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Image# 201609199030957080

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORW 3X F	or Other Than An	Authorized Co	mmittee	C	Office Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: over the	If typing, type lines.	12FE4M5	
Novartis Corporation P	olitical Action Co	mmittee			
ADDRESS (number and street)	701 Pennsylvania Ave.	NW Suite 725			
Check if different than previously reported. (ACC)	Washington			DC	20004-2608
2. FEC IDENTIFICATION NU	MBER ▼	CITY 🛦		STATE A	ZIP CODE ▲
C C00033969		3. IS THIS REPORT	× NEW OR	AMEN (A)	NDED
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q July 15 Quarterly Report (Q October 15 Quarterly Report (Q January 31 Year-End Report (Y) July 31 Mid-Year Report (Non-electior Year Only) (MY) Termination Report (TER)	(c) 12-Day PRE-Election Report for th (d) 30-Day POST-Election Report for th	n Converse C	May 20 (M5) Jun 20 (M6) Jul 20 (M7) ary (12P) ention (12C) aral (30G)	Aug 20 X Sep 20 Oct 20 General (12) Special (12) Runoff (30R	(M9) (M9) Dec 20 (M12) (Non-Election Year Only) (M10) Jan 31 (YE) 2G) Runoff (12R) S) in the State of
5. Covering Period 08	01 20		rough 08	31 /	2016
I certify that I have examined thi Type or Print Name of Treasurer	•	st of my knowledg	e and belief it is tru	ue, correct and c	omplete.
.,po or raint Name of Headulet	Ondam O Hodii				
Signature of Treasurer Shawn	ı O'Neail	[Elect	ronically Filed] [Date 09	19 2016
NOTE: Submission of false, errone	ous, or incomplete inform	mation may subject	the person signing t	his Report to the	penalties of 2 U.S.C. §437g.
Office Use					FEC FORM 3X Rev. 12/2004

SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

OF RECEIPTS AND DISBURSEMENTS
Page 2

Write or Type Committee Name

Novartis Corporation Political Action Committee

Report Covering the Period: From: 08 01 2016 To: 08 31 2016

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2016		151151.54
	(b) Cash on Hand at Beginning of Reporting Period	79029.77	
	(c) Total Receipts (from Line 19)	19176.97	142867.46
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	98206.74	294019.00
7.	Total Disbursements (from Line 31)	22.92	195835.18
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	98183.82	98183.82
9.	Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Novartis Corporation Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date				
. Contributions (other than loans) From:	-					
(a) Individuals/Persons Other						
Than Political Committees						
(i) Itemized (use Schedule A)	9974.39	45886.74				
(ii) Unitemized	9202.58	96980.72				
(iii) TOTAL (add	40470.07	142867.46				
Lines 11(a)(i) and (ii)▶	19176.97	142007.40				
(b) Political Party Committees	0.00	0.00				
(c) Other Political Committees						
(such as PACs)	0.00	0.00				
(d) Total Contributions (add Lines						
11(a)(iii), (b), and (c)) (Carry						
Totals to Line 33, page 5)▶	19176.97	142867.46				
. Transfers From Affiliated/Other						
Party Committees	0.00	0.00				
	0.00	0.00				
3. All Loans Received	0.00	0.00				
Law Barrens Barrian	0.00	0.00				
Loan Repayments Received	0.00	0.00				
6. Offsets To Operating Expenditures						
(Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00				
i. Refunds of Contributions Made	7	0.00				
to Federal Candidates and Other						
Political Committees	0.00	0.00				
Other Federal Receipts	7	7				
(Dividends, Interest, etc.)	0.00	0.00				
s. Transfers from Non-Federal and Levin Funds	3.00	7				
(a) Non-Federal Account						
(from Schedule H3)	0.00	0.00				
_						
(b) Levin Funds (from Schedule H5)	0.00	0.00				
(1)						
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00				
(c) Total Transfers (add 18(a) and 18(b)) 7. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	0.00	142				
. Total Federal Receipts						
(subtract Line 18(c) from Line 19)▶	19176.97	142867.46				

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

	II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date			
21. C	Operating Expenditures: a) Allocated Federal/Non-Federal		Jaionau Tour-to-Date			
	Activity (from Schedule H4) (i) Federal Share	0.00	0.00			
	(i) Federal Share					
	(ii) Non-Federal Share	0.00	0.00			
(1	b) Other Federal Operating					
,	Expenditures	22.92	335.18			
((c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	22.92	335.18			
2. T	ransfers to Affiliated/Other Party	LELOL	3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3			
	Committees	0.00	0.00			
F	Contributions to Federal Candidates/Committees and Other Political Committees	0.00	192500.00			
4. Ir	ndependent Expenditures					
5 (I	use Schedule E) Coordinated Party Expenditures	0.00	0.00			
J. (2 U.S.C. §441a(d)) use Schedule F)	0.00	0.00			
(1	use Scriedule F)	7	0.00			
6. L	oan Repayments Made	0.00	0.00			
	oans Made	0.00	0.00			
	Refunds of Contributions To: a) Individuals/Persons Other	200	0.00			
	Than Political Committees	0.00	0.00			
(1	b) Political Party Committees	0.00	0.00			
` `	c) Other Political Committees					
	(such as PACs)	0.00	0.00			
/	d) Total Contribution Defineds					
((d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00			
	(444 11100 20(4), (6), 4114 (6))					
9. C	Other Disbursements	0.00	3000.00			
	_					
	Federal Election Activity (2 U.S.C. §431(20))					
(8	a) Allocated Federal Election Activity					
	(from Schedule H6) (i) Federal Share	0.00	0.00			
	() - 525121 211215					
	(ii) "Levin" Share	0.00	0.00			
(1	b) Federal Election Activity Paid Entirely	222	200			
,	With Federal Funds	0.00	0.00			
((c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00			
	Eines 30(a)(i), 30(a)(ii) and 30(b))▶		0.00			
l. T	otal Disbursements (add Lines 21(c), 22,					
	3, 24, 25, 26, 27, 28(d), 29 and 30(c))	22.92	195835.18			
	_	7	7			
	otal Federal Disbursements					
	subtract Line 21(a)(ii) and Line 30(a)(ii)	22.02				
TT	rom Line 31)	22.92	195835.18			

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	· · · · · · · · · · · · · · · · · · ·			
3. Total Contributions (other than loans) (from Line 11(d), page 3)	19176.97	142867.46		
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00		
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	19176.97	142867.46		
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	22.92	335.18		
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00		
3. Net Operating Expenditures (subtract Line 37 from Line 36)	22.92	335.18		

