Image# 12970751071 PAGE 1 / 21

## **FEC** FORM 3Y

# **REPORT OF RECEIPTS AND DISBURSEMENTS**

TONIN 3X F	or Other Than An Aut	norized Committee	Office Use Only
NAME OF     COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Novartis Corporation P	Political Action Comm	ittee	
ADDRESS (number and street)	701 Pennsylvania Ave. NW	Suite 725	
Check if different			
than previously reported. (ACC)	Washington		DC 20004-2608
2. FEC IDENTIFICATION NU	JMBER ▼ CIT	Y ▲	STATE ▲ ZIP CODE ▲
C C00033969		S THIS X NEW (N) O	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M	(Non-Election Year Only)
(a) Quarterly Reports:		20 (M3) Jun 20 (M 20 (M4) Jul 20 (M'	(Non-Election Year Only)
April 15 Quarterly Report (Q	11)		
July 15 Quarterly Report (Q	(c) 12-Day PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (Q	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (Y	Flooring	on on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	Electio	m on	in the State of
5. Covering Period 02	01 / 2012	through 02	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined th	is Report and to the best of	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasure	Daniel P. Casserly		
Signature of Treasurer Danie	el P. Casserly	[Electronically Filed]	Date 03 / 19 / 2012
NOTE: Submission of false, errone	eous, or incomplete information	n may subject the person signir	g this Report to the penalties of 2 U.S.C. §437g.
Office Use Only			FEC FORM 3X Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003) Page 2

Write or Type Committee Name

### **Novartis Corporation Political Action Committee**

Report Covering the Period: From: 02 01 2012 To: 02 29 2012

		COLUMN A This Period	COLUMN B Calendar Year-to-Date
6.	(a) Cash on Hand January 1, 2012		217107.27
	(b) Cash on Hand at Beginning of Reporting Period	165828.28	
	(c) Total Receipts (from Line 19)	29893.34	58694.35
	(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	195721.62	275801.62
7.	Total Disbursements (from Line 31)	40840.00	120920.00
8.	Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	154881.62	154881.62
9.	Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10.	Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

## Novartis Corporation Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Contributions (other than loans) From:		
(a) Individuals/Persons Other		
Than Political Committees		5007.00
(i) Itemized (use Schedule A)	2513.14	5627.86
(ii) Unitemized	22380.20	48066.49
(iii) TOTAL (add Lines 11(a)(i) and (ii)▶	24893.34	53694.35
4	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
(d) Total Contributions (add Lines		
11(a)(iii), (b), and (c)) (Carry	24902.24	53694.35
Totals to Line 33, page 5)	24893.34	55094.55
Transfers From Affiliated/Other		
Party Committees	0.00	0.00
	0.00	0.00
All Loans Received	0.00	0.00
Loan Repayments Received	0.00	0.00
Offsets To Operating Expenditures	, , , , , , , , , , , , , , , , , , , ,	
(Refunds, Rebates, etc.)		
(Carry Totals to Line 37, page 5)	0.00	0.00
Refunds of Contributions Made	, , , , , , , , , , , , , , , , , , , ,	
to Federal Candidates and Other		
Political Committees	5000.00	5000.00
Other Federal Receipts		
(Dividends, Interest, etc.)	0.00	0.00
Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account		
(from Schedule H3)	0.00	0.00
_		
(b) Levin Funds (from Schedule H5)	0.00	0.00
(5) 25 m r dride (nom conedule rie)	7	
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))▶	29893.34	58694.35
Table Follows Brooking		75 / 75 / 75
Total Federal Receipts		
(subtract Line 18(c) from Line 19)▶	29893.34	58694.35

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements Operating Expenditures:  COLUMN A Total This Period		
	Calendar Year-to-Date	
0.00	0.00	
0.00	0.00	
190.00	270.00	
190.00	270.00	
0.00	0.00	
31500.00	111500.00	
0.00	0.00	
	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
0.00	0.00	
9150.00	9150.00	
200	0.00	
0.00	0.00	
0.00	0.00	
	0.00	
0.00	0.00	
0.00	0.00	
40840.00	120920.00	
40840.00	120920.00	
	0.00 190.00 190.00 190.00 190.00 0.00 0.	

