FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) **TYPE OR PRINT ▼** Example: If typing, type over the lines. 12FE4M5

The Caterpillar Inc. Employee Political Action Committee

ADDRESS (number and street) ▼ 100 N.E. Adams Street

☐ Check if different than previously reported. (ACC)

Peoria | IL | 61629-1430 –

CITY ▲ STATE ▲ ZIP CODE ▲

2. **FEC IDENTIFICATION NUMBER ▼** C C00148031

3. IS THIS REPORT ☒ NEW (N) **OR** ☐ AMENDED (A)

4. TYPE OF REPORT (Choose One)

(a) Quarterly Reports:

- ☐ April 15 Quarterly Report (Q1)
- ☐ July 15 Quarterly Report (Q2)
- ☐ October 15 Quarterly Report (Q3)
- ☐ January 31 Year-End Report (YE)
- ☐ July 31 Mid-Year Report (Non-election Year Only) (MY)
- ☐ Termination Report (TER)

(b) Monthly Report Due On:

- ☐ Feb 20 (M2)
- ☐ Mar 20 (M3)
- ☐ Apr 20 (M4)
- ☐ May 20 (M5)
- ☐ Jun 20 (M6)
- ☐ Jul 20 (M7)
- ☐ Aug 20 (M8)
- ☐ Sep 20 (M9)
- ☐ Oct 20 (M10)
- ☐ Nov 20 (M11) (Non-Election Year Only)
- ☐ Dec 20 (M12) (Non-Election Year Only)
- ☐ Jan 31 (YE)

(c) 12-Day **PRE**-Election Report for the: ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R) ☐ Convention (12C) ☐ Special (12S)

Election on MM / DD / YYYY in the State of

(d) 30-Day **POST**-Election Report for the: ☒ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on MM 11 / DD 08 / YYYY 2016 in the State of LA

5. Covering Period MM 10 / DD 20 / YYYY 2016 through MM 11 / DD 28 / YYYY 2016

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cordani, Benjamin, , ,

Signature of Treasurer *Cordani, Benjamin, , ,* ***[Electronically Filed]*** Date MM 12 / DD 08 / YYYY 2016

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 52 U.S.C. § 30109.

Office Use Only							

SUMMARY PAGE
OF RECEIPTS AND DISBURSEMENTS

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: 10 / 20 / 2016 To: 11 / 28 / 2016

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, 2016		651672.90
(b) Cash on Hand at Beginning of Reporting Period	216807.63	
(c) Total Receipts (from Line 19)	77775.57	751350.59
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B)	294583.20	1403023.49
7. Total Disbursements (from Line 31)	63538.25	1171978.54
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	231044.95	231044.95
9. Debts and Obligations Owed **TO** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed **BY** the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE
of Receipts

FEC **Form 3X** (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

Report Covering the Period: From: M M 10 / D D 20 / Y Y Y Y 2016 To: M M 11 / D D 28 / Y Y Y Y 2016

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
11. Contributions (other than loans) From:		
(a) Individuals/Persons Other Than Political Committees		
(i) Itemized (use Schedule A)	71017.98	554818.96
(ii) Unitemized	6754.01	196478.13
(iii) TOTAL (add Lines 11(a)(i) and (ii)) ▶	77771.99	751297.09
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry Totals to Line 33, page 5) ▶	77771.99	751297.09
12. Transfers From Affiliated/Other Party Committees	0.00	0.00
13. All Loans Received	0.00	0.00
14. Loan Repayments Received	0.00	0.00
15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5)	0.00	0.00
16. Refunds of Contributions Made to Federal Candidates and Other Political Committees	0.00	0.00
17. Other Federal Receipts (Dividends, Interest, etc.)	3.58	53.50
18. Transfers from Non-Federal and Levin Funds		
(a) Non-Federal Account (from Schedule H3)	0.00	0.00
(b) Levin Funds (from Schedule H5)	0.00	0.00
(c) Total Transfers (add 18(a) and 18(b))	0.00	0.00
19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) ▶	77775.57	751350.59
20. Total Federal Receipts (subtract Line 18(c) from Line 19) ▶	77775.57	751350.59

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Rev. 05/2016)

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating Expenditures	38.25	203.54
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) ▶	38.25	203.54
22. Transfers to Affiliated/Other Party Committees	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees	44000.00	643000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00
26. Loan Repayments Made	0.00	0.00
27. Loans Made	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	6225.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) ▶	0.00	6225.00
29. Other Disbursements (Including Non-Federal Donations)	19500.00	522550.00
30. Federal Election Activity (52 U.S.C. § 30101(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)) ▶	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	63538.25	1171978.54
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31) ▶	63538.25	1171978.54

DETAILED SUMMARY PAGE
of Disbursements

FEC **Form 3X** (Rev. 05/2016)

III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	77771.99	751297.09
34. Total Contribution Refunds (from Line 28(d))	0.00	6225.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	77771.99	745072.09
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ▶	38.25	203.54
37. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ▶	38.25	203.54

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Abbott, Brian, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1085.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113168

Amount of Each Receipt this Period: 108.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Abston, Trey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 548.52

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112895

Amount of Each Receipt this Period: 45.13

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Adams, Kent, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112322

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 570.37

TOTAL This Period (last page this line number only) ▶

FEC MISCELLANEOUS TEXT RELATED TO A REPORT, SCHEDULE OR ITEMIZATION

Form/Schedule: **SA11AI**
Transaction ID :

Please note that the PAC is aware that we follow an alternate method of itemizing payroll receipts rather than the suggested manner of disclosing a single total for the reporting period along with the amount deducted per pay period. Because the amounts collected per pay period may change often during the time covered by a single report, we find that reporting individual deductions separately more accurately discloses how the receipts are collected.

Form/Schedule:
Transaction ID:

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Agnew, William, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111981

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Aguirre, Ian, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1177.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112074

Amount of Each Receipt this Period: 117.72

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ainsworth, William, , ,

Mailing Address 330 SW Adams St

City: Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): VP, CEO of Progress Rail Svcs

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 5000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2108352

Amount of Each Receipt this Period: 5000.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 5142.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Albert, James, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 228.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112918

Amount of Each Receipt this Period: 38.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alexander, Jay, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112297

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alford, Jesse, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Employee Communications Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112222

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 163.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Alger, Matthew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112070

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Allen, Alison, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113128

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Allen, Michael, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Logistics Manager 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 741.66

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112236

Amount of Each Receipt this Period: 74.60

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 149.60

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Mark, K, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112150

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Mathew, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1819.76

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112953

Amount of Each Receipt this Period: 183.04

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 525.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110210

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 308.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: 11 / 10 / 2016
Transaction ID : A2016-2164336

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Anderson, Michael, , ,

Mailing Address 1656 Livingstone Road

City: Hudson | State: WI | Zip Code: 54016

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Signal Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 575.00

Date of Receipt: 11 / 24 / 2016
Transaction ID : A2016-2267821

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Andris, Joseph, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Finance Mgr-Secretary/Treasur

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112631

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Armbrust, Richard, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112414

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Arvin, Chris, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global People & Org Dev Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112263

Amount of Each Receipt this Period: 35.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Aylwin, Carlos, A, ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Mgr International Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122339

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bailey, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 622.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112300

Amount of Each Receipt this Period: 62.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bainbridge, Mark, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112717

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Baldwin, Daniel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 322.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113075

Amount of Each Receipt this Period: 32.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 194.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkema, Christopher, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Category Purchasing Manager A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1576.76

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112114

Amount of Each Receipt this Period: 158.60

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1062.82

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110199

Amount of Each Receipt this Period: 48.31

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1111.13

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164322

Amount of Each Receipt this Period: 48.31

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 255.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balkom, Mike, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1159.44

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267807

Amount of Each Receipt this Period: 48.31

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ball, William, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): E&C Program Strategy Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1760.68

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112532

Amount of Each Receipt this Period: 177.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Balmer Millar, Mari Lou, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Research Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112291

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 250.41

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bankes, Chester, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Plant Engrg Superintendent

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 579.17

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112518

Amount of Each Receipt this Period: 59.33

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barber, Kathy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 827.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113147

Amount of Each Receipt this Period: 109.85

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barich, David, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112746

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 194.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Barton, Keith, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112406

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bates, Gregory, , ,

Mailing Address 97 E. Congress St.

City: Tucson | State: AZ | Zip Code: 85701

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112861

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beals, Andrew, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.97

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112781

Amount of Each Receipt this Period: 44.51

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beasley, George, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112046

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beaupre, Kenneth, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112685

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Becker, Chaille, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Dir. Busn. Transformations

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111976

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Becker, James, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111988

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beckman, William, , ,

Mailing Address 9301 West 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112892

Amount of Each Receipt this Period: 21.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Belcher, Jeffrey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 325.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112321

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 121.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beldock, Teresa, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 833.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112971

Amount of Each Receipt this Period: 83.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bennes, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 916.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112533

Amount of Each Receipt this Period: 183.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Berkebile, Stephen, , ,

Mailing Address 97 E. Congress St.

City: Tucson | State: AZ | Zip Code: 85701

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 873.95

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112485

Amount of Each Receipt this Period: 174.79

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 441.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Beveridge, Sandra, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Stf Anl-Inv Ctl

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113054

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Billimack, SueAnn, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113001

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Biltimier, Michael, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112279

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bishop, Damon, , ,

Mailing Address 4633 Perrin Creek

City: San Antonio | State: TX | Zip Code: 78217

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 344.42

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112797

Amount of Each Receipt this Period: 30.24

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bjerke, Ronald, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112618

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blandino, Joseph, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112094

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.24

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blank, James, R, ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111993

Amount of Each Receipt this Period: 42.04

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blichmann, Mary, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112365

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bloch, Stephen, E, ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Project Engr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 473.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112628

Amount of Each Receipt this Period: 47.36

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 139.40

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blum, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 899.84

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112929

Amount of Each Receipt this Period: 85.64

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Blumenshine, J., , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2504.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112610

Amount of Each Receipt this Period: 1.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bluth, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112701

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 503.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bohl, Mark, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Logistics Analyst

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112907

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Boles, James, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111974

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bowman, Gregory, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 543.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112752

Amount of Each Receipt this Period: 54.31

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 104.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bowman, Jeffrey, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): eChannel Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113008

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bozeman, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113043

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bradberry, Houston, , ,

Mailing Address 4012 SR 509 South Frontage Rd. Bld

City: Tacoma | State: WA | Zip Code: 98421

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110216

Amount of Each Receipt this Period: 10.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 476.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bradberry, Houston, , ,

Mailing Address 4012 SR 509 South Frontage Rd. Bld

City: Tacoma | State: WA | Zip Code: 98421

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164341

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bradberry, Houston, , ,

Mailing Address 4012 SR 509 South Frontage Rd. Bld

City: Tacoma | State: WA | Zip Code: 98421

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267826

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bradbury, Walter, , ,

Mailing Address 100 NE Adams
Private Mail Bag 4

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 745.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112507

Amount of Each Receipt this Period: 74.52

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.52

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 683.32

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110231

Amount of Each Receipt this Period: 31.06

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 714.38

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164063

Amount of Each Receipt this Period: 31.06

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Branka, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 745.44

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266877

Amount of Each Receipt this Period: 31.06

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 93.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Braun, Eric, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112833

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brazis, Nicholas, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 459.82

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112949

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Breitschwerdt, Otto, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Supply Chain Mgmt.