FOR LINE NUMBER: PAGE 6 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Heather R Anderson Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1611021 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer Occupation Pharma Sr. Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) B. Andrew Arline Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610838 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Memo Item Name of Employer Occupation Pharma Sr. Cardiovascular ABL Detroit MI area Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 235.45 Full Name (Last, First, Middle Initial) c. Michael Banko Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610718 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 46.16 federal political committee. Memo Item Name of Employer Occupation **NBS** AD IT Service Management Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify) 103.86 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Susana V Barkhausen Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610591 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer Occupation Sr Cardiovascular Area Business Leader Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) B. Dimitrios Barlos Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1611078 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 15.00 federal political committee. Memo Item Name of Employer Occupation Novartis Pharmaceuticals Oncology Sales Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 240,00 Full Name (Last, First, Middle Initial) c. Allison Barnett Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1611130 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 42.00 federal political committee. Memo Item Name of Employer Occupation Pharma AD State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 357.00 Other (specify) 87.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

TEMELE RECEIL TO		Detailed Summary Page	×	X 11a 11b 11c 12 13 14 15 16					17					
Any information copied from such Reports and State or for commercial purposes, other than using the								oliciting	contribu	tions				
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee												
Full Name (Last, First, Middle Initial) A. Michael C Barninger				Date of	Re	ecei	ipt							
Mailing Address One Health Plaza				08 31 2016										
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1610842 Amount of Each Receipt this Period											
FEC ID number of contributing federal political committee.	C			mount	OT	Ea	ich Re	ceipt th	34.					
Name of Employer	Occupation		- i	Memo Item										
Oncology Receipt For:	Director Str	ategy & Operations												
Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 289.00												
Full Name (Last, First, Middle Initial) 3. Neilda A Baron				Date of	Re	ecei	ipt							
Mailing Address One Health Plaza				08 31 2016										
City East Hanover	State NJ	Zip Code 07936		Transaction ID : A2016-1611006 Amount of Each Receipt this Period										
FEC ID number of contributing federal political committee.	С				_	7		-1	100.	00				
Name of Employer Oncology	Occupation Ex Dir Medi	cal Services	_ I	Mer	no l	lten	n							
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 850.00												
Full Name (Last, First, Middle Initial) C. Tracy L Baroni Allmon				Date of	Re	ecei	ipt							
Mailing Address One Health Plaza				M = M 08	′		31	/ Y	2016	Y				
City East Hanover	State NJ	Zip Code 07936	A						611012 is Period					
FEC ID number of contributing federal political committee.	С					7		,	120.	00				
Name of Employer	Occupation		- [Mer	mo l	lten	n							
Oncology	Exec Direct	or Health Policy												
Receipt For: Primary General	Aggregate	Year-to-Date ▼												
Other (specify) ▼		1020.00												
SUBTOTAL of Receipts This Page (optional)					-	7		,	254.	00				
TOTAL This Period (last page this line number o	nly)		. [7		-,						

FOR LINE NUMBER: PAGE 9 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael J Billings Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610844 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer Occupation Dir Heart Failure Comms Lead/BU Liaiso Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) Full Name (Last, First, Middle Initial) B. Alison Bonebrake Date of Receipt Mailing Address 608 Fifth Avenue 80 31 2016 City State Zip Code Transaction ID: A2016-1610394 NY New York 10020 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer Occupation Sandoz Inc. DirectorSandoz Health Policy Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 330,00 Full Name (Last, First, Middle Initial) **c.** Troy L Borill Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610804 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 40.28 federal political committee. Memo Item Name of Employer Occupation Oncology Sr. Oncology Specialist Receipt For: Aggregate Year-to-Date ▼ Primary General 341.00 Other (specify) 130.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 10 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Michael D Brooks Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610594 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 40.00 federal political committee. Memo Item Name of Employer Occupation Sr Oncol Area Sales Mgr Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 340.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas R Brunner Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610851 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 70.00 federal political committee. Memo Item Name of Employer Occupation Pharma IT Expert 1 Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 595.00 Full Name (Last, First, Middle Initial) c. Richard Burns Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610493 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 27.70 federal political committee. Memo Item Name of Employer Occupation Pharma Dir Sales Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) 137.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	LINE	NU	MBER	PAGE	•	11 O	F	49	
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

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Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

200.00

FOR LINE NUMBER: PAGE 12 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610606 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Memo Item Name of Employer Occupation Head of Fed Government Affairs **US Novartis Services Inc** Receipt For: Aggregate Year-to-Date ▼ Primary General 2353.82 Other (specify) Full Name (Last, First, Middle Initial) B. Julie A Cave Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610922 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 260.00 federal political committee. Memo Item Name of Employer Occupation Pharma Natl Acct MSL Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260,00 Full Name (Last, First, Middle Initial) c. Barbara Christensen-Boner Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610510 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 61.36 federal political committee. Memo Item Name of Employer Occupation Director State&External Affrs Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 516.82 Other (specify) 598.28 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 13 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

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FOR LINE NUMBER: PAGE 14 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

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FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Statemer or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary Other (specify) Other (specify) Other (specify) Name of contributing federal of the primary of the pri	ate Zip Code J 07936 upation State & External Affairs regate Year-to-Date ▼ 360.00	Date of Receipt 08 31 2016 Transaction ID: A2016-1611135 Amount of Each Receipt this Period 60.00 Memo Item
Cambridge FEC ID number of contributing federal political committee. Name of Employer Sandoz Inc. Executed Services Sandoz Inc.	ate Zip Code A 02139 upation cutive Director Oncology Injectable regate Year-to-Date ▼ 784.55	Date of Receipt M M M / 2016 Transaction ID : A2016-1610387 Amount of Each Receipt this Period 92.30 Memo Item
East Hanover FEC ID number of contributing federal political committee. Name of Employer Occ Oncology Hea	ate Zip Code J 07936 upation d Regulatory C&G TU regate Year-to-Date ▼ 340.00	Date of Receipt 108 31 2016 Transaction ID: A2016-1610982 Amount of Each Receipt this Period 40.00 Memo Item
SUBTOTAL of Receipts This Page (optional)	·····	192.30
TOTAL This Period (last page this line number only)		

