

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Managed Funds Association Political Action Committee

ADDRESS (number and street)

2025 M Street, NW

Suite 610

☐ Check if different  
than previously  
reported. (ACC)

Washington

DC

20036

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00306894

3. IS THIS  
REPORT☐NEW  
(N)

OR

☒AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☐ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Quarterly Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election  
Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election  
Year Only)
- ☐ Apr 20 (M4) ☒ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
**PRE-Election**  
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post -Election**  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

in the  
State of

5. Covering Period

06

01

2009

through

06

30

2009

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Mr Richard H., Baker

Signature of Treasurer Electronically Filed by Mr Richard H., Baker

Date

08

14

2009

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 12/2004)

A. Form/Schedule : **F3XA**  
Transaction ID :

This report is being amended to update the beginning balance.

# SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

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Write or Type Committee Name  
Managed Funds Association Political Action Committee

Report Covering the Period: From: 

|   |   |
|---|---|
| M | M |
| 0 | 6 |

|   |   |
|---|---|
| D | D |
| 0 | 1 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

 To: 

|   |   |
|---|---|
| M | M |
| 0 | 6 |

|   |   |
|---|---|
| D | D |
| 3 | 0 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

|   | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand<br>January 1  | 2009                    | 224273.86                         |
| (b) Cash on Hand at<br>Beginning of Reporting Period .....  | 189760.77               |                                   |
| (c) Total Receipts (from Line 19) .....   | 73250.00                | 162750.21                         |
| (d) Subtotal (add lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B) .....      | 263010.77               | 387024.07                         |
| 7. Total Disbursements (from Line 31) .....   | 18640.55                | 142653.85                         |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....                 | 244370.22               | 244370.22                         |
| 9. Debts and Obligations owed TO<br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.00                    |                                   |
| 10. Debts and Obligations owed BY<br>the committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | 0.00                    |                                   |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

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Write or Type Committee Name

Managed Funds Association Political Action Committee

Report Covering the Period:

From:

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | W | Y |
| 0 | 6 | 0 | 1 | 2 | 0 | 0 | 9 |

To:

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | W | Y |
| 0 | 6 | 3 | 0 | 2 | 0 | 0 | 9 |

| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees  |                               |                                   |
| (i) Itemized (use Schedule A) .....  | 73250.00                      | 156475.00                         |
| (ii) Unitemized .....  | 0.00                          | 275.00                            |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) .....   | 73250.00                      | 156750.00                         |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....  | 0.00                          | 5000.00                           |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....     | 73250.00                      | 161750.00                         |
| 12. Transfers From Affiliated/Other Party Committees .....   | 0.00                          | 0.00                              |
| 13. All Loans Received .....   | 0.00                          | 0.00                              |
| 14. Loan Repayments Received .....   | 0.00                          | 0.00                              |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00                          | 0.00                              |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....           | 0.00                          | 1000.00                           |
| 17. Other Federal Receipts (Dividends, Interest, etc.) .....   | 0.00                          | 0.21                              |
| 18. Transfers from Non-Federal and Levin Funds   |                               |                                   |
| (a) Non-Federal Account (from Schedule H3) .....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....   | 0.00                          | 0.00                              |
| (c) Total Transfer (add 18(a) and 18(b)).  | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....                          | 73250.00                      | 162750.21                         |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....                                    | 73250.00                      | 162750.21                         |

## DETAILED SUMMARY PAGE

of Disbursements

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FEC Form 3X (Rev. 02/2003)

| II. DISBURSEMENTS  |          | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|----------|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:  |          |                               |                                   |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4)                                     |          |                               |                                   |
| (i) Federal Share.....   | 0.00     | 0.00                          |                                   |
| (ii) Non-Federal Share.....  | 0.00     | 0.00                          |                                   |
| (b) Other Federal Operating Expenditures.....  | 140.55   | 653.64                        |                                   |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➤                        | 140.55   | 653.64                        |                                   |
| 22. Transfers to Affiliated/Other Party Committees.....  | 0.00     | 0.00                          |                                   |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....         | 18500.00 | 142000.00                     |                                   |
| 24. Independent Expenditure (use Schedule E) .....   | 0.00     | 0.00                          |                                   |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | 0.00     | 0.00                          |                                   |
| 26. Loan Repayments Made.....  | 0.00     | 0.00                          |                                   |
| 27. Loans Made.....  | 0.00     | 0.00                          |                                   |
| 28. Refunds of Contributions To:   |          |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                  | 0.00     | 0.00                          |                                   |
| (b) Political Party Committees   | 0.00     | 0.00                          |                                   |
| (c) Other Political Committees (such as PACs) .....  | 0.00     | 0.00                          |                                   |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....                           | 0.00     | 0.00                          |                                   |
| 29. Other Disbursements.....   | 0.00     | 0.21                          |                                   |
| 30. Federal Election Activity (2 U.S.C 431(20))  |          |                               |                                   |
| (a) Shared Federal Election Activity (from Schedule H6)  |          |                               |                                   |
| (i) Federal Share .....  | 0.00     | 0.00                          |                                   |
| (ii) "Levin" Share .....   | 0.00     | 0.00                          |                                   |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                           | 0.00     | 0.00                          |                                   |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....              | 0.00     | 0.00                          |                                   |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..       | 18640.55 | 142653.85                     |                                   |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 18640.55 | 142653.85                     |                                   |

