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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Auth	lorized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation	Political Action Commit	ttee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW S	Suite 725	
Check if different than previously reported. (ACC)	Washington		DC 20004-2608 -
2. FEC IDENTIFICATION N	UMBER ▼ CITY	₹ ▲	STATE ▲ ZIP CODE ▲
C C00033969	3. IS	THIS NEW (N) OR	AMENDED (A)
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (July 15	Q1) Report Due On: Mar 2 (c) 12-Day PRF-Flection	20 (M2) May 20 (M5) 20 (M3) Jun 20 (M6) 20 (M4) Jul 20 (M7) Primary (12P)	(Non-Election Year Only)
Quarterly Report (October 15 Quarterly Report (January 31 Year-End Report (Q3)	Convention (12C)	Special (12S) in the State of
July 31 Mid-Year Report (Non-electi Year Only) (MY) Termination Repor	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
L (TER)	Election	n on	in the State of
5. Covering Period 0	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	through 03	31 2017
I certify that I have examined t Type or Print Name of Treasur	O'Neail, Shawn, , ,	my knowledge and belief it is	rue, correct and complete.
Signature of Treasurer	leail, Shawn, , ,	[Electronically Filed]	Date 04 18 2017
NOTE: Submission of false, error	neous, or incomplete information	may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

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Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2017		82321.29
	(b) Cash on Hand at Beginning of Reporting Period	93408.96	
	(c) Total Receipts (from Line 19)	24894.29	58409.88
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	118303.25	140731.17
7.	Total Disbursements (from Line 31)	45512.45	67940.37
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	72790.80	72790.80
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From:	03 01 2017 To:	03 31 2017
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
1. Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees	7204.05	10005 10
(i) Itemized (use Schedule A)	7394.85	10635.40
(II) 11 II II I	17499.44	47774.49
(ii) Unitemized	17499.44	47774.48
(iii) TOTAL (add	24894.29	58409.88
Lines 11(a)(i) and (ii)▶	24004.23	
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	45.	75. 75.
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines	4	4 4
11(a)(iii), (b), and (c)) (Carry		
Totals to Line 33, page 5)	24894.29	58409.88
2. Transfers From Affiliated/Other		
Party Committees	0.00	0.00
3. All Loans Received	0.00	0.00
4. Loan Repayments Received	0.00	0.00
5. Offsets To Operating Expenditures	7 7	
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
6. Refunds of Contributions Made		·
to Federal Candidates and Other		
Political Committees	0.00	0.00
7. Other Federal Receipts		0.00
(Dividends, Interest, etc.)	0.00	0.00
8. Transfers from Non-Federal and Levin Fun	ids	
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(Horri Schedule 113)	0.00	0.00
	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(a) Total Transfers (add 10(a) and 10(b))	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
9. Total Receipts (add Lines 11(d),		50400.00
12, 13, 14, 15, 16, 17, and 18(c))▶	24894.29	58409.88
O Tatal Fadaval Bassists		
20. Total Federal Receipts	24894.29	59400.99
(subtract Line 18(c) from Line 19)▶	24094.29	58409.88

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)		Calcinati I dal 10 Dato
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating		
Expenditures (c) Total Operating Expenditures	12.45	40.37
(add 21(a)(i), (a)(ii), and (b))▶	12.45	40.37
Transfers to Affiliated/Other Party Committees	0.00	0.00
Contributions to Federal Candidates/Committees		72500.00
and Other Political Committees Independent Expenditures	44500.00	72500.00
(use Schedule E) Coordinated Party Expenditures	0.00	0.00
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
Loans Made Refunds of Contributions To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds		
(add Lines 28(a), (b), and (c))	0.00	0.00
Other Disbursements (Including		
Non-Federal Donations)	1000.00	-4600.00
Federal Election Activity (52 U.S.C. § 30101(2) (a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share(b) Federal Election Activity Paid	0.00	0.00
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	45512.45	67940.37
Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	45512.45	67940.37

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	24894.29	58409.88
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24894.29	58409.88
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	12.45	40.37
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	12.45	40.37

TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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ı		13	14	15	16	17

for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baron, Neilda, A, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-271178 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baron, Neilda, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-367231 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Ex Dir Medical Services Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Baron, Neilda, A, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID: A2017-470544 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir Medical Services Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional).....