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Stat or for commercial purposes, other than using the name of the commercial purposes.		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Active	ion Committee	
Oncology Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation MSL Director Aggregate Year-to-Date ▼ 340.00	Date of Receipt 08 31 2016 Transaction ID : A2016-1611059 Amount of Each Receipt this Period 40.00 Memo Item
Pharma Special For:	State Zip Code NJ 07936 C Occupation Senior Cardiovascular Sales Specialist Aggregate Year-to-Date ▼ 235.45	Date of Receipt 08 31 2016 Transaction ID : A2016-1610622 Amount of Each Receipt this Period 27.70 Memo Item
Alcon I	State Zip Code NJ 07936 C Occupation Head of Professional Affairs Aggregate Year-to-Date ▼ 261.46	Date of Receipt 08 31 2016 Transaction ID : A2016-1610271 Amount of Each Receipt this Period 30.76 Memo Item
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number on	·	98.46

FOR LINE NUMBER: PAGE 17 OF Use separate schedule(s) for each category of the (check only one)

TEMIZED RECEIPTS		Detailed Summary Page	1 -	11a 13		11b 14	11c	12		17			
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NAME OF COMMITTEE (In Full) Novartis Corporation Political	Action Con	nmittee											
Full Name (Last, First, Middle Initial) A. Michael R Emch			D	ate of	Re	ceipt							
Mailing Address One Health Plaza				м = м	/	31	D /	2016		1			
City	State	Zip Code	Transaction ID : A2016-1610478										
East Hanover	NJ	07936	Ar	mount	of	Each F	Receipt t	this Perio	od				
FEC ID number of contributing federal political committee.	С					7		2	8.08				
Name of Employer	Occupation		_ L	Mer	no I	tem							
Oncology	Sr Oncol A	ea Sales Manager											
Receipt For:	Aggregate	Year-to-Date ▼											
Primary General Other (specify) ▼		238.68											
Full Name (Last, First, Middle Initial) 3. David R Epstein			D	ate of	Re	ceipt							
Mailing Address One Health Plaza				м = м	/	31		2016	- Y				
City	State	Zip Code		Transa	acti	on ID :	A2016-	1610694					
East Hanover	NJ	07936	Ar	mount	of	Each F	Receipt t	this Perio	od				
FEC ID number of contributing federal political committee.	C			100.0									
Name of Employer	Occupation			Mer	mo l	tem							
NBS	Head Pharr	na AG											
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 800.00]										
Full Name (Last, First, Middle Initial) C. Michael E Fairchild			D	ate of	Re	ceipt							
Mailing Address One Health Plaza				M M M	/	31		2016					
City East Hanover	State NJ	Zip Code 07936						-1610282 this Perio					
FEC ID number of contributing federal political committee.	С			_	_		1		0.76				
Name of Employer	Occupation		_ L	Mer	mo l	tem							
Alcon	Head IRIS	Bus Process Transformation											
Receipt For:	Aggregate	Year-to-Date ▼											
Primary General Other (specify) ▼	33 0	261.46]										
SUBTOTAL of Receipts This Page (optional)))				7		15	8.84				
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\	of COMMITTEE (In Full) rtis Corporation Political Ac	tion Con	nmittee											
	ne (Last, First, Middle Initial) Farber			D	ate of	Re	ceip	ot						
Mailing A	Address One Health Plaza			08 31 2016										
City		State	Zip Code	Transaction ID : A2016-1611104										
East Ha	nover	NJ	07936	A	mount	of	Eac	h Re	ceipt th	is Peri	bd			
	number of contributing political committee.	С			-	_	7		-,	10	0.00			
Name of	Employer	Occupation		- L	Mer	mo l	tem							
US Nova	artis Services Inc	Exec Dir Fe	d Govt Affairs											
Receipt		Aggregate	Year-to-Date ▼											
	imary General her (specify) ▼		850.00											
	ne (Last, First, Middle Initial) as S Fellers			D	ate of	Re	ceip	ot						
Mailing A	Address One Health Plaza				М = М	/	D	31	/ Y	2016	Y			
City		State	Zip Code		Trans	acti	on I	D : A	2016-1	61074 <u>5</u>				
East Ha	nover	NJ	07936	A	mount	of	Eac	h Re	ceipt th	is Peri	bc			
	number of contributing political committee.	С			100.00									
Name of	Employer	Occupation		 լ	Memo Item									
Pharma		Medical Acc	ount Management & FME											
	For: imary	Aggregate	Year-to-Date ▼ 850.00											
	ne (Last, First, Middle Initial) S M Felser			D	ate of	Re	ceip	ot						
	Address One Health Plaza				M M M	′	D	31	/ Y	2016	Y			
City East Ha	nover	State NJ	Zip Code 07936						2016-1 ceipt th					
	number of contributing political committee.	С				_	7		- 7	78	0.00			
Name of	Employer	Occupation		□ □	Mer	mo l	ltem							
Pharma		Medical Dire	ector											
	For: imary General her (specify) ▼	Aggregate	Year-to-Date ▼ 780.00											
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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
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SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

183.06

FOR LINE NUMBER: PAGE 20 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

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TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

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Full Name (Last, First, Middle Initial) Kurt Habel		Date of Receipt
Mailing Address One Health Plaza		08 31 _2016 _
City	State Zip Code	Transaction ID : A2016-1610671
East Hanover	NJ 07936	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	46.16
Name of Employer	Occupation	Memo Item
NBS	Asc Dir Incentive Modeling/Des	
Receipt For:	Aggregate Year-to-Date ▼	7
Primary General Other (specify) ▼	392.36	

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146.16

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Charles L Haberthur Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610544 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer Occupation Cardiovascular Sales Specialist Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 212.50 Other (specify) Full Name (Last, First, Middle Initial) B. James P Hafner Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1611036 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 27.70 federal political committee. Memo Item Name of Employer Occupation Pharma Regional Marketer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 235.45 Full Name (Last, First, Middle Initial) c. Paul R Hallen Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610272 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 30.76 federal political committee. Memo Item Name of Employer Occupation Alcon Dir Global Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) 83.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 24 OF Use separate schedule(s) (check only one)

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or for commercial purposes, other than usi	and Statements may not be sold or used by any ing the name and address of any political committed.	person for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	eal Action Committee	
Full Name (Last, First, Middle Initial) Sarah E Haller Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer US Novartis Services Inc Receipt For: Primary Other (specify)	State Zip Code NJ 07936 C Occupation VP Intl Public Affairs Aggregate Year-to-Date ▼ 1309.00	Date of Receipt M M J 2016 Transaction ID: A2016-1610634 Amount of Each Receipt this Period 154.00 Memo Item
Full Name (Last, First, Middle Initial) Kathy-Jo B Hayden Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation Director Public Health Policy Aggregate Year-to-Date ▼ 595.00	Date of Receipt 08 31 2016 Transaction ID : A2016-1610650 Amount of Each Receipt this Period 70.00 Memo Item
Full Name (Last, First, Middle Initial) Robert J Hilkert Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Global Program Medical Director Aggregate Year-to-Date ▼ 392.36	Date of Receipt 08 31 2016 Transaction ID: A2016-1610971 Amount of Each Receipt this Period 46.16 Memo Item
SUBTOTAL of Receipts This Page (option	nal)	270.16
TOTAL This Period (last page this line nu	ımber only)	•