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2115.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112713

Amount of Each Receipt this Period: 211.53

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 271.53

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brennan, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1037.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112634

Amount of Each Receipt this Period: 103.76

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brewster, Anthony, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Labor Relations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112960

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bridenbaugh, Donald, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Transportation Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112205

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 153.76

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Briscoe, Kendall, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 252.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113157

Amount of Each Receipt this Period: 42.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Brooks, Jennifer, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 325.86

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111997

Amount of Each Receipt this Period: 54.31

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bruch, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Pricing Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112530

Amount of Each Receipt this Period: 5.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.31

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SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bryant, Jenny, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1295.76

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112924

Amount of Each Receipt this Period: 131.56

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buda, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Exec. VP Law & Public Policy

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112510

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Bullard, Steven, , ,

Mailing Address 2000 Hoff Road

City: Dyersburg | State: TN | Zip Code: 38024

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 596.31

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112007

Amount of Each Receipt this Period: 15.47

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 563.70

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 908.46

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110211

Amount of Each Receipt this Period: 43.26

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 951.72

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164337

Amount of Each Receipt this Period: 43.26

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buncher, Nathaniel, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Global Aftermarket Parts

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 994.98

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267822

Amount of Each Receipt this Period: 43.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 129.78

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Buob, Paul, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Procurement Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1680.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112586

Amount of Each Receipt this Period: 168.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Butikofer, Mark, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IS Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 622.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112093

Amount of Each Receipt this Period: 62.24

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 525.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110218

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 255.24

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164339

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cabay, Kenneth, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 575.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267824

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Calamari, Michael, A, ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 898.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112506

Amount of Each Receipt this Period: 89.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 139.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Callear, Brian, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112191

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Campbell, Amy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Auditing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112677

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Campion, Robert, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112571

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cansler, Lori, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 391.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112875

Amount of Each Receipt this Period: 39.18

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carballido, James, A, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Information & Analysis Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 620.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112238

Amount of Each Receipt this Period: 62.09

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carballido, Marci, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112368

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 151.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carlberg, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1884.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112524

Amount of Each Receipt this Period: 188.41

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carlson, Stacy, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1610.36

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113146

Amount of Each Receipt this Period: 161.98

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carney, Robert, , , III

Mailing Address 600 S. Second Street
Suite 101

City: Springfield | State: IL | Zip Code: 62704

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112979

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 450.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Caron, Timothy, J, ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Consulting Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122374

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carpenter, John, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solutions & Technology Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112505

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carr, Michael, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112624

Amount of Each Receipt this Period: 416.66

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 481.66

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carr, Ronald, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 903.66

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112436

Amount of Each Receipt this Period: 113.63

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Carter, Janda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1729.36

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112961

Amount of Each Receipt this Period: 173.95

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Casey, Michael, , ,

Mailing Address 9301 West 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112939

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 312.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cassidy, Dena, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 333.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112885

Amount of Each Receipt this Period: 33.31

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Castle, Robert, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Tech Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 706.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112570

Amount of Each Receipt this Period: 71.33

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chadwick, Christopher, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): OEM Business Unit Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111958

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 154.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chambers, Peter, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 203.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113161

Amount of Each Receipt this Period: 32.08

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cheek, John, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1523.24

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111962

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chen, Xiao, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1606.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112216

Amount of Each Receipt this Period: 160.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 202.75

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chism, Theodore, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 444.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112893

Amount of Each Receipt this Period: 44.47

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Chouinard, Shelley, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112351

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Christiansen, Keith, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113096

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 124.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Christophe, Andre, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112687

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ciko, John, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 402.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112077

Amount of Each Receipt this Period: 40.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Clark, Aaron, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1786.82

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113013

Amount of Each Receipt this Period: 179.73

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 269.93

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SCHEDULE A (FEC Form 3X)
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Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Clark, Paul, , ,

Mailing Address 11601 93rd Ave N

City: Maple Grove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM D&FP and Tunnel Boring

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112504

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Clements, Mary, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 700.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112429

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cobo, Michael, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112377

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cochran, Wayne, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 399.93

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112966

Amount of Each Receipt this Period: 40.77

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cole, Keri, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1794.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112932

Amount of Each Receipt this Period: 179.48

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cone, Beth, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1166.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112266

Amount of Each Receipt this Period: 116.64

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 336.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Conley, Patrick, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112648

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Coon, Korey, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112760

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Coon, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPF Managing Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 919.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112725

Amount of Each Receipt this Period: 91.97

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 191.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Copeland, Jananne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Accounting Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112565

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cordani, Benjamin, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 535.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112078

Amount of Each Receipt this Period: 55.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Covey, Kristen, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Regional Treasurer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112127

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Craig, John, , ,

Mailing Address Olympian Generators Sets
284 Mawsons Way

City: Newberry | State: SC | Zip Code: 29108

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 434.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112626

Amount of Each Receipt this Period: 43.45

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110201

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164324

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 83.45

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creech, Paul, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Railcar Sales & Leasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016
Transaction ID : A2016-2267809

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creed, Joseph, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112453

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Crespo, Frank, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2113058

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 461.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Creviston, Robert, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Service Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112840

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 743.63

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110234

Amount of Each Receipt this Period: 33.84

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 777.47

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164054

Amount of Each Receipt this Period: 33.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 92.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cross, Johnny, , ,

Mailing Address 3500 South Cowan Road

City: Muncie | State: IN | Zip Code: 47302

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 811.31

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266869

Amount of Each Receipt this Period: 33.84

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Crowell, Thomas, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 831.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112615

Amount of Each Receipt this Period: 83.12

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cunningham, Steven, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 457.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112763

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 136.96

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Curtis, Teresa, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 565.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112620

Amount of Each Receipt this Period: 56.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Cushing, Teresa, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1621.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112428

Amount of Each Receipt this Period: 162.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daniell, Bruce, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 524.31

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112850

Amount of Each Receipt this Period: 53.71

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 272.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daugherty, Jill, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112278

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Daugherty, Tracy, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112399

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davidson, Janet, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Import/Export Services Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 428.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113003

Amount of Each Receipt this Period: 42.89

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 117.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110196

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164317

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Davis, Ryan, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Opers Wheel Axle Bearings

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267802

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
De Camp, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Risk Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112716

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dean, Courtney, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113078

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DeFreitas, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111970

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Deluca, Anthony, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112445

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Denis, Andrew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 366.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113019

Amount of Each Receipt this Period: 36.63

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Derk, Joseph, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112761

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 86.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Deselem, John, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112246

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
DeWalt, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111968

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dhaemers-Needham, Michelle, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112044

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 466.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Diccion, Amory, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Engineer-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112804

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dickerson, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 432.24

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112786

Amount of Each Receipt this Period: 44.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Diekhoff, Kyle, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112803

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Disharoon, John, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113169

Amount of Each Receipt this Period: 200.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ditman, Dana, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112447

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doherty, Christopher, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 288.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112912

Amount of Each Receipt this Period: 41.20

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 266.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110228

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164060

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Doligale, Suzette, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266874

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Douglas, Nola, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): N. A. Financial Accounting Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 788.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112280

Amount of Each Receipt this Period: 81.12

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dudek, Nicholas, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 267.26

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113018

Amount of Each Receipt this Period: 38.18

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duensing, James, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Executive VP / CFO

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112523

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 535.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duffy, Joseph, F, ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 640.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112502

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dugan, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Executive Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1640.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112841

Amount of Each Receipt this Period: 164.03

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duhon, Jason, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 536.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113065

Amount of Each Receipt this Period: 53.65

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 317.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dulaney, Harold, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112664

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dunbar, Nathan, , ,

Mailing Address 100 NE Adams
Tianjin Airport Economic Area

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mfg Engrg Superintendent

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112154

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duncan, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112585

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 250.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Dunlevy, David, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112750

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Duzinskas, Debra, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112682

Amount of Each Receipt this Period: 45.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Earle, Kevin, C, ,

Mailing Address 100 NE Adams
Eastfield

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112697

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 145.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Easley, William, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112789

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Eastwood, Patricia, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2182.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112061

Amount of Each Receipt this Period: 218.21

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1313.18

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110220

Amount of Each Receipt this Period: 59.69

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 327.90

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1372.87

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164338

Amount of Each Receipt this Period: 59.69

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Edwards, Brian, , ,

Mailing Address Progress Rail Services

City: Fort Worth | State: TX | Zip Code: 76177

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1432.56

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267823

Amount of Each Receipt this Period: 59.69

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Eggemeyer, Jonathan, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 592.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112684

Amount of Each Receipt this Period: 59.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 178.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
English, Paul, , ,

Mailing Address State Rt. 116 E.
Spring Creek Rd.

City: East Peoria | State: IL | Zip Code: 61611

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Specialist-Dev/Res

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 362.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112226

Amount of Each Receipt this Period: 51.80

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Engstrom, Jamie, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Dir Global Technology Svcs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112053

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Erpenbeck, Stephen, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112327

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Evans, Michael, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1102.76

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112334

Amount of Each Receipt this Period: 152.30

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Everett, Jeffry, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113142

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Faber, David, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112575

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 302.30

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Farooqi, Aqeel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112809

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ferguson, Steven, M, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2108.35

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112344

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fetch, Bonnie, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Unit Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2342.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112650

Amount of Each Receipt this Period: 243.52

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 685.19

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Feucht, Joel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 892.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112592

Amount of Each Receipt this Period: 89.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fields, John, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112231

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Finn, Bart, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 244.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112342

Amount of Each Receipt this Period: 24.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 138.69

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fischer, Jeffery, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112264

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fishbeck, Jeri, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 318.64

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113069

Amount of Each Receipt this Period: 32.08

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Flannery, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 788.18

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112785

Amount of Each Receipt this Period: 79.28

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 161.36

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Folley, Gregory, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112478

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fosen, Brian, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112112

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Foster, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112969

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 491.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fox, Isaac, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 564.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112880

Amount of Each Receipt this Period: 56.40

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frake, Thomas, G, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112721

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frakes, Amy, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112068

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 498.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Franke, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113021

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frederick, David, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Strategist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112528

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frese, Alan, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solutions & Technology Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2377.65

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112509

Amount of Each Receipt this Period: 237.09

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 292.09

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Friend, Paul, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 506.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113056

Amount of Each Receipt this Period: 50.62

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frohberg, David, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112593

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frow, Richard, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Technical Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112495

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 140.62

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Frye, John, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 544.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112139

Amount of Each Receipt this Period: 54.48

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fuelberth, David, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1171.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112492

Amount of Each Receipt this Period: 117.19

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Fultz, Brad, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112022

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 196.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Furness, Deborah, S, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Melbourne PD&S Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1407.99

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112221

Amount of Each Receipt this Period: 103.14

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gaddis, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Risk Management Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 584.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112562

Amount of Each Receipt this Period: 58.40

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gao, Xiaojian, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 416.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113160

Amount of Each Receipt this Period: 41.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 203.21

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gardiner, Jonathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113144

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 525.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110227

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164059

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Garman, Scott, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 575.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266873

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gatza, Donna, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 481.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112027

Amount of Each Receipt this Period: 48.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gaw, Matthew, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112692

Amount of Each Receipt this Period: 75.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 148.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Geick, Orville, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112561

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Brian, W, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112019

Amount of Each Receipt this Period: 75.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Tisha, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 224.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110224

Amount of Each Receipt this Period: 10.20

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 135.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Tisha, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 234.60

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164329

Amount of Each Receipt this Period: 10.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
George, Tisha, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 244.80

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267814

Amount of Each Receipt this Period: 10.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gibbons, Justin, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Washington Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 525.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112058

Amount of Each Receipt this Period: 52.58

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 72.98

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gilbert, Daniel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): 6 Sigma Black Belt-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 788.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112103

Amount of Each Receipt this Period: 78.86

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ginzel, Jonathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Developmental Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1046.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112466

Amount of Each Receipt this Period: 104.64

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Girard, Kristin, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113174

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 600.17

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Glawe, Regg, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1728.16

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112630

Amount of Each Receipt this Period: 173.83

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Goddard, John, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112393

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Goode, Darin, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Category Purchasing Manager A

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112143

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 223.83

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gorman, Chris, , ,

Mailing Address Highway 42 East
P O Box 999

City: Clayton | State: NC | Zip Code: 27528

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1398.83

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113048

Amount of Each Receipt this Period: 141.88

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gorman, Gerald, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Information Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112551

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Grech, Edward, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 532.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113015

Amount of Each Receipt this Period: 53.29

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 611.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Greuel, Matthew, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 566.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113014

Amount of Each Receipt this Period: 56.68

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Groff, William, T, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 335.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112522

Amount of Each Receipt this Period: 41.90

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gryl, Timothy, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Caterpillar Service Parts Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4059.17

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112381

Amount of Each Receipt this Period: 470.40

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 568.98

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gugan, Steven, , ,

Mailing Address 6800 Doerr Lane

City: Schertz | State: TX | Zip Code: 78154

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1316.54

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113137

Amount of Each Receipt this Period: 132.92

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Guilford, Jerome, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Genl Mgr Lifecycle Prods/Servs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112382

