FEC FORM 3X	AND	ORT OF RE DISBURSE	MENTS	tee	Office Use	Only
1. NAME OF COMMITTEE (in fu		C MAILING LABEL E OR PRINT 🕊	Example:If typing over the lines	g, type]
	n Political Action Co					
ADDRESS (number and	street) 701 P	ennsylvania Ave. NW Su	iite 725			
Check if differ than previousl reported. (AC	y Wash	ington				004
2. FEC IDENTIFICAT	ION NUMBER		A	STA	TEA Z	IPCODE 🔺
C00033969		3. IS T REF		NEW (N) OR	AMENDED (A)	
July 15 Quarterly October Quarterly January 3 Quarterly July 31 M Report(N Year Onl	orts: Report(Q1) ((Report(Q2) 15 Report(Q3) 31 Report(YE) Iid-Year on-election ((y) (MY)	Monthly Report Due On: Mar 20 Apr 20 c) 12-Day PRE-Election Report for the: Election c d) 30-Day Post -Election Report for the:	(M3) (M4) Primary (12) Convention	(12C)		Nov 20 (M11) (Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only) Jan 31 (YE) Runoff (12R) n the State of Special (30S)
(TER)	on Report	Election	on			n the State of
5. Covering Period	01	1 2010	through	01	31 2010	
Type or Print Name of T Signature of Treasurer	reasurer <u>Dan</u> Electronically File	·		Date	02 19	2010
· · · · · ·	alse, erroneous, or	incomplete information m	ay subject the pers	son signing this Re		
Office Use Only						FORM 3X 12/2004)

Image# 10930339085

FEC Form 3X (Rev. 02/2003)

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

2 / 13

١	Nrite or Type Committee Name Novartis Corporation Political Action Commit	tee	
F	Report Covering the Period: From:	0 1 Y Y Y Y 0 1 2 0 1 0	To:
		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1 2010 ^{Y Y Y}		124624.48
	(b) Cash on Hand at Begining of Reporting Period	124624.48	
	(c) Total Receipts (from Line 19)	30796.03	30796.03
	(d) Subtotal (add lines 6(b) and		
	6(c) for Column A and Lines 6(a) and 6(c) for Column B)	155420.51	155420.51
7.	Total Disbursements (from Line 31)	75220.00	75220.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	80200.51	80200.51
9.	Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D)	0.00	

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

FE6AN026

Image# 10930339086

DETAILED SUMMARY PAGE OF RECEIPTS

3 / 13

FEC Form 3X (Rev. 06/2004)		3 / 13
Write or Type Committee Name Novartis Corporation Political Action Comm	nittee	
Report Covering the Period: From:	D D Y Y W Y 2 0 1 0	To:
I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From: (a) Individuals/Persons Other		
Than Political Committees (i) Itemized (use Schedule A)	1998.00	1998.00
(ii) Unitemized	28798.03	28798.03
(iii) TOTAL (add Lines 11(a)(i) and (ii)	30796.03	30796.03
(b) Political Party Committees	0.00	0.00
 (c) Other Political Committees (such as PACs) (d) Total Contributions (add Lines 	0.00	0.00
11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	30796.03	30796.03
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
 Loan Repayments Received Offsets To Operating Expenditures 	0.00	0.00
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
to Federal candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	0.00	0.00
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))	30796.03	30796.03
20. Total Federal Receipts (subtract Line 18(c) from Line 19)	30796.03	30796.03

Image# 10930339087

DETAILED SUMMARY PAGE

mage#	EFC Farm OX (Day 00/0000)	of Disbursements	4 / 1
	FEC Form 3X (Rev. 02/2003)	COLUMN A	COLUMN B
		Total This Period	Calendar Year-to-Date
21. U (a	Deperating Expenditures: a) Shared Federal/Non-Federal Activity (from Schedule H4) (i) Federal Share	0.00	0.00
	(ii) Non-Federal Share	0.00	0.00
(ხ	Expenditures	720.00	720.00
(c	 Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)) 	720.00	720.00
22. T	ransfers to Affiliated/Other Party		
	committees	0.00	0.00
	ederal Candidates/Committeesnd Other Political Committees	74000.00	74000.00
(ι	ndependent Expenditure use Schedule E)	0.00	0.00
25. C C (L	coordinated Expenditures Made by Party committees (2 U.S.C. 441a(d)) use Schedule F)	0.00	0.00
	oan Repayments Made	0.00	0.00
	oans Made	0.00	0.00
28. R (a	tefunds of Contributions To: a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b		0.00	0.00
(C	c) Other Political Committees (such as PACs)	0.00	0.00
(d	 Total Contribution Refunds (add Lines 28(a), (b), and (c)) 	0.00	0.00
20 0	ther Disbursements	500.00	500.00
30. F	 Gederal Election Activity (2 U.S.C 431(20)) (a) Shared Federal Election Activity (from Schedule H6) 	300.00	
	(i) Federal Share	0.00	0.0
	(ii) "Levin" Share	0.00	0.0
((b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.0
	(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0,0
	Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	75220.00	75220.0
	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)	75220.00	75220.0