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TEMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a
			erson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Polit	ical Action Con	nmittee	
Full Name (Last, First, Middle Initial) Gene M Hughes			Date of Receipt
Mailing Address One Health Plaza City	State	Zip Code	08 31 2016 Transaction ID : A2016-1610786
East Hanover FEC ID number of contributing federal political committee.	C	07936	Amount of Each Receipt this Period 24.00
Name of Employer Oncology Receipt For: Primary General Other (specify) ▼		Al Account Manager Year-to-Date ▼ 204.00	Memo Item
Full Name (Last, First, Middle Initial) Melody Hughson Mailing Address One Health Plaza			Date of Receipt
City East Hanover FEC ID number of contributing	State NJ	Zip Code 07936	08 31 2016 Transaction ID : A2016-1611037 Amount of Each Receipt this Period
Receipt For:	Occupation Ex Director	Public Policy Year-to-Date ▼	Memo Item
Primary General Other (specify) ▼		, 850.00	
Full Name (Last, First, Middle Initial) Edgar L Jarvis Mailing Address One Health Plaza			Date of Receipt 08 31 2016
City East Hanover	State NJ	Zip Code 07936	Transaction ID : A2016-1610551 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C		32.30
Name of Employer Pharma Receipt For: Primary General Other (specify) ▼		ascular Area Business Leader Year-to-Date ▼ 274.55	Memo Item
SUBTOTAL of Receipts This Page (opt	ional)		156.30
TOTAL This Period (last page this line	number only)		

FOR LINE NUMBER: PAGE 27 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Tawfik Kamal Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610700 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 50.00 federal political committee. Memo Item Name of Employer Occupation IACH-Capability Bldg Academy Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) Full Name (Last, First, Middle Initial) B. Sarah G Kan Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1611086 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 154.00 federal political committee. Memo Item Name of Employer Occupation Pharma Asc Dir State & Ext Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1001.00 Full Name (Last, First, Middle Initial) c. Erik L Karlsons Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610880 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 27.70 federal political committee. Memo Item Name of Employer Occupation MS Sr Area Business Leader Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) 231.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 28 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Statem or for commercial purposes, other than using the name		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Corporate Receipt For: Primary Other (specify) Other (specify)	State Zip Code NJ 07936 cupation esident Novartis Corp & US Cntry Pre gregate Year-to-Date 784.55	Date of Receipt M M M / D J / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover FEC ID number of contributing federal political committee. Name of Employer Oncology ED	State Zip Code NJ 07936 cupation Oncology Sales gregate Year-to-Date ▼ 238.68	Date of Receipt M M M J 2016 Transaction ID: A2016-1610881 Amount of Each Receipt this Period 28.08 Memo Item
East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Property For:	State Zip Code NJ 07936 Cupation Dat_CH_Head Regulatory GDD gregate Year-to-Date ▼ 369.20	Date of Receipt Mos 31 2016 Transaction ID: A2016-1611017 Amount of Each Receipt this Period 46.15 Memo Item
SUBTOTAL of Receipts This Page (optional)	·····	166.53
TOTAL This Period (last page this line number only).		

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T	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a
	y information copied from such Reports and Sta for commercial purposes, other than using the			
$\overline{\rangle}$	NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Con	nmittee	
Α.	Full Name (Last, First, Middle Initial) Kathleen M Kulesher Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary Other (specify) General		Zip Code 07936 State and External Affairs Year-to-Date ▼	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
3.	Full Name (Last, First, Middle Initial) Keith A LaDue Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NBS Receipt For: Primary General Other (specify)	State NJ C Occupation VP IT Divisi Aggregate		Date of Receipt M M J 2016 Transaction ID: A2016-1610664 Amount of Each Receipt this Period 46.16 Memo Item
C.	Full Name (Last, First, Middle Initial) Leigh Anne A Leas Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary General Other (specify)	State NJ C Occupation VP Health F Aggregate		Date of Receipt M M J 2016 Transaction ID: A2016-1610933 Amount of Each Receipt this Period 154.00 Memo Item
S	UBTOTAL of Receipts This Page (optional)		·····	250.16
Т	OTAL This Period (last page this line number of	nly)		

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TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Sta or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	tion Committee	
Full Name (Last, First, Middle Initial) David Lennon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Oncology Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Oncology Business Franchise Head Aggregate Year-to-Date ▼ 1300.00	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Richard Lloyd Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Oncology Receipt For: Primary General Other (specify) Other (specify)	State Zip Code NJ 07936 C Occupation Head US Oncology Mgd Markets & Mkt Acc Aggregate Year-to-Date ▼ 784.55	Date of Receipt 08 31 2016 Transaction ID: A2016-1611000 Amount of Each Receipt this Period 92.30 Memo Item
Full Name (Last, First, Middle Initial) Jeffrey W Lockwood Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NIBR Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Head NIBR Communications Aggregate Year-to-Date ▼ 392.36	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional)		338.46
TOTAL This Period (last page this line number of	nly)	

FOR LINE NUMBER: PAGE 31 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Konstantine G Lolos Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610724 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Memo Item Name of Employer Occupation Sr Oncol Area Sales Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) B. Christopher K Long Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610355 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 260.00 federal political committee. Memo Item Name of Employer Occupation Alcon Scientist II Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 260,00 Full Name (Last, First, Middle Initial) c. Frank Manolios Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610616 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 30.00 federal political committee. Memo Item Name of Employer Occupation Oncology **Oncology Specialist** Receipt For: Aggregate Year-to-Date ▼ Primary General 255.00 Other (specify) 318.08 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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	EMIZED RECEIPTS	for each category of the Detailed Summary Page	11a 11b 11c 12 13 14 15 16 17
	y information copied from such Reports and Stat for commercial purposes, other than using the na		person for the purpose of soliciting contributions
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Acti	on Committee	
۹.	US Novartis Services Inc	State Zip Code NY 10020 C Description Manager PAC Aggregate Year-to-Date 260.00	Date of Receipt M M J 2016 Transaction ID: A2016-1611137 Amount of Each Receipt this Period 40.00 Memo Item
3.	Oncology S	State Zip Code NJ 07936 C C Cccupation Sr Oncol Area Sales Manager Aggregate Year-to-Date ▼ 394.86	Date of Receipt 08 31 2016 Transaction ID : A2016-1610640 Amount of Each Receipt this Period 47.06 Memo Item
- 3.	Pharma Receipt For:	State Zip Code NJ 07936 C Description Junior Global Regulatory Manager Aggregate Year-to-Date ▼ 255.00	Date of Receipt M
s	UBTOTAL of Receipts This Page (optional)		117.06
Т	OTAL This Period (last page this line number onl	у)	·