: 97 A = G7 9 @ G B9 C I G H9 L H F 9 @ 5 H9 8 HC 5 F 9 D C F H Z G7 < 98 I @ C F → H9 A = N 5 H = C B

Form/Schedule: SA11AI

Transaction ID:

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule: Transaction ID:

FOR LINE NUMBER: PAGE 8 Use separate schedule(s) (check only one) **X** 11a 11b 11c

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID : A2017-271179 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-367232 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 360.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Baroni Allmon, Tracy, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470545 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 60.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Exec Director Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 420.00 Other (specify) 180.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bonebrake, Alison, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-470130 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) DirectorSandoz Health Policy Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bylancik, Angela, D,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271333 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Ex Dir BD&L Alliance Mgmt Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Bylancik, Angela, D., Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-366719 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Ex Dir BD&L Alliance Mgmt Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

SCHEDULE A **ITEMIZE**

SCHEDULE A (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 10 OF 5 (check only one)							57	
ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	×	11a 13	11b)	11c 15		12 16		17
, ,	Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.									
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Con	nmittee									

	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Cor	nmittee					
Α.	Full Name of Individual (Last, First, Middle Init Bylancik, Angela, D, ,	ial) or Full C	Organization Name	Date of Receipt				
	Mailing Address One Health Plaza			03 31 2017				
	City East Hanover	State Zip Code NJ 07936		Transaction ID : A2017-470643 Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		50.00				
	Name of Employer (for Individual) Pharma		cupation (for Individual) Dir BD&L Alliance Mgmt	Memo Item				
	Receipt For: Primary General Other (specify) ▼		Year-to-Date ▼ 350.00					
В.	Full Name of Individual (Last, First, Middle Init Casserly, Daniel, P, , Mailing Address One Health Plaza	Date of Receipt						
	City East Hanover	State	Zip Code 07936	03 03 2017 Transaction ID : A2017-271097				
	FEC ID number of contributing federal political committee.	С	07930	Amount of Each Receipt this Period 138.46				
	Name of Employer (for Individual) Corporate		cupation (for Individual) ad of Fed Government Affairs	Memo Item				
	Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 692.30					
<u>с</u> .	Full Name of Individual (Last, First, Middle Init Casserly, Daniel, P, ,	ial) or Full C	Organization Name	Date of Receipt				
	Mailing Address One Health Plaza			03 17 2017				
	City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2017-367019 Amount of Each Receipt this Period				
	FEC ID number of contributing federal political committee.	С		192.30				
	Name of Employer (for Individual) Corporate	I	upation (for Individual) ad of Fed Government Affairs	Memo Item				
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼ 884.60					
s	SUBTOTAL of Receipts This Page (optional)			380.76				
1	TOTAL This Period (last page this line number of	only)						

FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Casserly, Daniel, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469909 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 192.30 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Fed Government Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 1076.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Christensen-Boner, Barbara, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-469910 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 31.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 201.12 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID : A2017-271351 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Global Head Patient Affairs and Policy Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 323.72 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Clary, Cathryn, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-366738 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Patient Affairs and Policy Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271227 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Alcon Global Head Digital Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID : A2017-366921 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 192.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Collins, Julie, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470444 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head Digital Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 323.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Conoshenti, Joseph, J,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470669 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma **Director Strategic Account Alliances** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Consier, Kirby, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469914 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 106.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the Detailed Summary Page

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	1	4				
Full Name of Individual (Last, First, Middle In Coombs, Seth, , ,	Name of Individual (Last, First, Middle Initial) or Full Organization Name oombs, Seth, , ,					
Mailing Address 350 Massachusetts Avenue			03 31 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y			
City	State	Zip Code	Transaction ID : A2017-470134			
Cambridge	MA	02139	Amount of Each Receipt this Period			
FEC ID number of contributing federal political committee.	C		46.15			
Name of Employer (for Individual)	Occupa	ation (for Individual)	Memo Item			
Sandoz Inc.		cology and Injectable Products	_			
Receipt For:	Aggregate Ye	ear-to-Date ▼				
Primary General Other (specify)		323.05				
SUBTOTAL of Receipts This Page (optional)			138.45			

TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name De Leon, Andrew, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470136 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MSL Director Oncology Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Epstein, David, R, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271105 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Head Pharma AG Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Epstein, David, R., Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367026 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) 230.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Epstein, David, R,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469917 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Pharma AG Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 700.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271107 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Farber, Leo, A, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367028 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 200.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Farber, Leo, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469919 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271305 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma Medical Account Management & FME Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Fellers, Thomas, S, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367348 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Medical Account Management & FME Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Frye, Neely, T,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469921 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 65.81 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 420.30 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271110 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Gentry, Michael, L,, Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID : A2017-367031 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **NBS** Svc Del & Ops Lead Connectivity Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 219.81 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gentry, Michael, L, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469922 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Svc Del & Ops Lead Connectivity **NBS** Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271411 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Legal Section Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Goldfarb, Steven, L, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367379 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Goldfarb, Steven, L,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470205 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Legal Section Head Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grande, Nancy, J, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271417 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Grande, Nancy, J., Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367385 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Drug Development Head Proc Improv & Compliance IMS Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 177.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Grande, Nancy, J,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470211 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Proc Improv & Compliance IMS Global Drug Development Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-367390 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head US Clinical Strategy - Melanoma Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 240.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Grzegorzewski, Kris, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470216 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 40.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head US Clinical Strategy - Melanoma Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 280.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gulick, David, E, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470219 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Name of Employer (for Individual) Memo Item Occupation (for Individual) Pharma **Director New Products** Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Haller, Sarah, E,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271116 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Intl Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Haller, Sarah, E, , Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367037 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Intl Public Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 184.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kamal, Tawfik, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470292 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head Capability Building Academy Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Kan, Sarah, G,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271122 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 385.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kan, Sarah, G., Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID: A2017-367043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) 204.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kan, Sarah, G, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470083 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Asc Dir State & Ext Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Kendris, Thomas, N, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-271089 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate President Novartis Corp & US Cntry Pre Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 230.75 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Kendris, Thomas, N., Date of Receipt Mailing Address One Health Plaza 17 2017 City Zip Code State Transaction ID : A2017-367011 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) 169.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Kendris, Thomas, N,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-469902 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) President Novartis Corp & US Cntry Pre Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 323.05 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Krayacich, John, M, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-469977 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Pharma VP Head NPC Strategic Plng & BD & L Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 210.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Leas, Leigh Anne, A, Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271128 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) 153.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367049 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Health Policy Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 462.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Leas, Leigh Anne, A,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470089 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate VP Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 539.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271540 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 254.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367485 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General 600.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lennon, David, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-469999 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology Business Franchise Head Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 700.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271549 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncology Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 230.75 Other (specify) 246.15 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367494 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Head of Global Market Access Oncolog Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 276.90 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lloyd, Richard, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470008 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 46.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Head of Global Market Access Oncolog Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 323.05 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** McBride, Catharine, M, Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271134 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 142.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name McBride, Catharine, M,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367055 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) AD State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** McBride, Catharine, M, , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470094 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate AD State & External Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 350.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. McGough, Edward, D., Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271294 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 115.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Global Mfg & Tech Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 576.90 Other (specify) 215.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367064 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Exec Dir Fed Govt Affairs** Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 660.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Phipps, Candice, C,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470103 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 110.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate **Exec Dir Fed Govt Affairs** Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 770.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Pott, Leslie, , , Date of Receipt Mailing Address One Health Plaza 03 2017 City Zip Code State Transaction ID: A2017-271143 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **VP Communications** Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 270.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID : A2017-271242 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) GLHd AS&T SCI Initiatives Oversight **Novartis Technical Operations** Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Schweitzer, Mark, G,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-367071 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 300.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Schweitzer, Mark, G, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID : A2017-470111 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Novartis Technical Operations** GLHd AS&T SCI Initiatives Oversight Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) 150.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID : A2017-271742 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Polic Receipt For: Aggregate Year-to-Date ▼ Primary General 575.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Spurr, Robert, A,, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City State Zip Code Transaction ID : A2017-367165 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology Oncology US Mkt Access & Health Police Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 690.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Spurr, Robert, A, Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-470429 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing C 115.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Oncology US Mkt Access & Health Policy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 805.00 Other (specify) 345.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Stevens, Donald, P,, Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-367077 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director State&External Affrs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 207.72 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Stevens, Donald, P,, Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-470117 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 34.62 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director State&External Affrs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 242.34 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Suter, Thomas, A, , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470120 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Dir State & External Affairs Corporate Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) 99.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID : A2017-271150 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Service Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 385.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City State Zip Code Transaction ID : A2017-367203 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. VP Managed Markets Specialty Service Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 462.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Vineis, Mark, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470156 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing C 77.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP Managed Markets Specialty Services Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 539.00 Other (specify) 231.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Williams, Donna Lee, , , Date of Receipt Mailing Address One Health Plaza 2017 City Zip Code State Transaction ID: A2017-470125 NJ Fast Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Corporate Director Insurance Exchange Receipt For: Aggregate Year-to-Date ▼ Primary General 210.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City State Zip Code Transaction ID : A2017-271151 NY 10020 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Couns Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 17 2017 City Zip Code State Transaction ID: A2017-367204 NY 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Sandoz Inc. Director Legal Ops. & Ass. Gen. Counse Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) 130.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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3	Detailed Summary Page	×	11a		11b		11c		12		
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Any information copied from such Reports and Statements may not be sold or used by any or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wojtylak, Melissa, , , Date of Receipt Mailing Address 608 Fifth Avenue 2017 City Zip Code State Transaction ID: A2017-470157 NY 10020 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Director Legal Ops. & Ass. Gen. Couns Sandoz Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name В. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... 7394.85 TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	11	FOR LINE NUMBER: PAGE 46 (
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only				
	Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem	anto may not be cold or us					
or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Action	n Committee					
<u>'</u>						
Full Name (Last, First, Middle Initial)			Date of Disbursement			
A. Scott Peters for Congress			M M / D D / Y Y Y Y			
Mailing Address 412 First St. SE Suite 100			03 22 2017			
	I					
,	State Zip Code 20003		FEC Identification Number			
Purpose of Disbursement	20003		C C00503110			
Contribution		011	Transaction ID : B644052			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Peters, Scott, , ,		Type	1000.00			
0	nent For: 2018 Primary General		1000.00			
	Primary General Other (specify) ▼		п			
State: CA District: 52	curer (epoony) V		Memo Item			
Full Name (Last, First, Middle Initial)						
B. Dr. Raul Ruiz for Congress Cmte			Date of Disbursement			
Mailing Address DOD 1700			03 22 2017			
Mailing Address PO Box 15096	Mailing Address PO Box 15096					
City	State Zip Code		FEC Identification Number			
· · · · · · · · · · · · · · · · · · ·	DC 20003					
Purpose of Disbursement Contribution		011	C C00502575			
Candidate Name			Transaction ID : B644057			
Ruiz, Raul, , , MD		Category/ Type	Amount of Each Disbursement this Period			
	nent For: 2018		1000.00			
	Primary General					
	Other (specify)		Memo Item			
State: CA District: 36 Full Name (Last, First, Middle Initial)						
C. Cmte To Re-elect Linda Sanchez			Date of Disbursement			
- The To Te diot Emaa Canonic			M M / D D / Y Y Y Y			
Mailing Address 410 1st St SE Suite 310			03 22 2017			
City	State Zip Code					
Washington	DC 20003		FEC Identification Number			
Purpose of Disbursement Contribution			C C00384057			
		011	Transaction ID : B644040			
Candidate Name Sanchez, Linda, , ,	Category/ Type	Amount of Each Disbursement this Period				
	nent For: 2018	туре	2500.00			
	Primary General		7 7			
President	Other (specify) ▼		Memo Item			
State: CA District: 38			Ц			
SURTOTAL of Disbursements This Page (ontional)						
SUBTOTAL of Disbursements This Page (optional)		······	1000.00			
TOTAL This Period (last page this line number only).						