Amount of Each Receipt this Period: 150.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gusso, Rodrigo, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 731.77

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112882

Amount of Each Receipt this Period: 81.39

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 364.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gustafson, Ryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1101.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112247

Amount of Each Receipt this Period: 110.13

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Gutgesell, Margaret, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Strategist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1632.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112554

Amount of Each Receipt this Period: 164.77

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haffner, Rhonda, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112601

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 299.90

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hall, Donald, R, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 450.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112042

Amount of Each Receipt this Period: 45.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hall, John, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 486.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112056

Amount of Each Receipt this Period: 48.62

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Halloran, James, , ,

Mailing Address 980 Ninth Street
Suite 2200

City: Sacramento | State: CA | Zip Code: 95814

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): California Reg Affairs Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1765.44

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112641

Amount of Each Receipt this Period: 177.10

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 270.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Halverson, Bradley, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group President & CFO

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112582

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hampton, Douglas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Developmental Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112197

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hanback, Corey, B, ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112049

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 541.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hanson, Richard, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 628.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112926

Amount of Each Receipt this Period: 62.87

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harayda, Jon, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Revenue Mgmt Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112536

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harding, Steven, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112439

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 112.87

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hargis, Keith, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Facilities Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113110

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harn, David, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112839

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harrell, Russell, D, ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 660.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112527

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Harris, Lester, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 359.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112872

Amount of Each Receipt this Period: 35.95

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Andrea, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1385.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112138

Amount of Each Receipt this Period: 138.56

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 529.62

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110206

Amount of Each Receipt this Period: 25.22

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 199.73

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 554.84

Date of Receipt: 11 / 10 / 2016
Transaction ID : A2016-2164330

Amount of Each Receipt this Period: 25.22

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hart, Matthew, , ,

Mailing Address 1900 Front Street

City: Ashland | State: KY | Zip Code: 41101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Sales & Marketing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 580.06

Date of Receipt: 11 / 24 / 2016
Transaction ID : A2016-2267815

Amount of Each Receipt this Period: 25.22

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hartnett, Lawrence, , ,

Mailing Address 500 N. Morton Ave.

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112081

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.44

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hasinger, Sylvia, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Group/Regional HR Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112080

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hasselbusch, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 338.54

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112335

Amount of Each Receipt this Period: 167.27

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hassiepen, John, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2267.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112573

Amount of Each Receipt this Period: 226.71

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 443.98

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hauer, Kimberly, S, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112703

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hausman, Dennis, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112498

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hawkins, James, D, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Mach Prod & Proc Design Cntr M

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113177

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 863.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110195

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164316

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Haycraft, Martin, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP/CMO, LRS Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267801

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hays, Katherine, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1626.36

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113081

Amount of Each Receipt this Period: 163.59

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heatwole, James, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1408.51

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112553

Amount of Each Receipt this Period: 1.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heiar, Keith, J, ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1406.26

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112469

Amount of Each Receipt this Period: 140.90

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 305.49

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heilman, Jerimy, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 332.86

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113011

Amount of Each Receipt this Period: 32.39

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heitzman, Robert, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112548

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Helgren, Mark, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 781.38

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112946

Amount of Each Receipt this Period: 130.23

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 212.62

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hendrickson, Gary, , ,

Mailing Address 3302 South W.W. White Road

City: San Antonio | State: TX | Zip Code: 78220

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Logistics Center Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1386.66

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112092

Amount of Each Receipt this Period: 140.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henley, David, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112695

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henricks, Gwenne, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112543

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 606.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henry, Christopher, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 890.69

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112972

Amount of Each Receipt this Period: 92.43

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Henry, Michael, , ,

Mailing Address 1901 S. First Street

City: Champaign | State: IL | Zip Code: 61820

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 884.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112810

Amount of Each Receipt this Period: 88.48

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Herbert, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111961

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 230.91

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Herman, Jason, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 501.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112903

Amount of Each Receipt this Period: 50.19

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hermann, Eric, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113171

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Heussner, Roy, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 696.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112587

Amount of Each Receipt this Period: 69.61

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 219.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hexum, Mark, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Prod Application Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112169

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hill, Crystal, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1077.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112674

Amount of Each Receipt this Period: 107.76

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hill, Mark, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Section Mgr 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 383.19

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112132

Amount of Each Receipt this Period: 41.66

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 174.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hillman, Jonathan, , ,

Mailing Address 1200 W. Main Street

City: Peoria | State: IL | Zip Code: 61606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1068.42

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112109

Amount of Each Receipt this Period: 178.07

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hirabayashi, Kai, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113155

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hitchcox, Stephanie, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Matls Requirements Plng Supv 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 458.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112968

Amount of Each Receipt this Period: 45.82

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 273.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hockaday, Rex, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112290

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hodges, Susan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112272

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoefft, Timothy, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112700

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 200.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoeft, David, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1604.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112988

Amount of Each Receipt this Period: 162.04

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoenes, Robert, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112259

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hoerr, Douglas, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112134

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 237.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Holland, Sally, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Portfolio Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 436.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112353

Amount of Each Receipt this Period: 43.69

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Holt, William, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 665.56

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112041

Amount of Each Receipt this Period: 67.19

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hordesky, Jeffrey, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111969

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 135.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Horton, Michael, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 612.12

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112931

Amount of Each Receipt this Period: 61.80

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Huang, Wayne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112834

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hubbard, Jerry, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Project Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112157

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 186.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Huber, Dennis, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112472

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hume, Delma, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1417.36

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112519

Amount of Each Receipt this Period: 143.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hungate, Beverly, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Info Technology Manager-III

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112493

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 268.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hunter, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112758

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hunter, Russell, , ,

Mailing Address 4633 Perrin Creek

City: San Antonio | State: TX | Zip Code: 78217

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113031

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hupe, Walter, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112514

Amount of Each Receipt this Period: 75.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 150.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Husar, Lucian, M, ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Engineer-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 290.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112774

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Hutt, Joseph, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1482.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112461

Amount of Each Receipt this Period: 149.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ibrahim, Daniel, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Technical Steward

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1542.36

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112679

Amount of Each Receipt this Period: 155.72

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 354.82

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ingram, Ronald, , ,

Mailing Address 100 NE Adams
Kel. Tanjung Uncang Kec. Batu Aji

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Manager 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112102

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Iverson, Aimee, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 875.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2113156

Amount of Each Receipt this Period: 125.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
James, Danielle, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 651.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2113145

Amount of Each Receipt this Period: 65.13

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 240.13

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Janda, Steven, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112339

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Janes, John, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112951

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jasinski, John, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112336

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jeannette, Dawn, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 363.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113099

Amount of Each Receipt this Period: 36.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jennings, Thomas, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112422

Amount of Each Receipt this Period: 60.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jirik, Michael, , ,

Mailing Address 500 N. Morton Ave.

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1816.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112404

Amount of Each Receipt this Period: 181.63

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 277.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Alicia, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1656.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112199

Amount of Each Receipt this Period: 165.60

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Bradley, , ,

Mailing Address 100 NE Adams
222 Moo 11 Ban Khai-Nong La Lok Ro

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111999

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Craig, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112034

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 632.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Denise, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113076

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Johnson, Nathan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 430.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113103

Amount of Each Receipt this Period: 43.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jones, Don, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Global Parts Supply

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1811.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112594

Amount of Each Receipt this Period: 181.14

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 640.81

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jones, Reese, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 527.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112831

Amount of Each Receipt this Period: 52.74

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jordan, Eric, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1772.45

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111971

Amount of Each Receipt this Period: 176.57

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jorgenson, Sarah, , ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112635

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 254.31

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jung, Christopher, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Labor Relations Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 229.56

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112984

Amount of Each Receipt this Period: 23.82

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Jungjohann, Tyrone, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 401.44

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112144

Amount of Each Receipt this Period: 52.82

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 519.75

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110197

Amount of Each Receipt this Period: 24.75

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 544.50

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164318

Amount of Each Receipt this Period: 24.75

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Just, Pamela, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, Business Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 569.25

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267803

Amount of Each Receipt this Period: 24.75

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kaiser, John, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Regional Site Purchasing Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112253

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 149.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Karol, Kathryn, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113127

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kauk, Zachary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): WW Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2077.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112371

Amount of Each Receipt this Period: 207.72

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kearns, Patrick, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 537.12

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112421

Amount of Each Receipt this Period: 59.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 684.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kees, Matthew, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112642

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keith, Richard, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112614

Amount of Each Receipt this Period: 60.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keith, Terry, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Business Process Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112312

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 110.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kellen, Jason, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112997

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keltner, Jeremiah, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 316.28

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113125

Amount of Each Receipt this Period: 32.08

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kendrick, James, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1478.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112569

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 77.08

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Keough, Colleen, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112397

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kerelchuk, Colin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112020

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Khan, Mohammed, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112668

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 155.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kibby, Steven, , ,

Mailing Address 100 NE Adams
No. 16 Chaoyangmenwai Ave

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2135.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112489

Amount of Each Receipt this Period: 213.58

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kim, Charlie, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Project Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112901

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kim, Hyung, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110223

Amount of Each Receipt this Period: 10.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 248.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kim, Hyung, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164343

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kim, Hyung, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267794

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kirkpatrick, Russell, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Service Analyst

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 315.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112990

Amount of Each Receipt this Period: 33.51

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 53.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kitchel, Jason, , ,

Mailing Address 3450 Executive Way

City: Miramar | State: FL | Zip Code: 33025

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2065.33

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112778

Amount of Each Receipt this Period: 202.60

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 262.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110226

Amount of Each Receipt this Period: 12.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 275.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164055

Amount of Each Receipt this Period: 12.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 227.60

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klabunde, Michael, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Director, Locomotive Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 287.50

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267387

Amount of Each Receipt this Period: 12.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kline, Steven, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 437.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112086

Amount of Each Receipt this Period: 43.71

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Klister, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112547

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 106.21

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knecht, Steven, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112580

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knepp, Beth, , ,

Mailing Address 2000 E Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Talent Development Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112307

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knepp, Wesley, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Labor Relations Consultant

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1575.26

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112075

Amount of Each Receipt this Period: 158.45

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 233.45

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knotts, Christine, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 562.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112084

Amount of Each Receipt this Period: 56.26

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knox, Travis, , ,

Mailing Address 2950 Temple School Rd

City: Winston-Salem | State: NC | Zip Code: 27107

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Project Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112015

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Knuth, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 391.35

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112998

Amount of Each Receipt this Period: 41.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 122.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kobrak, Harold, , ,

Mailing Address 100 NE Adams
Tokyo-To Setagaya-ku

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1635.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112483

Amount of Each Receipt this Period: 163.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Koors, Sean, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 484.52

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112843

Amount of Each Receipt this Period: 50.63

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kopinski, Christopher, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Inventory Mgmt Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112313

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 239.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Koziner, Pablo, , ,

Mailing Address 2200 Pacific Hwy

City: San Diego | State: CA | Zip Code: 92101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Svcs Reg Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112348

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kozlowski, Patrick, , ,

Mailing Address 330 Sw Adams

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2010.63

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112612

Amount of Each Receipt this Period: 202.80

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kroger, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112879

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 649.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Krueckeberg, Todd, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112458

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kruse, Brian, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 930.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112188

Amount of Each Receipt this Period: 93.05

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kumpf, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): GM Parts Supply Chain Strateg

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1785.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112281

Amount of Each Receipt this Period: 178.54

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 296.59

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kupferschmid, Jason, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 737.90

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112702

Amount of Each Receipt this Period: 74.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Kurumbailmadam, Ravisankar, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2111983

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lael, Christina, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Accountant

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112992

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 214.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lagacy, Julie, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112576

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LaHood, Daniel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1552.06

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113172

Amount of Each Receipt this Period: 156.70

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lambert, Benjamin, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 704.44

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112978

Amount of Each Receipt this Period: 67.93

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 641.30

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lane-Christian, Deborah, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 294.61

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112673

Amount of Each Receipt this Period: 28.93

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lang, Mark, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1514.72

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112456

Amount of Each Receipt this Period: 152.93

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lantz, Jason, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 709.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112538