### **DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Ex- penditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	24893.34	53694.35
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	24893.34	53694.35
6. Total Federal Operating Expenditures  (add Line 21(a)(i) and Line 21(b))▶	190.00	270.00
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	190.00	270.00

FOR LINE NUMBER: **PAGE** 6 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

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for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Daniel P Casserly Date of Receipt Mailing Address One Health Plaza 2012 City Zip Code State Transaction ID: A2012-493459 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 276.92 federal political committee. Name of Employer Occupation VP Fed'l Govt Rel Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 553.84 Other (specify) Full Name (Last, First, Middle Initial) B. Christina M Clinton Date of Receipt Mailing Address One Health Plaza 02 29 2012 City State Zip Code Transaction ID: A2012-494426 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 416.00 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals **Exec Director Internal Comm** Receipt For: Aggregate Year-to-Date ▼ Primary General 832.00 Other (specify) Full Name (Last, First, Middle Initial) c. Mary L Coen Date of Receipt Mailing Address One Health Plaza 02 29 2012 City Zip Code State Transaction ID: A2012-494984 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing -250.00 С federal political committee. Name of Employer Occupation Exec Dir US Gov't Affairs & Public Novartis Vaccines & Diagnostics Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 442.92 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 7 OF Use separate schedule(s) (check only one) X 11a 11b 12 11c

21

for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Candace B Dibblee Date of Receipt Mailing Address One Health Plaza 2012 City Zip Code State Transaction ID: A2012-493811 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Asc Dir Fed'l Leg Affairs Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) Full Name (Last, First, Middle Initial) B. James R Elkin Date of Receipt Mailing Address One Health Plaza 02 29 2012 City State Zip Code Transaction ID: A2012-493258 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 384.00 federal political committee. Name of Employer Occupation Novartis Services Incorporated VP Head US Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 768.00 Other (specify) Full Name (Last, First, Middle Initial) c. Neely T Frye Date of Receipt Mailing Address One Health Plaza 02 29 2012 City Zip Code State Transaction ID: A2012-493579 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 115.44 С federal political committee. Name of Employer Occupation Asc Director Gov't Relations Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 230.88 Other (specify) 730.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: **PAGE** 8 OF Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

21

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Novartis Corporation Political Action Committee Full Name (Last, First, Middle Initial) Sheldon Jones Date of Receipt Mailing Address One Health Plaza 2012 City Zip Code State Transaction ID: A2012-493243 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 166.72 federal political committee. Name of Employer Occupation Global Head of Corp Comm Novartis Services Incorporated Receipt For: Aggregate Year-to-Date ▼ Primary General 333.44 Other (specify) Full Name (Last, First, Middle Initial) B. Shannon T Klinger Date of Receipt Mailing Address One Health Plaza 02 29 2012 City State Zip Code Transaction ID: A2012-493026 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Sandoz Inc. VP Legal & IP NA Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) Full Name (Last, First, Middle Initial) c. Richard E Knapp Date of Receipt Mailing Address One Health Plaza 02 29 2012 City Zip Code State Transaction ID: A2012-493391 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee. Name of Employer Occupation Executive Director State Gov' Novartis Pharmaceuticals Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) 628.24 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOR LINE N	OMREK:	PAGE	- '	٤
Use separate schedule(s)	(check only o	one)			_
for each category of the Detailed Summary Page	X 11a	11b	11c		
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21

12 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Glenn H Morton Date of Receipt Mailing Address One Health Plaza 2012 City State Zip Code Transaction ID: A2012-493608 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing 144.10 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals ED IT Risk and Compliance Receipt For: Aggregate Year-to-Date ▼ Primary General 288.20 Other (specify) Full Name (Last, First, Middle Initial) B. Kevin T Rigby Date of Receipt Mailing Address One Health Plaza 02 29 2012 City State Zip Code Transaction ID: A2012-493704 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals VP Public Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 461.52 Other (specify) Full Name (Last, First, Middle Initial) c. Katherine E Solon Date of Receipt Mailing Address One Health Plaza 02 29 2012 Zip Code City State Transaction ID: A2012-494374 NJ East Hanover 07936 Amount of Each Receipt this Period FEC ID number of contributing 230.76 С federal political committee.