FOR LINE NUMBER: PAGE 33 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Catharine M McBride Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610590 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 100.00 federal political committee. Memo Item Name of Employer Occupation AD State & External Affairs Pharma Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward D McGough Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610277 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Memo Item Name of Employer Occupation Alcon SVP Global Mfg & Tech Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1961.46 Full Name (Last, First, Middle Initial) c. Edward J McKenna Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610960 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 27.70 federal political committee. Memo Item Name of Employer Occupation Oncology Sr. Regional Account Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 235.45 Other (specify) 358.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 34 OF Use separate schedule(s) (check only one)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions of for commental purposes, other than using the name and address of any person for the purpose of soliciting contributions from such committee. NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Agary J Monichini Mailing Address One Health Plaza City State Zip Code East Hanover NJ 07936 FEC ID number of contributing CC Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ FEC ID number of contributing federal political committee. City State Zip Code East Hanover NJ 07936 FEC ID number of contributing federal political committee. Cocupation Aggregate Year-to-Date ▼ Aggregate Year-to-Date ▼ Date of Receipt Transaction ID : A2016-1510250 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Cocupation Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Transaction ID : A2016-1610257 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ State Zip Code NJ 07936 Date of Receipt Transaction ID : A2016-1610257 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Name of Employer Alcon Screen Ferrillooper Aggregate Year-to-Date ▼ NJ 07936 Date of Receipt Transaction ID : A2016-1610257 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Aggregate Year-to-Date ▼ NJ 07936 Amount of Each Receipt Transaction ID : A	[] 	EMIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a
Novartis Corporation Political Action Committee					
A. Gary J Menichini Mailing Address One Health Plaza City East Hanover ED In number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary General Other (specify) Y East Hanover NJ 07936 City State Zip Code NJ 07936 Amount of Each Receipt this Period \$50.00 Memo Item Memo Item Date of Receipt	\rangle	, ,	tion Con	nmittee	
Agregate Year-to-Date ▼ Date of Receipt		Gary J Menichini Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary General Other (specify)	Occupation VP/GM U.S	07936 . Pharmaceuticals Year-to-Date ▼	08 31 2016 Transaction ID : A2016-1610244 Amount of Each Receipt this Period 50.00
Alexa M Montesinos Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary Other (specify) ▼ Subtotal of Receipts This Page (optional). Date of Receipt M M M 0 31 2016 Transaction ID: A2016-1610357 Amount of Each Receipt this Period 260.00 Memo Item 360.00		Susan J Millard Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary General	NJ C Occupation Head HR Al	07936 con R&D Year-to-Date ▼	08 31 2016 Transaction ID: A2016-1610250 Amount of Each Receipt this Period 50.00
SUBTUTAL OF Receipts This Page (optional)		Alexa M Montesinos Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary General	NJ C Occupation Sr Medical	07936 Sales Consultant Year-to-Date ▼	08 31 2016 Transaction ID : A2016-1610357 Amount of Each Receipt this Period 260.00
		UBTOTAL of Receipts This Page (optional)		>	360.00

	FC	R	LINE	NU	MBER	:	PAGE	: 3	35 C)F	49
Use separate schedule(s)	(ch	ne	ck only	or	ne)						
for each category of the Detailed Summary Page	[×	11a		11b		11c		12		
,g.			13		14		15		16		17

	Statements may not be sold or used by any pers see name and address of any political committee to					
NAME OF COMMITTEE (In Full)	.,					
Novartis Corporation Political A	Action Committee					
Full Name (Last, First, Middle Initial) Stacey L Moore Mailing Address One Health Plaza		Date of Receipt				
		08 31 2016				
City Fact Hangyor	State Zip Code NJ 07936	Transaction ID : A2016-1610576				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	37.34				
Name of Employer	Occupation	Memo Item				
Pharma	Resp Integrated Account Spec					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	315.69					
Full Name (Last, First, Middle Initial) 3. Donna H Myrie		Date of Receipt				
Mailing Address One Health Plaza		08 31 2016				
City	State Zip Code	Transaction ID : A2016-1610661				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.	C	50.00				
Name of Employer	Occupation	Memo Item				
Pharma	Associate Dir Strategic Alliance Mgmt					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	425.00					
Full Name (Last, First, Middle Initial) C. Thomas B Neumeyer		Date of Receipt				
Mailing Address One Health Plaza		08 31 2016				
City	State Zip Code	Transaction ID : A2016-1610388				
East Hanover	NJ 07936	Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.						
Name of Employer	Occupation	Memo Item				
US Sandoz Inc	Associate II IPQA					
Receipt For:	Aggregate Year-to-Date ▼					
Primary General Other (specify) ▼	204.00					
SUBTOTAL of Receipts This Page (optional)		111.34				
TOTAL This Period (last nage this line number	<u> </u>					

FOR LINE NUMBER: PAGE 36 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
	nd Statements may not be sold or used by any pe the name and address of any political committee	erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Novartis Corporation Politica	I Action Committee	
Full Name (Last, First, Middle Initial) James R Niebanck Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer US Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Director Ethics and Compliance Aggregate Year-to-Date ▼ 224.64	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Full Name (Last, First, Middle Initial) Shawn O'Neail Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer US Novartis Services Inc Receipt For: Primary General Other (specify)	State Zip Code NY 10020 C Occupation Ex Dir Legislative Affairs Aggregate Year-to-Date ▼	Date of Receipt M M M J 2016 Transaction ID: A2016-1611028 Amount of Each Receipt this Period 156.00 Memo Item
Full Name (Last, First, Middle Initial) Sharon N Olmstead Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer US Novartis Pharmaceuticals Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation VP Global Head Regulatory Policy & Int Aggregate Year-to-Date ▼ 392.36	Date of Receipt Mark 2016 2016 Transaction ID : A2016-1611041 Amount of Each Receipt this Period 46.16 Memo Item
SUBTOTAL of Receipts This Page (optional)	216.20
TOTAL This Period (last page this line num	ber only)	

FOR LINE NUMBER: PAGE 37 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam		
NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	n Committee	
East Hanover FEC ID number of contributing federal political committee. Name of Employer Corporate Receipt For: Primary Other (specify) ▼ Contributing federal Occupations Occupations Age Contributing federal Occupations Occup	tate Zip Code NJ 07936 cupation e President & Treasurer gregate Year-to-Date ▼ 392.36	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Ex I	tate Zip Code IJ 07936 cupation Dir Healthcare Contract Adm gregate Year-to-Date ▼ 392,36	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Reg	tate Zip Code NJ 07936 cupation gional Dir Acct Management gregate Year-to-Date ▼ 235.45	Date of Receipt M M M / 2016 Transaction ID : A2016-1610748 Amount of Each Receipt this Period 27.70 Memo Item
SUBTOTAL of Receipts This Page (optional)	·····	120.02
TOTAL This Period (last page this line number only).		

