Exec Director Internal Comm	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	1664.00	
Full Name (Last, First, Middle Initial) C. Julie A Collins		Date of Receipt
Mailing Address One Health Plaza		04 30 _ 2012 _
City	State Zip Code	Transaction ID : A2012-973283
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	_
CIBA Vision Corporation	Director Digital Marketing	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	369.20	
SUBTOTAL of Receipts This Page (optional	al)	561.56
,		
TOTAL This Period (last page this line num	nber only)	

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Use separate schedule(s)	(check only one)								
for each category of the Detailed Summary Page	X 11	1a	11b		11c		12		
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	Statements may not be sold or used by any pers e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Novartis Corporation Political A	Action Committee	
Full Name (Last, First, Middle Initial) Seth W Coombs		Date of Receipt
Mailing Address 350 Massachusetts Avenue		M M / D D / Y Y Y Y Y
City	State Zip Code	04 30 2012 Transaction ID : A2012-971653
Cambridge	MA 02139	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics	Assoc Dir Marketing	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 376.90	
Full Name (Last, First, Middle Initial) 3. Candace B Dibblee		Date of Receipt
Mailing Address One Health Plaza		04 30 _2012 _
City	State Zip Code	Transaction ID : A2012-972422
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer Novartis Services Incorporated	Occupation Asc Dir Fed'l Leg Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 923.04	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address One Health Plaza		04 30 2012
City	State Zip Code	Transaction ID : A2012-971879
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	384.00
Name of Employer	Occupation	
Novartis Services Incorporated	VP Head US Public Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1536.00	
SUBTOTAL of Receipts This Page (optional)	>	707.06
TOTAL This Period (last page this line number	only)	7

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 11 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

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ITEMIZED RECEIPTS 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) David R Epstein Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-972302 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Name of Employer Occupation Head Pharma AG Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher Esposito Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-972550 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) **c.** H. P Frederick Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State Transaction ID: A2012-972152 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 51.66 С federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Senior District Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 203.42 Other (specify) 220.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF (check only one)

X 11a 11b 11c 12

13 14 15 16

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Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Neely T Frye Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-972193 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 118.32 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 467.09 Other (specify) Full Name (Last, First, Middle Initial) B. Brian M Goff Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-973113 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Head Primary Care** Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) c. Erwin A Gomez Valladares Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State **Transaction ID: A2012-973228** NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation Dir Strategic Sourcing Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 415.35 Other (specify) 302.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Thomas N Kendris Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-971748 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP & General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) B. Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-971694 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Sandoz Inc. VP Legal & IP NA Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 923.04 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Knapp Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State Transaction ID: A2012-972010 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation Executive Director State Gov' Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 923.04 Other (specify) 553.82 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Robert W Kowalski Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-973203 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals SVP & Global Head DRA Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) B. Richard E Lemire Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-971713 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 85.24 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Head of Engineering Receipt For: Aggregate Year-to-Date ▼ Primary General 337.39 Other (specify) Full Name (Last, First, Middle Initial) c. Richard Lloyd Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State Transaction ID: A2012-973264 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation **CBU Head North America** Novartis Animal Health US Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) 269.84 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	IMBER	:	PAGE	•	15 O	F	28
Use separate schedule(s) for each category of the	(che	ck only	or	ne)						
Detailed Summary Page	X	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Teresa Mason Date of Receipt Mailing Address 3 Pheasant Run Drive 30 2012 City State Zip Code Transaction ID: A2012-973220 DE Wilmington 19810 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) B. Catharine M McGeehan Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-972039 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Asc Director Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) c. William D McLaury Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State Transaction ID: A2012-972077 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 С federal political committee. Name of Employer Occupation

276.90 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

369.20

Exec Dir Supply Chain Mngmt

Aggregate Year-to-Date ▼

Novartis Pharmaceuticals

Other (specify)

General

Receipt For:

Primary

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) X 11a 11b 11c 12

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Brian J McNamara Date of Receipt Mailing Address One Health Plaza 04 30 2012 City Zip Code State Transaction ID: A2012-973248 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 92.30 federal political committee. Name of Employer Occupation OTC Region BU Head Americas Novartis Consumer Health Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 369.20 Other (specify) Full Name (Last, First, Middle Initial) B. Wayne P Merkelson Date of Receipt Mailing Address One Health Plaza 30 04 2012 City State Zip Code Transaction ID: A2012-972353 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 69.24 federal political committee. Name of Employer Occupation **Novartis Finance Corporation** VP Tax Law Receipt For: Aggregate Year-to-Date ▼ Primary General 276.96 Other (specify) Full Name (Last, First, Middle Initial) c. Glenn H Morton Date of Receipt Mailing Address One Health Plaza 30 04 2012 City Zip Code State **Transaction ID: A2012-972222** NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 145.54 С federal political committee. Name of Employer Occupation ED IT Risk and Compliance Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 579.07 Other (specify) 307.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Marion T Morton Mailing Address One Health Plaza		Date of Receipt
City	State Zip Code	04 30 2012
East Hanover	NJ 07936	Transaction ID : A2012-972340 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer Novartis Pharmaceuticals Receipt For:	Occupation VP Business Unit	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.20	
Full Name (Last, First, Middle Initial) Vasant Narasimhan		Date of Receipt
Mailing Address 350 Massachusetts Avenue		04 30 2012
City Cambridge	State Zip Code MA 02139	Transaction ID : A2012-971665 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer Novartis Vaccines & Diagnostics	Occupation Region Head of North America	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 376.90	
Full Name (Last, First, Middle Initial)		Data of Bassist
Mailing Address 350 Massachusetts Avenue		Date of Receipt 04 30 2012
City Cambridge	State Zip Code MA 02139	Transaction ID : A2012-971669 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	64.62
Name of Employer	Occupation	
Novartis Vaccines & Diagnostics Receipt For:	Head Meningococcal Market Access	
Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 263.86	
SUBTOTAL of Receipts This Page (optional)	>	249.22
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) Shawn O'Neail Mailing Address 608 Fifth Avenue		Date of Receipt
		04 30 2012
City	State Zip Code	Transaction ID : A2012-973218
New York	NY 10020	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	-
Novartis Services Incorporated	Ex Dir Fed Leg Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 369.20	
Full Name (Last, First, Middle Initial)	303.20	
3. John M Pakulski		Date of Receipt
Mailing Address One Health Plaza		04 30 / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2012-971691
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	92.30
Name of Employer	Occupation	1
Sandoz Inc.	Director Reg. Affairs-Spec Bi	
Receipt For: Primary General	Aggregate Year-to-Date ▼	
Other (specify) ▼	369.20	
Full Name (Last, First, Middle Initial) Denise Polis		Date of Receipt
Mailing Address One Health Plaza		04 30 / Y Y Y Y Y
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-972370 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	-255.38
Name of Employer	Occupation	-
Novartis Pharmaceuticals	Executive Sales Consultant	
Receipt For:	Aggregate Year-to-Date ▼	1
Primary General Other (specify) ▼	-255.38	
SUBTOTAL of Receipts This Page (optional)		-70.78
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NAME OF COMMITTEE (In Full)		
angle Novartis Corporation Political A	ction Committee	
Full Name (Last, First, Middle Initial) Katherine E Solon		Date of Receipt
Mailing Address One Health Plaza		04 30 _ 2012 _
City	State Zip Code	Transaction ID : A2012-972972
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	230.76
Name of Employer	Occupation	
Novartis Services Incorporated	Ex Dir Fed Leg Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	923.04	
Full Name (Last, First, Middle Initial) Lisa A Steelman		Date of Receipt
Mailing Address One Health Plaza		04 30 _ 2012 _
City	State Zip Code	04 30 2012 Transaction ID : A2012-971739
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	106.16
Name of Employer	Occupation	
Novartis Pharmaceuticals	Director State Gov't Affairs	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 424.64	
Full Name (Last, First, Middle Initial) C. Donald P Stevens		Date of Receipt
Mailing Address One Health Plaza		04 30 / Y Y Y Y Y Y
City	State Zip Code	Transaction ID : A2012-971902
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	69.24
Name of Employer	Occupation	
Novartis Pharmaceuticals	Director State Gov't Affairs	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	276.96	
SUBTOTAL of Receipts This Page (optional)		406.16
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Full Name (Last, First, Middle Initial) Barbara A Tombros Mailing Address One Health Plaza		Date of Receipt 04 30 2012
City	State Zip Code	Transaction ID : A2012-972251
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	69.88
Name of Employer	Occupation	
Novartis Pharmaceuticals	Dir Strategic Alliance	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 276.29	
Full Name (Last, First, Middle Initial) Christina M Tremains	'	Date of Receipt
Mailing Address One Health Plaza		M = M / D = D / Y = Y = Y
City	State Zip Code	04 30 2012
East Hanover	NJ 07936	Transaction ID : A2012-972471 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	61.50
Name of Employer Novartis Pharmaceuticals	Occupation Sr Business Relationship Mgr	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 243.20	
Full Name (Last, First, Middle Initial) Andrew J Volante		Date of Receipt
Mailing Address One Health Plaza		Date of Receipt 04 30 _ 2012 _
City East Hanover	State Zip Code NJ 07936	Transaction ID : A2012-972159 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	
Novartis Pharmaceuticals	VP Bus Franchise Oncology	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	369.20	