Amount of Each Receipt this Period: 70.98

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 252.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lash, Susan, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1507.59

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112738

Amount of Each Receipt this Period: 158.23

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lattz, Daniel, J, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 593.49

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112689

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lautzenheiser, Scott, , ,

Mailing Address 330 Sw Adams

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Chief Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112304

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 208.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lavezzi, Bryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 697.98

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112622

Amount of Each Receipt this Period: 70.47

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 525.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2110213

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: 11 / 10 / 2016
Transaction ID : A2016-2164319

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.47

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawley, Jimmy, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Information Technology

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 575.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267804

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawlor, James, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Corporate HR

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110204

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawlor, James, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Corporate HR

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164327

Amount of Each Receipt this Period: 10.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 45.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lawlor, James, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager, Corporate HR

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267812

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LeBaron, Elizabeth, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112928

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
LeCrone, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Brand Protect. & Invest. Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112985

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 85.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lee, Christopher, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Procurement Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112667

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lenz, Martha, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 262.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110232

Amount of Each Receipt this Period: 12.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lenz, Martha, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 275.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164061

Amount of Each Receipt this Period: 12.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 55.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lenz, Martha, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 287.50

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266875

Amount of Each Receipt this Period: 12.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 367.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110215

Amount of Each Receipt this Period: 17.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 385.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164340

Amount of Each Receipt this Period: 17.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 47.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lester, Phillip, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 402.50

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267825

Amount of Each Receipt this Period: 17.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Leuba, Sean, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Mgr CPGS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 640.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112481

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Leustek, Matthew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Specialist-Design

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112806

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 52.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lewis, Teresa, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112712

Amount of Each Receipt this Period: 35.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Liang, Chih, , ,

Mailing Address 100 NE Adams
Amphur Pluakdaeng

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112085

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindberg, Richard, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 527.64

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112181

Amount of Each Receipt this Period: 55.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 115.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindley, Gail, , ,

Mailing Address 1003 Miller Street

City: Elkader | State: IA | Zip Code: 52043

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112487

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lindner, Timothy, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112384

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lollar, Matthew, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 449.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112860

Amount of Each Receipt this Period: 44.92

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.92

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Long, Suzette, , ,

Mailing Address 2200 Pacific Highway

City: San Diego | State: CA | Zip Code: 92101

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112835

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Longer, Lloyd, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112941

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lowery, Karla, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 388.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112151

Amount of Each Receipt this Period: 38.87

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 88.87

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lueker, Joshua, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 328.34

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113154

Amount of Each Receipt this Period: 33.47

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lundquist, Linda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1442.24

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113044

Amount of Each Receipt this Period: 145.07

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Luttrell, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Buyer A

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 392.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112010

Amount of Each Receipt this Period: 39.28

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 217.82

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Lynn, Jason, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112970

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
MacLeod, William, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Pending

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1038.47

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112890

Amount of Each Receipt this Period: 106.38

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Magner, Scott, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Supervisor-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111982

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 156.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Manning, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112559

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Manning, Paul, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Comp Administration Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112812

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services

Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1362.52

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110212

Amount of Each Receipt this Period: 62.61

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 137.61

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1425.13

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164334

Amount of Each Receipt this Period: 62.61

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maples, Gregory, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Analyst I, Financial

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1487.74

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267819

Amount of Each Receipt this Period: 62.61

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maquet, Josh, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112426

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 225.22

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Markun, Joseph, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112595

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Arthur, , ,

Mailing Address USA-CA-Kearny Mesa

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Dir Bus Management-Oil & Gas

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122344

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Leon, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112403

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martin, Max, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution & Marketing Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112730

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martinez, Angel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 992.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112153

Amount of Each Receipt this Period: 99.23

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Martinez, Rodolfo, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112294

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 149.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mason, David, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 543.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112418

Amount of Each Receipt this Period: 54.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matheny, Stephen, , ,

Mailing Address 1600 Progress Drive
P.O. Box 1037

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1895.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112965

Amount of Each Receipt this Period: 189.57

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matheson, Sean, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Procurement Manager 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 489.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112964

Amount of Each Receipt this Period: 48.90

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 292.81

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matousek, Dennis, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113041

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Matteo, James, , ,

Mailing Address 100 NE Adams
Uozumi-Cho

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1091.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112732

Amount of Each Receipt this Period: 109.14

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mattox, Jason, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Designer/Modeler

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 323.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112040

Amount of Each Receipt this Period: 32.39

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 166.53

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maxheimer, Phillip, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112424

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Maxwell, John, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 554.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112071

Amount of Each Receipt this Period: 55.46

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mayernich, Linda, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 361.43

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112107

Amount of Each Receipt this Period: 36.12

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 116.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mc Kneight, Paul, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Performance Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112558

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCallen, Douglas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Rgnl/Group Financial Officer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113173

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCartan, Patrick, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Regional Treasurer

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112676

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 491.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCartney, Sandra, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1594.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112440

Amount of Each Receipt this Period: 193.80

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McCauley, Thomas, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112577

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McDonnough, Matthew, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Area Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112753

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 243.80

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McGrew, Ann, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 324.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112708

Amount of Each Receipt this Period: 32.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McGuire, Michael, A, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112805

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKain, Rachel, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 521.65

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112957

Amount of Each Receipt this Period: 104.33

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 553.42

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKay, Heather Rae, R, ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Business Process Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 434.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112783

Amount of Each Receipt this Period: 43.49

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKenna, Ryan, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110229

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKenna, Ryan, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164064

Amount of Each Receipt this Period: 10.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 63.49

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McKenna, Ryan, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266878

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
McMullen, Karen, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 740.88

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112330

Amount of Each Receipt this Period: 74.80

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mellott, Lauren, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 413.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112959

Amount of Each Receipt this Period: 41.30

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 126.10

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Menke, Charles, , ,

Mailing Address State Rt. 116 E.
Spring Creek Rd.

City: East Peoria | State: IL | Zip Code: 61611

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Machine Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112405

Amount of Each Receipt this Period: 75.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Menssen, Andrew, J, ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Laboratory/Support Techn

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 367.44

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112244

Amount of Each Receipt this Period: 38.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mettler, Chris, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112686

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 163.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miceli, Matthew, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112111

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Michael, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112463

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miles, Sonya, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112287

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 175.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miller, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Western Hemisphere Tax Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112688

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Miller, Michael, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Product & Tech Training Supv

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112690

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Milman, Kelsey, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2319.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112316

Amount of Each Receipt this Period: 237.64

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 337.64

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mitchell, Anthony, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 650.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112772

Amount of Each Receipt this Period: 65.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mitts, Marsha, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Tax Planning Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 875.74

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112329

Amount of Each Receipt this Period: 129.29

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mize, Adam, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee: C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1481.95

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112873

Amount of Each Receipt this Period: 1.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 195.29

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mock, Kathleen, , ,

Mailing Address USA-CA-Harbor Drive

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Vice President Global HR

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1054.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122376

Amount of Each Receipt this Period: 105.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mohrman, Patrick, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Customer Services Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 794.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113178

Amount of Each Receipt this Period: 79.47

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 525.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110198

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 209.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 550.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164320

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Monteleone, Arnold, , ,

Mailing Address 525 W. Progress Drive

City: Sherman | State: TX | Zip Code: 75092

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Trackwork Division

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 575.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267805

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Montgomery, Djuana, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1354.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112341

Amount of Each Receipt this Period: 135.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 185.41

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Montgomery, Kimberly, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Analyst II

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112213

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mooney, Martin, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facilities Engineering Mgr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1632.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112163

Amount of Each Receipt this Period: 164.77

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jannie, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1103.98

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113136

Amount of Each Receipt this Period: 111.46

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 301.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jeffrey, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 742.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112360

Amount of Each Receipt this Period: 74.25

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Jim, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112416

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moore, Richard, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Investor Relations

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1789.38

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112563

Amount of Each Receipt this Period: 111.84

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 211.09

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moran, Barbra, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1107.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112245

Amount of Each Receipt this Period: 110.70

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moritz, LeAnne, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112267

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Morton, Susan, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 459.08

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113122

Amount of Each Receipt this Period: 36.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 197.38

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Moss, Jarrod, , ,

Mailing Address 100 Caterpillar Way

City: Thomasville | State: GA | Zip Code: 31792

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1357.74

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112100

Amount of Each Receipt this Period: 136.57

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Mowbray, Sherri, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 824.90

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112140

Amount of Each Receipt this Period: 82.49

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Muir, Thomas, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPS Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2111959

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 244.06

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Muthekepalli, Srini, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 606.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112710

Amount of Each Receipt this Period: 60.60

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Christopher, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Federal Govt. Affairs Director

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2792.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112920

Amount of Each Receipt this Period: 279.24

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Stewart, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 890.68

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113097

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 389.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Myers, Timothy, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mfg Engrg Superintendent

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112173

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nagaranthal, Bhaskar, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112446

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Needham, Joseph, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Planning Mgr 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112016

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 262.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110219

Amount of Each Receipt this Period: 12.50

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 275.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164342

Amount of Each Receipt this Period: 12.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Gary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 287.50

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267793

Amount of Each Receipt this Period: 12.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 37.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nelson, Trent, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 428.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112180

Amount of Each Receipt this Period: 42.85

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Newell, Dontae, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 427.26

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112121

Amount of Each Receipt this Period: 71.21

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Newman, Benjamin, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112653

Amount of Each Receipt this Period: 42.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 156.06

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nguyen, Chau, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 980.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112588

Amount of Each Receipt this Period: 98.08

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nicoll, David, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Bus Proc Improvement

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112201

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Niemeier, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 902.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113170

Amount of Each Receipt this Period: 90.27

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 228.35

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Norlin, John, , ,

Mailing Address 14009 N Old Galena Rd
Tech Center Bldg K

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1042.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112271

Amount of Each Receipt this Period: 157.16

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Norris, Kurt, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 995.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112531

Amount of Each Receipt this Period: 99.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Nunez Abe, Jose, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1861.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112755

Amount of Each Receipt this Period: 186.17

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 442.83

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Fallon, Deborah, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112981

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 883.74

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110214

Amount of Each Receipt this Period: 80.34

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 964.08

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164321

Amount of Each Receipt this Period: 80.34

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 210.68

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neal, Edward, A, ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Health & Safety

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1044.42

Date of Receipt: 11 / 24 / 2016
Transaction ID : A2016-2267806

Amount of Each Receipt this Period: 80.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
O'Neil, Edwin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mfg. Logistics & Transp. Mgr.

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112496

Amount of Each Receipt this Period: 250.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ocker, Wayne, , ,

Mailing Address 444 Birch Street

City: Prentice | State: WI | Zip Code: 54556

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Service Technical Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 392.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112909

Amount of Each Receipt this Period: 39.20

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 369.54

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Oden, Carey, , ,
Mailing Address: 4301 D Iverson Blvd
City: Trinity | State: AL | Zip Code: 35673
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager II, Plant
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 210.00
Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2110192
Amount of Each Receipt this Period: 10.00
☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Oden, Carey, , ,
Mailing Address: 4301 D Iverson Blvd
City: Trinity | State: AL | Zip Code: 35673
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager II, Plant
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 220.00
Date of Receipt: 11 / 10 / 2016
Transaction ID : A2016-2164312
Amount of Each Receipt this Period: 10.00
☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Oden, Carey, , ,
Mailing Address: 4301 D Iverson Blvd
City: Trinity | State: AL | Zip Code: 35673
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager II, Plant
Receipt For: ☐ Primary ☐ General ☐ Other (specify)
Aggregate Year-to-Date ▼: 230.00
Date of Receipt: 11 / 24 / 2016
Transaction ID : A2016-2267797
Amount of Each Receipt this Period: 10.00
☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 30.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oliphant, James, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1526.34

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112317

Amount of Each Receipt this Period: 153.53

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oliveira, Paulo, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1551.68

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112744

Amount of Each Receipt this Period: 156.07

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Onstott, Zena, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113017

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 334.60

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ORourke, Dennis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Product Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112091

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Orr, Kelly, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): General Manager of Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112196

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ortega, Roberto, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1795.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113064

Amount of Each Receipt this Period: 179.50

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 229.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Osborn, Denver, M, ,

Mailing Address USA-CA-Skypark 3

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Design Engineer

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122359

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ossandon, Luis, , ,

Mailing Address 97 E. Congress St.