SUBTOTAL of Receipts This Page (optional)			7		Ξ	7		60	05.62	2	
TOTAL This Period (last page this line number only)	_	_	7	_	_	7	_		_		

461.52

Occupation

Ex Dir Fed Leg Affairs

Aggregate Year-to-Date ▼

Name of Employer

Primary

Receipt For:

Novartis Services Incorporated

Other (specify)

General

FOR LINE NUMBER: PAGE 10 OF (check only one) X 11a 11b 12 11c

21 Use separate schedule(s) for each category of the Detailed Summary Page 14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) **Novartis Corporation Political Action Committee** Full Name (Last, First, Middle Initial) Lisa A Steelman Date of Receipt Mailing Address One Health Plaza 2012 City Zip Code State Transaction ID: A2012-493113 East Hanover NJ 07936 Amount of Each Receipt this Period FEC ID number of contributing C 106.16 federal political committee. Name of Employer Occupation Novartis Pharmaceuticals Director State Gov't Affairs Receipt For: Aggregate Year-to-Date ▼ Primary General 212.32 Other (specify) Full Name (Last, First, Middle Initial) В. Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name (Last, First, Middle Initial) Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Name of Employer Occupation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 106.16 SUBTOTAL of Receipts This Page (optional)..... 2513.14 TOTAL This Period (last page this line number only).....

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 OF 21 (check only one)
Any information copied from such Reports and or for commercial purposes, other than using the			erson for the purpose of soliciting contributions to solicit contributions from such committee.
NAME OF COMMITTEE (In Full)  Novartis Corporation Political A	Action Con	nmittee	
Full Name (Last, First, Middle Initial)  Nelson 2012  Mailing Address PO Box 8666  City Omaha  FEC ID number of contributing federal political committee.  Name of Employer	State NE  C Coo	Zip Code 68108 0432401	Date of Receipt  02 10 2012  Transaction ID : A2012-8474  Amount of Each Receipt this Period  5000.00  Refund from Fed. Cmte
Receipt For: 2012  Primary   General  Other (specify)   ▼	Aggregate	Year-to-Date ▼ 5000.00	Refund from Federal Committee
Full Name (Last, First, Middle Initial)  Mailing Address  City  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For:  Primary General Other (specify)   Contribution of the contributing federal political committee.	State  C Occupation  Aggregate	Zip Code  Year-to-Date ▼	Date of Receipt  M M / D D / Y Y Y Y Y  Amount of Each Receipt this Period
Full Name (Last, First, Middle Initial)  Mailing Address  City  FEC ID number of contributing federal political committee.  Name of Employer  Receipt For:  Primary General Other (specify)	State  C Occupation  Aggregate	Zip Code  Year-to-Date ▼	Date of Receipt  M M / D D / Y Y Y Y Y  Amount of Each Receipt this Period
SUBTOTAL of Receipts This Page (optional)			5000.00

TOTAL This Period (last page this line number only).....

5000.00

S	CHEDULE B (FEC Form 3X)			FOR LINE N	IUMBER:	PAGE 12 OF 21
IT	EMIZED DISBURSEMENTS	Use separate for each cate		(check only	one)	
		Detailed Sum		21b	22 🗙 23	24 25 26 28c 29 30b
·				27	28a 28b	
	ly information copied from such Reports and Statem for commercial purposes, other than using the nam					
	NAME OF COMMITTEE (In Full)		7 1			
$ \rangle$	Novartis Corporation Political Action	n Committe	ee			
_	Full Name (Last, First, Middle Initial)					
Α.	Friends of Joe Baca				Date of Disbursem	
	Mailing Address 555 Capitol Mall Suite 1425				02 29	2012
	,		Code		Transaction ID :	D406474
		CA 95	814		Transaction iD .	D400474
	Purpose of Disbursement Contribution			011	Amount of Each Di	isbursement this Period
	Candidate Name			Category/		2000.00
	Joe Baca			Туре		2000.00
	Senate	nent For: 2012 Primary Other (specify)	General			
	State: CA District: 43					
_	Full Name (Last, First, Middle Initial)					
В.	Friends of Joe Baca				Date of Disbursem	
	Mailing Address 555 Capitol Mall Suite 1425		02 29	2012		
	Sacramento		Code 5814		Transaction ID :	B406475
	Purpose of Disbursement Contribution			011	Amount of Each Di	isbursement this Period
	Candidate Name			Category/		1000.00
	Joe Baca			Туре		1000.00
	Senate	ent For: 2012 Primary  Other (specify)	General			
_	Full Name (Last, First, Middle Initial)					
C.	American Victory Committee				Date of Disbursem	ent
	Mailing Address 2470 Daniell's Bridge Road Suite				02 29	2012
	0.1	7	0 - 1 -			
	•		Code 606		Transaction ID:	B405432
	Purpose of Disbursement Contribution					
	Candidate Name			011 Category/	Amount of Each Di	isbursement this Period 2000.00
	Office Courbby   House			Туре		2000.00
	Senate	nent For: 2012 Primary Other (specify)	General			
_	State: GA District:					
H	UBTOTAL of Disbursements This Page (optional)  OTAL This Period (last page this line number only).					5000.00

	CHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE		)F 21
IT	EMIZED DISBURSEMENTS	for each category of the	(check only	one) 22 🗙 23 24 25	<u>26</u>
		Detailed Summary Page	27	28a 28b 28c 29	30b
	ny information copied from such Reports and Staten				
10	r for commercial purposes, other than using the name	ne and address of any polition	cal committee to	solicit contributions from such committee	ee.
	NAME OF COMMITTEE (In Full)				
/	Novartis Corporation Political Actio	n Committee			
	Full Name (Last, First, Middle Initial)				
Α.	The MIKE R Fund			Date of Disbursement	
	Mailing Address P.O. Box 2485			02 29 2012	Y
	City	State Zip Code			
	Springfield	VA 22152		Transaction ID : B405433	
	Purpose of Disbursement Contribution		1		
	Candidate Name		011	Amount of Each Disbursement this F	Period
			Category/ Type	1000	.00
	Office Sought: House Disburser Senate	nent For: 2012 Primary General			
		Primary General Other (specify) ▼			
	State: MI District:	Not Applicabl	е		
	Full Name (Last, First, Middle Initial)				
В.	Rogers for Congress			Date of Disbursement	Y
	Mailing Address 499 South Capitol Street SW Suite	е		02 29 2012	
	City S Washington	State Zip Code DC 20003		Transaction ID : B405429	
	Purpose of Disbursement				
	Contribution		011	Amount of Each Disbursement this F	Period
	Candidate Name Mike J Rogers		Category/ Type	1000	.00
		nent For: 2012	туре		
		Primary General			
	President	Other (specify) ▼			
	State: MI District: 08				
	Full Name (Last, First, Middle Initial)			Date of Disbursement	
Ο.	Next Century Fund			M M / D D / Y Y Y	V
	Mailing Address 116 South Royal Street			02 29 2012	
	City	State Zip Code		Transaction ID : B405427	
	Alexandria	VA 22314		Transaction ID : B405437	
	Purpose of Disbursement Contribution		011		
	Candidate Name			Amount of Each Disbursement this F	Period
			Category/ Type	5000	.00
	Office Sought: House Disburser	nent For: 2012		, , , , , , , , , , , , , , , , , , , ,	
	Senate	Primary General			
	State: NC District:	Other (specify) ▼ Not Applicabl	e		
	2.5	140ι Αργίισαυι	~		_
5	SUBTOTAL of Disbursements This Page (optional)			7000.	.00
					-
	<b>FOTAL</b> This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 14 OF 21
TEMIZED DISBURSEMENTS	Use separate schedule(s)	(check only	TO ME LITT
	for each category of the Detailed Summary Page	21b	22 🗙 23 24 25 26
	Botanoa Gammary 1 ago	27	28a 28b 28c 29 30b
Any information copied from such Reports and Stat or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)	and address of any pointer	ar committee to	Solicit Contributions from Such Confinition.
Novartis Corporation Political Act	on Committee		
Full Name (Last, First, Middle Initial)			
A. Jon Bruning for US Senate			Date of Disbursement
oon Braning for 66 Schate			M M / D D / Y Y Y Y
Mailing Address P.O. Box 83950			02 29 2012
City	State Zip Code		
Lincoln	NE 68501		Transaction ID: B405428
Purpose of Disbursement Contribution			
		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2500.00
Jon C Bruning  Office Sought: House Disburs	ement For: 2012	Туре	7 7 7
Senate	Primary General		
President	Other (specify)		
State: NE District:			
Full Name (Last, First, Middle Initial)			
B. Stivers for Congress			Date of Disbursement
Mailing Address 217 Third Street SE			02 29 2012
City	State Zip Code		
Washington	DC 20003		Transaction ID : B405438
Purpose of Disbursement			
Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Steve Stivers		Туре	1000.00
	ement For: 2012		
Senate     President	Primary General  Other (specify) ▼		
State: OH District: 15	Carlot (opeony)		
Full Name (Last, First, Middle Initial)			
C. Casey for Senate			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 58746			02 01 2012
City	State Zip Code		Transaction ID : B404117
Philadelphia	PA 19102		Transaction ib . D404117
Purpose of Disbursement Contribution		011	
Candidate Name		011	Amount of Each Disbursement this Period
Bob Casey Jr.		Category/ Type	2000.00
	ement For: 2012	1,750	7 7 7
✓ Senate	Primary X General		
President	Other (specify) ▼		
State: PA District:			
SUBTOTAL of Disbursements This Page (optional)		·····•	5500.00
TOTAL This Period (last page this line number on			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 15 OF 21	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)	
	Detailed Summary Page	21b	22 X 23 24 25 26 28a 28b 28c 29 30	
Any information copied from such Reports and State or for commercial purposes, other than using the r				
NAME OF COMMITTEE (In Full)				
Novartis Corporation Political Act	tion Committee			
Full Name (Last, First, Middle Initial)				
A. Gene Green Congressional Cam	paign		Date of Disbursement	
Mailing Address PO Box 16128			02 07 2012	
City	State Zip Code		Transaction ID : B404403	
Houston Purpose of Disbursement	TX 77222			
Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	1000.00	
Raymond Gene Green  Office Sought:  House Disbur	sement For: 2012	Type	7	
Senate President	Primary General Other (specify) ▼			
State: TX District: 29				
Full Name (Last, First, Middle Initial)				
B. Hatch Election Committee	Date of Disbursement			
Mailing Address 6510 Anna Maria Court	Mailing Address 6510 Anna Maria Court			
City McLean	State Zip Code VA 22101		Transaction ID: B405246	
Purpose of Disbursement Contribution		011	Amount of Each Disbursement this Period	
Candidate Name		Category/	2000.00	
Orrin G Hatch		Type	2000.00	
	sement For: 2012  ✓ Primary General  Other (specify) ▼			
Full Name (Last, First, Middle Initial)				
C. Hatch Election Committee			Date of Disbursement	
Mailing Address 6510 Anna Maria Court	Mailing Address 6510 Anna Maria Court			
City	State Zip Code VA 22101		Transaction ID: B405247	
McLean Purpose of Disbursement	VA 22101			
	Contribution			
Candidate Name		Category/	5000.00	
Orrin G Hatch		Type	3000.00	
Senate President	sement For: 2012 Primary General Other (specify)			
State: UT District:	Convention			
SUBTOTAL of Disbursements This Page (optional	l)	······	8000.00	
TOTAL This Period (last page this line number or	nly)			