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

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| III. Net Contributions/Operating Expenditures                                       | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>from Line 11(d), page 3) .....        | 73250.00                      | 161750.00                         |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                           | 0.00                          | 0.00                              |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....   | 73250.00                      | 161750.00                         |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b))..... | 140.55                        | 653.64                            |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....               | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....             | 140.55                        | 653.64                            |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael Harris

Mailing Address 2850 Quarry Lake Drive

City

Baltimore

State

MD

Zip Code

21209-3753

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Campbell & Company, Inc.

Occupation

VP and Director of Trading

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811255

Amount of Each Receipt this Period

250.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Michael Liberman

Mailing Address 280 Park Avenue  
5th Floor East

City

New York

State

NY

Zip Code

10017

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation

Head of Quantitative Strategy / CTO Qu

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811256

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Lisa R. Ruiz

Mailing Address 320 State Street  
APT #2

City

Brooklyn

State

NY

Zip Code

11201-5821

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation

Financial Services Professional

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811257

Amount of Each Receipt this Period

1000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

6250.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Derek Smith

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Senior Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811304

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Rachel Bluth

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017-1216

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
self

Occupation  
home maker

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811320

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Stephen Siderow

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
President

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811358

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

15000.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William H. Reeves

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Financial Services Professional

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811702

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Bryce Markus

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Senior Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811705

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Elisabeth Markus

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017-1216

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
self

Occupation  
home maker

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811707

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

15000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David Rubenstein

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Chief Financial Officer and General Co

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811711

Amount of Each Receipt this Period

500.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey Szilagyi

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017-1216

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Financial Services Professional

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811763

Amount of Each Receipt this Period

1000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Alan Gerstein

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Senior Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811803

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

6500.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sam Cole

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Chief Operating Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811804

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Scott Lessing

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Financial Researcher

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811805

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Andrew Feldstein

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Chief Executive Officer

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811809

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

15000.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 17

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jonathan Loflin

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
Senior Portfolio Manager

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811812

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**B.**

Full Name (Last, First, Middle Initial)

Patricia Vary-Loflin

Mailing Address 280 Park Avenue  
5th Floor East

City State Zip Code  
New York NY 10017

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
BlueMountain Capital Mana-  
gement LLC

Occupation  
spouse

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 3 0 / 2 0 0 9

Transaction ID: 30811813

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**C.**

Full Name (Last, First, Middle Initial)

Deborah K. Berger

Mailing Address 2932 Makalei Place

City State Zip Code  
Honolulu HI 96815-4743

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
self

Occupation  
home maker

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y  
0 6 / 2 6 / 2 0 0 9

Transaction ID: 30811830

Amount of Each Receipt this Period

5000.00

Contribution to MFA-PAC

**SUBTOTAL** of Receipts This Page (optional) .....

15000.00

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 17

(check only one)

|   |                              |                              |                             |                             |                             |                             |                             |                             |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lou Costantino

Mailing Address 2025 M Street NW  
Suite 610

|            |       |          |
|------------|-------|----------|
| City       | State | Zip Code |
| Washington | DC    | 20036    |

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Managed Funds AssociationOccupation  
Vice President, Government Relations

Receipt For:

☐ Primary
 ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 0 | 6 |   | 2 | 6 |   | 2 | 0 | 9 |   |

Transaction ID: 30811934

Amount of Each Receipt this Period

500.00

Contribution to MFA-PAC

SUBTOTAL of Receipts This Page (optional) .....

500.00

TOTAL This Period (last page this line number only) .....

73250.00

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 14 / 17

|   |                              |                              |                              |                             |                              |
|---|------------------------------|------------------------------|------------------------------|-----------------------------|------------------------------|
| <input checked="" type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input type="checkbox"/> 23  | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27             | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

PNC Bank

Mailing Address 1920 L STREET, NW

City  
Washington

State  
DC

Zip Code  
20036

Purpose of Disbursement

Bank Fees

Candidate Name

001

Category/  
Type

Office Sought:

☐ House  
☐ Senate  
☐ President

Disbursement For:

☐ Primary ☐ General  
☐ Other (specify) ▼

State:

District:

Transaction ID: 30812132

Date of Disbursement

MM / DD / YYYY  
06 / 30 / 2009

Amount of Each Disbursement this Period

140.55

Bank Fees

SUBTOTAL of Disbursements This Page (optional) .....