City: Tucson | State: AZ | Zip Code: 85701

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 359.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113088

Amount of Each Receipt this Period: 18.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ostrowski, Edward, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113053

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 68.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Owens, Barrington, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2182.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112896

Amount of Each Receipt this Period: 218.28

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Oyler, Zachary, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 469.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112962

Amount of Each Receipt this Period: 46.95

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Palmer, Justin, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 461.33

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112240

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 290.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Parks, Brett, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1806.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111980

Amount of Each Receipt this Period: 180.61

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Paternoga, Robert, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Engines

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112742

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Patterson, Teresa, , ,

Mailing Address 5000 Womack Rd

City: Sanford | State: NC | Zip Code: 27330

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112927

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 622.28

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Paulson, Leslie, , ,

Mailing Address 300 Hamilton Blvd.
Suite 101

City: Peoria | State: IL | Zip Code: 61629-3800

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Tech Info Solutions Dept Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112324

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pedigo, Randy, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 585.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112986

Amount of Each Receipt this Period: 58.50

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pellette, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112757

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 505.17

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Penn, Audie, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Master Black Belt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113022

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Perez, Marco, , ,

Mailing Address USA-TX-Houston

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Regional Manager III

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 208.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122371

Amount of Each Receipt this Period: 20.84

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Perez Sandi, Francisco, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 752.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112419

Amount of Each Receipt this Period: 75.24

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 121.08

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Peterson, Bradley, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 288.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112584

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Peterson, Vanessa, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 232.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112437

Amount of Each Receipt this Period: 46.56

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Petterson, Douglas, , ,

Mailing Address Highway 42 East
P O Box 999

City: Clayton | State: NC | Zip Code: 27528

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112444

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 483.23

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Peyton, Amy, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 327.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113114

Amount of Each Receipt this Period: 32.75

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pfautsch, Brian, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Reman BU Mgr - Engine Systems

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1354.28

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112560

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Philbee, Todd, , ,

Mailing Address 100 NE Adams
Las Condes

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Mktg Center of Excellence Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 996.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112743

Amount of Each Receipt this Period: 99.61

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 182.36

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Phipps, David, , ,

Mailing Address 11601 93rd Ave N

City: MapleGrove | State: MN | Zip Code: 55369

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112552

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pickell, Michael, E, ,

Mailing Address 14009 Old Galena Rd.
Bldg G

City: Mossville | State: IL | Zip Code: 61656

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112025

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pierce, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 781.48

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112376

Amount of Each Receipt this Period: 78.90

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 133.90

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pitts, David, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 615.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112120

Amount of Each Receipt this Period: 61.23

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pladers, Melissa, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Staff Acct II Cost / DS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112296

Amount of Each Receipt this Period: 35.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Poliner, Jessica, , ,

Mailing Address 100 NE Adams
West Tower 1st Floor

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1286.64

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113094

Amount of Each Receipt this Period: 71.48

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 167.71

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Poole, Joseph, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Area Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1633.92

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112251

Amount of Each Receipt this Period: 164.34

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Potts, Rachel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112851

Amount of Each Receipt this Period: 75.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Potvin, Richard, , ,

Mailing Address 500 Nils Road

City: Butte | State: MT | Zip Code: 59702

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112974

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 264.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110207

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164331

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Powell, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP and Controller

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267816

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Precopia, Steven, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113101

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Prendergast, Richard, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111992

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Pressler, Jonathan, , ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112455

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Price, Stephanie, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 302.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113000

Amount of Each Receipt this Period: 30.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Quick, Hallie, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 389.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111994

Amount of Each Receipt this Period: 38.95

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Quigg, James, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1140.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112362

Amount of Each Receipt this Period: 114.03

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 183.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rainey, Jamero, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113020

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rall, Peter, , ,

Mailing Address 100 NE Adams Street

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113093

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ramos, Ennodio, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Special Assignment Mgmt

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 900.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122335

Amount of Each Receipt this Period: 90.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 165.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Anna, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 253.94

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112117

Amount of Each Receipt this Period: 11.78

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Anna, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 253.94

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112118

Amount of Each Receipt this Period: 11.68

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rasmussen, Terry, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1324.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112043

Amount of Each Receipt this Period: 133.26

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 156.72

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rassi, Anthony, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Multi-Site Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1925.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112787

Amount of Each Receipt this Period: 192.51

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rathe, Richard, , ,

Mailing Address 3450 Executive Way

City: Miramar | State: FL | Zip Code: 33025

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112556

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rathman, Carol, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112670

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 267.51

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reber, Keith, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112471

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Redmon, Jeffrey, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engrg Talent & Competency Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112525

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reese, Davis, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112124

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 80.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reiners, Eric, , ,

Mailing Address 14009 Old Galena Rd.
Bldg E

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112390

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reinhart, James, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112621

Amount of Each Receipt this Period: 40.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reinholdt, Ron, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112292

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Reitz, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113061

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rich, Steven, , ,

Mailing Address 100 NE Adams
222 Moo 11 Ban Khai-Nong La Lok Ro

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 225.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112600

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Richards, Mark, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112098

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 75.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rider, Woody, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 433.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112427

Amount of Each Receipt this Period: 43.38

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rieker, Steven, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112354

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Riggle, Robert, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 631.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113089

Amount of Each Receipt this Period: 63.12

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 131.50

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rink, James, , ,

Mailing Address 2200 Channahon Rd
P O Box 504

City: Joliet | State: IL | Zip Code: 60436

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112578

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robbins, Ryan, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 501.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112319

Amount of Each Receipt this Period: 50.13

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roberson, Keith, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112451

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.13

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roberts, Janelle, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112209

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robertson, Michael, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Process Control Engineer A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113010

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Robinson, Adam, J, ,

Mailing Address USA-CA-Skypark 4

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Sr Account Manager-Sales

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122350

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 90.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rocke, Matthew, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Logistics Engrg & Planning Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112170

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1050.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110202

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1100.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164325

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roeder, David, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): SVP Eng & Track Serv Bus Unit

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1150.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267810

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 520.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110235

Amount of Each Receipt this Period: 23.68

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 544.64

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164056

Amount of Each Receipt this Period: 23.68

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 97.36

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roenspies, David, , ,

Mailing Address 9301 W. 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 568.32

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2266870

Amount of Each Receipt this Period: 23.68

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roethler, Mary, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): TIS Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 838.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112369

Amount of Each Receipt this Period: 83.80

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rohr, Jeffery, , ,

Mailing Address 325 Green Valley Road

City: Griffin | State: GA | Zip Code: 30223

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Facility Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112184

Amount of Each Receipt this Period: 35.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 142.48

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rooney, James, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112217

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Roos, Kimberly, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Engineering Team Leader

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 279.92

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112973

Amount of Each Receipt this Period: 43.32

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rous, James, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Inventory & Logistics Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 638.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112534

Amount of Each Receipt this Period: 63.86

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 523.85

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rowen, Katelyn, , ,

Mailing Address 7300 Lone Tree Road

City: Victoria | State: TX | Zip Code: 77901

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Rep - 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 268.45

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2113111

Amount of Each Receipt this Period: 32.39

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Rubel, Juergen, , ,

Mailing Address 15550 NW 59Th Avenue

City: Miami Lakes | State: FL | Zip Code: 33014

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 784.56

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112133

Amount of Each Receipt this Period: 79.21

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ruppel, Jeffrey, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1764.06

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2113023

Amount of Each Receipt this Period: 177.44

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 289.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Russell, Mark, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, ETS Administration

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 210.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110194

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Russell, Mark, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, ETS Administration

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 220.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164315

Amount of Each Receipt this Period: 10.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Russell, Mark, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, ETS Administration

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267800

Amount of Each Receipt this Period: 10.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 30.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Russell, Melissa, , ,

Mailing Address Suite 400
1425 K Street

City: Washington | State: DC | Zip Code: 20005

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1670.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113162

Amount of Each Receipt this Period: 167.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ruth, Brent, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Process Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112975

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ryan, Steven, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113063

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 217.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Saad, Jeffrey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1821.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112479

Amount of Each Receipt this Period: 182.15

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sage, Jason, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Div HR Sup Chain & Qual Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2368.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112283

Amount of Each Receipt this Period: 236.81

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sager, Matthew, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resource Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 260.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112430

Amount of Each Receipt this Period: 5.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 423.96

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sale, Ronald, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 641.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112963

Amount of Each Receipt this Period: 64.15

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sallowicz, Marcos, , ,

Mailing Address 701 Waterford Way
Suite 600

City: Miami | State: FL | Zip Code: 33126

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Distribution Svcs Reg Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112714

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Samples, Susan, , ,

Mailing Address Components Group
100 Caterpillar Drive

City: Booneville | State: MS | Zip Code: 38829

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 419.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112302

Amount of Each Receipt this Period: 41.96

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 206.11

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sampson, Jeffrey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Remarketing Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112165

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sandoval, Jesus, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112001

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Savage, E Jean, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4167.85

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112993

Amount of Each Receipt this Period: 416.07

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 471.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schaer, Corey, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113052

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schaffroth, William, , ,

Mailing Address 400 Regency Forest Drive
Suite 400

City: Cary | State: NC | Zip Code: 27518

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1452.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112470

Amount of Each Receipt this Period: 145.24

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 642.39

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110200

Amount of Each Receipt this Period: 30.59

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 200.83

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 672.98

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164323

Amount of Each Receipt this Period: 30.59

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schebo, Jack, , ,

Mailing Address 5600 Stilwell

City: Kansas City | State: MO | Zip Code: 64120

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager I, Project

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 703.57

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267808

Amount of Each Receipt this Period: 30.59

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schmillen, Kenneth, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 399.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113068

Amount of Each Receipt this Period: 39.93

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 101.11

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schnelle, Tonya, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): TIS Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1610.80

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112603

Amount of Each Receipt this Period: 161.08

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schryver, Scott, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112161

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Schwarzentraub, Barbara, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir. Cat Parts Distribution

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112333

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 602.75

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Scott, Johanna, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 385.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113159

Amount of Each Receipt this Period: 55.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sears, Kevin, R, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1087.86

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112633

Amount of Each Receipt this Period: 180.71

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
See, Alex, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 265.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113032

Amount of Each Receipt this Period: 26.55

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 262.26

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Seely, Mary, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Lead Human Resources Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1677.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111957

Amount of Each Receipt this Period: 212.95

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Selburg, Brent, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Parts Operations Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112276

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shaffer, Scott, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112579

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 287.95

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shankwitz, Phillip, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 4

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1697.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112500

Amount of Each Receipt this Period: 169.72

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shannon, Clarence, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 409.29

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2113106

Amount of Each Receipt this Period: 40.46

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shannon, David, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112014

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 260.18

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shapland, Brad, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): IT Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 786.94

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112363

Amount of Each Receipt this Period: 79.45

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sharp, Robert, , ,

Mailing Address 501 Cardinal Drive

City: Corinth | State: MS | Zip Code: 38834

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Project Engr 1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 794.12

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112678

Amount of Each Receipt this Period: 82.82

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shaw, Ernest, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Parts Information Project Ldr

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112083

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 187.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shepherd, Scott, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Purchasing

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 757.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113116

Amount of Each Receipt this Period: 76.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shook, Barry, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Solution Lead I

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 416.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112210

Amount of Each Receipt this Period: 41.66

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shook, Paula, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Info Technology Manager-III

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1802.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112474

Amount of Each Receipt this Period: 180.23

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 297.89

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shubert, Lindsey, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Associate Communications Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 331.93

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113126

Amount of Each Receipt this Period: 31.31

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shurman, Rodney, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2182.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112367

Amount of Each Receipt this Period: 218.28

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Shute, Truman, , ,

Mailing Address 2800 E. Cedar Hills Drive

City: Chillicothe | State: IL | Zip Code: 61523

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 633.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112108

Amount of Each Receipt this Period: 63.35

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 312.94

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Siebenaler, Chad, , ,

Mailing Address 600 W Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112195

Amount of Each Receipt this Period: 35.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simison, Theodore, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112513