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NAME OF COMMITTEE (In Full)		
Novartis Corporation Political	Action Committee	
Full Name (Last, First, Middle Initial) 1. James E Williams		Date of Receipt
Mailing Address One Health Plaza		04 30 _ 2012 _
City	State Zip Code	Transaction ID : A2012-973156
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	92.30
Name of Employer	Occupation	1
Novartis Pharmaceuticals	Sr Dir Clinical Research Phys	
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	Novartis Corporation Political Actio	n Com	mittee						
_	Full Name (Last, First, Middle Initial)						_		
Α.	Runyan for Congress					Date of Disbursement			
	Mailing Address 700 12th St. NW Suite 700				04	17	2	2012	
		State DC	Zip Code		Trans	saction ID :	B408905		
	Washington Purpose of Disbursement	DC	20005						
	Contribution			011	Amoun	t of Each Di	isbursemer	nt this F	Period
	Jon Runyan			Category/				1000	.00
	Office Sought: House Disbursen	nent For:	2012	Туре	_	,	,		
	Senate	Primary Other (spe	General						
	State: NJ District: 03								
_	Full Name (Last, First, Middle Initial)								
В.	Pat Meehan for Congress				Date o	f Disbursem		Y Y	Υ
	Mailing Address P.O. Box 308				04	04 30 2012			
	Drexel Hill	State PA	Zip Code 19026		Trans	saction ID:	B409775		
	Purpose of Disbursement Contribution			011	Amoun	t of Each Di	isbursemer	nt this F	Period
	Candidate Name Pat Meehan			Category/ Type	1 🗀			1000	0.00
	Office Sought: X House Disbursen	nent For:	2012	.,,,,					
		Primary	General						
	President State: PA District: 07	Other (spe							
_	Full Name (Last, First, Middle Initial)				5.	(D: 1			
C.	Friends of Jim Clyburn					f Disbursem			
	Mailing Address 499 South Capitol Street SW Suite			04	30		2012	Y	
	City State Zip Code			Trans	saction ID :	B409776			
	Washington Purpose of Disbursement	DC	20003						
	Contribution 011			Amoun	t of Each Di	isbursemer	nt this F	Period	
	Candidate Name Category/			" I —			0500		
	James E Clyburn Type					7	2500	.00	
	President	nent For: Primary Other (sp	General						
_	State: SC District: 06								
s	SUBTOTAL of Disbursements This Page (optional)			······		7	-,	4500	.00
1	OTAL This Period (last page this line number only)			·····•	. [.				