SCHEDULE B (FEC Form 3X)	Hoo consents astronomy	FOR LINE	-	PAGE 16 OF 21
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 X 23	24 25 26
	Detailed Summary Page	27	28a 28b	28c 29 30b
Any information copied from such Reports and State	nents may not be sold or us	ed by any perso	on for the purpose of s	soliciting contributions
or for commercial purposes, other than using the nar	ne and address of any politic	al committee to	solicit contributions fro	om such committee.
NAME OF COMMITTEE (In Full)	<b>0</b> 1			
Novartis Corporation Political Action	on Committee			
Full Name (Last, First, Middle Initial)			Data of Did	
A. Hatch Election Committee			Date of Disburseme	
Mailing Address 6510 Anna Maria Court	02 29	2012		
City	Transaction ID : B	1405248		
McLean Purpose of Disbursement	VA 22101		Transaction iD . L	7403240
Contribution		011	Amount of Each Dis	sbursement this Period
Candidate Name		Category/		5000.00
Orrin G Hatch  Office Sought: House Disburse	mont For: 2042	Туре		3000.00
Office Sought: House Disburse	nent For: 2012 Primary			
President	Other (specify) ▼			
State: UT District:				
Full Name (Last, First, Middle Initial)				
B. George Allen for US Senate			Date of Disburseme	
Mailing Address 2507 Kensignton Avenue			02 29	2012
Richmond	State Zip Code VA 23220		Transaction ID : E	3405426
Purpose of Disbursement Contribution		011	Amount of Each Dis	sbursement this Period
Candidate Name		Category/		1000.00
George Allen		Type		1000.00
	ment For: 2012 Primary ☐ General Other (specify) ▼			
State: VA District:				
Full Name (Last, First, Middle Initial)  C.			Date of Disburseme	ent
			M M / D D	/ Y Y Y Y Y
Mailing Address				
City	State Zip Code			
Purpose of Disbursement				
Candidate Name		Category/ Type	Amount of Each Dis	sbursement this Period
Office Sought: House Disbursel	ment For:		7	7
Senate	Primary General			
President	Other (specify) ▼			
State: District:				
SUBTOTAL of Disbursements This Page (optional)				6000.00
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TOTAL This Period (last page this line number only)	)			31500.00