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simmons, Thomas, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112309

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 491.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Simpson, Trent, A, ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1977.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112374

Amount of Each Receipt this Period: 197.75

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Singanallur, Chidambaram, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior IT Supervisor

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112038

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sisler, Tammy, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 259.14

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112845

Amount of Each Receipt this Period: 26.64

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 249.39

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skarvan, Dennis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112172

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skeels, Gregory, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112574

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Skinner, Jaime, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113006

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 491.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sluga, Maureen, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Marketing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 787.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112465

Amount of Each Receipt this Period: 80.69

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Amanda, , ,

Mailing Address 250 Dozer Drive

City: Athens | State: GA | Zip Code: 30606

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 389.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113082

Amount of Each Receipt this Period: 38.97

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1687.48

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110221

Amount of Each Receipt this Period: 85.38

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 205.04

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1772.86

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164332

Amount of Each Receipt this Period: 85.38

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Mary, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1858.24

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267817

Amount of Each Receipt this Period: 85.38

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Ronald, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 400.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112409

Amount of Each Receipt this Period: 40.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 210.76

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Smith, Wayne, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1357.04

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112187

Amount of Each Receipt this Period: 137.01

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Snyder, Brian, , ,

Mailing Address 560 Rehoboth Road

City: Griffin | State: GA | Zip Code: 30224

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 549.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112237

Amount of Each Receipt this Period: 54.91

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soendlin, Deron, , ,

Mailing Address 500 N. Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112596

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 216.92

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110208

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164333

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Soriano, Jose, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): VP, Intermodal Operation

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267818

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 60.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Southern, Walter, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 616.19

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113090

Amount of Each Receipt this Period: 61.15

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Speake, Laura, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 767.28

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113151

Amount of Each Receipt this Period: 127.88

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spears, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Deputy General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112693

Amount of Each Receipt this Period: 75.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 264.03

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spielman, Michael, , ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Engineering Proj Team Leadr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112063

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spitznagle, Kathryn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112477

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spitznagle, Matthew, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sales Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 387.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112636

Amount of Each Receipt this Period: 38.70

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 113.70

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spooner, David, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 377.11

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2113092

Amount of Each Receipt this Period: 62.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2110203

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: 11 / 10 / 2016
Transaction ID : A2016-2164326

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 102.20

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Spruiell, Laine, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Controller, International

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267811

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stafl, Lisa, , ,

Mailing Address 9301 West 55th Street

City: LaGrange | State: IL | Zip Code: 60525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113105

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Staley, Daniel, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 541.14

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113150

Amount of Each Receipt this Period: 55.32

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 125.32

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stanley, James, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Supervisor 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 921.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112904

Amount of Each Receipt this Period: 92.13

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Starr, James, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112234

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Steidinger, Theodore, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Black Belt-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 983.90

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112182

Amount of Each Receipt this Period: 98.39

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 240.52

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Steiner, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2500.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112194

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sterton, Scott, , ,

Mailing Address 3535 Factoria Blvd. SE
Suite 350

City: Bellevue | State: WA | Zip Code: 98006

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Regional Insurance Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112242

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevens, Janet, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 559.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113152

Amount of Each Receipt this Period: 55.91

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 497.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevens, Matthew, , ,

Mailing Address 9401 85Th Avenue North

City: Brooklyn Park | State: MN | Zip Code: 55445

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 368.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112955

Amount of Each Receipt this Period: 36.88

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stevenson, Brad, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): EHS Manager I

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1304.34

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112480

Amount of Each Receipt this Period: 131.69

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stewart, Marise, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 865.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2108350

Amount of Each Receipt this Period: 865.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 1033.57

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stiles, Sally, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir. of Tax & Trade Operations

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112637

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stimeling, John, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 472.23

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112819

Amount of Each Receipt this Period: 45.63

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stockwell, Tammi, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 873.58

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113005

Amount of Each Receipt this Period: 109.85

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 572.15

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stokes, Jennifer, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Rental/Used Equipment Cnslt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016

Transaction ID : A2016-2112807

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stoller, Kevin, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Corporate Cash Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: 10 / 31 / 2016

Transaction ID : A2016-2112320

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Brian, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Group Manager 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1086.50

Date of Receipt: 10 / 31 / 2016

Transaction ID : A2016-2112793

Amount of Each Receipt this Period: 108.65

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 158.65

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Casey, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Quality Supervisor 5

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112999

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stone, Jonathan, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1370.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112794

Amount of Each Receipt this Period: 138.32

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stratton, Mark, , ,

Mailing Address 100 NE Adams
Eastfield

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager Reman

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112597

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 579.99

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Strong, Robert, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Technical Services Manager 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 2305.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112449

Amount of Each Receipt this Period: 230.55

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stroup, Dana, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1729.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112261

Amount of Each Receipt this Period: 192.20

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Stuart, Robert, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Benefit Vndr Mgr w/ Plan Desig

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112956

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 447.75

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Sundell, Daniel, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager-KEC

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1232.94

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112583

Amount of Each Receipt this Period: 124.48

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Swenson, Rodney, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Support Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112535

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Swick, William, , ,

Mailing Address 1167 NC Hwy 42 East

City: Clayton | State: NC | Zip Code: 27527

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 756.32

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112288

Amount of Each Receipt this Period: 76.36

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 225.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Talley, Ross, , ,

Mailing Address 600 Balderson Blvd
P O Box 6

City: Wamego | State: KS | Zip Code: 66547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112995

Amount of Each Receipt this Period: 30.07

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Taphorn, Matthew, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1120.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112856

Amount of Each Receipt this Period: 112.08

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tate, Larry, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2112546

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 558.82

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
ter Horst, Kenneth, , ,

Mailing Address USA-CA-Customer Support Center

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Project Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2122347

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Terpstra, Daniel, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Special Assignment

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1712.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112385

Amount of Each Receipt this Period: 171.28

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thieneman, Kevin, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112072

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 296.28

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thomas, Heather, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Communications Rep - 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112004

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Mark, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Sr. Business Resource Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112361

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Mary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.52

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113060

Amount of Each Receipt this Period: 25.02

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 100.02

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Thompson, Robert, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): President Insurance Services

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4350.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112727

Amount of Each Receipt this Period: 435.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tillotson, Steven, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): CPGS Projects Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1607.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112398

Amount of Each Receipt this Period: 160.71

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tilly, Bradley, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Commercial Mining

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 827.40

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112048

Amount of Each Receipt this Period: 82.74

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 678.45

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tisdale, Kurt, , ,

Mailing Address 501 SW Jefferson

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Market Development Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 205.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112537

Amount of Each Receipt this Period: 10.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tobias, Charles, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): NPI Program Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 823.31

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112410

Amount of Each Receipt this Period: 90.17

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Toher, Susan, , ,

Mailing Address 4-H Park Road
P O Box 740

City: Pontiac | State: IL | Zip Code: 61764

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.

Occupation (for Individual): Operations Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112145

Amount of Each Receipt this Period: 100.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 200.17

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tolin, Fredrik, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113134

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tomlins, Gregory, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1126.51

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112665

Amount of Each Receipt this Period: 160.93

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tomsovic, Brian, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 705.08

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112262

Amount of Each Receipt this Period: 71.18

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 262.11

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Towne, Ursula, , ,

Mailing Address 14009 Old Galena Rd.
Bldg L

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 374.32

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112186

Amount of Each Receipt this Period: 38.53

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Trent, Andrew, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112137

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Trueblood, John, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 383.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112982

Amount of Each Receipt this Period: 38.33

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 106.86

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tucker, Robyn, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 392.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112919

Amount of Each Receipt this Period: 39.27

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Tyre, Beth, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112672

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Uken, Gary, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Engineering

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112097

Amount of Each Receipt this Period: 30.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 94.27

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Umpleby, Donald, , , III

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Group President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112175

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Underwood, Jonathon, , ,

Mailing Address 8826 2 Route 24

City: Mapleton | State: IL | Zip Code: 61547

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manufacturing Engineer 3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112930

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Utley, Tana, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112542

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 858.34

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Valek, Robert, , ,

Mailing Address 751 International Dr

City: Franklin | State: IN | Zip Code: 46131

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 402.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112983

Amount of Each Receipt this Period: 40.21

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Van Kley, Thomas, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Commercial Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112026

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vanek, Jeffrey, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Industry Representative

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 780.73

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112031

Amount of Each Receipt this Period: 126.91

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 192.12

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VanHootegem, Janelle, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 332.20

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113016

Amount of Each Receipt this Period: 33.22

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vannette, Mark, , ,

Mailing Address 3501 South FM Hwy 1417

City: Denison | State: TX | Zip Code: 75020

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112740

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Varela, Antonio, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 289.87

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112830

Amount of Each Receipt this Period: 41.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.63

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vassallo, Gina, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Process Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1652.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112159

Amount of Each Receipt this Period: 165.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Velasquez, Sheryl, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Human Resources Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112704

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Velde, Brandon, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr Technology Specialist

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 278.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112198

Amount of Each Receipt this Period: 27.87

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 218.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Verheyen, Michael, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): General Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112497

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Verpraet, Annette, R, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Division Communications Lead

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112274

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
VeZain, Darilynn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 331.28

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113080

Amount of Each Receipt this Period: 41.88

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 166.88

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Viars, Vincent, , ,

Mailing Address 2045 West Pike Street

City: Houston | State: PA | Zip Code: 15342

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 389.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113115

Amount of Each Receipt this Period: 38.90

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Vick, Mary, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Division HR Communication Mgr

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112233

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Volz, Carl, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Sr. Governmental Affairs Rep

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 664.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112644

Amount of Each Receipt this Period: 67.05

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 130.95

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walenta, John, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Factory Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 941.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112515

Amount of Each Receipt this Period: 94.17

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walters, Thomas, , ,

Mailing Address 600 S. Second Street
Suite 101

City: Springfield | State: IL | Zip Code: 62704

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager Governmental Affairs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1950.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112232

Amount of Each Receipt this Period: 195.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Walton, David, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President CFSC

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 2389.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112352

Amount of Each Receipt this Period: 238.98

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 528.15

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Ward, Timothy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Supply Chain Planning

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113024

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weaver, Travis, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 229.68

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113133

Amount of Each Receipt this Period: 25.52

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weer, Noel, S, ,

Mailing Address Building H Dock 13

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Demand & Orders Mgr 2

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 645.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112192

Amount of Each Receipt this Period: 64.57

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 190.09

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weiss, Karl, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Vice President

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113167

Amount of Each Receipt this Period: 416.67

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
West, Christopher, , ,

Mailing Address 100 Tractor Drive
Building CV Dock CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 481.59

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112096

Amount of Each Receipt this Period: 48.39

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
West, Greg, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 327.22

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112815

Amount of Each Receipt this Period: 33.52

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 498.58

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Weston, Douglas, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Global Segment Manager A

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 750.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112564

Amount of Each Receipt this Period: 75.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
White, Christopher, , ,

Mailing Address 3701 State Road 26 East

City: Lafayette | State: IN | Zip Code: 47905

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112223

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
White, Scott, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 328.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112654

Amount of Each Receipt this Period: 32.83

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 157.83

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Whitehead, John, , ,

Mailing Address 100 S. L. White Blvd.