0.00 0.00 720.00 720.00 0.00 74000.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 500.00

			0.00
			0.00
			0.00
			0.00

75220.00

75220.00

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003) of Disbursements

5 / 13

	III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33.	Total Contributions (other than loans) from Line 11(d), page 3)	30796.03	30796.03
34.	Total Contribution Refunds (from Line 28(d))	0.00	0.00
35.	Net Contributions (other than loans) (subtract Line 34 from Line 33)	30796.03	30796.03
36.	Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	720.00	720.00
37.	Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38.	Net Operating Expenditures (subtract Line 37 from Line 36)	720.00	720.00

FE6AN026

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separates for each categ Detailed Sumr	gory of the
	Any information copied from such Reports and so for commercial purposes, other than using the	tatements may not be sold or us name and address of any politic	sed by any person for the purpose of soliciting contributions cal committee to solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action (Committee	
لا 4.	Full Name (Last, First, Middle Initial) Ronald M Califre		Date of Receipt
	Mailing Address One Health Plaza		0 1 / D D / Y Y Y Y 2 0 1 0
	City	State Zip Code	Transaction ID: A2010-280017
	East Hanover FEC ID number of contributing federal political committee.	NJ 07936	Amount of Each Receipt this Period 416.00
	Name of Employer Novartis Pharmaceuticals	Occupation Executive	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	416.00
	Full Name (Last, First, Middle Initial) Candace B Dibblee Mailing Address One Health Plaza	1	Date of Receipt
			01 30 2010
	City East Hanover	State Zip Code NJ 07936	Transaction ID: A2010-280142 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	250.00
	Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	250.00
	Full Name (Last, First, Middle Initial) David P Drake		Date of Receipt
	Mailing Address One Health Plaza		0 1 ^D ^D ^Y ^Y ^Y ^Y ^Y ^Y
	City East Hanover	State Zip Code NJ 07936	Transaction ID: A2010-279944 Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C	250.00
	Name of Employer Novartis Services Incorpo- rated	Occupation Executive	
	Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date	250.00
Γ	SUBTOTAL of Receipts This Page (optional).	1	916.00

	SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	totomonto mo	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7 / 13 (check only one)
	Any information copied from such Reports and S or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	name and add	dress of any political committee to	a solicit contributions from such committee.
	Novartis Corporation Political Action C	Committee		
Α.	Full Name (Last, First, Middle Initial) James R Elkin			Date of Receipt
	Mailing Address One Health Plaza			0 1 ^D 0 1 ^Y <u>Y</u>
	City	State	Zip Code	Transaction ID: A2010-279429
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		416.00
	Name of Employer Novartis Services Incorpo- rated	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼	0 0	416.00]
В.	Full Name (Last, First, Middle Initial) Brenda Luckritz			Date of Receipt
	Mailing Address One Health Plaza			M M / D D / Y
	City	State	Zip Code	Transaction ID: A2010-279426
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		416.00
	Name of Employer Novartis Services Incorpo- rated	Occupatio Executive		
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼		416.00]
C.	Full Name (Last, First, Middle Initial) Katherine E Solon	I		Date of Receipt
	Mailing Address One Health Plaza			M M M / D D / Y Y Y Y Y 01 30 2010
	City	State	Zip Code	Transaction ID: A2010-280919
	East Hanover	NJ	07936	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Novartis Services Incorpo- rated	Occupatio Executive	e	
	Receipt For:	Aggregate	e Year-to-Date 🔻	_
	Primary General Other (specify) ▼	0 0	250.00	
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		CHEDULE B (FEC Form	,			hedule(s)		R LIN			R:			PA	GE	8/13	
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		y Information copied from such Report for commercial purposes, other than us															
		NAME OF COMMITTEE (In Full) Novartis Corporation Political A	ction Comm	nittee													
Α.	<u> </u>	Full Name (Last, First, Middle Initial) Novartis Corporation Mailing Address One Health Pl	aza				 			Date		isburs	_	3000 nt / Y		0 1 0	Y
		City East Hanover		State NJ	Zip C 0793					Amou	int of	f Each	n Dis	burser	-		
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		Candidate Name					ateg Typ	•									
		Office Sought: House Senate President		nent For: Primary Other (spe		2010 General											
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SUBTOTAL of Disbursements This Page (optional)	•	555.00
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FE6AN026		FEC Schedule B (Form 3X) (Revised 02/2003)