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IT	EMIZED DISBURSEMENTS	Use separate sche for each category		(check only		
		Detailed Summary		21b	22	23 24 25 26
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$\sqrt{}$	NAME OF COMMITTEE (In Full)					
	Novartis Corporation Political Actio	n Committee				
	Full Name (Last, First, Middle Initial)				D . (D:	
Α.	Committee to Elect Marilyn Avila	Date of Dis				
	Mailing Address 11312 Derby Lane	02	29 2012			
	City		Transacti	on ID : B405464		
	. ta.o.g	NC 27613			Transacti	ON ID : B403404
	Purpose of Disbursement P-2012 State House 40 NC			011	Amount of	Each Disbursement this Period
	Candidate Name			Category/		500.00
	Marilyn Avila			Type		500.00
		nent For: 2012				
		Primary Ge Other (specify) ▼	neral			
	State: District:	Oniei (Specify)				
_	Full Name (Last, First, Middle Initial)					
В.	Brock for Senate				Date of Dis	
	Mailing Address 160 New Hampshire Ct.				02	29 / 2012
	City	State Zip Cod	e			
	•	NC 27028	Ü		Transacti	on ID : B405458
	Purpose of Disbursement P-2012 State Senate 34 NC			-044		B
	Candidate Name		L	011	Amount of	Each Disbursement this Period
	Andrew Brock			Category/ Type		500.00
		nent For: 2012		Туре		
			neral			
		Other (specify)				
	State: District:					
	Full Name (Last, First, Middle Initial)					
C.	Justin Burr for NC House					sbursement
	Mailing Address B.O. Boy 1066				02 /	29 2012
	Mailing Address P.O. Box 1966		UZ	29 2012		
	City	State Zip Cod	е		Tuanaati	an ID - D405402
		NC 28002			Transacti	on ID : B405463
	Purpose of Disbursement P-2012 State House 67 NC					
	Candidate Name	011	Amount of	Each Disbursement this Period		
	Justin Burr			Category/ Type		500.00
		nent For: 2012		Туре		
			neral			
	President	Other (specify)				
_	State: District:					
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ITEMIZED DISBURSEME	-		e separate schedule(s) (check only one)	LINE NUMBER: PAGE 18 OF ck only one)			,, <u>-</u> 1						
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		Detailed			2	7_	28a		28b	28	c X	29	30
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or for commercial purposes, other that	an using the nan	ne and add	dress of any politi	cal con	nmitte	e to s	solicit co	ntrib	utions	from s	uch co	mmitt	ee.
NAME OF COMMITTEE (In Full)													
→ Novartis Corporation Poly	olitical Actio	n Comi	mittee										
Full Name (Last, First, Middle Initi	al)												
A. Crawford Campaign	ai)						Date o	f Dis	sburse	ment			
Crawlord Campaign							M M		D	D /	YY	Y	Υ
Mailing Address 943-M West Andre	ews Ave.						02		2	9		)12	
City	;	State NC	Zip Code				Trans	sacti	on ID	: B405	462		
Henderson Purpose of Disbursement		INC	27536										
P-2012 State House 32 NC				C	)11	1	Amoun	t of	Each	Disburs	sement	this F	Period
Candidate Name				Cat	egory/			-	•	-		-	-
James W Crawford Jr.					ype			_	,			500	.00
Office Sought: House	Disburser	ment For:	2012										
Senate		Primary	General										
President		Other (spe	ecify) 🔻										
State: District:	- D					_							
Full Name (Last, First, Middle Initi  B. Dalton for Governor	aı)						Date o	f Die	hurea	mont			
B. Dalton for Governor											V	. V	V
Mailing Address P.O. Box 1696						$\dashv$	02			9		012	Y
5 1 111 1 10. Box 1000													
City		State	Zip Code				Trans	sacti	ion ID	: B405	448		
Raleigh Purpose of Disbursement		NC	27602										
P-2012 Governor NC					)11	11	Amoun	ıt of	Fach	Disburs	ement	this F	Period
Candidate Name			Category/					01	_4011	ui			5.10u
Walter H Dalton				Cate		2000.00				.00			
Office Sought: House	Disburser	ment For:	2012		, ,								
Senate	$\times$	Primary	General										
President		Other (spe	ecify) 🔻										
State: District:						$\perp$							
_	Full Name (Last, First, Middle Initial)						D-4-	t D:	.b	me = 1			
Dollar for House							Date o			_			
Mailing Address P.O. Box 1352						$\dashv$	02	/	2			)12	Υ