City: La Grange | State: GA | Zip Code: 30241

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 600.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112874

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Whiteley, Douglas, , ,

Mailing Address 90 Ceramic Tile Dr

City: Morganton | State: NC | Zip Code: 28655

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1750.74

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112265

Amount of Each Receipt this Period: 176.10

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wick, Jennifer, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 728.96

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112433

Amount of Each Receipt this Period: 72.76

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 348.86

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wickenhauser, Autumn, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 445.30

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113129

Amount of Each Receipt this Period: 44.53

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiegardt, Marcy, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112476

Amount of Each Receipt this Period: 30.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wieringa, Gerritt, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 545.46

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113148

Amount of Each Receipt this Period: 55.32

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 129.85

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiest, Valerie, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-3

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 836.80

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112659

Amount of Each Receipt this Period: 83.68

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wignall, Troy, , ,

Mailing Address 500 N Morton Ave

City: Morton | State: IL | Zip Code: 61550

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 320.29

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112943

Amount of Each Receipt this Period: 53.38

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wiklund, Robert, , ,

Mailing Address 100 NE Adams
Central Boulevard Blythe Valley Pa

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112438

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 187.06

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wilcoxen, Sanford, , ,

Mailing Address 27Th & Pershing Rd
PO Box 1430

City: Decatur | State: IL | Zip Code: 62525

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 534.60

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112190

Amount of Each Receipt this Period: 53.46

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wilken, Laurence, , ,

Mailing Address 100 Ne Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Associate General Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112756

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Willi, Martin, , ,

Mailing Address 14009 Old Galena Rd.
Bldg F

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-1

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 803.88

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112555

Amount of Each Receipt this Period: 81.16

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 234.62

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Williams, Timothy, , ,

Mailing Address 211 Fulton Street
Suite 500B

City: Peoria | State: IL | Zip Code: 61602

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Global Security Svcs

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1082.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112980

Amount of Each Receipt this Period: 108.20

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Williamson, Theodore, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 700.02

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112208

Amount of Each Receipt this Period: 116.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Winkel, Christopher, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): 6 Sigma Strategy Champion

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 524.10

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112540

Amount of Each Receipt this Period: 52.41

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 277.28

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Winkler, Michelle, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 490.18

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113113

Amount of Each Receipt this Period: 49.97

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wisher, Jessica, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Account Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112836

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Woith, Mark, , ,

Mailing Address 100 NE Adams
44534 Luenen

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Purchasing Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112488

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 99.97

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wojda, Kelly, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director of Talent Attraction

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 300.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112332

Amount of Each Receipt this Period: 30.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1569.54

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2110222

Amount of Each Receipt this Period: 71.42

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 1640.96

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016

Transaction ID : A2016-2164313

Amount of Each Receipt this Period: 71.42

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 172.84

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolbert, Robert, , ,

Mailing Address 1600 Progress Drive

City: Albertville | State: AL | Zip Code: 35950

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1712.38

Date of Receipt: M M 11 / D D 24 / Y Y Y Y 2016

Transaction ID : A2016-2267798

Amount of Each Receipt this Period: 71.42

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wolfe, Timothy, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Power Systems Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112179

Amount of Each Receipt this Period: 25.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wood, Bruce, , ,

Mailing Address 27Th & Pershing Rd

City: Decatur | State: IL | Zip Code: 62526

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 306.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2111985

Amount of Each Receipt this Period: 30.65

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 127.07

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Wurtzbacher, Corey, , ,

Mailing Address 12301 Old Galena Road

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Site Purchasing Manager B

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112891

Amount of Each Receipt this Period: 50.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yang, Zhishang, , ,

Mailing Address 100 Tractor Drive
Building CV

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 230.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112032

Amount of Each Receipt this Period: 28.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yenamala, Kesava, , ,

Mailing Address Route 29 & Old Galena Road
Dock 55

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112838

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 103.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 11a [ ] 11b [ ] 11c [ ] 12
[ ] 13 [ ] 14 [ ] 15 [ ] 16 [ ] 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yerby, Gary, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Engineering Manager-2

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112733

Amount of Each Receipt this Period: 25.00

[ ] Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yergler, Daren, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: [ ] Primary [ ] General [ ] Other (specify) ▼

Aggregate Year-to-Date ▼ 1501.50

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112652

Amount of Each Receipt this Period: 150.15

[ ] Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Yordy, Christine, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Communications Rep - 3

Receipt For: [ ] Primary [ ] General [ ] Other (specify)

Aggregate Year-to-Date ▼ 384.29

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112952

Amount of Each Receipt this Period: 42.68

[ ] Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 217.83

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
York, Derrick, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Director Strategic Investments

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113059

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Younessi, Ramin, , ,

Mailing Address 1720 Kingsbury

City: Seguin | State: TX | Zip Code: 78155

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2113141

Amount of Each Receipt this Period: 416.67

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Bridget, M, ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Exec. Office Sr. Communicator

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112013

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 466.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Donald, , ,

Mailing Address 812 W. Washington St

City: East Peoria | State: IL | Zip Code: 61630

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Product Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112862

Amount of Each Receipt this Period: 100.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Michael, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Dir Corp. Business Developmnt

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 1000.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112915

Amount of Each Receipt this Period: 100.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Young, Timothy, , ,

Mailing Address 1100 Milwaukee Ave
PO Box 500

City: South Milwaukee | State: WI | Zip Code: 53172-0500

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Supply Chain Performance Mgr4

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112848

Amount of Each Receipt this Period: 25.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 225.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zameda, Sarah, E, ,

Mailing Address 325 Route 31 South (Caterpillar Dr
PO Box 348

City: Aurora | State: IL | Zip Code: 60507

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Staff Acct II Cost / DS

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 260.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2111979

Amount of Each Receipt this Period: 26.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 420.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016
Transaction ID : A2016-2110209

Amount of Each Receipt this Period: 20.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 440.00

Date of Receipt: M M 11 / D D 10 / Y Y Y Y 2016
Transaction ID : A2016-2164335

Amount of Each Receipt this Period: 20.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 66.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zaydel, Alexander, , ,

Mailing Address 10650 Highway 80 East

City: Montgomery | State: AL | Zip Code: 36117

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Progress Rail Services
Occupation (for Individual): Managing Dir International Bus

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 460.00

Date of Receipt: 11 / 24 / 2016
Transaction ID : A2016-2267820

Amount of Each Receipt this Period: 20.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zemke, Wayne, , ,

Mailing Address 10203 Sam Houston Park Drive
Suite 4000

City: Houston | State: TX | Zip Code: 77064

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112200

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zentmyer, Erik, G, ,

Mailing Address USA-CA-Skypark 2

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Solar Turbines
Occupation (for Individual): Prin Project Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2122356

Amount of Each Receipt this Period: 50.00

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 120.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zimmermann, Adam, , ,

Mailing Address 100 NE Adams

City: Peoria | State: IL | Zip Code: 61629

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 250.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112299

Amount of Each Receipt this Period: 25.00

☐ Memo Item

B. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zircher, Jeffrey, , ,

Mailing Address 14009 Old Galena Rd.
Bldg A

City: Mossville | State: IL | Zip Code: 61552

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Manager

Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼

Aggregate Year-to-Date ▼ 500.00

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112331

Amount of Each Receipt this Period: 50.00

☐ Memo Item

C. Full Name of Individual (Last, First, Middle Initial) or Full Organization Name
Zmugg, Leslie, , ,

Mailing Address 2120 West End Avenue
P O Box 340001

City: Nashville | State: TN | Zip Code: 37203

FEC ID number of contributing federal political committee. C

Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Senior Corporate Counsel

Receipt For: ☐ Primary ☐ General ☐ Other (specify)

Aggregate Year-to-Date ▼ 4166.70

Date of Receipt: M M 10 / D D 31 / Y Y Y Y 2016

Transaction ID : A2016-2112734

Amount of Each Receipt this Period: 416.67

☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 491.67

TOTAL This Period (last page this line number only) ▶

SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name: Zundel, Jurg, , ,
Mailing Address: 701 Waterford Way, Suite 600
City: Miami | State: FL | Zip Code: 33126
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual): Caterpillar Inc.
Occupation (for Individual): Assistant General Counsel
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼: 250.00
Date of Receipt: 10 / 31 / 2016
Transaction ID : A2016-2112443
Amount of Each Receipt this Period: 25.00
☐ Memo Item

B.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name:
Mailing Address:
City: | State: | Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual):
Occupation (for Individual):
Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼
Aggregate Year-to-Date ▼:
Date of Receipt: M M / D D / Y Y Y Y
Amount of Each Receipt this Period:
☐ Memo Item

C.
Full Name of Individual (Last, First, Middle Initial) or Full Organization Name:
Mailing Address:
City: | State: | Zip Code:
FEC ID number of contributing federal political committee: C
Name of Employer (for Individual):
Occupation (for Individual):
Receipt For: ☐ Primary ☐ General ☐ Other (specify)
Aggregate Year-to-Date ▼:
Date of Receipt: M M / D D / Y Y Y Y
Amount of Each Receipt this Period:
☐ Memo Item

SUBTOTAL of Receipts This Page (optional) ▶ 25.00

TOTAL This Period (last page this line number only) ▶ 71017.98

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[x] 21b [ ] 22 [ ] 23 [ ] 26 [ ] 27
[ ] 28a [ ] 28b [ ] 28c [ ] 29 [ ] 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): 1st Mid-Illinois Bank & Trust
Mailing Address: 230 S.W. Adams Street, Suite 100
City: Peoria | State: IL | Zip Code: 61602
Purpose of Disbursement: Bank Service Charge
Candidate Name:
Category/Type: 001
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2016 [ ] Primary [ ] General [x] Other (specify) ▼ Not Applicable
Date of Disbursement: 10 / 31 / 2016
FEC Identification Number: C
Transaction ID : B635475
Amount of Each Disbursement this Period: 38.25
[ ] Memo Item

B.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: [ ] Primary [ ] General [ ] Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
[ ] Memo Item

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: [ ] Primary [ ] General [ ] Other (specify) ▼
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
[ ] Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 38.25

TOTAL This Period (last page this line number only) ▶ 38.25

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Oorah! PAC
Mailing Address: PO Box 1053
City: Bloomington | State: IN | Zip Code: 47402
Purpose of Disbursement: Contribution
Candidate Name:
Category/Type: 011
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) ▼ Not Applicable
Date of Disbursement: M M 10 / D D 24 / Y Y Y Y 2016
FEC Identification Number: C C00551853
Transaction ID : B633810
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Rand Paul for U.S. Senate
Mailing Address: PO Box 72928
City: Newport | State: KY | Zip Code: 41072
Purpose of Disbursement: Contribution
Candidate Name: Paul, Rand, , ,
Category/Type: 011
Office Sought: ☐ House ☒ Senate ☐ President
State: KY District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: M M 10 / D D 24 / Y Y Y Y 2016
FEC Identification Number: C C00462069
Transaction ID : B633804
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): John Kennedy for US
Mailing Address: 2900 Clearview Parkway Suite 206
City: Metairie | State: LA | Zip Code: 70006
Purpose of Disbursement: Contribution
Candidate Name: Kennedy, John, N, ,
Category/Type: 011
Office Sought: ☐ House ☒ Senate ☐ President
State: LA District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) ▼ Runoff General
Date of Disbursement: M M 11 / D D 23 / Y Y Y Y 2016
FEC Identification Number: C C00608398
Transaction ID : B635851
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 12500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Follow The North Star Fund

Mailing Address 807 Broadway Street NE

City: Minneapolis | State: MN | Zip Code: 55413

Purpose of Disbursement: Contribution

Candidate Name

Category/Type: 011

Office Sought: ☐ House ☐ Senate ☐ President
State: District:

Disbursement For: 2016
☐ Primary ☐ General
☒ Other (specify) ▼ Not Applicable

Date of Disbursement: M M 10 / D D 24 / Y Y Y Y 2016

FEC Identification Number: C C00431874

Transaction ID : B633792

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Rely On Your Beliefs Fund

Mailing Address 209 Pennsylvania Avenue SE

City: Washington | State: DC | Zip Code: 20003

Purpose of Disbursement: Contribution

Candidate Name

Category/Type: 011

Office Sought: ☐ House ☐ Senate ☐ President
State: District:

Disbursement For: 2016
☐ Primary ☐ General
☒ Other (specify) Not Applicable

Date of Disbursement: M M 10 / D D 24 / Y Y Y Y 2016

FEC Identification Number: C C00344648

Transaction ID : B633811

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Dakota Prairie PAC

Mailing Address 918 Pennsylvania Ave SE

City: Washington | State: DC | Zip Code: 20003

Purpose of Disbursement: Contribution

Candidate Name

Category/Type: 011

Office Sought: ☐ House ☐ Senate ☐ President
State: District:

Disbursement For: 2016
☐ Primary ☐ General
☒ Other (specify) ▼ Not Applicable

Date of Disbursement: M M 10 / D D 24 / Y Y Y Y 2016

FEC Identification Number: C C00536607

Transaction ID : B633791

Amount of Each Disbursement this Period: 2500.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Brad Ashford for Congress
Mailing Address: PO Box 24023
City: Omaha | State: NE | Zip Code: 68124
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Ashford, Brad, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NE District: 02
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00557181
Transaction ID : B633793
Amount of Each Disbursement this Period: 4000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Blumenauer for Congress
Mailing Address: 901 SE Oak Street Suite 105
City: Portland | State: OR | Zip Code: 97214
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Blumenauer, Earl, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: OR District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00307314
Transaction ID : B633805
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Mulvaney for Congress
Mailing Address: P.O. Box 1975
City: Lancaster | State: SC | Zip Code: 29721
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Mulvaney, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: SC District: 05
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00471292
Transaction ID : B633789
Amount of Each Disbursement this Period: 3000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 9500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Elect Blake Farenthold Committee
Mailing Address: P.O. Box 3369
City: Corpus Christi | State: TX | Zip Code: 78463
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Farenthold, Blake, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 27
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00473736
Transaction ID : B633790
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Vicente Gonzalez for Congress
Mailing Address: 121 N. 10th Street
City: McAllen | State: TX | Zip Code: 78501
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Gonzalez, Vicente, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 15
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00592659
Transaction ID : B633807
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Hurd for Congress
Mailing Address: PO Box 761029
City: San Antonio | State: TX | Zip Code: 78245
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Hurd, William, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TX District: 23
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00545467
Transaction ID : B633808
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 7000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Comstock for Congress
Mailing Address: PO Box 831
City: Mc Lean | State: VA | Zip Code: 22101
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Comstock, Barbara, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: VA District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00554261
Transaction ID : B633809
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Kind for Congress Committee
Mailing Address: 205 5th Avenue S Room 428
City: La Crosse | State: WI | Zip Code: 54601
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Kind, Ron, J, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: WI District: 03
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 24 / 2016
FEC Identification Number: C C00312017
Transaction ID : B633803
Amount of Each Disbursement this Period: 5000.00
☐ Memo Item

C.
Full Name (Last, First, Middle Initial): Marsha Blackburn for Congress Inc.
Mailing Address: PO Box 3750
City: Brentwood | State: TN | Zip Code: 37024
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Blackburn, Marsha, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 07
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 09 / 19 / 2016
FEC Identification Number: C C00376939
Transaction ID : B626855
Amount of Each Disbursement this Period: 2000.00
☒ Memo Item — As disclosed in 2016 October Monthly Report.

SUBTOTAL of Disbursements This Page (optional) ▶ 7500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☒ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Marsha Blackburn for Congress Inc.
Mailing Address: PO Box 3750
City: Brentwood | State: TN | Zip Code: 37024
Purpose of Disbursement: Contribution
Category/Type: 011
Candidate Name: Blackburn, Marsha, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: TN District: 07
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 11 / 14 / 2016
FEC Identification Number: C C00376939
Transaction ID : B626856
Amount of Each Disbursement this Period: 2000.00
☒ Memo Item — Redesignation of above contribution to Primary 2018 election year.

B.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Candidate Name:
Category/Type:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Candidate Name:
Category/Type:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify)
Date of Disbursement: M M / D D / Y Y Y Y
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 0.00

TOTAL This Period (last page this line number only) ▶ 44000.00

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Young Kim for State Assembly
Mailing Address: 2154 Seaview Drive
City: Fullerton | State: CA | Zip Code: 92833
Purpose of Disbursement: G-2016 State House 65 CA
Category/Type: 011
Candidate Name: Kim, Young, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: CA District: 65
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 31 / 2016
FEC Identification Number: C
Transaction ID : B634506
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Committee to Elect Terri Bryant
Mailing Address: PO Box 363
City: Murphysboro | State: IL | Zip Code: 62966
Purpose of Disbursement: G-2016 State House 115 IL
Category/Type: 011
Candidate Name: Bryant, Terri, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633750
Amount of Each Disbursement this Period: 1250.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Citizens for Kay
Mailing Address: PO Box 129
City: Glen Carbon | State: IL | Zip Code: 62034
Purpose of Disbursement: G-2016 State House 112 IL
Category/Type: 011
Candidate Name: Kay, Dwight, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 11
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633748
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 4250.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): McAuliffe for State House
Mailing Address: PO Box 31482
City: Chicago | State: IL | Zip Code: 60631
Purpose of Disbursement: G-2016 State House 20 IL
Category/Type: 011
Candidate Name: McAuliffe, Michael, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 20
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633744
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Citizens to Elect Steven Reick
Mailing Address: P.O. Box 27
City: Harvard | State: IL | Zip Code: 60033
Purpose of Disbursement: G-2016 State House 63 IL
Category/Type: 011
Candidate Name: Reick, Steve, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 63
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633746
Amount of Each Disbursement this Period: 1500.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Friends of Chapin Rose
Mailing Address: PO Box 838
City: Mahomet | State: IL | Zip Code: 61853
Purpose of Disbursement: P-2018 State Senate 51 IL
Category/Type: 011
Candidate Name: Rose, Chapin, , ,
Office Sought: ☐ House ☒ Senate ☐ President
State: IL District: 51
Disbursement For: 2018 ☒ Primary ☐ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633752
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Winger for Rep
Mailing Address: 336 S. Dominion Dr.
City: Wooddale | State: IL | Zip Code: 60191
Purpose of Disbursement: G-2016 State House 45 IL
Category/Type: 011
Candidate Name: Winger, Christine, J, ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 45
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633745
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Friends of Sara Wojcicki-Jimenez
Mailing Address: 2149 South 6th Street
City: Springfield | State: IL | Zip Code: 62703
Purpose of Disbursement: G-2016 State House 99 IL
Category/Type: 011
Candidate Name: Wojcicki-Jimenez, Sara, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: IL District: 99
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633747
Amount of Each Disbursement this Period: 2000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): John Bell Committee
Mailing Address: 501 Holland Hill Drive
City: Goldsboro | State: NC | Zip Code: 27530
Purpose of Disbursement: G-2016 State House 10 NC
Category/Type: 011
Candidate Name: Bell, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 10
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633756
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 4000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
☐ 28a ☐ 28b ☐ 28c ☒ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Rep. Larry Bell Campaign Committee
Mailing Address: 908 Southwest Blvd
City: Clinton | State: NC | Zip Code: 28328
Purpose of Disbursement: G-2016 State House 21 NC
Category/Type: 011
Candidate Name: Bell, Larry, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 21
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633754
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Jimmy Dixon for HD 4
Mailing Address: PO Box 222
City: Warsaw | State: NC | Zip Code: 28398
Purpose of Disbursement: G-2016 State House 4 NC
Category/Type: 011
Candidate Name: Dixon, James, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633753
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Szoka for NC House
Mailing Address: 1610 Hickory Ridge Ct.
City: Fayetteville | State: NC | Zip Code: 28304
Purpose of Disbursement: G-2016 State House 45 NC
Category/Type: 011
Candidate Name: Szoka, John, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: NC District: 45
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 21 / 2016
FEC Identification Number: C
Transaction ID : B633755
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 3000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

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FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial)
Lynne Arvon for House of Delegates

Mailing Address 101 Triangle Lane

City: Beckley | State: WV | Zip Code: 25801

Purpose of Disbursement: G-2016 State House 31 WV

Category/Type: 011

Candidate Name: Arvon, Lynne, , ,

Office Sought: ☒ House ☐ Senate ☐ President

State: WV District: 31

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 10 / D D 31 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B634511

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

B. Full Name (Last, First, Middle Initial)
Greg Boso for Senate

Mailing Address 401 Main Street

City: Summersville | State: WV | Zip Code: 26651

Purpose of Disbursement: G-2016 State Senate 11 WV

Category/Type: 011

Candidate Name: Boso, Gregory, , ,

Office Sought: ☐ House ☒ Senate ☐ President

State: WV District: 11

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 10 / D D 31 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B634512

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

C. Full Name (Last, First, Middle Initial)
Jordan Bridges for Senate

Mailing Address 201 Central Ave.

City: Logan | State: WV | Zip Code: 25601

Purpose of Disbursement: G-2016 State Senate 07 WV

Category/Type: 011

Candidate Name: Bridges, Jordan, R, ,

Office Sought: ☐ House ☒ Senate ☐ President

State: WV District: 07

Disbursement For: 2016
☐ Primary ☒ General
☐ Other (specify)

Date of Disbursement: M M 10 / D D 31 / Y Y Y Y 2016

FEC Identification Number: C

Transaction ID : B634509

Amount of Each Disbursement this Period: 500.00

☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 1500.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
☐ 21b ☐ 22 ☐ 23 ☐ 26 ☐ 27
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A. Full Name (Last, First, Middle Initial): Committee to Elect Mitch Carmichael
Mailing Address: 101 N. Court Street
City: Ripley | State: WV | Zip Code: 25271
Purpose of Disbursement: G-2016 State Senate 4 WV
Category/Type: 011
Candidate Name: Carmichael, Mitch, B, ,
Office Sought: ☐ House ☒ Senate ☐ President
State: WV District: 04
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 31 / 2016
FEC Identification Number: C
Transaction ID : B634513
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

B. Full Name (Last, First, Middle Initial): Morrisey for Attorney General
Mailing Address: 47 Wasser Drive
City: Martinsburg | State: WV | Zip Code: 25403
Purpose of Disbursement: G-2016 State Att. General WV
Category/Type: 011
Candidate Name: Morrisey, Patrick, , ,
Office Sought: ☐ House ☐ Senate ☐ President
State: WV District:
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 31 / 2016
FEC Identification Number: C
Transaction ID : B634504
Amount of Each Disbursement this Period: 1000.00
☐ Memo Item

C. Full Name (Last, First, Middle Initial): Brad White for House Cmte
Mailing Address: 51 Credes Landing
City: Elkview | State: WV | Zip Code: 25071
Purpose of Disbursement: G-2016 State House 36 WV
Category/Type: 011
Candidate Name: White, Brad, , ,
Office Sought: ☒ House ☐ Senate ☐ President
State: WV District: 36
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 10 / 31 / 2016
FEC Identification Number: C
Transaction ID : B634510
Amount of Each Disbursement this Period: 500.00
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2000.00

TOTAL This Period (last page this line number only) ▶

SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
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NAME OF COMMITTEE (In Full)
The Caterpillar Inc. Employee Political Action Committee

A.
Full Name (Last, First, Middle Initial): Wyoming Republican Party
Mailing Address: 400 East First Suite 314
City: Casper | State: WY | Zip Code: 82602
Purpose of Disbursement: O-2016 State Party Cmte WY
Candidate Name:
Category/Type: 011
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: 2016 ☐ Primary ☐ General ☒ Other (specify) ▼ Not Applicable
Date of Disbursement: 10/31/2016
FEC Identification Number: C
Transaction ID : B634507
Amount of Each Disbursement this Period: 2500.00
☐ Memo Item

B.
Full Name (Last, First, Middle Initial): Aaron Clausen for District House 6
Mailing Address: 910 Esterbrook Rd.
City: Douglas | State: WY | Zip Code: 82633
Purpose of Disbursement: G-2016 State House 06 WY
Candidate Name: Clausen, Aaron, , ,
Category/Type: 011
Office Sought: ☒ House ☐ Senate ☐ President
State: WY District: 06
Disbursement For: 2016 ☐ Primary ☒ General ☐ Other (specify)
Date of Disbursement: 11/21/2016
FEC Identification Number: C
Transaction ID : B631388
Amount of Each Disbursement this Period: -250.00
Voided: Original check dated 10/10/16
☐ Memo Item

C.
Full Name (Last, First, Middle Initial):
Mailing Address:
City: | State: | Zip Code:
Purpose of Disbursement:
Candidate Name:
Category/Type:
Office Sought: ☐ House ☐ Senate ☐ President
State: District:
Disbursement For: ☐ Primary ☐ General ☐ Other (specify) ▼
Date of Disbursement: MM / DD / YYYY
FEC Identification Number: C
Amount of Each Disbursement this Period:
☐ Memo Item

SUBTOTAL of Disbursements This Page (optional) ▶ 2250.00

TOTAL This Period (last page this line number only) ▶ 19500.00