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for commercial purposes, other than using the nam	he and address of any political	com	mitt	ee to so	licit con	ribut	ions	Tro	n suc	1 COL	nmitte	e	
Novartis Corporation Political Action Corr	mittee												
Full Name (Last, First, Middle Initial) DCCC					Tran Date	of D	isbu	irser		4006	3		
Mailing Address 430 South Capitol Stree	t SE				[™] 1	М		2	8 /	Y	²0ỉ	0 [×]	
City Washington	StateZip CodeDC20003				Amo	unt o	f Ea	ch [Disbur			0	od
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State: DC District: Not Ap	plicable										29 ontributions committee 006 Y 2 0 1 0 Y ement this Per 15000.00 Y 2 0 1 0 Y ement this Per 15000.00 Y 2 0 1 0 Y ement this Per 15000.00		
Full Name (Last, First, Middle Initial) DSCC					Tran Date				B31 ment	4000	כ		
Mailing Address 120 Maryland Ave. NE				0 [™] 1	М	/	^D 2	^D /8	Y	²0ỉ	0 ^Y		
City Washington	State Zip Code DC 20002				Amo	unt o	f Ea	ch [Disbur	seme	ent this	s Perio	od
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Full Name (Last, First, Middle Initial) First State PAC	<u>.</u>				Tran Date				B31 ment	4012	2		
Mailing Address 426 C Street NE					[™] 1	М	/	^D 2	8 /	Y	²0ỉ	0 ^Y	
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FE6AN026

FEC Schedule B (Form 3X) (Revised 02/2003)

SCHEDULE B (FEC Form 3X)	Use separate schedule(s))	-		NUMBE	R:			P	AGE	10 /	13		
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NAME OF COMMITTEE (In Full)														
Novartis Corporation Political Action Com	nittee													
Full Name (Last, First, Middle Initial) Republican Majority Fund					Transaction ID: B300022 Date of Disbursement									
Mailing Address P.O. Box 144					[™] 1	М		28	^D / B	Y 2	20ì	0 Y		
Alexandria	State Zip Code VA 22313	•			Amou	unt of	f Ea	ch [Disburs					
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Senate President X	ement For: 2010 Primary General Other (specify) V olicable		ype											
Full Name (Last, First, Middle Initial) The Martha Coakley for Senate Cmte		Trans Date	of Di	isbu	rser		985							
Mailing Address 529 Main Street					0 [™] 1	М		0	^D 7	Y 2	20ì	0 ^Y		
City Charlestown	StateZip CodeMA02129	_			Amou	unt of	f Ea	ch D	Disburs		-			
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Candidate Name Martha Coakley			tegor ype	y/										
X Senate President X	ement For: 2010 Primary General Other (specify) ▼ General													
Full Name (Last, First, Middle Initial) Rogers for Congress					Trans Date				B300 nent	020				
Mailing Address 700 12th St. NW Suite 7	700				[™] 1	М	/	28	D / B	Y 2	ŹOľ	0 Y		
City Washington	StateZip CodeDC20005				Amou	unt of	f Ea	ch [Disburs					
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SCHEDULE B (FEC Form 3X)			Use separate schedule(s)				IE NUMBER: PAGE 11 / 13 nly one)								
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\	prporation Political Ac	tion Committee													
•	ast, First, Middle Initial) d Burr Committee						Trans Date				B314 ment	237	,		
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State: NC	District:														
•	Full Name (Last, First, Middle Initial) Blue Dog Political Action Committee						Date	of D	isbur	ser					
Mailing Address 6849 Old Dominion Drive Suite 222															
City McLean		State VA	Zip Code 22101				Amou	int o	fEad	ch [Disburs		nt this		
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FEC Schedule B (Form 3X) (Revised 02/2003)

	CHEDULE B (FEC Form 3X)		Use separate schedule(s)				-		IE NUMBER: PAGE 12/13									
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	ny Information copiec																	
F	for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee NAME OF COMMITTEE (In Full)																	
$\left \right\rangle$		ation Political Act	ion Comn	nittee														
<u>k</u>	Full Name (Last, First, Middle Initial) NRSC									Transaction ID: B314001 Date of Disbursement								
	Mailing Address	425 Second Str	eet NE							0 [™] 1	М	/	2	D / 8	Y	²o ì	0 ^Y	
	City Washington			State DC	Zip Code 20002					Amou	int o	f Ead	ch [Disburs			Period	
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	Full Name (Last, First, Middle Initial) Moderate Democrats PAC									Trans Date				B314 ment	003	;		
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FEC Schedule B (Form 3X) (Revised 02/2003)

		CHEDULE B (FEC Form 3	Use separate schedule(s) for each category of the						 	R:	PA	AGE 13 / 13					
	11	EMIZED DISBURSEMENT	5	for each Detailed			ļ	Ì	21b 27	22 28a	\square	23 28b	\square	24 28c		25 29	26 30b
		y Information copied from such Reports a or commercial purposes, other than using															
	Ν	NAME OF COMMITTEE (In Full)															
	Ľ	Novartis Corporation Political Acti	on Comm	intee													
Α.		Full Name (Last, First, Middle Initial)								 				3140	13		
~ .		NJ Inaugural 2010 Inc.									of Di:	sburs		-	v	v	V
		Mailing Address 10 DeHart St. 3r	d Floor							0 1		2	2 8 ^D	Ĺ	2	οľο	
		City Morristown	-	State NJ	Zip Co 07960					Amou	nt of	Each	l Dis	burser	nent	this F	Period
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		Senate President		Primary Other (spe		General											
		State: District:			sony) V												

	SUBTOTAL of Disbursements This Page (optional)	•	500.00
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