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 47 O (check only one)				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and State or for commercial purposes, other than using the na	ements may not be sold or usame and address of any politic	sed by any personal committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Acti	on Committee					
Full Name (Last, First, Middle Initial)			Data of Bishows and			
A. Blue Hen PAC			Date of Disbursement			
Mailing Address P.O. Box 15293			03 22 2017			
City Washington	State Zip Code 20003		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00493700			
Candidate Name		Category/	Transaction ID: B644055 Amount of Each Disbursement this Period			
Office Sought: House Disburs	ement For: 2017	Туре	1000.00			
Senate	Primary General					
State: District:	Other (specify) ▼ Not Applicabl	e	Memo Item			
Full Name (Last, First, Middle Initial)		-				
B. Carper for Senate			Date of Disbursement			
Mailing Address 303 Massachusetts Ave. NE	03 22 2017					
City Washington	State Zip Code 20002		FEC Identification Number			
Purpose of Disbursement Contribution		244	C C00349217			
Candidate Name		011	Transaction ID : B644059			
Carper, Tom, , ,		Category/ Type	Amount of Each Disbursement this Period			
Office Sought: House Disburs	ement For: 2018		1000.00			
X Senate President	Primary General Other (specify)		Memo Item			
State: DE District: Full Name (Last, First, Middle Initial)						
C. Georgians for Isakson			Date of Disbursement			
Mailing Address 1111 19th St. NW Suite 1100	Mailing Address 1111 19th St. NW Suite 1100					
City Washington	State Zip Code DC 20036		FEC Identification Number			
Purpose of Disbursement Contribution			C C00384693			
Candidate Name		011 Category/	Transaction ID : B644029 Amount of Each Disbursement this Period			
Isakson, Johnny, , ,		Type	2500.00			
Office Sought: House Disburs	ement For: 2022 Primary General		2500.00			
President State: GA District:	Other (specify) ▼		Memo Item			
2						
SUBTOTAL of Disbursements This Page (optional)		·····•	4500.00			
TOTAL This Period (last page this line number onl	y)	·····•				