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NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Acti	on Committee					
Full Name (Last, First, Middle Initial)						
A. Alamo PAC	Alamo PAC					
Mailing Address 1020 North Fairfax Street Suite 2	04 30	2012				
City	Transaction ID : B4	00765				
Alexandria	VA 22314		Transaction ib . b4	09703		
Purpose of Disbursement Contribution		011	Amount of Each Disb	ursement this Period		
Candidate Name		Category/		2500.00		
Office Sought: House Disburs	ement For: 2012	Туре		7		
Senate	Primary General					
President	Other (specify) ▼					
State: TX District:	Not Applicab	le				
Full Name (Last, First, Middle Initial)						
B. Michael Burgess for Congress	- Michael Burgess for Congress					
Mailing Address 217 Third Street SE	04 30 2012					
City Washington	State Zip Code DC 20003		Transaction ID : B4	09766		
Purpose of Disbursement Contribution		011	Amount of Each Disb	ursement this Period		
Candidate Name	Category/					
Michael C. Burgess	Type		1000.00			
Office Sought: House Disburse						
Senate	Primary General					
President State: TX District: 26	Other (specify) ▼					
Full Name (Last, First, Middle Initial)						
C. Kay Granger Campaign Fund	Date of Disbursement					
Mailing Address 901 N. Washington Street Suite	04 7 30	2012				
City	Transaction ID - B4	00764				
Alexandria Purpose of Disbursement	State Zip Code VA 22314		Transaction ID : B4	U3/04		
Contribution 011			Amount of Each Disb	ursement this Period		
Candidate Name	Category/			discinciit tiiis i ciiod		
Kay Granger Type				1000.00		
Senate President	ement For: 2012 Primary General Other (specify)			,		
State: TX District: 12						
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Transaction ID: B409770 Amount of Each Disbursement Contribution Candidate Name Disbursement For: 2012 City Senate President State: VA Disbursement Contribution Amount of Each Disbursement City State: VA Disbursement City Mailing Address P.O. Box 642 City City State: VA Disbursement City State: VA Disbursement City Morgantown WW 25507 Purpose of Disbursement Contribution Candidate Name Disbursement City City State: VA Disbursement Disbursement City City State: VA Disbursement Disbursement City City State: VA Disbursement Contribution Candidate Name Disbursement City City City State: VA Disbursement City City City State: VA Disbursement Contribution Candidate Name Disbursement City City City City State: VA Disbursement Contribution Condidate Name Disbursement City City City City City City City State: Zip Code WV 25507 Purpose of Disbursement Contribution Category/ Type Transaction ID: B409773 Amount of Each Disbursement this Period Category/ Type 1000.00 Transaction ID: B409773 Amount of Each Disbursement this Period Category/ Type 1000.00	SCHEDULE B (FEC Form 3X)	Harris I and the state of	, FOR LINE				
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or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Every Republican is Crucial PAC (ERICPAC) Mailing Address 209 Pennsylvania Ave. SE City State Zip Code Washington DC Z0003 Purpose of Disbursement Contribution Candidate Name Office Sought: House Strate: VA District City State: VA District Full Name (Last, First, Middle Initial) McKinley for Congress Mailing Address P.O. Box 642 City City State: Zip Code WV 28507 Furpose of Disbursement Contribution Candidate Name David McKinley Office Sought: Fresident State: WV District: Other (specify) ▼ Transaction ID: B409773 Amount of Each Disbursement this Perioc Category/ 1000.00 Transaction ID: B409773 Amount of Each Disbursement this Perioc Candidate Name David McKinley Office Sought: Fresident State: WV District: Other (specify) ▼ State Zip Code WV 28507 Frumary General Primary General Other (specify) ▼ Transaction ID: B409774 Amount of Each Disbursement Other (specify) ▼ Transaction ID: B409774 Amount of Each Disbursement Other (specify) ▼ Transaction ID: B409774 Amount of Each Disbursement Other (specify) ▼ State: WY District: Other (specify) ▼ State: WY District: State: WY Disbursements This Page (optional)		Detailed Suffilliary Page	27	28a 28b 28c 29			