140.55

TOTAL This Period (last page this line number only) .....

140.55

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 15 / 17

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Shelby For U S Senate

Mailing Address Post Office Box 1091

City  
Tuscaloosa

State  
AL

Zip Code  
35403

Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
Type

Candidate Name  
Sen. Richard C. Shelby

Office Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2010  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: AL District:

Transaction ID: 30812328

Date of Disbursement

06 / 26 / 2009

Amount of Each Disbursement this Period

2500.00

FY09 Political Contributi-  
on

B.

Full Name (Last, First, Middle Initial)

Shelby For U S Senate

Mailing Address Post Office Box 1091

City  
Tuscaloosa

State  
AL

Zip Code  
35403

Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
Type

Candidate Name  
Sen. Richard C. Shelby

Office Sought: ☐ House  
☒ Senate  
☐ President

Disbursement For: 2010  
☐ Primary ☒ General  
☐ Other (specify) ▼

State: AL District:

Transaction ID: 30812464

Date of Disbursement

06 / 26 / 2009

Amount of Each Disbursement this Period

5000.00

FY09 Political Contributi-  
on

C.

Full Name (Last, First, Middle Initial)

National Leadership PAC

Mailing Address P.O. Box 5577

City  
New York

State  
NY

Zip Code  
10027

Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
Type

Candidate Name  
National Leadership PAC

Office Sought: ☐ House  
☐ Senate  
☐ President

Disbursement For: ☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Transaction ID: 30812521

Date of Disbursement

06 / 26 / 2009

Amount of Each Disbursement this Period

1000.00

FY09 Political Contributi-  
on

SUBTOTAL of Disbursements This Page (optional) .....

8500.00

TOTAL This Period (last page this line number only) .....

**SCHEDULE B (FEC Form 3X)  
ITEMIZED DISBURSEMENTS**Use separate schedule(s)  
for each category of the  
Detailed Summary PageFOR LINE NUMBER:  
(check only one)

PAGE 16 / 17

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Crowley For Congress

Mailing Address 84-56 Grand Avenue

City  
ElmhurstState  
NYZip Code  
11373Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
TypeCandidate Name  
Rep. Joseph CrowleyOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2010  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: NY District: 07

Transaction ID: 30812577

Date of Disbursement

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 0 | 6 |   | 2 | 6 |   | 2 | 0 | 0 | 9 |

Amount of Each Disbursement this Period

2500.00

FY09 Political Contributi-  
on**B.**

Full Name (Last, First, Middle Initial)

Jim Himes For Congress

Mailing Address 857 Post Road, #312

City  
FairfieldState  
CTZip Code  
06824Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
TypeCandidate Name  
Mr. Jim HimesOffice Sought: ☒ House  
☐ Senate  
☐ PresidentDisbursement For: 2010  
☒ Primary ☐ General  
☐ Other (specify) ▼

State: CT District: 04

Transaction ID: 30812693

Date of Disbursement

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 0 | 6 |   | 2 | 6 |   | 2 | 0 | 0 | 9 |

Amount of Each Disbursement this Period

1500.00

FY09 Political Contributi-  
on**C.**

Full Name (Last, First, Middle Initial)

Blue Dog Political Action Committee

Mailing Address 6849 Old Dominion Drive  
Suite 222City  
McLeanState  
VAZip Code  
22101Purpose of Disbursement  
FY09 Political Contribution

011

Category/  
TypeCandidate Name  
Blue Dog Political Action CommitteeOffice Sought: ☐ House  
☐ Senate  
☐ PresidentDisbursement For:  
☐ Primary ☐ General  
☐ Other (specify) ▼

State: District:

Transaction ID: 30812698

Date of Disbursement

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 0 | 6 |   | 2 | 6 |   | 2 | 0 | 0 | 9 |

Amount of Each Disbursement this Period

5000.00

FY09 Political Contributi-  
on

SUBTOTAL of Disbursements This Page (optional) .....

9000.00

TOTAL This Period (last page this line number only) .....



# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 17 / 17

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Managed Funds Association Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Peters For Congress

Mailing Address PO Box 226

City  
Bloomfield Hills

State  
MI

Zip Code  
48303

Purpose of Disbursement  
FY09 Political Contribution

Candidate Name  
Rep. Gary C. Peters

Office Sought: ☒ House  
☐ Senate  
☐ President

State: MI District: 09

Disbursement For: 2010  
☒ Primary ☐ General  
☐ Other (specify) ▼

011  
Category/  
Type

Transaction ID: 30813871

Date of Disbursement

MM / DD / YYYY  
06 / 26 / 2009

Amount of Each Disbursement this Period

1000.00

FY09 Political Contribution

SUBTOTAL of Disbursements This Page (optional) .....

1000.00

TOTAL This Period (last page this line number only) .....

18500.00