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 48 OF (check only one)				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Staten or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)	and dadrood of any politic	Car committee to	555.C GOTTE GALOTIC HOTT GAGTI COTTINUEGE.			
Novartis Corporation Political Actio	on Committee					
Full Name (Last, First, Middle Initial) A. Volunteers for Shimkus			Date of Disbursement			
Mailing Address 5 Stonehill Ct.			03 22 2017			
Edwardsville	State Zip Code IL 62025		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00258855 Transaction ID : B644031			
Candidate Name Shimkus, John, M, ,		Category/ Type	Amount of Each Disbursement this Period			
Office Sought: W House Disburser	ment For: 2018 Primary General	775-	1000.00			
State: IL District: 15	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)			Data of Dishurasmort			
B. Guthrie for Congress			Date of Disbursement			
Mailing Address 504 Derek Avenue	Mailing Address 504 Derek Avenue					
City Elizabethtown	State Zip Code KY 42701		FEC Identification Number			
Purpose of Disbursement Contribution	42701	011	C C00445023			
Candidate Name		Category/	Transaction ID : B644036 Amount of Each Disbursement this Period			
Guthrie, Brett, , , Office Sought: Y House Disbursen	ment For: 2018	Туре	1000.00			
	Primary General		1000.00			
State: KY District: 02	Other (specify)		Memo Item			
Full Name (Last, First, Middle Initial) C. McConnell for Majority Leader Cmt	te		Date of Disbursement			
Mailing Address 228 S. Washington St. Suite 115	03 / 22 / 2017					
Alexandria	State Zip Code VA 22314		FEC Identification Number			
Purpose of Disbursement Contribution Candidate Name	011	C C00548651 Transaction ID : B644076 Amount of Each Disbursement this Period				
Canadate Name	Candidate Name Category/ Type					
Senate	ment For: 2017 Primary General		2500.00			
State: President X	Other (specify) ▼ Not Applicabl	e	Memo Item			
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SUBTOTAL of Disbursements This Page (optional)			4500.00			

	CHEDULE B (FEC Form 3X)	Use sena	rate schedule(s)	FOR LINE NUMBER: PAGE 49 OF (check only one)					
ΙΤ	EMIZED DISBURSEMENTS	for each of	category of the	(check only	7 one) 22 🗶 23	3 26 27			
		Detailed	Summary Page	28a	28b 28	8c 29 30b			
	ny information copied from such Reports and Staten for commercial purposes, other than using the nam								
$\overline{\ \ }$	NAME OF COMMITTEE (In Full)								
	Novartis Corporation Political Actio	n Comm	ittee						
^	Full Name (Last, First, Middle Initial)		D.4.0		Data of Diab	reament			
۸.	Continuing America's Strength and	Security	/ PAC		Date of Disbu	D D / Y Y Y Y			
	Mailing Address PO Box 14331				03	22 2017			
	City	State	Zip Code		FEC Identifica	ation Number			
	Baton Rouge	LA	70898						
	Purpose of Disbursement Contribution			044	C C0048	30228			
	Candidate Name			011	Transact	ion ID : B644046			
	California Name			Category/ Type	Amount of Ea	ach Disbursement this Period			
	Office Sought: House Disburser	ment For: 2	 017	Турс		1000.00			
		Primary	General		7	4- 4-			
	President x	Other (spec			Memo Ite	em			
	State: District:		Not Applicable						
В.	Full Name (Last, First, Middle Initial)				Date of Disbu	reamont			
٥.	Scalise for Congress	M M / D D / Y Y Y							
	Mailing Address 317 15th Street NE					22 2017			
	,	State	Zip Code		FEC Identifica	ation Number			
	Washington Purpose of Disbursement	DC	20002						
	Contribution			011	C C0039)4957			
	Candidate Name		I		Transaction ID : B644034				
	Scalise, Steve, , ,			Category/ Type	y/ Amount of Lacif Disbursement this r				
		ment For: 2	018			1000.00			
		Primary	General						
		Other (spec	ify)		Memo Ite	em			
	State: LA District: 01								
C.	Full Name (Last, First, Middle Initial) Rely On Your Beliefs Fund				Date of Disbu	ursement			
	Rely On Tour Beliefs Fund					D D / Y Y Y Y Y			
	Mailing Address One Constitution Ave. NE Suite 300				03 22 2				
	,	State	Zip Code		FEC Identifica	ation Number			
	Washington Purpose of Disbursement	DC	20003		0				
	Contribution			011	C C0034				
	Candidate Name		L	Category/		tion ID: B644058 ach Disbursement this Period			
				Type					
		ment For: 2				2500.00			
	Senate	Primary	General						
	State: President x	Other (spec	Not Applicable		Memo Ite	em			
	otato. Diotriot.		Not Applicable						
S	SUBTOTAL of Disbursements This Page (optional)					4500.00			
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Т	TOTAL This Period (last page this line number only)								