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City	B. McKinley for Congress						
Morgantown Purpose of Disbursement Contribution Candidate Name David McKinley Office Sought: Name	Mailing Address P.O. Box 642						
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Contribution Candidate Name David McKinley Office Sought:	Morgantown			Transaction ID : B409773			
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Senate President Other (specify) Full Name (Last, First, Middle Initial) Friends of John Barrasso Mailing Address 406 Virginia Ave. City State Zip Code Alexandria VA 22302 Purpose of Disbursement Ontribution Candidate Name John Barrasso Office Sought: House President President State: WY District: Subtotal of Disbursements This Page (optional)			1000.00				
Full Name (Last, First, Middle Initial) Friends of John Barrasso Mailing Address 406 Virginia Ave. City Alexandria Purpose of Disbursement Contribution Candidate Name John Barrasso Office Sought: House President State: WY District: Disbursement For: 2012 Primary General Other (specify) Total General Other (specify) Tansaction ID: B409774 Amount of Each Disbursement this Period Category/ Type Total Transaction ID: B409774 Amount of Each Disbursement this Period Other (specify) Total Transaction ID: B409774 Amount of Each Disbursement this Period Other (specify) Total Transaction ID: B409774 Amount of Each Disbursement this Period Other (specify) Total Transaction ID: B409774 Amount of Each Disbursement this Period Other (specify) Total	Senate President	Primary General					
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Mailing Address 406 Virginia Ave. City State Zip Code Alexandria VA 22302 Purpose of Disbursement Contribution Candidate Name John Barrasso Office Sought: House Primary General Other (specify) ▼ Subtotal of Disbursements This Page (optional)	C. Friends of John Barrasso	Date of Disbursement					
Alexandria Purpose of Disbursement Contribution Candidate Name John Barrasso Office Sought: House President State: WY District: WA 22302 Amount of Each Disbursement this Period Category/ Type Category/ Type Category/ Type Sound Other (specify) State: WY District: Transaction ID: B4097/4 Amount of Each Disbursement this Period Category/ Type 5000.00	Mailing Address 406 Virginia Ave.						
Alexandria Purpose of Disbursement Contribution Candidate Name John Barrasso Office Sought: House President State: WY District: Amount of Each Disbursement this Period Category/ Type Category/ Type Senate Primary General Other (specify) State: WY District: 11000.00	City			Transaction ID · B409774			
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 28 OF 28			
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny			
	Detailed Summary Page	21b 27	22 23 24 25 26 26 28c 29 30		
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	n Committee				
Full Name (Last, First, Middle Initial)					
A. Nebraska Democratic Party	Date of Disbursement				
Mailing Address 421 S. 9th Street Suite 233		04 30 2012			
Lincoln	State Zip Code NE 68508		Transaction ID : B409768		
Purpose of Disbursement State Party Cmte		011	Amount of Each Disbursement this Period		
Candidate Name		Category/ Type	225.00		
Senate	nent For: 2012 Primary General Other (specify)	.,,,,,			
State: District:	Not Applicat	ole			
Full Name (Last, First, Middle Initial) - Citizens for Grove			Date of Disbursement		
Mailing Address 1854 Ashcombe Drive		04 30 2012			
Dover	State Zip Code PA 17315		Transaction ID: B409760		
Purpose of Disbursement G-2012 State House 196 PA	011	Amount of Each Disbursement this Period			
Candidate Name Seth Grove	Category/ Type	250.00			
Office Sought: House Disbursem	nent For: 2012 Primary				
Full Name (Last, First, Middle Initial) - Citizens for Saylor			Date of Disbursement		
Mailing Address 96 Deer Ford Drive	04 30 2012				
Lancaster	State Zip Code PA 17601		Transaction ID: B409761		
Purpose of Disbursement G-2012 State House 94 PA	011	Amount of Each Disbursement this Period			
Candidate Name Stanley Saylor	Stanley Saylor				
Office Sought: House Disbursem	nent For: 2012 Primary General Other (specify)				
State: District:	Curor (opcony)				
			975.00		