FOR LINE NUMBER: PAGE 38 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Efthimios Petroutsas Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610954 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer Occupation **Executive Director Leukemia** Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 212.50 Other (specify) Full Name (Last, First, Middle Initial) B. Candice C Phipps Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1611098 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 220.00 federal political committee. Memo Item Name of Employer Occupation US Novartis Services Inc Director Federal Government Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1830.00 Full Name (Last, First, Middle Initial) c. Lisa M Praeger Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1610273 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 30.76 federal political committee. Memo Item Name of Employer Occupation VP Head US Sales Marketing Ops Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 261.46 Other (specify) 275.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 39 OF 49 Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 12 11c Detailed Summary Page 13 14 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Elizabeth Renz Date of Receipt Mailing Address One Health Plaza 2016 31 City Zip Code State Transaction ID: A2016-1610391 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 60.00 federal political committee. Memo Item Name of Employer Occupation Sandoz Inc. Director Communications for Biopharma Receipt For: Aggregate Year-to-Date ▼ Primary General 510.00 Other (specify) Full Name (Last, First, Middle Initial) B. Edward C Richards Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610323 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.76 federal political committee. Memo Item Name of Employer Occupation Alcon VP General Mgr Ops Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 261,46 Full Name (Last, First, Middle Initial) c. Renee C Rodgers Date of Receipt Mailing Address One Health Plaza 80 31 2016 City Zip Code State Transaction ID: A2016-1611043 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 60.00 federal political committee. Memo Item Name of Employer Occupation Head Digital Strategy And Svc Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 510.00 Other (specify) 150.76 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 40 OF Use separate schedule(s) (check only one)

1 EN	MIZED RECEIPTS		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for	commercial purposes, other than using the			erson for the purpose of soliciting contributions to solicit contributions from such committee.
\	ME OF COMMITTEE (In Full) ovartis Corporation Political Ac	tion Con	nmittee	
A. Ja Maa Cit Ea FE fee Na Sa	Il Name (Last, First, Middle Initial) ason T Russell ailing Address One Health Plaza By ast Hanover C ID number of contributing deral political committee. Imme of Employer Indoz Inc. I		Zip Code 07936 lational Accts Spec Pharm Year-to-Date ▼ 578.68	Date of Receipt M
A Ma	Il Name (Last, First, Middle Initial) Ian D Ryan Address One Health Plaza By Sest Hanover CC ID number of contributing deral political committee. Imme of Employer andoz Inc. Beceipt For: Primary General Other (specify) Other (specify)	State NJ C Occupation Dir. US Adv Aggregate		Date of Receipt M M M / D J / Y J Y J Y J Y J Y J Y J Y J Y J Y J
Cit Ear FE fee Na	Il Name (Last, First, Middle Initial) Adwoa A Sanderson alling Address One Health Plaza By ast Hanover IC ID number of contributing deral political committee. Imme of Employer In arma I		Zip Code 07936 ocacy & Access Year-to-Date ▼ 425.00	Date of Receipt M M M / D D / Y 2016 Transaction ID : A2016-1611093 Amount of Each Receipt this Period 50.00 Memo Item
SUB	TOTAL of Receipts This Page (optional)			158.08
тот	AL This Period (last page this line number o	only)		

FOR LINE NUMBER: PAGE 41 OF Use separate schedule(s) (check only one)

11	EMIZED RECEIPTS	for each category of Detailed Summary P	
	for commercial purposes, other than using the na		by any person for the purpose of soliciting contributions committee to solicit contributions from such committee.
\rangle	NAME OF COMMITTEE (In Full) Novartis Corporation Political Action	on Committee	
۹.	Name of Employer C Alcon H	State Zip Code NJ 07936 C ccupation ead Global Quality Assurance ggregate Year-to-Date ▼	Date of Receipt 08 31 2016 Transaction ID : A2016-1610319 Amount of Each Receipt this Period 30.76 Memo Item
3.	Name of Employer NBS G Receipt For:	State Zip Code NJ 07936 C ccupation ol Head Analytical Science &Techn ggregate Year-to-Date ▼	Date of Receipt 08 31 2016 Transaction ID: A2016-1611103 Amount of Each Receipt this Period 100.00 Memo Item
	Name of Employer C Pharma R	State Zip Code NJ 07936 C ccupation egl Med Lead NS ggregate Year-to-Date ▼	Date of Receipt 08 31 2016 Transaction ID : A2016-1610900 Amount of Each Receipt this Period 30.00 Memo Item
s	UBTOTAL of Receipts This Page (optional)		160.76
т	OTAL This Period (last page this line number only	·)	

FOR LINE NUMBER: PAGE 42 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS	for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than	orts and Statements may not be sold or used by any using the name and address of any political commit	
NAME OF COMMITTEE (In Full) Novartis Corporation Pol	itical Action Committee	
Full Name (Last, First, Middle Initial) Christi L Shaw Mailing Address 608 Fifth Avenue City New York FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary General Other (specify)	State Zip Code NY 10020 C Occupation Executive Aggregate Year-to-Date ▼	Date of Receipt M
Full Name (Last, First, Middle Initial) Serena Silver-Brown Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NIBR Receipt For: Primary General Other (specify)	State Zip Code NJ 07936 C Occupation Senior Investigator I Aggregate Year-to-Date ▼ 390.00	Date of Receipt M
Full Name (Last, First, Middle Initial) Joseph M Simon Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary Other (specify) General	State Zip Code NJ 07936 C Occupation MS Executive Sales Specialist Aggregate Year-to-Date ▼ 313.82	Date of Receipt M M M J D D J J Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (op	otional)	486.92
TOTAL This Period (last page this line	number only)	·