SCHEDULE B (FEC Form 3X)			FOR LINE N	NUMBER: PAGE 50 OF 57			
TEMIZED DISBURSEMENTS	I for each category of the I ` —			ck only one)			
		Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem	nonte may n	not be cold or use					
or for commercial purposes, other than using the nam							
NAME OF COMMITTEE (In Full)							
Novartis Corporation Political Actio	n Comm	ittee					
/			<u> </u>				
Full Name (Last, First, Middle Initial) 4. George Holding for Congress				Date of Disbursement			
- George Holding for Congress				M M / D D / Y Y Y Y			
Mailing Address PO Box 15239				03 22 2017			
		I					
,	State DC	Zip Code 20003		FEC Identification Number			
Purpose of Disbursement		20003		C C00499236			
Contribution			011	Transaction ID : B644027			
Candidate Name			Category/	Amount of Each Disbursement this Period			
Holding, George, , ,			Type	1000.00			
	nent For: 2	018 General		1000.00			
	Primary Other (spec			П.,			
State: NC District: 13	(0)	··,, •		Memo Item			
Full Name (Last, First, Middle Initial)							
3. Heidi for Senate				Date of Disbursement			
Moiling Address cooks I was NE				M M / D D / Y Y Y Y Y			
Mailing Address 303 Massachusetts Ave. NE				03 22 2017			
,	State DC	Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC	20002		C C00505552			
Contribution			011				
Candidate Name			Category/	Transaction ID: B644048 Amount of Each Disbursement this Period			
Heitkamp, Heidi, , ,			Type	4000.00			
H_{\bullet} . I_{\bullet}	nent For: 2	018 General		1000.00			
	Primary Other (spec						
State: ND District:	Oo. (opoo	,,		Memo Item			
Full Name (Last, First, Middle Initial)							
C. Liberty and Prosperity PAC				Date of Disbursement			
Mailing Address 2000 M				M M / D D / Y Y Y Y			
Mailing Address 2308 Mount Vernon Ave. #337				03 22 2017			
City	State	Zip Code		FEC Identification Number			
Alexandria	VA	22301					
Purpose of Disbursement Contribution			011	C C00492538			
Candidate Name				Transaction ID: B644044 Amount of Each Disbursement this Period			
			Category/ Type	Amount of Each Dispursement this Feriou			
	nent For: 2			2000.00			
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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	(s) FOR LINE NUMBER: PAGE 51 O				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 x 23 26 27 28c 29 30b			
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NAME OF COMMITTEE (In Full)	addition of any politico	30	zama zamazana nam adan adminikoa.			
Novartis Corporation Political Actio	n Committee					
Full Name (Last, First, Middle Initial) - Bonnie Watson Coleman for Congr	ess		Date of Disbursement			
Mailing Address 918 Pennsylvania Ave SE			03 22 2017			
Washington	State Zip Code DC 20003		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00558437 Transaction ID : B644073			
Candidate Name Coleman, Bonnie Watson, , ,		Category/ Type	Amount of Each Disbursement this Period			
Office Sought: House Disbursen	nent For: 2018 Primary General	Туре	1000.00			
State: NJ District: 12	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial) Frelinghuysen for Congress			Date of Disbursement			
Mailing Address 2308 Mount Vernon Ave. #337	Mailing Address 2308 Mount Vernon Ave. #337					
City	City State Zip Code					
City Alexandria	State Zip Code VA 22301		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00299404 Transaction ID: B644043			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Frelinghuysen, Rodney, P, , Office Sought: Y House Disbursen	nent For: 2018	Туре	2000.00			
	Primary General		2000.00			
	Other (specify)		Memo Item			
Full Name (Last, First, Middle Initial) Josh Gottheimer for Congress			Date of Disbursement			
Mailing Address 3701 Porter Street NW		03 22 2017				
Washington	State Zip Code DC 20016		FEC Identification Number			
Contribution	Purpose of Disbursement Contribution					
Gottheimer, Josh, , ,	Candidate Name Gottheimer, Josh, , ,					
	nent For: 2018 Primary General Other (specify)		1000.00 Memo Item			
State: NJ District: 05			INIGITIO ILGITI			
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)			4000.00			