Maining / Mariess 1 .O. Box 1332							UL.		-			, ,	
City	,	State	Zip Code				Trans	sacti	ion ID	: B405	165		
Cary		NC	27512				IIalis	Saci	טו ווטו	. 6403	403		
Purpose of Disbursement P-2012 State House 36 NC					4.4	11							
Candidate Name					11	ч	Amoun	t of	Each	Disburs	sement	this F	Period
Nelson Dollar					egory/ ype		Г.					500	.00
Office Sought: House	Disburser	ment For:	2012	•,	урс				7			-	
Senate		Primary	General										
President		Other (spe	ecify) 🔻										
State: District:													
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SUBTOTAL of Disbursements This	Page (optional)					•			,			3000	.00
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IT	EMIZED DISBURSEMENTS	Use separate for each cate		(check only		
		Detailed Sum		21b	22	23 24 25 26
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Ai   or	ny information copied from such Reports and Statem for commercial purposes, other than using the name	ents may not t e and address	oe sold or use of any politica	ed by any perso al committee to	n tor the purp solicit contrib	oose of soliciting contributions utions from such committee.
	NAME OF COMMITTEE (In Full)		7 1 2			
$  \rangle$	Novartis Corporation Political Actio	n Committe	ee			
$\angle$	•					
٨	Full Name (Last, First, Middle Initial)				Data of Di-	huraamant
A.	Ralph Hise for NC Senate	Date of Dis				
	Mailing Address P.O. Box 86				02	29 2012
	City		Transaction	on ID : B405457		
	Spruce Pine Purpose of Disbursement	NC 28	3777			
	P-2012 State Senate 47 NC			011	Amount of	Each Disbursement this Period
	Candidate Name			Category/		
	Ralph E Hise Jr.			Type		500.00
		ent For: 2012				
		Primary	General			
	State: District:	Other (specify)	▼			
_	Full Name (Last, First, Middle Initial)					
В.	Hollo for House				Date of Dis	bursement
	Tiolio foi Fiouse				M M /	D D / Y Y Y Y
	Mailing Address P.O. Box 88				02	29 2012
	City	toto Zir	Codo			
	•		Code 3681		Transacti	on ID : B405461
	Purpose of Disbursement					
	P-2012 State House 88 NC			011	Amount of	Each Disbursement this Period
	Candidate Name			Category/		500.00
	Mark W Hollo			Туре		000.00
		ent For: 2012 Primary	General			
		Other (specify)				
	State: District:	(-  )/	•			
_	Full Name (Last, First, Middle Initial)					
C.	Darren Jackson for NC House					bursement
					M M /	D D / Y Y Y Y Y
	Mailing Address 1525 Crickett		02	29 2012		
	City	tate Zir	Code			
	Raleigh		610		Transacti	on ID : B405459
	Purpose of Disbursement P-2012 State House 39 NC					
	Candidate Name		011	Amount of	Each Disbursement this Period	
	Darren Jackson			Category/ Type		500.00
		ent For: 2012		1,700		
		Primary	General			
	President	Other (specify)	▼			
_	State: District:					
	<del></del>	<u> </u>				4500.00
١	SUBTOTAL of Disbursements This Page (optional)			······································		1500.00
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SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 20 OF 21					
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one)					
	Detailed Summary Page	21b	22 23 24 25 26					
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Any information copied from such Reports and Sta or for commercial purposes, other than using the n								
NAME OF COMMITTEE (In Full)	<u> </u>							
Novartis Corporation Political Act	ion Committee							
Full Name (Last, First, Middle Initial)								
A. Louis Pate for NC Senate			Date of Disbursement					
Mailing Address 102 Meredith Street			02 29 2012					
City	State Zip Code NC 28365		Transaction ID : B405456					
Mt. Olive Purpose of Disbursement	NC 28365							
P-2012 State Senate 05 NC		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	500.00					
Louis M Pate Jr.		Type	300.00					
Office Sought: House Disburs Senate	sement For: 2012							
President	✓ Primary General Other (specify) ▼							
State: District:	Ctrior (opcomy)							