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Any information copied from such Reports and Stor for commercial purposes, other than using the				or the		pos	se of s	oliciting	con	ntributio	ons
NAME OF COMMITTEE (In Full) Novartis Corporation Political Ac	ction Con	nmittee									
Full Name (Last, First, Middle Initial) A. Gregory R Slyfield				Date of	Re	ecei	ipt				
Mailing Address One Health Plaza				M = M	/		31	/ Y)16	
City East Hanover	State NJ	Zip Code 07936						2016-1 ceipt th			
FEC ID number of contributing federal political committee.	С					7		7	_	15.00	
Name of Employer US Novartis Pharmaceuticals Receipt For: Primary General Other (specify) ▼] == 0.000	External Affairs Year-to-Date ▼ 240.00		Mer	mo l	lten	m				
Full Name (Last, First, Middle Initial) William S Spelta Mailing Address One Health Plaza				Date of	Re		ipt	/ Y	- Y	Y	
City East Hanover	State NJ	Zip Code 07936						2016-1 0		195	_
FEC ID number of contributing federal political committee.	С					7		-	_	28.0	3
Name of Employer Oncology Receipt For:		I Account Manager Year-to-Date ▼		Mei	mo I	Iten	n				
Primary General Other (specify) ▼	riggi ogato	238.68									
Full Name (Last, First, Middle Initial) Robert A Spurr			ı	Date of	Re	ecei	ipt				
Mailing Address 608 Fifth Avenue	01515	7'- 0-4-		08 08	/	L	31		_ 20		
City New York	State NY	Zip Code 10020						2016-1 ceipt th			
FEC ID number of contributing federal political committee.	С					7		-	_	200.00	
Name of Employer Pharma	Occupation US Co Hea	d & VP Patient Access & Healt		Mer	mo l	lten	n				
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 1700.00									
SUBTOTAL of Receipts This Page (optional)						7				243.08	3
TOTAL This Period (last page this line number of	only)					-					

FOR LINE NUMBER: PAGE 44 OF Use separate schedule(s) (check only one)

Any information copied from such Reports and Statements may or for commercial purposes, other than using the name and a NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Com Full Name (Last, First, Middle Initial) Donald P Stevens	ddress of any political committee	
Novartis Corporation Political Action Com Full Name (Last, First, Middle Initial)		<u> </u>
	Zip Code	<u> </u>
Receipt For: Primary General Other (specify) ▼ Aggregate	te&External Affrs Year-to-Date ▼ 588.54	Memo Item
Possint For:	Zip Code 07936 onal Strat & Bus Developme Year-to-Date ▼ 235.45	Date of Receipt 08 31 2016 Transaction ID: A2016-1610719 Amount of Each Receipt this Period 27.70 Memo Item
Possint For:	Zip Code 07936 External Affairs Year-to-Date ▼	Date of Receipt 08 31 2016 Transaction ID : A2016-1611004 Amount of Each Receipt this Period 60.00 Memo Item
SUBTOTAL of Receipts This Page (optional)	>	156.94

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I EMIZED RE	CEIP13		for each category of the Detailed Summary Page	X 11a 13	11b	11c 15	12 16	17
or for commercial pu	urposes, other than using th		y not be sold or used by any p ddress of any political committee			soliciting	contribut	ions
NAME OF COMM Novartis Co	ITTEE (In Full) rporation Political A	Action Con	nmittee					
Full Name (Last, Tara H Taylor Mailing Address (City East Hanover FEC ID number of federal political colony Oncology Receipt For: Primary	One Health Plaza of contributing ommittee.	T -	Zip Code 07936 ount Manager Year-to-Date ▼	08 Trans Amoun	f Receipt 31 saction ID: A t of Each Re			Y 00
Full Name (Last, Thomas A Ur Mailing Address (First, Middle Initial)	L	546.00	Date o	f Receipt	/ Y	Y	Y
City East Hanover FEC ID number of federal political co	of contributing	State NJ	Zip Code 07936		31 saction ID : A t of Each Re			66
Name of Employer Pharma Receipt For: Primary Other (spec	General	T .	atorySalesSpecialist Year-to-Date ▼ 276.14	Me	emo Item			
Full Name (Last, Lisa R Utt Mailing Address	First, Middle Initial) One Health Plaza			Date o	f Receipt	/ Y	2046	Y
East Hanover FEC ID number of federal political constraints of Employed Pharma Receipt For: Primary Other (special political constraints)	ommittee.	T	Zip Code 07936 vices Liaison II Year-to-Date ▼	Amoun	saction ID : A			70
SUBTOTAL of Rece	eipts This Page (optional)	1)			,	606.3	86
TOTAL This Period	(last page this line number	r only)			,			

FOR LINE NUMBER: PAGE 46 OF Use separate schedule(s) (check only one)