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C. C. John Com Committee.				
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22 2017				
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SCHEDULE B (FEC Form 3X)	Llee concrete cohodule(e)	FOR LINE NUMBER: PAGE 53				
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🗶 23 🗍 26 📄 27			
	Detailed Summary Page	28a	28b 28c 29 30b			
Any information copied from such Reports and Statem	I nents may not be sold or us	sed by any perso	on for the purpose of soliciting contributions			
or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Actio	n Committee					
/ Full Name (Last, First, Middle Initial)		<u> </u>				
A. Portman for Senate Committee			Date of Disbursement			
- Children Condition Committee			M M / D D / Y Y Y Y			
Mailing Address 1111 19th St. NW Suite 1100			03 22 2017			
City	State Zip Code					
Washington	DC 20036		FEC Identification Number			
Purpose of Disbursement			C C00458463			
Contribution		011	Transaction ID : B644038			
Candidate Name		Category/	Amount of Each Disbursement this Period			
Portman, Rob, , , Office Sought: House Disbursen	nent For: 2022	Type	2500.00			
	Primary General		7 7 7			
	Other (specify) ▼		Memo Item			
State: OH District:						
Full Name (Last, First, Middle Initial)			Data of Dishara and			
B. Wyden for Oregon			Date of Disbursement			
Mailing Address 3612 Newark St. NW			03 22 2017			
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20016		C C00308676			
Contribution		011				
Candidate Name		Category/	Transaction ID : B644074 Amount of Each Disbursement this Period			
Wyden, Ron, , ,		Type	1000.00			
	nent For: 2022		1000.00			
	Primary General Other (specify)					
State: OR District:	(-p),		Memo Item			
Full Name (Last, First, Middle Initial)						
C. Charlie Dent for Congress			Date of Disbursement			
Mailing Address DO Day 440			03 22 2017			
Mailing Address PO Box 442			03 22 2017			
City	State Zip Code		FEC Identification Number			
Allentown Burnage of Dishuragement	PA 18105		C C00386847			
Contribution	Purpose of Disbursement Contribution 011					
Candidate Name		Category/	Transaction ID : B644039 Amount of Each Disbursement this Period			
Dent, Charlie, , ,		Type				
Conoto	nent For: 2018		1000.00			
	Primary General Other (specify) ▼					
State: PA District: 15	Carol (opooliy) ¥		Memo Item			
SUBTOTAL of Disbursements This Page (optional)						
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TOTAL This Period (last page this line number only).						

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	(s) FOR LINE NUMBER: PAGE 54 OF 5 (check only one)				
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full)	to and address of any politic	a committee to	CONTRACTOR TOTAL SHORT CONTRACTOR.			
Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial) A. Friends of John Thune			Date of Disbursement			
Mailing Address PO Box 505			03 22 2017			
Sioux Falls	State Zip Code SD 57101		FEC Identification Number			
Purpose of Disbursement Contribution		011	C C00409581 Transaction ID : B644035			
Candidate Name Thune, John, , ,		Category/ Type	Amount of Each Disbursement this Period			
Office Sought: House Disbursem	nent For: 2022 Primary General		1000.00			
State: SD District:	Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial) B. Tenn PAC			Date of Disbursement			
Mailing Address 228 S. Washington St. Suite 115	03 22 2017					
,	State Zip Code VA 22314		FEC Identification Number			
Purpose of Disbursement Contribution	Purpose of Disbursement					
Candidate Name	Category/ Type	Transaction ID: B644050 Amount of Each Disbursement this Period				
Senate F	nent For: 2017 Primary General		1000.00			
President State: District:	Other (specify) Not Applicable	e	Memo Item			
Full Name (Last, First, Middle Initial) C. Gene Green Congressional Campa	aign		Date of Disbursement			
Mailing Address PO Box 16128		03 22 2017				
City S Houston Purpose of Disbursement		FEC Identification Number C C00254185				
Contribution Candidate Name Green Paymond Gone	011 Category/	Transaction ID : B644041 Amount of Each Disbursement this Period				
Senate x	nent For: 2018 Primary General	Туре	1000.00			
State: TX District: 29	Other (specify) ▼		Memo Item			
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only).			3000.00			

SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 55 OF 5				
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only				
	Detailed Summary Page	21b 28a	22 X 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem	anto may not be cold or us					
or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Action	n Committee					
Full Name (Last, First, Middle Initial)						
A. Connolly for Congress			Date of Disbursement			
Mailian Address DO Day 502			M M / D D / Y Y Y Y			
Mailing Address PO Box 563			03 22 2017			
,	State Zip Code		FEC Identification Number			
Merrifield Purpose of Disbursement	VA 22116					
Contribution		011	C C00445452			
Candidate Name		Category/	Transaction ID : B644045 Amount of Each Disbursement this Period			
Connolly, Gerry, , ,		Type				
0	nent For: 2018		1000.00			
	Primary General Other (specify) ▼		П			
State: VA District: 11	(ep), •		Memo Item			
Full Name (Last, First, Middle Initial)						
B. People for Derek Kilmer			Date of Disbursement			
Mailing Address 412 1st Street SE Suite 100			03 22 2017			
Mailing Address 412 1st Street SE Suite 100		00 22 2017				
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003		C C00514893			
Contribution						
Candidate Name		Category/	Transaction ID : B644056 Amount of Each Disbursement this Period			
Kilmer, Derek, , ,		Туре	4000.00			
	nent For: 2018 Primary General		1000.00			
	Other (specify)		Maria Bara			
State: WA District: 06			Memo Item			
Full Name (Last, First, Middle Initial)						
^{C.} Jenkins for Congress			Date of Disbursement			
Mailing Address 3410 Alabama Avenue			03 22 2017			
City Alexandria	State Zip Code VA 22305		FEC Identification Number			
Purpose of Disbursement			C C00548271			
Contribution		011	Transaction ID : B644049			
Candidate Name	Category/	Amount of Each Disbursement this Period				
	Jenkins, Evan, , , Type Office Sought: Mouse Disbursement For: 2018					
	Primary General		4 4			
President	Other (specify) ▼		Memo Item			
State: WV District: 03						
SUBTOTAL of Disbursements This Page (optional)						
ago (opnomia)			7 7 7			
TOTAL This Period (last page this line number only).						