Full Name (Last, First, Middle Initial)								
B. Winkie Wilkins for House Campa	ign Committee		Date of Disbursement					
Mailing Address 210 Fair Oaks Drive			02 29 2012					
City Roxboro	State Zip Code NC 27574		Transaction ID : B405452					
Purpose of Disbursement P-2012 State House 55 NC		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	500.00					
Winfrey A Wilkins Jr.		Туре	500.00					
	sement For: 2012							
Senate President	Primary General Other (specify) ▼							
State: District:	Other (specify)							
Full Name (Last, First, Middle Initial)								
C. Committee to Elect Brian Ellis	Date of Disbursement							
Mailing Address P.O. Box 412			02 02 7 2012					
City	State Zip Code							
Harrisburg	PA 17108		Transaction ID : B404118					
Purpose of Disbursement								
P-2012 State House 11 PA		011	Amount of Each Disbursement this Period					
Candidate Name		Category/	400.00					
Brian Ellis Office Sought: House Disburs	sement For: 2012	Туре	1					
Senate Disburs	Primary General							
President	Other (specify)							
State: District:								
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SUBTOTAL of Disbursements This Page (optional	)	·····	1400.00					
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SCHEDULE B (FEC Form 3X)		FOR LINE NUMBER: PAGE 21 OF 21							
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	T (GILCON GIII)							
	Detailed Summary Page	21b	22 23 24 25	26					
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Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or us e name and address of any politi	sed by any pers	on for the purpose of soliciting contribution solicit contributions from such committee.	IS					
NAME OF COMMITTEE (In Full)	marile and address of any point		o concil contributions from cucin continues.						
Novartis Corporation Political A	ction Committee								
/ Novariis Corporation i citical i	odon committee								
Full Name (Last, First, Middle Initial)									
A. Friends of John McNally	Date of Disbursement								
Mailing Address D.O. Day 545			M M / D D / Y Y Y Y	1					
Mailing Address P.O. Box 545	02 02 2012								
City	City State Zip Code								
Harrisburg	PA 17108		Transaction ID : B404404						
Purpose of Disbursement P-2012 State Senate 15 PA		ou.							
Candidate Name		011	Amount of Each Disbursement this Peri	iod					
John McNally		Category/ Type	1000.00	)					
,	oursement For: 2012	туре							
Senate	Y Primary General								
President	Other (specify) ▼								
State: District:									
Full Name (Last, First, Middle Initial)									
B. Friends of Scott Petri			Date of Disbursement						
Mailing Address P.O. Box 161			02 02 2012						
Maining Address 1.0. Box 101			02 02 20.2						
City	State Zip Code		Transaction ID : B404119						
Richboro	PA 18954								
Purpose of Disbursement P-2012 State House 178 PA		011	Amount of Each Disbursement this Peri	riod					
Candidate Name			Amount of Each Blood of Mark this 1 ch						
Scott Petri		Category/ Type	500.00	)					
Office Sought: House Disk	oursement For: 2012								
Senate	Y Primary General								
President	Other (specify)								
State: District:									
Full Name (Last, First, Middle Initial)  C. South Dakota Republican Party	ı		Date of Disbursement						
South Dakota Nepublican Fait			M M / D D / Y Y Y	1					
Mailing Address P.O. Box 1099			02 29 2012						
City	State Zip Code SD 57501		Transaction ID : B405467						
Pierre Purpose of Disbursement	SD 57501								
State Party Cmte		011	Amount of Each Disbursement this Peri	iod					
Candidate Name		Category/	050.00						
		Type	250.00	,					
	oursement For: 2012								
Senate President	Primary General								
State: District:	Other (specify) ▼ Not Applicabl	e							
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2.66	140t Applicabl			_					
SUBTOTAL of Disbursements This Page (optic			1750.00						
			1750.00	=					