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions of for commental purposes, other than using the name and address of any political committee or solicit contributions from such committee. NAME OF COMMITTEE (in Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Jornifor Van Meter Mailing Address One Health Plaza City State Primary Pharma Receipt For: Primary General Other (specify) ▼ State Zip Code Last Hanover Aggregate Vear-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Last Hanover Aggregate Vear-to-Date ▼ Date of Receipt Memo Item Date of Receipt Transaction ID: A2016-1611134 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt Transaction ID: A2016-1611105 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt Transaction ID: A2016-1611105 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt Transaction ID: A2016-1611105 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt Transaction ID: A2016-1611105 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt ### Aggregate Vear-to-Date ▼ Date of Receipt Transaction ID: A2016-161105 Amount of Each Receipt this Period ### Aggregate Vear-to-Date ▼ Date of Receipt ### Aggregate Vear-to-Date ▼ Primary General Other (specify) ▼ State Zip Code Aggregate Vear-to-Date ▼ Primary General Other (specify) ▼ Aggregate Vear-to-Date ▼ Primary Code Receipt #### Address One Health Plaza Date of Receipt #### Address One Health Plaza Date of Receipt #### Address One Health Plaza Date of Recei	TEMIZED RECEIPTS	.	for each category of the Detailed Summary Page	X 11a
Novartis Corporation Political Action Committee				
A. Jennifer Van Meter Mailing Address One Health Plaza City State Zip Code Bast Hanover FEC ID number of contributing federal political committee. City Pharma Receipt For: Pharmay General Other (spectly) ▼ State Zip Code NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Date of Receipt Mailing Address One Health Plaza City State Zip Code NJ 07936 FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing federal political committee. City State Zip Code Primary General Other (spectly) ▼ Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Full Name (Last, First, Middle Initial) City State Zip Code NJ 07936 Amount of Each Receipt this Period First Name of Employer Oncology Head Global Strat Capabilities Oncolog Receipt For: Primary General Other (spectly) ▼ Aggregate Year-to-Date ▼ Date of Receipt Amount of Each Receipt this Period Full Name (Last, First, Middle Initial) Amount of Each Receipt this Period Full N		,	nmittee	
Aggregate Year-to-Date ▼ Date of Receipt	A. Jennifer Van Meter Mailing Address One Health City East Hanover FEC ID number of contribut federal political committee. Name of Employer Pharma Receipt For: Primary Gen	State NJ ing C Occupation Director Qu Aggregate	07936 ality Ext Affairs Year-to-Date ▼	08 31 2016 Transaction ID : A2016-1611134 Amount of Each Receipt this Period 60.00
Edward S Vander Veen Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Oncology Receipt For: Primary Other (specify) ▼ Substot A State Zip Code NJ 07936 Transaction ID: A2016-1610915 Amount of Each Receipt this Period Memo Item Memo Item Substot A State Zip Code Transaction ID: A2016-1610915 Amount of Each Receipt this Period Memo Item Memo Item 244.00	Address One Health City East Hanover FEC ID number of contribut federal political committee. Name of Employer US Novartis Services Inc Receipt For: Primary Gen	Plaza State NJ ing C Occupation Exec Dir Fe Aggregate	07936 d Govt Affairs Year-to-Date ▼	08 31 2016 Transaction ID : A2016-1611105 Amount of Each Receipt this Period
SUBTUTAL OF Receipts This Page (optional)	C. Edward S Vander V Mailing Address One Health City East Hanover FEC ID number of contribut federal political committee. Name of Employer Oncology Receipt For: Primary Gen	State NJ ing C Occupation Head Globa Aggregate	07936 al Strat Capabilities Oncolog Year-to-Date ▼	08 31 2016 Transaction ID : A2016-1610915 Amount of Each Receipt this Period 30.00
TOTAL This Period (last page this line number only)	· · · · · · · · · · · · · · · · · · ·			244.00

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for each category of the Detailed Summary Page	×	11a		11b		11c		12		
,,g.		13		14		15		16	17	

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) William W Voegtli Date of Receipt Mailing Address One Health Plaza 2016 31 City State Zip Code Transaction ID: A2016-1610521 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 28.08 federal political committee. Memo Item Name of Employer Occupation Senior Reimbursement Manager Oncology Receipt For: Aggregate Year-to-Date ▼ Primary General 238.68 Other (specify) Full Name (Last, First, Middle Initial) B. Christen L Volk Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1611067 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 30.00 federal political committee. Memo Item Name of Employer Occupation Oncology Sr. AD-National Accounts Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 255.00 Full Name (Last, First, Middle Initial) c. Robert K Warner Date of Receipt Mailing Address One Health Plaza 80 31 2016 City State Zip Code Transaction ID: A2016-1610281 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing С 46.16 federal political committee. Memo Item Name of Employer Occupation Global Franchise Head Vision Care Alcon Receipt For: Aggregate Year-to-Date ▼ Primary General 392.36 Other (specify)

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

104.24

FOR LINE NUMBER: PAGE 48 OF Use separate schedule(s) (check only one)

TEMIZED RECEIPTS		each category of the ailed Summary Page	X 11a 11b 11c 12 13 14 15 16 17
or for commercial purposes, other than usi			person for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Novartis Corporation Politic	al Action Committe	ee	
Full Name (Last, First, Middle Initial) Stephanie B Waugh Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Alcon Receipt For: Primary General Other (specify)		•	Date of Receipt 08 31 2016 Transaction ID: A2016-1610352 Amount of Each Receipt this Period 30.00 Memo Item
Full Name (Last, First, Middle Initial) B. Erik A Wilkinson Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer Pharma Receipt For: Primary General Other (specify) Other (specify)			Date of Receipt M
Full Name (Last, First, Middle Initial) Stephen A Woolford Mailing Address One Health Plaza City East Hanover FEC ID number of contributing federal political committee. Name of Employer NBS Receipt For: Primary General Other (specify)	· · · · · · · · · · · · · · · · · · ·		Date of Receipt M
SUBTOTAL of Receipts This Page (option	nal)		101.16
TOTAL This Period (last page this line nu	mber only)		9974.39

SCHEDULE B (FEC Form 3X)	Llan congrete cohedula/a/	FOR LINE NUMBER: PAGE 4		
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only		2
	Detailed Summary Page	X 21b 27	22 23 28a 28	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$
Any information copied from such Reports and Statem	nents may not be sold or us	ed by any perso	on for the purpos	se of soliciting contributions
or for commercial purposes, other than using the nam	ne and address of any politic	cal committee to	solicit contributi	ons from such committee.
NAME OF COMMITTEE (In Full)	n Cammittaa			
Novartis Corporation Political Actio	n Committee			
Full Name (Last, First, Middle Initial)			Data of Disk	
^{A.} Capital One Bank			Date of Disbu	p p / Y y y y y
Mailing Address 701 Pennsylvania Ave. NW			08	23 2016
City	State Zip Code		Transaction	ID : B626250
Washington Purpose of Disbursement	DC 20004		Halisaction	1 ID . B020230
Bank Service Charge		001	Amount of Ea	ach Disbursement this Period
Candidate Name		Category/		22.92
Office Sought: House Disbursen	nent For: 2016	Туре		7
	Primary General		Memo Iten	n
	Other (specify) ▼			
State: District:	Not Applicable	е		
Full Name (Last, First, Middle Initial)			Data of Diah	
В.			Date of Disbu	D D / Y Y Y Y
Mailing Address			W = W /	D - D / Y - Y - Y - Y
011	7. 0. 1			
City	State Zip Code			
Purpose of Disbursement				
Candidate Name			Amount of Ea	ach Disbursement this Period
Candidate Name		Category/ Type		
Office Sought: House Disbursen	nent For:	.,,,,	Memo Iten	1
	Primary General		_	
President State: District:	Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
C.			Date of Disbu	ursement
Marthan Addison			M = M /	D D / Y Y Y Y Y
Mailing Address				
City	State Zip Code			
Purpose of Disbursement				
			Amount of Ea	ach Disbursement this Period
Candidate Name		Category/		
Office Sought: House Disbursen	nent For:	Туре		7
	Primary General		Memo Iten	n
	Other (specify) ▼			
State: District:				
SUPTOTAL of Dishurasments This Dogs (ortices)				22.92
SUBTOTAL of Disbursements This Page (optional)		·····		7
TOTAL This Period (last page this line number only)				22.92