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SCHEDULE B (FEC Form 3X)			FOR LINE N			
ITEMIZED DISBURSEMENTS	for each ca	Use separate schedule(s) for each category of the Detailed Summary Page		(check only one) 21b 22 x 23 26 27		
			28a	28b 28c 29 30b		
Any information copied from such Reports and State or for commercial purposes, other than using the na						
NAME OF COMMITTEE (In Full)						
Novartis Corporation Political Acti	on Commit	ttee				
Full Name (Last, First, Middle Initial) Friends of John Barrasso	Date of Disbursement					
A. Friends of John Barrasso	M M / D D / Y Y Y Y					
Mailing Address 1020 N. Fairfax St. Suite 201	03 22 2017					
City Alexandria	State Z	Zip Code 22314		FEC Identification Number		
Purpose of Disbursement	٧٨	22314		C 000426286		
Contribution	C C00436386 Transaction ID: B644047					
Candidate Name	Category/	Amount of Each Disbursement this Period				
Barrasso, John, , , Office Sought: House Disburse			Туре	1000.00		
	nt: House Disbursement For: 2018 Senate Primary General					
President State: WY District:	Other (specify			Memo Item		
Full Name (Last, First, Middle Initial)						
B.				Date of Disbursement		
				M = M / D = D / Y = Y = Y		
Mailing Address						
City	State	Zip Code		FEC Identification Number		
Purpose of Disbursement		C				
Candidate Name	Category/	Amount of Each Disbursement this Period				
Office Sought: House Disburse	Туре					
Senate	Primary	General		4 4		
President	Other (specify	y)		Memo Item		
State: District: Full Name (Last, First, Middle Initial)						
C				Date of Disbursement		
Mailing Address				M M		
City	State	Zip Code		FEC Identification Number		
Purpose of Disbursement				C		
Candidate Name	Amount of Each Disbursement this Period					
Office Sought: House Disburse						
Senate	Primary	General				
State: District:	Other (specify	y) ▼		Memo Item		
Olale. District.						
SUBTOTAL of Disbursements This Page (optional)			·····•	1000.00		
	,			44500.00		
TOTAL This Period (last page this line number only	y)			7700.00		

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SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page		FOR LINE NUMBER: PAGE 57 OF 57 (check only one)		
II LIVIIZED DISBURSEIVIEN IS			21b 28a	21b 22 23 26 27	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)					
Novartis Corporation Political Action	n Commi	ttee			
Full Name (Last, First, Middle Initial) A. Build PA PAC	Date of Disbursement				
Mailing Address 816 Highfield Court	03 23 2017				
Coraopolis	State PA	Zip Code 15108		FEC Identification Number	
Purpose of Disbursement O-2017 State PAC PA	Transaction ID : B644244				
Candidate Name	Category/ Type	Amount of Each Disbursement this Period			
Senate	nent For: 20 Primary	General			
State: President x	Other (specif	y) ▼ Not Applicable		Memo Item	
Full Name (Last, First, Middle Initial) B.				Date of Disbursement	
Mailing Address	M = M / D = D / Y = Y = Y				
City	State	Zip Code		FEC Identification Number	
Purpose of Disbursement		С			
Candidate Name	Amount of Each Disbursement this Period				
President	nent For: Primary Other (specif	General (y)		Memo Item	
State: District: Full Name (Last, First, Middle Initial) C.				Date of Disbursement	
Mailing Address		M = M / D = D / Y = Y = Y			
City	State	Zip Code		FEC Identification Number	
Purpose of Disbursement		C			
Candidate Name	Amount of Each Disbursement this Period				
Senate	Senate Primary General				
President State: District:	Other (specify) ▼			Memo Item	
SUBTOTAL of Disbursements This Page (optional)				1000.00	
TOTAL This Period (last page this line number only).				1000.00	